

# Network System Capstone @CS.NCTU

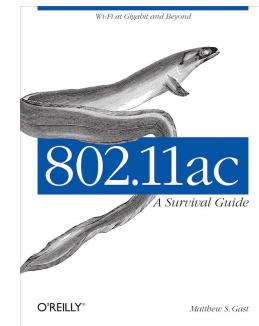
## Lecture 4: MAC Protocols for WLANs

Instructor: Kate Ching-Ju Lin (林靖茹)

# Reference

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1. A Technical Tutorial on the IEEE 802.11 Protocol  
By Pablo Brenner  
online: [http://www.sss-mag.com/pdf/802\\_11tut.pdf](http://www.sss-mag.com/pdf/802_11tut.pdf)
2. IEEE 802.11 Tutorial  
By Mustafa Ergen  
online:  
<http://wow.eecs.berkeley.edu/ergen/docs/ieee.pdf>
3. 802.11 Wireless Networks: The Definitive Guide  
By Matthew Gast
4. 802.11ac: A Survival Guide  
By Matthew Gast  
online:  
<http://chimera.labs.oreilly.com/books/1234000001739>



# Agenda

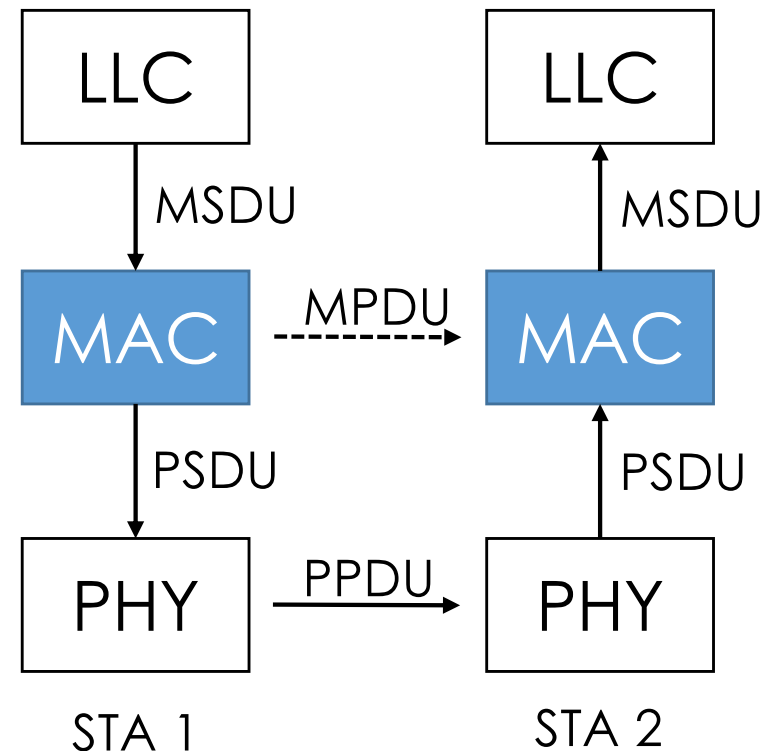
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- **Medium Access Control**
- WiFi
  - Basic 802.11 Operation
  - Collision Avoidance (CSMA/CA)
  - Hidden Terminal
  - QoS guarantee
  - Other Issues
  - Performance Analysis
- Bluetooth

# What is MAC?

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- Medium access control
- Layer 2 (link layer)
- Allowing multiple stations in a network to share the spectrum resources and communicate (1-hop)
- Type of communications
  - Unicast: one-to-one
  - Multicast: one-to-many
  - Broadcast: one-to-all



# MAC Protocols

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- Multiple access

- Several stations connected to the same physical medium to share it
- Requirements:
  - High bandwidth utilization
  - Fair
  - Low protocol overhead
  - Dynamic and adaptive
  - Energy efficient

- MAC protocols

- Primarily responsible for regulating access to the shared medium

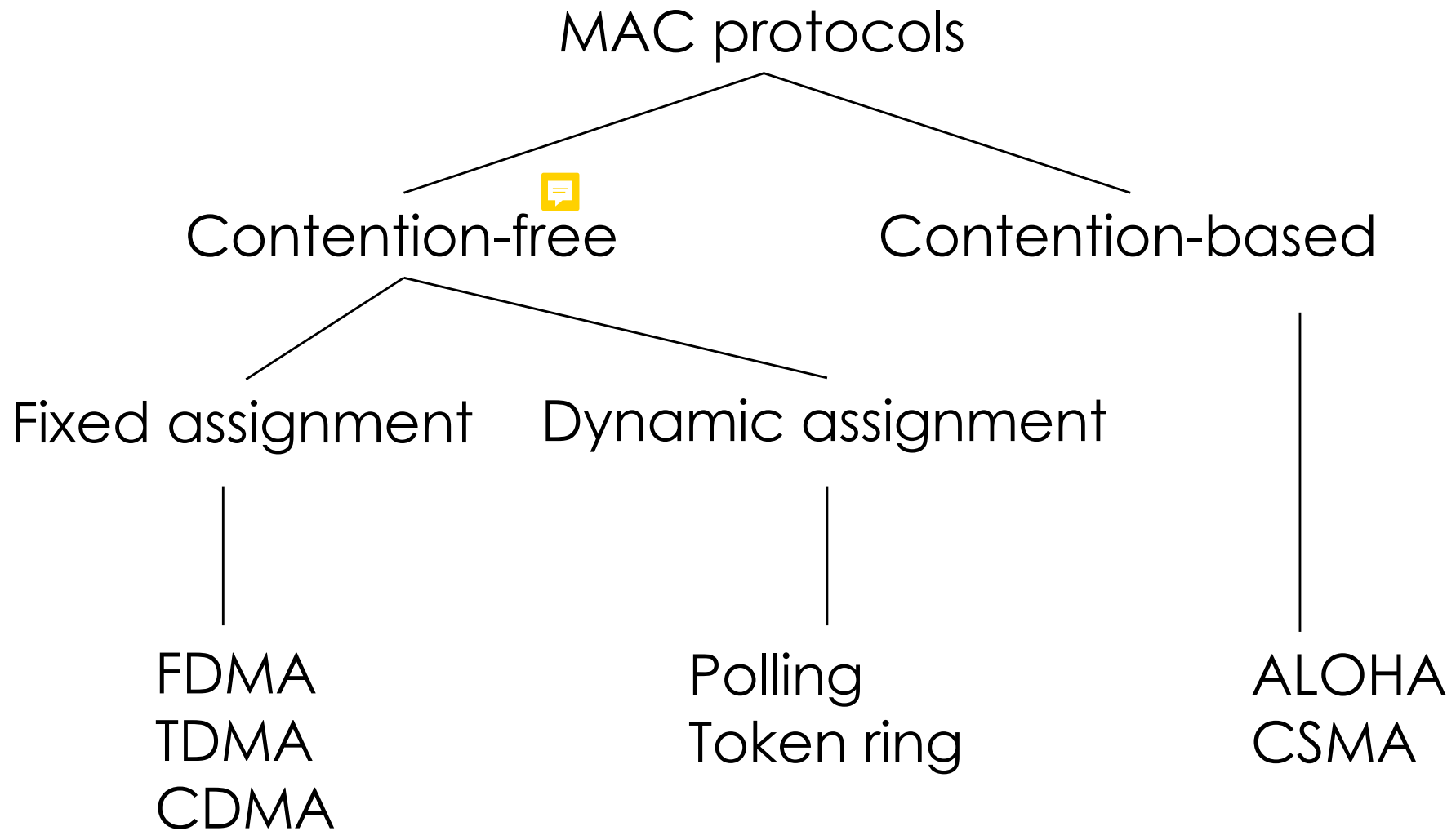
# Why MAC for WLANs is Challenging?

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- Wireless medium is prone to errors
- One station cannot “hear” all other stations
  - Local view != global view
- Channel quality, and thereby the achievable data rate, is closely related to link distance, and could change with time due to mobility
- Again, because of mobility, need management mechanisms to (de)associating with APs as location changes
  - Need efficient handoff to ensure seamless access

# MAC Categorization

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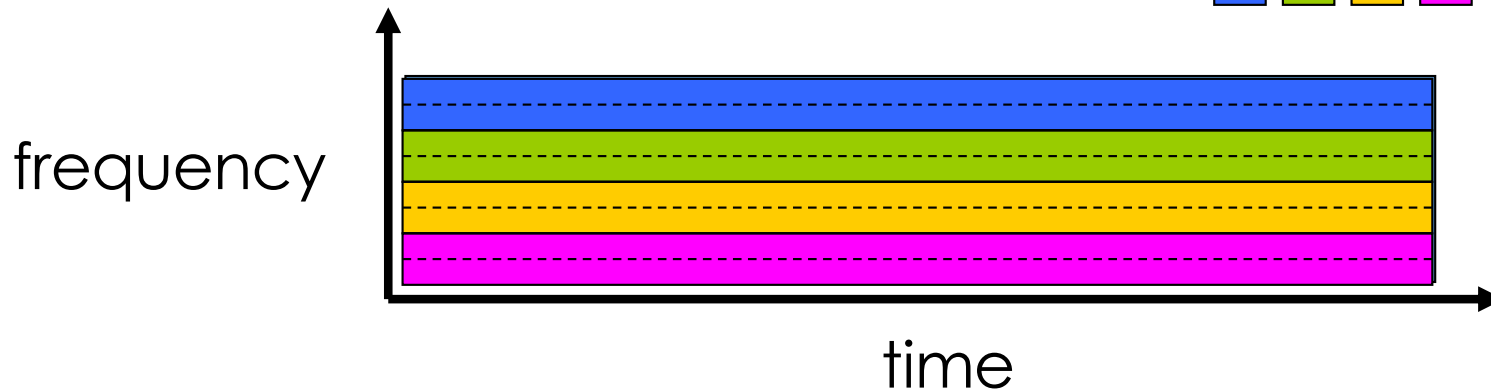
# Contention-Free: FDM vs. TDM

- **Multiplexing**: allocate resources to multiple users

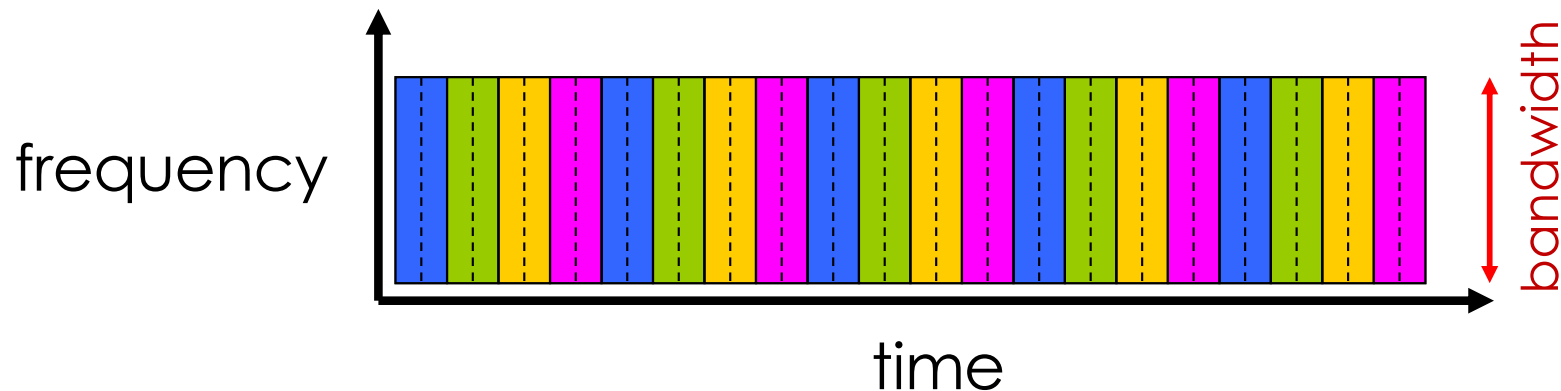
Example: 4 users



## FDM (Frequency division multiplexing)



## TDM (Time division multiplexing)

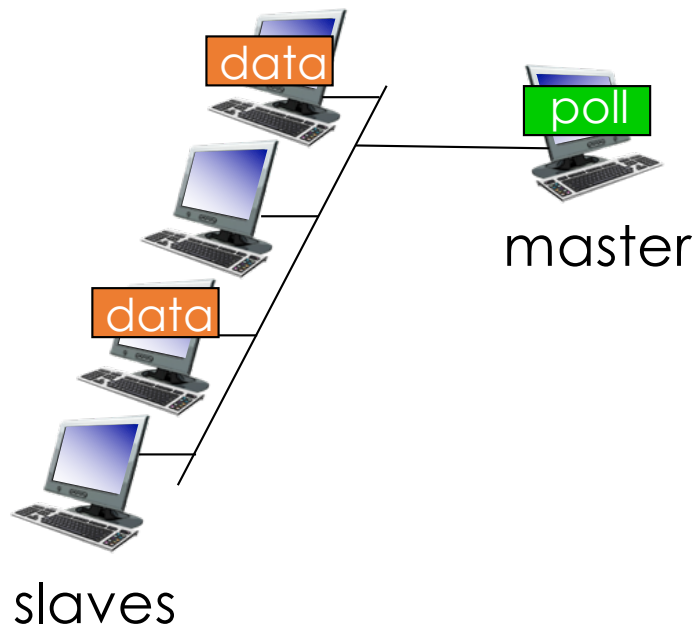




# Contention-Free: Polling and Token

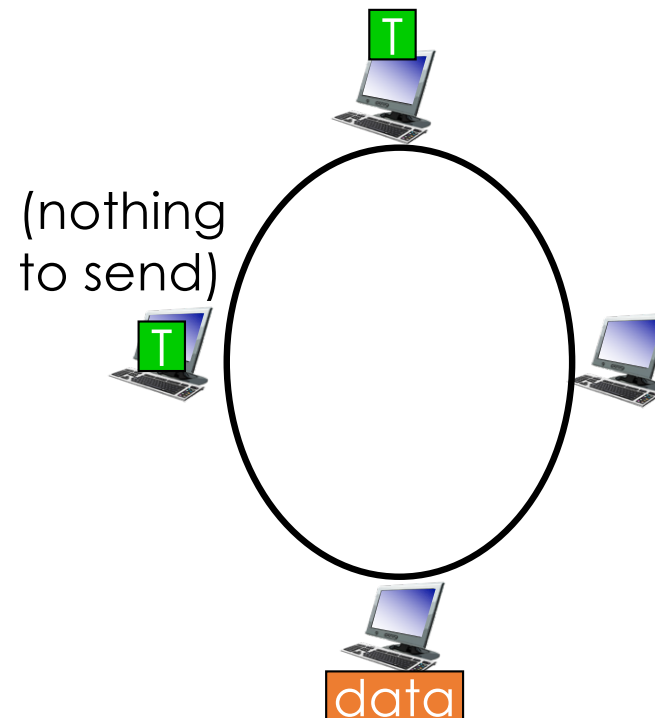
- Polling

- Master schedules for slaves
- Polling overhead
- Single-point failurer problem

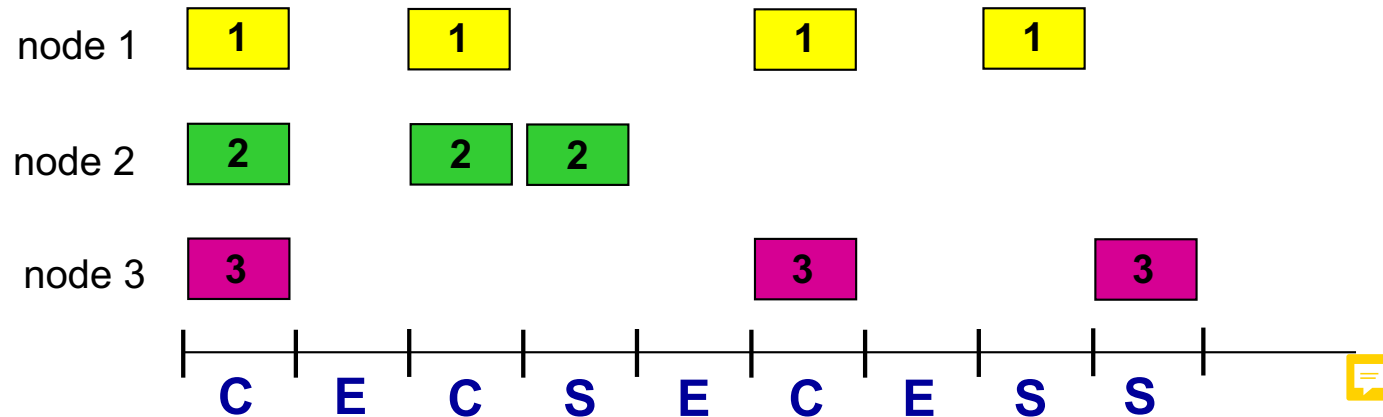


- Token Ring

- Token passing
- Message overhead
- Single-point failurer problem



# Contention-Based: ALOHA



- Assumptions

- All frames are of equal size
- Time divided into equal size slots (1 slot 1 frame)
- Node start transmitting in the beginning of a slot
- Nodes are **synchronized**

- Protocol

- If a node has a frame, transmit in the next slot
- If collisions, retransmit in the following slots with probability  $p$  until success

# Agenda

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- Medium Access Control
- **WiFi**
  - Basic 802.11 Operation
  - Collision Avoidance (CSMA/CA)
  - Hidden Terminal
  - QoS guarantee
  - Other Issues
  - Performance Analysis
- Bluetooth

# Basic Service Set (BSS)

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- BSS
  - Basic building block
  - Infrastructure mode
- IBSS (independent BSS)
  - Ad-hoc network
- ESS (extended service set)
  - Formed by interconnected BSSs

# Infrastructure Mode

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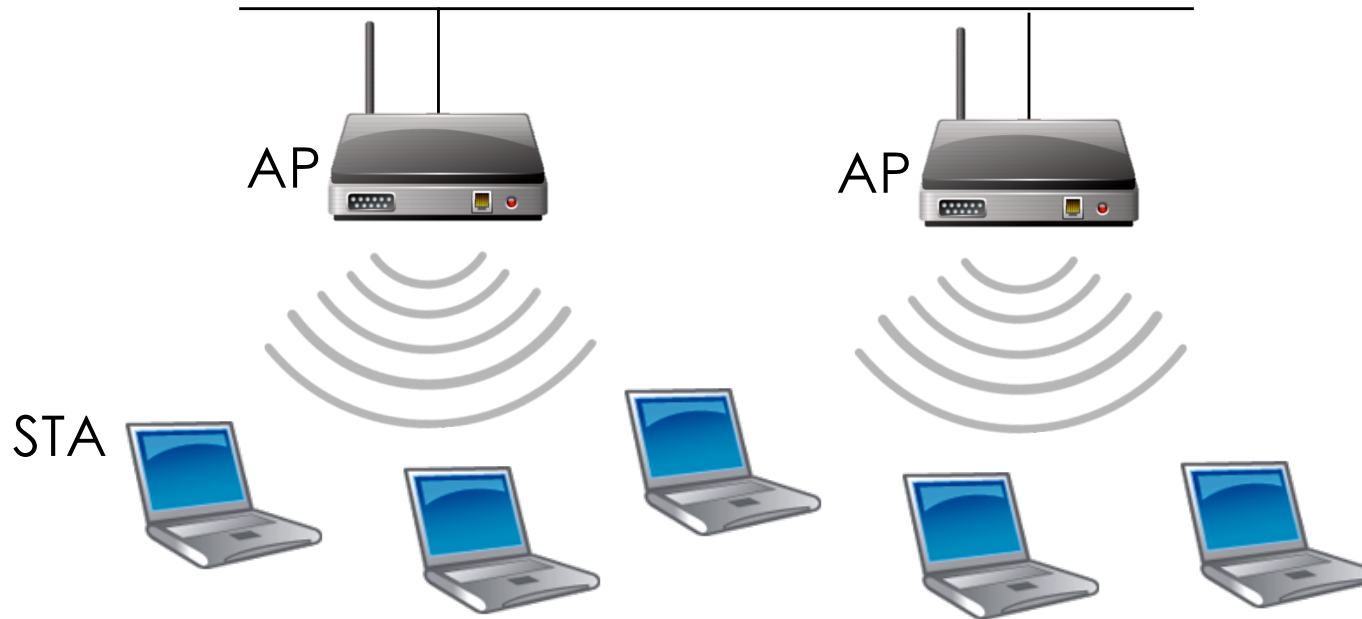


- Each station (STA) associates with a central station **Access point (AP)**
- An AP and its stations form a basic service set (BSS)
- AP announces beacons periodically

# Infrastructure Mode

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ESS (Extended Service Set)

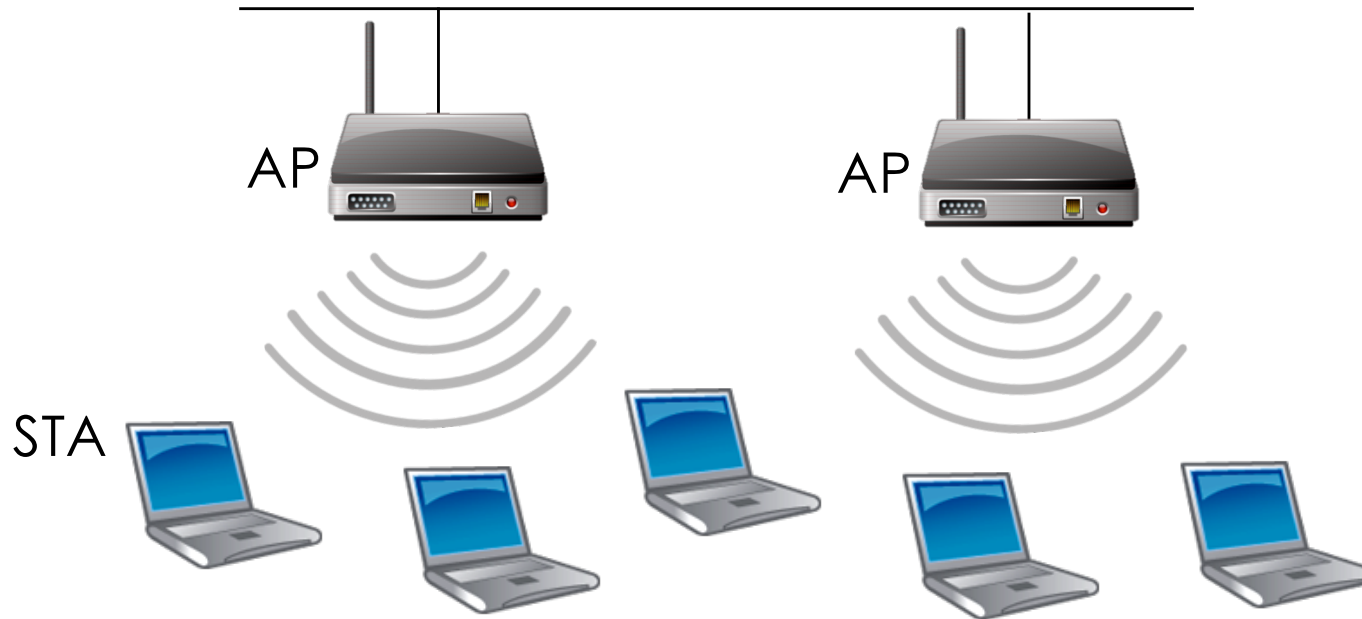


- Several BSSs could form an ESS
- A roaming user can move from one BSS to another within the ESS by **re-association**

# Infrastructure Mode

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ESS (Extended Service Set)

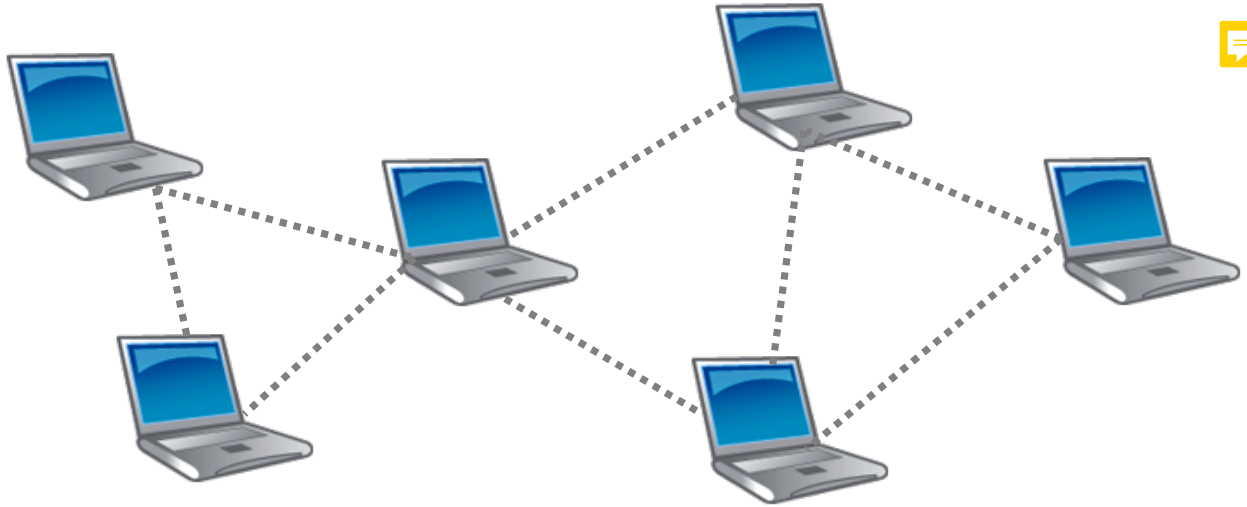


- Issues
  - Inter-BSS interference: via proper channel assignment
  - Load balancing: via user management

# Ad-Hoc Networks

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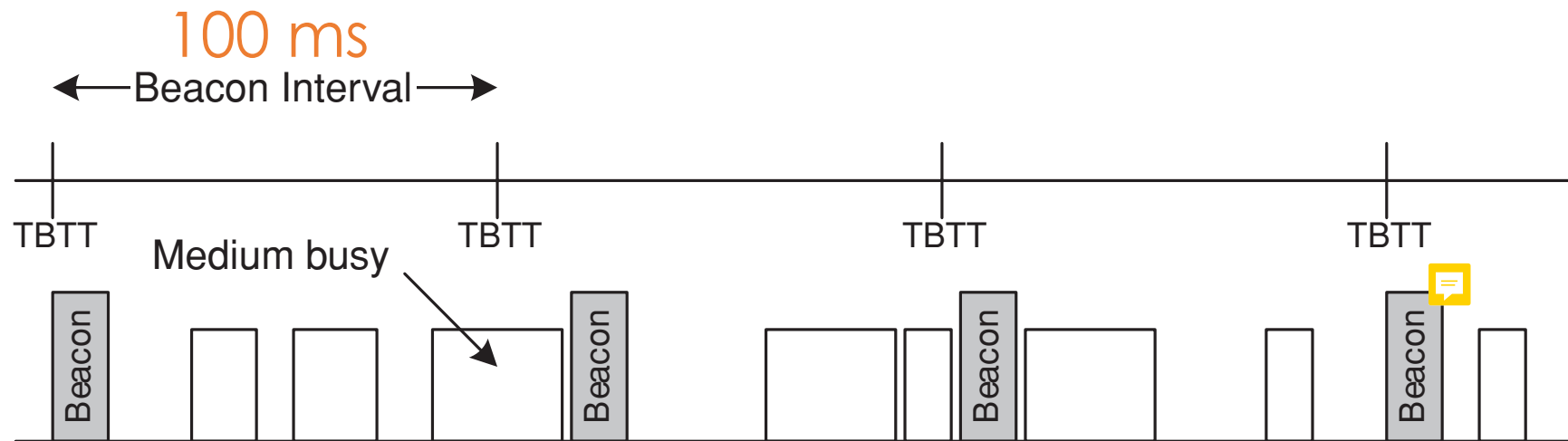
IBSS (independent BSS)



- Clients form a **peer-to-peer** network without a centralized coordinator
- Clients communicate with each other via **multi-hop routing**
  - Will introduce ad-hoc routing



# Beacon and WiFi Scanning



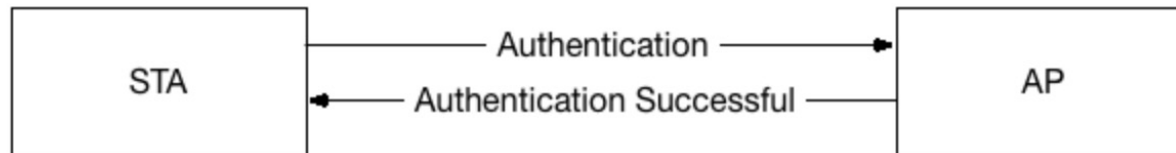
- The AP in each BSS broadcasts beacon frames **periodically (every 100ms by default)**
- Each beacon includes information such as **SSID** and **AP's address**
- A STA discovers a BSS by **switching channels and scanning** to look for beacons

# Athentication and Association

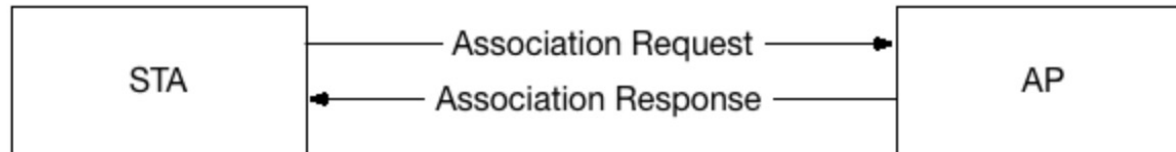
Unauthenticated, Unassociated



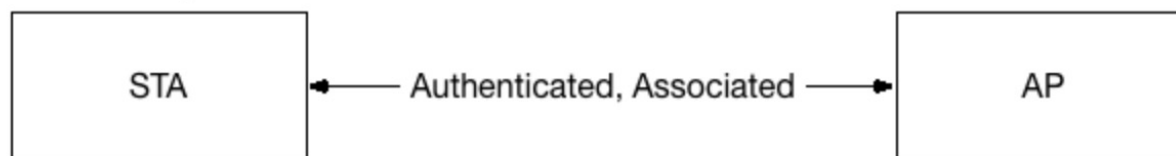
- Register a broadcast listener
- Request a scan
- Get scan results



Authenticated, Unassociated





Authenticated, Associated



# Two Operational Modes

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- Distributed coordination function (DCF) 
  - Stations contend for transmission opportunities in a distributed way
  - Rely on CSMA/CA
- Point coordination function (PCF) 
  - AP sends poll frames to trigger transmissions in a centralized manner
  - Less used

# CSMA/CA

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- Carrier sense multiple access with collision avoidance
- Similarity and difference between CSMA/CD and CSMA/CA

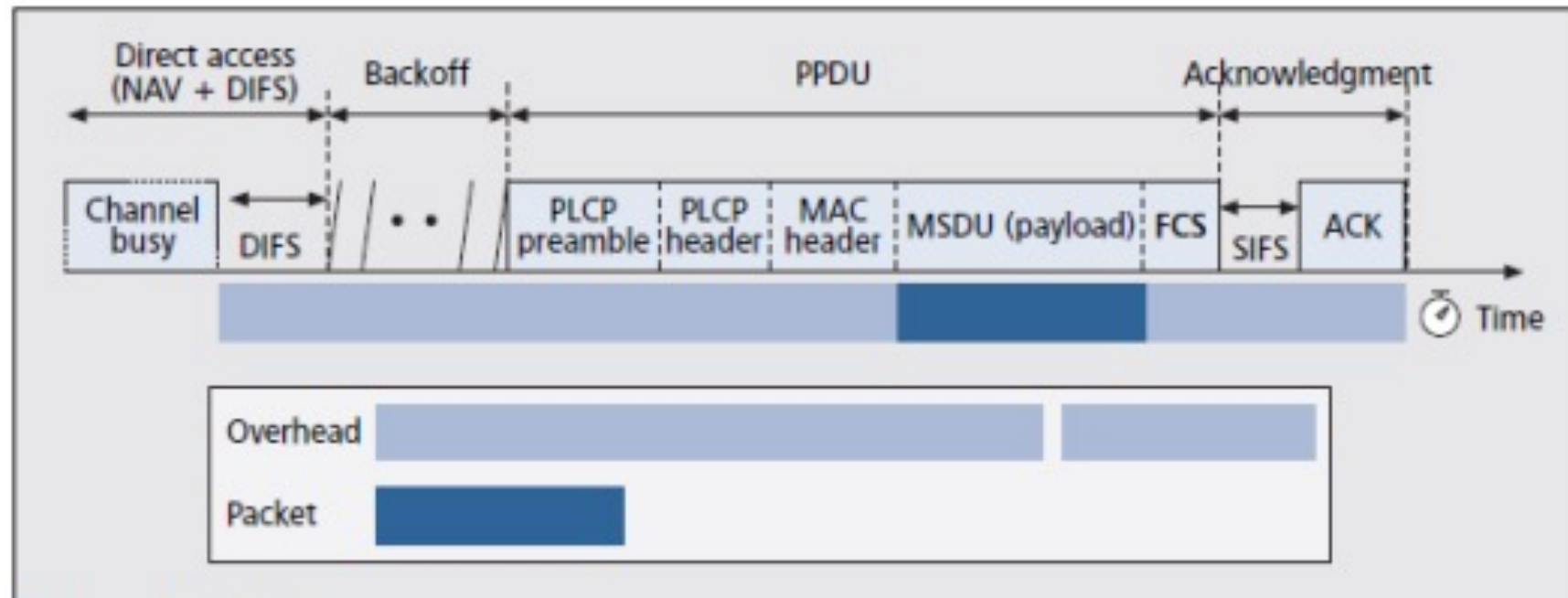
same

- Both allow a STA to send if the medium is sensed to be “idle”
- Both defer transmission if the medium is sensed to be “busy”

diff

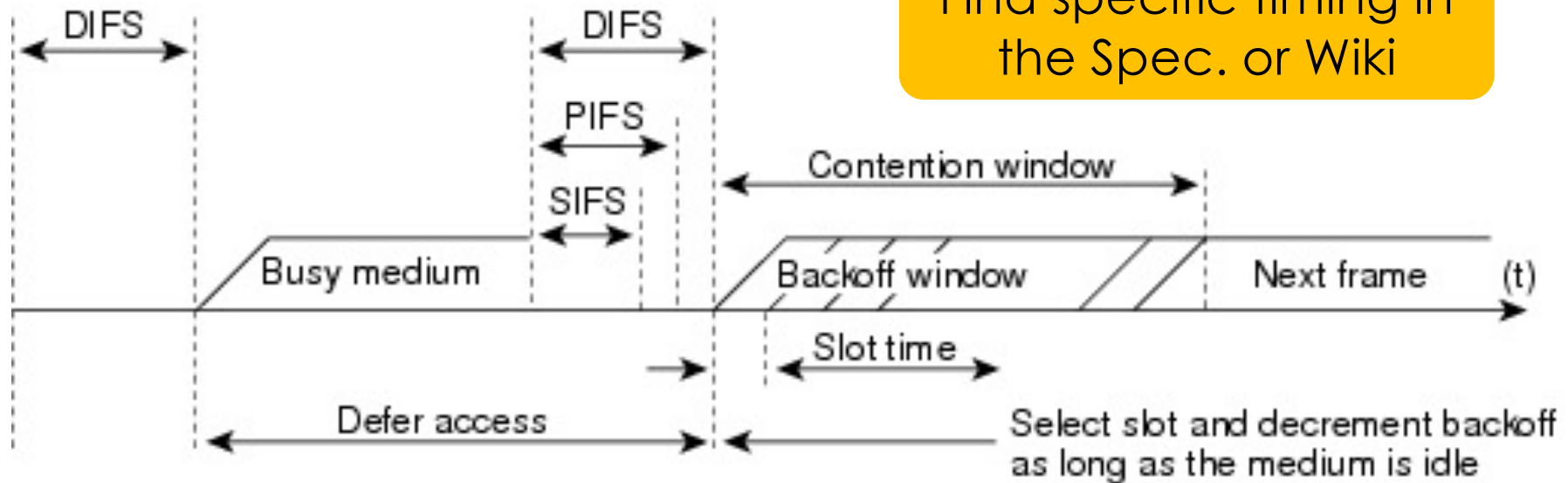
- CD: immediately stop the transmission if a collision is detected
- CA: apply random backoff to avoid collisions! **Why?**  
→ a **half-duplex** STA cannot detect collisions during transmission

# DCF



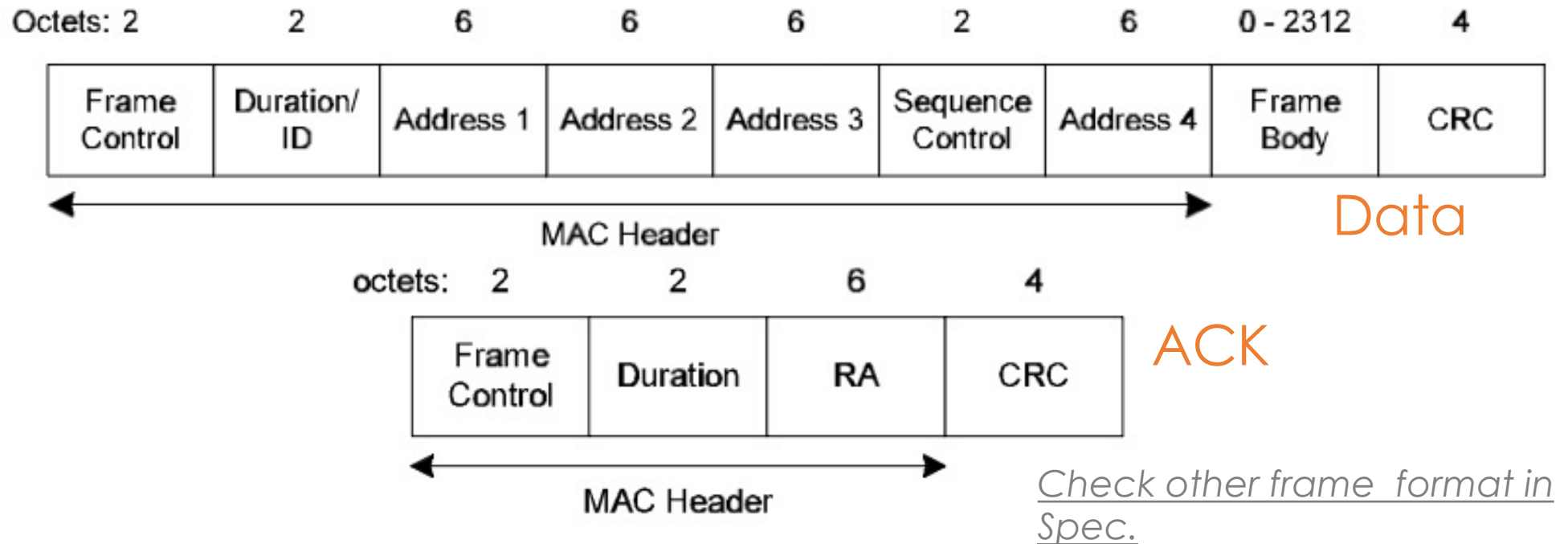
- Start contention after the channel keeps idle for DIFS
- Avoid collisions via random backoff
- AP responds ACK if the frame is delivered correctly (i.e., passing the CRC check) → No NACK
- Retransmit the frame until the retry limit is reached

# Prioritized Interframe Spacing



- Latency:  $SIFS < PIFS < DIFS$   
Priority:  $SIFS > PIFS > DIFS$
- SIFS (Short interframe space): control frames, e.g., ACK and CTS
- PIFS (PCF interframe space): CF-Poll
- DIFS (DCF interframe space): data frame

# Frame Format



- How to estimate protocol overhead without considering backoff
  - $1 - T_{\text{Data}} / (T_{\text{DIFS}} + T_{\text{PLCP}} + T_{\text{MAC}} + T_{\text{Data}} + T_{\text{SIFS}} + T_{\text{ACK}})$
  - Control frames are sent at the base rate (lowest bit-rate)

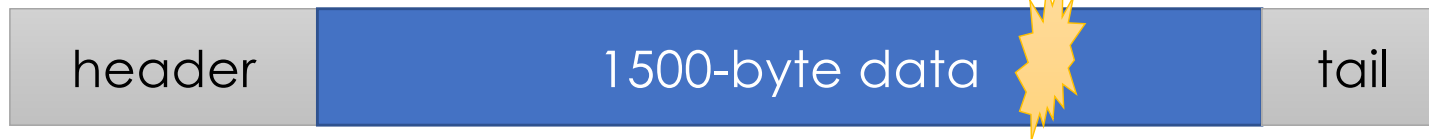
# Overhead vs. Throughput

- Effective throughput

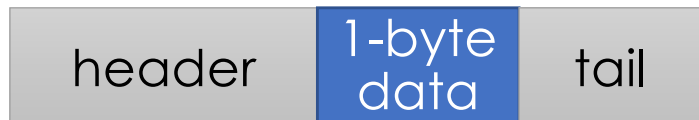
$$\frac{\text{number of successfully delivered bits}}{\text{total occupied time}}$$

- Packet size vs. Effective throughput

?

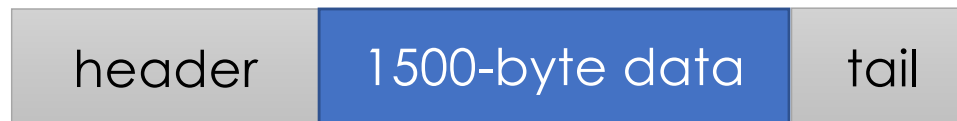


X



Effective throughput  $\sim 0$

- Bit-rate vs. Effective throughput



Sent at 48 mb/s  
(halve the tx time)

Throughput(48) !=  
2 x Throughput (24)



# Fragmentation and Aggregation

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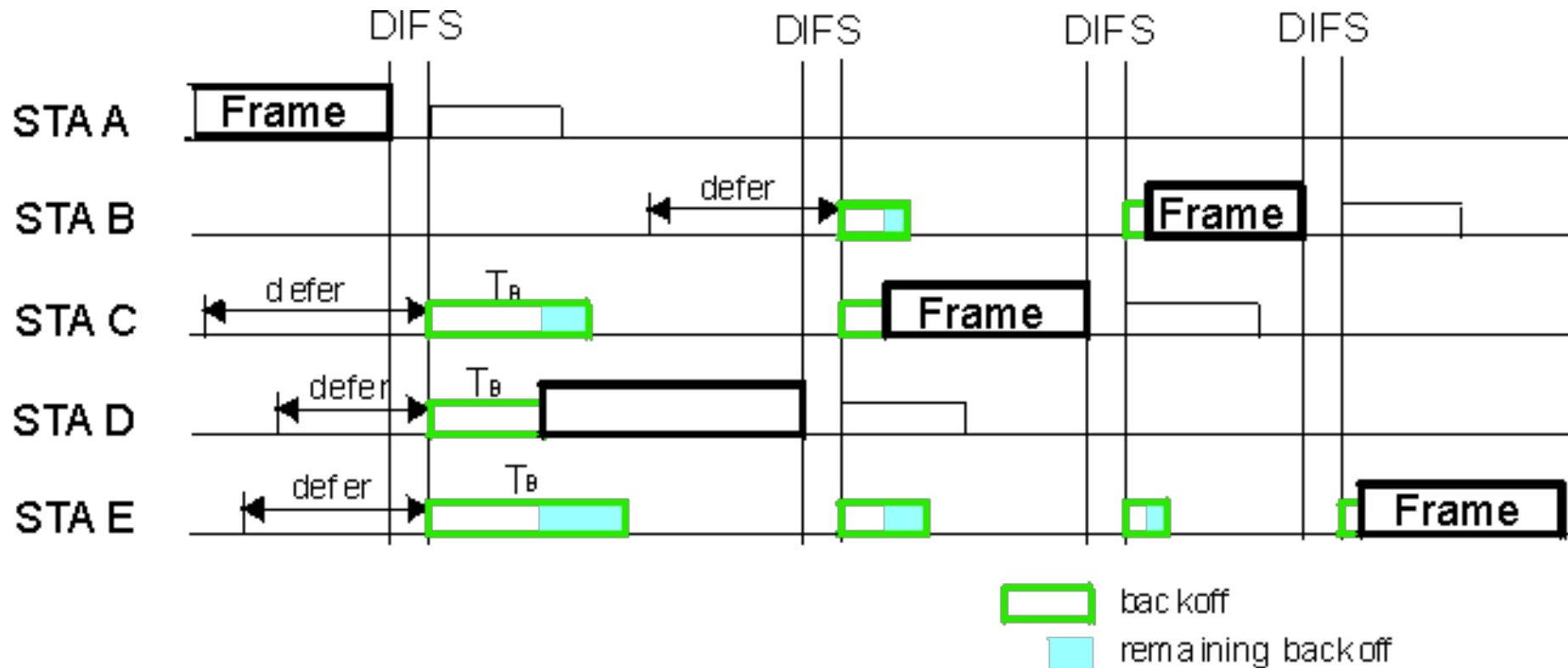
- Success probability vs. frame size
  - Large frame reduces overhead, but is less reliable
  - Discard the frame even if only one bit is in error
  - Packet delivery ratio of an N-bit packet:  $(1-BER)^N$
- Fragmentation
  - Break a frame into into small pieces
  - All are of the same size, except for the last one
  - Interference only affects small fragments
- Aggregation
  - Aggregate multiple small frames in order to reduce the overhead
  - Supported in 802.11e and 802.11n

# Agenda

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- Basic 802.11 Operation
- Collision Avoidance
- Hidden Terminal
- QoS guarantee
- Other Issues
- Performance Analysis

# Random Backoff



- STAs listen to the channel before transmission after DIFS
- Avoid collision by [random backoff](#)

# Exponential Random Backoff

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1. Each STA maintains a contention window
  - Initialized to  $CW_{\min} = 32$
2. Randomly pick a number, say  $k$ , between  $[0, CW-1]$
3. Count down from  $k$  when the channel becomes idle
4. Start transmission when  $k = 0$  if the channel is still idle
5. Double  $CW$  for every unsuccessful transmission, up to  $CW_{\max}$  (1024)
6.  $CW$  is reset to  $CW_{\min}$  after every successful transmission

When will collisions occur?

What's the probability a collision occurs?

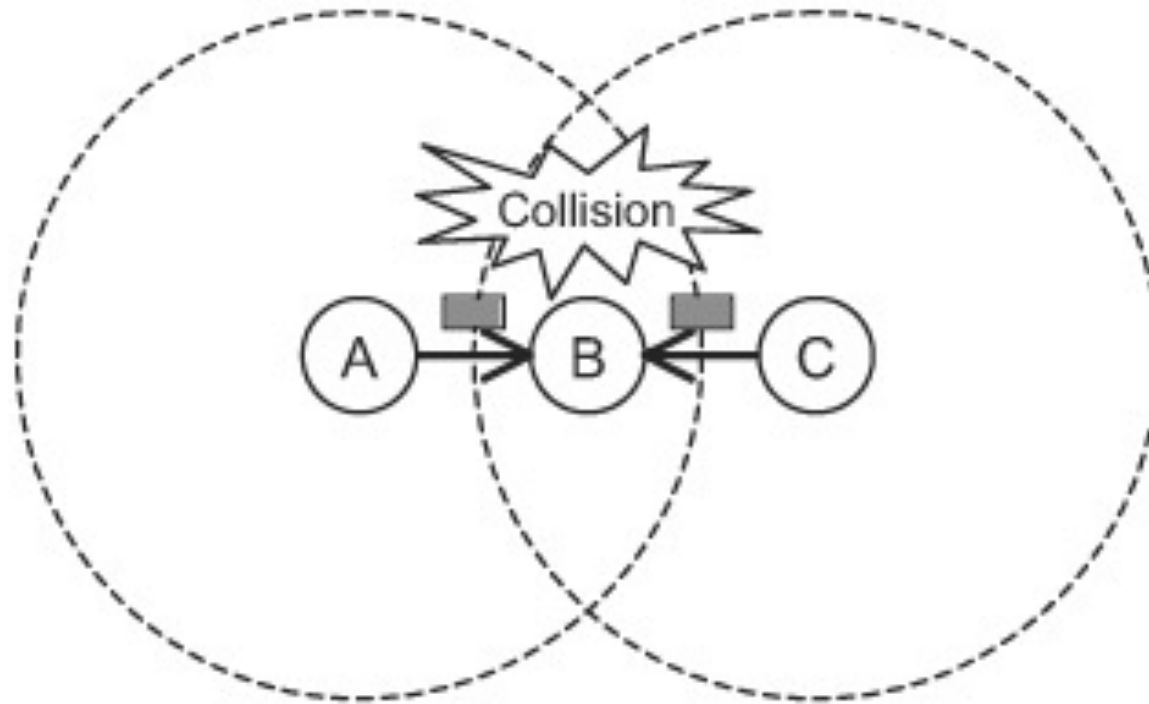
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# Hidden Terminal Problem

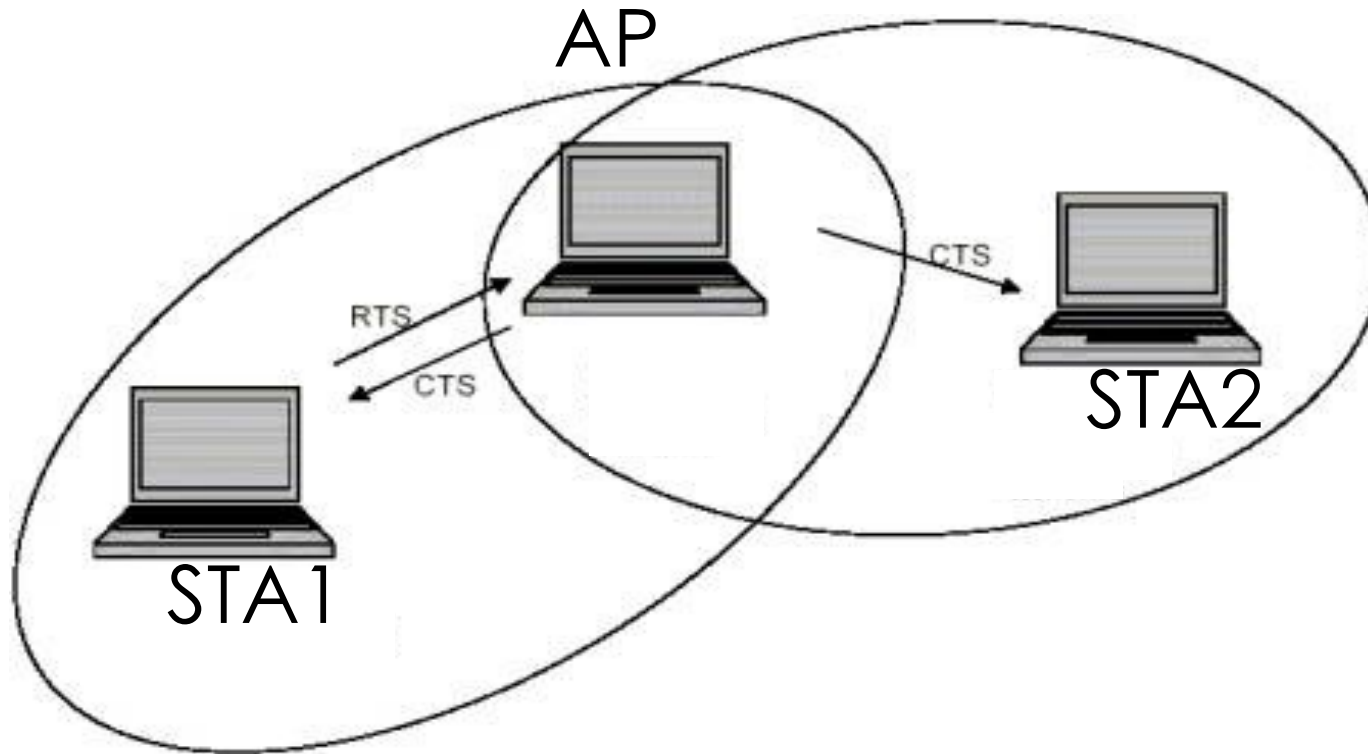
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- Two nodes hidden to each other transmit at the same time, leading to collision

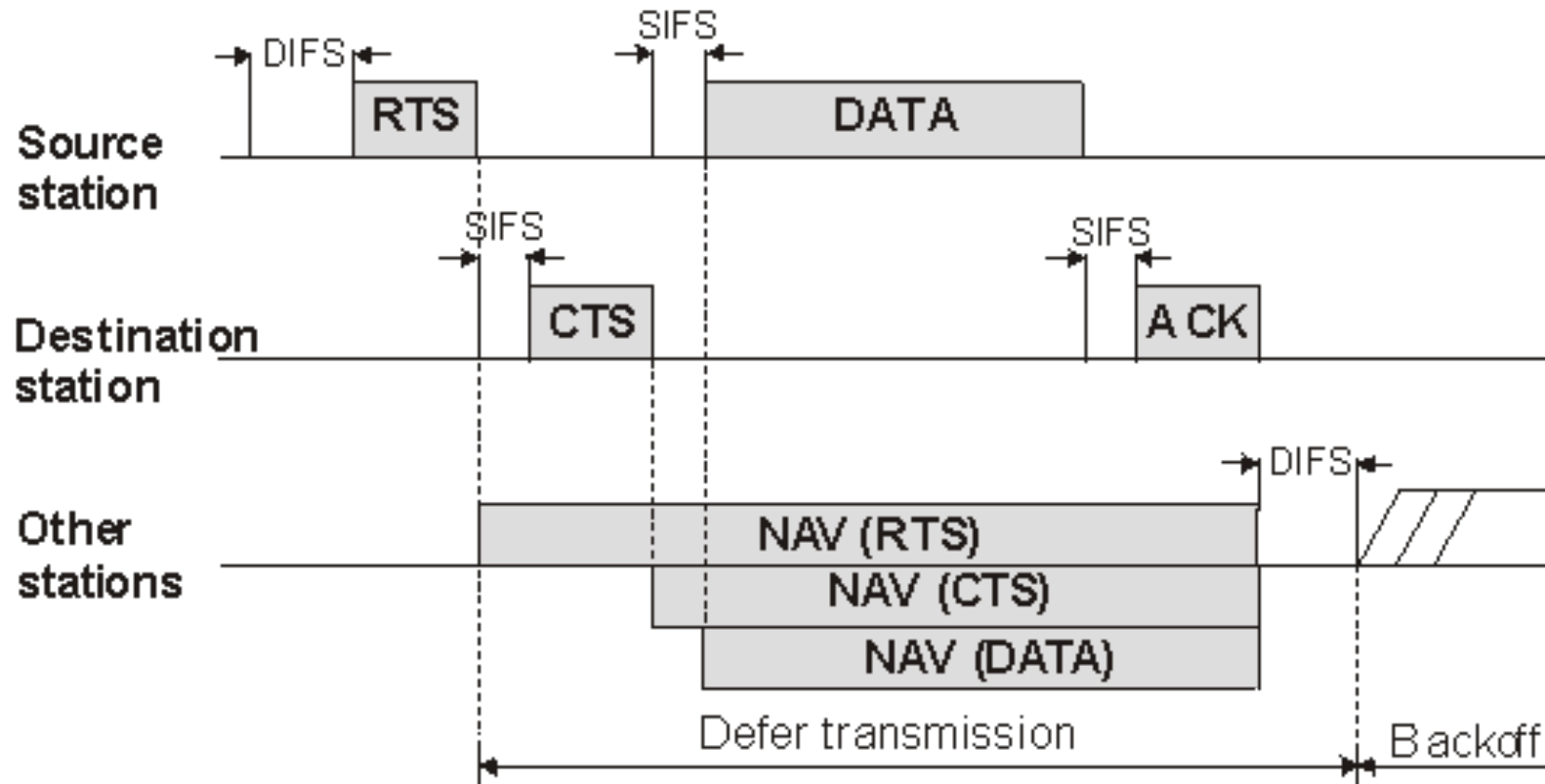
# 802.11's Solution: RTS/CTS

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- STA1 sends RTS whenever it wins contention
- AP broadcasts CTS
- Other STAs that receive CTS defer their transmissions

# 802.11's Solution: RTS/CTS



**NAV (Network allocation vector):** STA performs virtual carrier sense for the specified time interval

Usually disabled in practice due to its expensive overhead



# Other Solutions to Hidden Nodes

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- Embrace collisions and try to decode collisions
  - ZigZag decoding
    - S. Gollakota and D. Katabi, “ZigZag decoding: combating hidden terminals in wireless networks,” ACM SIGCOMM, 2008
- Rateless code
  - Continuously aggregate frames and stop until decoding succeeds
  - A. Gudipati and S. Katti, “Strider: automatic rate adaptation and collision handling,” ACM SIGCOMM, 2011

# Agenda

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- Basic 802.11 Operation
- Collision Avoidance
- Hidden Terminal
- QoS guarantee
- Other Issues
- Performance Analysis

# 802.11 Family

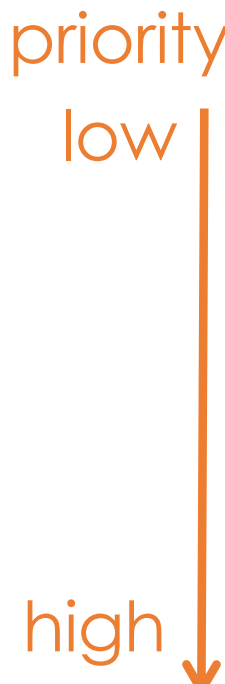
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- 802.11a/b/g: conventional DCF
- 802.11e: support quality of service (QoS) enhancements for wireless LANs
- 802.11n: support single-user MIMO
- 802.11ac: support multi-user MIMO
- 802.11ad: define a new physical layer in the 60GHz (mmWave)
- 802.11ay: extension of ad, supporting MU-MIMO
- 802.11ax: successor to 802.11ac, support OFDMA
- 802.11p: for vehicular networks

# 802.11e EDCA MAC

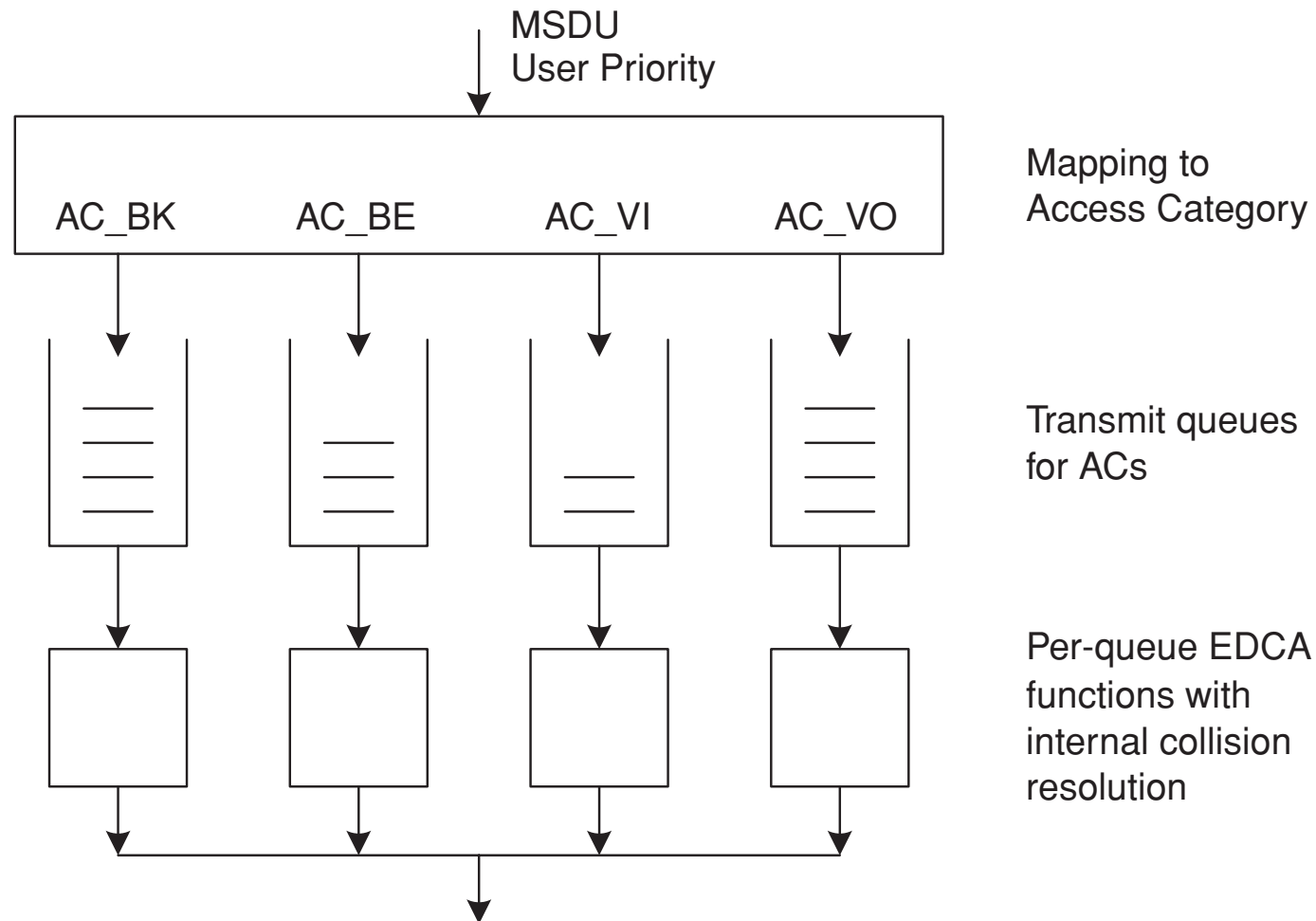
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- Enhance distributed channel access (EDCA)
- Support prioritized quality of service (QoS)
- Define four access categories (ACs)



802.1D User priority	802.1D Designation	AC	Designation
1	BK	AC_BK	Background
2	–		
0	BE	AC_BE	Best effort
3	EE		
4	CL	AC_VI	Video
5	VI		
6	VO	AC_VO	Voice
7	NC		

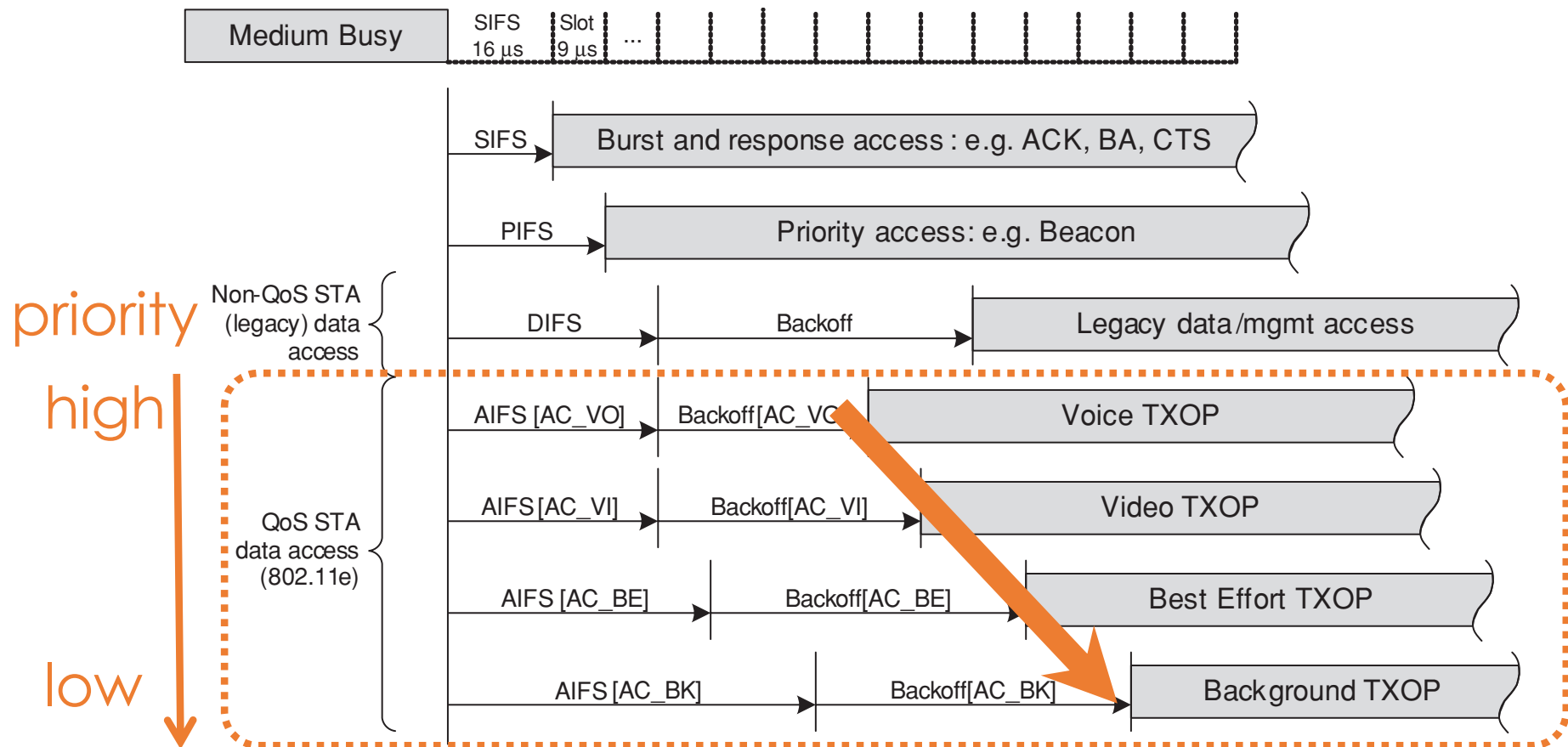
# 802.11e EDCA MAC – Priority Queues



Manage frames using priority queues

# How to Prioritize Frames in 802.11e?

- Again, by controlling the waiting time
  - A higher-priority frame waits for shorter time
  - Frames with the same priority contend as usual



# How to Prioritize Frames in 802.11e?

- Again, by controlling the waiting time
  - A higher-priority frame waits for shorter time
  - Frames with the same priority contend as usual
- AIFS (Arbitration Inter-Frame Spacing)

AC	CWmin	CWmax	AIFSN	TXOP limit
AC_BK	31	1023	7	0
AC_BE	31	1023	3	0
AC_VI	15	31	2	3.008 ms
AC_VO	7	15	2	1.504 ms
legacy	15	1023	2	0

probabilistic

(Within an AC)

guarantee

(between ACs)

# Agenda

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- Basic 802.11 Operation
- Collision Avoidance
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- Other Issue
- Performance Analysis

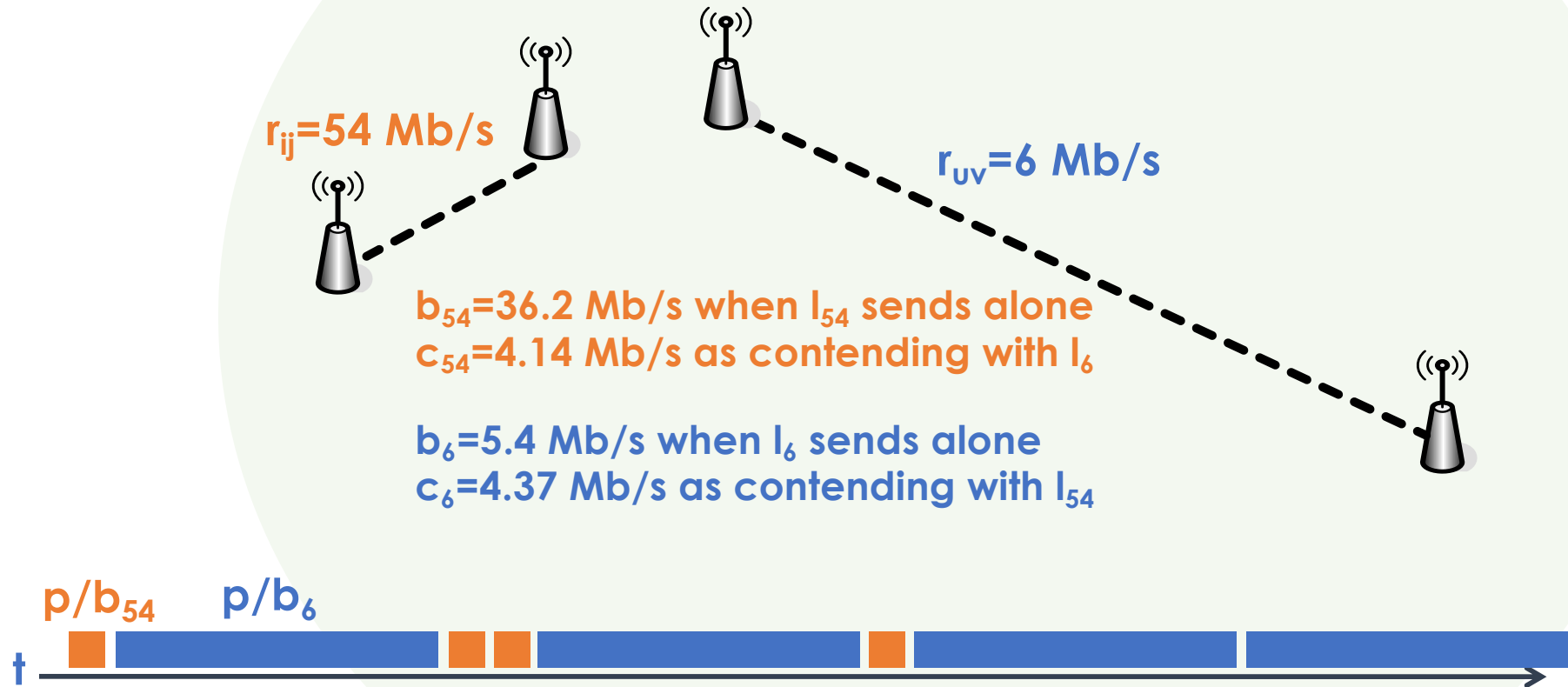


# Other Issues

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- Performance anomaly
  - M. Heusse, et al., "Performance anomaly of 802.11b," IEEE INFOCOM, 2003
- Expensive overhead as the PHY rate increases
  - K. Tan, et al., "Fine-grained channel access in wireless LAN," ACM SIGCOMM, 2011
  - S. Sen, et al., "No time to countdown: migrating backoff to the frequency domain," ACM MobiCom, 2011
- Unequal band-width and flexible channelization
  - 20MHz in 802.11a/b/g/n/ac, 40MHz in 802.11n/ac, 80MHz and 160Hz in 802.11ac
  - S. Rayanchu, et al., "FLUID: improving throughputs in enterprise wireless LANs through flexible channelization," ACM MOBICOM, 2012

# Performance Anomaly



Channel is mostly occupied by low-rate links

→ Everyone gets a similar throughput,  
regardless of its bit-rate

# Performance Anomaly

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- The throughput of a STA sending at a high rate (e.g., 54Mbps) is degraded by that sending at a low rate (e.g., 6Mbps)
- Root causes?
  - 802.11 supports multiple transmission bit-rates, each of which has a different modulation and coding scheme
  - 802.11 ensures **packet fairness**, instead of **time fairness**

**Packet fairness:** each STA has an equal probability to win the contention → the average number of delivered packets for all STAs are roughly the same (802.11)

**Time fairness:** each STA occupies roughly the same proportion of channel time

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- Basic 802.11 Operation
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- Hidden Terminal
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# Performance Analysis for CSMA/CA

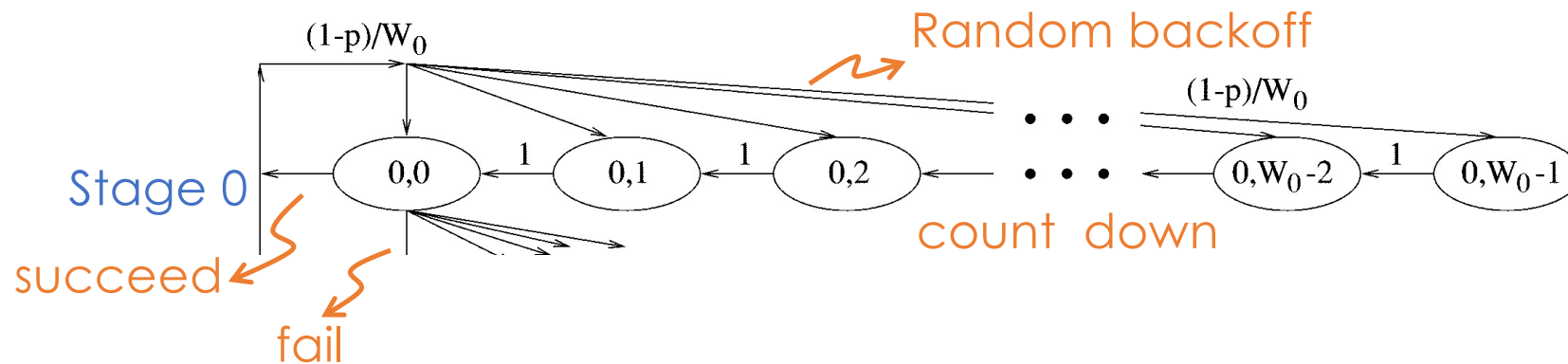
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G. Bianchi, "Performance analysis of the IEEE 802.11 distributed coordination function," Selected Areas in Communications, IEEE Journal on 18, no. 3 (2000): 535-547

- Model to compute the 802.11 DCF throughput
- Assumptions
  - Finite number of stations
  - Ideal channel, i.e., no packet errors and no hidden terminals
  - Consider “saturation throughput”, i.e., the maximal load a system can achieve
- Core ideas:
  - At each transmission attempt (either first transmission or retransmissions), each packet collides with constant and independent probability  $p$
  - $p$ : conditional probability related to contention window  $W$  and number of stations  $N$

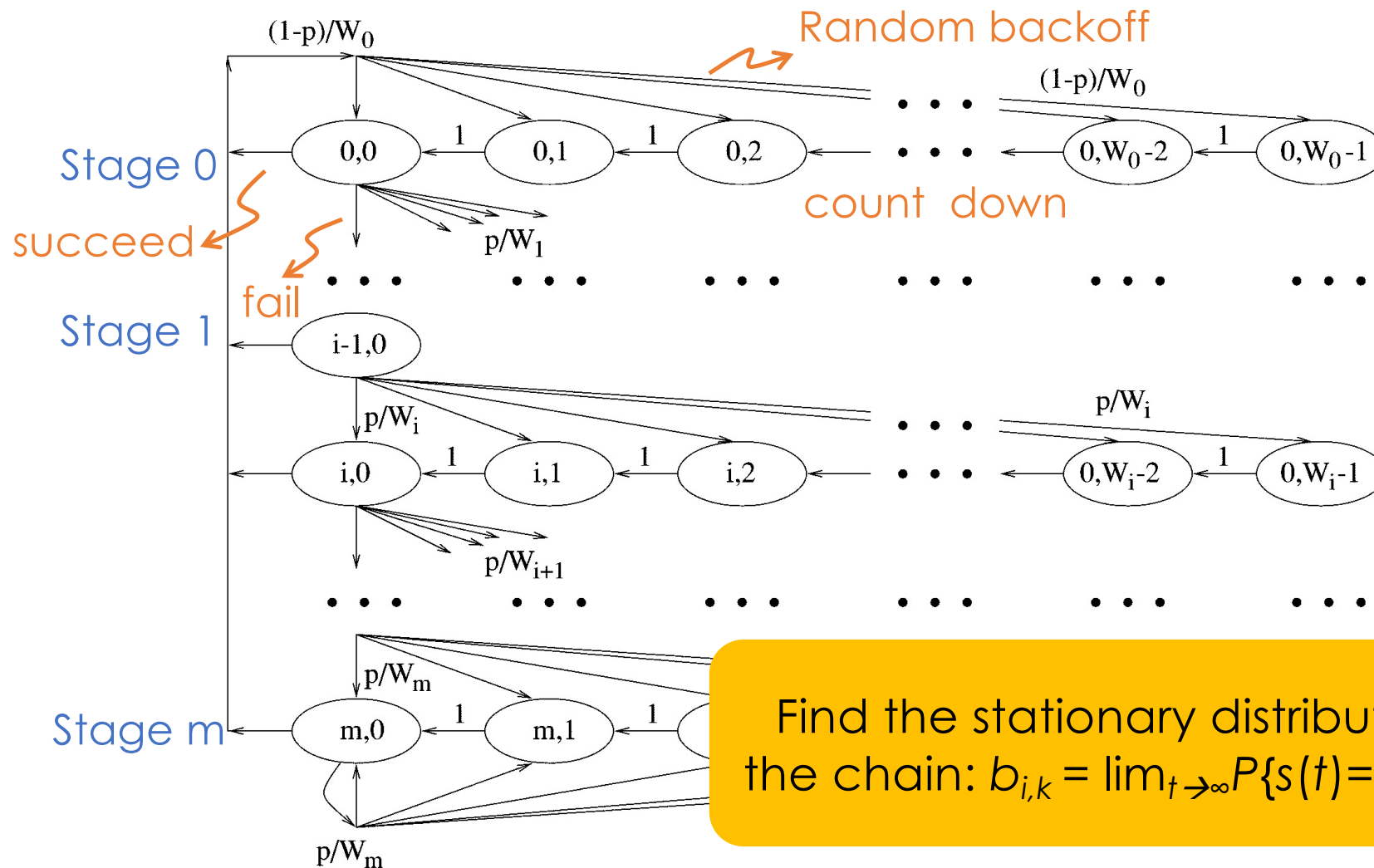
# Performance Analysis for CSMA/CA

Model as a **bi-dimensional discrete-time Markov chain**  $\{s(t), b(t)\}$   
 $s(t)$ : backoff stage at time  $t$ ,  $b(t)$ : backoff time counter at time  $t$



# Performance Analysis for CSMA/CA

Model as a bi-dimensional discrete-time Markov chain  $\{s(t), b(t)\}$   
 $s(t)$ : backoff stage at time  $t$ ,  $b(t)$ : backoff time counter at time  $t$



Find the stationary distribution of the chain:  $b_{i,k} = \lim_{t \rightarrow \infty} P\{s(t)=i, s(t)=k\}$

# Performance Analysis for CSMA/CA

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- Find the stationary distribution of the chain

$$b_{i,k} = \lim_{t \rightarrow \infty} P\{s(t) = i, s(t) = k\}$$

- The probability that a station transmits in a randomly chosen slot time

$$\tau = \sum_{i=0}^m b_{i,0} = \frac{b_{0,0}}{1-p} = \frac{2}{W+1}$$

- The probability that there is at least one transmission

$$P_{tr} = 1 - (1 - \tau)^n$$

- The success probability of a transmission

$$\begin{aligned} P_S &= P(\text{exactly one transmission} | \text{at least one transmission}) \\ &= \frac{n\tau(1-\tau)^{(n-1)}}{P_{tr}} \end{aligned}$$



# Summary of WiFi

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- Nice properties of WiFi
  - Unlicensed band → Free!!
  - Distributed random access and no coordination
  - Ensuring fairness
- Common issues
  - Expensive overhead and lower spectrum efficiency
  - Hard to avoid collisions
  - No QoS guarantee

Every protocol balances the trade-off between  
performance and overhead

# Agenda

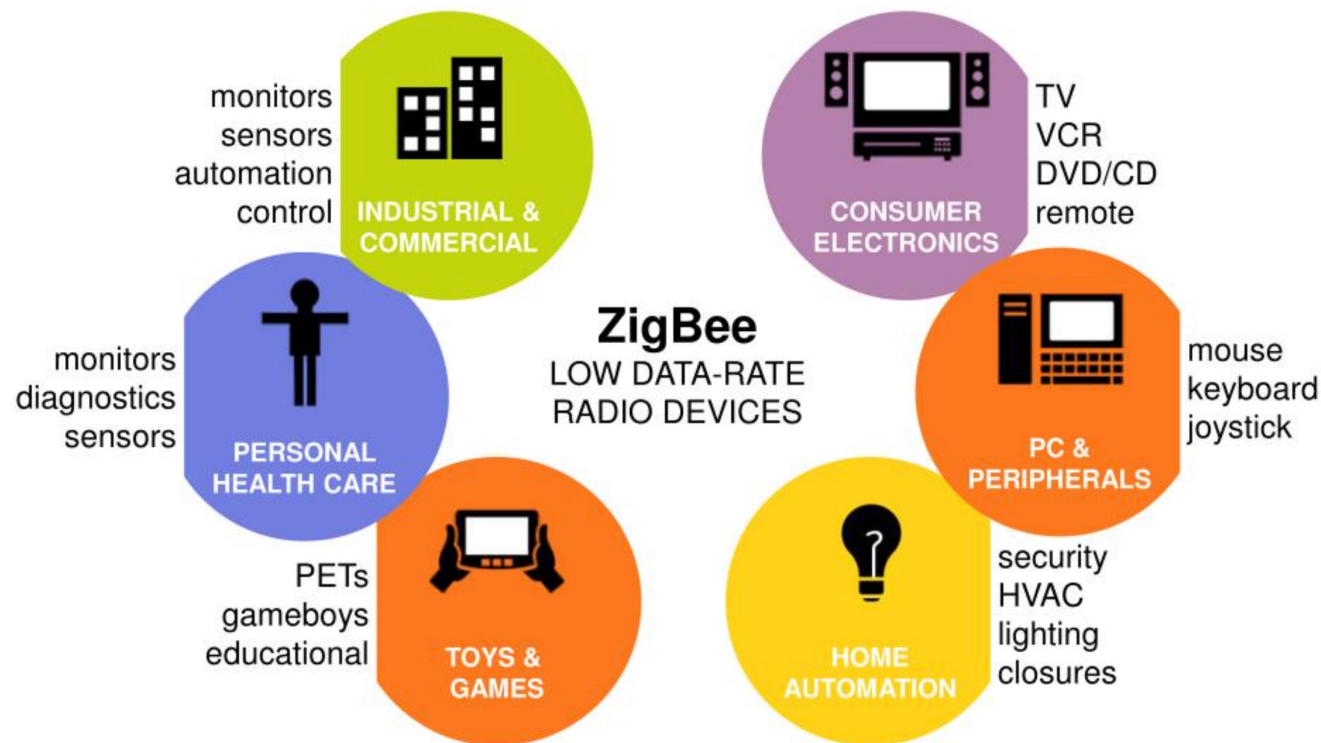
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- Medium Access Control
- WiFi
  - Basic 802.11 Operation
  - Collision Avoidance (CSMA/CA)
  - Hidden Terminal
  - QoS guarantee
  - Other Issues
  - Performance Analysis
- **Bluetooth**

# ZigBee

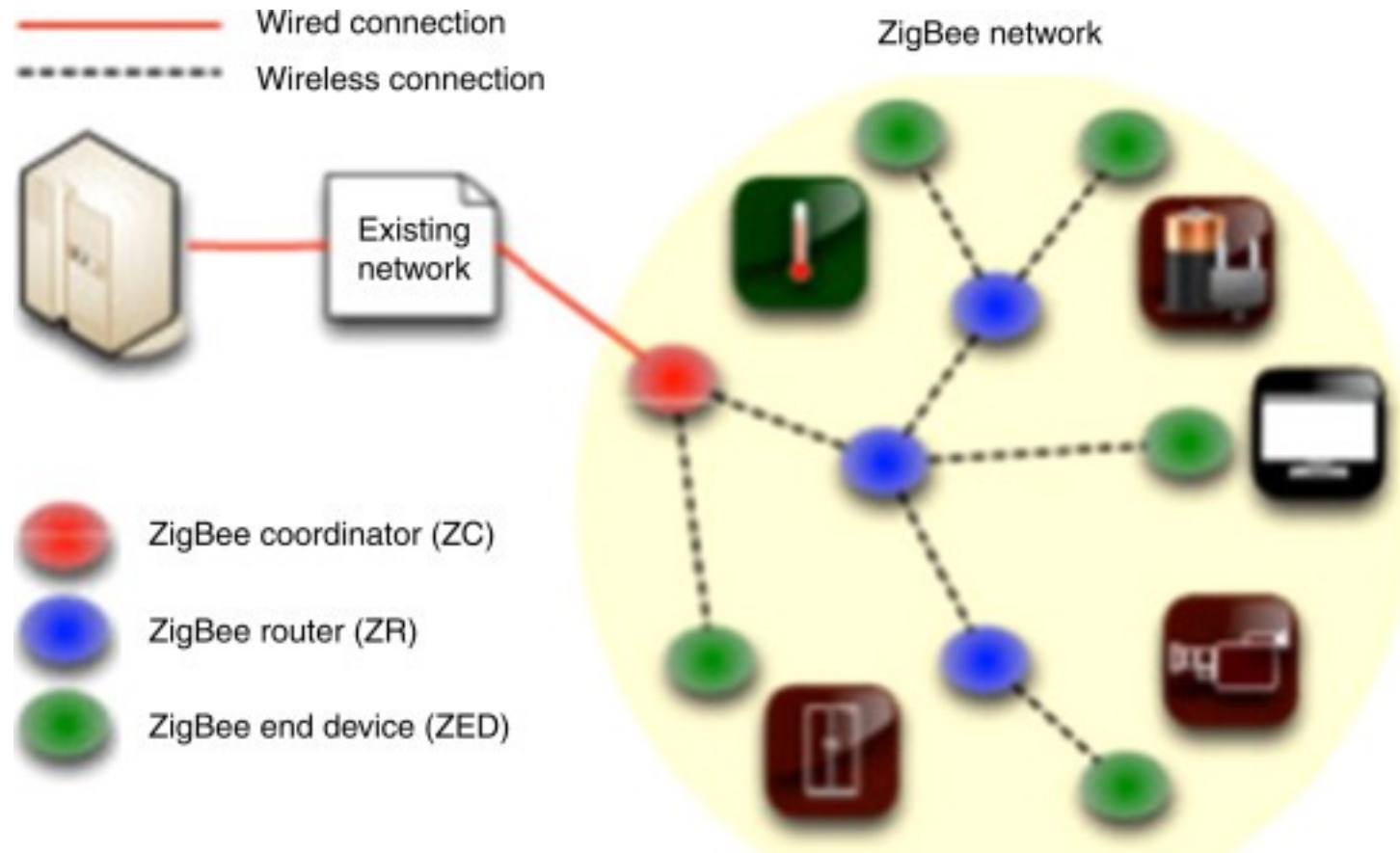
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- Designed for Wireless Personal Area Network (WPAN)
  - Short range
  - Low power consumption



# ZigBee Topology

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Typically form a star topology with a coordinator and multiple end devices

# 802.15.4

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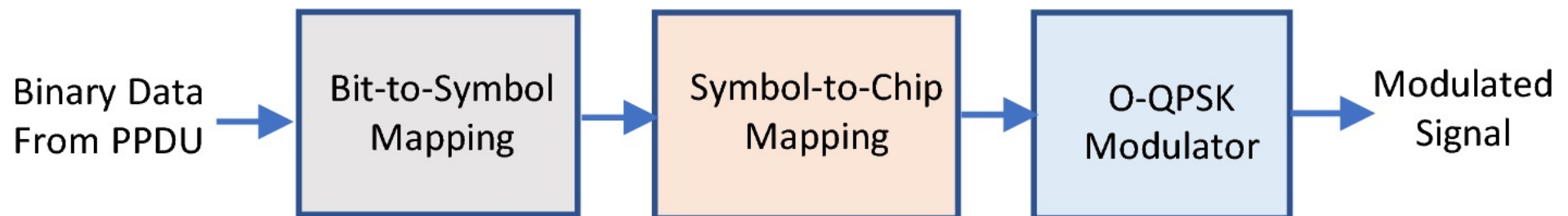
- A standard provides the MAC and PHY layers for ZigBee and 6LoWPAN
  - Short range
  - Little or no infrastructure
  - Small
  - Power-efficient
  - Inexpensive
- Operate over the license free radio bands (2.4GHz)
  - Compete with WiFi

# 802.15.4 PHY

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- **DSSS (Direct-sequence spread spectrum)**

- The message bits are modulated by a pseudorandom bit sequence known as a **spreading sequence**
- Each spreading-sequence bit is known as a **chip**
- 16-ary quasi-orthogonal modulation
  - 4 bits → 1 symbol, i.e., 16-bits **pseudo-noise (PN) code**
- 32 chip sequence
  - 1 PN code → 32 chips



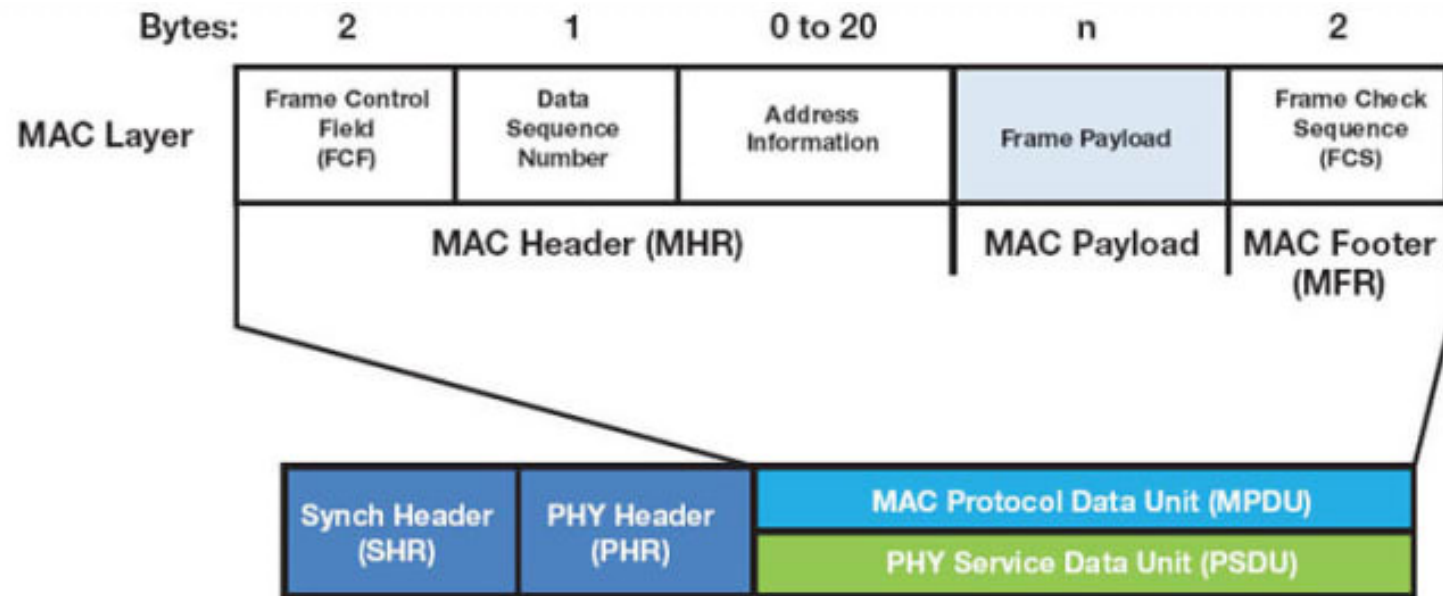
- Incoming 32-bit chips are compared with one of the 16 PN codes using maximum likelihood (ML) detection

# 802.15.4 MAC

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- Low-cost random access
- Synchronization to beacons
- Channel access based on CSMA/CA
- Traffic types
  - **Periodic data**
    - Applications defined rate (e.g., sensors)
  - **Intermittent data**
    - Application stimulus defined rate (e.g., light switch)
  - **Repetitive low latency data**
    - Allocation of time slots (e.g., moust)

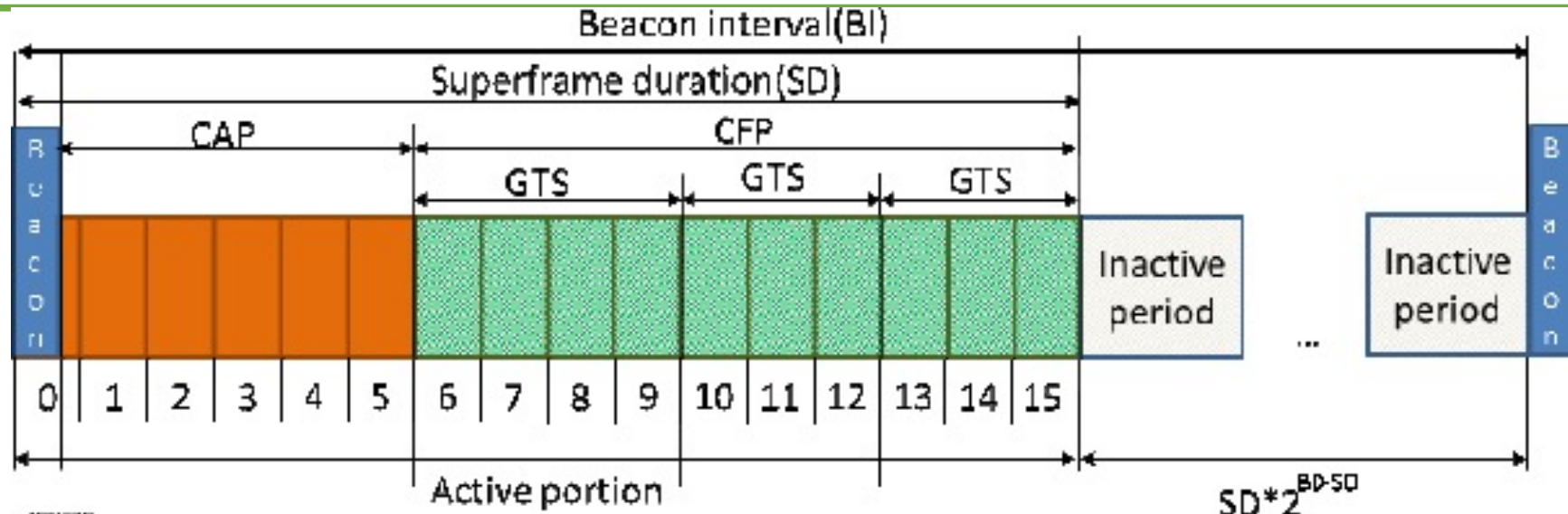
# 802.15.4 MAC Frame Format



- 4 types of frame formats
  - Data frame
  - Beacon frame
  - Acknowledge frame
  - MAC command frame



# Access Control



- The coordinator periodically sends beacons
  - Used to synchronize all devices
- Superframe: CSMA + TDMA
  - CAP (contention access period)
    - Access based on CSMA/CA
  - CFP (contention free period)
    - Invitation-based access