

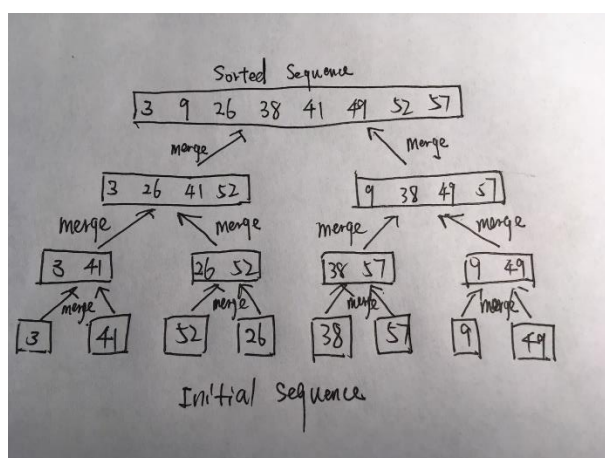
Due by Thursday, January 25th at 10pm, submit via git.

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### 2.3-1

Using Figure 2.4 as a model, illustrate the operation of merge sort on the array  $A = \{3, 41, 52, 26, 38, 57, 9, 49\}$

Solution:



### 2.3-2

Rewrite the MERGE procedure so that it does not use sentinels, instead stopping once either array L or R has had all its elements copied back to A and then copying the remainder of the other array back into A.

Solution:

$Merge(A, p, q, r)$

Input: two sorted array of integers

Output: a new array in sorted order

1.  $n_1 = p - q + 1$
2.  $n_2 = r - q$
3. let  $L[1 \dots n_1]$  and  $R[1 \dots n_2]$  be new arrays
4. for  $i = 1$  to  $n_1$
5.  $L[i] = A[p + i - 1]$
6. for  $j = 1$  to  $n_2$
7.  $R[j] = A[q + j]$
8.  $i = 1$
9.  $j = 1$
10.  $k = p$
11. while  $i \leq n_1$  and  $j \leq n_2$
12. if  $L[i] \leq R[j]$
13.  $A[k] = L[i]$

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14.          $i = i + 1$ 
15.     else  $A[k] = R[j]$ 
16.          $j = j + 1$ 
17.      $k = k + 1$ 
18. while  $i \leq n1$ 
19.      $A[k] = L[i]$ 
20.      $i = i + 1$ 
21.      $k = k + 1$ 
22. while  $j \leq n2$ 
23.      $A[k] = R[j]$ 
24.      $j = j + 1$ 
25.      $k = k + 1$ 

```

#### 2.3-4

We can express insertion sort as a recursive procedure as follows. In order to sort  $A[1 \dots n]$ , we recursively sort  $A[1 \dots n-1]$  and then insert  $A[n]$  into the sorted array  $A[1 \dots n-1]$ .

Solution:

*Insertion-Sort*( $A, n$ )

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1.  If  $n \leq 1$ 
2.      return
3.  else Insertion-Sort( $A, n - 1$ )
4.   $last = A[n]$ 
5.   $j = n - 1$ 
6.  while  $j > 0$  and  $A[j] \geq last$ 
7.       $A[j+1] = A[j]$ 
8.       $j = j - 1$ 
9.   $A[j+1] = last$ 

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#### 2-1 Insertion sort on small arrays in merge sort

1). The Show that insertion sort can sort the  $n/k$  sublists, each of length  $k$ , in  $\theta(nk)$  worst-case time.

Solution:

Insertion sort can sort each length  $k$  part in  $\theta(k^2)$  worst-time, so for total  $n/k$  parts, the time will be  $\theta(n/k * (k^2)) = \theta(nk)$ .

2). Show how to merge the sub-lists in  $\theta(n \lg(n/k))$  worst-case time.

Solution:

Since now we have totally  $n/k$  sub-lists to merge, which means our recursion tree's height is  $\lg(n/k)$ .

And for each level we still got  $n$  items to merge, which will take  $n$  times of comparison in the worst case.

so the total time is  $\theta(n \lg(n/k))$  in this case.

3). Given that the modified algorithm runs in  $\theta(nk + n \lg(n/k))$  worst-case time, what is the largest value of  $k$  as a function of  $n$  for which the modified algorithm has the same running time as standard merge sort, in terms of  $\theta$ -notation?

Solution:

Viewing  $k$  as a function of  $n$ , as long as  $k(n) \in O(\lg(n))$ , it has the same asymptotic. In particular, for any constant choice

of  $k$ , the asymptotic are the same.

4). How should we choose  $k$  in practice?

Solution:

In particular, a constant choice of  $k$  is optimal. In practice we could find the best choice of this  $k$  by just trying and timing for various values for sufficiently large  $n$ .

3.1-3

Explain why the statement, "The running time of algorithm A is at least  $O(n^2)$ " is meaningless.

Solution:

It is true because big O notation is just an upper bound, and there are lots of functions that have growth rate less than  $n^2$ . We could say that a linear or constant has big  $O(n^2)$ , but it is of no use for us to evaluate our functions.

3.1-4

Is  $2^{n+1} = O(2^n)$ ? Is  $2^{2n} = O(2^n)$ ?

Solution:

For  $2^{n+1}$ , it equals to  $2 * 2^n$  for all  $n$  that is greater than 0. So it is  $O(2^n)$ .

While for  $2^{2n}$ , if it were, there will exist a  $n_0$  that for all  $n > n_0$ ,  $2^{2n} < c * 2^n$ , for which  $c$  is a constant.

We do some calculation and will find that  $2^n < c$ , which is impossible, so  $2^{2n}$  is not  $O(2^n)$ .

3.1-6

Prove that the running time of an algorithm is  $\Theta(g(n))$  if and only if its worst-case running time is  $O(g(n))$  and its best-case running time is  $\Omega(g(n))$ .

Solution:

By Theorem 3.1, if the running time is  $\Theta(g(n))$ , the running time is  $O(g(n))$ , which implies that for any input of size  $n \geq n_0$  the running time is bounded above by  $c_1 * g(n)$  for some  $c_1$ . This includes the running time on the worst-case input.

Theorem 3.1 also implies the running time is  $\Omega(g(n))$ , which implies that for any input of size  $n \geq n_0$  the running time is bounded below by  $c_2 * g(n)$  for some  $c_2$ . This includes the running time of the best-case input.

On the other hand, the running time of any input is bounded above by the worst-case running time and bounded below by the best-case running time. If the worst-case and best-case running times are  $O(g(n))$  and  $\Omega(g(n))$  respectively, then the running time of any input of size  $n$  must be  $O(g(n))$  and  $\Omega(g(n))$ . Theorem 3.1 implies that the running time is  $\Theta(g(n))$ .