

Figure 1: Definition of the angle  $I_{\text{out}}$ . It turns out that for  $I_0 > 90^\circ$ ,  $\Omega_{\text{eff},0}$  eventually aligns with  $-\langle \hat{\mathbf{L}} \rangle$ , hence the sign convention for  $I_{\text{out}}$ .

Throughout this writeup, we will only consider the N=0 harmonic of  $\Omega_{\rm eff}$ , such that

$$\left(\frac{\mathrm{d}\hat{\mathbf{S}}}{\mathrm{d}t}\right)_{\mathrm{rot}} = \langle -\dot{\Omega}\hat{\mathbf{z}} + \Omega_{\mathrm{SL}}\hat{\mathbf{L}}_{\mathrm{in}}\rangle \times \hat{\mathbf{S}},\tag{1}$$

$$\equiv \mathbf{\Omega}_{\text{eff},0} \times \hat{\mathbf{S}},\tag{2}$$

in the corotating frame (where  $\hat{\mathbf{L}}_{\text{out}} = \hat{\mathbf{z}}$  and  $\hat{\mathbf{L}}_{\text{in}}$  lies in the *x-z* plane),

## 1 Adiabaticity Criterion (DL) and Plots

Adiabaticity Criterion (DL): Adiabaticity requires

$$\left| d\hat{\Omega}_{\text{eff},0}/dt \right| \ll \Omega_{\text{eff},0} \equiv \left| \mathbf{\Omega}_{\text{eff},0} \right|. \tag{3}$$

To parameterize  $|d\hat{\Omega}_{\rm eff,0}/dt|$ , call  $I_{\rm out}$  the angle between  $\hat{\Omega}_{\rm eff,0}$  and  $\hat{\mathbf{L}}_{\rm out}$ , such that

$$\hat{\mathbf{\Omega}}_{\text{eff 0}} = \cos I_{\text{out}} \hat{\mathbf{z}} + \sin I_{\text{out}} \hat{\mathbf{x}}, \tag{4}$$

as shown in Fig. 1. Thus,

$$\left| d\hat{\Omega}_{\text{eff},0} / dt \right| = \frac{dI_{\text{out}}}{dt},\tag{5}$$

and the two rates of change to compare in Eq. (3) are  $\dot{I}_{\rm out}$  and  $\Omega_{\rm eff,0}$ .

To examine how well this works, let's examine two simulations in Fig. 2. Explanation in caption.

## 2 Yubo's Old Result

I've continually referred to a set of equations of motion without ever explaining them correctly, but they are approximately equivalent to the results of the above section and provide more precise quantitative insights.

Construct a new coordinate system such that  $\hat{\mathbf{z}}' = \hat{\mathbf{\Omega}}_{\mathrm{eff,0}}$ , and  $\hat{\mathbf{x}}'$  lies in the plane of  $\hat{\mathbf{L}}_{\mathrm{in}}$  and  $\hat{\mathbf{L}}_{\mathrm{out}}$  (this corresponds to rotating Fig. 1 counter-clockwise by  $I_{\mathrm{out}}$ ). Define a spherical coordinate system  $(\theta_{\mathrm{eff,0}}, \phi_{\mathrm{eff,0}})$  for spin vector  $\hat{\mathbf{S}}$  in this new coordinate system, then they can be shown to obey equations

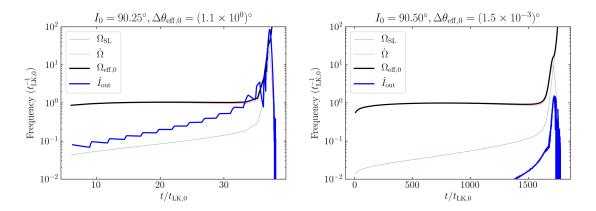


Figure 2: Plot of precession frequencies in two simulations (left:  $I_0 = 90.25^{\circ}$ , "fast merger", right:  $I_0 = 90.5^{\circ}$ , "slow merger"). The relevant precession frequencies are  $\Omega_{\rm eff,0}$  (black) and  $\dot{I}_{\rm out} \equiv |{\rm d}\hat{\Omega}_{\rm eff,0}/{\rm d}t|$  (blue). The change in  $\theta_{\rm eff,0}$  is shown in the title. Note that in the left plot,  $\dot{I}_{\rm out}$  exceeds  $\Omega_{\rm eff,0}$ , and as a result conservation of  $\theta_{\rm eff,0}$  is comparatively poor. In the right plot,  $\dot{I}_{\rm out}$  is always much smaller than  $\Omega_{\rm eff,0}$ , causing conservation of  $\theta_{\rm eff,0}$  to improve by three orders of magnitude.

of motion:

$$\frac{\mathrm{d}\phi_{\mathrm{eff,0}}}{\mathrm{d}t} = \left(-\dot{\Omega}\cos I_{\mathrm{out}} + \Omega_{SL}(\cos(I + I_{\mathrm{out}}))\right),\tag{6}$$

$$\simeq \Omega_{\text{eff.0}},$$
 (7)

$$\frac{\mathrm{d}\theta_{\mathrm{eff,0}}}{\mathrm{d}t} = \dot{I}_{\mathrm{out}}\cos\phi_{\mathrm{eff,0}},\tag{8}$$

$$\approx \left| \frac{\mathrm{d}\hat{\mathbf{\Omega}}_{\mathrm{eff,0}}}{\mathrm{d}t} \right| \cos \left( \Omega_{\mathrm{eff,0}} t \right). \tag{9}$$

The approximate scaling in Eq. (7) can be seen as follows:  $\Omega_{\rm eff,0} \simeq \max\left(\left|\dot{\Omega}\right|,\Omega_{\rm SL}\right)$ , while when  $\left|\dot{\Omega}\right| \gg \left[\ll]\Omega_{\rm SL}$ ,  $\cos I\left[\cos(I+I_{\rm out})\right] \approx 1$ , so the integrand also satisfies  $\frac{\mathrm{d}\phi_{\rm eff,0}}{\mathrm{d}t} \simeq \max\left(\left|\dot{\Omega}\right|,\Omega_{\rm SL}\right)$ . As such, Eq. (6) can indeed be approximated by Eq. (7).

Now, if we require the usual adiabaticity condition given by Eq. (3), then it is clear why Eq. (9) predicts  $\Delta\theta_{\rm eff,0} \rightarrow 0$ : we are integrating a small quantity multiplied by a fast-varying phase. As such, Eq. (9) can be thought to be a quantitative prediction of the deviation from adiabaticity.

## 2.1 Derivation of Equations of Motion

We provide a very brief derivation of Eqs. (6) and (8). We start with Eq. (1) and rotate about the  $\hat{\mathbf{y}}$  axis (pointing into the page) such that  $\hat{\mathbf{\Omega}}_{\text{eff,0}}$  points upwards. This requires rotation by  $I_{\text{out}}$  satisfying

$$-\dot{\Omega}\sin I_{\text{out}} + \Omega_{SL}\sin(I + I_{\text{out}}) = 0. \tag{10}$$

Here, I is the angle between  $\hat{\mathbf{L}}_{in}$  and  $\hat{\mathbf{L}}_{out}$ ; this equation is general for  $I > 90^{\circ}$  and  $I < 90^{\circ}$ . The equation of motion in this frame is then

$$\frac{d\hat{\mathbf{S}}}{dt} = \left[ -\dot{\Omega}\cos I_{\text{out}}\hat{\mathbf{z}} + \Omega_{SL}\cos(I + I_{\text{out}})\hat{\mathbf{z}} - \dot{I}_{\text{out}}\hat{\mathbf{y}} \right] \times \hat{\mathbf{S}}.$$
(11)

The components of this equation then directly give the equations of motion I used.

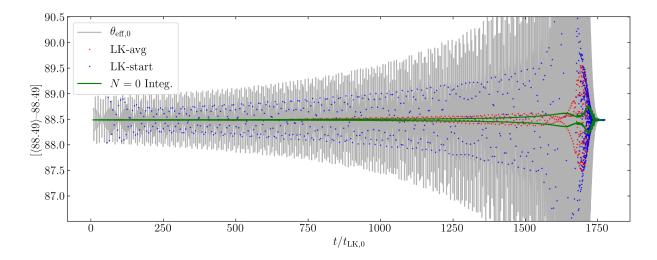


Figure 3: New plot shown today (May 29, 2020) during group meeting. Owing to complexity, the description is given in the text (Section 3).

## 3 Today's plot

Today, I showed an old plot with some new information, shown in Fig. 3. The plot depicts, for a full inspiral simulation<sup>1</sup>:

**Grey Line** This shows  $\cos^{-1}(\hat{\mathbf{S}} \cdot \Omega_{\mathrm{eff},0})(t)$  at all times. Fluctuations are expected since  $\hat{\mathbf{S}}$  fluctuates within each Lidov-Kozai (LK) period.

**Blue Dots** This shows  $\cos^{-1}(\hat{\mathbf{S}} \cdot \Omega_{\text{eff},0})(T_i)$  where each  $T_i$  is the middle of each LK period (maximum eccentricity). Changing to sample the start of each period does not change the plot significantly.

**Red Dots** This shows the LK-average of the grey line. Specifically, the i-th red dot denotes (for  $T_i$  the i-th LK cycle)

$$\theta_{\text{red,i}} = \frac{1}{T_{i+1} - T_i} \int_{T_i}^{T_{i+1}} \cos^{-1} \left( \hat{\mathbf{S}} \cdot \Omega_{\text{eff,0}} \right) dt.$$
 (12)

**Green Line** This is new, and possibly not very useful. A function such as  $g(t) = \int_{-\infty}^{t} A \cos(\omega t) dt$  oscillates with amplitude  $A/\omega$ . Thus, if we know  $\dot{I}$  and  $\Omega_{\rm eff,0}$  at all times, we can make a prediction about the amplitude of oscillation of  $\theta_{\rm red,i}$  if the results of Section 2 are a complete description of  $\theta_{\rm red,i}$  (i.e. if the only thing driving oscillations is nonadiabaticity due to a finite-time merger).

The green line visibly underpredicts the oscillations of the red dots at  $t \sim 1650\text{-}1700$ , so the equations given in Section 2 fails to capture the dynamics of  $\theta_{\text{eff,0}}$  at all times, even though it predicts the final deviations well.

 $<sup>^{1}</sup>$ In all quantities shown in this plot,  $\Omega_{eff,0}$  (an LK-averaged quantity) is linearly interpolated within each LK cycle.