

Introduction to Data Management SQL Subqueries

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Announcements

- Homework 3 is out
 - Important to get the setup working ASAP
 - Create server and log in to online query editor
 - Look for an email from Microsoft Azure:
 - "Action required: Accept your Azure lab assignment" (could be in spam)

Recap – The Witnessing Problem

- A question pattern that asks for data associated with a maxima of some value
 - Observed how to do it with grouping
 - "Self join" on values you find the maxima for
 - GROUP BY to deduplicate one side of the join
 - HAVING to compare values with respective maxima

Goals for Today

- Conclude our unit on SQL queries
 - After today you'll have essentially all the building blocks of most all queries you can think of
- Use SQL queries to assist other SQL queries

Outline

- Witnessing via subquery
- Subquery mechanics
 - Set/bag operations
 - SELECT
 - FROM
 - WHERE/HAVING
- Decorrelation and unnesting along the way
- Notes about HW3



- Wanted to join respective maxima
 - GROUP BY technique was interesting
 - People have suggested that we can just compute the maxima first then join

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

Return the person (or people) with the highest salary for each job type

- Wanted to join respective maxima
 - GROUP BY technique was interesting
 - People have suggested that we can just compute the maxima first then join

UserID	Name	Job	Salary	maxima
123	Jack	TA	50000	60000
345	Allison	TA	60000	60000
567	Magda	Prof	90000	100000
789	Dan	Prof	100000	100000

Return the person (or people) with the highest salary for each job type

- Wanted to join respective maxima
 - GROUP BY technique was interesting
 - People have suggested that we can just compute the maxima first then join

UserID	Name	Job	Salary	maxima
123	Jack	TA	50000	60000
345	Allison	TA	60000	60000
567	Magda	Prof	90000	100000
789	Dan	Prof	100000	100000

Return the person (or people) with the highest salary for each job type

MaxPay

Job	Salary
TA	60000
Prof	100000



```
WITH MaxPay AS
```

We can compute the same thing!

```
SELECT P1.Name, MAX(P2.Salary)
  FROM Payroll AS P1, Payroll AS P2
WHERE P1.Job = P2.Job
GROUP BY P2.Job, P1.Salary, P1.Name
HAVING P1.Salary = MAX(P2.Salary)
```

```
FROM Payroll AS P1, Payroll AS P2
WHERE P1.Job = P2.Job
GROUP BY P2.Job, P1.Salary, P1.Name
HAVING P1.Salary = MAX(P2.Salary)
```

```
Useful intermediate result!
WITH MaxPay AS
      (SELECT P1.Job AS Job,
              MAX (P1. Salary) AS Salary
         FROM Payroll AS P1
       GROUP BY P1.Job)
SELECT P.Name, P.Salary
  FROM Payroll AS P, MaxPay AS MP
 WHERE P.Job = MP.Job AND
       P.Salary = MP.Salary
```

Payroll

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

MaxPay

Job	Salary
TA	60000
Prof	100000

Solving a subproblem can make your life easy

Payroll

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

MaxPay

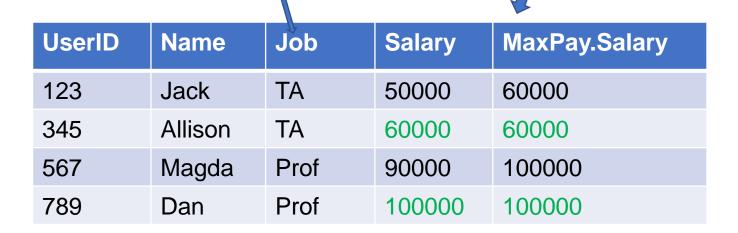
Job	Salary
TA	60000
Prof	100000

Payroll

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

MaxPay

Job	Salary
TA	60000
Prof	100000



The Punchline about Subqueries

- Subqueries can be interpreted as single values or as whole relations
 - A single value (a 1x1 relation) can be returned as part of a tuple
 - A relation can be:
 - Used as input for another query
 - Checked for containment of a value

Set Operations

- SQL mimics set theory in many ways, but with duplicates
 - Instead of sets, called bags = duplicates allowed
 - UNION (ALL) → set union (bag union)
 - **INTERSECT** (ALL) → set intersection (bag intersection)
 - **EXCEPT** (ALL) → set difference (bag difference)
- SQL Server Management Studio 2017
 - INTERSECT ALL not supported
 - EXCEPT ALL not supported



Set Operations

 SQL set-like operators basically slap two queries together (not really a subquery...)

```
(SELECT * FROM T1)
UNION
(SELECT * FROM T2)
```

- Must return a single value
- Uses:
 - Compute an associated value

- Must return a single value
- Uses:
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- Must return a single value
- Uses:
 - Compute an associated value

"Correlated" subquery!

Means outer table is referenced in the subquery.

- Must return a single value
- Uses:
 - Compute an associated value

The Semantics of a correlated subquery are that the entire subquery is recomputed for each tuple

FROM Payroll AS P

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

FROM Payroll AS P

Payroll P

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

FROM Payroll AS P

Payroll P

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

```
SELECT P.Name, (SELECT AVG(P1.Salary)
FROM Payroll AS P1
```

WHERE P.Job = P1.Job)

FROM Payroll AS P

Payroll P

	UserID	Name	Job	Salary
\Longrightarrow	123	Jack	TA	50000
	345	Allison	TA	60000
	567	Magda	Prof	90000
	789	Dan	Prof	100000

55000

Payroll P1

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

FROM Payroll AS P

Payroll P

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

55000

```
SELECT P.Name, (SELECT AVG(P1.Salary)
```

FROM Payroll AS P1

WHERE P.Job = P1.Job)

FROM Payroll AS P

Payroll P

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

55000

27

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

```
SELECT P.Name, (SELECT AVG(P1.Salary)
```

FROM Payroll AS P1

WHERE P.Job = P1.Job)

FROM Payroll AS P

Payroll P

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

55000

Payroll P1

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

```
SELECT P.Name, (SELECT AVG(P1.Salary)
```

FROM Payroll AS P1

WHERE P.Job = P1.Job)

FROM Payroll AS P

Payroll P

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

55000

55000

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

FROM Payroll AS P

UserID	Name	Job	Salary	
123	Jack	TA	50000	55000
345	Allison	TA	60000	55000
567	Magda	Prof	90000	95000
789	Dan	Prof	100000	

FROM Payroll AS P

UserID	Name	Job	Salary	
123	Jack	TA	50000	55000
345	Allison	TA	60000	55000
567	Magda	Prof	90000	95000
789	Dan	Prof	100000	95000

For each person find the average salary of their job

Same (decorrelated and unnested)

```
SELECT P1.Name, AVG(P2.Salary)
  FROM Payroll AS P1, Payroll AS P2
WHERE P1.Job = P2.Job
GROUP BY P1.UserID, P1.Name
```

For each person find the number of cars they drive

```
SELECT P. Name, (SELECT COUNT (R. Car)
                   FROM Regist AS R
                  WHERE P.UserID =
                         R. UserID)
  FROM Payroll AS P
                      Same? Discuss!
SELECT P.Name, COUNT (R.Car)
  FROM Payroll AS P, Regist AS R
WHERE P.UserID = R.UserID
GROUP BY P.UserID, P.Name
```

For each person find the number of cars they drive

```
SELECT P.Name, (SELECT COUNT(R.Car)

FROM Regist AS R

WHERE P.UserID =

R.UserID)
```

FROM Payroll AS P

0-count case not covered!

```
SELECT P.Name, COUNT(R.Car)
  FROM Payroll AS P, Regist AS R
WHERE P.UserID = R.UserID
GROUP BY P.UserID, P.Name
```

For each person find the number of cars they drive

```
FROM Regist AS R

WHERE P.UserID =

R.UserID)

FROM Payroll AS P

Still possible to decorrelate and unnest
```

For each person find the number of cars they drive

```
SELECT P. Name, (SELECT COUNT (R. Car)
                      FROM Regist AS R
                     WHERE P.UserID =
                            R. UserID)
   FROM Payroll AS P
                         Still possible to decorrelate and unnest
SELECT P.Name, COUNT (R.Car)
  FROM Payroll AS P LEFT OUTER JOIN
        Regist AS R ON P.UserID = R.UserID
 GROUP BY P.UserID, P.Name
```

Subqueries in FROM

- Equivalent to a WITH subquery
- Uses:
 - Solve subproblems that can be later joined/evaluated

```
WITH MaxPay AS
       (SELECT Pl.Job AS Job,
               MAX(P1.Salary) AS Salary
                                               Syntactic sugar
          FROM Payroll AS P1
         GROUP BY P1.Job)
SELECT P.Name, P.Salary
  FROM Payroll AS P, MaxPay AS MP
WHERE P.Job = MP.Job AND
       P.Salary = MP.Salary
SELECT P.Name, P.Salary
  FROM Payroll AS P, (SELECT Pl.Job AS Job,
                             MAX (P1.Salary) AS Salary
                        FROM Payroll AS P1
                       GROUP BY P1.Job) AS MP
WHERE P.Job = MP.Job AND
       P.Salary = MP.Salary
```

Recap

Usually best to avoid nested queries if trying for speed

- Be careful of semantics of nested queries
 - Correlated vs. decorrelated

- Think about edge cases
 - Zero matches
 - Null values

Subqueries in WHERE/HAVING

Uses:

- ANY → ∃
- ALL $\rightarrow \forall$
- (NOT) IN → (∉) ∈
- (NOT) EXISTS \rightarrow ($\emptyset = ...$) $\emptyset \neq ...$

Subqueries in WHERE/HAVING

Uses:

- ANY → ∃
- ALL → ∀
- (NOT) IN → (∉) ∈
- (NOT) EXISTS \rightarrow ($\emptyset = ...$) $\emptyset \neq ...$

Find the name and salary of people who do not drive cars

Subqueries in WHERE/HAVING

Uses:

- ANY → ∃
- ALL $\rightarrow \forall$
- (NOT) IN → (∉) ∈
- (NOT) EXISTS \rightarrow ($\emptyset = ...$) $\emptyset \neq ...$

Find the name and salary of people who do not drive cars

```
SELECT P.Name, P.Salary
FROM Payroll AS P
WHERE P.UserID NOT IN (SELECT UserID
FROM Regist)
```

Decorrelated!

```
    SELECT ....... WHERE EXISTS (sub);
    SELECT ....... WHERE NOT EXISTS (sub);
    SELECT ....... WHERE attribute IN (sub);
    SELECT ...... WHERE attribute NOT IN (sub);
    SELECT ...... WHERE value > ANY (sub);
    SELECT ...... WHERE value > ALL (sub);
```

- Existential quantifier:
 - Indicates the existence of at least one element
- Universal quantifiers:
 - Indicated a property for all elements
- Look to mathematics for more examples:
 - https://sites.math.washington.edu/~aloveles/Math300Summer2011/m300Quantifiers.pdf

```
\forall x \in A, P(x) For all x in A, P(x) is true.
```

 $\exists x \in A, P(x)$ There exists some x in A such that P(x) is true.

```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

Existential quantifiers

Slight rewording:

Return all companies such that **there exists some product** they make with price < 200

```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

Existential quantifiers

Slight rewording:

Return all companies such that there exists some product they make with price < 200

Using EXISTS:

```
SELECT DISTINCT C.cname
FROM Company C
WHERE EXISTS (SELECT *
FROM Product P
WHERE C.cid = P.cid and P.price < 200)
```

```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

Existential quantifiers

Using IN

```
SELECT DISTINCT C.cname
FROM Company C
WHERE C.cid IN (SELECT P.cid
FROM Product P
WHERE P.price < 200)
```

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

Existential quantifiers

```
Using IN
```

```
SELECT DISTINCT C.cname

FROM Company C

WHERE C.cid IN (SELECT P.cid
FROM Product P
WHERE P.price < 200)
```

Decorrelated!

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```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

Existential quantifiers

Using ANY:

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

Existential quantifiers

Using ANY:

ANY not supported in sqlite

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

Existential quantifiers

Now let's unnest it:

```
SELECT DISTINCT C.cname
FROM Company C, Product P
WHERE C.cid = P.cid and P.price < 200</pre>
```

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```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies that make <u>some</u> products with price < 200

Existential quantifiers

Now let's unnest it:

```
SELECT DISTINCT C.cname
FROM Company C, Product P
WHERE C.cid = P.cid and P.price < 200</pre>
```

Existential quantifiers are easy!

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

same as:

Find all companies that make <u>only</u> products with price < 200

```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

same as:

Find all companies that make only products with price < 200

Universal quantifiers

```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

same as:

Find all companies that make only products with price < 200

Universal quantifiers

Universal quantifiers are hard!

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

Use the math property,

For all company products, price < 200

equivalent to:

There does not exist some company product where price >= 200

```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

1. Find *the other* companies that make <u>some</u> product ≥ 200

```
SELECT DISTINCT C.cname
FROM Company C
WHERE C.cid IN (SELECT P.cid
FROM Product P
WHERE P.price >= 200)
```

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

1. Find *the other* companies that make <u>some</u> product ≥ 200

2. Find all companies s.t. <u>all</u> their products have price < 200 (negate previous query)

```
SELECT DISTINCT C.cname
FROM Company C
WHERE C.cid NOT IN (SELECT P.cid
FROM Product P
WHERE P.price >= 200)
```

```
Product (<u>pname</u>, price, cid)
Company (<u>cid</u>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

Universal quantifiers

Using EXISTS:

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

Universal quantifiers

Using ALL:

```
Product (<a href="mailto:pname">pname</a>, price, cid)
Company (<a href="mailto:cid">cid</a>, cname, city)
```

Find all companies s.t. <u>all</u> their products have price < 200

Universal quantifiers

Using ALL:

ALL not supported in sqlite

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Encoding Universal Quantifiers

Could we ever encode a universal quantifier with a SELECT-FROM-WHERE query with no subqueries or aggregates?

To answer, need to define a property over queries

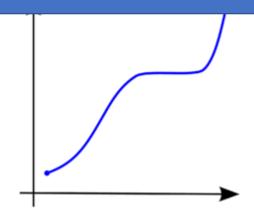
Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

In other words, adding more tuples to the input table never removes tuples from the output on the next query.

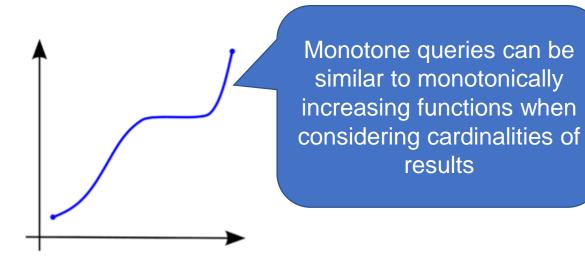


Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.



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Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

SELECT P.Name, P.Car
FROM Payroll AS P, Regist AS R
WHERE P.UserID = R.UserID

Is this query monotone?

Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

SELECT P.Name, P.Car
FROM Payroll AS P, Regist AS R
WHERE P.UserID = R.UserID

Is this query monotone? Yes!

Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

I can't add tuples to Payroll or Regist that would "remove" a previous result

SELECT P.Name, P.Car

FROM Payroll AS P, Regist AS R

WHERE P.UserID = R.UserID

Is this query monotone? Yes!

Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

Is this query monotone?

Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

```
SELECT P.Name
   FROM Payroll AS P
WHERE P.Salary >= ALL (SELECT Salary
```

Is this query monotone? No!

FROM Payroll)

Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

I can add a tuple to Payroll

that has a higher salary

value than any other

That is for any superset of I, the query over that superset must contain at least the query results of I.

SELECT P.Name

FROM Payroll AS P

Is this query monotone? No!

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Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \rightarrow q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

Is this query monotone?

Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

Is this query monotone? No!

Monotone

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

Aggregates generally are sensitive to any new tuples

Is this query monotone? No!

Monotone Queries

■ <u>Theorem</u>: If Q is a SELECT-FROM-WHERE query that does not have subqueries, and no aggregates, then it is monotone.

Monotone Queries

- <u>Theorem</u>: If Q is a SELECT-FROM-WHERE query that does not have subqueries, and no aggregates, then it is monotone.
- Proof. We use the nested loop semantics: if we insert a tuple in a relation R_i, this will not remove any tuples from the answer

```
SELECT a_1, a_2, ..., a_k
FROM R_1 AS x_1, R_2 AS x_2, ..., R_n AS x_n
WHERE Conditions
```

```
for x_1 in R_1 do

for x_2 in R_2 do

...

for x_n in R_n do

if Conditions

output (a_1,...,a_k)
```

Consequence:

If a query is not monotonic, then we cannot write it as a SELECT-FROM-WHERE query without nested subqueries or aggregates.

Queries with universal quantifiers are not generally monotone

Queries that must be nested

- Queries with universal quantifiers or with negation
- Queries that use aggregates in certain ways
 - sum(..) and count(*) are NOT monotone, because they do not satisfy set containment
 - select count(*) from R is not monotone!

Takeaways

- SQL is able to mirror logic over sets more or less directly
- The internal interpretation of nested queries can be quite involved
 - But our DBMS is able to derive such interpretations automagically
- We can reason about expressive power of certain queries.

Next Unit

- We are done with lectures on SQL queries!
- Up next:
 - Data modeling
 - Ethics and Security