

# YUE SUN

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## EDUCATION

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### University of Washington

*Ph.D. in Electrical Engineering*

**Academics:** Overall GPA 3.83/4

**Thesis:** *Nonconvex Optimization and Model Representation with Applications in Control Theory and Machine Learning*

Seattle, WA, US

2016.9 - 2022.3

### Tsinghua University

*Bachelor of Engineering in Electronic Engineering*

**Academics:** Overall GPA 89.2/100

Beijing, China

2012.8 - 2016.7

## WORKING EXPERIENCE

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### Microsoft, BingAds, Software Engineer

Project: Advertisement Recommendation

Redmond, WA, US

2022.4 - present

- Built and maintained the pipelines of user embedding generation model using users' behavior (**Java - Spark, Kafka**), offline pipelines for Ad category feature generation and Ad embedding serving (**SQL, C#**), and user to Ads ranking service (**Java - Spark, Kafka**).
- Led the user income prediction project. Trained BERT based models for user income prediction by user web behavior, with the help of LLM/GPT labeling pipeline (**SQL, Python/Pytorch - NLP**).
- Applied BERT for embeddings of URL/user/Ads and modeled URL-Ads recommendation (user-blind recommendation) by maximum apriori estimator and online learning. Carried out validation of models for analysis (**SQL, C#, Python/Pytorch - NLP**).
- Led the design of, and implemented the unified Ad index generation pipeline and the interface with near real time Ad recommendation (**SQL, C#, Java - Spark, Kafka**).
- Set up the flightings and performed analysis of flighting metrics. Produced and analyzed statistics of filtration and selection for customer support (**SQL, C#**).

### Nokia Bell Labs, Math & ALGO Bell Labs Summer Intern

Project: Audio Echo Cancellation with Sparse Coefficients

*Working paper submitted to ICASSP 2024. Host: Shirin Jalali*

Seattle, WA, US

2021.6 - 2021.8

- Reframed audio echo cancellation problem as online least squares and implemented SGD, APA, IML, Catalyst.
- Combined with sparsity of the parameters, incorporated RIP property of circulant matrix into algorithm analysis.
- Derived and implemented proportionate algorithms and regularized algorithms for sparse coefficient estimation.

### Google, Software Engineering Intern (PhD)

Project: Online Learning for Entropy Coding in Next-generation Video Codec

*First authored paper in DCC 2020, with a Patent. Host: Jingning Han*

Mountain view, CA, US

2019.6 - 2019.9

- Implemented online update algorithm for probability estimation for entropy coding.
- Generalized baseline algorithm by maximum apriori estimator with online optimization for adaptive learning.
- Implemented experiments on real dataset and the compressed file is 2% smaller than baseline (**C++ & Python**).

## RESEARCH EXPERIENCE

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Google Scholar page: <https://scholar.google.com/citations?hl=en&user=IxBPfiYAAAAJ>

Papers published in **NeurIPS, CDC, ICASSP, L4DC, DCC, ICML workshop, TSP, OJ-CSys**

### University of Washington, Research Assistant

Project: Towards Understanding the Role of Representation Dimension in Meta-Learning

*First/second authored paper accepted by NeurIPS 2021, TOPML 2021, ICASSP 2021, Advisor: Maryam Fazel*

Seattle, WA, US

2020.9 - 2022.3

- Demonstrated the procedure and obtained statistical guarantee (sample complexity & error) of meta-learning.
- Obtained guarantee of meta-learning accuracy in the overparametrized regimes, theoretically proved the double descent phenomenon and verified it by numerical experiments.
- Generalized to non-linear models, e.g., multiple class classification, validated on image (CNN/Resnet) and text (LSTM/attention/transformer) classification with MAML as baseline (**Python/Pytorch**).

- Proposed Hankel nuclear norm (low rank) regularization that learns with provably fewer data and smaller error.
- Implemented regularized method on Daisy, Gym and Mujoco dynamical systems and observed the advantage in input sensitivity, simplicity of tuning parameters, training data size and estimation error (**Python/Pytorch**).

- Generalized and proved the optimal global convergence rate of non-convex policy optimization to a family of control problems.
- Proposed the connection between policy optimization in RL and convexification method in control theory.

- Derived convergence rate of noisy gradient descent method for nonsmooth nonconvex optimization problems and extended approximation strategy to Riemannian metric.
- Applied to image processing task where the retraction operator is trained by conditional GAN (**Python/Tensorflow**).

- Reproduced the derivation and conducted performance test for various phase retrieval algorithms.
- Derived an extension of Wirtinger Flow. Analyzed the advantages against outliers.

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## PUBLICATIONS

1. Shirin Jalali, Carl Nuzman and **Yue Sun**, “Incremental Maximum Likelihood Estimation for Efficient Adaptive Filtering”, submitted to IEEE Transactions on Information Theory (TIT).
2. **Yue Sun**, Samet Oymak and Maryam Fazel, “Finite Sample Identification of Low-order LTI Systems via Nuclear Norm Regularization”, in IEEE Open Journal of Control Systems (OJ-CSys).
3. Yang Zheng, **Yue Sun**, Maryam Fazel and Na Li, “Escaping High-order Saddles in Policy Optimization for Linear Quadratic Gaussian (LQG) Control”, in 61st Conference on Decision and Control (CDC 2022).
4. **Yue Sun**, Adhyyan Narang, Ibrahim Gulluk, Samet Oymak and Maryam Fazel, “Towards Sample-Efficient Overparameterized Meta-Learning”, in 35th Conference on Neural Information Processing Systems (NeurIPS 2021) & 2021 Workshop on the Theory of Overparameterized Machine Learning (TOPML).
5. **Yue Sun** and Maryam Fazel, “Learning Optimal Controllers by Policy Gradient: Global Optimality via Convex Parameterization”, in 60th Conference on Decision and Control (CDC 2021) & 2021 INFORMS Annual Meeting.
6. Ibrahim Gulluk<sup>†</sup>, **Yue Sun**<sup>†</sup>, Samet Oymak and Maryam Fazel, “Sample Efficient Subspace-Based Representations for Nonlinear Meta-Learning”, in 2021 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP).
7. **Yue Sun**, Samet Oymak and Maryam Fazel, “Finite Sample System Identification: Improved Rates and the Role of Regularization”, (**oral**) in 2020 Conference of Learning for Dynamics and Control (L4DC).
8. **Yue Sun**, Jingning Han and Yaowu Xu, “Online Probability Model Estimation for Video Compression”, in 2020 Data Compression Conference (DCC). **Patent**: Probability Estimation for Entropy Coding (WO 2021/092531 A1).
9. **Yue Sun**, Nicolas Flammarion and Maryam Fazel. “Escaping from Saddle Points on Riemannian Manifolds,” in 33rd Conference on Neural Information Processing Systems (NeurIPS 2019).
10. **Yue Sun** and Maryam Fazel. “Escaping Saddle Points Efficiently in Equality-constrained Optimization Problems”, in ICML Workshop on Modern Trends in Nonconvex Optimization for Machine Learning, 2018.
11. Yuanxin Li, **Yue Sun** and Yuejie Chi. “Low-Rank Positive Semidefinite Matrix Recovery From Corrupted Rank-One Measurements”. IEEE Transactions on Signal Processing 65.2 (2017): 397-408 (TSP).
12. **Yue Sun**, Yuanxin Li and Yuejie Chi. “Outlier-Robust Recovery of Low-Rank Positive Semidefinite Matrices from Magnitude Measurements”, in 2016 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP).
13. Zhong Hua, Yixin Su, **Yue Sun** and Xin Wang, “Handwritten Numeral Recognition Algorithms and Implementation Based on the Multifunction Touch Screen”, in 2014 Asia-Pacific Congress on Sports Technology and Engineering.

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## REVIEWING SERVICE

NeurIPS, ICLR, AISTATS, L4DC, ALT, ISIT, KDD, JMLR, SICON, TIT, TAC, TNNLS