

# Research Notes

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# Contents

<b>1 Preliminary Problems</b>	<b>2</b>
1.1 Incompressible, No Gravity . . . . .	2
1.1.1 Dirichlet BCs . . . . .	3
1.1.2 Sommerfield/Radiative + Driving BCs . . . . .	3
1.2 Incompressible, Stratified w/ Gravity . . . . .	4
1.2.1 Eigenfunctions . . . . .	4
1.2.2 Solving an IVP . . . . .	6
1.3 (Algebra) The Anelastic/Boussinesq Approximations . . . . .	6
1.3.1 Developing the Anelastic/Boussinesq Approximations . . . . .	6
1.3.2 Anelastic Solution to Stratified Atmosphere . . . . .	7
<b>2 2D Wave Breaking in Atmospheres</b>	<b>8</b>
2.1 Dynamical Setup . . . . .	8
2.2 Boundary Conditions . . . . .	8
2.3 Simulation . . . . .	8

# Chapter 1

## Preliminary Problems

To get an intuition for how Dedalus and fluid mechanics works, we will solve some toy problems. Recall fluid equations in the presence of a uniform gravitational field  $\vec{g} = -g\hat{z}$ :

$$\begin{aligned}\frac{d\rho}{dt} + \vec{\nabla} \cdot \vec{u} &= 0, \\ \frac{d\vec{u}}{dt} + \frac{\vec{\nabla}P}{\rho} - \vec{g} &= 0.\end{aligned}\tag{1.1}$$

In the incompressible limit,  $\frac{d\rho}{dt} = 0$ , which implies  $\vec{\nabla} \cdot \vec{u} = 0$ . We use subscripts to indicate perturbed quantities,  $Q_0$  is background and  $Q_1$  is perturbed. We will generally use  $\vec{u}_0 = 0$  unless otherwise noted. We will also generally assume symmetry along all axes except  $z$  the vertical axis.

In the incompressible limit, the fluid equations become

$$\begin{aligned}\vec{\nabla} \cdot \vec{u}_1 &= 0, \\ \frac{\partial \rho_1}{\partial t} + u_{1z} \frac{\partial \rho_0}{\partial z} &= 0, \\ \frac{\partial \vec{u}_1}{\partial t} + \frac{1}{\rho_0} \vec{\nabla} P_1 + \frac{\rho_1 g \hat{z}}{\rho_0} &= 0.\end{aligned}\tag{1.2}$$

We have used  $\vec{\nabla} P_0 = -\rho_0 g \hat{z}$  in the absence of perturbations.

### 1.1 Incompressible, No Gravity

We note that in the no gravity limit that  $\rho_1$  does not have an effect on other dynamical variables, so the equations of motion we must solve are

$$\begin{aligned}\vec{\nabla} \cdot \vec{u}_1 &= 0, \\ \frac{\partial \vec{u}_1}{\partial t} + \frac{\vec{\nabla} P_1}{\rho_0} &= 0.\end{aligned}\tag{1.3}$$

We can take the divergence of the momentum equation and substitute the continuity equation to get  $\nabla^2 P = 0$ .

### 1.1.1 Dirichlet BCs

This is a Laplace equation, which we've solved countless times. Imposing periodic boundary conditions in the  $x$  direction and  $P_1(z=L)=0, P_1(z=0)=\mathcal{P}(x,t)$ , we obtain eigenfunctions

$$\begin{aligned} P_{1,n}(x,z,t) &= \frac{\mathcal{P}_n(t)}{\sinh(k_n L)} e^{ik_n x} \sinh(k_n(L-z)), \\ u_{1x,n}(x,z,t) &= \int_0^t -\frac{1}{\rho_0} \frac{\partial P_{1,n}}{\partial x} dt, \\ u_{1z,n}(x,z,t) &= \int_0^t -\frac{1}{\rho_0} \frac{\partial P_{1,n}}{\partial z} dt. \end{aligned} \tag{1.4}$$

We define  $k_n = \frac{2\pi n}{L}, n \geq 0$  and  $\mathcal{P}(x,t) = \sum_n \mathcal{P}_n(t) e^{ik_n x}$ .

Thus, if we impose BCs  $\mathcal{P}(x,t) = \sin \frac{2\pi x}{L}$  and start with initial conditions such that all quantities are zero, we would expect after transients die out that

$$\begin{aligned} P(x,z,t) &= \frac{\sin \frac{2\pi x}{L}}{\sinh 2\pi} \sinh\left(2\pi \frac{L-z}{L}\right), \\ u_{1x}(x,z,t) &= -\frac{2\pi t}{L\rho_0} \frac{\cos \frac{2\pi x}{L}}{\sinh 2\pi} \sinh\left(2\pi \frac{L-z}{L}\right), \\ u_{1z}(x,z,t) &= +\frac{2\pi t}{L\rho_0} \frac{\sin \frac{2\pi z}{L}}{\sinh 2\pi} \cosh\left(2\pi \frac{L-z}{L}\right). \end{aligned} \tag{1.5}$$

This is in good agreement with the results, presented in Fig. 1.1. Note that  $P$  is constant while  $\vec{u}$  increases linearly in time, and we observe the expected  $\sim \sin x \sinh \frac{L-z}{z}$  dependence. In fact,  $u_{1x}, u_{1z}$  are exactly  $\frac{2\pi}{10}$  at  $t = 1$ .

It is worth noting that, since our Eq. 1.3 reduced to a Laplace equation, we needed two  $z$  BCs and two  $x$  BCs (periodic BCs amount to equating the value and derivative of the function). This is in agreement with the observation that the original Eq. 1.3 had two derivatives in  $x, z$  apiece, so we needed two BCs each.

### 1.1.2 Sommerfield/Radiative + Driving BCs

This is the more interesting case. Let's go back to the Laplace equation  $\nabla^2 P = 0$  but instead implement a driving term at  $z = 0$  and radiative BCs at  $z = L$ . This

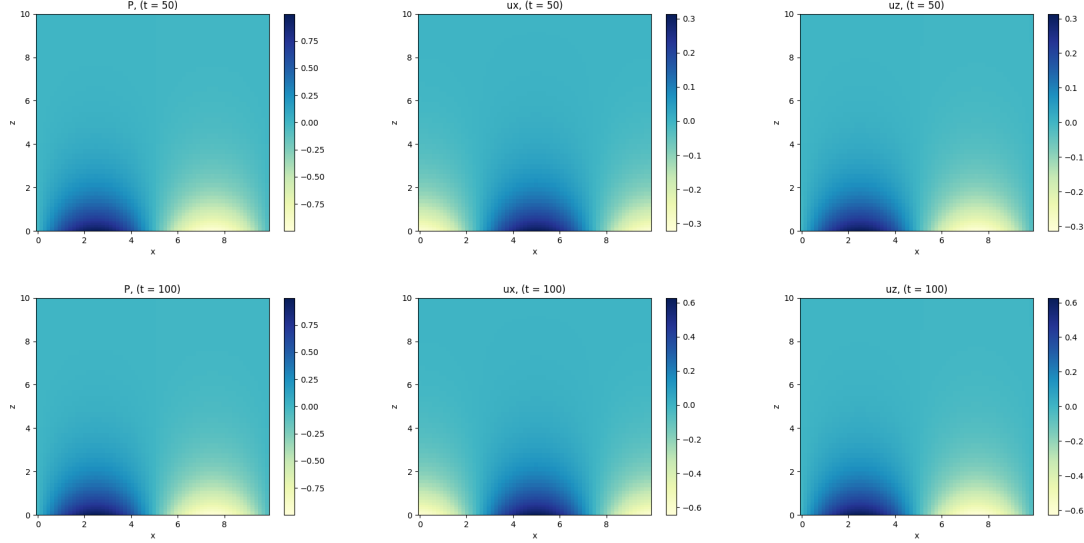


Figure 1.1:  $P, u_x, u_z$  at  $t = 0.5$  and  $t = 1$  for  $\rho_0 = 1$ . We choose a  $L = 10$  square domain.

## 1.2 Incompressible, Stratified w/ Gravity

### 1.2.1 Eigenfunctions

Let's restore the  $\rho_1 g$  term now. For funsies, we begin by solving for arbitrary stratification  $\rho_0(z)$  first. The fluid equations to first order reduce to

$$\begin{aligned} \frac{\partial \rho_1}{\partial t} + (\vec{u}_1 \cdot \vec{\nabla}) \rho_0 &= 0, \\ \vec{\nabla} \cdot \vec{u}_1 &= 0, \\ \frac{\partial \vec{u}_1}{\partial t} &= -\frac{\vec{\nabla} P_1}{\rho_0} - \frac{\rho_1 g}{\rho_0} \end{aligned} \tag{1.6}$$

We expect there to be some  $z$  dependence in the amplitude, so we substitute variables of form  $e^{i(kx - \omega t)}$  and do not specify the  $z$  dependence. This gives us

$$\begin{aligned} -i\omega \rho_1 - u_{1z} \frac{\partial \rho_0}{\partial z} &= 0, \\ iku_{1x} + \frac{\partial u_{1z}}{\partial z} &= 0, \\ -i\omega u_{1x} + \frac{ik_x P_1}{\rho_0} &= 0, \\ -i\omega u_{1z} + \frac{1}{\rho_0} \frac{\partial P_1}{\partial z} + \frac{\rho_1 g}{\rho_0} &= 0. \end{aligned} \tag{1.7}$$

We substitute  $N^2 = -\frac{g}{\rho_0} \frac{\partial \rho_0}{\partial z}$  to obtain

$$-i\omega\rho_1 - u_{1z} \frac{\rho_0 N^2}{g} = 0, \quad (1.8a)$$

$$iku_{1x} + \frac{\partial u_{1z}}{\partial z} = 0, \quad (1.8b)$$

$$-i\omega u_{1x} + \frac{ik_x P_1}{\rho_0} = 0, \quad (1.8c)$$

$$-i\omega u_{1z} + \frac{1}{\rho_0} \frac{\partial P_1}{\partial z} + \frac{\rho_1 g}{\rho_0} = 0. \quad (1.8d)$$

Eliminating  $u_{1x}$  by substituting (1.8b) into (1.8c) and  $\rho_1$  by substituting (1.8a) into (1.8d) give

$$i\omega \frac{\partial u_{1z}}{\partial z} + \frac{k_x^2 P_1}{\rho_0} = 0, \quad (1.9a)$$

$$(\omega^2 - N^2)u_{1z} + \frac{i\omega}{\rho_0} \frac{\partial P_1}{\partial z} = 0. \quad (1.9b)$$

Finally, we multiply (1.9a) with  $\rho_0$  and differentiate  $dz$  and combine with (1.9b) to give

$$\frac{d^2 u_{1z}}{dz^2} + \frac{1}{\rho_0} \frac{\partial \rho_0}{\partial z} \frac{\partial u_{1z}}{\partial z} + k_x^2 \left( \frac{N^2}{\omega^2} - 1 \right) u_{1z} = 0. \quad (1.10)$$

Let's now pick stratification  $\rho \propto e^{-z/H}$  Eq. 1.10 clearly has exponential solutions  $e^{\kappa z}$  for

$$\kappa^2 - \frac{\kappa}{H} + k_x^2 \left( \frac{N^2}{\omega^2} - 1 \right) = 0. \quad (1.11)$$

We permit complex  $\kappa = \frac{1}{2H} + ik_z$ , and from the above clearly

$$\begin{aligned} k_z^2 &= -\frac{1}{4H^2} + k_x^2 \left( \frac{N^2}{\omega^2} - 1 \right), \\ \omega^2 &= \frac{N^2 k_x^2}{k_x^2 + k_z^2 + \frac{1}{4H^2}}. \end{aligned} \quad (1.12)$$

Thus the eigenfunctions are

$$\begin{aligned} u_{1z} &= e^{z/2H} e^{i(k_z z + k_x x - \omega t)}, \\ u_{1x} &= -\frac{k_z + i/2H}{k_x} u_{1z}, \\ \rho_1 &= \frac{i\rho_0}{H\omega} u_{1z}, \\ P_1 &= -\frac{\rho_0 \omega}{k_x^2} (k_z + i/2H) u_{1z}. \end{aligned} \quad (1.13)$$

## 1.2.2 Solving an IVP

# 1.3 (Algebra) The Anelastic/Boussinesq Approximations

## 1.3.1 Developing the Anelastic/Boussinesq Approximations

Let's relax the incompressibility constraint (we will expand the continuity equation to first order, but the momentum equation will merit a separate treatment):

$$\begin{aligned}\frac{\partial \rho_1}{\partial t} + \vec{\nabla} \cdot (\rho_0 \vec{u}_1) &= 0, \\ \frac{\partial \vec{u}_1}{\partial t} &= -\frac{\vec{\nabla} P}{\rho} - \vec{g}.\end{aligned}\tag{1.14}$$

Suppose we are interested in phenomena with characteristic length scale  $L$  and time scale  $\tau$ . Let's first examine the relative magnitudes of the terms in the continuity equation

$$\frac{\rho_1}{\tau} + \frac{\rho_0 |u_1|}{L} = 0.$$

Thus, if we are interested in time scales  $\tau \gg \frac{\rho_1 L}{\rho_0 |u_1|}$  then we neglect the first term, the time derivative. This corresponds to making the perturbation incompressible; note that  $\frac{\partial \rho_1}{\partial t} \approx \frac{d\rho_1}{dt}$  to first order, so we drop the high frequency restoring forces in the perturbation.

For the momentum equation, we instead first manipulate to first order

$$\begin{aligned}-\frac{\vec{\nabla} P}{\rho} - \vec{g} &= -\frac{\vec{\nabla} P_0}{\rho} - \frac{\vec{\nabla} P_1}{\rho_0} - \vec{g}, \\ &= -\frac{\vec{\nabla} P_1}{\rho_0} + \left(\frac{\rho_0}{\rho} - 1\right) \vec{g}, \\ &= -\vec{\nabla} \left(\frac{P_1}{\rho_0}\right) - \frac{P_1}{\rho_0^2} \vec{\nabla} \rho_0 - \frac{\rho_1}{\rho_0} \vec{g}.\end{aligned}\tag{1.15}$$

We now have three equations for four variables,  $\vec{u}_1, \rho_1, P_1$ . We must introduce a fourth equation, a thermodynamic equation. For an adiabatic process  $P \rho^{-\gamma} \propto P^{1-\gamma} T^\gamma$  is constant. We thus introduce the concept of the *potential temperature*

$$\theta = T \left( \frac{P_0}{P} \right)^\kappa.\tag{1.16}$$

For an adiabatic process,  $\frac{d\theta}{dt} = 0$ . Motivated by this, we use

$$\begin{aligned}\frac{\partial 1}{\partial \rho_0} \frac{\partial \rho_0}{\partial z} &= \frac{1}{\gamma P_0} \frac{\partial P_0}{\partial z} - \frac{1}{\theta_0} \frac{\partial \theta_0}{\partial z}, \\ \frac{\rho_1}{\rho_0} &= \frac{1}{\gamma} \frac{P_1}{P_0} - \frac{\theta_1}{\theta_0},\end{aligned}\tag{1.17}$$

to give the momentum equation form

$$\frac{d\vec{u}_1}{dt} = -\vec{\nabla}\left(\frac{P_1}{\rho_0}\right) + \frac{P_1}{\rho_0}\left(\frac{1}{\theta_0}\vec{\nabla}\theta_0\right) + \vec{g}\frac{\theta_1}{\theta_0}. \quad (1.18)$$

We also recognize  $N^2 = \frac{g}{\theta_0} \frac{\partial\theta_0}{\partial z}$ . We now do the same trick where we consider dynamics on length scale  $D$  and compare the first and second terms in Eq. 1.18. Their ratio is  $\frac{N^2 D}{g}$ , and so as  $N^2 \ll \frac{g}{D}$  the freefall time we neglect the second term.

The anelastic fluid equations thus read

$$\begin{aligned} \vec{\nabla} \cdot (\rho_0 \vec{u}) &= 0, \\ \frac{\partial \vec{u}_1}{\partial t} + \vec{\nabla}\left(\frac{P_1}{\rho_0}\right) - \vec{g}\frac{\theta_1}{\theta_0} &= 0, \\ \frac{\partial \theta_1}{\partial t} + (\vec{u} \cdot \vec{\nabla})\theta_0 &= 0. \end{aligned} \quad (1.19)$$

The Boussinesq equations are obtained from these in the limit where  $H \gg D$  the relevant length scale, thus we allow  $\rho_0$  to be approximately constant.

### 1.3.2 Anelastic Solution to Stratified Atmosphere

We simply substitute  $e^{i(\vec{k} \cdot \vec{r} - \omega t)}$  into Eq. 1.19 with  $\rho_0 \propto e^{-z/H}$  and obtain

$$\begin{bmatrix} 0 & 0 & ik_x \rho_0 & ik_z \rho_0 - \frac{\rho_0}{H} \\ 0 & -i\omega & 0 & \frac{N^2 \theta_0}{g} \\ \frac{ik_x}{\rho_0} & 0 & -i\omega & 0 \\ \frac{ik_z}{\rho_0} + \frac{1}{\rho_0 H} & -\frac{g}{\theta_0} & 0 & -i\omega \end{bmatrix} \begin{bmatrix} P_1 \\ \theta_1 \\ u_{1x} \\ u_{1z} \end{bmatrix} = 0. \quad (1.20)$$

Taking the determinant of this matrix produces

$$\begin{aligned} -k_x^2(-N^2 + \omega^2) + \left(ik_z - \frac{1}{H}\right)\left(ik_z + \frac{1}{H}\right)\omega^2 &= 0, \\ \frac{N^2 k_x^2}{k_x^2 + k_z^2 + \frac{1}{4H^2}} &= \omega^2. \end{aligned} \quad (1.21)$$



## Chapter 2

# 2D Wave Breaking in Atmospheres

### 2.1 Dynamical Setup

TODO (fluid equations, driven on bottom, parameters)

### 2.2 Boundary Conditions

TODO (periodic in  $x$ , show that right number of BCs in  $z$ , discuss whether gauge choice).

### 2.3 Simulation

TODO (CFL conditions etc.)