

File:

- Fixed length records (hard to delete, free space end at end of file)
- Variable length records (head stores #entries, EOFS, array of entry location / size, shift required)

Sequential file I/O: use overflow block, reorganize when physical / search key order gets lost

Database Buffer: pool of pages / blocks

- Replacement strategy: LRU, MRU, clock (doesn't require maining usage info, bookkeeping only needed when page is replaced) [flip reference bit after clock hand hits] [if dirty, flush]
- vs OS: virtual memory manager cannot predict pattern of future accesses; DBs can determine blocks that are required in the short term future so it can use alternatives to LRU
- buffer frame dirty – have been modified, has to be flushed (written back to disk)
- buffer frame pinned – not allowed to be written back to disk
- OS: locking – for synchronization purposes, nobody else can access “lock” except for thread of execution.

Indexing:

- Advantage = faster; disadvantages = index itself might be large; space overhead , maintaining index sucks
 - o Every index has to change when file gets modified, and seq. scan on secondary indices are I/O heavy
- Primary, secondary : index whose search key defines the sequential ordering of the file
- Dense, sparse: index appears for every search key, or only some of the search keys.
 - o Sparse indices, less space overhead and insertions / deletions are easier. Usually use 1 index / block)

B+ tree: multilevel sparse index

- o Insert / delete avoids file-reorganization cost since file doesn't have to be sequential
- o If we have a secondary index on the structure, splitting nodes need file organization and require maintaining, so the secondary indices should reference p-key instead of the actual address.

Extendible hasing: b-bit hash prefix with bucket address table, increasing prefix while splitting

- o Requires additional level of indirection (mod 2^{global}) to calculate where to go (done in memory)

Linear hashing: instead of splitting everything, only split the level the “this pointer is on”

- o Doubles over the course of a round; more splits and uses collision table
- o Avoid directory of local level; mod by 2^{global} only requires one extra indirection at most

Tradeoffs: access types, time, insertion / deletion frequency, space overhead

- Reorganization acceptable = use regular hash or index sequential. Otherwise, B+ tree / extendable / linear hash
- Types of queries
- Optimize average access time with worse worst case access time
- If insert / delete infrequent, or tight for space, use simple dense index.

I/O costs: B+ tree traversal = $\text{ceiling}(\log(\text{ceiling}[n/2](N)))$ max; extendible hashing = 1 - N lookups

Query Optimization:

- create equivalent expression trees
- pay attention to join ordering
- estimate through statistics, numTuples, numBlocks, tupleSize, $V(A, r)$ (distinct values for attribute A in r)
- use histograms, estimate selection size ($/2, /10$), join size, selection cost, aggregation cost
- use selection / projection to filter out asap, avoid nested sub queries (use join or make new table instead)

ACID:

Atomicity: if one part of the transaction fails, the entire transaction fails, and the database is unchanged. A committed database therefore appears atomic (indivisible).

Consistency: any transaction will bring the database from one valid state to another, and follow any constraints.

Isolation: concurrency control; every transaction executes independent of one another.

Durability: once a transaction has been committed, it will remain so.

Query Evaluation:

Selection:

- Linear search; $ts + br * tt$
- Tree; $(hi + 1)(ts + tt)$
- Secondary tree; $(hi * (ts + tt) + b * tt)$
- Secondary nonkey; $(hi + n) * (tt + ts)$
 - o Secondary index should only be used if very few records are selected.
- Conjunctive: insert RIDs, use composite index, or search linearly
- Disjunctive: union RIDs (requires each index to return this), or search linearly

Merge sort:

- Phase 1
 - o $\text{Ceiling}(br / M)$ runs; sort M blocks at a time, creating a run each
- Phase 2
 - o $\log_{M-1}(Br/M)$ runs Sort $M-1$ runs at a time, each pass decreases # of runs by factor of $M-1$
- Total: $2Br(\text{ceiling}(\log_{M-1}(\text{ceiling}(Br/M))))$

Join: (r is always outer) *if one relation fits; put it inside and transfer = Br + Bs; seek = 2*

- Simple nested loop join: $Br + Nr Bs$ transfers, $Nr + Br$ seeks.
- Block nested loop join: $Br + Br Bs$ (put smaller relation outside, unless it fits)
- Index nested loop join: $Br(Tt + Ts) + Nr * c$ (smaller r_n outside, but the indexed one must be inside)
- Merge join: $Br + Bs$ to $Br * Bs$ (if they are all the same)
 - o Start merging at last pass of sorting
 - o Join on B+ tree RIDs
- Hash join:
 - o Phase 1, hash into buckets R_0 to R_{M-1} , reduce size by factor of $M-1$
 - Repeat till largest bucket $< M - 2$; only one of the relations have to all fit
 - $\text{numPasses} \geq \log_M(Br) - 1$; each pass $2Br + 2Bs$
 - o Phase 2, with one bucket fully in memory read in the other bucket and write if match
 - Read all Br and all of Bs
 - o Total cost; $(2Br + 2Bs) (\text{ceiling}(\log_M(Br)) - 1) + Br + Bs$
- Conjunctive: do theta1, use output as input
- Disjunctive: use union

Projection: Duplicate elimination: external sort merge, hash, hash join

Union, Intersection:

- sort / scan approach: $Br + Bs + \text{sorting}$
- hash join approach: union / intersect during probing

Aggregate:

- same cost as duplicate removal
 - o add to a running total instead of remove (min / max / sum / count / avg)

Materialization: execute each operation, store results temporarily for next input. Cost = intermediate + writing

Pipelining: when a tuple of results are generated, pass it immediately to the next operation