

# Flavor wave model homework problems

March 23, 2023

A. In this part, we will develop a method solving the ground state without diagonalizing the Hamiltonian.

(1) Assume a particle can be described by spin only, with  $S = 1$ . and the Hamiltonian is

$$H = S_z.$$

Write down the matrix form for  $H$ , the eigenstates of  $H$  and their energies. We will write down the ground state to be  $|a_0\rangle$

(2) Assume a initial state to be  $|\psi_0\rangle = \frac{1}{\sqrt{3}}(|S_z = -1\rangle + |S_z = 0\rangle + |S_z = 1\rangle)$ . Let  $\varepsilon = 10^{-2}$ . Calculate

$$|\phi_1\rangle = (1 - \varepsilon H) |\psi_0\rangle$$

$$|\psi_1\rangle = \frac{|\phi_1\rangle}{\langle\phi_1|\phi_1\rangle}$$

Look at the  $|\psi_1\rangle$ , and show that there are more weights of ground state in  $|\psi_1\rangle$  than  $|\psi_0\rangle$ .

(3) Define

$$|\phi_{n+1}\rangle = (1 - \varepsilon H) |\psi_n\rangle$$

$$|\psi_{n+1}\rangle = \frac{|\phi_{n+1}\rangle}{\langle\phi_{n+1}|\phi_{n+1}\rangle}.$$

Draw (i)  $|\langle a_0|\psi_n\rangle|^2$  as a function of  $n$ . and (ii)  $\langle\psi_n|H|\psi_n\rangle$  as a function of  $n$ . Also try with different positive values of  $\varepsilon$  and see how the value will change the speed of converging.

(4) repeat (2) and (3) with  $|\psi_0\rangle = |S_z = 0\rangle$ . (Some weird thing could happen, depending on the computer.)

(5) For a general Hamiltonian

$$H = \sum_{i=0}^{d-1} E_i |a_i\rangle \langle a_i|$$

with the eigenenergies  $E_0 < E_1 \leq E_2 \leq \dots \leq E_{d-1}$ , prove that  $\forall |\psi_0\rangle$  that  $\langle a_0|\psi_0\rangle \neq 0$ ,  $\exists \varepsilon > 0$ , the series  $\{|\psi_n\rangle\}$  generated in (2) satisfies

$$\lim_{n \rightarrow \infty} |\langle a_0|\psi_n\rangle|^2 = 1.$$

Hint: Consider an  $\varepsilon$  that  $0 < \varepsilon < 1/|E_{d-1}|$  and find a  $q$  that  $0 < q < 1$  and  $\left(1 - \sqrt{|\langle a_0|\psi_{n+1}\rangle|^2}\right) < q \left(1 - \sqrt{|\langle a_0|\psi_n\rangle|^2}\right)$ .

(6) Now we know that this algorithm always converges. Let's figure out how to make it fast. Consider a Hamiltonian in (5) with conditions  $d > 2$  and  $E_0 < E_1 < E_{d-1}$ . Define:

$$|\phi_{n+1}\rangle = (-H + z) |\psi_n\rangle$$

$$|\psi_{n+1}\rangle = \frac{|\phi_{n+1}\rangle}{\langle\phi_{n+1}|\phi_{n+1}\rangle}.$$

where  $z$  is a real number and define  $x_n = 1 - |\langle a_0|\psi_n\rangle|$ . Prove that (i)  $\forall z > \frac{-E_0 - E_{d-1}}{2}$ ,  $\exists q$ ,  $0 < q < 1$  and

$$\lim_{n \rightarrow \infty} \frac{x_{n+1}}{x_n} = q,$$

and (ii)  $q$  is minimized when

$$z = -\frac{1}{2}(E_1 + E_{d-1})$$

B. This part is to use the method in A to solve the ground state with mean-field approximation.