#### https://arxiv.org/pdf/1903.12174

#### Preview:

- a. Today's top performing object detectors rely on sliding window prediction to generate initial candidate regions, a refinement stage is applied to these candidate regions to obtain more accurate predictions, as pioneered by Faster R-CNN [34] and Mask R-CNN [17] for bounding-box object detection and instance segmentation.
- b. bounding-box object detectors which **eschew the refinement step** and focus on direct sliding-window prediction, as exemplified by SSD [27] and RetinaNet [23], have witnessed a resurgence and shown promising results.
- the field has not witnessed equivalent progress in dense sliding-window instance segmentation; there are no direct, dense approaches analogous to SSD / RetinaNet for mask prediction.
- d. The goal of this work is to bridge this gap and provide a foundation for exploring dense instance segmentation.

https://towardsdatascience.com/review-deepmask-instance-segmentation-30327a072339

Instance segmentation其实是semantic segmentation和object detection殊途同归的一个结合点,是个挺重要的研究问题. 我非常期待后面能同时结合semantic segmentation和object detection两者优势的instance segmentation算法和网络结构.

### TensorMask



 Treat dense instance segmentation as a prediction task over 4D tensors and present a general framework called TensorMask that explicitly captures this geometry and enables novel operators on 4D tensors.

TensorMask 通用框架,可以明确的捕捉这种几何结构,并使得在4D Tensor上进行操作成为可能。(挖了个坑)

### 2. Tensor Representations for Masks

The central idea of the TensorMask framework is to use structured high-dimensional tensors to represent image content (e.g., masks) in a set of densely sliding windows.

例如,如果在特征图 W×H 上有一个 V ×U大小的滑动窗口。那么我们可以使用一个形状为 (C, H, W) 的张量表示所有滑动窗口上的所有 Mask,且每一个 Mask 可以通过 C=V·U个像素参数化,这就是 DeepMask 中采用的表征。

实际上,这种表征的潜在观点即使用更高维张量--4D 的 (V, U, H, W)。其中子张量 (V, U) 将一个二维空间实体表示为Mask。

### <1> Unit of length:

The unit of an axis defines the length of one pixel along it. Different axes can have different units.

一个轴的单位定义了对应单个像素的长度,不同的轴有不同的单位。例如,H 和 W 轴的单位表示为 $\sigma_HW$ ,它定义为有关输入图像的步辐。

#### <2> Natural Representation:

定义单位后, 我们就可以描述 (V, U, H, W)

张量的表征意义。在最简单的定义中,它表示 (H, W) 上的滑动窗口,这可以称为自然表征。

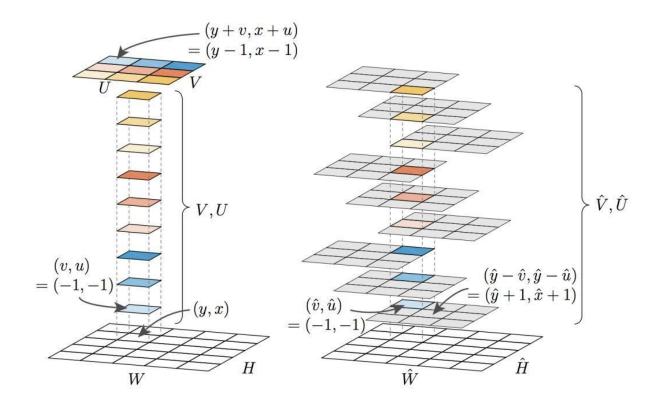
its value at coordinates (v, u, y, x) represents the mask value at (y +  $\alpha$ v, x +  $\alpha$ u) in the  $\alpha$ V × $\alpha$ U window centered at (y, x).

## <3> Aligned Representation: (对齐表征)

For a 4D tensor (V,  $^{^{\circ}}$ U,  $^{^{\circ}}$ H,  $^{^{\circ}}$ W $^{^{\circ}}$ ), its value at coordinates ( $^{^{\circ}}$ v, u,  $^{^{\circ}}$ y,  $^{^{\circ}}$ x $^{^{\circ}}$ ) represents the mask value at ( $^{^{\circ}}$ y,  $^{^{\circ}}$ x $^{^{\circ}}$ ) in the  $\alpha^{^{\circ}}$ V $^{^{\circ}}$ x $^{^{\circ}}$ u window centered at ( $^{^{\circ}}$ y -  $\alpha^{^{\circ}}$ v,  $^{^{\circ}}$ x $^{^{\circ}}$  -  $\alpha^{^{\circ}}$ u).

### 下图展示了这两种表征:

左图为自然表征,其中(V, U) 子张量表示以该像素为中心的窗口。右图为对齐表征,(V hat, U hat) 子张量表示该像素在各窗口的值。



# <4> Coordinate Transformation:(坐标转换)

引入了这种方法以在自然表征和为对齐表征之间做转换, 这会给设计新架构带来额外的灵活性。

For simplicity, we assume units in both representations are the same: i.e.,  $\sigma_{HW} = \hat{\sigma}_{HW}$  and  $\sigma_{VU} = \hat{\sigma}_{VU}$ , and thus  $\alpha = \hat{\alpha}$  (for the more general case see §A.1). Comparing the definitions of natural vs. aligned representations, we have the following two relations for x, u:  $x + \alpha u = \hat{x}$  and  $x = \hat{x} - \hat{\alpha}\hat{u}$ . With  $\alpha = \hat{\alpha}$ , solving this equation for  $\hat{x}$  and  $\hat{u}$  gives:  $\hat{x} = x + \alpha u$  and  $\hat{u} = u$ . A similar results hold for y, v. So the transformation from the aligned representation  $(\hat{\mathcal{F}})$  to the natural representation  $(\mathcal{F})$  is:

$$F(v, u, y, x) = \hat{F}(v, u, y + \alpha v, x + \alpha u).$$
 (1)

We call this transform align2nat. Likewise, solving this set of two relations for x and u gives the reverse transform of nat2align:  $\hat{\mathcal{F}}(\hat{v},\hat{u},\hat{y},\hat{x}) = \mathcal{F}(\hat{v},\hat{u},\hat{y}-\alpha\hat{v},\hat{x}-\alpha\hat{u})$ . While all the models presented in this work only use align2nat, we present both cases for completeness.

### <5> Upscaling Transformation:(放大转换)

对齐表征允许使用粗粒度的子张量 (V hat, U hat)创建细粒度的子张量 (V, U)。

The aligned representation enables the use of a *coarse*  $(\hat{V}, \hat{U})$  sub-tensors to create finer (V, U) sub-tensors, which proves quite useful. Fig. 4 illustrates this transformation, which we call up\_align2nat and describe next.

The up\_align2nat op accepts a  $(\hat{V},\hat{U},\hat{H},\hat{W})$  tensor as input. The  $(\hat{V},\hat{U})$  sub-tensor is  $\lambda\times$  coarser than the desired output (so its unit is  $\lambda\times$  bigger). It performs bilinear upsampling, up\_bilinear, in the  $(\hat{V},\hat{U})$  domain by  $\lambda$ , reducing the underlying unit by  $\lambda\times$ . Next, the align2nat op converts the output into the natural representation. The full up\_align2nat op is shown in Fig. 4.

As our experiments demonstrate, the up\_align2nat op is effective for generating high-resolution masks without inflating channel counts in preceding feature maps. This in turn enables novel architectures, as described next.

### <6> Bipyramid:

在目标框检测中,使用特征金字塔非常常见。为此在 Mask 张量中,我们不再使用 V ×U 个单元表示不同尺度的Mask,我们提出了这种基于尺度来调整 Mask 像素数量的方法。

**Tensor bipyramid:** A tensor bipyramid is a list of tensors of shapes:  $(2^kV, 2^kU, \frac{1}{2^k}H, \frac{1}{2^k}W)$ , for  $k=0,1,2,\ldots$ , with units  $\sigma_{VU}^{k+1} = \sigma_{VU}^k$  and  $\sigma_{HW}^{k+1} = 2\sigma_{HW}^k$ ,  $\forall k$ .

Because the units  $\sigma_{VU}^k$  are the same across all levels, a  $2^kV\times 2^kU$  mask has  $4^k\times$  more pixels in the input image. In the (H,W) domain, because the units  $\sigma_{HW}^k$  increase with k, the number of predicted masks decreases for larger masks, as desired. Note that the total size of each level is the same (it is  $V\cdot U\cdot H\cdot W$ ). A tensor bipyramid can be constructed using the swap\_align2nat operation, described next.

This swap\_align2nat op is composed of two steps: first, an input tensor with fine  $(\hat{H}, \hat{W})$  and coarse  $(\hat{V}, \hat{U})$  is upscaled to  $(2^kV, 2^kU, H, W)$  using up\_align2nat. Then (H, W) is subsampled to obtain the final shape. The combination of up\_align2nat and subsample, shown in Fig. 5, is called swap\_align2nat: the units before and after this op are swapped. For efficiency, it is not necessary to compute the intermediate tensor of shape  $(2^kV, 2^kU, H, W)$  from up\_align2nat, which would be prohibitive. This is because only a small subset of values in this intermediate tensor appear in the final output after subsampling. So although Fig. 5 shows the conceptual computation, in practice we implement swap\_align2nat as a single op that only performs the necessary computation and has complexity  $O(V \cdot U \cdot H \cdot W)$  regardless of k.

### 3. TensorMask:

这些模型有一个预测 Mask 的 Head,它在滑动窗口中生成 Mask;同时也有一个进行分类的Head,它可以预测目标类别。它们类似于滑动窗口目标检测器中的边界框回归和分类分支。边界框预测对于 TensorMask模型并不是必要的,但可以便捷地包含进来。

图 (C, H, W)。图 6: 基线 Mask 预测 Head,这四种 Head 都从通道为 C 的特征图开始。图 7: 使用基线 Head 的特征金字塔,与 Tensor Bipyramid 的对比。图 8: 使用 Tensor Bipyramid 将 FPN 特征图从\_转换到(C, H, W)。

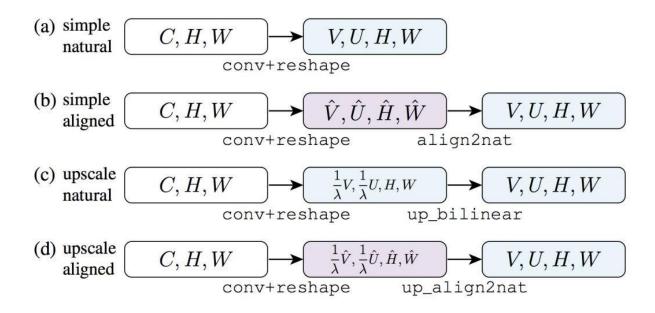


图 6: 基线 Mask 预测 Head, 这四种 Head 都从通道为 C 的特征图开始。

Figure 6. Baseline mask prediction heads: Each of the four heads shown starts from a feature map (e.g., from a level of an FPN [22]) with an arbitrary channel number C. Then a  $1 \times 1$  conv layer projects the features into an appropriate number of channels, which form the specified 4D tensor by reshape. The output units of these four heads are the same, and  $\sigma_{VU} = \sigma_{HW}$ .

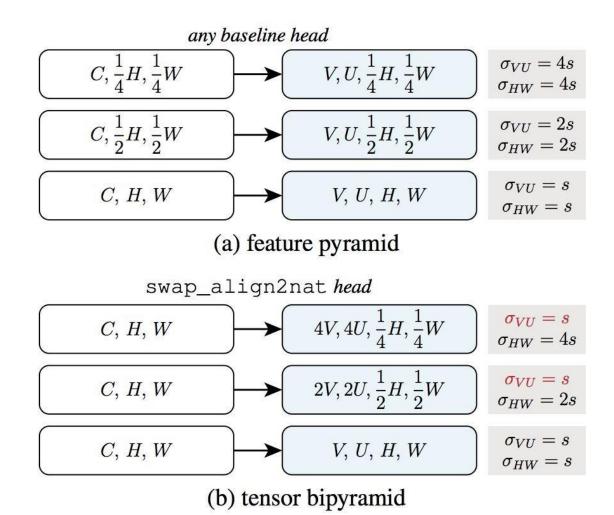


图 7: 使用基线 Head 的特征金字塔,与 Tensor Bipyramid 的对比。

Figure 7. Conceptual comparison between: (a) a **feature pyramid** with any one of the baseline heads (Fig. 6) attached, and (b) a **tensor bipyramid** that uses  $swap_align2nat$  (Fig. 5). A baseline head on the feature pyramid has  $\sigma_{VU} = \sigma_{HW}$  for each level, which implies that masks for large objects and small objects are predicted using the same number of pixels. On the other hand, the  $swap_align2nat$  head can keep the mask resolution high (i.e.,  $\sigma_{VU}$  is the same across levels) despite the HW resolution changes.

Tensor bipyramid head. Unlike the baseline heads, the tensor bipyramid head (§3.6) accepts a feature map of fine resolution (H, W) at all levels. Fig. 8 shows a minor modification of FPN to obtain these maps. For each of the resulting levels, now all (C, H, W), we first use conv+reshape to produce the appropriate 4D tensor, then run a mask prediction head with swap\_align2nat, see Fig. 7b. The tensor bipyramid model is the most effective TensorMask variant explored in this work.

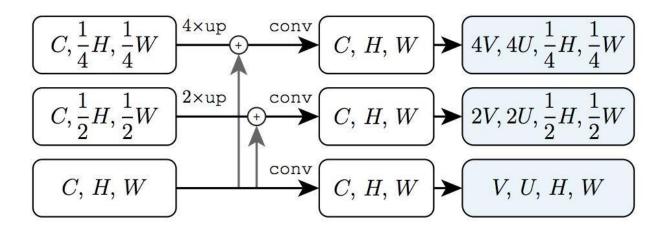


图 8: 使用 Tensor Bipyramid 将 FPN 特征图从\_\_

$$(C, \frac{1}{2^k}H, \frac{1}{2^k}W)$$

(C, H, W).

head	λ	AP	AP50	AP <sub>75</sub>	△ aligned - natural			
natural aligned	1.5	28.8 29.7	52.0 52.5	28.9 30.6	+0.9	+0.5	+1.7	
natural aligned	3	25.4 29.5	48.8 52.2	23.7 30.3	+4.1	+3.4	+6.6	
natural aligned	5	13.5 29.1	33.9 52.1	9.0 29.8	+15.6	+18.2	+20.8	

(a) Upscaling heads: natural vs. aligned heads (Fig. 6c vs. 6d). The  $V \times U = 15 \times 15$  output is upscaled by  $\lambda \times$ : conv+reshape uses  $\frac{1}{\lambda^2} VU$  output channels as input. The aligned representation has a large gain over its natural counterpart when  $\lambda$  is large.

head	AP	AP <sub>50</sub>	AP <sub>75</sub>	$AP_S$	$AP_M$	$AP_L$
feature pyramid, best	29.7	52.5	30.6	15.1	32.2	40.7
tensor bipyramid						47.7
Δ	+4.1	+2.3	+5.2	+1.0	+4.1	+7.0

<sup>(</sup>c) The tensor bipyramid substantially improves results compared to the best baseline head (Tab. 2a, row 2) on a feature pyramid (Fig. 7a).

head	λ	AP	$AP_{50}$	AP75	$\Delta$ bilinear - nearest			
nearest bilinear	1.5	29.4 29.7	52.4 52.5	30.1 30.6	+0.3	+0.1	+0.5	
nearest bilinear	3	28.5 29.5	51.3 52.2	28.8 30.3	+1.0	+0.9	+1.5	
nearest bilinear	5	25.9 29.1	47.8 52.1	25.6 29.8	+3.2	+4.3	+4.2	

(b) Upscaling: bilinear vs. nearest-neighbor interpolation for the aligned head (Fig. 6d). The output has  $V \times U = 15 \times 15$ . With nearest-neighbor interpolation, the aligned upscaling head is similar to the InstanceFCN [7] head. Bilinear interpolation shows a large gain when  $\lambda$  is large.

$V \times U$						
15×15 15×15, 11×11	33.8	54.8	35.8	16.1	36.3	47.7
15×15, 11×11	35.4	56.5	37.5	16.4	37.9	50.0
Δ	+1.6	+1.7	+1.7	+0.3	+1.6	+2.3

(d) Window sizes: extending from one  $V \times U$  window size (per level) to two increases all AP metrics. Both rows use the tensor bipyramid.

Table 2. Ablations on TensorMask representations on COCO val2017. All variants use ResNet-50-FPN and a 72 epoch schedule.

### 5.1. TensorMask Representations

First we explore various tensor representations for masks using V=U=15 and a ResNet-50-FPN backbone. We report quantitative results in Tab. 2 and show qualitative comparisons in Figs. 2 and 9.

Simple heads. Tab. 1 compares natural vs. aligned representations with simple heads (Fig. 6a vs. 6b). Both representations perform similarly, with a marginal gap of 0.2 AP. The simple natural head can be thought of as a class-specific variant of DeepMask [31] with an FPN backbone [22] and focal loss [23]. As we aim to use lower-resolution intermediate representations, we explore upscaling heads next.

Upscaling heads. Tab. 2a compares natural vs. aligned representations with upscaling heads (Fig. 6c vs. 6d). The output size is fixed at  $V \times U = 15 \times 15$ . Given an upscaling factor  $\lambda$ , the conv in Fig. 6 has  $\frac{1}{\lambda^2}VU$  channels, e.g., 9 channels with  $\lambda = 5$  (vs. 225 channels if no upscaling). The difference in accuracy is big for large  $\lambda$ : the aligned variant improves AP +15.6 over the natural head (115% relative) when  $\lambda = 5$ .

The visual difference is clear in Fig. 9a (natural) vs. 9c (aligned). The upscale aligned head still produces sharp masks with large  $\lambda$ . This is critical for the tensor bipyramid, where we have an output of  $2^kV \times 2^kU$ , which is achieved with a large upscaling factor of  $\lambda=2^k$  (e.g., 32); see Fig. 5.

**Interpolation.** The tensor view reveals the  $(\hat{V}, \hat{U})$  subtensor as a 2D spatial entity that can be manipulated. Tab. 2b compares the upscale aligned head with bilinear (default) vs. nearest-neighbor interpolation on  $(\hat{V}, \hat{U})$ . We refer to this latter variant as unaligned since quantization breaks pixel-to-pixel alignment. The unaligned variant is related to InstanceFCN [7] (see §A.2).

We observe in Tab. 2b that bilinear interpolation yields solid improvements over nearest-neighbor interpolation, especially if  $\lambda$  is large ( $\Delta$ AP=3.2). These interpolation methods lead to striking visual differences when objects overlap: see Fig. 9b (unaligned) vs. 9c (aligned).

Tensor bipyramid. Replacing the best feature pyramid model with a tensor bipyramid yields a large 4.1 AP improvement (Tab. 2c). Here, the mask size is  $V \times U = 15 \times 15$  on level k = 0, and is  $32V \times 32U = 480 \times 480$  for k = 5; see Fig. 7b. The higher resolution masks predicted for large objects (e.g., at k = 5) have clear benefit: AP<sub>L</sub> jumps by

7.0 points. This improvement does *not* come at the cost of denser windows as the k=5 output is at  $(\frac{H}{32}, \frac{W}{32})$  resolution.

Again, we note that it is intractable to have, e.g., a 480<sup>2</sup>-channel conv. The upscaling aligned head with bilinear interpolation is key to making tensor bipyramid possible.

Multiple window sizes. Thus far we have used a single window size (per-level) for all models, that is,  $V \times U = 15 \times 15$ . Analogous to the concept of *anchors* in RPN [34] that are also used in current detectors [33, 27, 23], we extend our method to multiple window sizes. We set  $V \times U \in \{15 \times 15, 11 \times 11\}$ , leading to two heads per level. Tab. 2d shows the benefit of having two window sizes: it increases AP by 1.6 points. More window sizes and aspect ratios are possible, suggesting room for improvement.

表 3 总结了测试-开发集上的最好 TensorMask 模型,并与当前 COCO 实例分割的主流模型 Mask RCNN 进行了对比。

method	backbone	aug	epochs	AP	$AP_{50}$	$AP_{75}$	$AP_S$	$AP_M$	$\mathrm{AP}_L$
Mask R-CNN [13]	R-50-FPN		24	34.9	57.2	36.9	15.4	36.6	50.8
Mask R-CNN, ours	R-50-FPN		24	34.9	56.8	36.8	15.1	36.7	50.6
Mask R-CNN, ours	R-50-FPN	✓	72	36.8	59.2	39.3	17.1	38.7	52.1
TensorMask	R-50-FPN	✓	72	35.5	57.3	37.4	16.6	37.0	49.1
Mask R-CNN, ours	R-101-FPN	<b>√</b>	72	38.3	61.2	40.8	18.2	40.6	54.1
TensorMask	R-101-FPN	1	72	37.3	59.5	39.5	17.5	39.3	51.6



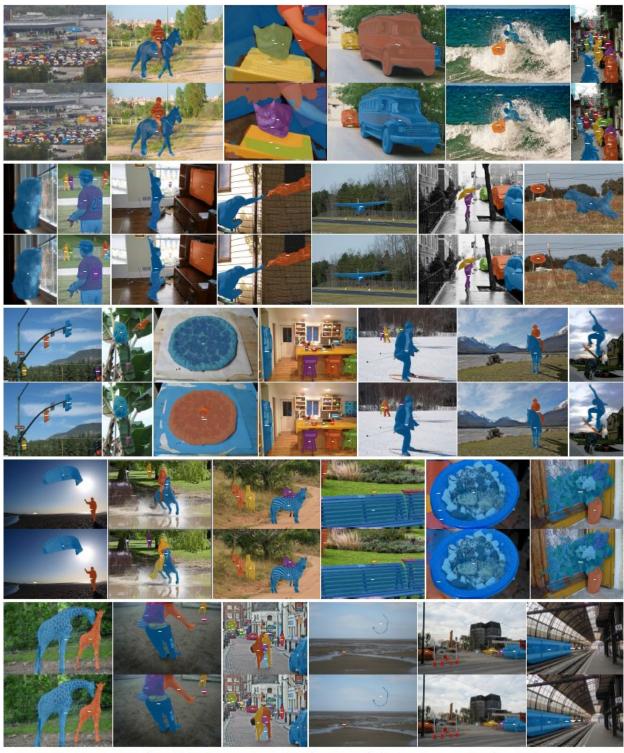


Figure 10. More results of Mask R-CNN [17] (top row per set) and TensorMask (bottom row per set) on the last 65 val2017 images (continued in Fig. 11). These models use a ResNet-101-FPN backbone and obtain 38.3 and 37.3 AP, on test-dev, respectively. Visually, TensorMask gives sharper masks compared to Mask R-CNN although its AP is 1 point lower. Best viewed in a digital format with zoom.