# Yue Liu

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**Mobile**: +61 0405786122

**Research interest**: Responsible AI, AI Engineering, Agent Architecture, Software

Engineering for AI, AI for Health, Safety, and Environment

## EDUCATIONAL BACKGROUND

**Degree** Doctor of Philosophy (2020.09 – 2024.09)

UniversityUniversity of New South WalesMajorComputer Science and Engineering

**Supervisors** Dr. Liming Zhu, Dr. Qinghua Lu, Dr. Hye-Young Paik

**Degree** Master of Engineering by Research (2017.09 – 2020.06)

**University** China University of Petroleum (East China)

**Major** Software Engineering

**Degree** Bachelor (2013.09 - 2017.06)

**University** China University of Petroleum (East China)

**Major** Computer Science and Technology; English (double major)

#### AWARDS AND DISTINCTIONS

- 1. "Early Career in Science Award", Data61 Software & Computational Systems, 2024.
- 2. "University International Postgraduate Award", University of New South Wales, 2020-2024.

#### **EXPERIENCE**

- 1. Research Fellow (03/2025 to present, **Australian National University**)
  - Comparative analysis of duties, prohibitions, and compliance structures of Australian WHS regulations via LLM.
  - Surveying AI acceptance in HSE, contributing to the broader understanding of societal trust in AI.
  - Organising interdisciplinary workshops engaging legal, industry, and research stakeholders to disseminate findings and foster responsible AI adoption.
- 2. Research Engineer (09/2023 to 03/2025, **CSIRO's Data61**)
  - Propose an architectural pattern catalogue for foundation model-based agents, incorporating considerations of transparency, bias mitigation, and alignment.



- Designed and evaluated the architecture for an LLM-based tax copilot, applying responsible AI design trade-offs in collaboration with a startup (Empathetic AI).
- Lead RAI pattern catalogue mapping to the EU AI Act, ISO 42001 standard, and Australia Voluntary AI Safety standard draft with Australia National AI Centre.
- Co-contributions to the ESG-AI framework development collaborating with Alphinity.
- Technical lead for the Data Bill of Materials project collaborated with CSIRO IM&T department.
- 3. Research Technician (07/2022 to 09/2023, **CSIRO's Data61**)
  - Conduct a multivolcal literature review of risk assessment for Responsible AI, highlighting technical and organisational risk factors.
  - Develop AI risk assessment metrics, supporting explainability, fairness, and robustness evaluation strategies.
- 4. Teaching Assistant (05/2021 to 08/2021, **University of New South Wales**)
  - Work as a tutor for Blockchain Software Architecture course with ~100 students at undergrad/postgrad levels.
  - Provide project mentorship to 20 teams, fostering skills in secure and accountable distributed systems.

**PUBLICATION** (No. of paper: 37, citations: 1774, h-index: 18)

- 1. **Liu, Y.**, Lo, S. K., Lu, Q., Zhu, L., Zhao, D., Xu, X., ... & Whittle, J. (2025). Agent design pattern catalogue: A collection of architectural patterns for foundation model based agents. *Journal of Systems and Software*, 220, 112278.
- 2. **Liu, Y.**, Lu, Q., Zhu, L., & Paik, H. Y. (2024). Decentralised governance for foundation model based AI systems: exploring the role of blockchain in responsible AI. *IEEE Software*.
- 3. Lo, S. K., **Liu, Y**., Lu, Q., Wang, C., Xu, X., Paik, H. Y., & Zhu, L. (2022). Toward trustworthy AI: Blockchain-based architecture design for accountability and fairness of federated learning systems. *IEEE Internet of Things Journal*, 10(4), 3276-3284.
- 4. Lu, Q., Zhao, D., **Liu, Y**., Zhang, H., Zhu, L., Xu, X., ... & Tan, T. (2024). Evaluating The Architecture of Large Language Model-Based Agents. Submitted to *Journal of Systems and Software*.
- 5. Lee, S. U., Perera, H., **Liu, Y**., Xia, B., Lu, Q., & Zhu, L. (2024). Integrating ESG and AI: A Comprehensive Responsible AI Assessment Framework. *arXiv* preprint *arXiv*:2408.00965.
- 6. Lee, S. U., Perera, H., **Liu, Y**., Xia, B., Lu, Q., Zhu, L., ... & Whittle, J. (2024). Responsible AI Question Bank: A Comprehensive Tool for AI Risk Assessment. *arXiv* preprint arXiv:2408.11820.
- 7. Zhang, D., Xia, B., **Liu, Y**., Xu, X., Hoang, T., Xing, Z., ... & Zhu, L. (2024, April). Privacy and copyright protection in generative AI: A lifecycle perspective. In *Proceedings of the IEEE/ACM 3rd International Conference on AI Engineering-Software Engineering for AI* (pp. 92-97).

- 8. Lu, Q., Zhu, L., Xu, X., **Liu, Y**., Xing, Z., & Whittle, J. (2024). A taxonomy of foundation model based systems through the lens of software architecture. In *Proceedings of the IEEE/ACM 3rd International Conference on AI Engineering-Software Engineering for AI* (pp. 1-6).
- 9. Lee, S. U., Perera, H., Xia, B., Liu, Y., Lu, Q., Zhu, L., ... & Whittle, J. (2024). QB4AIRA: A Question Bank for Responsible AI Risk Assessment. *IEEE Software*.
- 10. Xia, B., Lu, Q., Zhu, L., Lee, S. U., **Liu, Y**., & Xing, Z. (2024, April). Towards a responsible ai metrics catalogue: A collection of metrics for ai accountability. In *Proceedings of the IEEE/ACM 3rd International Conference on AI Engineering-Software Engineering for AI* (pp. 100-111).
- 11. Xia, B., Lu, Q., Perera, H., Zhu, L., Xing, Z., **Liu, Y**., & Whittle, J. (2023). Towards Concrete and Connected AI Risk Assessment (C2AIRA): A Systematic Mapping Study. In 2023 IEEE/ACM 2nd International Conference on AI Engineering–Software Engineering for AI (CAIN).

For the full publication list please see: https://scholar.google.com.au/citations?user=cG34KO4AAAAJ

## PROFESSIONAL SERVICES

#### **Conference Committees**

- 1. ICSE 2025, 3rd International Workshop on Responsible AI Engineering, PC member
- 2. ICSA 2025, 4th International Workshop on Software Architecture and Machine Learning, PC member
- 3. IEEE S&P 2025, Human-Machine Intelligence for Security Analytics, PC member
- 4. IEEE ISPA 2024, Main track, PC member
- 5. IEEE Blockchain 2024, Main track, PC member
- 6. ICSE 2024, 2rd International Workshop on Responsible AI Engineering, PC member
- 7. IEEE Blockchain 2023, Main track, PC member

# **Invited Reviewer**

- 1. ACM Computing Surveys
- 2. Journal of Systems & Software
- 3. ACM Transactions on Sensor Networks
- 4. IEEE Transactions on Intelligent Transportation Systems
- 5. IEEE Transactions on Neural Networks and Learning Systems
- 6. IEEE Transactions on Computational Social Systems
- 7. IEEE Transactions on Intelligent Vehicles
- 8. IEEE Software

For the full professional service list please see: <a href="https://yue-charlie-liu.github.io//news/">https://yue-charlie-liu.github.io//news/</a>