Regular Expressions with Backreferences and Lookaheads Capture NLOG

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CyberAgent, Inc.



Classical Regular Expressions (REGEX)

Example.
$$[aa \ a^*]$$
 = $[aa(\epsilon + a + aa + aaa + \cdots)]$
= $\{a^n : n \geqslant 2\}$

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Modern REGEX = REGEX with Backreferences

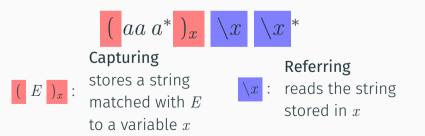
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The expression behaves like the "Sieve of Eratosthenes":

So, $\llbracket (aaa^*)_x \setminus x \setminus x^* \rrbracket = \{a^n : n \text{ is composite}\}.$

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$$\begin{array}{c} x \\ \overline{aa} \ (aa) \ (aa)^* \ (=\{a^{2\cdot j}: j\geqslant 2\}) \\ (aa\ a^*)_x \ \backslash x \ \backslash x^* = \ + \ \overline{aaa} \ (aaa) \ (aaa)^* \ (=\{a^{3\cdot j}: j\geqslant 2\}) \\ \end{array}$$

$$+ \frac{1}{aaaa} (aaaa) (aaaa)^* (= \{a^{4 \cdot j} : j \ge 2\})$$

Can we represent the prime numbers $\{a^2, a^3, a^5, a^7, \ldots\}$??

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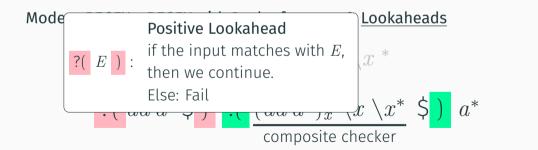
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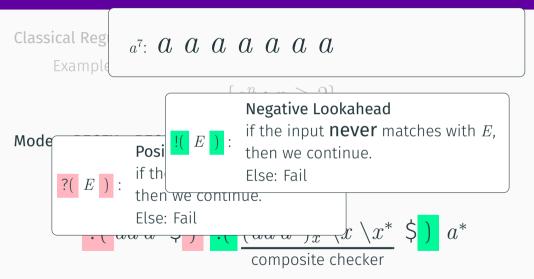
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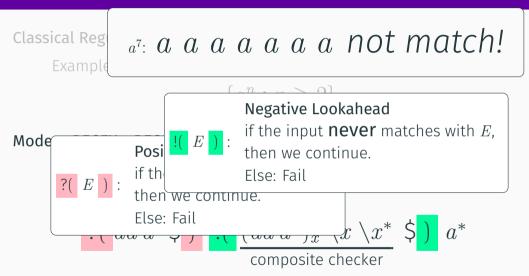


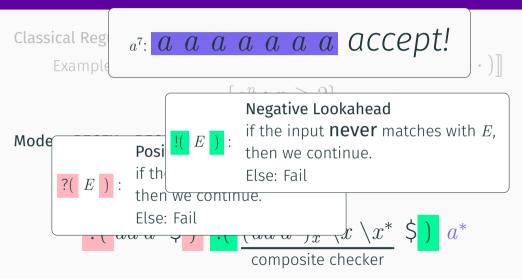
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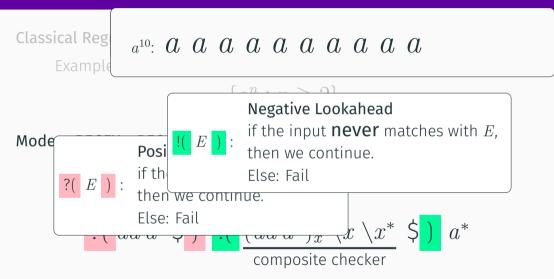
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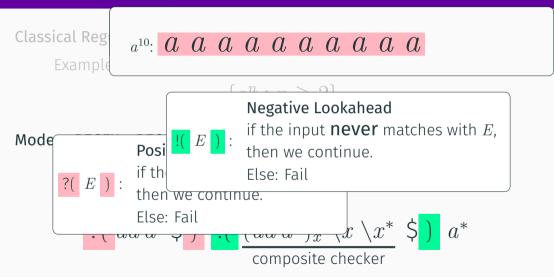


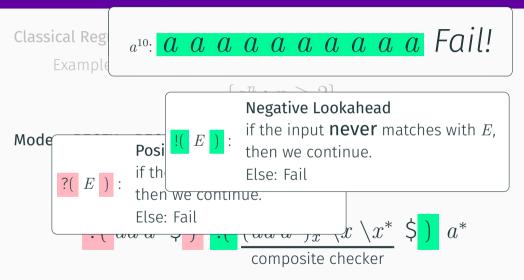












Introduction

Coding and experimenting in Python

```
import re
prime = r'(?!((?P<X>(aaa*))(?P=X)(?P=X)*\$))aa(a*)'
for i in range(1, 50):
   W = 'a' * i
    result = re.fullmatch(prime, w)
    print(i, result)
```

```
slide — -bash > Emacs-arm64-11 — 110x28
[CA-20023547:slide s22809$ python prime_demo.py
1 None
2 <re.Match object; span=(0, 2), match='aa'>
3 <re.Match object: span=(0, 3), match='aaa'>
4 None
5 <re.Match object; span=(0, 5), match='aaaaa'>
6 None
7 <re.Match object; span=(0, 7), match='aaaaaaa'>
8 None
9 None
10 None
11 <re.Match object; span=(0, 11), match='aaaaaaaaaaa'>
12 None
13 <re.Match object; span=(0, 13), match='aaaaaaaaaaaaa'>
14 None
15 None
16 None
17 <re.Match object; span=(0, 17), match='aaaaaaaaaaaaaaaaa'>
```

19 <re.Match object: span=(0, 19), match='aaaaaaaaaaaaaaaaaaaa'>

18 None

20 None 21 None

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1 The language class of REWBLK = **NL** (nondeterministic log-space). We have the following translation:

 $E: \mathsf{REWBLK} \Longleftrightarrow M: \mathsf{nondeterministic\ log\text{-space\ TM}}\ s.t.\ [\![E]\!] = L(M)$

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- **2** The complexity of REWBLK-membership problem is **PSPACE**-complete.
 - Input: a REWBLK expression E & a string \boldsymbol{w}
 - \cdot Output: True if E accepts w. False otherwise.

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In this talk,
we only focus on 1 REWBLk = NL
(Regular)

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Outline of this talk

- 1. Informal overview of REWBLK and results. 🗸
- 2. Formal semantics of REWBLK
- 3. Idea of the proof of $NL \subseteq REWBLK$
- 4. Idea of the proof of $NL \supseteq REWBLK$

① Syntax. Expressions are inductively defined via the following grammar:

$$E ::= \epsilon \mid \sigma \mid E+E \mid EE \mid E^* \text{ (REGEX part)}$$

$$\mid (E)_x \mid \backslash x \text{ (Backreferences part)}$$

$$\mid ?(E) \mid !(E) \text{ (Lookaheads part)}$$

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input w : a b \dots a \dots a

 $\text{index} \qquad : \quad 0 \qquad 1 \qquad \qquad p \qquad |w|-1 \quad |w|$

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For a variable x, $\Lambda(x)$ means the stored string in x.

 $\$ **Semantics function.** Let w be an input string.

$$\llbracket E, \langle \underline{p}, \underline{\Lambda} \rangle \rrbracket_w = \{ \langle q_1, \Lambda_1 \rangle, \langle q_2, \Lambda_2 \rangle, \dots \}.$$

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It means that:

1. From the current configuration $\langle p, \Lambda \rangle$, we execute E;

input string
$$w:abcb...a$$
 $abcb...a$ $b...b$ $abcb...b$ $abcb...b$ $abcb...b$ $abcb...b$ $abcb...b$ $abcb...b$ $abcb...b$ $abcb...b$

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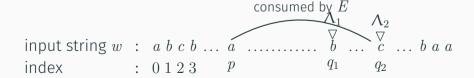
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 consumed by
$$E \qquad \qquad \Lambda$$
 input string
$$w : a \qquad b \qquad c \qquad \qquad a$$
 index
$$: 0 \qquad 1 \qquad 2 \qquad |w|-1 \ |w|$$

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Acceptance. E **accepts** w if w can be perfectly consumed by E.

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Def. The language of E: $\llbracket E \rrbracket = \{w : E \text{ accepts } w\}$.

Let w be an input string.

Examples

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$$\llbracket E_1 + E_2, \langle p, \Lambda \rangle \rrbracket = \llbracket E_1, \langle p, \Lambda \rangle \rrbracket \cup \llbracket E_2, \langle p, \Lambda \rangle \rrbracket$$

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$$\llbracket E_1 \ E_2, \ \langle p, \Lambda \rangle \rrbracket = \bigcup_{\langle q, \Lambda' \rangle \in \llbracket E_1, \langle p, \Lambda \rangle \rrbracket} \llbracket E_2, \ \langle q, \Lambda' \rangle \rrbracket$$

$$\begin{aligned}
& \begin{bmatrix} E_1 + E_2, & \langle p, \Lambda \rangle \end{bmatrix} &= & \begin{bmatrix} E_1, & \langle p, \Lambda \rangle \end{bmatrix} \cup & \begin{bmatrix} E_2, & \langle p, \Lambda \rangle \end{bmatrix} \\
& \begin{bmatrix} E_1 E_2, & \langle p, \Lambda \rangle \end{bmatrix} &= & \bigcup_{\langle q, \Lambda' \rangle \in [E_1, \langle p, \Lambda \rangle]} & E_2, & \langle q, \Lambda' \rangle \end{bmatrix} \\
& \begin{bmatrix} E^*, & \langle p, \Lambda \rangle \end{bmatrix} &= & \bigcup_{i=0}^{\infty} & \begin{bmatrix} E_i, & \langle p, \Lambda \rangle \end{bmatrix} \\
&= & \begin{bmatrix} \varepsilon, & \langle p, \Lambda \rangle \end{bmatrix} \cup & \begin{bmatrix} E, & \langle p, \Lambda \rangle \end{bmatrix} \\
&\cup & \begin{bmatrix} EE, & \langle p, \Lambda \rangle \end{bmatrix} \cup & \begin{bmatrix} EEEE, & \langle p, \Lambda \rangle \end{bmatrix} \cup \cdots
\end{aligned}$$

 $(E)_x$: We save a string consumed by applying E to the variable x.

$$\llbracket (E)_x, \langle p, \Lambda \rangle \rrbracket = \left\{ \langle p, \Lambda'[x := w[p..q)] \rangle : \langle q, \Lambda' \rangle \in \llbracket E, \langle p, \Lambda \rangle \rrbracket \right\}$$

$$[\![\langle x, \langle p, \Lambda \rangle]\!] = [\![\Lambda(x), \langle p, \Lambda \rangle]\!]$$

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input:
$$\overset{\Lambda_{\epsilon}}{a} b a a \# a b a a$$

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$$x \mapsto abaa$$

$$\forall consumed \forall a b a a$$
input: $a b a a \# a b a a$

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$$((a+b)^*)_x \ \begin{picture}(100,0)(0,0) \put(0,0){\line(0,0){100}} \put$$

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$$\llbracket \backslash x, \ \langle p, \Lambda \rangle \rrbracket = \llbracket \Lambda(x), \langle p, \Lambda \rangle \rrbracket$$

$$((a+b)^*)_x \# \ \ x \mapsto abaa$$
 input: $a \ b \ a \ a \ \# \ \overbrace{a \ b \ a \ a}^{\text{consumed by } \backslash x} \triangledown$

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 $\setminus x$: We use the stored string in x.

Example.

$$((a+b)^*)_x \# \backslash x$$

$$x \mapsto abaa$$
 consumed by $\backslash x$

input:
$$a$$
 b a a # $\overbrace{a \ b \ a \ a}^{\text{consumed by } \setminus x}$ ∇

So, $((a+b)^*)_x \# \x$ accepts a non-CFL $\{w \# w : w \in (a+b)^*\}$.

Syntax and Semantics of Lookaheads

Negative Lookaheads. We continue if the computation of E fails:

$$\llbracket !(E), \langle p, \Lambda \rangle \rrbracket = \begin{cases} \{\langle p, \Lambda \rangle\} & \text{if } \llbracket E, \langle p, \Lambda \rangle \rrbracket = \emptyset, \\ \emptyset & \text{otherwise.} \end{cases}$$

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$$[\![\Sigma, \stackrel{\bigtriangledown}{a} \quad b \quad a \qquad]\!] = \begin{array}{cccc} & \stackrel{\bigtriangledown}{\circ} & \\ a & b & a \end{array}$$

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Example: Implementing End-of-String checker \$ In REGEX libraries, the special symbol \$ checks if we are in the EOS pos. \$ is syntactic sugar for $!(\Sigma)$.

Proposition: Closed under complementation

Let E be an expression. $!(E\, \$)\dot{\Sigma}^*$ accepts the complement of $[\![E]\!]$.

Positive Lookaheads

$$[\![?(E), \langle p, \Lambda \rangle]\!] = \{\langle p, \Lambda' \rangle : \langle q, \Lambda' \rangle \in [\![E, \langle p, \Lambda \rangle]\!]_w\}.$$

Example

$$?((a^*)_x)$$

 $f((a^*)_x)$

input string $w: a b c b \dots \hat{a} a a a b \dots$ index : 0 1 2 3 p

Positive Lookaheads

$$[?(E), \langle p, \Lambda \rangle] = \{\langle p, \Lambda' \rangle : \langle q, \Lambda' \rangle \in [E, \langle p, \Lambda \rangle]_w\}.$$

1. We apply E from the current position;

Example

$$?((a^*)_x) \qquad \qquad x \mapsto aaaa$$
 input string $w : a \ b \ c \ b \ \dots \ \overbrace{a \ a \ a \ a \ b}^{\mathsf{consumed}} \ \bigvee_{q}$ index
$$: 0 \ 1 \ 2 \ 3 \qquad p \qquad q$$

Positive Lookaheads

$$[\![?(E), \langle p, \Lambda \rangle]\!] = \{\langle p, \Lambda' \rangle : \langle q, \Lambda' \rangle \in [\![E, \langle p, \Lambda \rangle]\!]_w\}.$$

- 1. We apply E from the current position;
- 2. and go back to the invocation point with inheriting assignments.

Example

$$?((a^*)_x)$$

$$x\mapsto aaaa$$
 input string $w:abcb...aaaa$
$$0 1 2 3$$

Proving 「NL ⊆ REWBLK」:

Translating NL-machines to

REWBLK

Our transformation consists of two steps:

```
M: nondeterministic log-space machine
\mathcal{A}: two-way multihead finite automaton
E \cdot \mathsf{RFWBLK}
```

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M: nondeterministic log-space machine This translation comes from the following result: The language class of two-way multihead automata equals to NL. On Non-Determinancy in Simple Computing Devices, J. Hartmanis, 1971. \mathcal{A} : two-way multihead finite automaton $E \cdot \mathsf{RFWRIK}$

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E : REWBLK

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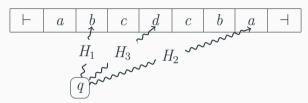
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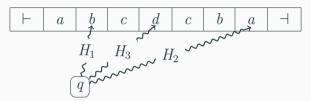
Simulating *heads* is easier than simulating log-space tapes.

E : REWBLK

The following is a configuration of a **3-head** two-way finite automaton:



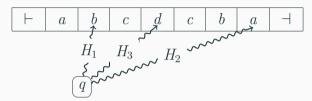
The following is a configuration of a **3-head** two-way finite automaton:



Generally, on every k-head two-way finite automaton,

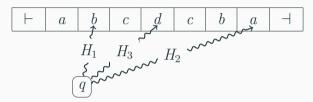
· the input word is surrounded by end-markers $\vdash \cdots \dashv$.

The following is a configuration of a **3-head** two-way finite automaton:



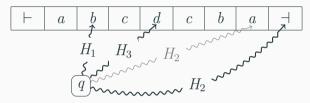
- \cdot the input word is surrounded by end-markers $\vdash \cdots \dashv$.
- there are finitely many states;

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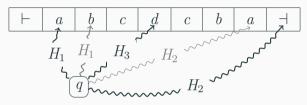
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- the input word is surrounded by end-markers $\vdash \cdots \dashv$.
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- the input word is surrounded by end-markers $\vdash \cdots \dashv$.
- · there are finitely many states;
- there are k-heads H_1 , H_2 , ... H_k ;
- · as usual automata, each head can move right; and
- · each head can also move left.

Proving NL ⊆ REWBLK

As example, we try to accept the following typical CFL with REWBLK:

$$L_{\text{reverse}} = \left\{ \textit{w} \, \# \, \textit{w}^{\textit{R}} : \textit{w} \in \Sigma^* \right\} \qquad \textit{w}^{\textit{R}} \text{ is the reverse of } \textit{w}.$$

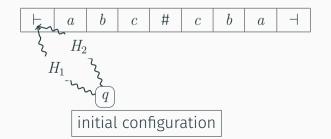
For example, $a\ b\ c\ \#\ c\ b\ a\in L_{\rm reverse}.$

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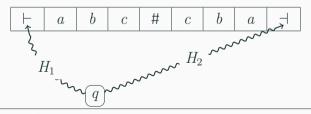


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move H_2 to \dashv while checking that # appears only once.

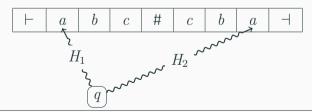
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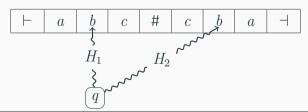
move heads toward the center while they read the same symbol.

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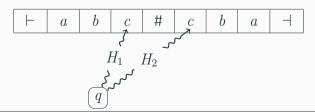
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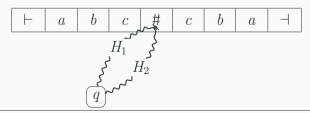
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if the heads reach # at the same time, we accept the input.

Proving NL ⊆ REWBLK

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To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

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To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

① We initialize $\ V_1$ and $\ V_2$ by:

$$?((\epsilon)_{V_1}) \qquad ?((\Sigma^*)_{V_2} \Sigma \$)$$

$$L_{\mathsf{reverse}} = \left\{ w \, \# \, w^R : w \in \Sigma^* \right\} \qquad w^R \text{ is the reverse of } w.$$

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 $\ \ \,$ $\ \ \,$ $\ \ \,$ Check $\ \ \, V_1$ and $\ \ \, V_2$ scan the same symbol by

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$$?((V_1 \Sigma)_{V_1})$$

	∇		V_2				
ϵ	a	b	c	#	c	b	a
$\overline{V_1}$							

$$L_{\mathsf{reverse}} = \left\{ w \, \# \, w^R : w \in \Sigma^* \right\} \qquad w^R \text{ is the reverse of } w.$$

To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

4 We shrink V_2 in two steps. First, find the complement string X:

$$?(V_2(\Sigma^*)_X \$)$$

$$L_{\mathsf{reverse}} = \left\{ w \, \# \, w^R : w \in \Sigma^* \right\} \qquad w^R \text{ is the reverse of } w.$$

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4 Using the complement string X, we shrink V_2 :

$$?((\Sigma^*)_{V_2} (\Sigma \setminus X) \$)$$

$$L_{\mathsf{reverse}} = \left\{ w \, \# \, w^R : w \in \Sigma^* \right\} \qquad w^R \text{ is the reverse of } w.$$

To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

⑤ We repeat the same. Check both the variables scan the same symbol:

$$L_{\mathsf{reverse}} = \left\{ w \, \# \, w^R : w \in \Sigma^* \right\} \qquad w^R \text{ is the reverse of } w.$$

To simulate two heads H_1 and H_2 , we use two variables V_1 and V_2 .

 $^{\circ}$ We again expand V_1 and shrink V_2 by

$$?((\setminus V_1 \Sigma)_{V_1}) ?(\setminus V_2 (\Sigma^*)_X \$) ?((\Sigma^*)_{V_2} (\Sigma \setminus X) \$)$$

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Proving $NL \subseteq REWBLK$

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Proving $NL \subseteq REWBLK$

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On the basis of this simulation idea, we have $\lceil Thm. \ NL \subseteq REWBLK. \rfloor$

Proving REWBLK \subseteq NL:

Translating REWBLK to

NL machines

Proving REWBLK \subseteq NL

Basically, we generalize the classical regex-to-automaton translation.

McNaughton-Yamada-Thompson construction

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For example, from the following expression,

$$E_{\mathsf{STconn}} = (V^*)_C ? (\#) \left(? \left(\Sigma^* \# \backslash C \to (\Sigma^*)_C \# \right) \right)^* \Sigma^* \# \backslash C$$

Proving REWBLK \subseteq NL

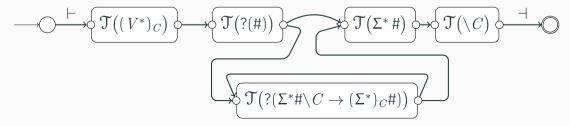
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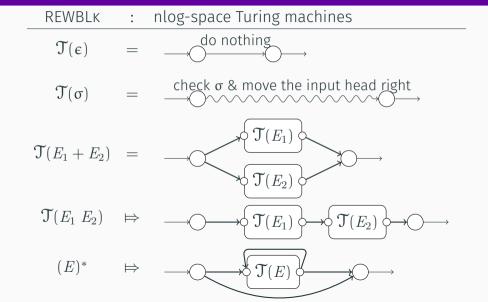
For example, from the following expression,

$$E_{\mathsf{STconn}} = (V^*)_C ? (\#) \left(? \left(\Sigma^* \# \backslash C \to (\Sigma^*)_C \# \right) \right)^* \Sigma^* \# \backslash C$$

we obtain the following nlog-space machine $\Upsilon(E_{\mathsf{STconn}})$: (note: inputs of machines are surrounded by \vdash and \dashv)



Translation rules for REGEX part



```
input tape : \vdash a \ b \ c \ b \ \dots \ \stackrel{\nabla}{a} \ \dots \dots \ b \ \dots \ c \ b \ a \ a \ \dashv
```

pos : N

working tape x_L : working tape x_R :

```
input tape : \vdash a \ b \ c \ b \ \dots \ \stackrel{\lor}{a} \ \dots \dots \ b \ \dots \ c \ b \ a \ a \ \dashv
```

pos : N

working tape x_L : binary encoding of N

working tape x_R :

$$\mathfrak{T}\big((E)_x\big) = \underbrace{\begin{array}{c} \text{copying} \\ \text{head pos to } x_L \\ \hline \\ \mathfrak{T}(E) \end{array}}_{\text{head pos to } x_R} \xrightarrow{\text{head pos to } x_R}$$

input tape : $\vdash a \ b \ c \ b \ \dots \ a \ \dots \dots b \ b \ a \ a \dashv pos$: $N \qquad M$ working tape x_L : binary encoding of N working tape x_R :

input tape : $\vdash a \ b \ c \ b \ \dots \ a \ \dots \dots b \ b \ a \ a \ \dashv$ pos : $N \ M$ working tape x_L : binary encoding of N working tape x_R : binary encoding of M

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Translation rules for $(E)_x$ and \sqrt{x}



lack A We need to normalize and remove patterns like $(\cdots x \cdots)_x, (\cdots (\cdots)_x \cdots)_x$.

$$\mathfrak{T}\big(?(E)^\ell\big) \quad = \quad \xrightarrow{\text{head pos to } W^\ell} \underbrace{\mathfrak{T}(E)}^{\text{head pos from } W^\ell}$$

```
input tape : \vdash a \ b \ c \ b \ \dots \ a \ \dots \dots \ b \ \dots \ c \ b \ a \ a \ \dashv pos : N working tape W^\ell :
```

$$\mathfrak{T}\big(?(E)^\ell\big) \quad = \quad \overset{\text{copying}}{\longrightarrow} \quad \overset{\text{restore}}{\longrightarrow} \quad \overset{\text{head pos to } W^\ell}{\longrightarrow} \quad \overset{\text{head pos from } W^\ell}{\longrightarrow} \quad \overset{\text{head pos to } W^\ell}{$$

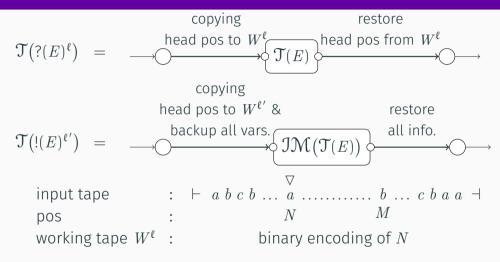
```
input tape : \vdash a \ b \ c \ b \dots \stackrel{\nabla}{a} \dots b \dots c \ b \ a \ a \dashv pos : N working tape W^{\ell} : binary encoding of N
```

$$\mathfrak{T}\big(?(E)^\ell\big) \quad = \quad \xrightarrow{\text{head pos to } W^\ell \\ } \underbrace{\mathfrak{T}(E)}^{\text{head pos from } W^\ell}$$

```
input tape : \vdash a\ b\ c\ b\ \dots\ a\ \dots \ b\ \dots\ c\ b\ a\ a\ \dashv pos : N M working tape W^\ell : binary encoding of N
```

$$\mathfrak{T}\big(?(E)^\ell\big) \quad = \quad \xrightarrow{\text{head pos to } W^\ell \\ \mathfrak{T}(E)^\ell)} \quad \text{head pos from } W^\ell \\ \text{head pos from }$$

```
input tape : \vdash a \ b \ c \ b \dots \stackrel{\nabla}{a} \dots \dots b \dots c \ b \ a \ a \dashv pos : N \qquad M working tape W^{\ell} : binary encoding of N
```



$$\mathfrak{T}(?(E)^{\ell}) = \underbrace{\begin{array}{c} \text{copying} \\ \text{head pos to } W^{\ell} \\ \mathfrak{T}(E) \end{array}}_{\text{copying}} \text{head pos from } W^{\ell} \\ \text{head pos to } W^{\ell'} \& \\ \text{restore} \\ \text{all info.} \\ \text{linput tape} \\ \text{pos} \\ \text{linput tape} \\ \text{pos} \\ \text{linput tape} \\ \text{linpu$$

construction. Please recall NL = co-NL by Immerman–Szelepcsényi theorem.

Conclusion

	Language class	Comp. of Membership Problem
REGEX	= Dspace($O(1)$)	NL-complete (1)
REGEX	\subseteq NL [1]	NP-complete (2)
+ Backreferences	\subseteq INDEX [2]	NF-complete (2)
REGEX	= REGEX [3]	P-complete (3)
+ Lookaheads	= KEGEY [3]	P-complete (3)
REWBLK	= NL NEW	PSPACE-complete №

- [1] Inside the class of regex languages, M.L. Schmid, 2013
- [2] On the expressive power of regular expressions with backreferences, T.Nogami & T. Terauchi, 2023
- [3] Alternation, A. Chandra, D. Kozen, & L. Stockmeyer, 1981
- (1) is equivalent to the st-connectivity (directed-graph connectivity) problem
- (2) Algorithms for finding patterns in strings, A. Aho, 1990
- (3) A note on the space complexity of some decision problems for finite automata, T. Jiang & B. Ravikumar, 1991

Bonus Slides

Normalizing expressions

Let's consider an expression:

$$(\langle x (a \langle x)_x \langle x \rangle_x, x)_x,$$

which violates conditions $(\cdots \setminus x \cdots)_x$ and $(\cdots (\cdots)_x \cdots)_x$.

We can normalize it as follows:

$$(\langle x (a \rangle x)_x \rangle x)_x$$

$$\Rightarrow (\langle x (a \rangle x)_x \rangle x)_y ?((\langle y)_x)$$

$$\Rightarrow (\langle x (a \rangle x)_z ?((\langle z)_x) \rangle x)_y ?((\langle y)_x)$$

From outside to inside, we replace labels $(\cdots)_x$ with a frash one $(\cdots)_{\alpha}$ and then add $?((\setminus \alpha)_x)$ for copying the content:

$$(\cdots)_x \Longrightarrow (\cdots)_{\alpha}?((\backslash \alpha)_x)$$