

# CSE 444 Homework 5

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TOTAL POINTS

**57 / 60**

## QUESTION 1

**1** 15 pts

**1.1 a** 5 / 5

- ✓ - **0 pts** Correct
- **1.5 pts** (a) incorrect
- **1.5 pts** (b) incorrect
- **1.5 pts** (c) incorrect

**1.2 b** 5 / 5

- ✓ - **0 pts** Correct
- **1.5 pts** X4 incorrect or missing
- **1.5 pts** X5 incorrect or missing
- **1 pts** Minor error

**1.3 c** 5 / 5

- ✓ - **0 pts** Correct
- **2 pts** Partially Incorrect
- **5 pts** No submission

## QUESTION 2

**2** 15 pts

**2.1 a** 5 / 5

- ✓ - **0 pts** Correct
- **2.5 pts** First checkpoint incorrect
- **2.5 pts** Second checkpoint incorrect

**2.2 b** 5 / 5

- ✓ - **0 pts** Correct. From <START T1> to the end.
- **3 pts** Read from <START CKPT T1>
- **1 pts** Didn't read (or mention) the last lines. You need this information to finally decide to read from <START T1>
- **5 pts** Wrong answer

**2.3 c** 5 / 5

- ✓ + **2 pts** A, D (T1) , E (T4) are recovered
- ✓ + **1 pts** A = 15
- ✓ + **1 pts** D = 5
- ✓ + **1 pts** E = 10
- + **0 pts** Wrong answer.

## QUESTION 3

**3** 30 pts

**3.1 a** 9 / 10

- **0 pts** Correct
- **1 pts** Incorrect Entry in Transaction Table
- **2 pts** Incorrect Transaction Table
- **1 pts** Incorrect Entry in Dirty Page Table
- **1 pts** Missing Entry in Dirty Page Table
- **2 pts** Incorrect Dirty Page Table
- **1 pts** Incorrect T1 in Log Table
- ✓ - **1 pts** Incorrect T2 in Log Table
- **1 pts** Incorrect T3 in Log Table
- **4 pts** Incorrect Log Table
- **1 pts** Incorrect Page Content in Memory
- **1 pts** Missing Page Content in Memory
- **1 pts** Incorrect PageLSN in Memory
- **1 pts** Missing PageLSN in Memory
- **2 pts** Incorrect Buffer Pool
- **2 pts** Missing Memory Part
- **10 pts** Incorrect
- ☹ LSN 7 will not have a prevLSN

**3.2 b** 5 / 5

- ✓ - **0 pts** Correct
- **2.5 pts** Incorrect Transaction Table
- **2.5 pts** Incorrect Dirty Page Table
- **5 pts** Incorrect

### 3.3 C 4 / 5

- 0 pts Correct

- 5 pts Incorrect

- 1 Point adjustment

💬 LSN 11 and 12 were not in the Log table so why are they in the REDO phase?

### 3.4 d 9 / 10

- 0 pts Correct

- 1 pts Shouldn't undo T1

- 1 pts Shouldn't undo T2

- 2 pts Undo T3

- 1 pts Wrong/missing memory pageLSN

- 2 pts Wrong/missing memory pages info

- 2 pts Wrong log content

✓ - 1 pts Missing END after UNDO

- 10 pts Missing 3d

- (a) (5 points) What are the correct values of the three <START CKPT ????> records? You have to provide three correct values for the three ????.

First START CKPT:  $\tau_1$

Second START CKPT:  $\tau_2, \tau_3$

Third START CKPT:  $\tau_4, \tau_5$

1.1 a 5 / 5

✓ - 0 pts Correct

- 1.5 pts (a) incorrect

- 1.5 pts (b) incorrect

- 1.5 pts (c) incorrect

- (b) (5 points) Assuming that the three  $\langle \text{START CKPT ???} \rangle$  records are correctly stored in the log, according to your answer in a., show which elements are recovered by the undo recovery manager and compute their values after recovery.

- The last CheckPoint's Transactions need to be undo, because first two checkpoints has already ended, which means, we don't need to worry about them anymore.
- $T_4$  &  $T_5$  are two transactions that has committed yet.
- by following the undo algorithm, we start at most recent and go backwards:
  1.  $\langle T_4, X_4, 8 \rangle \rightarrow$  write  $X_4 = 8$  to disk.
  2.  $\langle \text{START CKPT } T_4, T_5 \rangle \rightarrow$  do nothing.
  3.  $\langle T_5, X_5, 7 \rangle \rightarrow$  write  $X_5 = 7$  to disk.
  4.  $\langle \text{START } T_5 \rangle \rightarrow$  do nothing
  - ⋮
  - ⋮
  - $\langle T_4, X_4, 6 \rangle \rightarrow$  write  $X_4 = 6$  to disk
  - end.  $\langle \text{START } T_4 \rangle$  ;

1.2 b 5 / 5

✓ - 0 pts Correct

- 1.5 pts X4 incorrect or missing

- 1.5 pts X5 incorrect or missing

- 1 pts Minor error

(c) (5 points) Indicate what fragment of the log the recovery manager needs to read.

- Based on the Steps I have done in (b), I found the recovery manager knows the other Transact. had been completed when it reads  $\langle \text{START CKPT } T_4, T_5 \rangle$  so it only needs to read after the line of  $\langle \text{START } T_4 \rangle$ .
- which is because the recovery manager only needs to recover transaction  $T_4$  &  $T_5$ . So once it reads and find all the  $\langle \text{START} \rangle$  of these two transaction, it can recover them.

1.3 C 5 / 5

✓ - 0 pts Correct

- 2 pts Partially Incorrect

- 5 pts No submission



- (a) (5 points) What are the correct values of the two  $\langle \text{START CKPT } \text{????} \rangle$  records? You have to provide two correct values for the two  $\text{????}$ s.

First START CKPT:  $T_1$

Second START CKPT:  $T_5$

2.1 a 5 / 5

✓ - 0 pts Correct

- 2.5 pts First checkpoint incorrect

- 2.5 pts Second checkpoint incorrect

(b) (5 points) Indicate what fragment of the log the recovery manager needs to read.

It actually needs to read from the 1st row of the log, to the end of the log. - All log after  $\langle \text{START } T_1 \rangle$

The reason is because there is only one  $\langle \text{End CKPT} \rangle$  in the log which indicates the  $T_2$  &  $T_3$  have been flushed to disk. However,  $T_1$  has not been flushed to disk.

## 2.2 b 5 / 5

✓ - **0 pts** Correct. From &lt;START T1> to the end.

- **3 pts** Read from &lt;START CKPT T1>

- **1 pts** Didn't read (or mention) the last lines. You need this information to finally decide to read from &lt;START T1>

- **5 pts** Wrong answer

- (c) (5 points) Assuming that the two  $\langle \text{START CKPT ???} \rangle$  records are correctly stored in the log, according to your answer above, show which elements are recovered by the redo recovery manager and compute their values after recovery.

1)  $T_1$  needs to do redo on A element.  
       rows  $\rightarrow$  redo

$\langle T_1, A, 15 \rangle$

write A  $\rightarrow$  15 on disk

$\langle T_1, D, 5 \rangle$

write D  $\rightarrow$  5 on disk

$\langle T_4, E, 10 \rangle$

write E  $\rightarrow$  10 on disk

The  $T_5$  has not commit, so we don't need to do the redo process.

2.3 C 5 / 5

✓ + 2 pts A, D (T1) , E (T4) are recovered

✓ + 1 pts A = 15

✓ + 1 pts D = 5

✓ + 1 pts E = 10

+ 0 pts Wrong answer.

Log							
LSN	prevLSN	tID	pID	Log entry	Type	undoNextLSN	
1	-	T1	P1	<T1, P1, A0, A1>	Update	-	flushed log, and P1
2	-	T2	P1	<T2, P1, B0, B1>	Update	-	
3	2	T2	P2	<T2, P2, C0, C1>	Update	-	
4	3	T2	-	<ABORT T2>	Abort	-	
5	-	T2	p2	<UNDO T2 LSN 3>	CLR	2	
6	-	T2	p1	<UNDO T2 LSN 2>	CLR	-	
7	6	T2	-	<END>	End	-	
8	-	T3	P2	<T3, P3, D0, D1>	Update	-	
9	1	T1	-	<Commit T1>	Commit	-	
10	9	T1	-	<END>	End	-	flushed Log

Dirty Page Table		Transacti on Table			Buffer Pool	
pageID	recLSN	transID	lastLSN	status	P1, PageLSN = 6, (A1, B0)	P2, PageLSN = 8, (C0, D1)
P1	2	T3	8	Running		
p2	3					

3.1 a 9 / 10

- 0 pts Correct
  - 1 pts Incorrect Entry in Transaction Table
  - 2 pts Incorrect Transaction Table
  - 1 pts Incorrect Entry in Dirty Page Table
  - 1 pts Missing Entry in Dirty Page Table
  - 2 pts Incorrect Dirty Page Table
  - 1 pts Incorrect T1 in Log Table
  - ✓ - 1 pts **Incorrect T2 in Log Table**
  - 1 pts Incorrect T3 in Log Table
  - 4 pts Incorrect Log Table
  - 1 pts Incorrect Page Content in Memory
  - 1 pts Missing Page Content in Memory
  - 1 pts Incorrect PageLSN in Memory
  - 1 pts Missing PageLSN in Memory
  - 2 pts Incorrect Buffer Pool
  - 2 pts Missing Memory Part
  - 10 pts Incorrect
- 💬 LSN 7 will not have a prevLSN



Dirty Page Table		Transacti on Table		
pageID	recLSN	transID	lastLSN	status
p1	1	T3	8	U = Unkown
P2	3			

3.2 b 5 / 5

✓ - 0 pts Correct

- 2.5 pts Incorrect Transaction Table

- 2.5 pts Incorrect Dirty Page Table

- 5 pts Incorrect

LSN	operation
1	skipped
2	redone
3	redone
4	skipped
5	redone
6	redone
7	skipped
8	redone
9	skipped
10	skipped
11	skipped
12	skipped

### 3.3 C 4 / 5

- 0 pts Correct

- 5 pts Incorrect

-1 Point adjustment

LSN 11 and 12 were not in the Log table so why are they in the REDO phase?

Log							
LSN	prevLSN	tID	pID	Log entry	Type	undoNextLSN	
1	-	T1	P1	<T1, P1, A0, A1>	Update	-	flushed log, and P1
2	-	T2	P1	<T2, P1, B0, B1>	Update	-	
3	2	T2	P2	<T2, P2, C0, C1>	Update	-	
4	3	T2	-	<ABORT T2>	Abort	-	
5	-	T2	P2	<UNDO T2 LSN 3>	CLR	2	
6	-	T2	P1	<UNDO T2 LSN 2>	CLR	-	
7	6	T2	-	<END>	End	-	
8	-	T3	P2	<T3, P3, D0, D1>	Update	-	
9	1	T1	-	<COMMIT T1>	Commit	-	
10	9	T1	-	<END>	End	-	flushed Log
11	8	T3	P2	<UNDO T3 LSN 8>	CLR	-	

Buffer Pool	
P1, PageLSN = 6, (A1, B0)	P2, PageLSN = 11, (C0, D0)

### 3.4 d 9 / 10

- **0 pts** Correct
- **1 pts** Shouldn't undo T1
- **1 pts** Shouldn't undo T2
- **2 pts** Undo T3
- **1 pts** Wrong/missing memory pageLSN
- **2 pts** Wrong/missing memory pages info
- **2 pts** Wrong log content
- ✓ - **1 pts** Missing **END** after **UNDO**
- **10 pts** Missing 3d