

PATIENT Lu, Mei Chen

TUMOR TYPE Lung adenocarcinoma COUNTRY CODE TW

REPORT DATE 29 Nov 2022 ORDERED TEST # ORD-1506193-01

ABOUT THE TEST FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

DISEASE Lung adenocarcinoma NAME Lu, Mei Chen **DATE OF BIRTH** 16 October 1971 SEX Female

MEDICAL RECORD # 43240217

PHYS

ORDERING PHYSICIAN Yeh, Yi-Chen MEDICAL FACILITY Taipei Veterans General Hospital ADDITIONAL RECIPIENT None MEDICAL FACILITY ID 205872 PATHOLOGIST Not Provided

SPECIMEN SITE Lymph Node **SPECIMEN ID** S111-41490A (PF22130) SPECIMEN TYPE Slide Deck DATE OF COLLECTION 14 October 2022 SPECIMEN RECEIVED 19 November 2022

Biomarker Findings

Microsatellite status - MS-Stable Tumor Mutational Burden - 2 Muts/Mb

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

EGFR amplification, L858R KEL A643fs*36 NKX2-1 amplification TP53 S241T

7 Disease relevant genes with no reportable alterations: ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1

Report Highlights

- Targeted therapies with NCCN categories of evidence in this tumor type: Afatinib (p. 7), Dacomitinib (p. 8), Erlotinib (p. 8), Gefitinib (p. 9), Osimertinib (p. 10), Cetuximab (p. 11)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 12)

BIOMARKER FINDINGS	THERA	APY AND CLINICA	AL TRIAL IMPLICATIONS	
Microsatellite status - MS-Stable	No therapies or cli	nical trials. See	Biomarker Findings section	n
Tumor Mutational Burden - 2 Muts/Mb	No therapies or cli	nical trials. See	Biomarker Findings section	n
GENOMIC FINDINGS	THERAPIES WITH CLINIC (IN PATIENT'S TUM		THERAPIES WITH CLINIC	
EGFR - amplification, L858R	Afatinib	1	Cetuximab	2A
	Dacomitinib	1	Panitumumab	
	Erlotinib	1		
	Gefitinib	1		
10 Trials see p. <u>12</u>	Osimertinib	1		
		<u> </u>	NCCN category	

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GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

KEL - A643fs*36 p. <u>5</u>	<i>TP53</i> - S241Tp. <u>6</u>
NKX2-1 - amplification p. 5	

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.

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BIOMARKER FINDINGS

BIOMARKER

Microsatellite status

RESULT MS-Stable

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies —

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors¹⁻³, including approved therapies nivolumab and pembrolizumab⁴. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and

experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%, p=0.001)⁵.

FREQUENCY & PROGNOSIS

MSI-H is generally infrequent in NSCLC, reported in fewer than 1% of samples across several large studies⁶⁻¹¹, whereas data on the reported incidence of MSI-H in SCLC has been limited and conflicting¹²⁻¹⁵. One study reported MSI-H in lung adenocarcinoma patients with smoking history, and 3 of 4 MSI-H patients examined also had metachronous carcinomas in other organs, although this has not been investigated in large scale studies⁶. Published data investigating the prognostic implications of MSI in NSCLC are limited (PubMed, Oct 2022).

FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor¹⁶. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH₂, MSH₆, or PMS₂¹⁶⁻¹⁸. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers¹⁹⁻²¹. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins^{16,18,20-21}.

BIOMARKER

Tumor Mutational Burden

RESULT 2 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1²²⁻²⁴, anti-PD-1 therapies²²⁻²⁵, and combination nivolumab and ipilimumab²⁶⁻³¹. Multiple clinical trials of PD-1- or PD-L1-targeting immune checkpoint inhibitors or combination of PD-1 and CTLA-4 inhibitors in NSCLC have reported that patients with tumors harboring TMB ≥10 Muts/Mb derive greater clinical benefit from these therapies than those with TMB <10 Muts/ Mb (based on this assay or others); similarly, higher efficacy of anti-PD-1 or anti-PD-L1 immunotherapy for treatment of patients with NSCLC, compared with the use of chemotherapy, has been observed more significantly in cases of TMB ≥10 Muts/Mb $(based\ on\ this\ assay\ or\ others); {}^{22\text{-}23,26\text{-}28,32\text{-}39}.$ Improved OS of patients with NSCLC treated with pembrolizumab plus chemotherapy relative to chemotherapy only⁴⁰, or those treated with nivolumab plus ipilimumab also relative to

chemotherapy 41 , has been observed across all TMB levels

FREQUENCY & PROGNOSIS

A large-scale genomic analysis found that unspecified lung non-small cell lung carcinoma (NSCLC), lung adenocarcinoma, and lung squamous cell carcinoma (SCC) samples harbored median TMBs between 6.3 and 9 Muts/Mb, and 12% to 17% of cases had an elevated TMB of greater than 20 Muts/Mb⁴². Lower TMB is observed more commonly in NSCLCs harboring known driver mutations (EGFR, ALK, ROS1, or MET) with the exception of BRAF or KRAS mutations, which are commonly observed in elevated TMB cases⁴³. Although some studies have reported a lack of association between smoking and mutational burden in NSCLC44-45, several other large studies did find a strong association with increased TMB⁴⁶⁻⁴⁹. TMB >10 muts/Mb was found to be more frequent in NSCLC metastases compared with primary tumors for both adenocarcinoma (38% vs. 25%) and SCC (41% vs. 35%) subtypes⁵⁰. A meta-analysis of 19 studies of immune checkpoint inhibitor-treated NSCLC (n = 2,315 patients) demonstrated that high TMB predicted a significantly longer OS than low TMB (HR = 0.70), and within the high TMB group, immunotherapy was associated with an improved PFS (HR = 0.62, P<0.001), OS (HR = 0.67, P<0.001) and a higher response rate (OR = 2.35, P<0.001) compared to chemotherapy⁵¹. In contrast, a large study of Chinese patients with untreated lung

adenocarcinoma reported a shorter median OS for tumors with a higher number of mutations in a limited gene set compared with a lower mutation number (48.4 vs. 61.0 months)⁴⁴. Another study of patients with NSCLC treated with EGFR inhibitors or platinum doublet chemotherapy found elevated TMB to be correlated with poorer prognosis, as well as finding lower TMB in combination with PD-L1 negative status to be significantly associated with longer median survival in patients with lung adenocarcinoma⁵². However, no significant prognostic association of TMB and/or PD-L1 status with survival has been reported in patients with lung SCC⁵²⁻⁵³.

FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma⁵⁴⁻⁵⁵ and cigarette smoke in lung cancer^{32,56}, treatment with temozolomide-based chemotherapy in glioma⁵⁷⁻⁵⁸, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes⁵⁹⁻⁶³, and microsatellite instability (MSI)^{59,62-63}. This sample harbors a TMB below levels that would be predicted to be associated with sensitivity to PD-1or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents^{22-23,26-28,32-39,64}

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GENOMIC FINDINGS

GENE

EGFR

ALTERATION

amplification, L858R

TRANSCRIPT ID NM_005228.3

CODING SEQUENCE EFFECT

2573T>G

VARIANT CHROMOSOMAL POSITION chr7:55259515

CIII 7.33237313

VARIANT ALLELE FREQUENCY (% VAF) 52.2%

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies

For patients with non-small cell lung cancer (NSCLC), EGFR activating mutations may predict sensitivity to EGFR-TKIs, including erlotinib⁶⁵, gefitinib66-69, afatinib70-73, dacomitinib74, and osimertinib^{71,75}; however, the data for patients with other tumor types are limited⁷⁶⁻⁸¹. EGFR amplification or expression in patients with nonsmall cell lung cancer may be associated with benefit from the anti-EGFR antibodies cetuximab⁸²⁻⁸³ or necitumumab⁸⁴. Although metaanalyses demonstrate that increased EGFR copy number is significantly associated with improved ORR, PFS, and OS on first-generation EGFR TKIs⁸⁵⁻⁸⁸, the magnitude of clinical benefit is limited for patients with EGFR amplification and without sensitizing EGFR mutations when comparing first-or second generation EGFR TKIs to control treatment⁸⁹⁻⁹⁴. In the Phase 3 IPASS trial, patients with unmutated, amplified EGFR had a significantly shorter PFS when treated with gefitinib as compared to carboplatin/paclitaxel (HR 3.85; 95% CI, 2.09 to 7.09)89. Biomarker analysis of the LUX-Lung 8 trial in squamous NSCLC, which included only a small subset of patients with EGFR mutations (6%), did not observe a significant association of EGFR expression with outcomes on afatinib or erlotinib95. A retrospective study in China reported that EGFR amplification was associated with a significantly improved median PFS (5.0 vs 2.0 months) and a similar median OS (16.6. vs. 15.4 months) for patients with unmutated

EGFR treated with gefitinib or erlotinib96. The Phase 1 CHRYSALIS study of amivantamab monotherapy or in combination with lazertinib for the treatment of EGFR-mutated non-small cell lung cancer (NSCLC) has produced encouraging preliminary results for treatment-naive patients and patients who relapsed after treatment with osimertinib with and without chemotherapy, including osimertinib-relapsed patients with biomarkers indicating EGFR/MET-based osimertinib resistance97-100. In a Phase 1 trial, the HER3-targeted antibody patritumab deruxtecan elicited an ORR of 39% (22/57, 1 CR) and a median PFS of 8.2 months for patients with non-small cell lung cancer previously treated with an EGFR TKI, many of whom displayed TKI resistance alterations¹⁰¹. A Phase 1 trial evaluating the EGFR inhibitor AZD3759 reported a reduction in the volume of brain metastases in 40% (8/20) of patients with previously treated non-small cell lung cancer (NSCLC) harboring either the EGFR L858R alteration or EGFR exon 19 deletion, including 3 confirmed PRs and 3 unconfirmed PRs¹⁰²⁻¹⁰³. In a Phase 1/2 trial for advanced NSCLC, the brain-penetrant third-generation EGFR TKI lazertinib enabled ORRs of 54% (69/127) for all evaluable patients and 44% (8/18, intracranial) for patients with brain metastases¹⁰⁴. A Phase 1 trial evaluating the irreversible pan-HER inhibitor FCN-411 for NSCLC patients who had EGFR mutations and experienced disease progression on standard treatments reported an ORR of 15% with 10/67 patients achieving PR, and a DCR of 73% with 39 additional patients achieving SD105. OR was observed in a numerically higher proportion of patients with the EGFR T790M mutation than those without this mutation¹⁰⁵. The Phase 3 AENEAS trial of first-line aumolertinib, a thirdgeneration EGFR TKI, for patients with locally advanced or metastatic NSCLC harboring either the EGFR L858R alteration or EGFR exon 19 deletion reported significantly improved mPFS $\,$ (19.3 months vs. 9.9 months) and similar ORR (74% vs. 72%) and DCR (93% vs. 97%) compared with gefitinib106.

Nontargeted Approaches

Patients with EGFR-mutated non-squamous metastatic non-small cell lung cancer (NSCLC) who

progressed on EGFR TKI have benefited from immune checkpoint inhibitors combined with antiangiogenic therapy and chemotherapy, particularly atezolizumab plus bevacizumab plus carboplatin and paclitaxel (OS HR=0.61 compared with bevacizumab/chemotherapy)¹⁰⁷⁻¹⁰⁹ or sintilimab plus bevacizumab biosimilar IBI305 plus cisplatin and pemetrexed (PFS HR=0.46 compared with chemotherapy alone)¹¹⁰.

FREQUENCY & PROGNOSIS

EGFR mutation has been reported in 12-36% of lung adenocarcinomas^{48,111-112} and in 4% of lung squamous cell carcinomas¹¹³. Amplification of EGFR has been variously reported in 4-42% of non-small cell lung carcinoma (NSCLC) samples¹¹²⁻¹¹⁶. EGFR protein expression/ overexpression has been reported in up to 70% of NSCLC cases¹¹⁴⁻¹¹⁹. In addition, expression of EGFR protein has been shown to be higher in lung squamous cell carcinoma samples as compared to lung adenocarcinoma¹²⁰⁻¹²¹. In patients with lung adenocarcinoma, EGFR gene amplification was a predictor of poor disease-free survival¹²². In patients with lung adenocarcinoma, EGFR mutation was a predictor of poor overall survival $^{122-123}$. However, EGFR mutations have been reported to predict improved survival in patients with resected Stage 1-3 lung adenocarcinoma¹²⁴ or resected Stage 1 NSCLC¹²⁵.

FINDING SUMMARY

EGFR encodes the epidermal growth factor receptor, which belongs to a class of proteins called receptor tyrosine kinases. In response to signals from the environment, EGFR passes biochemical messages to the cell that stimulate it to grow and divide¹²⁶. Amplification of EGFR has been associated with increased expression of EGFR mRNA and protein in several cancer types^{115,127-128}. EGFR L858 is located in the kinase domain and is encoded by exon 21. EGFR L858R has been characterized as activating¹²⁹⁻¹³¹ and patients with the L858R mutation have been shown to be sensitive to EGFR tyrosine kinase inhibitors, such as erlotinib, gefitinib¹²⁹⁻¹³¹, and afatinib¹³².

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GENOMIC FINDINGS

GENE

KEL

ALTERATION A643fs*36

TRANSCRIPT ID

NM_000420.2

CODING SEQUENCE EFFECT
1928_1941delCCATCGCGCTGCAG

VARIANT CHROMOSOMAL POSITION chr7:142639961-142639975

VARIANT ALLELE FREQUENCY (% VAF)
5.8%

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies —

There are no therapies available to target genomic alterations in KEL.

FREQUENCY & PROGNOSIS

KEL mutations have been reported up to 3.0% in tumors of the lung, endometrium, stomach, large intestine, soft tissue, and liver, with a higher incidence of 8.0% in various skin tumors (COSMIC, 2022)¹³³. However, the mechanism by which KEL mutations may contribute to tumorigenesis is not known.

FINDING SUMMARY

KEL encodes a transmembrane glycoprotein with similarities to zinc-dependent metalloproteases; this glycoprotein is highly polymorphic and forms the Kell blood group antigen¹³⁴.

GENE

NKX2-1

ALTERATION amplification

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

There are no approved therapies or trials that target tumors with TTF-1 amplification or overexpression.

FREQUENCY & PROGNOSIS

Amplification of NKX2-1 has been reported with the highest incidence in lung adenocarcinoma (14%)¹¹² and less frequently in lung squamous cell carcinomas (SCCs) (5%)¹¹³. NKX2-1 amplification has also been observed in other solid tumors, including prostate adenocarcinomas (6%)¹³⁵⁻¹³⁶ and thyroid cancers (6%)¹³⁷⁻¹³⁸. NKX2-1 mutations have been infrequently reported in solid¹³⁸ or hematological malignancies¹³⁹⁻¹⁴². Increased expression of NKX2-1 has been associated with favorable prognosis in lung adenocarcinoma, though this finding is not always significant¹⁴³⁻¹⁵⁰. Increased expression has been associated with a

prolonged OS in gastric cancer¹⁵¹. Cytoplasmic TTF-1 expression has been reported as an adverse prognostic factor in breast carcinoma¹⁵²⁻¹⁵³.

FINDING SUMMARY

NKX2-1 (also known as NK2 homeobox 1) encodes the thyroid transcription factor TTF-1¹⁵⁴. Amplification of NKX2-1 results in overexpression of TTF-1¹⁵⁵. TTF-1 has been observed to have tumor-promoting as well as anti-oncogenic roles¹⁵⁶⁻¹⁵⁷.

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GENOMIC FINDINGS

GENE

TP53

ALTERATION S241T

TRANSCRIPT ID NM_000546.4

CODING SEQUENCE EFFECT

721T>A

VARIANT CHROMOSOMAL POSITION chr17:7577560

VARIANT ALLELE FREQUENCY (% VAF)
23.9%

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies –

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib¹⁵⁸⁻¹⁶¹ or p53 gene therapy such as SGT53¹⁶²⁻¹⁶⁶. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype167. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 32% (30/94, 3 CR) ORR and a 73% (69/94) DCR for patients with platinumrefractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer¹⁶⁸. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 43% (9/21, 1 CR) ORR and a 76% (16/21) DCR for patients with platinum-refractory ${
m TP}_{53}{
m -mutated}$ ovarian cancer 169 . The combination of adayosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone¹⁷⁰. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib

combined with paclitaxel¹⁷¹. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71% (5/7) response rate for patients with TP53 alterations¹⁷². The Phase 2 FOCUS4-C trial for patients with TP53- and RAS-mutated colorectal cancer reported improvement in PFS (3.61 vs. 1.87 months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring¹⁷³. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage¹⁶⁶. Missense mutations leading to TP53 inactivation may be sensitive to therapies that reactivate mutated p53 such as eprenetapopt. In a Phase 1b trial for patients with p53-positive highgrade serous ovarian cancer, eprenetapopt combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR¹⁷⁴. A Phase 1 trial of eprenetapopt with pembrolizumab for patients with solid tumors reported an ORR of 10% (3/

FREQUENCY & PROGNOSIS

TP53 is one of the most commonly mutated genes in lung cancer; mutations have been reported in 43-80% of non-small cell lung cancers (NSCLCs)112-113,176-181, including 42-52% of lung adenocarcinomas and 58-83% of lung squamous cell carcinomas (cBioPortal, COSMIC, Feb 2022)^{48-49,112-113}. TP53 homozygous deletion has been observed in 1.4% of lung adenocarcinoma and <1% of lung squamous cell carcinoma cases (cBioPortal, Feb 2022)182-183. In one study of 55 patients with lung adenocarcinoma, TP53 alterations correlated with immunogenic features including PD-L1 expression, tumor mutation burden and neoantigen presentation; likely as a consequence of this association TP53 mutations correlated with improved clinical outcomes to PD-1 inhibitors pembrolizumab and nivolumab in

this study¹⁸⁴. Mutations in TP₅₃ have been associated with lymph node metastasis in patients with lung adenocarcinoma¹⁸⁵.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers¹⁸⁶. Alterations such as seen here may disrupt TP53 function or expression¹⁸⁷⁻¹⁹¹.

POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers¹⁹²⁻¹⁹⁴, including sarcomas¹⁹⁵⁻¹⁹⁶. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000¹⁹⁷ to 1:20,000¹⁹⁶. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30¹⁹⁸. In the appropriate clinical context, germline testing of TP53 is recommended.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion199-204. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy¹⁹⁹⁻²⁰⁰. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²⁰⁵. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{203,206-207}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary

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THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Afatinib

Assay findings association

EGFR amplification, L858R

AREAS OF THERAPEUTIC USE

Afatinib is an irreversible kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4. It is FDA approved for the first-line treatment of patients with metastatic non-small cell lung cancer (NSCLC) and nonresistant EGFR mutations and for the treatment of patients with metastatic, squamous NSCLC after progression on platinum-based chemotherapy. Please see the drug label for full prescribing information.

GENE ASSOCIATION

EGFR activating mutations may indicate sensitivity to a fatinib or dacomitinib for patients with non-small cell lung cancer $^{70,74,208-209}$, whereas data for patients with other tumor types are limited $^{76-81,210}$.

SUPPORTING DATA

Afatinib enabled 1 PR and 1 SD for 2 patients with EGFRamplified NSCLC in a Phase 2 study⁹¹. In the first-line setting for patients who are EGFR TKI naive with nonsmall cell lung cancer (NSCLC) harboring common EGFR mutations (exon 19 or L858R alterations), afatinib has shown improved clinical benefit and responses as compared with chemotherapy in the Phase 3 LUX-Lung 3 and LUX-Lung 6 trials^{70,208} and to gefitinib in the Phase 2b LUX-Lung 7 trial²¹¹⁻²¹²; these outcomes are supported in additional prospective or randomized Phase 2 trials²¹³⁻²¹⁴. Alteration-specific differences in OS response have also been reported in patients who are treatment naive, with increased OS observed in patients with EGFR exon 19 alterations between afatinib and comparator arms versus no significant OS differences for patients with L858R mutations in the same treatment settings 132,215 . In the second-line setting, patients with metastatic NSCLC and common EGFR mutations who progressed on prior chemotherapy experienced an ORR of 50% (30/60) from

afatinib in a Phase 4 trial²¹⁶. A retrospective study of afatinib administered to Asian patients with NSCLC, 99% of whom were previously treated with erlotinib and/or gefitinib, reported an ORR of 27% for patients with common sensitizing EGFR mutations and an ORR of 24% for the entire cohort²¹⁷. In a case report, a patient with NSCLC with exon 19 deletion and leptomeningeal metastases experienced an ongoing 16-month PR from afatinib in extracranial, brain, and leptomeningeal lesions²¹⁸. For patients with erlotinib- or gefitinibresistant NSCLC and EGFR mutations, Phase 2/3 studies of afatinib treatment have generally reported ORRs of only 7 to $9\%^{91,219-223}$; however, DCRs of more than 50%have been observed⁹¹. In a Phase 1b or observational study, patients with EGFR-mutated NSCLC who progressed on afatinib experienced further clinical benefit from subsequent treatment with afatinib and cetuximab224 or osimertinib225, respectively. In the LUX-Lung 1 Phase 2b/3 trial for patients with advanced nonsmall cell lung cancer (NSCLC) who previously progressed on first-generation EGFR tyrosine kinase inhibitors, afatinib treatment resulted in longer median PFS (mPFS; 3.3 vs. 1.1 months, HR=0.38) but no significant difference in median OS (mOS; 10.8 vs. 12.0 months, HR=1.08) when compared with placebo²¹⁹; similar results were observed in the single-arm LUX-Lung 4 trial in the same treatment setting²²¹. The randomized Phase 3 LUX-Lung 8 trial comparing afatinib with erlotinib as second-line therapy for advanced lung squamous cell carcinoma (SCC) reported significantly longer mOS (7.9 vs. 6.8 months, HR=0.81), significantly longer mPFS (2.6 vs. 1.9 months, HR=0.81), and higher DCR (51% vs. 40%, p=0.002) for patients treated with afatinib²²⁶. For patients who progressed on afatinib monotherapy, additional clinical benefit has been reported from afatinib combined with paclitaxel²²⁷.

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THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Dacomitinib

Assay findings association

EGFR

amplification, L858R

AREAS OF THERAPEUTIC USE

Dacomitinib is a second generation irreversible tyrosine kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4/HER4. It is FDA approved for the first-line treatment of patients with metastatic nonsmall cell lung cancer (NSCLC) with EGFR exon 19 deletion or exon 21 L858R substitution mutations. Please see the drug label for full prescribing information.

GENE ASSOCIATION

EGFR activating mutations may indicate sensitivity to afatinib or dacomitinib for patients with non-small cell lung cancer $^{70.74,208-209}$, whereas data for patients with other tumor types are limited $^{76-81,210}$. Patients with untreated advanced NSCLC and EGFR L858R mutations achieved an ORR of 73% (68/93) 228 and a median OS of 32.5 months with dacomitinib 74 .

SUPPORTING DATA

A randomized Phase 3 trial for patients with non-small cell lung cancer (NSCLC) harboring activating EGFR mutations (primarily L858R or exon 19 deletions) reported improved clinical benefit with first-line dacomitinib compared with gefitinib (median OS [mOS] of 34.1 vs. 26.8 months, HR=0.760; median PFS [mPFS] of 14.7 vs.

9.2 months, HR=0.59)228-229; mOS was 34.1 to 36.7 months and ORR was 75% to 79%, depending on the dosing regimen²³⁰. A pooled subgroup analysis for patients with NSCLC harboring activating EGFR mutations reported improved clinical efficacy with dacomitinib treatment compared with erlotinib (mPFS of 14.6 vs. 9.6 months, HR=0.717; mOS of 26.6 vs. 23.2 months, HR=0.737)231. An analysis of dacomitinib in NSCLC comparing common activating EGFR alterations alone with co-occurring common and uncommon EGFR mutations showed no statistically significant difference in total ORR (33% vs. 40%, p=0.636) or DCR (77% vs. 73%, p=0.089); however, multivariate analysis revealed compound mutation status as an independent predictor of worse OS (HR=5.405)232. A Phase 1 trial of combination dacomitinib and a MEK1/2 inhibitor for patients with KRAS-mutated CRC, NSCLC, or pancreatic cancer reported 20/36 SDs and 16 PDs, however toxicity from this combination prevented longterm treatment in this patient population²³³. Phase 1/2 studies of dacomitinib for patients with advanced KRASwildtype non-small cell lung cancer (NSCLC) who had previously progressed on chemotherapy and erlotinib or gefitinib and were not selected for EGFR mutations reported ORRs of 4.6-17% (3/66-9/53), median PFS of 3-4 months, and median OS of 9-11 months234-235.

Erlotinib

Assay findings association

EGFR amplification, L858R

AREAS OF THERAPEUTIC USE

Erlotinib is a small-molecule inhibitor of EGFR. It is FDA approved as a monotherapy or in combination with ramucirumab for patients with metastatic non-small cell lung cancer (NSCLC) harboring EGFR exon 19 deletions or exon 21 (L858R) mutations. Erlotinib is also FDA approved in combination with gemcitabine as a first-line treatment for advanced pancreatic cancer. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Amplification or activation of EGFR may predict sensitivity to therapies such as erlotinib. For patients with activating mutations in EGFR, treatment with erlotinib has been associated with improved response and lengthened time to progression^{65,236-238}. For patients with esophageal or biliary cancer treated with erlotinib or gefitinib, elevated EGFR copy number or amplification is associated with clinical responses and longer survival²³⁹⁻²⁴³.

SUPPORTING DATA

For patients with EGFR-mutated non-small cell lung cancer (NSCLC), the Phase 3 EURTAC trial improved PFS with first-line erlotinib relative to platinum-based chemotherapy (9.7 vs. 5.2 months, HR=0.37), though OS

was not prolonged (22.9 vs 19.6 months, HR=0.92)65,244. This study and meta-analyses attribute the lack of OS benefit to the effectiveness of post-progression salvage therapy in the control arm²⁴⁵. A Phase 3 study reported similar efficacy of erlotinib and gefitinib for patients with EGFR-mutated NSCLC²⁴⁶. Patients with EGFR-mutated NSCLC have experienced PFS benefit with the addition of bevacizumab to erlotinib in the first-line setting in Phase 3 trials including the ARTEMIS-CTONG1509 trial for Chinese patients (17.9 vs. 11.2 months, HR=0.55)²⁴⁷, the NEJo26 trial for Japanese patients (16.9 vs. 13.3 months, HR=0.605)248-249, and the international BEVERLY trial $(15.4 \text{ vs. } 9.7 \text{ months}, HR=0.60)^{250}$; OS benefit has not been observed across these studies. In the maintenance setting, Phase 3 trials have reported significantly improved PFS with maintenance erlotinib following first-line platinumbased chemotherapy, with the largest benefit for patients with EGFR mutations^{236,251}. In the neoadjuvant setting, a Phase 2 trial reported a numerically improved ORR and significantly longer PFS with erlotinib compared with chemotherapy for patients with EGFR-mutated advanced NSCLC²³⁷. In the placebo-controlled Phase 3 RELAY trial, the addition of ramucirumab to erlotinib improved PFS for previously untreated patients with NSCLC harboring EGFR L858R or exon 19 deletion (19.4 vs. 12.4 months, HR=0.59)252.

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THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Gefitinib

Assay findings association

EGFR

amplification, L858R

AREAS OF THERAPEUTIC USE

Gefitinib targets the tyrosine kinase EGFR and is FDA approved to treat non-small cell lung cancer (NSCLC) harboring exon 19 deletions or exon 21 (L858R) substitution mutations in EGFR. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Activation of EGFR may predict sensitivity to therapies such as gefitinib. Clinical studies have consistently shown significant improvement in response rates and PFS for patients with EGFR-mutated non-small cell lung cancer (NSCLC) treated with gefitinib compared with chemotherapy $^{238,253-258}$, and responses have been reported for patients with EGFR-rearranged NSCLC $^{259-260}$. For patients with esophageal or biliary cancer treated with erlotinib or gefitinib, elevated EGFR copy number or amplification is associated with clinical responses and longer survival $^{239-243}$. Patients with refractory advanced esophageal carcinoma and EGFR amplification derived significant overall survival benefit from gefitinib compared to placebo (HR = 0.21) 239,261 .

SUPPORTING DATA

Gefitinib achieved an ORR of 69.8% and OS of 19.2

months as first-line treatment for Caucasian patients with non-small cell lung cancer (NSCLC) and EGFR sensitizing mutations⁶⁶. Phase 3 studies for Japanese patients^{255,262} and East Asian patients^{89,256} with EGFR-mutated NSCLC reported longer PFS but not longer OS on first-line gefitinib compared with cisplatin and docetaxel or carboplatin and paclitaxel. Retrospective analysis of East Asian patients receiving first-line gefitinib reported greatest PFS benefit among patients with EGFR exon 19 insertions or deletions and shortest PFS for those with exon 20 insertions (1.2 months)263. Two Phase 3 trials of the combination gefitinib plus pemetrexed and carboplatin compared with gefitinib alone for patients with advanced NSCLC harboring EGFR activating mutations reported significantly higher ORRs (75.3% and 84% vs. 62.5% and 67%), longer median PFS (16 and 20.9 months vs. 8 and 11.9 months), and longer median OS (50.9 months and not reached vs. 17 and 38.8 months) with combination treatment; however, combination treatment was associated with increased Grade 3 or higher adverse events²⁶⁴⁻²⁶⁵. In a Phase 1 study for treatment-naive patients with NSCLC, 63% (19/30) of patients experienced PR from the combination of gefitinib and the PD-L1 inhibitor durvalumab266.

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THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Osimertinib

Assay findings association

EGFR

amplification, L858R

AREAS OF THERAPEUTIC USE

Osimertinib is an irreversible EGFR TKI that is selective for EGFR TKI-sensitizing mutations and the EGFR T790M mutation. It is FDA approved in various treatment settings for patients with non-small cell lung cancer (NSCLC) whose tumors have EGFR exon 19 deletions, exon 21 L858R mutations, or T790M mutations. Please see the drug label for full prescribing information.

GENE ASSOCIATION

EGFR TKI-sensitizing mutations or rearrangements and/or the EGFR T790M mutation may predict sensitivity to osimertinib in non-small cell lung cancer $^{75,259,267-269}$. Patients with untreated advanced NSCLC and EGFR exon 19 deletions or L858R mutations achieved an ORR of 80% and a median PFS of 21.4 and 14.4 months, respectively 267 .

SUPPORTING DATA

The Phase 3 FLAURA study reported that, relative to erlotinib or gefitinib, first-line osimertinib significantly increased both median PFS (mPFS; 18.9 vs. 10.2 months, HR=0.46) and median OS (38.6 vs. 31.8 months; HR=0.80) for patients with advanced non-small cell lung cancer (NSCLC) and activating, sensitizing EGFR mutations (specifically, exon 19 deletion or L858)267,270 . In the Phase 3 ADAURA study, patients with early-stage (IB/II/IIIA) EGFR-mutated NSCLC experienced longer disease-free survival on osimertinib compared with placebo in the adjuvant setting (65.8 vs. 28.1 months, HR=0.27)271. A

Phase 1 study reported that T790M-negative patients with acquired EGFR TKI resistance experienced an ORR of 21% and a median PFS of 2.8 months⁷⁵. A Phase 1b/2 study evaluating osimertinib in combination with the CD73 inhibitor oleclumab for patients with advanced EGFR-mutated, T790M-negative NSCLC reported an ORR of 19% (4/21), a DCR of 81%, and mPFS of 11 months (Kim et al., 2021 AACR Abstract CT163). A Phase 2 trial of osimertinib in combination with bevacizumab versus osimertinib monotherapy for patients with untreated advanced non-small cell lung cancer (NSCLC) harboring EGFR del19 or L858R reported no difference in ORR (82% vs 86%) and median PFS (22.1 vs 20.2 months, HR 0.862 p=0.213)²⁷². The Phase 2 BOOSTER study of osimertinib in combination with bevacizumab versus osimertinib monotherapy for patients with advanced NSCLC with EGFR-sensitizing mutations (exon 19 del or L858R) and L790M at progression on prior EGFR TKI reported no difference in ORR (55% vs 55%), median OS (24.0 vs 24.3 months, HR 1.03 p=0.91), or median PFS (15.4 vs 12.3 months, HR 0.96 p=0.83), although improved PFS was observed for the combination in the subgroup of current or former smokers (16.5 vs 8.4, HR 0.52) while nonsmokers had no benefit (HR 1.47)273. The Phase 1b TATTON study of osimertinib in combination with selumetinib, savolitinib, or durvalumab for patients with previously treated EGFR-mutated NSCLC reported ORRs of 42% (15/36), 44% (8/18), and 44% (10/23), respectively²⁷⁴.

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THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Cetuximab

Assay findings association

EGFR

amplification, L858R

AREAS OF THERAPEUTIC USE

Cetuximab is a monoclonal antibody that targets EGFR. It is FDA approved for the treatment of head and neck squamous cell carcinoma (HNSCC) and KRAS-wild-type, EGFR-expressing metastatic colorectal cancer (CRC). Please see the drug label for full prescribing information.

GENE ASSOCIATION

For patients with metastatic CRC receiving cetuximab or panitumumab as mono- or combination therapy, increased EGFR copy number associated with improved OS (HR=0.62) in a meta-analysis, although increased survival was not seen in populations that received first-line treatment with EGFR antibodies²⁷⁵.

SUPPORTING DATA

The Phase 3 FLEX study for patients with high EGFR expression in non-small cell lung cancer (NSCLC) demonstrated that treatment with cetuximab plus chemotherapy resulted in longer overall survival compared to chemotherapy alone (12 months vs 9.6 months)⁸². A Phase 2 study of 31 patients with NSCLC found that the addition of cetuximab to radiotherapy and

chemotherapy produced an ORR of 67%; EGFR gene copy number was not predictive of efficacy outcome in this trial²⁷⁶. A Phase 3 study of 938 patients with progressive NSCLC after platinum-based therapy concluded that the addition of cetuximab to chemotherapy was not recommended in this second-line setting²⁷⁷. Cetuximab is also being studied as part of a therapeutic regimen for patients with NSCLC with EGFR mutations who develop secondary resistance to erlotinib or gefitinib. A Phase 1b study combining afatinib and cetuximab for patients with either T790M-positive or T790M-negative tumors observed an overall ORR of 29%, and comparable response rates in both groups (32% T790M-positive vs. 25% T790M-negative)²⁷⁸. A Phase 1 study evaluating the combination erlotinib and cetuximab treatment for patients with NSCLC, including squamous tumors, regardless of EGFR status, as well as those who had progressed on prior erlotinib treatment, reported PRs in 10% (2/20) of patients and SDs lasting at least 6 months in 15% (3/20) of patients²⁷⁹; in addition, a retrospective analysis of this trial identified a patient with an exon 19 deletion and T790M who progressed rapidly on cetuximab and erlotinib280.

Panitumumab

Assay findings association

EGFR amplification, L858R

AREAS OF THERAPEUTIC USE

Panitumumab is a monoclonal antibody that targets EGFR. It is FDA approved to treat KRAS wild-type and NRAS wild-type metastatic colorectal cancer (CRC) combined with chemotherapy or as monotherapy for patients who have progressed on prior chemotherapy. Please see the drug label for full prescribing information.

GENE ASSOCIATION

For patients with metastatic CRC receiving cetuximab or panitumumab as mono- or combination therapy, increased EGFR copy number associated with improved OS (HR=0.62) in a meta-analysis, although increased survival was not seen in populations that received first-line

treatment with EGFR antibodies²⁷⁵.

SUPPORTING DATA

In a Phase 2 trial for patients with advanced non-small cell lung cancer (NSCLC), the addition of panitumumab to paclitaxel/carboplatin did not result in improved clinical benefit²⁸¹; a subsequent Phase 2 study investigating the addition of panitumumab to pemetrexed/cisplatin reported no benefit for patients with wildtype KRAS lung adenocarcinoma²⁸². The combination of afatinib and panitumumab has been explored for 2 patients with EGFR T790M NSCLC, with 1 PR reported²⁸³.

NOTE Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.

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PATIENT Lu, Mei Chen TUMOR TYPE
Lung adenocarcinoma

REPORT DATE 29 Nov 2022

ORDERED TEST # ORD-1506193-01

CLINICAL TRIALS

NOTE Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually

updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial \rightarrow Geographical proximity \rightarrow Later trial phase. Clinical trials listed here may have additional enrollment criteria that

may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. Or, visit https://www.foundationmedicine.com/genomic-testing#support-services.

EGFR

ALTERATION amplification, L858R

RATIONALE

EGFR activating mutations, rearrangements, or amplification may predict sensitivity to EGFR-targeted therapies. Strategies to overcome

resistance to current agents include nextgeneration EGFR inhibitors and combination therapies.

NCT05338970

HERTHENA-Lung02: A Study of Patritumab Deruxtecan Versus Platinum-based Chemotherapy in Metastatic or Locally Advanced EGFRm NSCLC After Failure of EGFR TKI Therapy

TARGETS ERBB3

PHASE 3

LOCATIONS: Taipei (Taiwan), Taoyuan (Taiwan), Tainan (Taiwan), Kumamoto (Japan), Kurume (Japan), Fukuoka (Japan), Goyang (Korea, Republic of), Cheongju-si (Korea, Republic of), Iwakuni (Japan), Matsuyama (Japan)

NCT05120349 PHASE 3

A Global Study to Assess the Effects of Osimertinib in Participants With EGFRm Stage IA2-IA3 NSCLC
Following Complete Tumour Resection

TARGETS
EGFR

LOCATIONS: Taipei (Taiwan), Taipei City (Taiwan), Taoyuan (Taiwan), Taichung (Taiwan), Tainan (Taiwan), Shanghai (China), Nanjing (China), Yangzhou (China), Changchun (China), Guangzhou (China)

NCT04988295 PHASE 3

A Study of Amivantamab and Lazertinib in Combination With Platinum-Based Chemotherapy Compared With Platinum-Based Chemotherapy in Patients With Epidermal Growth Factor Receptor (EGFR)-Mutated Locally Advanced or Metastatic Non- Small Cell Lung Cancer After Osimertinib Failure

TARGETS MET, EGFR

LOCATIONS: Taipei (Taiwan), Taichung (Taiwan), Changhua (Taiwan), New Taipei City (Taiwan), Tainan (Taiwan), Kaohsiung (Taiwan), Linhai (China), Hangzhou (China), Shanghai (China), Hang Zhou (China)

NCT02609776 PHASE 1

A Dose Escalation Study of JNJ-61186372 in Participants With Advanced Non-Small Cell Lung Cancer

TARGETS

MET, EGFR

LOCATIONS: Taipei (Taiwan), Taipei City (Taiwan), Taichung (Taiwan), Kaohsiung (Taiwan), Hangzhou (China), Nanchang (China), Nanjing (China), Hefei (China), Guangzhou (China), Changsha (China)

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TUMOR TYPE
Lung adenocarcinoma

REPORT DATE 29 Nov 2022

FOUNDATIONONE®CDx

ORDERED TEST # ORD-1506193-01

CLINICAL TRIALS

NCT03114319	PHASE 1
Dose Finding Study of TNO155 in Adult Patients With Advanced Solid Tumors	TARGETS SHP2, EGFR

LOCATIONS: Taipei (Taiwan), Seoul (Korea, Republic of), Kobe-shi (Japan), Singapore (Singapore), Amsterdam (Netherlands), Rotterdam (Netherlands), Barcelona (Spain), Hospitalet de LLobregat (Spain), Madrid (Spain), Toronto (Canada)

NCT04077463	PHASE 1
A Study of Lazertinib as Monotherapy or in Combination With JNJ-61186372 in Japanese Participants With Advanced Non-small Cell Lung Cancer	TARGETS EGFR, MET

LOCATIONS: Taipei City (Taiwan), Taichung (Taiwan), Tainan (Taiwan), Kaohsiung (Taiwan), Hang Zhou (China), Shanghai (China), Guangzhou (China), Changsha (China), Wuhan (China), Jinan (China)

NCT05215548	PHASE 2
Primary Tumor Resection With EGFR TKI for Stage IV NSCLC	TARGETS EGFR, ERBB4, ERBB2

LOCATIONS: Taipei (Taiwan)

NCT02099058	PHASE 1
A Phase 1/1b Study With ABBV-399, an Antibody Drug Conjugate, in Subjects With Advanced Solid Cancer Tumors	TARGETS MET, EGFR, PD-1

LOCATIONS: Taipei City (Taiwan), Tainan (Taiwan), Suwon (Korea, Republic of), Seoul (Korea, Republic of), Chuo-ku (Japan), Kashiwa-shi (Japan), Nijmegen (Netherlands), Marseille CEDEX 05 (France), California

NCT04721015	PHASE 1
Study of Intravenous (IV) ABBV-637 Alone or in Combination With IV Docetaxel/Osimertinib to Assess Adverse Events and Change in Disease Activity in Adult Participants With Relapsed/Refractory (R/R) Solid Tumors	TARGETS EGFR

LOCATIONS: Taoyuan City (Taiwan), Tainan (Taiwan), Hsinchu City (Taiwan), Kaohsiung (Taiwan), Fukuoka-shi (Japan), Seoul (Korea, Republic of), Matsuyama-shi (Japan), Goyang (Korea, Republic of), Nagoya-shi (Japan), Chuo-ku (Japan)

NCT05388669	PHASE 3
A Study of Lazertinib and Amivantamab in Participants With Epidermal Growth Factor Receptor (EGFR)-Mutated Advanced or Metastatic Non-small Cell Lung Cancer	TARGETS EGFR, MET

LOCATIONS: Changhua (Taiwan), Kaohsiung (Taiwan), Jeollanam-do (Korea, Republic of), Gyeonggi-do (Korea, Republic of), Gyeongsangnam-do (Korea, Republic of), Seoul (Korea, Republic of), Kuching (Malaysia), Kuala Lumpur (Malaysia), Jerusalem (Israel), Bydgoszcz (Poland)

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TUMOR TYPE Lung adenocarcinoma

REPORT DATE 29 Nov 2022

FOUNDATIONONE®CDx

ORDERED TEST # ORD-1506193-01

APPENDIX

Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

ATRX K869M	CARD11 A687V and amplification	<i>IKZF1</i> amplification	MSH6 K1358fs*2
RAC1 amplification	SRC	TEK	TSC2
	S39T	Y1024F	V789A
WHSC1 (MMSET)	WT1	ZNF217	
L461F	D436H	P823L	

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ORDERED TEST # ORD-1506193-01

APPENDIX

Genes Assayed in FoundationOne®CDx

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY **NUMBER ALTERATIONS**

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B	or WTX)
APC	AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX
AURKA	AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2
BCL6	BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1
BTG2	BTK	CALR	CARD11	CASP8	CBFB	CBL	CCND1	CCND2
CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73	CDH1
CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B	CDKN2C
CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R	CTCF
CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1	DDR2
DIS3	DNMT3A	DOT1L	EED	EGFR	EMSY (C11orf30)	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRFI1	ESR1	EZH2
FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12	FGF14
FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3	FGFR4
FGF 19 FH	FGF23 FLCN	FGF3 FLT1	FLT3	FOXL2	FUBP1	GABRA6	GATA3	GATA4
					GNAS		GSK3B	
GATA6	GID4 (C17orf39)	GNA11	GNA13	GNAQ		GRM3		H3-3A (H3F3A)
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDM5A	KDM5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11 (MRE11A)	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2	<i>NOTCH3</i>
NPM1	NRAS	NSD2 (WHSC1 or I	•	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3
P2RY8	PALB2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)
PDGFRA	PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1
PMS2	POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI
PRKN (PARK2)	PTCH1	PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51
RAD51B	RAD51C	RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10
REL	RET	RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO
SNCAIP	SOCS1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3
STK11	SUFU	SYK	TBX3	TEK	TENT5C (FAM46C)	TET2	TGFBR2
TIPARP	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2	TYRO3	U2AF1	VEGFA
VHL	WT1	XPO1	XRCC2	ZNF217	ZNF703			
DNA GENE LIS	ST: FOR THE D	ETECTION OF	SELECT REARF	RANGEMENTS				
ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSPO2	SDC4	SLC34A2	TERC*	TERT**	TMPRSS2

^{*}TERC is an NCRNA

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Homologous Recombination status Loss of Heterozygosity (LOH) score Microsatellite (MS) status Tumor Mutational Burden (TMB)

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^{**}Promoter region of TERT is interrogated



APPENDIX

About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a,

Cipalstraat 3, 2440 Geel, Belgium. C €



ABOUT FOUNDATIONONE CDX

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details: www.rochefoundationmedicine.com/f1cdxtech.

INTENDED USE

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffinembedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

TEST PRINCIPLE

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes

detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture-selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g.,gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

Diagnostic Significance

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification - equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for ERBB2 and six (6) for all other genes. Conversely, an alteration denoted as "loss equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical

methodology has identified as being present in <10% of the assayed tumor DNA.

Ranking of Therapies and Clinical Trials Ranking of Therapies in Summary Table Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials Pediatric trial qualification → Geographical proximity → Later trial phase.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2022. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

Limitations

1. In the fraction-based MSI algorithm, a tumor specimen will be categorized as MSI-H, MSS, or MS-Equivocal according to the fraction of microsatellite loci determined to be altered or unstable (i.e., the fraction unstable loci score). In the F1CDx assay, MSI is evaluated based on a genome-wide analysis across >2000 microsatellite loci. For a given microsatellite locus, non-somatic alleles are discarded, and the microsatellite is categorized as unstable if remaining alleles differ from the reference genome. The final fraction unstable loci score is calculated as the number of unstable microsatellite loci divided by the number of evaluable microsatellite loci. The MSI-H and MSS cut-off thresholds were determined by

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- analytical concordance to a PCR comparator assay using a pan-tumor FFPE tissue sample set. Patients with results categorized as "MS-Stable" with median exon coverage <300X, "MS-Equivocal," or "Cannot Be Determined" should receive confirmatory testing using a validated orthogonal (alternative) method.
- 2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation https://www.accessdata.fda.gov/cdrh_docs/ pdf17/P170019B.pdf. The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.
- 3. Homologous Recombination status may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas (Coleman et al., 2017; 28916367). Samples with deleterious BRCA1/2 alteration and/or Loss of Heterozygosity (LOH) score ≥ 16% will be reported as "HRD Positive" and samples with absence of these findings will be reported as "HRD Not Detected," agnostic of potential secondary BRCA1/2 reversion alterations. Certain potentially deleterious missense or small in-frame deletions in BRCA1/2 may not be classified as deleterious and, in the absence of an elevated LOH profile, samples with such mutations may be classified as "HRD Not Detected." A result of "HRD Not Detected" does not rule out the presence of a BRCA1/2 alteration or an elevated LOH profile outside the assay performance characteristic limitations.
- 4. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and

- extrapolating an LOH profile, excluding armand chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.
- 5. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
- 6. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine whether the patient is a candidate for biopsy.
- 7. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an ERBB2 amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of ERBB2 copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in https://www.mycancergenome.org/content/ disease/breast-cancer/ERBB2/238/ report the frequency of HER2 overexpression as 20% in breast cancer. Based on the F1CDx HER2 CDx

concordance study, approximately 10% of HER2 amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal

hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

VARIANT ALLELE FREQUENCY

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may

Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	%CV*
Repeatability	5.11 - 10.40
Reproducibility	5.95 - 12.31
INDELS	%CV*
INDELS Repeatability	%CV*

Interquartile Range = 1st Quartile to 3rd Quartile

VARIANTS TO CONSIDER FOR FOLLOW-**UP GERMLINE TESTING**

The variants indicated for consideration of followup germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's

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tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are ASXL1, CBL, DNMT3A, IDH2, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, and U2AF1 and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking

into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
os	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version (RG) 7.3.0

The median exon coverage for this sample is 921x

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