

Regular Expressions with Backreferences and Lookaheads Capture NLOG

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CyberAgent, Inc.



Introduction: Modern Regular Expressions

Classical Regular Expressions (REGEX)

$$\begin{aligned}\text{Example. } \llbracket aa\ a^* \rrbracket &= \llbracket aa(\epsilon + a + aa + aaa + \cdots) \rrbracket \\ &= \{a^n : n \geq 2\}\end{aligned}$$

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$$(aa\ a^*)_x \quad \backslash x \quad \backslash x^*$$

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$(aa a^*)_x \backslash x \backslash x^*$

Capturing

$(E)_x$:

stores a string
matched with E
to a variable x

Referring

$\backslash x$:

reads the string
stored in x

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So, $\llbracket (aaa^*)_x \setminus x \setminus x^* \rrbracket = \{a^n : n \text{ is composite}\}$.

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Can we represent the prime numbers $\{a^2, a^3, a^5, a^7, \dots\}$??

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Mode

Positive Lookahead

$\textcolor{red}{?}(\textcolor{red}{E}\textcolor{red}{})$: if the input matches with E ,
then we continue.

Lookaheads

$$\textcolor{red}{?}(\textcolor{red}{aa\ a^*\ \$}\textcolor{red}{})\ \textcolor{blue}{!}(\textcolor{blue}{(aa\ a^*)_x\ \backslash x\ \backslash x^*\ \$}\textcolor{blue}{})\ a^*$$

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Example. $\llbracket aa a^* \rrbracket = \llbracket aa(\epsilon + a + aa + aaa + \dots) \rrbracket$
 $\llbracket aa a^* \rrbracket = \llbracket aa a^n \rrbracket$

Mode

Posi

Negative Lookahead

$!(E)$: if the input **never** matches with E , then we continue.

$?(E)$: if the input matches with E , then we continue.

$?(aa a^* \$)$ $!(\underbrace{(aa a^*)_x \setminus x \setminus x^*}_\text{composite checker} \$) a^*$

Introduction

Coding and experimenting in Python

```
import re
```

```
prime = r'(?!((?P<X>(aaa*))(?P=X)(?P=X)*$))aa(a*)'
```

```
for i in range(1, 50):  
    w = 'a' * i  
    result = re.fullmatch(prime, w)  
    print(i, result)
```

```
CA-20023547:slide s22809$ python prime_demo.py
```

```
1 None
```

```
2 <re.Match object; span=(0, 2), match='aa'>
```

```
3 <re.Match object; span=(0, 3), match='aaa'>
```

```
4 None
```

```
5 <re.Match object; span=(0, 5), match='aaaaa'>
```

```
6 None
```

```
7 <re.Match object; span=(0, 7), match='aaaaaaa'>
```

```
8 None
```

```
9 None
```

```
10 None
```

```
11 <re.Match object; span=(0, 11), match='aaaaaaaaaaa'>
```

```
12 None
```

```
13 <re.Match object; span=(0, 13), match='aaaaaaaaaaaaa'>
```

```
14 None
```

```
15 None
```

```
16 None
```

```
17 <re.Match object; span=(0, 17), match='aaaaaaaaaaaaaaaaa'>
```

```
18 None
```

```
19 <re.Match object; span=(0, 19), match='aaaaaaaaaaaaaaaaaaa'>
```

```
20 None
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```
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Main Results on REWBLK

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1 The language class of REWBLK = **NL** (nondeterministic log-space).

We have the following translation:

$$E : \text{REWBLK} \iff M : \text{nondeterministic log-space TM s.t. } \llbracket E \rrbracket = L(M)$$

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2 The complexity of REWBLK-membership problem is **PSPACE**-complete.

- **Input:** a REWBLK expression E & a string w
- **Output:** True if E accepts w . False otherwise.

Main Results on REWBLK

Question: *How expressive are modern REGEXs?*

Ans

In this talk,

we only focus on **1** REWBLK = NL

(Regular expressions with backreferences).

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Outline of this talk

1. Informal overview of REWBLK and results. 
2. Formal semantics of REWBLK
3. Idea of the proof of $\mathbf{NL} \subseteq \text{REWBLK}$
4. Idea of the proof of $\mathbf{NL} \supseteq \text{REWBLK}$

Syntax and Semantics of REWBLK

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① **Syntax.** Expressions are inductively defined via the following grammar:

$$\begin{aligned} E &::= \epsilon \mid \sigma \mid E + E \mid E E \mid E^* && \text{(REGEX part)} \\ &\mid (E)_x \mid \backslash x && \text{(Backreferences part)} \\ &\mid ?(E) \mid !(E) && \text{(Lookaheads part)} \end{aligned}$$

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② **Configurations** $\langle \underline{p}, \underline{\Lambda} \rangle$. Pairs of an index and an assign.:

$$\begin{array}{rcll}
 & & \Lambda & \\
 & & \nabla & \\
 \text{input } w & : & a & b \quad \dots \quad a \quad \dots \quad a \\
 \text{index} & : & 0 & 1 \quad \quad p \quad |w|-1 \quad |w|
 \end{array}$$

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 \end{array}$$

For a variable x , $\Lambda(x)$ means the stored string in x .

Semantic Function & Language

③ *Semantics function.* Let w be an input string.

$$\llbracket E, \langle \underbrace{p}_{\text{index}}, \underbrace{\Lambda}_{\text{variables assign.}} \rangle \rrbracket_w = \{ \langle q_1, \Lambda_1 \rangle, \langle q_2, \Lambda_2 \rangle, \dots \}.$$

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It means that:

1. From the current configuration $\langle p, \Lambda \rangle$, we execute E ;

					Λ									
					∇									
input string w	:	a	b	c	b	\dots	a	\dots	b	\dots	c	\dots	b	a
index	:	0	1	2	3		p							

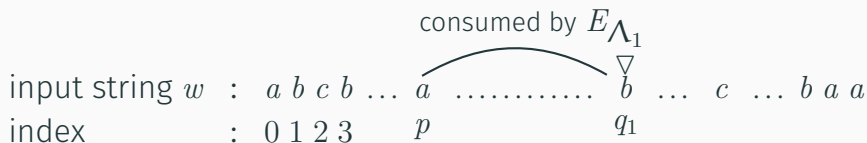
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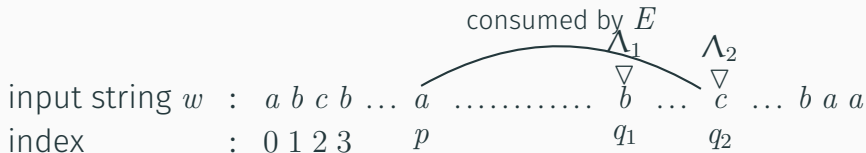
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④ *Acceptance.* E **accepts** w if w can be perfectly consumed by E .

$$\exists \langle p, \Lambda \rangle \in \llbracket E, \langle 0, \Lambda_\epsilon \rangle \rrbracket_w. \quad p = |w| \quad \forall x. \Lambda_\epsilon(x) = \epsilon$$

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$$\begin{array}{rcll} & \Lambda_\epsilon & & \\ & \nabla & & \\ \text{input string } w & : & a & b & c & \dots & a \\ \text{index} & : & 0 & 1 & 2 & & |w|-1 & |w| \end{array}$$

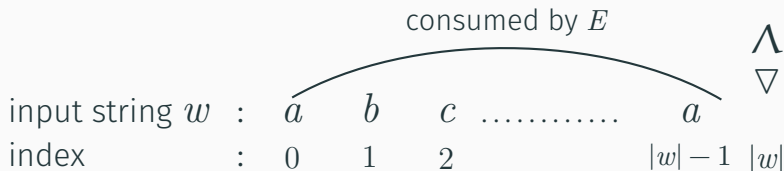
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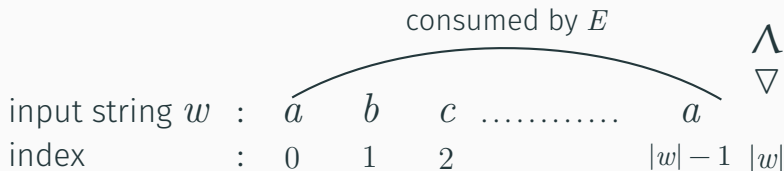
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Def. The language of E : $\llbracket E \rrbracket = \{w : E \text{ accepts } w\}.$

Semantics of REWBLK: REGEX part

Let w be an input string.

$$\llbracket \epsilon, \langle p, \Lambda \rangle \rrbracket = \{ \langle p, \Lambda \rangle \},$$

$$\llbracket \sigma, \langle p, \Lambda \rangle \rrbracket = \begin{cases} \{ \langle p+1, \Lambda \rangle \} & \text{if } w[p] = \sigma \text{ and } p+1 \leq |w| \\ \emptyset & \text{otherwise} \end{cases}$$

Examples

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Examples

$$\llbracket a, \begin{array}{cccc} & & \Lambda & \\ & & \nabla & \\ a & a & b & b & a \end{array} \rrbracket = \begin{array}{cccc} & & \Lambda & \\ & & \nabla & \\ a & b & b & a \end{array}$$

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Examples

$$\llbracket b, \ a \ b \ b \ \overset{\Lambda}{\nabla} a \rrbracket = \emptyset$$

Semantics of REWBLK: REGEX part

$$\llbracket E_1 + E_2, \langle p, \wedge \rangle \rrbracket = \llbracket E_1, \langle p, \wedge \rangle \rrbracket \cup \llbracket E_2, \langle p, \wedge \rangle \rrbracket$$

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$$\begin{aligned} \llbracket E^*, \langle p, \Lambda \rangle \rrbracket &= \bigcup_{i=0}^{\infty} \llbracket E^i, \langle p, \Lambda \rangle \rrbracket \\ &= \end{aligned}$$

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Syntax and Semantics of Backreferences

$(E)_x$: We save a string consumed by applying E to the variable x .

$$\llbracket (E)_x, \langle p, \Lambda \rangle \rrbracket = \left\{ \langle p, \Lambda'[x := w[p..q]] \rangle : \langle q, \Lambda' \rangle \in \llbracket E, \langle p, \Lambda \rangle \rrbracket \right\}$$

$\backslash x$: We use the stored string in x .

$$\llbracket \backslash x, \langle p, \Lambda \rangle \rrbracket = \llbracket \Lambda(x), \langle p, \Lambda \rangle \rrbracket$$

Example.

$$((a + b)^*)_x \# \backslash x$$

$$\text{input: } \overset{\Lambda_\epsilon}{\underset{\nabla}{a}} \ b \ a \ a \ \# \ a \ b \ a \ a$$

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$$\begin{array}{c} ((a + b)^*)_x \# \backslash x \\ x \mapsto abaa \\ \text{consumed} \quad \nabla \\ \text{input: } \overbrace{a \ b \ a \ a} \quad \# \quad a \ b \ a \ a \end{array}$$

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$$\llbracket \backslash x, \langle p, \Lambda \rangle \rrbracket = \llbracket \Lambda(x), \langle p, \Lambda \rangle \rrbracket$$

Example.

$$((a + b)^*)_x \text{ \textcolor{red}{\#} } \backslash x$$

$$x \mapsto abaa$$

∇

input: $a \ b \ a \ a \ \# \ a \ b \ a \ a$

Syntax and Semantics of Backreferences

$(E)_x$: We save a string consumed by applying E to the variable x .

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So, $((a + b)^*)_x \# \backslash x$ accepts a non-CFL $\{w \# w : w \in (a + b)^*\}$.

Syntax and Semantics of Lookaheads

Negative Lookaheads. We continue if the computation of E fails:

$$\llbracket !(E), \langle p, \Lambda \rangle \rrbracket = \begin{cases} \langle p, \Lambda \rangle & \text{if } \llbracket E, \langle p, \Lambda \rangle \rrbracket = \emptyset, \\ \emptyset & \text{otherwise} \end{cases}$$

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In REGEX libraries, the special symbol \$ checks if we are in the EOS pos. \$ is syntactic sugar for $!(\Sigma)$.

Proposition: Closed under complementation

Let E be an expression. $!(E \$)\Sigma^*$ accepts the complement of $\llbracket E \rrbracket$.

Syntax and Semantics of Lookaheads

Positive Lookaheads

$$\llbracket ?(E), \langle p, \Lambda \rangle \rrbracket = \left\{ \langle p, \Lambda' \rangle : \langle q, \Lambda' \rangle \in \llbracket E, \langle p, \Lambda \rangle \rrbracket_w \right\}.$$

Example

$$?((a^*)_x)$$

						Λ				
						∇				
input string w	:	a	b	c	b	\dots	a	a	a	$b \dots\dots$
index	:	0	1	2	3		p			

Syntax and Semantics of Lookaheads

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1. We apply E from the current position;

Example

$$\begin{array}{lcl} & ?((a^*)_x) & x \mapsto aaaa \\ & & \text{consumed} \nabla \\ \text{input string } w & : a \ b \ c \ b \ \dots \ a \ a \ a \ a \ b \ \dots\dots & \\ \text{index} & : 0 \ 1 \ 2 \ 3 \ \quad p \quad \quad q & \end{array}$$

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1. We apply E from the current position;
2. and go back to the invocation point with *inheriting* assignments.

Example

$?((a^*)_x)$

$x \mapsto aaaa$

input string w	:	a	b	c	b	\dots	∇	a	a	a	a	b	$\dots\dots$
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Proving 「 $NL \subseteq REWBLK$ 」 :
Translating NL-machines to
REWBLK

Proving $NL \subseteq REWBLK$

Our transformation consists of two steps:

M : nondeterministic log-space machine



\mathcal{A} : two-way multihead finite automaton



E : REWBLK

Proving $NL \subseteq REWBLK$

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This translation comes from the following result:

The language class of two-way multihead automata equals to NL .

 *On Non-Determinancy in Simple Computing Devices*, J. Hartmanis, 1971.

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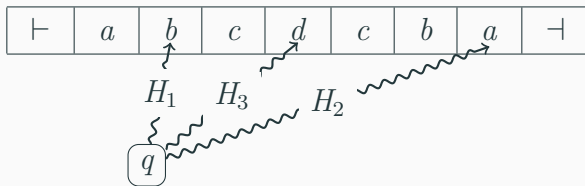
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Simulating *heads* is easier than simulating log-space tapes.

E : REWBLK

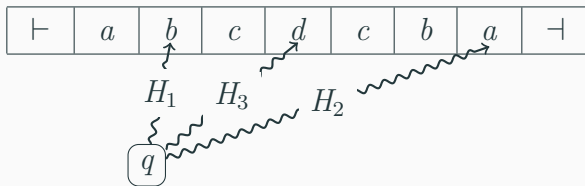
Quick and informal review of two-way multihead finite automata

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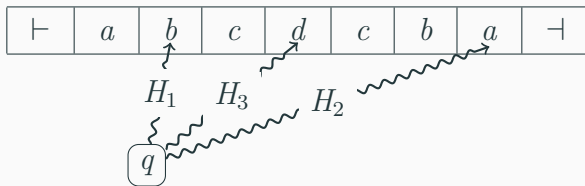


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- the input word is surrounded by end-markers $\vdash \dots \dashv$.

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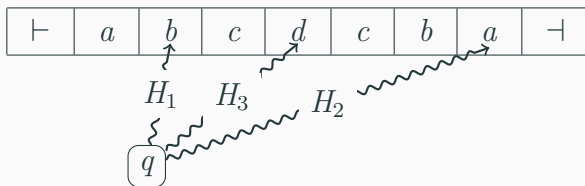


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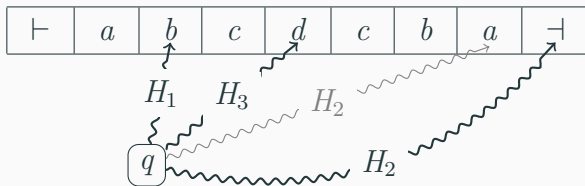


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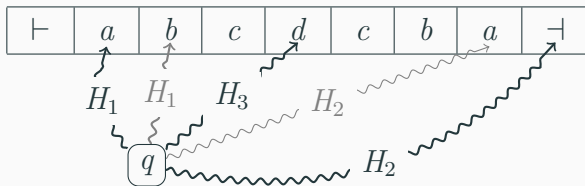


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- as usual automata, each head can move right; and
- each head can also move left.

Proving $NL \subseteq REWBLK$

As example, we try to accept the following typical CFL with REWBLK:

$$L_{\text{reverse}} = \{w \# w^R : w \in \Sigma^*\} \quad w^R \text{ is the reverse of } w.$$

For example, $a b c \# c b a \in L_{\text{reverse}}$.

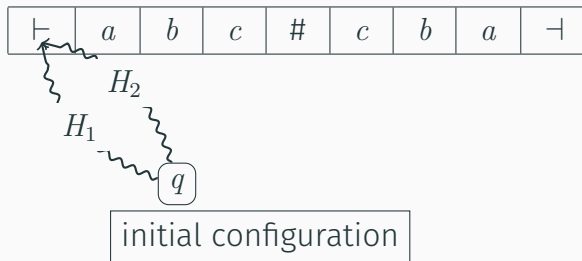
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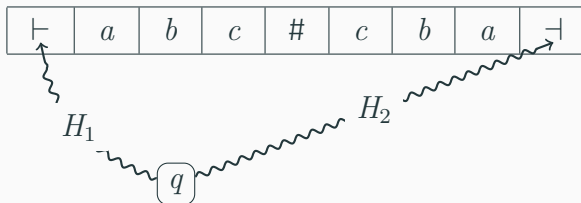
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move H_2 to \dashv while checking that $\#$ appears only once.

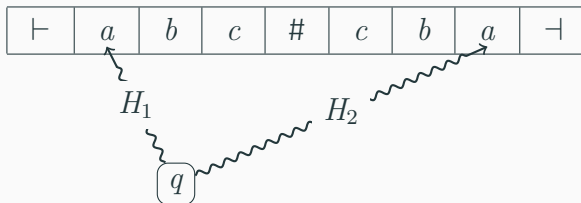
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move heads toward the center while they read the same symbol.

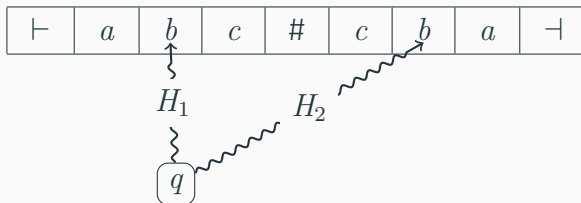
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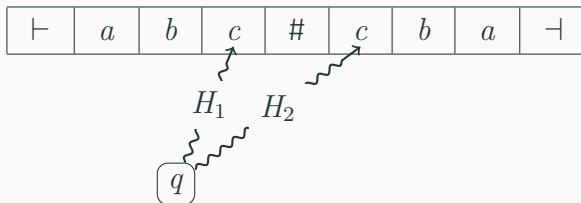
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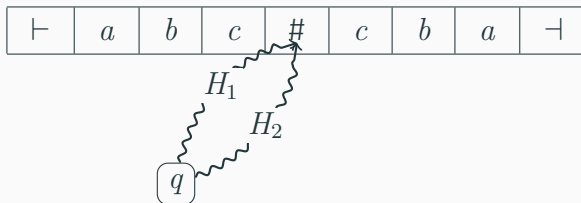
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if the heads reach $\#$ at the same time, we accept the input.

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To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

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To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

① We initialize V_1 and V_2 by:

$$?((\epsilon)_{V_1}) \quad ?((\Sigma^*)_{V_2} \Sigma \$)$$

∇		V_2					
ϵ	a	b	c	$\#$	c	b	a
V_1							

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To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

② Check V_1 and V_2 scan the same symbol by

$$\bigcup_{\sigma \in \Sigma} ?(\backslash V_1 \textcolor{red}{\sigma}) ?(\backslash V_2 \textcolor{blue}{\sigma})$$

∇	V_2						
ϵ	$\textcolor{red}{a}$	b	c	$\#$	c	b	$\textcolor{blue}{a}$
$\overline{V_1}$							

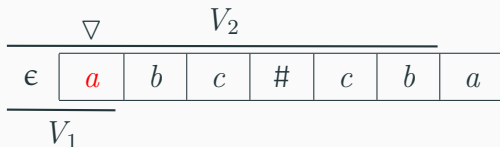
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③ Change/Move V_1 and V_2 . First, we expand V_1 . It is easy:

$$?((\backslash V_1 \textcolor{red}{\Sigma})_{V_1})$$



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To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

④ We shrink V_2 in two steps. First, find the complement string X :

$$?(\setminus V_2 \text{ } (\Sigma^*)_X \text{ } \$)$$

	∇			V_2			X
ϵ	a	b	c	$\#$	c	b	a
V_1							

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④ Using the complement string X , we shrink V_2 :

$$?((\Sigma^*)_{V_2} (\Sigma \setminus X) \$)$$

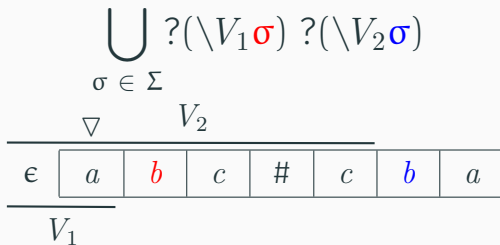
∇					V_2		Σ	X
ϵ	a	b	c	$\#$	c	b	a	
V_1								

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⑤ We repeat the same. Check both the variables scan the same symbol:



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To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

⑥ We again expand V_1 and shrink V_2 by

$$?((\backslash V_1 \Sigma)_{V_1}) \quad ?(\backslash V_2 (\Sigma^*)_X \$) \quad ?((\Sigma^*)_{V_2} (\Sigma \setminus X) \$)$$

	$\nabla \quad V_2$				X		
ϵ	a	b	c	$\#$	c	b	a
	V_1						

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⑦ We again check both the variables scan the same symbol by

$$\bigcup_{\sigma \in \Sigma} ?(\backslash V_1 \textcolor{red}{\sigma}) ?(\backslash V_2 \textcolor{blue}{\sigma})$$

	$\nabla \quad V_2$				X		
ϵ	a	b	$\textcolor{red}{c}$	$\#$	$\textcolor{blue}{c}$	b	a
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	∇V_2				X		
ϵ	a	b	c	$\#$	c	b	a
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	∇V_2				X		
ϵ	a	b	c	$\textcolor{red}{\#}$	c	b	a
	V_1						

On the basis of this simulation idea, we have 「**Thm.** $NL \subseteq REWBLK$.」

Proving $\text{REWBLK} \subseteq \text{NL}$:
Translating REWBLK to
NL machines

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Basically, we generalize the classical regex-to-automaton translation.

McNaughton–Yamada–Thompson construction

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For example, from the following expression,

$$E_{\text{STconn}} = (V^*)_C ?(\#) \left(?(\Sigma^* \# \setminus C \rightarrow (\Sigma^*)_C \#) \right)^* \Sigma^* \# \setminus C$$

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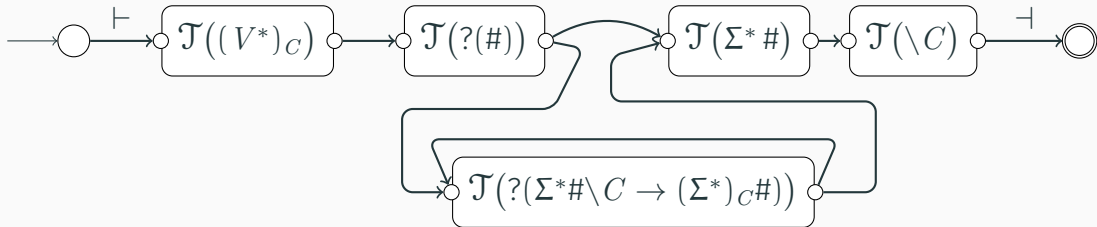
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we obtain the following nlog-space machine $\mathcal{T}(E_{\text{STconn}})$:

(note: inputs of machines are surrounded by \vdash and \dashv)

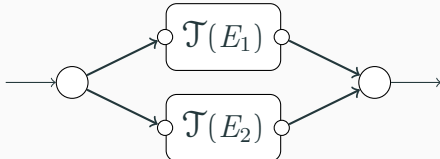


Translation rules for REGEX part

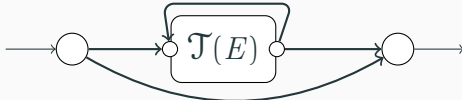
REWBLK : nlog-space Turing machines

$$\mathcal{T}(\epsilon) = \text{do nothing}$$

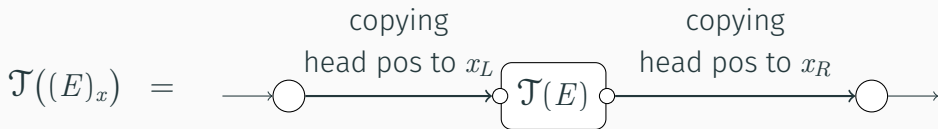

$$\mathcal{T}(\sigma) = \text{check } \sigma \text{ \& move the input head right}$$


$$\mathcal{T}(E_1 + E_2) =$$


$$\mathcal{T}(E_1 E_2) \Rightarrow$$


$$(E)^* \Rightarrow$$


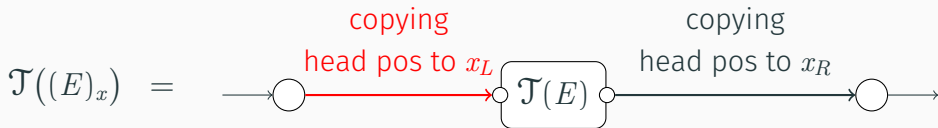
Translation rules for $(E)_x$ and $\setminus x$



input tape	:	$\vdash a b c b \dots \overset{\nabla}{a} \dots \dots \dots b \dots c b a a \dashv$
pos	:	N
working tape x_L	:	
working tape x_R	:	

⚠ We need to normalize and remove patterns like $(\dots x \dots)_x$, $(\dots (\dots)_x \dots)_x$.

Translation rules for $(E)_x$ and $\setminus x$



input tape	:	$\vdash a b c b \dots \overset{\nabla}{a} \dots \dots \dots b \dots c b a a \dashv$
pos	:	N
working tape x_L	:	binary encoding of N
working tape x_R	:	

⚠ We need to normalize and remove patterns like $(\dots x \dots)_x$, $(\dots (\dots)_x \dots)_x$.

Translation rules for $(E)_x$ and $\setminus x$

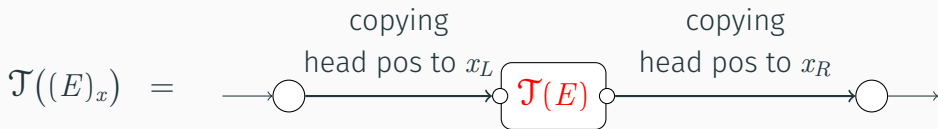


Diagram illustrating the input and working tapes for the translation:

input tape	:	\vdash	a	b	c	b	\dots	a	$\overset{\text{consumed by } E}{\text{.....}}$	b	\dots	c	b	a	a	\dashv
pos	:							N		M						
working tape x_L	:							binary encoding of N								
working tape x_R	:															

Note: A curved arrow labeled "consumed by E " points from the a in the input tape to the b in the input tape. A downward arrow labeled ∇ points from the b in the input tape to the M in the pos row.

⚠ We need to normalize and remove patterns like $(\dots x \dots)_x$, $(\dots (\dots)_x \dots)_x$.

Translation rules for $(E)_x$ and $\setminus x$

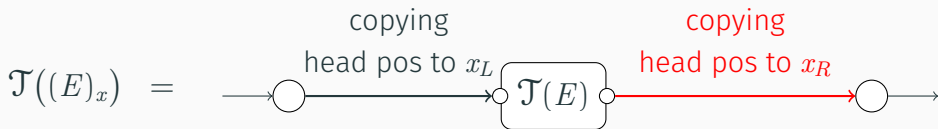
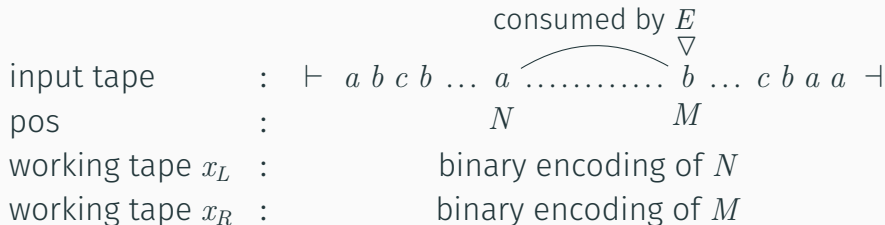
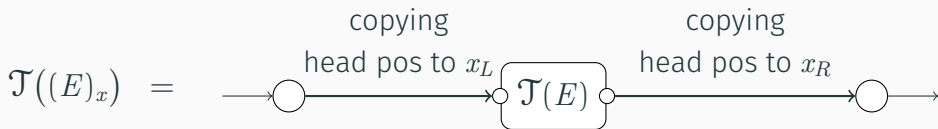


Diagram illustrating the input tape and working tapes for the translation rule:

input tape	:	\vdash	a	b	c	b	\dots	a	$\overset{\text{consumed by } E}{\curvearrowright}$	b	\dots	c	b	a	a	\dashv
pos	:							N		M						
working tape x_L	:							binary encoding of N								
working tape x_R	:							binary encoding of M								

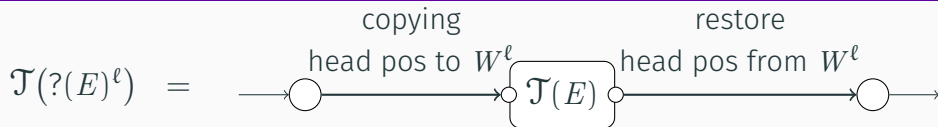
⚠ We need to normalize and remove patterns like $(\dots x \dots)_x$, $(\dots (\dots)_x \dots)_x$.

Translation rules for $(E)_x$ and $\setminus x$



⚠ We need to normalize and remove patterns like $(\dots x \dots)_x$, $(\dots (\dots)_x \dots)_x$.

Translation rules for $?(E)$ and $!(E)$

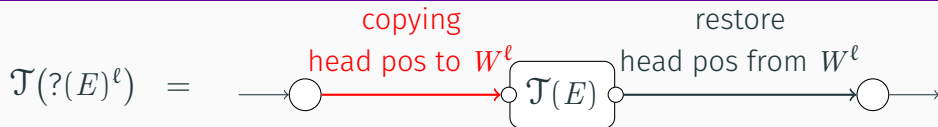


input tape : $\vdash a b c b \dots \overset{\nabla}{a} \dots \dots \dots b \dots c b a a \vdash$

pos : N

working tape W^ℓ :

Translation rules for $?(E)$ and $!(E)$



input tape : $\vdash a b c b \dots \overset{\nabla}{a} \dots \dots \dots b \dots c b a a \dashv$

pos : N

working tape W^ℓ : binary encoding of N

Translation rules for $?(E)$ and $!(E)$

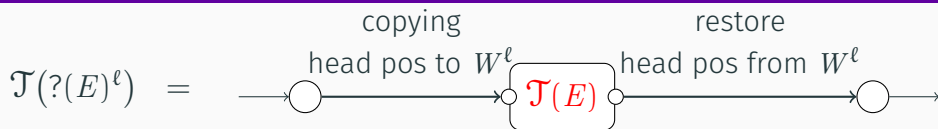


Diagram illustrating the input tape configuration for the translation rule:

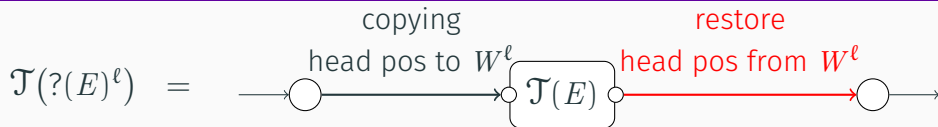
input tape : $\vdash a b c b \dots a \dots \dots \dots b \dots c b a a \dashv$

pos : $N \quad M$

working tape W^ℓ : binary encoding of N

The diagram shows a sequence of symbols on the input tape. A curved arrow labeled "consumed by E " points from the symbol a at position N to the symbol b at position M . A downward arrow labeled ∇ points from the symbol b at position M to the working tape W^ℓ .

Translation rules for $?(E)$ and $!(E)$

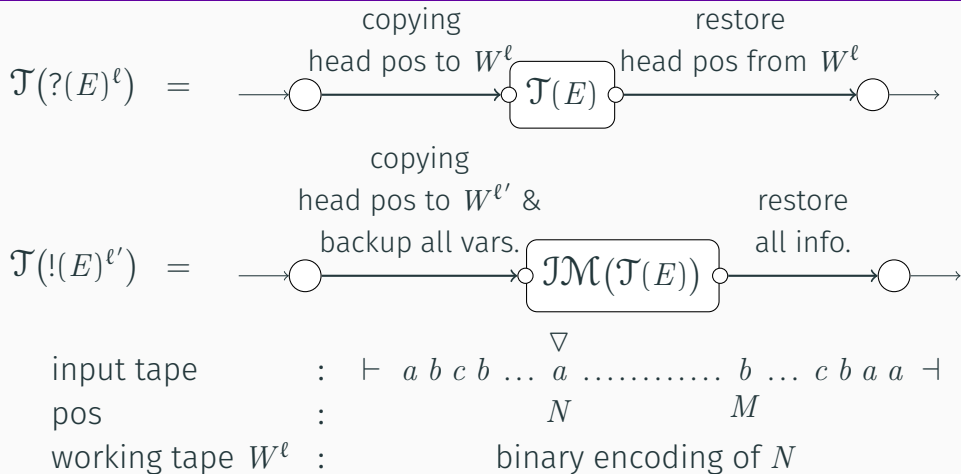


input tape : $\vdash a b c b \dots \overset{\nabla}{a} \dots \dots \dots b \dots c b a a \vdash$

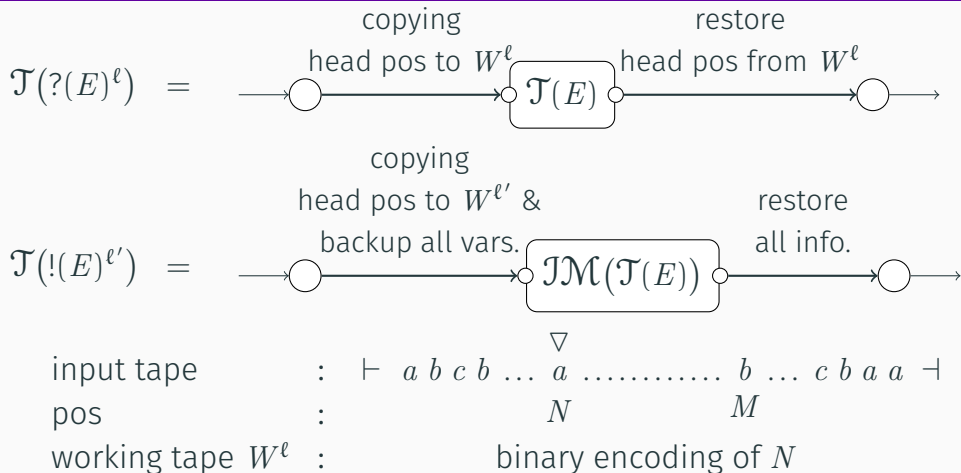
pos : $N \qquad M$

working tape W^ℓ : binary encoding of N

Translation rules for $\mathcal{T}(?(E)^\ell)$ and $\mathcal{T}(!(E)^{\ell'})$





Translation rules for $?(E)$ and $!(E)$



$\mathcal{IM}(M)$ is the complement version of M computed by Immerman's construction. Please recall **NL** = **co-NL** by Immerman-Szelepcsényi theorem.

Conclusion

	Language class	Comp. of Membership Problem
REGEX	$= \text{Dspace}(O(1))$	NL -complete (1)
REGEX + <i>Backreferences</i>	$\subseteq \text{NL}$ [1] $\subseteq \text{INDEX}$ [2]	NP -complete (2)
REGEX + <i>Lookaheads</i>	$= \text{REGEX}$ [3]	P -complete (3)
REWBLK	$= \text{NL}$ 	PSPACE -complete 

[1] *Inside the class of regex languages*, M.L. Schmid, 2013

[2] *On the expressive power of regular expressions with backreferences*, T.Nogami & T. Terauchi, 2023

[3] *Alternation*, A. Chandra, D. Kozen, & L. Stockmeyer, 1981

(1) is equivalent to the st-connectivity (directed-graph connectivity) problem

(2) *Algorithms for finding patterns in strings*, A. Aho, 1990

(3) *A note on the space complexity of some decision problems for finite automata*, T. Jiang & B. Ravikumar, 1991

Bonus Slides

Normalizing expressions

Let's consider an expression:

$$(\backslash x (a \backslash x)_x \backslash x)_x,$$

which violates conditions $(\dots \backslash x \dots)_x$ and $(\dots (\dots)_x \dots)_x$.

We can normalize it as follows:

$$\begin{aligned} & (\backslash x (a \backslash x)_x \backslash x)_x \\ \Rightarrow & (\backslash x (a \backslash x)_x \backslash x)_y ?((\backslash y)_x) \\ \Rightarrow & (\backslash x (a \backslash x)_z ?((\backslash z)_x) \backslash x)_y ?((\backslash y)_x) \end{aligned}$$

From outside to inside, we replace labels $(\dots)_x$ with a fresh one $(\dots)_\alpha$ and then add $?((\backslash \alpha)_x)$ for copying the content:

$$(\dots)_x \Rightarrow (\dots)_\alpha ?((\backslash \alpha)_x)$$