

# Flavor wave model homework problems

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A. In this part, we will develop a method solving the ground state without diagonalizing the Hamiltonian.

(1) Assume a particle can be described by spin only, with  $S = 1$ . and the Hamiltonian is

$$H = S_z.$$

Write down the matrix form for  $H$ , the eigenstates of  $H$  and their energies. We will write down the ground state to be  $|a_0\rangle$

(2) Assume a initial state to be  $|\psi_0\rangle = \frac{1}{\sqrt{3}}(|S_z = -1\rangle + |S_z = 0\rangle + |S_z = 1\rangle)$ . Let  $\varepsilon = 10^{-2}$ . Calculate

$$|\phi_1\rangle = (1 - \varepsilon H) |\psi_0\rangle$$

$$|\psi_1\rangle = \frac{|\phi_1\rangle}{\langle\phi_1|\phi_1\rangle}$$

Look at the  $|\psi_1\rangle$ , and show that there are more weights of ground state in  $|\psi_1\rangle$  than  $|\psi_0\rangle$ .

(3) Define

$$|\phi_{n+1}\rangle = (1 - \varepsilon H) |\psi_n\rangle$$

$$|\psi_{n+1}\rangle = \frac{|\phi_{n+1}\rangle}{\langle\phi_{n+1}|\phi_{n+1}\rangle}.$$

Draw (i)  $|\langle a_0|\psi_n\rangle|^2$  as a function of  $n$ . and (ii)  $\langle\psi_n|H|\psi_n\rangle$  as a function of  $n$ . Also try with different positive values of  $\varepsilon$  and see how the value will change the speed of converging.

(4) repeat (2) and (3) with  $|\psi_0\rangle = |S_z = 0\rangle$ . (Some weird thing could happen, depending on the computer.)

(5) For a general Hamiltonian

$$H = \sum_{i=0}^{d-1} E_i |a_i\rangle \langle a_i|$$

with the eigenenergies  $E_0 < E_1 \leq E_2 \leq \dots \leq E_{d-1}$ , prove that  $\forall |\psi_0\rangle$  that  $\langle a_0|\psi_0\rangle \neq 0$ ,  $\exists \varepsilon > 0$ , the series  $\{|\psi_n\rangle\}$  generated in (2) satisfies

$$\lim_{n \rightarrow \infty} |\langle a_0|\psi_n\rangle|^2 = 1.$$

Hint: Consider an  $\varepsilon$  that  $0 < \varepsilon < 1/|E_{d-1}|$  and find a  $q$  that  $0 < q < 1$  and  $\left(1 - \sqrt{|\langle a_0|\psi_{n+1}\rangle|^2}\right) < q \left(1 - \sqrt{|\langle a_0|\psi_n\rangle|^2}\right)$ .

Comment: From this part, to make sure the algorithm works, a small fluctuation should be added in each step to get a nonzero  $\langle a_0|\psi_0\rangle$ .

(6) Now we know that this algorithm always converges. Let's figure out how to make it fast. Consider a Hamiltonian in (5) with conditions  $d > 2$  and  $E_0 < E_1 < E_{d-1}$ . Define:

$$|\phi_{n+1}\rangle = (-H + z) |\psi_n\rangle$$

$$|\psi_{n+1}\rangle = \frac{|\phi_{n+1}\rangle}{\langle\phi_{n+1}|\phi_{n+1}\rangle}.$$

where  $z$  is a real number and define  $x_n = 1 - |\langle a_0|\psi_n\rangle|$ . Prove that (i)  $\forall z > \frac{-E_0 - E_{d-1}}{2}$ ,  $\exists q$ ,  $0 < q < 1$  and

$$\lim_{n \rightarrow \infty} \frac{x_{n+1}}{x_n} = q,$$

and (ii)  $q$  is minimized when

$$z = -\frac{1}{2}(E_1 + E_{d-1})$$

B. This part is to use the method in A to solve the ground state with mean-field approximation.

Consider 2 identical interacting atoms in an external magnetic field and they both have spin-orbital coupling. The Hamiltonian is

$$H = H_1 + H_2 + H_{12}$$

where  $H_1$  and  $H_2$  are the Hamiltonian of two isolated atoms and  $H_{12}$  describes the interaction between the atoms. This will be calculated with mean field approximation later.

(1) First we will write down  $H_1$  and  $H_2$ . Assume these two atoms are same and both have quantum numbers  $S = 1/2$  and  $L = 1$ . The Hamiltonian is a sum of spin-orbital coupling and also coupling to the external magnetic field  $\mathbf{B}$ .

$$H_1 = \lambda \mathbf{S} \cdot \mathbf{L} + \mu_B (2\mathbf{S} + \mathbf{L}) \cdot \mathbf{B}$$

To write down the matrix form, we need work in the proper space. The space is a product of spin space and orbital space, which means the dimension should be the product of dimension of the spin space and the dimension of the orbital space  $(2S+1)(2L+1) = 6$ . Because when any spin operator is projected into the orbital space, it should be identity, we can write down the operators in this way:

$$S_z = \begin{bmatrix} 1/2 & 0 \\ 0 & -1/2 \end{bmatrix} \otimes \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1/2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1/2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1/2 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1/2 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1/2 \end{bmatrix}$$

$$L_z = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \otimes \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \end{bmatrix}$$

now you can write down the single atom Hamiltonian

$$H_1 = \lambda \mathbf{S} \cdot \mathbf{L} + \mu_B (2\mathbf{S} + \mathbf{L}) \cdot \mathbf{B} = \lambda(S_x L_x + S_y L_y + S_z L_z) + \mu_B (B_x(2S_x + L_x) + B_y(2S_y + L_y) + B_z(2S_z + L_z))$$

and look at how the eigenvalues change as a function of  $\lambda$  and  $\mathbf{B}$ .

(2) Assume the interaction between two atoms is

$$H_{12} = J \mathbf{S}_1 \cdot \mathbf{S}_2$$

To solve the ground state, we should work in a larger space of dimension  $6 \times 6 = 36$ , but this matrix is assumed to be too large and we will apply mean-field approximation. In mean field approximation, we will ignore the entanglement between the atoms, which means the ground state can be written as a product of two atoms

$$|\psi_{1,2}\rangle = |\psi_1\rangle \otimes |\psi_2\rangle$$

and total Hamiltonian on atom 1 is

$$h_1 = H_1 + J \mathbf{S} \cdot \langle \psi_2 | \mathbf{S} | \psi_2 \rangle = J(S_x \langle \psi_2 | S_x | \psi_2 \rangle + S_y \langle \psi_2 | S_y | \psi_2 \rangle + S_z \langle \psi_2 | S_z | \psi_2 \rangle)$$

and also the total Hamiltonian on atom 2 is

$$h_2 = H_2 + J \mathbf{S} \cdot \langle \psi_1 | \mathbf{S} | \psi_1 \rangle = J(S_x \langle \psi_1 | S_x | \psi_1 \rangle + S_y \langle \psi_1 | S_y | \psi_1 \rangle + S_z \langle \psi_1 | S_z | \psi_1 \rangle)$$

Notice that  $h_1$  is a function of  $|\psi_2\rangle$ . Now you can use the method in part A on two atoms to solve the ground state. You can plot the magnetic moment as a function of  $\lambda$ ,  $J$  and  $\mathbf{B}$ .

(3) Now let apply this approximation to a magnetic system