

Full title:

EEG datasets for motor imagery brain computer interface

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Abstract

Background: Most investigators of brain computer interface (BCI) research believe that BCI can be achieved through induced neuronal activity from the cortex, but not by evoked neuronal activity. Motor imagery (MI) based BCI is one of the standard concepts of BCI, in that the user can generate induced activity by imagining motor movements. However, variations in performance over sessions and subjects are too severe to overcome easily; therefore, a basic understanding and investigation of BCI performance variation is necessary to find critical evidence of performance variation.

Here we present not only EEG datasets for MI BCI from 52 subjects, but also the results of a psychological and physiological questionnaire, EMG datasets, the locations of 3D EEG electrodes, and EEGs for non-task related states.

Findings: We validated our EEG datasets by using the percentage of bad trials, event-related desynchronization/synchronization (ERD/ERS) analysis, and classification analysis. After conventional rejection of bad trials, we showed contralateral ERD and ipsilateral ERS in the somatosensory area, which are well-known patterns of MI. Finally, we showed that 73.08% of datasets (38 subjects) included reasonably discriminative information.

Conclusions: Our EEG datasets included good information necessary to determine statistical significance; they consisted of well-discriminated datasets (38 subjects) and less-discriminative datasets. These may provide researchers with opportunities to investigate human factors related to MI BCI performance variation, and may also achieve subject-to-



subject transfer by using metadata, including a questionnaire, EEG coordinates, and EEGs for non-task related states.

Keywords

Motor imagery, EEG, brain computer interface, performance variation, subject-to-subject transfer

Data Description

Background and Purpose

Motor imagery (MI) based brain computer interface (BCI) has attracted great interest recently. Compared with other BCI paradigms, MI BCI can provide users with direct communication without any limb movement or external stimulus (for example, P300 based BCI). MI BCI uses "induced" brain activity [1] from the cortex, rather than "evoked" brain activity. Although the concept of MI BCI is fascinating, it has many obstacles. Among these is the fact that BCI researchers have tended to focus on subject-to-subject transfer (training subject-independent algorithm). To achieve effective subject-to-subject transfer, it is important to understand the variations in performance between subjects [2]. Predicting a subject's performance by using the resting state, or background noise from EEG, are some examples of this [3–5].

In this paper, we recorded MI BCI EEG and EMG datasets simultaneously with 2 classes (100 or 120 trials for each class) from 52 healthy subjects. We also simultaneously collected 20 trials of real hand movement datasets of EEG and EMG for each subject. To study various forms of evidence of performance variation and subject-to-subject transfer, we collected subjective answers to a psychological and physiological questionnaire, as well as EEG results. In addition, we recorded the locations of 3D EEG electrodes and non-task related EEG (resting state, eyeball and head movements, and jaw clenching). Here, we validated our datasets using the percentage of bad trials, spectral analysis, and classification analysis. These datasets were stored in the *GigaScience* database, GigaDB [6].

Experimental Design

Subjects

We conducted a BCI experiment for motor imagery movement (MI movement) of the left and right hands with 52 subjects (19 females, mean age \pm SD age = 24.8 \pm 3.86); the experiment was approved by the Institutional Review Board of Gwangju Institute of Science and Technology. Each subject took part in the same experiment, and subject ID was denoted and indexed as s1, s2, ..., s52. Subjects 's20' and 's33' were both-handed and the other 50 subjects were right-handed. All subjects gave written informed consent to collect information on brain signals and were paid for their participation. The data collected were used only for research purposes.



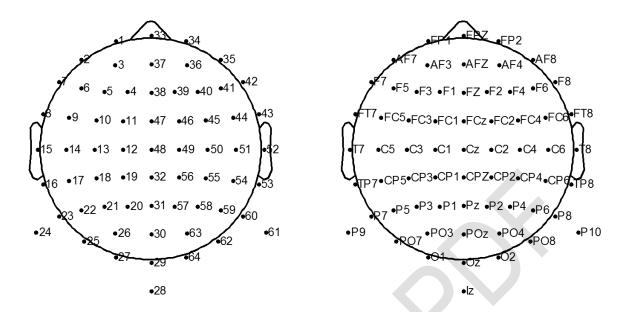


Figure 1. EEG channel configuration—numbering (left) and corresponding labeling (right).

Recording software and device

EEG data were collected using 64 Ag/AgCl active electrodes. As shown in Figure 1, a 64-channel montage based on the international 10-10 system was used to record the EEG signals with 512Hz sampling rates. The EEG device used in this experiment was the Biosemi ActiveTwo system. The BCI2000 system 3.0.2 [7] was used to collect EEG data and present instructions (left hand or right hand MI). Furthermore, we recorded EMG as well as EEG simultaneously with the same system and sampling rate to check actual hand movements. Two EMG electrodes were attached to the flexor digitorum profundus and extensor digitorum on each arm.

For each subject, EEG channel locations (3D coordinates) were collected with a 3D coordinate digitizer (Polhemus Fastrak). Electrode location was measured as the average of three measurements of the digitizer to obtain a stabilized position and prevent hand shaking.

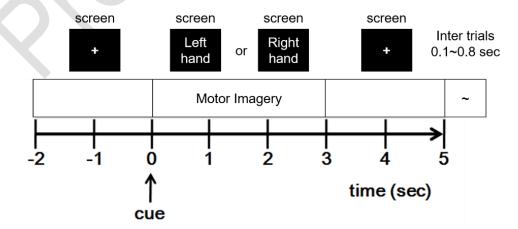




Figure 2. Experimental paradigm. One trial of the MI experiment.

Environment

All experiments were conducted at our laboratory during one of four time slots: T1 (9:30-12:00), T2 (12:30-15:00), T3 (15:30-18:00), or T4 (19:00-21:30). The experiments began on August, 2011 and ended on September, 2011. The background noise level was 37-39 decibels.

Experiment and datasets

For each subject, we recorded data for non-task related and task (MI) related states, as follows:

- Six types of non-task related data. We recorded 6 types of noise data (eye blinking, eyeball movement up/down, eyeball movement left/right, head movement, jaw clenching, and resting state) for 52 subjects. Each type of noise was collected twice for 5 seconds, except the resting state, which was recorded for 60 seconds.
- Real hand movement. Before beginning the motor imagery experiment, we asked subjects to conduct real hand movements. Subjects sat in a chair with armrests and watched a monitor. At the beginning of each trial, the monitor showed a black screen with a fixation cross for 2 seconds; the subject was then ready to perform hand movements (once the black screen gave a ready sign to the subject). As shown in Figure 2, one of two instructions ("left hand" or "right hand") appeared randomly on the screen for 3 seconds, and subjects were asked to move the appropriate hand depending on the instruction given. After the movement, when the blank screen reappeared, the subject was given a break for a random 4.1 to 4.8 seconds. These processes were repeated 20 times for one class (one run), and one run was performed.
- MI experiment. The MI experiment was conducted with the same paradigm as the real hand movement experiment. Subjects were asked to imagine the hand movement depending on the instruction given. Five or six runs were performed during the MI experiment. After each run, we calculated the classification accuracy over one run and gave the subject feedback to increase motivation. Between each run, a maximum 4-minute break was given depending on the subject's demands.

The entire procedure of the experiment is presented in Table 1.











Figure 3. Motor imagery instruction. We asked subjects to imagine four actual finger movements: touching each index, middle, ring, and little finger to the thumb within 3 seconds. Before the MI experiment began, subjects practiced executing the four movements within 3 seconds.

Motor imagery instructions

Before the MI experiment began, we asked each subject to move his/her fingers, starting from the index finger and proceeding to the little finger (depicted in Figure 3) and touching each to their thumb within 3 seconds after onset. Each subject practiced these actual finger movements, and then performed the MI experiment. When imagining the movement, we asked subjects to imagine the kinesthetic experience [8], rather than imagining the visual experience.

 Table 1. Experimental procedure

Number	Task	Duration (min)				
1	Filling in a consent form and questionnaire	10				
2	EEG electrode placement	20				
3	Acquisition of the six types of non-task related data	2				
4	Practicing actual finger movements	3				
5	RUN 1	6				
6	Filling out questionnaire	4				
7	RUN 2	6				
8	Filling out questionnaire	4				



9	RUN 3	6			
10	Filling out questionnaire	4			
11	RUN 4	6			
12	Filling out questionnaire	4			
13	RUN 5	6			
14	Filling out questionnaire	4			
15	Online experiment	6			
16	Digitizing 3D coordinates of EEG electrodes	15			
17	Removing electrodes and cleaning laboratory	20			
	Sum	126			

Table 2. Questionnaire for motor imagery experiment.

Questionnaire											
Number Individual Information Subject ID:											
101	Time slot $(1 = 9:30/2 = 12:30/3 = 15:30/4 = 19:00)$										
102	Handedness $(0 = left/1 = right/2 = both)$										
103	Age(number)										
104	Sex (female = $0/\text{male} = 1$)										
105	BCI experience ($0 = \text{no/number} = \text{how many times}$)										
106	Biofeedback experience ($0 = no/number = how many times$)										
	Before motor imagery experiment										
107	3. How long did you sleep? (1 = less than $4h/2 = 5 \sim 6h/3 = 6 \sim 7h/4 = 7 \sim 8h/5 = more than 8h)$										
108	4. Did you drink coffee within the past 24 hours? $(0 = no, number = hours before)$										
109	5. Did you drink alcohol within the past 24 hours ($0 = no$, number = hours before)										
110	6. Did you smoke within the past 24 hours $(0 = no, number = hours before)$										
111	7. How do you feel?	Relaxed	1	2	3	4	5	Anxious			
112		Excited	1	2	3	4	5	Bored			
113	Physical state	Very good	1	2	3	4	5	Very bad or tired			
114	Mental state	Very good	1	2	3	4	5	Very bad or tired			
115	8. BCI performance (accuracy) expected? (%)										
	During motor imagery experiment										
	Run 1(after the first Run)										
210	1. Can you continue to the nex	t run? (0 = no)	0/1 =	yes)							



211	2. How do you feel?	Relaxed	11 2	3	4	5	1	Anxi	ous	
212		Excited	3	4	5]	Bored			
213	Attention level	High 1 2 3 4 5 Low								
214	Physical state	Very good 1 2 3 4 5 Very bad				bad or tired				
215	Mental state	Very good	11 2	3	4	5	•	Very	bad or tired	
216	3. Have you nodded off (slept	Have you nodded off (slept awhile) during this run? $(0 = no/number = how many times)$								
217	4. Was it easy to imagine finge movements?	•								
218	5. How many trials did you miss? (0 = none/number = how many times)									
219	6. BCI performance (accuracy) expected? (%)									
	Run 2 (after the second Run)									
220~229	9		•••							
	Run 3 (after the third Run)									
230~239	9		•••							
Run 4 (after the fourth Run)										
240~249	9		•••							
	Run 5 (after the fifth Run)									
250~259	9		•••							
	After the motor imagery e	xperiment								
301	1. How was this experiment?	Duration	Short	1	2	3	4	5	Long	
302		Procedure	Good	1	2	3	4	5	Bad	
303	Environment Comfortable 1 2 3 4 5 Uncomfortable									
304 2. BCI performance (accuracy) of whole data expected? (%)										



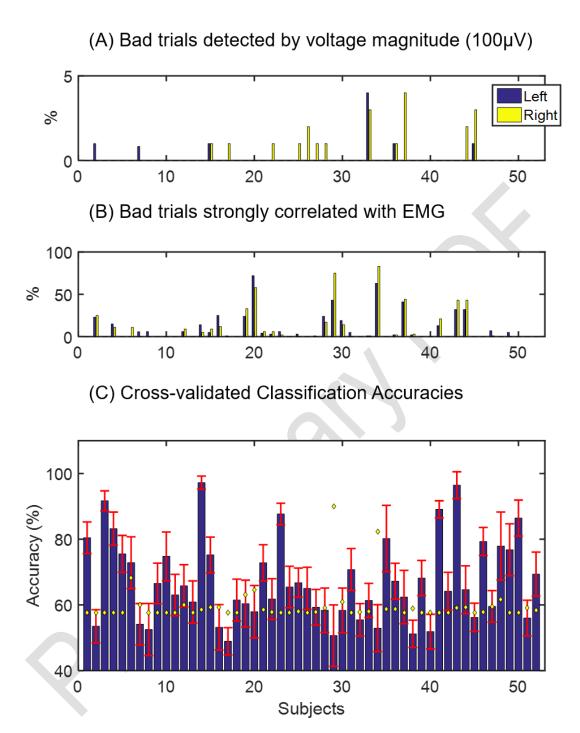


Figure 4. Estimated percentage of bad trials and classification accuracies for all subjects. (A) Each class contained 100 or 120 trials. If any amplitude in 8–30Hz band-passed trial was greater than $100\mu V$ within 500-2500 msec, then the trial was classified as a bad trial. (B) If a power level of 50-250Hz in EMG had correlations with the labels of resting and task-related time points within a motor imagery trial and the correlations are greater than 0.8 (correlation threshold estimated from real hand movement EMG data), then it was declared as a bad trial correlated with EMG. (C) Cross-validated classification accuracies were estimated



by using Common Spatial Pattern (CSP) and Fisher's linear discriminant analysis (FLDA). Yellow diamonds indicate the random chance levels depending on the number of total trials (excluding bad trials) for each subject.

Questionnaire

We asked subjects to fill out a questionnaire during the MI experiment, as shown in Table 2. Before beginning the MI experiment, subjects answered 15 questions (questions numbered 101 to 115). After every run, subjects answered another 10 questions (questions numbered 210 to 219). After the MI experiment, we asked the subjects to answer a final set of questions (questions numbered 301 to 304). All numerical values of the questions were stored as a Microsoft Excel file (*.xlsx).

Data format and structure

The MATLAB structure of the EEG (1st to 64th channel) and EMG (65th to 68th channel) data ('*.mat') for each subject is shown below:

- rest: resting state with eyes-open condition
- noise:
 - Eye blinking, $5 \text{ sec.} \times 2$
 - Eyeball movement up/down, $5 \sec \times 2$
 - Eyeball movement left/right, 5 sec. × 2
 - Jaw clenching, $5 \sec \times 2$
 - Head movement left/right, 5 sec. × 2
- imagery_left: 100 or 120 trials of left hand MI
- imagery_right: 100 or 120 trials of right hand MI
- n_imagery_trials: 100 or 120 trials for each MI class
- imagery_event: value "1" represents onset for each MI trial
- movement_left: 20 trials of real left hand movement
- movement_right: 20 trials of real right hand movement
- n movement trials: 20 trials for each real hand movement class
- movement_event: value "1" represents onset for each movement trial
- frame: temporal range of a trial in milliseconds



srate: sampling rate

• senloc: 3D sensor locations

• psenloc: sensor location projected to unit sphere

• subject: subject's two-digit ID - 's#'

• comment: comments for the subject

bad_trial_indices

bad trials determined by voltage magnitude

bad trials correlated with EMG activity

Reliability

Methods

For preprocessing, we used Butterworth filtering with 4th order for high-pass and band-pass filtering. We validated the EEG datasets in three different ways:

- First, we checked the number of bad trials in each subject's data. If a band-passed (8–30 Hz) trial had an amplitude greater than ±100 μV [9–11] within 500–2500 msec, the trial was declared bad. The frequency band is involved in somatosensory rhythm (SMR) [1,12,13]. The time window was determined by an algorithm for selection of a discriminative time interval (see Appendix in [13]). The percentage of bad trials was estimated for each subject. The bad trials were not considered in the following analysis. The bad trial indexes were added for each subject dataset, as shown in the section titled 'Data format and structure.'
- Secondly, we investigated whether each trial is correlated with EMG (e.g., real hand movement) adopting [14]'s idea which was using correlation between class labels and EMG activity. In the prescreening of EMG in the real hand movement experiment, we observed high frequency activity (50–250 Hz) during real hand movement. We calculated Pearson correlation between ranked the EMG power of high frequency activity and the label of time points, as follows:
 - 1. High-pass filtering of all EMG trials above 0.5 Hz to remove drifts;
 - 2. Common average reference;
 - 3. Band-pass filtering of all trials with 50–250 Hz;
 - 4. Hilbert transform;
 - 5. Take absolute and squared magnitudes for each complex value of all trials;



- 6. Extract data in resting window (-1000–0 msec) and task-related window (0–3000 msec) for each trial;
- 7. Prepare labels for each time point within a trial:
 - Tag '-1' value for time points in resting window;
 - Tag '+1' value for time points in task-related window;
- 8. Both squared EMG magnitudes and label of time points are decimated (averaged) by a factor of 8. Then calculate Pearson correlation between ranked squared EMG magnitudes and label of time points;
- 9. Execute permutation test over time points within a trial:
 - Calculate Pearson correlation between ranked permuted features and labels;
 - Repeat 100 times;
 - Make probability density function (PDF) of the values of Pearson correlation;
 - Calculate p-values (one right tailed test) over all trials and four EMG channels;
- 10. If Bonferroni corrected p-value is smaller than 0.01 and the correlation value is greater than 0.8 (empirically determined from real hand movement EMG data), then it is declared as a bad trial correlated with EMG.

Finally, the EMG correlated EEG trial indices were added for each subject dataset, as shown in the 'Data format and structure' section.

- Thirdly, we checked event-related desynchronization/synchronization (ERD/ERS) of SMR for each subject [1]. To calculate ERD/ERS for each channel, we followed the same procedure as that in [1], as follows:
 - 1. High-pass filtering of all EEG trials above 0.5 Hz to remove drifts;
 - 2. Laplacian filtering;
 - 3. Band-pass filtering of all trials with 8–14 Hz;
 - 4. Hilbert transform of all trials:
 - 5. Absolute magnitude taken for each complex value of all trials;
 - 6. Magnitude of Hilbert transformed samples averaged across all trials;



- 7. Baseline correction for each trial to obtain a percentage value for ERD/ERS per the formula ERD% = $\frac{A-R}{R} \times 100$, where A is each time sample and R is the mean value of the baseline period (-500 to 0 msec).
- Lastly, we validated the discriminability of the left versus right hand MI EEG data as classification accuracy. All trials for each subject were pre-processed by high-pass filtering and common average reference, and then filtered both spectrally (8–30Hz) and temporally (0.5–2.5 seconds after stimulus onset). For the feature extraction algorithm, we used 2 spatial filters of the common spatial pattern (CSP) for each class [12,13]. For classification, we used Fisher's linear discriminant analysis (FLDA). We performed cross-validation in the following way. For each class, we divided all trials of MI data into 10 subsets each. Seven subsets were chosen randomly and used to train CSP and FLDA, and the remaining 3 subsets were used to test them. This procedure was repeated 120 times by choosing 3 among the 10 subsets randomly. Finally, 120 classification accuracies were estimated and averaged.

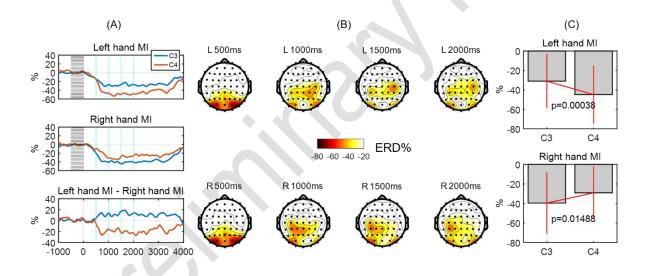


Figure 5. Event-related desynchronization (ERD) of somatosensory rhythm (8–14Hz) from discriminative subjects (38 subjects). (A) The first and second rows show ERD of the C3 and C4 channels in left and right hand motor imagery, respectively. The last row shows the difference in ERDs between left and right motor imagery. The gray shaded region is the baseline period. Cyan colored vertical lines represent time points such as 500, 1000, 1500, and 2000 msec. (B) Topographies of ERDs at the cyan colored time points in (A). Initials "L" and "R" indicate left and right motor imagery movements, respectively. (C) Comparison of ERD at C3 and C4 channels within 500–2500 msec. P-values were estimated by paired t-test.

Results



Percentage of bad trials. We calculated the percentage of bad trials for each subject, as shown in Figure 4A. The percentages of bad trials within the spectral and temporal discriminative ranges were below 5% for most subjects. Furthermore, we calculated the percentage of EMG trials correlated with labels of time points for each MI trial, as shown in Figure 4B. Two subjects (s29 and s34) showed that more than 90% of their trials were correlated with EMG; most of the trials demonstrated a greater power of high frequency EMG (50–250 Hz) in the task-related period after onset than the resting period before onset. Thus, these two subjects were declared as bad subjects and were discarded in the further analysis. Rest of subjects has at least 10 trials per class. The literature [9] showed that the upper confidence limits of chance with $\alpha = 5\%$ were 70% (classification accuracy) in a 2class problem with 10 trials for each class. If a subject has higher accuracy than the random chance level depending on the number of trials [9], we classified the subjects into discriminative group. On the other hand, we applied the same method to real hand movement trials to test our method. We observed that most trials (more than 85%) were correlated to the power of high frequency EMG (50-250Hz) and the correlation values were higher than 0.8. Here, although we set the p-value threshold as 0.05, a few trials were not correlated with the labels of resting or task-related states. Thus, our threshold of p-value was set to 0.01. Furthermore, according to the observed correlation distributions of real hand movement data, we set 0.8 as a correlation threshold. Finally, if the correlation value is greater than 0.8 threshold and the p-value is smaller than 0.01 in MI datasets, the trial was classified to bad trial correlated with real hand movement.

Most existing studies detected EMG activity through manual monitoring. They recorded EMG and EEG simultaneously and monitored EMG burst during the experiment. On the other hand, in the published literature [15], the resting state of EMG was recorded and the significant threshold from the resting EMG was defined. Furthermore, in other work in the literature [14], correlation values between target position (cursor movement control application in BCI) and EMG activity were calculated, and they were compared with the correlation values of EMG-class labels and EEG-class labels. Also, according to the literature [15], t-values between the EMGs of the operant hand and the non-operant hand were calculated. We believed that the correlation between EMG activity and time point labels within a trial could provide the solution for detecting which EEG trial was correlated to EMG. We attempted the voltage thresholding method, but there were trials correlated with EMG even EMG activity was smaller than threshold. We also tried to compare the voltage distribution between the resting state and task-related EMG, but there were trials correlated to EMG although the distribution of EMG of a trial has a distribution similar to that of the resting state. Finally, thirty-eight subjects had higher classification accuracy than their own random chance (yellow diamond marker) with a confidence level of $\alpha = 5\%$, as shown in Figure 4C.



(A) Trained spatial filter for left/right hand MI LH filter RH filter (B) Sorted Classification Accuracies (8) Sorted Classification Accuracies Subjects

Figure 6. Trained spatial filters for left and right hand motor imagery data and sorted cross-validated classification results. (A) To demonstrate the discriminative feature of our dataset, CSP filters were trained by averaged covariance matrix of thirty-eight subjects who have high BCI performance (> random chance). (B) Sorted accuracies are depicted in increasing order. Fourteen subjects showed low BCI performance (< random chance marked with yellow diamond). Because of sorting, the number on the X-axis does not correspond to subject numbers "s01" to "s52."

ERD/ERS. The ERD/ERS results of mu rhythm (8–14 Hz) are depicted in Figure 5. Figure 5A shows the grand averaged ERD/ERS (%) of C3 and C4 channel over the 36 subjects who has discriminative information (as shown in Figure 4C). The powers of mu rhythm in C3 and C4 channel decreased in both left and right hand MI. Contralateral channel showed bigger desynchronization in corresponding class. The last row in Figure 5A shows the difference over time of ERD/ERS in C3 and C4 channels. The C4 channel showed a bigger difference than the C3 channel. Figure 5B shows the topographies at specific time points, for instance, 500, 1000, 1500, and 2000 msec. Those time points are marked in Figure 5A as a cyan colored vertical line. At 500 msec, the occipital areas were activated ('alpha inhibition [1,16]'). The occipital alpha ERD was continued to 2000 msec. We also tested whether each trial contains occipital alpha ERD or not by using permutation test, just like EMG trial



detection. We found most of trials have the occipital alpha ERD for all subjects. Common spatial pattern (CSP) filters (representing the filtering weight for each channel) trained by the 38 subjects were estimated, as shown in Figure 6A; prominent CSP weights existed around SMC only, but not in occipital. It means that the occipital alpha ERD may not affect the class labeled data. It is expected that the activation of the occipital area may be related to processing of visual stimulus. However, the occipital alpha activity was not influenced on the quality of our left/right motor imagery data. At 1000 msec, contralateral channels showed bigger ERD than ipsilateral channels. For left hand MI, the right central and parietal areas showed bigger ERD than the left hemisphere. In Figure 5C, bar graphs of left hand MI show that the contralateral ERD (C4 channel) is stronger than the ipsilateral ERD (C3 channel).

Classification. The mean accuracy of all BCI performances (Figure 4C) over the 50 subjects, excluding bad subjects, was 67.46% ($\pm 13.17\%$) in our datasets. In BCI2000 MI datasets [6,17,18], the average accuracy was 60.42% ($\pm 11.68\%$) over 109 subjects using CSP and FLDA [6,19–20]. In our datasets, fourteen subjects (26.92% of 52 subjects) showed low BCI performance (below random chance, which is the upper confidence limit of chance with $\alpha = 5\%$), as shown in Figure 6B. This is greater than a report on 99 subjects [21] showing that 6.7% of the subjects had accuracies lower than 60% (here, the average accuracy over the 99 subjects was not reported). Comparing with the datasets of [19], our datasets have more trials, even though bad trials were rejected and excluded from results. [19] has MI data of 109 subjects, but the number of total trials for each subject is about 20 trials, which has a random chance level of 65% ($\alpha = 5\%$).

Availability of supporting data

The data supporting this paper, including EEG datasets and questionnaire results, are available in the *GigaScience* database GigaDB [6].

Abbreviations

BCI: Brain Computer Interface; CSP: common spatial pattern; EEG: Electroencephalography; EMG: Electromyography; ERD/ERS: Event-related desynchronization/synchronization; FLDA: Fisher's linear discriminant analysis; SMR: Somatosensory Rhythm; MI: motor imagery

Competing interests

The authors declare that they have no competing interests.

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