

# Fantastic Planar Graphs and Where to Find Them

## A Linear-Algebraic Planarity Criterion

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Theory Lunch  
MIT CSAIL

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This is a very, very tough question. It is obvious that for any graph  $G$  with  $n$  edges the number of spanning trees  $t(G)$  does not exceed  $2^n$  (each edge is either included in or excluded from a subtree; this is also the upper bound of the number of connected subgraphs of  $G$ ). We can somewhat improve this - if  $G$  has  $m$  vertices ( $m \leq n + 1$ ) then we have

$$t(G) \leq \binom{n}{m-1} < 2^n,$$

since we need to choose  $m - 1$  edges out of  $n$  (not arbitrarily, of course).

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since we need to choose  $m - 1$  edges out of  $n$  (not arbitrarily, of course).

a **lower bound**:  $T_m \geq 1.7916^m$  achieved by **square grid graphs**

some **upper bounds** ( $T_m \leq \tau^m$ ):

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There was a recent improvement of  $1.96^n$  down to  $1.913^n$ . I could not find the proof, but the link is here: [sspcdn.blob.core.windows.net/files/Documents/SEP/STS/2024/...](https://sspcdn.blob.core.windows.net/files/Documents/SEP/STS/2024/...) – [Igor Pak](#) Aug 2, 2024 at 1:18

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Actually, it is now at  $1.8637^n$ . See [arxiv.org/abs/2103.10523](https://arxiv.org/abs/2103.10523) – [JimT](#) Aug 3, 2024 at 3:34

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Quick update: Here is a result by Stoimenow in knot theory, which, in their abstract, mentions giving an upper bound of  $1.8393^n$  on this number. Here: [projecteuclid.org/journals/tokyo-journal-of-mathematics/...](https://projecteuclid.org/journals/tokyo-journal-of-mathematics/...) – [Alien](#) Sep 28, 2024 at 0:17

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@Alien Nice! One comment though - I quickly looked thru the article; it is very non-elementary, as it uses a bunch of other results in links and knot theory, as well as related polynomial algebra. But still, it proves the even lower upper bound, so the gap between this and  $1.7916...$  is getting smaller with every post here :) . – [JimT](#) Sep 29, 2024 at 2:32 ✍

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theorem (Stoimenow 2007)

$T_m \leq \delta^m$ , where  $\delta \simeq 1.8393$  is the unique real root of  $x^3 - x^2 - x - 1 = 0$ .

In this talk, we provide a **simple, linear-algebraic** proof of Stoimenow's upper bound (in contrast to his “non-elementary”, knot-theoretic, polynomial-algebraic proof)



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... and also some nice by-products on **planarity criteria** from our techniques.

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W.l.o.g. (by column operations), this column is in  $[M|N]$ .

proof of  $\Delta_m \leq \delta^m$  (cont'd)

$$\begin{matrix} r_1 \\ r_2 \\ r_3 \\ r_4 \\ \vdots \\ r_m \end{matrix} \left[ \begin{array}{c|c} \begin{matrix} a_{11} \\ a_{21} \\ a_{31} \end{matrix} & \begin{matrix} \\ \\ \end{matrix} \\ \hline \begin{matrix} 0 \\ \vdots \\ 0 \end{matrix} & \begin{matrix} \\ \\ \end{matrix} \end{array} \right]$$

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$$\left[ \begin{array}{c|c} & \end{array} \right]_{r_i} = \left[ \begin{array}{c|c} & 0 \dots 0 \end{array} \right]_{r_i^0} + \left[ \begin{array}{c|c} 0 \dots 0 & \end{array} \right]_{r_i^1}$$



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$$\det [ M \mid N ] = \sum_{\alpha, \beta, \gamma \in \{0,1\}} \det \begin{matrix} r_1^\alpha \\ r_2^\beta \\ r_3^\gamma \\ r_4 \\ \vdots \\ r_m \end{matrix} \left[ \begin{array}{c|c} & \\ \hline 0 \\ \vdots \\ 0 \end{array} \right]$$

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$$\begin{matrix} r_1^1 \\ r_2^1 \\ r_3^1 \\ r_4 \\ \vdots \\ r_m \end{matrix} \left[ \begin{array}{cccc|c} 0 & \cdots & 0 & & \\ 0 & \cdots & 0 & & \\ 0 & \cdots & 0 & & \\ 0 & & & & \\ \vdots & & & & \\ 0 & & & & \end{array} \right]$$

**case 1:**  $\alpha = \beta = \gamma = 1$

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lemma (Bu, P. 2025; informal)

If an  $m \times m$  bi-incidence matrix has a row whose restriction to the left/right side has zeros only,

proof of  $\Delta_m \leq \delta^m$  (cont'd)

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If an  $m \times m$  bi-incidence matrix has a row whose restriction to the left/right side has zeros only, then one can remove this row and perform column operations to obtain an  $(m-1) \times (m-1)$  square bi-incidence matrix, while preserving the determinant.

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$$\sum_{\beta, \gamma \in \{0,1\}} \det \begin{bmatrix} r_1^0 & \text{green} & 0 \dots 0 \\ r_2^\beta & \text{purple} & \text{purple} \\ r_3^\gamma & & \\ r_4 & & \\ \vdots & & \\ r_m & & \end{bmatrix} = \det \begin{bmatrix} r_1^0 & \text{green} & 0 \dots 0 \\ r_2 & \text{green} & \text{orange} \\ r_3 & & \\ r_4 & & \\ \vdots & & \\ r_m & & \end{bmatrix} \leq \Delta_{m-1}.$$

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**case 3:**  $\alpha = 1$  and  $\beta = 0$



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$$\sum_{\gamma \in \{0,1\}} \det \begin{array}{c} r_1^1 \\ r_2^0 \\ r_3^\gamma \\ r_4 \\ \vdots \\ r_m \end{array} \left[ \begin{array}{c|c} 0 \dots 0 & \text{orange} \\ \hline \text{green} & 0 \dots 0 \\ \hline \text{purple} & \text{purple} \\ \hline & \\ & \\ & \end{array} \right] = \det \begin{array}{c} r_1^1 \\ r_2^0 \\ r_3 \\ r_4 \\ \vdots \\ r_m \end{array} \left[ \begin{array}{c|c} 0 \dots 0 & \text{orange} \\ \hline \text{green} & 0 \dots 0 \\ \hline & \text{orange} \\ \hline & \\ & \\ & \end{array} \right] \leq \Delta_{m-2}$$

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$$\Delta_m \leq \Delta_{m-1} + \Delta_{m-2} + \Delta_{m-3}$$

$$T_m \leq \Delta_m$$

proof sketch of  $T_m \leq \Delta_m$

**Recall:**  $\Delta_m$  is the maximum determinant over  $m \times m$  bi-incidence matrices;  
 $T_m$  is the maximum number of spanning trees over  $m$ -edge planar graphs.

## proof sketch of $T_m \leq \Delta_m$

**Recall:**  $\Delta_m$  is the maximum determinant over  $m \times m$  bi-incidence matrices;  
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**Goal:** Given an  $m$ -edge planar graph  $G$  (achieving  $T_m$ ), construct an  $m \times m$  bi-incidence matrix with determinant at least the number of spanning trees in  $G$ .

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Then  $|\det[M|M^*]|$  is equal to the number of spanning trees  $\tau(G)$  in  $G$ .

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Let  $M$  and  $M^*$  be truncated incidence matrices of  $D$  and  $D^*$ , of which the  $i^{\text{th}}$  rows correspond to the same arc and its dual arc for each  $i$ .

Then  $|\det[M|M^*]|$  is equal to the number of spanning trees  $\tau(G)$  in  $G$ .

### observation

If  $M$  is a truncated incidence matrix of a graph  $G$ , then  $M^T M$  is a **first principal minor of the Laplacian** of  $G$ .

## proof sketch of $T_m \leq \Delta_m$ (cont'd)

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### Kirchhoff's matrix-tree theorem

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a conjecture

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$T_m$  and  $\Delta_m$  for small values of  $m$

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$T_m$	1	2	3	5	8	16	24	45	75	130
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a planarity criterion

### yet another extremal problem

Given a connected graph  $G$  with  $m$  edges, how large is the **maximum determinant**  $\Delta(G)$  over  $m \times m$  matrices  $[M|N]$ , where  $M$  is a truncated incidence matrix of  $G$  and  $N$  is an incidence submatrix of appropriate size?

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### merge-cut lemma (Bu, P. 2025)

For a connected  $G = (V, E)$  and a non-bridge  $e \in E$ ,  $\Delta(G) \leq \Delta(G/e) + \Delta(G \setminus e)$ .

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The lower bound **18** is tight and achieved by  $K_{3,3}$ .

towards the conjecture

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### theorem (Bu, P. 2025)

If  $G$  is a **subdivision of  $K_5$  or  $K_{3,3}$**  with  $m$  edges, then  $\Delta(G) \leq T_m$ .

Thanks!