# YUE SUN

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#### **EDUCATION**

University of Washington

Seattle, WA, US 2016.9 - 2022.3

Ph.D. in Electrical Engineering

Academics: Overall GPA 3.83/4

**Thesis:** Nonconvex Optimization and Model Representation with Applications in Control Theory and Machine Learning

Tsinghua University

Beijing, China

Bachelor of Engineering in Electronic Engineering

2012.8 - 2016.7

Academics: Overall GPA 89.2/100

## WORKING EXPERIENCE

# Microsoft, BingAds, Software Engineer

Bellevue, WA, US 2022.4 - present

Project: Advertisement Recommendation

- Built and maintained the pipelines of user embedding generation model using users' behavior (Java Spark, Kafka), offline pipelines for Ads embedding serving (SQL, C#) and user to Ads ranking service using ANN.
- Led the user income prediction project. Trained BERT based models for user income prediction by user web behavior, with the help of LLM/GPT labeling pipeline (SQL, Python/Pytorch - NLP).
- Applied BERT for embeddings of URL/user/Ads and modeled URL-Ads recommendation (user-blind recommendation) by maximum apriori estimator and online learning (SQL, Python/Pytorch - NLP).
- Carried out validation of models for analysis (SQL, C#, Python). Set up the flightings and performed analysis of flighting metrics (Java, SQL).

# Nokia Bell Labs, Math & ALGO Bell Labs Summer Intern

Seattle, WA, US

Project: Audio Echo Cancellation with Sparse Coefficients

2021.6 - 2021.8

Host: Shirin Jalali

- Reframed audio echo cancellation problem as online least squares and implemented SGD, APA, IML, Catalyst.
- Combined with sparsity of the parameters, incorporated RIP of circulant matrix into algorithm analysis. Derived and implemented proportionate algorithms and regularized algorithms for sparse coefficient estimation.

# Google, Software Engineering Intern (PhD)

Mountain view, CA, US

Project: Online Learning for Entropy Coding in Next-generation Video Codec

2019.6 - 2019.9

First authored paper in DCC 2020, with a patent. Host: Jingning Han

- Implemented online update algorithm for probability estimation for entropy coding.
- Generalized baseline algorithm by maximum apriori estimator with online optimization for adaptive learning.
- Implemented experiments on real dataset and the compressed file is 2% smaller than baseline (C++ & Python).

# RESEARCH EXPERIENCE

# Papers published in NeurIPS, CDC, ICASSP, L4DC, DCC, ICML workshop, TSP, OJ-CSys

# University of Washington, Research Assistant

Seattle, WA, US

Project: Towards Understanding the Role of Representation Dimension in Meta-Learning

2020.9 - 2022.3

First/second authored paper accepted by NeurIPS 2021, TOPML 2021, ICASSP 2021, Advisor: Maryam Fazel

- Demonstrated the procedure and obtained statistical guarantee (sample complexity & error) of meta-learning.
- Obtained guarantee of meta-learning accuracy in the overparametrized regimes, theoretically proved the double descent phenomenon and verified it by numerical experiments.
- Generalized to non-linear models, e.g., multiple class classification, validated on image (CNN/Resnet) and text (LSTM/attention/transformer) classification with MAML as baseline (Python/Pytorch).

Project: Learning Linear Dynamical System via Regularization

2018.10 - 2022.3

First authored paper in OJ-CSys, L4DC 2020 (oral), Advisor: Maryam Fazel

- Proposed Hankel nuclear norm (low rank) regularization that learns with provably fewer data and smaller error.
- Implemented regularized method on Daisy, Gym and Mujoco dynamical systems and observed the advantage in input sensitivity, simplicity of tuning parameters, training data size and estimation error (**Python/Pytorch**).

First authored paper in CDC 2022, CDC 2021, Advisor: Maryam Fazel

- Proved the global convergence of non-convex policy optimization for a generic family of optimal control problems.
- Proposed the connection between policy optimization in RL and convexification method in control theory.

Project: Perturbed Gradient Descent Method for Non-convex Optimization

2017.9 - 2017.12

First authored paper in NeurIPS 2019, ICML workshop 2018, Advisor: Maryam Fazel

- Derived convergence rate of noisy gradient descent method for nonsmooth nonconvex optimization problems and extended approximation strategy to Riemannian metric.
- Applied to image processing task where the retraction operator is trained by conditional GAN (**Python/Tensorflow**).

### Ohio State University, Research Assistant

Columbus, OH, US

Project: Phase Retrieval and Low Rank Matrix Completion

2015.7 - 2015.9

First/second authored papers in TSP, ICASSP 2016, Advisor: Yuejie Chi

- Reproduced the derivation and conduced performance test for various phase retrieval algorithms.
- Derived an extension of Wirtinger Flow algorithms by expanding it from rank 1 case to low rank case. Analyzed the advantages with outliers.

#### **PUBLICATIONS**

- 1. Shirin Jalali, Carl Nuzman and **Yue Sun**, "Incremental Maximum Likelihood Estimation for Efficient Adaptive Filtering", submitted to IEEE Transactions on Information Theory (TIT).
- 2. Yue Sun, Samet Oymak and Maryam Fazel, "Finite Sample Identification of Low-order LTI Systems via Nuclear Norm Regularization", in IEEE Open Journal of Control Systems (OJ-CSys).
- 3. Yang Zheng, **Yue Sun**, Maryam Fazel and Na Li, "Escaping High-order Saddles in Policy Optimization for Linear Quadratic Gaussian (LQG) Control", in 61st Conference on Decision and Control (CDC 2022).
- 4. Yue Sun, Adhyyan Narang, Ibrahim Gulluk, Samet Oymak and Maryam Fazel, "Towards Sample-Efficient Overparameterized Meta-Learning", in 35th Conference on Neural Information Processing Systems (NeurIPS 2021) & 2021 Workshop on the Theory of Overparameterized Machine Learning (TOPML).
- 5. Yue Sun and Maryam Fazel, "Learning Optimal Controllers by Policy Gradient: Global Optimality via Convex Parameterization", in 60th Conference on Decision and Control (CDC 2021) & 2021 INFORMS Annual Meeting.
- Ibrahim Gulluk<sup>†</sup>, Yue Sun<sup>†</sup>, Samet Oymak and Maryam Fazel, "Sample Efficient Subspace-Based Representations for Nonlinear Meta-Learning", in 2021 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP).
- 7. Yue Sun, Samet Oymak and Maryam Fazel, "Finite Sample System Identification: Improved Rates and the Role of Regularization", (oral) in 2020 Conference of Learning for Dynamics and Control (L4DC).
- 8. Yue Sun, Jingning Han and Yaowu Xu, "Online Probability Model Estimation for Video Compression", in 2020 Data Compression Conference (DCC). Patent: Probability Estimation for Entropy Coding (WO 2021/092531 A1).
- 9. Yue Sun, Nicolas Flammarion and Maryam Fazel. "Escaping from Saddle Points on Riemannian Manifolds," in 33rd Conference on Neural Information Processing Systems (NeurIPS 2019).
- 10. Yue Sun and Maryam Fazel. "Escaping Saddle Points Efficiently in Equality-constrained Optimization Problems", in ICML Workshop on Modern Trends in Nonconvex Optimization for Machine Learning, 2018.
- 11. Yuanxin Li, **Yue Sun** and Yuejie Chi. "Low-Rank Positive Semidefinite Matrix Recovery From Corrupted Rank-One Measurements". IEEE Transactions on Signal Processing 65.2 (2017): 397-408 (TSP).
- 12. Yue Sun, Yuanxin Li and Yuejie Chi. "Outlier-Robust Recovery of Low-Rank Positive Semidefinite Matrices from Magnitude Measurements", in 2016 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP).
- 13. Zhong Hua, Yixin Su, **Yue Sun** and Xin Wang, "Handwritten Numeral Recognition Algorithms and Implementation Based on the Multifunction Touch Screen", in 2014 Asia-Pacific Congress on Sports Technology and Engineering.

# TECHNICAL REPORTS

Scholar page: https://scholar.google.com/citations?hl=en&user=IxBPfiYAAAAJ

- 1. Yue Sun, "Linear System Identification with Redundancy in Output".
- 2. Yue Sun, "A Short Note on Solving Box Inequality and Linear Equality Constrained Optimization Problem".
- 3. Yue Sun, "Globally Optimizing the Learning Rate of the Heavy Ball Method".

#### REVIEWING SERVICE