#### 6.842 Randomness and Computation

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## Lectures on Linearity Testing

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## 1 Linearity Testing

**Definition 1.** Let G and H be finite groups. Let  $f: G \to H$ . Then f is said to be *linear* (i.e., is a *homomorphism*) if for all  $x, y \in G$ ,

$$f(x) +_H f(y) =_H f(x +_G y)$$
.

For all  $\varepsilon > 0$ , f is said to be  $\varepsilon$ -linear if there exists a linear function  $g: G \to H$  such that f and g agree on at least  $1 - \varepsilon$  fraction of inputs in G, i.e.,

$$\underset{x \in G}{\mathbb{P}}[f(x) = g(x)] \ge 1 - \varepsilon,$$

or equivalently,

$$\frac{|\{x\in G: f(x)=g(x)\}|}{|G|}\geq 1-\varepsilon.$$

Algorithm 1 is a natural test for the linearity of a function  $f: G \to H$ , where G and H are finite groups.

- 1 repeat ? times
- pick random  $x, y \in G$
- 3 if  $f(x) + f(y) \neq f(x+y)$  then
- 4 return "fail"
- 5 return "pass"

**Algorithm 1:** A proposed test for the linearity of a function  $f: G \to H$ , where G and H are finite groups.

**Observation 2.** Let G be a finite group. For all  $a, y \in G$ ,  $\mathbb{P}_{x \in G}[y = a + x] = 1/|G|$ . In other words, if x is chosen uniformly from G, then a + x is also uniformly distributed in G.

*Proof.* Since only x = y - a satisfies y = a + x, then  $\mathbb{P}_{x \in G}[y = a + x] = \mathbb{P}_{x \in G}[x = y - a] = 1/|G|$ .  $\square$ 

# 2 Self-Correcting (Random Self-Reducibility)

**Theorem 3.** Let G be a finite group. Let  $f: G \to G$  be a function such that there exists a linear function  $g: G \to G$  and that  $\mathbb{P}_{x \in G}[f(x) = g(x)] \ge 7/8$ . Then for all  $x \in G$ , g(x) can be computed with only  $O(\log(1/\beta))$  calls to f (with at most  $\beta$  probability of error).

Given input  $x \in G$  and black box access to f, we define a self corrector in Algorithm 2.

**Proposition 4.**  $\mathbb{P}[output = g(x)] \geq 1 - \beta$ .

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1 for i \leftarrow 1, \ldots, C \cdot \log(1/\beta) do
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- $\mathbf{p}$  pick y uniformly in G
- $answer_i \leftarrow f(y) + f(x-y)$
- 4 output the most common answer

**Algorithm 2:** A self corrector for a 1/8-linear function  $f: G \to G$  on input x, where G is a finite group.

*Proof.* Let y be chosen uniformly in G. By Observation 2, x - y is also uniformly distributed in G. Therefore,

$$\mathbb{P}[f(y) \neq g(y)] \le \frac{1}{8}, \qquad \mathbb{P}[f(x-y) \neq g(x-y)] \le \frac{1}{8}.$$

By the union bound,

$$\mathbb{P}[f(y) + f(x - y)] = g(x)] = \mathbb{P}[f(y) + f(x - y)] = g(y) + g(x - y)]$$

$$\geq \mathbb{P}[f(y) = g(y), f(x - y) = g(x - y)]$$

$$\geq 1 - \left(\frac{1}{8} + \frac{1}{8}\right) = \frac{3}{4}.$$

This implies that  $\mathbb{P}[answer_i = g(x)] \geq 3/4$  for all i. The proof is hence complete.

### 3 Coppersmith's Example

Let  $m \in \mathbb{N}$ . Let  $f: \mathbb{Z}_m \to \mathbb{Z}_m$  be defined by

$$f(x) = \begin{cases} 1, & \text{if } x \equiv 1 \pmod{3}, \\ 0, & \text{if } x \equiv 0 \pmod{3}, \\ -1, & \text{if } x \equiv 2 \pmod{3}. \end{cases}$$

The graph of f is plotted in Figure 1.

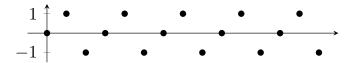


Figure 1: The graph of Coppersmith's example.

Note that the closest linear function  $g: \mathbb{Z}_m \to \mathbb{Z}_m$  to f is given by g(x) = 0 for all  $x \in \mathbb{Z}_m$ , so f is 2/3-far from being linear. Note that f fails for  $x, y \in \mathbb{Z}_m$  with  $x \equiv y \equiv 1 \pmod{3}$  or  $x \equiv y \equiv 2 \pmod{3}$ , and passes for all other  $x, y \in \mathbb{Z}_m$ . Therefore, the rejection probability of the linearity test for f, denoted by  $\delta_f$ , is given by

$$\delta_f = \mathbb{P}_{x,y \in \mathbb{Z}_m} [f(x) + f(y) \neq f(x+y)] = \frac{2}{9}.$$

Fortunately, 2/9 is the threshold; in other words, Coppersmith's example is the worst example. If  $\delta_f < 2/9$  for some function  $f: G \to G$  and finite group G, then f must be  $\delta_f$ -close to being linear.

### 4 Fourier Analysis for Boolean Functions

The *n*-dimensional Boolean hypercube  $\{0,1\}^n$  can be interpreted as having n+1 layers, where the  $i^{\text{th}}$  layer consists of *n*-bit Boolean strings with i ones for each  $i \in \{0,\ldots,n\}$ , and where two *n*-bit Boolean strings in consecutive layers are joined by an edge if they differ at exactly one bit. What are linear maps  $\{0,1\}^n \to \{0,1\}$ ?

**Definition 5.** Given  $x, y \in \{0, 1\}^n$ , the inner product of x and y is defined to be

$$x \cdot y = \sum_{i=1}^{n} x_i y_i \pmod{2}.$$

Note that addition modulo 2 is the XOR operation. Linear functions on  $\{0,1\}^n$  are of the form

$$L_a(x) = a \cdot x,$$
 for fixed  $a \in \{0, 1\}^n$ ,

or, alternatively,

$$L_A(x) = \sum_{i \in A} x_i \pmod{2},$$
 for fixed  $A \subset [n]$ .

Therefore, there are exactly  $2^n$  linear functions on  $\{0,1\}^n$ .

To simplify the presentation, we change the notation by letting  $a \mapsto (-1)^a$  for  $a \in \{0,1\}$  and by changing addition a+b to multiplication  $(-1)^a(-1)^b = (-1)^{a+b}$ . Hence, the condition of linearity  $f(a)+f(b)=f(a\oplus b)$  for all  $a,b\in\{0,1\}^n$  is changed to  $f(a)\cdot f(b)=f(a\odot b)$  for all  $a,b\in\{1,-1\}^n$ , where  $(x_1,\ldots,x_n)\oplus (y_1,\ldots,y_n)=(x_1+y_1,\ldots,x_n+y_n)$  denotes the bitwise XOR (i.e., addition modulo 2) of two n-bit Boolean strings, and  $(x_1,\ldots,x_n)\odot (y_1,\ldots,y_n)=(x_1\cdot y_1,\ldots,x_n\cdot y_n)$  denotes the bitwise multiplication of two n-bit  $\{1,-1\}$ -valued strings. Moreover, linear functions on  $\{1,-1\}^n$  are of the form

$$\chi_S(x) = \prod_{i \in S} x_i,$$
 for fixed  $S \subset [n]$ .

We want to find a basis to describe all functions  $f : \{\pm 1\}^n \to \{\pm 1\}$ . The first idea is to use the "input-output table"; in other words, the basis consists of all indicator functions

$$e_a(x) = \begin{cases} 1, & \text{if } x = a, \\ 0, & \text{otherwise,} \end{cases}$$

for all  $a \in \{\pm 1\}^n$ . Then for any function  $f : \{\pm 1\}^n \to \{\pm 1\}$ ,

$$f(x) = \sum_{a \in \{\pm 1\}^n} f(a)e_a(x).$$

For the purpose of linearity testing, we introduce the second idea, i.e., to use linear functions (a.k.a. parity functions)  $\chi_S(x) = \prod_{i \in S} x_i$  for all  $S \subset [n]$ .

**Definition 6.** Given  $f, g : \{\pm 1\}^n \to \{\pm 1\}$ , the *(normalized) inner product* of f, g is defined to be

$$\langle f, g \rangle = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} f(x)g(x).$$

**Proposition 7.** The set of parity functions  $\{\chi_S : S \subset [n]\}$  is an orthonormal basis with respect to the inner product.

*Proof.* For  $S \subset [n]$ ,

$$\langle \chi_S, \chi_S \rangle = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} (\chi_S(x))^2 = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} 1 = 1.$$

Let  $S, T \subset [n]$  be such that  $S \neq T$ . Then

$$\langle \chi_S, \chi_T \rangle = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} \chi_S(x) \chi_T(x) = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} \left( \prod_{i \in S} x_i \right) \left( \prod_{i \in T} x_i \right)$$

$$= \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} \left( \prod_{i \in S \cap T} x_i^2 \right) \left( \prod_{i \in S \triangle T} x_i \right) = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} 1 \cdot \prod_{i \in S \triangle T} x_i$$

$$= \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} \chi_{S \triangle T}(x).$$

Note  $S \triangle T \neq \emptyset$  since  $S \neq T$ . Let  $j \in S \triangle T$ . Let  $x^{\oplus j}$  be obtained by flipping the  $j^{\text{th}}$  bit in x. Then

$$\langle \chi_{S}, \chi_{T} \rangle = \frac{1}{2^{n}} \sum_{\text{pairs } x, x^{\oplus j}} \left( \chi_{S \triangle T}(x) + \chi_{S \triangle T}(x^{\oplus j}) \right)$$

$$= \frac{1}{2^{n}} \sum_{\text{pairs } x, x^{\oplus j}} \left( x_{j} \prod_{i \in (S \triangle T) \setminus \{j\}} x_{i} + (-x_{j}) \prod_{i \in (S \triangle T) \setminus \{j\}} x_{i} \right)$$

$$= \frac{1}{2^{n}} \sum_{\text{pairs } x, x^{\oplus j}} 0 = 0. \tag{1}$$

This completes the proof.

**Corollary 8.** Any function  $f: \{\pm 1\}^n \to \{\pm 1\}$  is uniquely expressible as a linear combination of the parity functions  $\chi_S$  for  $S \subset [n]$ .

**Definition 9.** For any function  $f: \{\pm 1\}^n \to \{\pm 1\}$  and any  $S \subset [n]$ , the Fourier coefficient of f at S is defined to be

$$\hat{f}(S) := \langle f, \chi_S \rangle = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} f(x) \chi_S(x).$$

**Theorem 10.** For any function  $f: \{\pm 1\}^n \to \{\pm 1\}$ ,

$$f(x) = \sum_{S \subset [n]} \hat{f}(S) \chi_S(x).$$

**Proposition 11** (Fourier coefficients of linear functions). Any function  $f: \{\pm 1\}^n \to \{\pm 1\}$  is linear if and only if there exists  $S \subset [n]$  such that  $\hat{f}(S) = 1$  and  $\hat{f}(T) = 0$  for all  $T \subset [n]$  with  $T \neq S$ .

**Proposition 12.** For any  $S \subset [n]$ ,

$$\hat{f}(S) = 1 - 2 \operatorname{dist}(f, \chi_S),$$

where

$$\operatorname{dist}(f, \chi_S) := \mathbb{P}_{x \in \{\pm 1\}^n} [f(x) \neq \chi_S(x)] = \frac{|\{x \in \{\pm 1\}^n : f(x) \neq \chi_S(x)\}|}{2^n}.$$

*Proof.* We have

$$2^{n} \hat{f}(S) = \sum_{x \in \{\pm 1\}^{n}} f(x) \chi_{S}(x) = \sum_{\substack{x \in \{\pm 1\}^{n} \\ f(x) = \chi_{S}(x)}} 1 + \sum_{\substack{x \in \{\pm 1\}^{n} \\ f(x) \neq \chi_{S}(x)}} (-1)$$
$$= (1 - \operatorname{dist}(f, \chi_{S})) \cdot 2^{n} \cdot 1 + \operatorname{dist}(f, \chi_{S}) \cdot 2^{n} \cdot (-1)$$
$$= 2^{n} (1 - 2 \operatorname{dist}(f, \chi_{S})).$$

This completes the proof.

Lemma 13. Any two distinct linear functions differ on exactly half of the inputs.

*Proof.* Let  $S, T \subset [n]$  be such that  $S \neq T$ . Then

$$0 = \langle \chi_S, \chi_T \rangle$$
 (orthonormality)  
=  $\widehat{\chi_S}(T)$   
=  $1 - 2 \operatorname{dist}(\chi_S, \chi_T)$  (Proposition 12).

This implies that  $dist(\chi_S, \chi_T) = 1/2$ , completing the proof.

**Lemma 14** (Pancherel's identity). For functions  $f, g : \{\pm 1\}^n \to \{\pm 1\}$ ,

$$\langle f, g \rangle = \sum_{S \subset [n]} \hat{f}(S) \hat{g}(S).$$

*Proof.* For functions  $f, g : \{\pm 1\}^n \to \{\pm 1\},$ 

$$\langle f, g \rangle = \left\langle \sum_{S \subset [n]} \hat{f}(S) \chi_S, \sum_{T \subset [n]} \hat{g}(T) \chi_T \right\rangle$$

$$= \sum_{S, T \subset [n]} \hat{f}(S) \hat{g}(T) \left\langle \chi_S, \chi_T \right\rangle \qquad \text{(bilinearity)}$$

$$= \sum_{S \subset [n]} \hat{f}(S) \hat{g}(S). \qquad \text{(orthonormality)}$$

This completes the proof.

Corollary 15 (Boolean Parseval's identity). For any function  $f: \{\pm 1\}^n \to \{\pm 1\}$ ,

$$\sum_{S \subset [n]} \hat{f}(S)^2 = \langle f, f \rangle = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} f(x)^2 = \frac{1}{2^n} \sum_{x \in \{\pm 1\}^n} 1 = 1.$$

# 5 Linearity Testing for Boolean Functions

Now we apply Fourier analysis for Boolean functions developed in Section 4 to linearity testing for Boolean functions. By Propositions 11 and 12, a function  $f: \{\pm 1\}^n \to \{\pm 1\}$  is  $\varepsilon$ -linear if and only if there exists  $S \subset [n]$  such that  $\hat{f}(S) \geq 1 - 2\varepsilon$ .

Now, we define a linearity test for a given Boolean function in Algorithm 3. Then

$$f(x)f(y)f(x \odot y) = \begin{cases} 1, & \text{if the test accepts,} \\ -1, & \text{if the test rejects.} \end{cases}$$

1 pick random  $x, y \in \{\pm 1\}^n$ 

**2** test 
$$f(x) \cdot f(y) = f(x \odot y)$$

**Algorithm 3:** A linearity test for a given Boolean function  $f: \{\pm 1\}^n \to \{\pm 1\}$ 

Therefore, the indicator variable for the event that the test rejects is given by

$$\mathbb{1}_{f(x)\cdot f(y)\neq f(x\odot y)} = \frac{1-f(x)f(y)f(x\odot y)}{2} = \left\{ \begin{array}{l} 0, & \text{if the test accepts,} \\ 1, & \text{if the test rejects.} \end{array} \right.$$

This allows us to express the rejection probability in terms of the indicator variable:

$$\delta_f := \mathbb{P}_{x,y \in \{1,-1\}^n} [f(x) \cdot f(y) \neq f(x \odot y)] = \mathbb{E}_{x,y \in \{1,-1\}^n} \left[ \frac{1 - f(x)f(y)f(x \odot y)}{2} \right]. \tag{2}$$

**Theorem 16.** Any function  $f: \{\pm 1\}^n \to \{\pm 1\}$  is  $\delta_f$ -close to some linear function.

*Proof.* We have

$$\mathbb{E}_{x,y \in \{\pm 1\}^n} [f(x)f(y)f(x \odot y)]$$

$$= \mathbb{E}_{x,y \in \{\pm 1\}^n} \left[ \left( \sum_{S \subset [n]} \hat{f}(S)\chi_S(x) \right) \left( \sum_{T \subset [n]} \hat{f}(T)\chi_T(y) \right) \left( \sum_{U \subset [n]} \hat{f}(U)\chi_U(x \odot y) \right) \right]$$

$$= \mathbb{E}_{x,y \in \{\pm 1\}^n} \left[ \sum_{S,T,U \subset [n]} \hat{f}(S)\hat{f}(T)\hat{f}(U)\chi_S(x)\chi_T(y)\chi_U(x \odot y) \right]$$

$$= \sum_{S,T,U \subset [n]} \hat{f}(S)\hat{f}(T)\hat{f}(U) \mathbb{E}_{x,y \in \{\pm 1\}^n} [\chi_S(x)\chi_T(y)\chi_U(x \odot y)].$$

For any  $S \subset [n]$ ,

$$\chi_S(x)\chi_S(y)\chi_S(x\odot y) = \left(\prod_{i\in S} x_i\right) \left(\prod_{i\in S} y_i\right) \left(\prod_{i\in S} x_i y_i\right) = \left(\prod_{i\in S} x_i^2\right) \left(\prod_{i\in S} y_i^2\right) = 1.$$

For any  $S, T, U \subset [n]$  such that it is not the case that S = T = U,

$$\mathbb{E}_{x,y\in\{\pm 1\}^n} \left[\chi_S(x)\chi_T(y)\chi_U(x\odot y)\right] = \mathbb{E}_{x,y\in\{\pm 1\}^n} \left[ \left(\prod_{i\in S} x_i\right) \left(\prod_{i\in T} y_i\right) \left(\prod_{i\in U} x_i y_i\right) \right] \\
= \mathbb{E}_{x,y\in\{\pm 1\}^n} \left[ \left(\prod_{i\in S\triangle U} x_i\right) \left(\prod_{i\in T\triangle U} y_i\right) \right] \\
= \mathbb{E}_{x,y\in\{\pm 1\}^n} \left[\prod_{i\in S\triangle U} x_i\right] \mathbb{E}_{x,y\in\{\pm 1\}^n} \left[\prod_{i\in T\triangle U} y_i\right] \\
= \mathbb{E}_{x\in\{\pm 1\}^n} \left[\prod_{i\in S\triangle U} x_i\right] \mathbb{E}_{x\in\{\pm 1\}^n} \left[\prod_{i\in T\triangle U} x_i\right]. \tag{3}$$

Note that (3) follows from the independence of x and y. Since it is not the case that S = T = U, then either  $S \neq U$  or  $T \neq U$ . WLOG, assume  $S \neq U$ . By (1),  $\mathbb{E}_{x \in \{\pm 1\}^n}[\prod_{i \in S \triangle U} x_i] = 0$ . Therefore,  $\mathbb{E}_{x,y \in \{\pm 1\}^n}[\chi_S(x)\chi_T(y)\chi_U(x \odot y)] = 0 \cdot 0 = 0$ . It follows that

$$\mathbb{E}_{x,y \in \{\pm 1\}^n}[f(x)f(y)f(x \odot y)] = \sum_{S \subset [n]} \hat{f}(S)^3$$

$$\leq \left(\max_{S \subset [n]} \hat{f}(S)\right) \sum_{S \subset [n]} \hat{f}(S)^2$$

$$= \left(\max_{S \subset [n]} \hat{f}(S)\right) \cdot 1 \qquad \text{(Corollary 15)}$$

$$= \max_{S \subset [n]} \hat{f}(S)$$

$$= \max_{S \subset [n]} (1 - 2 \operatorname{dist}(f, \chi_S)) \qquad \text{(Proposition 12)}$$

$$= 1 - 2 \min_{S \subset [n]} \operatorname{dist}(f, \chi_S).$$

By (2),

$$\delta_f \ge \frac{1 - \left(1 - 2\min_{S \subset [n]} \operatorname{dist}(f, \chi_S)\right)}{2} = \min_{S \subset [n]} \operatorname{dist}(f, \chi_S).$$

This completes the proof.