

**ABOUT THE TEST** FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

<b>PATIENT</b>	<b>DISEASE</b> Stomach adenocarcinoma (NOS)	<b>PHYSICIAN</b>	<b>ORDERING PHYSICIAN</b> Yeh, Yi-Chen	<b>SPECIMEN</b>	<b>SPECIMEN SITE</b> Stomach
	<b>NAME</b> Li, Tien-Shun		<b>MEDICAL FACILITY</b> Taipei Veterans General Hospital		<b>SPECIMEN ID</b> S111-29028A (PF22105)
	<b>DATE OF BIRTH</b> 05 May 1953		<b>ADDITIONAL RECIPIENT</b> None		<b>SPECIMEN TYPE</b> Slide Deck
	<b>SEX</b> Male		<b>MEDICAL FACILITY ID</b> 205872		<b>DATE OF COLLECTION</b> 29 July 2022
	<b>MEDICAL RECORD #</b> 43192832		<b>PATHOLOGIST</b> Not Provided		<b>SPECIMEN RECEIVED</b> 10 September 2022

## Biomarker Findings

**Microsatellite status** - MS-Stable  
**Tumor Mutational Burden** - 4 Muts/Mb

## Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

**KRAS** amplification  
**MDM2** amplification  
**MTAP** loss exons 3-8  
**CDKN2A/B** CDKN2A loss, CDKN2B loss  
**SMAD4** loss  
**TP53** S261N  
**ZNF217** amplification

1 Disease relevant genes with no reportable alterations: **ERBB2**

## Report Highlights

- Evidence-matched clinical trial options based on this patient's genomic findings: (p. [11](#))

### BIOMARKER FINDINGS

**Microsatellite status** - MS-Stable

**Tumor Mutational Burden** - 4 Muts/Mb

### GENOMIC FINDINGS

**KRAS** - amplification

10 Trials [see p. 11](#)

**MDM2** - amplification

2 Trials [see p. 13](#)

**MTAP** - loss exons 3-8

3 Trials [see p. 14](#)

### THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

No therapies or clinical trials. See Biomarker Findings section

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
none	none
none	none
none	none
none	none

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## GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

CDKN2A/B - CDKN2A loss, CDKN2B loss ..... p. [7](#) TP53 - S261N ..... p. [9](#)  
SMAD4 - loss ..... p. [8](#) ZNF217 - amplification ..... p. [10](#)

**NOTE** Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.

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**BIOMARKER FINDINGS**
**BIOMARKER**

# Microsatellite status

**RESULT**

MS-Stable

**POTENTIAL TREATMENT STRATEGIES**
**— Targeted Therapies —**

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors<sup>1-3</sup>, including approved therapies nivolumab and pembrolizumab<sup>4</sup>. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%,  $p=0.001$ )<sup>5</sup>.

**FREQUENCY & PROGNOSIS**

MSI-H tumors reportedly make up 12-35% of sporadic gastric cancers<sup>6-10</sup>. In the context of

diffuse-type gastric cancer, a higher frequency of MSI-H tumors has been reported in familial (28%, 7/25) versus sporadic (7%, 7/107) tumors; no difference in frequency of MSI-H tumors was observed for intestinal-type gastric cancer<sup>9</sup>. MSI-H tumors have been frequently associated with hypermethylation and loss of MLH1 expression in cancers of the upper gastrointestinal tract, including esophageal, gastroesophageal junction, and gastric adenocarcinomas<sup>9,11-15</sup>. MSI-H gastric cancers are associated with certain clinicopathological and molecular features, including intestinal type differentiation, antral location, advanced age, reduced lymph node metastasis, and better prognosis<sup>7-8,16-19</sup>. A retrospective meta-analysis of the prognostic role of MSI in gastric cancers reported an increased DFS and OS in patients with MSI-H versus MSS/MSI-Low<sup>20</sup>. Conversely, in the same study, MSI-H was a negative predictor of response and MSS/MSI-Low correlated with increased benefit for patients treated with chemotherapy plus surgery as opposed to surgery alone<sup>20</sup>. In gastroesophageal cancer, MSI-H status was associated with shorter

PFS compared to MSS patients for patients treated with chemotherapy (mPFS 4.8 months vs 6.9 months,  $HR=0.4$ )<sup>21</sup>.

**FINDING SUMMARY**

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>22</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2<sup>22-24</sup>. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers<sup>25-27</sup>. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins<sup>22,24,26-27</sup>.

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## BIOMARKER FINDINGS

## BIOMARKER

# Tumor Mutational Burden

## RESULT

4 Muts/Mb

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>28-30</sup>, anti-PD-1 therapies<sup>28-31</sup>, and combination nivolumab and ipilimumab<sup>32-37</sup>. In multiple pan-tumor studies, increased tissue tumor mutational burden (TMB) was associated with sensitivity to immune checkpoint inhibitors<sup>28-31,38-42</sup>. In the KEYNOTE 158 trial of pembrolizumab monotherapy for patients with solid tumors, significant improvement in ORR was observed for patients with TMB  $\geq 10$  Muts/Mb (as measured by this assay) compared with those with TMB  $< 10$  Muts/Mb in a large cohort that included multiple tumor types<sup>38</sup>; similar findings were observed in the KEYNOTE 028 and 012 trials<sup>31</sup>. At the same TMB cutpoint, retrospective analysis of patients with solid tumors treated with any checkpoint inhibitor identified that tissue TMB scores  $\geq 10$  Muts/Mb were associated with

prolonged time to treatment failure compared with scores  $< 10$  muts/Mb (HR=0.68)<sup>42</sup>. For patients with solid tumors treated with nivolumab plus ipilimumab in the CheckMate 848 trial, improved responses were observed in patients with a tissue TMB  $\geq 10$  Muts/Mb independent of blood TMB at any cutpoint in matched samples<sup>43</sup>. However, support for higher TMB thresholds and efficacy was observed in the prospective Phase 2 MyPathway trial of atezolizumab for patients with pan-solid tumors, where improved ORR and DCR was seen in patients with TMB  $\geq 16$  Muts/Mb than those with TMB  $\geq 10$  and  $< 16$  Muts/Mb<sup>41</sup>. Similarly, analyses across several solid tumor types reported that patients with higher TMB (defined as  $\geq 16-20$  Muts/Mb) achieved greater clinical benefit from PD-1 or PD-L1-targeting monotherapy compared with patients with higher TMB treated with chemotherapy<sup>44</sup> or those with lower TMB treated with PD-1 or PD-L1-targeting agents<sup>29</sup>.

## FREQUENCY & PROGNOSIS

Gastric adenocarcinoma harbors a median TMB of 3.6 mutations per megabase (muts/Mb), and 5.5% of cases have high TMB ( $> 20$  muts/Mb)<sup>45</sup>. However, one study reported high TMB in 20% of intestinal type stomach adenocarcinomas specifically<sup>46</sup>. Another study of patients with gastric cancer reported hypermutation (10-200 muts/Mb) in 16.4% of cases, with significant overrepresentation of samples with microsatellite instability among the hypermutant cases<sup>47</sup>. For

patients with gastric cancer, increased TMB is reported to be associated with prolonged OS<sup>48-50</sup>. One study observed that the OS and disease-free survival (DFS) benefits of postoperative chemotherapy were more pronounced in patients with TMB-low gastric cancer (stage Ib/II) compared to those with TMB-high; however, patients with stage III gastric cancer benefited regardless of TMB level<sup>51</sup>. In esophageal cancer, patients with TMB-high who had not received radiotherapy had significantly reduced OS (p=0.038) compared to those with TMB-low<sup>52</sup>.

## FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>53-54</sup> and cigarette smoke in lung cancer<sup>55-56</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>57-58</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>59-63</sup>, and microsatellite instability (MSI)<sup>59,62-63</sup>. This sample harbors a TMB level associated with lower rates of clinical benefit from treatment with PD-1- or PD-L1-targeting immune checkpoint inhibitors compared with patients with tumors harboring higher TMB levels, based on several studies in multiple solid tumor types<sup>29-30,38</sup>.

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GENOMIC FINDINGS

GENE  
**KRAS**

ALTERATION  
amplification

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Preclinical evidence suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib<sup>64-69</sup>. Clinical evidence that KRAS amplification in the absence of a concurrent KRAS activating mutation is sensitive to MEK inhibitors is limited. A Phase 2 study of selumetinib plus

docetaxel in patients with gastric cancer reported 1/2 patients with KRAS amplification experienced a PR<sup>70</sup>. A patient with cervical cancer harboring both KRAS and PIK3CA amplification treated with the combination of trametinib and the AKT inhibitor GSK2141795 achieved a SD<sup>71</sup>.

FREQUENCY & PROGNOSIS

Amplification of KRAS has been found in up to 6% of stomach adenocarcinoma cases<sup>19,72-75</sup>. KRAS alterations, including mutations<sup>76</sup> and amplification<sup>77-79</sup> are associated with worse prognosis in patients with gastroesophageal cancer. One study reported that KRAS alteration did not significantly associate with OS in a cohort of patients with gastric, esophageal, or gastroesophageal adenocarcinoma<sup>80</sup>. Published data

investigating the prognostic implications of KRAS alterations in esophageal squamous cell carcinoma are limited (PubMed, Sep 2022).

FINDING SUMMARY

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation<sup>65,81</sup>. In numerous cancer type-specific studies as well as a large-scale pan-cancer analysis, KRAS amplification was shown to correlate with increased expression<sup>75,82-84</sup>. Additionally, KRAS amplification correlated with sensitivity of cancer cell lines to KRAS knockdown, suggesting that amplified KRAS is an oncogenic driver<sup>84</sup>.

GENE  
**MDM2**

ALTERATION  
amplification

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

MDM2 antagonists disrupt the MDM2-p53 interaction, thereby stabilizing p53<sup>85</sup>. Preclinical studies have suggested that the amplification of MDM2, in the absence of concurrent TP53 mutations, may increase sensitivity to these agents<sup>86-87</sup>. Preliminary Phase 1 studies of the MDM2-p53 antagonist alrizomadlin (APG-115) reported a PR in a patient with liposarcoma harboring an MDM2 amplification and wildtype for TP53 and SD in 21%-38% (6/28 and 5/13, respectively) of patients in genomically unselected solid tumors<sup>88-89</sup>. A Phase 2 trial of alrizomadlin in combination with pembrolizumab reported a PR in 1 of 3 patients with malignant peripheral nerve sheath tumor that had failed standard therapy, as well as PRs in patients with multiple types of solid tumors that had failed immunotherapy, including 1 out of 14 patients with non-small cell lung cancer; 1 out of 5 patients with urothelial carcinoma; and 2 out of 5, 1 out of 5, and 1 out of 11 patients with mucosal, uveal, and cutaneous melanoma,

respectively<sup>90</sup>. Phase 1b studies of the MDM2 inhibitor idasanutlin for refractory AML in combination with cytarabine or venetoclax reported anti-leukemic response rates of 33% (25/75) and 37% (11/30), respectively<sup>91-92</sup>; clinical benefit (58% ORR, 7/12) with idasanutlin monotherapy has been reported for patients with polycythemia vera<sup>93</sup>. The dual MDM2/MDM4 inhibitor ALRN-6924 led to an ORR of 27% (4/15) for patients with TP53 wildtype peripheral T-cell lymphoma in a Phase 2 study<sup>94</sup>; responses have also been observed in TP53 wildtype AML, MDS, Merkel cell carcinoma, colorectal cancer, and liposarcoma<sup>95-96</sup>.

FREQUENCY & PROGNOSIS

MDM2 amplification has been reported in 4.2% of stomach adenocarcinomas<sup>19</sup>, 3.7-5.2% of esophageal adenocarcinomas<sup>10,21</sup>, and 12.3% (7/57) of gastroesophageal junction carcinomas<sup>21</sup>. MDM2 amplification has been reported in a small number of esophageal squamous cell carcinomas (SCCs); however, MDM2 overexpression has been reported more frequently<sup>97-100</sup>. MDM2 overexpression has been reported to be associated with tumor stage and lymph node metastasis in gastric cardia adenocarcinoma (GCA) tumors, and was associated with differentiation in distal gastric adenocarcinoma (DGA) tumors<sup>101</sup>. Additionally, high MDM2 expression has been associated with poor overall survival in patients with gastric

cancer<sup>102</sup>. In separate studies, amplification and over-expression of MDM2 has been identified in up to 42% of gastric carcinomas and has also been associated with Helicobacter pylori-related gastric cancer<sup>103-104</sup>.

FINDING SUMMARY

MDM2 encodes an E3 ubiquitin protein ligase, which mediates the ubiquitination and subsequent degradation of p53, Rb1, and other proteins<sup>105-107</sup>. MDM2 acts to prevent the activity of the tumor suppressor p53; therefore, overexpression or amplification of MDM2 may be oncogenic<sup>108-109</sup>. Overexpression or amplification of MDM2 is frequent in cancer<sup>110</sup>. Although two retrospective clinical studies suggest that MDM2 amplification may predict a short time-to-treatment failure on anti-PD-1/PD-L1 immune checkpoint inhibitors, with 4/5 patients with MDM2 amplification<sup>111</sup> and 2/3 patients with MDM2 or MDM4 amplification<sup>112</sup> experiencing tumor hyperprogression, amplification of MDM2 or MDM4 was not associated with shorter progression-free survival (PFS) in a retrospective analysis of non-small cell lung cancer (NSCLC) outcomes with immune checkpoint inhibitors (hazard ratio of 1.4, p=0.44)<sup>113</sup>. The latter study reported PFS of >2 months for 5/8 patients with MDM2/MDM4 amplification<sup>113</sup>.

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GENOMIC FINDINGS

GENE

**MTAP**

ALTERATION

loss exons 3-8

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

MTAP inactivation produces specific metabolic vulnerabilities that may be sensitive to MAT2A<sup>114-115</sup> or PRMT5 inhibition<sup>115-117</sup>. A Phase 1 trial of MAT2A inhibitor AG-270 reported 1 PR and 2 SDs lasting longer than 6 months for patients with advanced solid tumors displaying MTAP loss<sup>118</sup>. Preclinical data suggest that MTAP loss sensitizes cells to S-adenosyl-L-methionine (SAM)-competitive PRMT5 inhibitors<sup>119</sup>, dual PRMT1 and PRMT5 inhibitors<sup>120-122</sup>, and PRMT5 inhibitors that selectively bind the PRMT5 when complexed with S-methyl-5'-thioadenosine (MTA), such as MRTX1719, TNG908, and AMG193<sup>123</sup>. In preclinical models, MTAP inactivation showed increased

sensitivity to inhibitors of purine synthesis or purine analogs, especially upon addition of exogenous MTA<sup>124-134</sup>. A Phase 2 study of L-alanosine, an inhibitor of adenine synthesis, as a monotherapy for 65 patients with MTAP-deficient cancers reported no responses and SD for 24% (13/55) of patients<sup>135</sup>. Preclinical and limited clinical evidence suggest MTAP deficiency may confer sensitivity to pemetrexed<sup>136</sup>.

FREQUENCY & PROGNOSIS

MTAP loss/homozygous deletion as well as loss of expression has been reported in a wide variety of solid tumors and hematologic cancers<sup>137-138</sup>; such events have been correlated with poor prognosis in a variety of cancer types, including hepatocellular carcinoma<sup>139</sup>, gastrointestinal stromal tumors<sup>140</sup>, mantle cell lymphoma (MCL)<sup>141</sup>, melanoma<sup>142-143</sup>, gastric cancer<sup>144</sup>, myxofibrosarcoma<sup>145</sup>, nasopharyngeal carcinoma<sup>146</sup>, ovarian carcinoma<sup>137</sup> and non-small cell lung cancer<sup>147</sup>. MTAP loss was not prognostic in pediatric B-cell acute lymphocytic leukemia<sup>148</sup> or in astrocytoma<sup>149</sup>. However, MTAP has also been reported to be

overexpressed in colorectal cancer (CRC) samples<sup>150</sup>, and MTAP retention is thought to be important for prostate cancer growth due to continuous supply of SAM<sup>151</sup>. Germline SNPs in MTAP have been correlated with the development of cutaneous melanoma<sup>152-153</sup>, esophageal cancer<sup>154-155</sup>, osteosarcoma<sup>156</sup>, and CRC<sup>157</sup>.

FINDING SUMMARY

MTAP encodes S-methyl-5'-thioadenosine (MTA) phosphorylase, a tumor suppressor involved in polyamine metabolism and methionine synthesis, although its enzymatic function is dispensable for its tumor suppressor activity<sup>158-159</sup>. Decreased expression of MTAP leads to MTA accumulation within tumor cells and their microenvironment<sup>139,160-161</sup>, thereby reducing intracellular arginine methylation<sup>115-117</sup> and altering cell signaling<sup>161-162</sup>. MTAP is located at 9p21, adjacent to CDKN2A and CDKN2B, with which it is frequently co-deleted in various cancers. Other alterations in MTAP are rare and have not been extensively characterized.

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GENOMIC FINDINGS

GENE

**CDKN2A/B**

ALTERATION

CDKN2A loss, CDKN2B loss

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Preclinical data suggest that tumors with loss of p16INK4a function may be sensitive to CDK4/6 inhibitors, such as abemaciclib, ribociclib, and palbociclib<sup>163-166</sup>. Clinical data in mesothelioma, breast cancer, and uterine leiomyosarcoma indicate that CDKN2A loss may predict sensitivity to abemaciclib<sup>167</sup> and palbociclib treatment<sup>168-169</sup>. However, multiple other clinical studies have shown no significant correlation between p16INK4a loss or inactivation and therapeutic benefit of these agents<sup>170-176</sup>; it is not known whether CDK4/6 inhibitors would be beneficial in this case. Although preclinical studies have suggested that loss of p14ARF function may be associated with reduced sensitivity to MDM2 inhibitors<sup>87,177</sup>, the clinical relevance of p14ARF as a predictive biomarker is not clear. Because the p15INK4b protein encoded by CDKN2B is known to inhibit CDK4, tumors with CDKN2B mutation or loss may predict sensitivity to CDK4/6 inhibitors, such as ribociclib, abemaciclib, and palbociclib<sup>171,173-174,178-180</sup>.

FREQUENCY & PROGNOSIS

Loss of the 9p21 region that encompasses the CDKN2A/CDKN2B locus has been observed in

24-67% of esophageal squamous cell carcinoma (ESCC)<sup>181-188</sup>, and 26-37% of esophageal adenocarcinoma samples, and is thought to be a critical step in the progression of Barrett esophagus to adenocarcinoma<sup>189-192</sup>. In the Stomach Adenocarcinoma TCGA dataset, concurrent putative homozygous deletion of both CDKN2A and CDKN2B has been reported in 10% of cases<sup>19</sup>. In gastric cancer, methylation of CDKN2A and CDKN2B has been reported in 67% of cases and hypermethylation or deletion of CDKN2A alone has been reported in 20-72% of cases<sup>193-198</sup>. Expression of p16INK4a has been shown to decrease during gastric cancer tumorigenesis, detected in 96% of normal gastric tissue, 92% of dysplastic gastric mucosa, and 48% of gastric carcinoma samples<sup>197</sup>. One study found that expression of p14ARF decreases during disease progression in esophageal adenocarcinoma, with 75% (57/76) of samples having no detectable p14ARF expression; moreover, expression of p14ARF strongly correlated with increased survival<sup>199</sup>. Loss or downregulation of p15INK4b and p16INK4a expression has been reported in ESCC tumor samples and correlated with downregulation of TGFBR2, increased inflammation, and increased DNA damage<sup>200</sup>. In addition, loss of p16INK4a, but not p15INK4b, has been associated with tumor progression and poor prognosis in patients with ESCC<sup>181-182,187,201-202</sup>. Inactivation of CDKN2A and CDKN2B by promoter methylation has been linked with poor survival in patients with gastric cancer<sup>196</sup>.

FINDING SUMMARY

CDKN2A encodes two different, unrelated tumor

suppressor proteins, p16INK4a and p14ARF, whereas CDKN2B encodes the tumor suppressor p15INK4b<sup>203-204</sup>. Both p15INK4b and p16INK4a bind to and inhibit CDK4 and CDK6, thereby maintaining the growth-suppressive activity of the Rb tumor suppressor; loss or inactivation of either p15INK4b or p16INK4a contributes to dysregulation of the CDK4/6-cyclin-Rb pathway and loss of cell cycle control<sup>205-206</sup>. The tumor suppressive functions of p14ARF involve stabilization and activation of p53, via a mechanism of MDM2 inhibition<sup>207-208</sup>. One or more alterations observed here are predicted to result in p16INK4a loss of function<sup>209-230</sup>. One or more alterations seen here are predicted to result in p14ARF loss of function<sup>213,230-233</sup>. CDKN2B alterations such as seen here are predicted to inactivate p15INK4b<sup>234</sup>.

POTENTIAL GERMLINE IMPLICATIONS

Germline CDKN2A mutation is associated with melanoma-pancreatic cancer syndrome, a condition marked by increased risk of developing malignant melanoma and/or pancreatic cancer<sup>235</sup>. Mutation carriers within families may develop either or both types of cancer, and melanoma cases may be referred to as familial or hereditary melanoma<sup>236-237</sup>. CDKN2A is the most implicated gene in familial melanoma, with germline mutations present in 16% to 20% of familial melanoma cases<sup>238-240</sup>. CDKN2A alteration has also been implicated in familial melanoma-astrocytoma syndrome, an extremely rare tumor association characterized by dual predisposition to melanoma and nervous system tumors<sup>241-243</sup>. In the appropriate clinical context, germline testing of CDKN2A is recommended.

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## GENOMIC FINDINGS

## GENE

# SMAD4

## ALTERATION

loss

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

There are no targeted therapies available to address genomic alterations in SMAD4. Preclinical studies in colorectal cancer have reported associations of SMAD4 inactivation or loss with sensitivity to inhibitors of Aurora kinase A<sup>244</sup> and the Wnt/beta-catenin pathway<sup>245</sup>.

### — Nontargeted Approaches —

Clinical studies have reported associations of SMAD4 loss or low SMAD4 expression with improved responses to chemotherapeutic agents in patients with pancreatic cancer<sup>246-248</sup> and non-small cell lung cancer (NSCLC)<sup>249</sup>. Other clinical studies in pancreatic cancer have reported an association of high SMAD4 expression with better responses to neoadjuvant chemotherapy<sup>250</sup> and

adjuvant chemoradiotherapy<sup>251</sup>.

## FREQUENCY & PROGNOSIS

SMAD4 mutation or homozygous deletion is most frequently observed in pancreatic adenocarcinoma (43%)<sup>252</sup>, pancreatic acinar cell carcinoma (26%)<sup>253</sup>, cholangiocarcinoma (25%)<sup>254</sup>, small intestine cancer (20%)<sup>255</sup>, appendiceal adenocarcinoma (14-20% mutation; 57% deletion)<sup>256-257</sup>, colorectal adenocarcinoma (CRC; 14%)<sup>62</sup>, esophageal adenocarcinoma (14%)<sup>258</sup>, and stomach adenocarcinoma (13%)<sup>19</sup>. In preclinical studies, SMAD4 loss of function has been implicated in the development of mucinous neoplasms of the pancreas, including mucinous cystic neoplasms (MCN)<sup>259</sup> and intraductal papillary mucinous neoplasms (IPMN)<sup>260</sup>; in clinical samples, SMAD4 homozygous deletion has been observed in 10% of IPMNs and 8% of MCNs, and mutation was also observed in 5% of IPMNs<sup>261</sup>. SMAD4 gene alterations have been associated with reduced overall survival for patients with pancreatic adenocarcinoma<sup>262</sup>. Reduced SMAD4 expression has been associated with worse prognosis in various cancer types, including CRC<sup>263-265</sup>, appendiceal mucinous neoplasm<sup>266</sup>, gastric

adenocarcinoma<sup>267-268</sup>, esophageal adenocarcinoma<sup>269</sup>, esophageal squamous cell carcinoma<sup>270</sup>, breast cancer<sup>271</sup>, and prostate cancer<sup>272</sup>.

## FINDING SUMMARY

SMAD4, also known as DPC4, encodes a tumor suppressor that regulates transcriptional activity downstream of TGF-beta receptor signaling<sup>273-274</sup>. SMAD4 alterations that result in loss or disruption of the MH1 domain (aa 18-142), MH2 domain (aa 323-552), or SAD domain (aa 275-320) are predicted to be inactivating<sup>275-288</sup>.

## POTENTIAL GERMLINE IMPLICATIONS

Germline SMAD4 mutations, including those at the R361 hotspot, have been observed in patients with juvenile polyposis syndrome<sup>289-291</sup>, which is associated with an increased risk of gastrointestinal cancers<sup>292</sup>. The penetrance of deleterious SMAD4 mutations in patients with colon cancer is estimated at 20% by age 35 and 70% by age 65<sup>293</sup>. In the appropriate clinical context, germline testing of SMAD4 is recommended.

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ORDERED TEST # ORD-1452967-01

## GENOMIC FINDINGS

## GENE

## TP53

## ALTERATION

S261N

## TRANSCRIPT ID

NM\_000546

## CODING SEQUENCE EFFECT

782G&gt;A

## VARIANT ALLELE FREQUENCY (% VAF)

13.3%

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib<sup>294-297</sup>, or p53 gene therapy and immunotherapeutics such as SGT-53<sup>298-302</sup> and ALT-801<sup>303</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype<sup>304</sup>. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 32% (30/94, 3 CR) ORR and a 73% (69/94) DCR for patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>305</sup>. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 43% (9/21, 1 CR) ORR and a 76% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer<sup>306</sup>. The combination of adavosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone<sup>307</sup>. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib combined with paclitaxel<sup>308</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with

cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71% (5/7) response rate for patients with TP53 alterations<sup>309</sup>. The Phase 2 FOCUS4-C trial for patients with TP53- and RAS-mutated colorectal cancer reported improvement in PFS (3.61 vs. 1.87 months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring<sup>310</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>302</sup>. Missense mutations leading to TP53 inactivation may also be sensitive to therapies that reactivate mutated p53 such as APR-246<sup>311-313</sup>. In a Phase 1b trial for patients with p53-positive high-grade serous ovarian cancer, APR-246 combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR<sup>314</sup>. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies<sup>315-316</sup>; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies<sup>317-318</sup>. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

## FREQUENCY &amp; PROGNOSIS

TP53 is frequently mutated in cancers of the gastrointestinal tract, with alterations reported in 34–72% of esophageal, gastroesophageal junction, and gastric adenocarcinomas<sup>19,190,319-320</sup>. Overexpression of p53 protein, which may occur as a result of mutation, has been reported in approximately 36% of gastric cancers, with p53 expression reported to be more frequent in intestinal-type compared with diffuse-type gastric cancer<sup>321-324</sup>. While some studies have reported no association between TP53 mutation status and prognosis in patients with esophageal carcinoma or gastroesophageal junction adenocarcinoma<sup>319-320</sup> others have associated TP53 mutation and elevated

p53 expression with poor prognosis for patients with esophageal squamous cell carcinoma<sup>325-326</sup> or stomach cancer<sup>327-329</sup>.

## FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>108</sup>. Alterations such as seen here may disrupt TP53 function or expression<sup>330-334</sup>.

## POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers<sup>335-337</sup>, including sarcomas<sup>338-339</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>340</sup> to 1:20,000<sup>339</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30<sup>341</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

## POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>342-347</sup>. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy<sup>342-343</sup>. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>348</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH<sup>346,349-350</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

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ORDERED TEST # ORD-1452967-01

## GENOMIC FINDINGS

GENE  
**ZNF217**

ALTERATION  
amplification

suggested as a potential biomarker for treatment with the DNA synthesis inhibitor and AKT inhibitor tricitabine in breast cancer based on preclinical findings in cultured cells and xenografts expressing high levels of ZNF217; tricitabine treatment also restored sensitivity to doxorubicin in these cells<sup>355</sup>.

contribute to tumorigenesis<sup>367-369</sup>, and increased expression or activation of ERBB3<sup>356,370</sup>, FAK<sup>356</sup>, Aurora kinase A<sup>353</sup>, AKT<sup>354</sup>, and TGF-beta/SMAD signaling<sup>356</sup> has been demonstrated in ZNF217-expressing tumors or cells.

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

There are no available targeted therapies to address genomic alterations in ZNF217. Expression of ZNF217 may predict relapse of estrogen receptor (ER)-positive breast cancer under hormone therapy through its direct interaction with ER-alpha<sup>351-352</sup>. ZNF217 overexpression has also been associated with resistance to paclitaxel<sup>353</sup> and doxorubicin<sup>354</sup> in breast cancer cell lines. ZNF217 has been

## FREQUENCY &amp; PROGNOSIS

Amplification and/or overexpression of ZNF217 has been reported in breast<sup>356</sup>, ovarian<sup>357-358</sup>, gastric<sup>359-360</sup>, colon<sup>361</sup>, prostate<sup>362</sup>, esophageal<sup>363</sup>, and urothelial carcinomas<sup>364</sup>, glioblastoma<sup>365</sup>, and ovarian carcinosarcomas<sup>366</sup>. Overexpression in these tumors has generally been linked with aggressive tumor behavior and poor clinical prognosis. High levels of ZNF217 expression result in dysregulation of a broad range of genes that may

## FINDING SUMMARY

ZNF217 encodes a candidate oncogene that has likely roles in histone modification and transcriptional repression<sup>354,371</sup>. ZNF217 amplification has been correlated with protein overexpression in breast carcinoma tumors and cell lines<sup>372</sup>. The role of ZNF217 in promoting tumorigenesis was established in preclinical studies demonstrating that expression of ZNF217 results in the immortalization of both human mammary epithelial cells and ovarian surface epithelial cells in culture<sup>373-374</sup>.

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**CLINICAL TRIALS**

**NOTE** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually

updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that

may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://clinicaltrials.gov). Or, visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

**GENE**  
**KRAS**
**ALTERATION**  
 amplification

**RATIONALE**  
 KRAS activating mutations or amplification may predict sensitivity to inhibitors of MAPK pathway

components, including MEK inhibitors.

**NCT03281369**
**PHASE 1/2**

A Study of Multiple Immunotherapy-Based Treatment Combinations in Patients With Locally Advanced Unresectable or Metastatic Gastric or Gastroesophageal Junction Cancer (G/GEJ) (Morpheus-Gastric Cancer)

**TARGETS**  
 MEK, CXCR4, VEGFRs, PD-L1

**LOCATIONS:** Taipei City (Taiwan), Zhongzheng Dist. (Taiwan), Tainan (Taiwan), Suwon-si, (Korea, Republic of), Seoul (Korea, Republic of), Seodaemun-Gu (Korea, Republic of), Songpa-gu (Korea, Republic of), Blacktown (Australia), Melbourne (Australia), Clayton (Australia)

**NCT04803318**
**PHASE 2**

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

**TARGETS**  
 mTOR, FGFRs, RET, PDGFRA, VEGFRs, KIT, MEK

**LOCATIONS:** Guangzhou (China)

**NCT03284502**
**PHASE 1**

Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors

**TARGETS**  
 MEK, RAFs

**LOCATIONS:** Hwasun (Korea, Republic of), Pusan (Korea, Republic of), Seongnam (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of)

**NCT04801966**
**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**  
 CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)

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**CLINICAL TRIALS**
**NCT05159245**
**PHASE 2**

The Finnish National Study to Facilitate Patient Access to Targeted Anti-cancer Drugs

**TARGETS**

 BRAF, VEGFRs, RET, KIT, ERBB2, TRKB,  
 ALK, TRKC, ROS1, TRKA, SMO, PD-L1,  
 MEK, CDK4, CDK6

**LOCATIONS:** Kuopio (Finland), Helsinki (Finland), Tampere (Finland), Turku (Finland)

**NCT03905148**
**PHASE 1/2**

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

**TARGETS**

RAFs, EGFR, MEK

**LOCATIONS:** Nedlands (Australia), Blacktown (Australia), Randwick (Australia), Melbourne (Australia), California, Texas

**NCT04551521**
**PHASE 2**

CRAFT: The NCT-PMO-1602 Phase II Trial

**TARGETS**

 PD-L1, AKTs, MEK, BRAF, ALK, RET,  
 ERBB2

**LOCATIONS:** Würzburg (Germany), Mainz (Germany), Heidelberg (Germany), Tübingen (Germany)

**NCT04720976**
**PHASE 1/2**

JAB-3312 Activity in Adult Patients With Advanced Solid Tumors

**TARGETS**

MEK, SHP2, PD-1, EGFR, KRAS

**LOCATIONS:** Utah

**NCT04965818**
**PHASE 1/2**

Phase 1b/2 Study of Futibatinib in Combination With Binimetinib in Patients With Advanced KRAS Mutant Cancer

**TARGETS**

MEK, FGFRs

**LOCATIONS:** California, Indiana, Texas

**NCT04214418**
**PHASE 1/2**

Study of Combination Therapy With the MEK Inhibitor, Cobimetinib, Immune Checkpoint Blockade, Atezolizumab, and the AUTophagy Inhibitor, Hydroxychloroquine in KRAS-mutated Advanced Malignancies

**TARGETS**

PD-L1, MEK

**LOCATIONS:** Rhode Island, New York

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**CLINICAL TRIALS**
**GENE**
**MDM2**
**ALTERATION**

amplification

**RATIONALE**

Inhibitors of the MDM2-p53 interaction are being tested in clinical trials. Overexpression or

amplification of MDM2 may increase sensitivity to these agents, but more data are required.

**NCT04785196**
**PHASE 1/2**

APG-115 in Combination With PD-1 Inhibitor in Patients With Advanced Liposarcoma or Advanced Solid Tumors

**TARGETS**  
 PD-1, MDM2

**LOCATIONS:** Shanghai (China), Guangzhou (China)

**NCT03611868**
**PHASE 1/2**

A Study of APG-115 in Combination With Pembrolizumab in Patients With Metastatic Melanomas or Advanced Solid Tumors

**TARGETS**  
 MDM2, PD-1

**LOCATIONS:** Brisbane (Australia), South Brisbane (Australia), Bedford Park (Australia), Heidelberg (Australia), California, Arizona, Missouri, Arkansas, Ohio, Pennsylvania

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**CLINICAL TRIALS**
**GENE**
**MTAP**
**RATIONALE**

MTAP loss may predict sensitivity to MAT2A inhibitors, or to inhibitors that target PRMT5

when in complex with MTA.

**ALTERATION**

loss exons 3-8

**NCT05094336**
**PHASE 1/2**

AMG 193, Methylthioadenosine (MTA) Cooperative Protein Arginine Methyltransferase 5 (PRMT5) Inhibitor, Alone and in Combination With Docetaxel in Advanced Methylthioadenosine Phosphorylase (MTAP)-Null Solid Tumors

**TARGETS**  
 PRMT5-MTA

**LOCATIONS:** Nagoya-shi (Japan), Chuo-ku (Japan), Kashiwa-shi (Japan), Camperdown (Australia), Halle (Saale) (Germany), Salzburg (Austria), Würzburg (Germany), Ulm (Germany), Edegem (Belgium), Bruxelles (Belgium)

**NCT05245500**
**PHASE 1/2**

Phase 1/2 Study of MRTX1719 in Solid Tumors With MTAP Deletion

**TARGETS**  
 PRMT5-MTA

**LOCATIONS:** Colorado, Massachusetts, New York, Tennessee, Texas

**NCT05275478**
**PHASE 1/2**

Safety and Tolerability of TNG908 in Patients With MTAP-deleted Solid Tumors

**TARGETS**  
 PRMT5-MTA

**LOCATIONS:** Massachusetts, Tennessee, Texas, Virginia

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**APPENDIX**
**Variants of Unknown Significance**

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**AKT3**  
rearrangement

**ALK**  
D605N

**CBL**  
R506Q

**FANCC**  
P248R

**NKX2-1**  
G322S

**NTRK3**  
A380S

**PARP3**  
R379Q

**PIK3C2G**  
amplification

**PTCH1**  
E44G and R1303C

**SUFU**  
P482L

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**APPENDIX**

Genes Assayed in FoundationOne®CDx

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

**DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS**

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B or WTX)	
APC	AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX
AURKA	AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2
BCL6	BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1
BTG2	BTK	CALR	CARD11	CASP8	CBFB	CBL	CCND1	CCND2
CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73	CDH1
CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B	CDKN2C
CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R	CTCF
CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1	DDR2
DIS3	DNMT3A	DOT1L	EED	EGFR	EMSY (C11orf30)	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRF1	ESR1	EZH2
FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12	FGF14
FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3	FGFR4
FH	FLCN	FLT1	FLT3	FOXL2	FUBP1	GABRA6	GATA3	GATA4
GATA6	GID4 (C17orf39)	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B	H3-3A (H3F3A)
HDAC1	HGF	HNFA1	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDM5A	KDM5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11 (MRE11A)	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2	NOTCH3
NPM1	NRAS	NSD2 (WHSC1 or MMSET)	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3	NTRK3
P2RY8	PALB2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCCD1 (PD-1)	PDCCD1LG2 (PD-L2)
PDGFRA	PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1
PMS2	POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI
PRKN (PARK2)	PTCH1	PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51
RAD51B	RAD51C	RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10
REL	RET	RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO
SNCAIP	SOC1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3
STK11	SUFU	SYK	TBX3	TEK	TENT5C (FAM46C)	TET2	TET2	TGFB2
TIPARP	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2	TYRO3	U2AF1	VEGFA
VHL	WT1	XPO1	XRCC2	ZNF217	ZNF703			

**DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS**

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSP02	SDC4	SLC34A2	TERC*	TERT**	TPRSS2

\*TERC is an NCRNA

\*\*Promoter region of TERT is interrogated

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

Homologous Recombination status  
 Loss of Heterozygosity (LOH) score  
 Microsatellite (MS) status  
 Tumor Mutational Burden (TMB)

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## APPENDIX

## About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Ciplstraat 3, 2440 Geel, Belgium. 

## ABOUT FOUNDATIONONE CDx

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details:  
[www.rochefoundationmedicine.com/f1cdxtech](http://www.rochefoundationmedicine.com/f1cdxtech).

## INTENDED USE

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffin-embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

## TEST PRINCIPLE

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes

detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture-selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

## THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

### Diagnostic Significance

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

### Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for *ERBB2* and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical

methodology has identified as being present in <10% of the assayed tumor DNA.

## Ranking of Therapies and Clinical Trials

### Ranking of Therapies in Summary Table

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

### Ranking of Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

## NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) ([www.nccn.org](http://www.nccn.org)). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2022. All rights reserved. To view the most recent and complete version of the guidelines, go online to [NCCN.org](http://NCCN.org). NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

## Limitations

1. In the fractional-based MSI algorithm, a tumor specimen will be categorized as MSI-H, MSS, or MS-Equivocal according to the fraction of microsatellite loci determined to be altered or unstable (i.e., the fraction unstable loci score). In the F1CDx assay, MSI is evaluated based on a genome-wide analysis across >2000 microsatellite loci. For a given microsatellite locus, non-somatic alleles are discarded, and the microsatellite is categorized as unstable if remaining alleles differ from the reference genome. The final fraction unstable loci score is calculated as the number of unstable microsatellite loci divided by the number of evaluable microsatellite loci. The MSI-H and MSS cut-off thresholds were determined by

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APPENDIX

About FoundationOne®CDx

analytical concordance to a PCR comparator assay using a pan-tumor FFPE tissue sample set. Patients with results categorized as "MS-Stable" with median exon coverage <300X, "MS-Equivocal," or "Cannot Be Determined" should receive confirmatory testing using a validated orthogonal (alternative) method.

2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation [https://www.accessdata.fda.gov/cdrh\\_docs/pdf17/P170019B.pdf](https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf). The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.
3. Homologous Recombination status may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas (Coleman et al., 2017; 28916367). Samples with deleterious *BRCA1/2* alteration and/or Loss of Heterozygosity (LOH) score  $\geq 16\%$  will be reported as "HRD Positive" and samples with absence of these findings will be reported as "HRD Not Detected," agnostic of potential secondary *BRCA1/2* reversion alterations. Certain potentially deleterious missense or small in-frame deletions in *BRCA1/2* may not be classified as deleterious and, in the absence of an elevated LOH profile, samples with such mutations may be classified as "HRD Not Detected." A result of "HRD Not Detected" does not rule out the presence of a *BRCA1/2* alteration or an elevated LOH profile outside the assay performance characteristic limitations.
4. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and

extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.

5. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
6. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine whether the patient is a candidate for biopsy.
7. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an *ERBB2* amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of *ERBB2* copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in <https://www.mycancergenome.org/content/disease/breast-cancer/ERBB2/238/> report the frequency of HER2 overexpression as 20% in breast cancer. Based on the F1CDx HER2 CDx concordance study, approximately 10% of HER2 amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

## REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal

hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

## VARIANT ALLELE FREQUENCY

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	%CV*
Repeatability	5.11 - 10.40
Reproducibility	5.95 - 12.31
INDELS	%CV*
Repeatability	6.29 - 10.00
Reproducibility	7.33 - 11.71

\*Interquartile Range = 1st Quartile to 3rd Quartile

## VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of  $>10\%$ , and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's

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**APPENDIX**

About FoundationOne®CDx

tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

### VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *CBL*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

### LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

### NO GUARANTEE OF CLINICAL BENEFIT

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

### NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

### TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking

into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

### SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mut/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

### REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version (RG) 7.1.0

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The median exon coverage for this sample is 621x



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**APPENDIX**
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