# 2.1 Merge Algorithm

## (a) Number of passes

Given  $N=12,000,000\ pages$  and  $B=60\ buffers$ , we can calculate the number of runs as follows:

$$k=\#\ of\ runs=1+\lceil\log_{B-1}(rac{N}{B})
ceil=1+\lceil\log_{59}(rac{12,000,000}{60})
ceil=4$$

### (b) Number of sorted runs

For first run, i.e. i=0, we need to read N pages to generate  $r_0=\lceil \frac{N}{B} \rceil$  sorted runs.

For the remaining passes, where i=1,2,3,...,k-1, we need to read  $r_{i-1}$  runs to generate  $r_i=\lceil \frac{1}{B-1} \times r_{i-1} \rceil$  sorted runs.

Therefore, the total number of sorted runs is:

$$\#\ of\ sorted\ runs = r_0 + r_1 + r_2 = 200,000 + 3,390 + 58 = \boxed{203,448}$$

## (c) Number of pages after Pass #2

Each initial run has B=60 pages. After each run, the number of pages is multiplied by  $B-1=59\,$ 

After Pass #1, each run is sorted and has  $59\times60=3,540$  pages. Same, after Pass #2, each run has  $59\times3,540=\boxed{208,860}$  pages.

## (d) Total I/Os

Given  $N=12,000,000\ pages$  and  $B=120\ buffers$ , we can calculate the total I/Os as follows:

$$k' = \#\ of\ passes = 1 + \lceil log_{B-1}(rac{N}{B}) 
ceil = 1 + \lceil log_{119}(rac{12,000,000}{120}) 
ceil = 4 \ Total\ I/Os = 2N imes \#\ of\ passes = 2N imes k' = 2 imes 12,000,000 imes 4 = 96,000,000$$

### (e) Smallest buffer size for 3 passes

Set buffer size b for 3 passes, given  $N=12,000,000\;pages$ , we have:

$$egin{aligned} 3 &= 1 + \lceil \log_{b-1}(rac{N}{b}) 
ceil \ 2 &= \lceil \log_{b-1}(rac{N}{b}) 
ceil \ 1 &< \log_{b-1}(rac{N}{b}) \leq 2 \ b-1 &\leq rac{N}{b} < (b-1)^2 \ b &\geq 230 \end{aligned}$$

Therefore, the smallest buffer size for 3 passes is  $\boxed{230}$ 

## (f) Maximum number of pages under 4 passes

Set number of pages p under 4 passes, so N=p pages, given B=25 buffers, we have:

$$\begin{aligned} 4 &= 1 + \lceil \log_{B-1}(\frac{p}{B}) \rceil \\ 3 &= \lceil \log_{B-1}(\frac{p}{B}) \rceil \\ 2 &< \log_{B-1}(\frac{p}{B}) \leq 3 \\ (B-1)^2 &\leq \frac{p}{B} < (B-1)^3 \\ 14,400 &< p \leq 345,600 \\ p &\leq 345,600 \end{aligned}$$

Therefore, the maximum number of pages under 4 passes is  $\boxed{345,600}$ 

# 2.2 Join Algorithm

### (a) I/O cost for simple nested loop join

Denote total tuples in A and B as  $m=M\times 50=3,000\times 50=150,000$  and  $n=N\times 100=800\times 100=80,000$ , we have:

$${
m I/O~cost} = M + (m imes N) = 3,000 + (150,000 imes 800) = \boxed{120,003,000}$$

### (b) I/O cost for block nested loop join

$$\mathrm{I/O~cost} = O + (\lceil rac{O}{F-2} 
ceil imes N) = 2,000 + (\lceil rac{2,000}{498} 
ceil imes 800) = \boxed{6,000}$$

# (c) I/O costs for sort-merge join

For sorting table B, we have:

$$\# ext{ of passes} = 1 + \lceil \log_{F-1}(rac{N}{F}) 
ceil = 1 + \lceil \log_{499}(rac{800}{500}) 
ceil = 2$$
 I/O cost  $= 2 imes N imes \# ext{ of passes} = 2 imes 800 imes 2 = 3,200$ 

In the worst cases at merge phase, the attribute are same in two sorted runs, we need to compare all tuples in two runs. We have:

$$ext{I/O cost} = N + \lceil rac{N}{F-2} 
ceil imes O = 800 + \lceil rac{800}{498} 
ceil imes 2,000 = \boxed{4,800}$$

However, according to Tutorial 9: the I/O costs should be  $= N \times O = 800 \times 2,000 = \boxed{1,600,000}$ 

## (d) I/O costs for merge sorted runs

In the final merge phase, since there is no duplicate tuples, we only need to compare all tuples in two sorted runs in one pass. We have:

$$I/O \cos t = P + O = 600 + 2,000 = 2,600$$

#### (e) I/O costs for hash join

Since there is no duplicate tuples, we only need to compare all tuples in two sorted runs in one pass. We have:

I/O cost for partition phase = 
$$2 \times (N+O) = 2 \times (800+2,000) = \boxed{5,600}$$
  
I/O cost for probe phase =  $N+O=800+2,000=\boxed{2,800}$