

**ABOUT THE TEST** FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

|                |   |                  |  |                 |  |
|----------------|---|------------------|--|-----------------|--|
| <b>PATIENT</b> | <b>DISEASE</b> Liver hepatocellular carcinoma (HCC) | <b>PHYSICIAN</b> | <b>ORDERING PHYSICIAN</b> Yeh, Yi-Chen                   | <b>SPECIMEN</b> | <b>SPECIMEN ID</b> MMC 2/12/1960       |
|                | <b>NAME</b> Chang, Ming-Mei                         |                  | <b>MEDICAL FACILITY</b> Taipei Veterans General Hospital |                 | <b>SPECIMEN TYPE</b> Blood             |
|                | <b>DATE OF BIRTH</b> 12 February 1960               |                  | <b>ADDITIONAL RECIPIENT</b> None                         |                 | <b>DATE OF COLLECTION</b> 21 July 2022 |
|                | <b>SEX</b> Female                                   |                  | <b>MEDICAL FACILITY ID</b> 205872                        |                 | <b>SPECIMEN RECEIVED</b> 25 July 2022  |
|                | <b>MEDICAL RECORD #</b> 46909711                    |                  | <b>PATHOLOGIST</b> Not Provided                          |                 |  |

## Biomarker Findings

**Blood Tumor Mutational Burden** - 4 Muts/Mb  
**Microsatellite status** - MSI-High Not Detected  
**Tumor Fraction** - Elevated Tumor Fraction Not Detected

## Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

**BRCA1** E1527fs\*21  
**CHEK2** R519\*  
**FH** V435M  
**SGK1** splice site 77-38\_152+68del182

## Report Highlights

- Targeted therapies with potential clinical benefit approved in another tumor type: Niraparib (p. 8), Olaparib (p. 8), Rucaparib (p. 9), Talazoparib (p. 9)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 11)
- Variants that may represent clonal hematopoiesis and may originate from non-tumor sources: **CHEK2** R519\* (p. 6)

### BIOMARKER FINDINGS

**Blood Tumor Mutational Burden**  
 - 4 Muts/Mb

**Microsatellite status**  
 - MSI-High Not Detected

**Tumor Fraction**  
 - Elevated Tumor Fraction Not Detected

### THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected. The fact that elevated tumor fraction was not detected in this specimen indicates the possibility of lower levels of ctDNA but does not compromise confidence in any reported alterations. However, in the setting of a negative liquid biopsy result, orthogonal testing of a tissue specimen should be considered if clinically indicated (see Biomarker Findings section).

### GENOMIC FINDINGS

### VAF %

**BRCA1** - E1527fs\*21 2.6%

10 Trials see p. 11

**CHEK2** - R519\* 0.16%

10 Trials see p. 13

### THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)

None

### THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)

Niraparib

Olaparib

Rucaparib

Talazoparib

None

None

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**VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)**

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

**CHEK2 - R519\*** ..... p. [6](#)

**GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS**

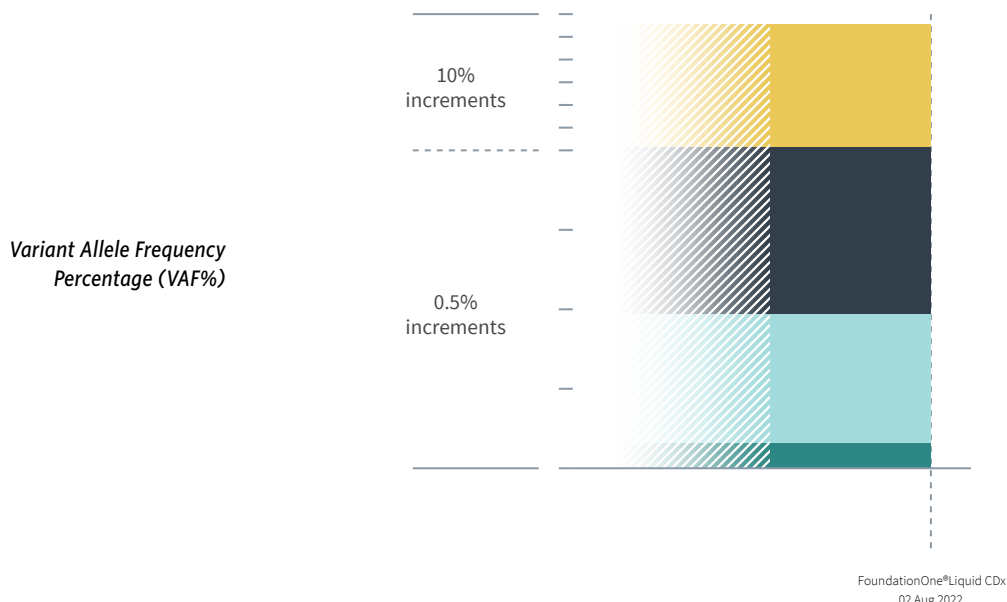
For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

**FH - V435M** ..... p. [7](#)      **SGK1 - splice site 77-38\_152+68del182** ..... p. [7](#)

**NOTE** Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

ORDERED TEST # ORD-1419836-01



#### HISTORIC PATIENT FINDINGS

ORD-1419836-01  
VAF%

#### Blood Tumor Mutational Burden

4 Muts/Mb

#### Microsatellite status

MSI-High Not Detected

#### Tumor Fraction

Elevated Tumor Fraction Not Detected

|              |   |
|--------------|---|
| <b>BRCA1</b> | ● E1527fs*21                            |
| <b>CHEK2</b> | ● R519*                                 |
| <b>FH</b>    | ● V435M                                 |
| <b>SGK1</b>  | ● splice site<br>77-38_152+68del<br>182 |

2.6%

0.16%

54.0%

0.81%

**NOTE** This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx, FoundationOne®Liquid, FoundationOne®, or FoundationOne®CDx tests. Up to five previous tests may be shown.

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable.

Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of  $\geq 5\%$ , and bTMB is calculated based on variants with an allele frequency of  $\geq 0.5\%$ .

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

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BIOMARKER FINDINGS

BIOMARKER

## Blood Tumor Mutational Burden

RESULT

4 Muts/Mb

### POTENTIAL TREATMENT STRATEGIES

#### — Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased blood tumor mutational burden (bTMB) may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>1-3</sup>, anti-PD-1<sup>3-4</sup>, and anti-PD-1/CTLA4 therapies<sup>5-6</sup>. A Phase 2 multi-solid-tumor trial showed that bTMB  $\geq 16$  Muts/Mb (as measured by this assay) was associated with improved survival from treatment with a PD-1 inhibitor alone or in combination with a CTLA-4 inhibitor<sup>5</sup>. In non-small cell lung cancer (NSCLC), multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint

inhibitors following single-agent or combination treatments with either CTLA4 inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 Muts/Mb–16 Muts/Mb<sup>1</sup>. In head and neck squamous cell carcinoma (HNSCC), a Phase 3 trial showed that bTMB  $\geq 16$  Muts/Mb (approximate equivalency  $\geq 8$  Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor<sup>7</sup>.

### FREQUENCY & PROGNOSIS

Average bTMB levels in solid tumors other than NSCLC have not been evaluated (cBioPortal, COSMIC, PubMed, Mar 2022)<sup>8-10</sup>. Published data investigating the prognostic implications of bTMB levels in HCC are limited (PubMed, Jul 2022). In an analysis of the TCGA Liver HCC dataset, high TMB was associated with reduced PFS and OS<sup>11</sup>. A retrospective study of 128 patients with HCC who underwent curative resection reported decreased recurrence-free survival for patients with high TMB ( $>4.8$  Muts/Mb) compared to those with low TMB ( $\leq 4.8$  Muts/Mb) measured in tissue

samples<sup>12</sup>.

### FINDING SUMMARY

Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>13-14</sup> and cigarette smoke in lung cancer<sup>15-16</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>17-18</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>19-23</sup>, and microsatellite instability (MSI)<sup>19,22-23</sup>. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents<sup>1-2,4</sup>. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

## Tumor Fraction

RESULT

Elevated Tumor Fraction Not Detected

### POTENTIAL TREATMENT STRATEGIES

#### — Targeted Therapies —

Specimens with elevated tumor fraction values have high circulating-tumor DNA (ctDNA) content, and thus high sensitivity for identifying genomic alterations. Such specimens are at low risk of false negative results. However, if elevated tumor fraction is not detected, it does not exclude the presence of disease burden or compromise the confidence of reported alterations. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not

be overinterpreted or compared from one blood draw to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management<sup>24-29</sup>.

### FREQUENCY & PROGNOSIS

Detectable ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)<sup>30</sup>. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer<sup>31</sup>, Ewing sarcoma and osteosarcoma<sup>32</sup>, prostate cancer<sup>27</sup>, breast cancer<sup>33</sup>, leiomyosarcoma<sup>34</sup>, esophageal cancer<sup>35</sup>, colorectal

cancer<sup>36</sup>, and gastrointestinal cancer<sup>37</sup>.

### FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 single-nucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content<sup>38</sup>, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy<sup>39-40</sup>.

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ORDERED TEST # ORD-1419836-01

GENOMIC FINDINGS

GENE

**BRCA1**

ALTERATION

E1527fs\*21

TRANSCRIPT ID

NM\_007294

CODING SEQUENCE EFFECT

4579delG

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Alterations that inactivate BRCA1 or BRCA2 may confer sensitivity to PARP inhibitors<sup>41-58</sup> or ATR inhibitors<sup>59-61</sup>. Clinical responses to PARP inhibitors have been reported for patients with either germline or somatic BRCA1/2 mutations<sup>42,47,50,57-58</sup> and for patients with platinum-resistant or -refractory disease<sup>41,46,53,56</sup>. In a Phase 1 trial of monotherapy treatment with the ATR inhibitor BAY1895344, 2 patients with deleterious BRCA1 alterations and platinum-refractory ovarian carcinoma experienced a PR or prolonged SD<sup>59</sup>. In other Phase 1 trials of combination approaches, a patient with BRCA1-mutated ovarian carcinoma experienced prolonged SD from the ATR inhibitor berzosertib combined with topotecan<sup>60</sup>; another patient with platinum- and PARP-inhibitory refractory ovarian cancer and an inactivating germline BRCA1 mutation experienced a PR from berzosertib plus carboplatin<sup>62</sup>; and a third patient with BRCA1-mutated triple-negative breast cancer (TNBC) experienced a PR to the ATR inhibitor

ceralasertib combined with olaparib<sup>63</sup>. Preclinical studies of BRCA1/2 inactivation in T-cell acute lymphoblastic leukemia (T-ALL)<sup>64</sup>, ovarian carcinoma<sup>65</sup>, and TNBC<sup>66</sup> showing reduced cell viability and increased DNA damage during ATR treatment further support the sensitivity of BRCA1-deficient cells to ATR inhibitors. The WEE1 inhibitor adavosertib has been evaluated as a monotherapy and in combination with PARP-inhibitor, olaparib. In a Phase 2 study for patients with PARP-resistant ovarian cancer, the combination of olaparib and adavosertib elicited improved clinical benefit (ORR: 29%; DCR: 89%) compared to adavosertib alone (ORR: 23%; DCR: 63%); however, in the BRCA-mutated cohort, no significant difference in clinical benefit was observed between the combination (ORR: 19%) and monotherapy (ORR: 20%) treatments<sup>67</sup>. In a Phase 1 monotherapy trial of adavosertib that included 9 patients with BRCA1/2-mutated solid tumors, 2 patients with BRCA1-mutated cancers (1 with ovarian serous carcinoma and 1 with oral squamous cell carcinoma) achieved PRs, and a third patient with ovarian serous carcinoma harboring mutations in BRCA1 and TP53 experienced 14% tumor shrinkage prior to disease progression<sup>68</sup>.

— Nontargeted Approaches —

Inactivation of BRCA1 may also predict sensitivity to the DNA-damaging agents trabectedin and lurbinectedin<sup>69-78</sup>.

FREQUENCY & PROGNOSIS

BRCA1 mutation has been reported in <1% of hepatocellular carcinoma (HCC) cases analyzed<sup>79</sup>.

Loss of heterozygosity (LOH) of BRCA1 has been detected at very low levels in an early study of hepatocellular carcinomas (n=29)<sup>80</sup>. One study has shown that high mRNA expression of BRCA1 is associated with worse overall survival (OS) and progression free survival (PFS) in patients with HCC<sup>81</sup>; another study associated high levels of cytoplasmic BRCA1 with favorable OS<sup>82</sup>.

FINDING SUMMARY

The protein encoded by BRCA1 is involved in the maintenance of genomic stability, including DNA repair, cell cycle checkpoint, and chromosome segregation<sup>83</sup>. Alterations such as seen here may disrupt BRCA1 function or expression<sup>84-86</sup>.

POTENTIAL GERMLINE IMPLICATIONS

Inactivating germline mutations in BRCA1 or BRCA2 are associated with autosomal dominant hereditary breast and ovarian cancer<sup>87-88</sup>, and the lifetime risk of breast and ovarian cancer in BRCA1/2 mutation carriers has been estimated to be as high as 87% and 44%, respectively<sup>89</sup>. Elevated risk for other cancer types, including gastric, pancreatic, prostate, and colorectal, has also been identified, with an increase in risk ranging from 20 to 60%<sup>90</sup>. The estimated prevalence of deleterious germline BRCA1/2 mutations in the general population is between 1:400 and 1:800, with an approximately 10-fold higher prevalence in the Ashkenazi Jewish population<sup>89,91-96</sup>. In the appropriate clinical context, germline testing of BRCA1 is recommended.

ORDERED TEST # ORD-1419836-01

**GENOMIC FINDINGS**
**GENE**

# CHEK2

**ALTERATION**

R519\*

**TRANSCRIPT ID**

NM\_007194

**CODING SEQUENCE EFFECT**

1555C&gt;T

**POTENTIAL TREATMENT STRATEGIES**
**— Targeted Therapies —**

Limited clinical data indicate that CHEK2 inactivation may predict sensitivity to PARP inhibitors. Patients with CHEK2-altered prostate cancer have experienced clinical responses to PARP inhibitors<sup>42,97-98</sup>. Clinical benefit has been observed for patients with ovarian<sup>47</sup> and testicular<sup>99</sup> cancers treated with PARP inhibitors. In a study of patients with metastatic breast cancer, 8 patients with CHEK2 mutation did not respond to olaparib treatment<sup>100</sup>. One study of patients with breast cancer reported that carriers of the CHEK2 H371Y mutation have a higher likelihood of response to neoadjuvant chemotherapy<sup>101</sup>, whereas another study found that those who carry CHEK2 mutations have a lower frequency of objective clinical responses to neoadjuvant therapy<sup>102</sup>. A third study reported that the CHEK2 1100delC mutation is not associated with differential efficacy of chemotherapy and endocrine therapy in patients

with metastatic breast cancer<sup>103</sup>. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

**FREQUENCY & PROGNOSIS**

Somatic CHEK2 mutations have been reported in 0-3% of various solid tumors, with the highest incidence reported in endometrial, urothelial, and skin tumors (COSMIC, Jan 2022)<sup>10</sup>. In breast cancer, certain CHEK2 mutations are associated with higher grade and larger tumors as well as bilateral disease<sup>104</sup>. A study reported that a polymorphism in CHEK2 was associated with worse survival of patients with GBM, but this association lost significance after adjusting for other prognostic factors<sup>105-106</sup>. Another study in prostate cancer reported that CHEK2 expression is decreased in higher grade tumors and that CHEK2 is a tumor suppressor that decreases the growth of prostate cancer cells and regulates androgen receptor signaling<sup>107</sup>.

**FINDING SUMMARY**

CHEK2 encodes the protein checkpoint kinase 2, a serine/threonine kinase that plays an important role in the DNA-damage response; it is a putative tumor suppressor<sup>108-111</sup>. Although alterations such as seen here have not been fully characterized and are of unknown functional significance, similar alterations have been previously reported in the context of cancer, which may indicate biological relevance.

**POTENTIAL GERMLINE IMPLICATIONS**

One or more of the CHEK2 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with familial breast cancer (ClinVar, Mar 2022)<sup>112</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline CHEK2 mutation has been associated with cancer susceptibility of low to moderate penetrance, especially in hereditary breast cancer<sup>113</sup>. CHEK2 germline mutation has been identified in approximately 2.5% of familial or high-risk breast cancer cases<sup>114-115</sup>. Although heterozygous germline CHEK2 mutation increases breast cancer risk two- to three-fold, it is not associated with younger age at diagnosis<sup>115-116</sup>. In the appropriate clinical context, germline testing of CHEK2 is recommended.

**POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS**

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>117-122</sup>. Comprehensive genomic profiling of solid tumors may detect nontumor alterations that are due to CH<sup>121,123-124</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

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ORDERED TEST # ORD-1419836-01

GENOMIC FINDINGS

GENE

**FH**

ALTERATION

V435M

TRANSCRIPT ID

NM\_000143

CODING SEQUENCE EFFECT

1303G>A

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

A preclinical study showed that FH-deficient renal cancer cells are dependent on ABL1 activity and sensitive to the multikinase inhibitor vandetanib; treatment with vandetanib inhibited the growth and tumorigenicity of these cells in vitro and in vivo<sup>125</sup>. Tumors with FH loss or inactivation may therefore be sensitive to vandetanib, which is approved to treat medullary thyroid cancer and is in clinical trials in solid tumors. A Phase 2 trial of

bevacizumab and erlotinib reported overall response rate in 60% (12/20) of patients with hereditary leiomyomatosis and renal cell cancer, and 29% (6/21) of patients with sporadic papillary renal cell carcinoma<sup>126</sup>.

FREQUENCY & PROGNOSIS

FH mutations have been detected in several tumor types, with the highest incidences reported in tumors of the endometrium (2.6%), skin (2.3%), liver (1.7%), stomach (1.5%), and lung (1.4%)(COSMIC, Jan 2022)<sup>10</sup>. FH-deficient renal cell carcinoma (RCC) arises in about 20% of families affected by hereditary leiomyomatosis and renal cell cancer (HLRCC) and is associated with aggressive disease and poor prognosis<sup>127-129</sup>.

FINDING SUMMARY

FH encodes fumarate hydratase, an enzymatic component of the Krebs cycle. FH has been identified as a possible hypoxia inducible factor activating gene<sup>130</sup>. Loss-of-function germline mutations in FH are associated with hereditary

leiomyomatosis and renal cell cancer (HLRCC); tumors arising in FH mutation carriers often demonstrate FH biallelic inactivation<sup>127,129,131-132</sup>.

POTENTIAL GERMLINE IMPLICATIONS

FH germline inactivating alterations are associated with FH tumor predisposition syndrome, also known as hereditary leiomyomatosis and renal cell cancer (HLRCC), an autosomal-dominant syndrome characterized by cutaneous leiomyomata, uterine fibroids, and aggressive renal cell carcinoma (RCC)<sup>132</sup>. Pheochromocytoma and paraganglioma have also been described at lower frequency<sup>133-134</sup>. Whereas cutaneous leiomyomata appear at a mean age of 30 years, increasing in size and number with age, the age at diagnosis of uterine fibroids ranges from 18 to 53 years<sup>134-135</sup>. HLRCC has been associated with a 21% lifetime risk of RCC<sup>136</sup>. In the appropriate clinical context, germline testing of FH is recommended.

GENE

**SGK1**

ALTERATION

splice site 77-38\_152+68del182

TRANSCRIPT ID

NM\_005627

CODING SEQUENCE EFFECT

77-38\_152+68del182

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

The PI3K inhibitor LY294002 has been reported to block SGK1 activity in a preclinical study<sup>137</sup>.

Several SGK1 inhibitors<sup>138-143</sup> have been developed but are not suitable for clinical use. SGK1 and NDRG1 expression were reported to be increased in three PIK3CA-mutant breast cancer samples from patients who did not respond to treatment with the PI3K inhibitor BYL719 in combination with aromatase inhibitor<sup>139</sup>. Additionally, preclinical studies have reported that SGK1 expression confers resistance to BYL719 in breast cancer cells harboring PIK3CA activating mutations<sup>139</sup> and to the AKT inhibitors AZD5363 and MK2206 in breast cancer cells<sup>144</sup>.

FREQUENCY & PROGNOSIS

SGK1 mutation has been observed in 6-16% of diffuse large B-cell lymphomas<sup>145</sup>, 5/7 cases of

variant nodular lymphocyte predominant Hodgkin lymphoma (NLPHL), and 1/6 cases of typical NLPHL<sup>146</sup>. SGK1 amplification and mutation have rarely been observed in other tumor types (cBioPortal, COSMIC, Jan 2022)<sup>8-10</sup>. Increased SGK1 expression has been reported in lung squamous cell carcinoma<sup>147</sup>, endometrioid endometrial carcinoma<sup>148</sup>, glioblastoma<sup>149</sup>, and breast cancer<sup>150</sup>.

FINDING SUMMARY

SGK1 encodes serum/glucocorticoid regulated kinase 1, which activates ion channels in response to cellular stress. SGK1 can be activated by PI3K-mTORC2 signaling<sup>151</sup> and can in turn activate mTORC1<sup>139</sup>.

ORDERED TEST # ORD-1419836-01

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Niraparib

Assay findings association

**BRCA1**  
E1527fs\*21

### AREAS OF THERAPEUTIC USE

The PARP inhibitor niraparib is FDA approved to treat patients with epithelial ovarian, fallopian tube, or primary peritoneal cancer, with or without homologous recombination deficiency (HRD)-positive status. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

On the basis of clinical evidence in ovarian and breast cancers<sup>45-46,152</sup>, loss or inactivation of either BRCA1 or BRCA2 may confer sensitivity to PARP inhibitors such as niraparib.

### SUPPORTING DATA

Clinical data on the efficacy of niraparib for the treatment of liver cancer are limited (PubMed, Jan 2022). Niraparib has been primarily evaluated in the context of ovarian cancer. In a Phase 3 study of patients with platinum-

sensitive, recurrent ovarian cancer, niraparib significantly increased median PFS, as compared to placebo, in patients with germline BRCA mutations (21 vs. 5.5 months) and in patients without germline BRCA mutations (9.3 vs. 3.9 months) as well as in a subgroup of the patients without germline BRCA mutations with homologous recombination-deficient tumors (12.9 vs. 3.8 months)<sup>45</sup>. In a Phase 1 study of niraparib treatment for patients with solid tumors, 40% (8/20) of patients with ovarian cancer and BRCA mutations and 50% (2/4) of patients with breast cancer and BRCA mutations experienced a PR, and 43% (9/21) of patients with castration-resistant prostate cancer and 100% (2/2) of patients with non-small cell lung cancer achieved SD<sup>46</sup>. A Phase 1 study of the combination of niraparib and bevacizumab in patients with platinum-sensitive, high-grade ovarian cancer reported a DCR of 91% (10/11), with a response rate of 45% (5/11)<sup>153</sup>.

## Olaparib

Assay findings association

**BRCA1**  
E1527fs\*21

### AREAS OF THERAPEUTIC USE

The PARP inhibitor olaparib is FDA approved to treat patients with epithelial ovarian, fallopian tube, or primary peritoneal cancer, patients with deleterious or suspected deleterious gBRCA-mutated pancreatic adenocarcinoma or HER2-negative breast cancer, and patients with prostate cancer and mutations in homologous recombination repair genes. Olaparib is also approved in combination with bevacizumab to treat patients with ovarian, fallopian tube, or primary peritoneal cancer with deleterious or suspected deleterious somatic or gBRCA mutation and/or genomic instability. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

On the basis of extensive clinical evidence in ovarian cancer<sup>51-55</sup> as well as strong clinical evidence in multiple other cancer types<sup>41-43,51,54,58,154</sup>, loss or inactivation of either BRCA1 or BRCA2 may confer sensitivity to olaparib.

### SUPPORTING DATA

Clinical data on the efficacy of olaparib for the treatment of liver cancer are limited (PubMed, Jan 2022). Olaparib has been studied primarily for the treatment of ovarian cancer, with response rates often significantly higher for patients with BRCA mutations than for those without<sup>51,54</sup>; higher response rates have also been observed for

patients with platinum-sensitive versus platinum-resistant cancer<sup>53-54,56,155</sup>. As maintenance therapy for patients with newly diagnosed or platinum-sensitive relapsed ovarian cancer, olaparib has demonstrated significantly improved median PFS and median OS compared with placebo in the Phase 3 SOLO-1 study<sup>57</sup> and in multiple later-phase studies<sup>49-50,156-157</sup>. Phase 3 studies of olaparib for patients with BRCA-mutated metastatic breast<sup>44</sup> or pancreatic cancer<sup>58</sup> or for patients with metastatic castration-resistant prostate cancer and BRCA or ATM alterations<sup>158</sup> have also reported significantly longer median PFS compared with chemotherapy, placebo, or hormone therapy. Additionally, olaparib has demonstrated clinical activity for patients with other solid tumors harboring BRCA mutations, including leiomyosarcoma<sup>159</sup>, cholangiocarcinoma<sup>160</sup>, and bladder cancer<sup>161</sup> in smaller studies. Olaparib in combination with the AKT inhibitor capivasertib has demonstrated clinical benefit for patients with solid tumors; a Phase 1 trial reported a 45% (25/56) DCR, including 14 PRs and 11 SDs, and 14 of those experiencing clinical benefit had germline BRCA1/2 mutated-solid tumors<sup>162</sup>. In a Phase 2 study of olaparib plus pembrolizumab for advanced solid tumors, patients with BRCA1 or BRCA2 mutations achieved an ORR of 29% (6/21), whereas patients with mutations in other homologous recombination repair genes achieved an ORR of 6.3% (2/32)<sup>163</sup>.

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THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Rucaparib

Assay findings association

**BRCA1**  
E1527fs\*21

### AREAS OF THERAPEUTIC USE

The PARP inhibitor rucaparib is FDA approved to treat patients with metastatic castration-resistant prostate cancer (mCRPC) and deleterious somatic or germline BRCA mutations. Rucaparib is also approved as a maintenance treatment of patients with recurrent epithelial ovarian, Fallopian tube, or primary peritoneal cancer. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

On the basis of strong clinical evidence in ovarian cancer<sup>47-48,164</sup>, as well as clinical data in other cancer types<sup>48,165-166</sup>, loss or inactivation of either BRCA1 or BRCA2 may confer sensitivity to rucaparib.

### SUPPORTING DATA

Clinical data on the efficacy of rucaparib for the treatment of liver cancer are limited (PubMed, Jan 2022). Rucaparib has primarily been evaluated in the context of ovarian carcinoma, breast carcinoma, pancreatic carcinoma, and melanoma. In a Phase 2 study of rucaparib for recurrent, platinum-sensitive ovarian, peritoneal, or fallopian tube carcinoma, median PFS was significantly longer in patients with BRCA1/2 mutations (12.8 months) or high loss of heterozygosity (LOH; 5.7 months) compared to patients with low LOH (5.2 months). Objective responses were observed for 80% (32/40) of patients with BRCA1/2 mutations, for 29% (24/82) with high LOH, and for 10% (7/10) with low LOH<sup>47</sup>. In heavily pretreated patients

with a germline BRCA1/2 mutation who had received 2-4 prior chemotherapy treatments and had a progression free interval of greater than 6 months, 65% (17/26) of patients achieved an objective response with rucaparib treatment<sup>164</sup>. In a Phase 2 study evaluating rucaparib for patients with advanced breast or ovarian cancer and germline BRCA1/2 mutations, disease control was observed in 92% (12/13) of patients with ovarian cancer treated with oral rucaparib dosed continuously, but no objective responses were reported in breast cancer patients (n=23). However, 39% (9/23) of evaluable patients with breast cancer achieved SD lasting 12 weeks or more<sup>48</sup>. In a Phase 1 study of rucaparib treatment in patients with solid tumors, 3/4 patients with ovarian cancer and 1/1 patient with breast cancer given the recommended Phase 2 dose reported objective responses; all responders harbored BRCA1/2 mutations<sup>165</sup>. A Phase 2 study of rucaparib treatment for patients with relapsed pancreatic cancer reported 1/19 CR, 2/19 PR (one unconfirmed) and 4/19 SD. Of the 19 patients treated in the study, 15 (79%) had a BRCA2 mutation<sup>166</sup>. In a Phase 2 study of intravenous rucaparib in combination with temozolomide for patients with metastatic melanoma, 8/46 patients achieved a PR and 8/46 had SD<sup>167</sup>; a Phase 1 study reported 1 CR, 1 PR, and 4 SD lasting six months or longer in patients with metastatic melanoma<sup>168</sup>. A Phase 1 study of intravenous and oral rucaparib in combination with chemotherapy for the treatment of advanced solid tumors reported a disease control rate of 68.8% (53/77), including 1 CR and 9 PRs<sup>169</sup>.

## Talazoparib

Assay findings association

**BRCA1**  
E1527fs\*21

### AREAS OF THERAPEUTIC USE

The PARP inhibitor talazoparib is FDA approved to treat HER2-negative locally advanced or metastatic breast cancer with deleterious or suspected deleterious germline BRCA mutations. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

On the basis of strong clinical data in breast cancer<sup>170-172</sup> and additional clinical evidence in ovarian, pancreatic, and prostate cancer<sup>173-176</sup>, loss or inactivation of either BRCA1 or BRCA2 may confer sensitivity to talazoparib.

### SUPPORTING DATA

Clinical data on the efficacy of talazoparib for the treatment of liver cancer are limited (PubMed, Jan 2022). Talazoparib has been studied primarily in the context of

BRCA-mutated, HER2-negative breast cancer, where patients achieved significantly longer median PFS (8.6 vs. 5.6 months, HR=0.54), a higher ORR (62.6% vs. 27.2%), and improved quality of life on talazoparib compared with standard chemotherapy in a Phase 3 study<sup>171-172</sup>. In a Phase 2 study of talazoparib for BRCA1/2-wildtype patients with homologous recombination pathway alterations, the best outcome in non-breast tumors was SD ≥ 6 months for 2/7 patients who had colon cancer with germline ATM alteration or testicular cancer with germline CHEK2 and somatic ATM alteration<sup>99</sup>. Clinical activity of single-agent talazoparib has been observed in numerous other solid tumors, including responses in BRCA-mutated ovarian, pancreatic, prostate, and ampulla of Vater cancers; PALB2-mutated pancreatic and bladder cancers; ATM-mutated cholangiocarcinoma; and small cell lung cancer<sup>173-175,177</sup>.

**NOTE** Genomic alterations detected may be associated with activity of certain US FDA or other specific country approved therapies; however, the therapies listed in this report may have varied evidence in the patient's tumor type. The listed therapies are not ranked in order of potential or predicted efficacy for this patient or in order of level of evidence for this patient's tumor type. The therapies listed in this report may not be complete and/or exhaustive. Furthermore, the

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**THERAPIES WITH CLINICAL BENEFIT**
**IN OTHER TUMOR TYPE**

listed therapies are limited to US FDA approved pharmaceutical drug products that are linked to a specific genomic alteration. There may also be US FDA approved pharmaceutical drug products that are not linked to a genomic alteration. Further there may also exist pharmaceutical drug products that are not approved by the US FDA or other national authorities. There may also be other treatment modalities available than pharmaceutical drug products.

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**CLINICAL TRIALS**

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**IMPORTANT** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://clinicaltrials.gov). However, [clinicaltrials.gov](https://clinicaltrials.gov) does not list all clinical trials that might be available.

**GENE**
**BRCA1**
**RATIONALE**

BRCA1 loss or inactivating alterations may predict sensitivity to PARP inhibitors or ATR inhibitors.

**ALTERATION**

E1527fs\*21

**NCT04644068**
**PHASE 1/2**

Study of AZD5305 as Monotherapy and in Combination With Anti-cancer Agents in Patients With Advanced Solid Malignancies

**TARGETS**  
 ERBB2, TROP2, PARP

**LOCATIONS:** Shanghai (China), Seoul (Korea, Republic of), Chuo-ku (Japan), Koto-ku (Japan), Melbourne (Australia), Warszawa (Poland), Gdynia (Poland), Grzegorz (Poland), Budapest (Hungary), Brno (Czechia)

**NCT03239015**
**PHASE 2**

Efficacy and Safety of Targeted Precision Therapy in Refractory Tumor With Druggable Molecular Event

**TARGETS**  
 EGFR, ERBB2, ERBB4, PARP, mTOR, MET, RET, ROS1, VEGFRs, BRAF, CDK4, CDK6

**LOCATIONS:** Shanghai (China)

**NCT04123366**
**PHASE 2**

Study of Olaparib (MK-7339) in Combination With Pembrolizumab (MK-3475) in the Treatment of Homologous Recombination Repair Mutation (HRRm) and/or Homologous Recombination Deficiency (HRD)-Positive Advanced Cancer (MK-7339-007/KEYLYNK-007)

**TARGETS**  
 PARP, PD-1

**LOCATIONS:** Fukuoka (Japan), Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Okayama (Japan), Nagoya (Japan), Tokyo (Japan), Kashiwa (Japan), Sapporo (Japan), Nedlands (Australia), Southport (Australia)

**NCT03742895**
**PHASE 2**

Efficacy and Safety of Olaparib (MK-7339) in Participants With Previously Treated, Homologous Recombination Repair Mutation (HRRm) or Homologous Recombination Deficiency (HRD) Positive Advanced Cancer (MK-7339-002 / LYNK-002)

**TARGETS**  
 PARP

**LOCATIONS:** Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Nedlands (Australia), Port Macquarie (Australia), Darlinghurst (Australia), Adana (Turkey), Ankara (Turkey), Jerusalem (Israel), Konya (Turkey), Ramat Gan (Israel)

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**CLINICAL TRIALS**
**NCT02264678**
**PHASE 1/2**

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

**TARGETS**  
 ATR, PARP, PD-L1

**LOCATIONS:** Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), Manchester (United Kingdom), London (United Kingdom), Coventry (United Kingdom), Sutton (United Kingdom), Oxford (United Kingdom)

**NCT05035745**
**PHASE 1/2**

Selinexor &amp; Talazoparib in Advanced Refractory Solid Tumors; Advanced/Metastatic Triple Negative Breast Cancer (START)

**TARGETS**  
 XPO1, PARP

**LOCATIONS:** Singapore (Singapore)

**NCT03772561**
**PHASE 1**

Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies

**TARGETS**  
 PARP, AKTs, PD-L1

**LOCATIONS:** Singapore (Singapore)

**NCT04801966**
**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**  
 CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)

**NCT03297606**
**PHASE 2**

Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR)

**TARGETS**  
 VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, DDR2, KIT, EGFR, CTLA-4, PD-1, PARP, CDK4, CDK6, CSF1R, FLT3, RET, mTOR, ERBB2, BRAF, MEK, SMO

**LOCATIONS:** Vancouver (Canada), Kelowna (Canada), Edmonton (Canada), Saskatoon (Canada), Regina (Canada), Ottawa (Canada), Montreal (Canada), Toronto (Canada), Kingston (Canada), London (Canada)

**NCT03907969**
**PHASE 1/2**

A Clinical Trial to Evaluate AZD7648 Alone and in Combination With Other Anti-cancer Agents in Patients With Advanced Cancers

**TARGETS**  
 PARP, DNA-PK

**LOCATIONS:** Newcastle upon Tyne (United Kingdom), London (United Kingdom), Connecticut, Texas

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**CLINICAL TRIALS**
**GENE**
**CHEK2**
**ALTERATION**

R519\*

**RATIONALE**

On the basis of clinical evidence in prostate and other solid cancers, CHEK2 loss or inactivation may confer sensitivity to PARP inhibitors. It is not known whether these therapeutic approaches

would be relevant in the context of alterations that have not been fully characterized, as seen here.

**NCT04644068**
**PHASE 1/2**

Study of AZD5305 as Monotherapy and in Combination With Anti-cancer Agents in Patients With Advanced Solid Malignancies

**TARGETS**  
 ERBB2, TROP2, PARP

**LOCATIONS:** Shanghai (China), Seoul (Korea, Republic of), Chuo-ku (Japan), Koto-ku (Japan), Melbourne (Australia), Warszawa (Poland), Gdynia (Poland), Grzegorz (Poland), Budapest (Hungary), Brno (Czechia)

**NCT04123366**
**PHASE 2**

Study of Olaparib (MK-7339) in Combination With Pembrolizumab (MK-3475) in the Treatment of Homologous Recombination Repair Mutation (HRRm) and/or Homologous Recombination Deficiency (HRD)-Positive Advanced Cancer (MK-7339-007/KEYLYNK-007)

**TARGETS**  
 PARP, PD-1

**LOCATIONS:** Fukuoka (Japan), Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Okayama (Japan), Nagoya (Japan), Tokyo (Japan), Kashiwa (Japan), Sapporo (Japan), Nedlands (Australia), Southport (Australia)

**NCT03742895**
**PHASE 2**

Efficacy and Safety of Olaparib (MK-7339) in Participants With Previously Treated, Homologous Recombination Repair Mutation (HRRm) or Homologous Recombination Deficiency (HRD) Positive Advanced Cancer (MK-7339-002 / LYNK-002)

**TARGETS**  
 PARP

**LOCATIONS:** Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Nedlands (Australia), Port Macquarie (Australia), Darlinghurst (Australia), Adana (Turkey), Ankara (Turkey), Jerusalem (Israel), Konya (Turkey), Ramat Gan (Israel)

**NCT02264678**
**PHASE 1/2**

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

**TARGETS**  
 ATR, PARP, PD-L1

**LOCATIONS:** Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), Manchester (United Kingdom), London (United Kingdom), Coventry (United Kingdom), Sutton (United Kingdom), Oxford (United Kingdom)

**NCT05035745**
**PHASE 1/2**

Selinexor &amp; Talazoparib in Advanced Refractory Solid Tumors; Advanced/Metastatic Triple Negative Breast Cancer (START)

**TARGETS**  
 XPO1, PARP

**LOCATIONS:** Singapore (Singapore)

**NCT03772561**
**PHASE 1**

Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies

**TARGETS**  
 PARP, AKTs, PD-L1

**LOCATIONS:** Singapore (Singapore)

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**CLINICAL TRIALS**
**NCT04801966**
**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**

CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)

**NCT03297606**
**PHASE 2**

Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR)

**TARGETS**

VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, DDR2, KIT, EGFR, CTLA-4, PD-1, PARP, CDK4, CDK6, CSF1R, FLT3, RET, mTOR, ERBB2, BRAF, MEK, SMO

**LOCATIONS:** Vancouver (Canada), Kelowna (Canada), Edmonton (Canada), Saskatoon (Canada), Regina (Canada), Ottawa (Canada), Montreal (Canada), Toronto (Canada), Kingston (Canada), London (Canada)

**NCT04991480**
**PHASE 1/2**

A Study of ART4215 for the Treatment of Advanced or Metastatic Solid Tumors

**TARGETS**

PARP, Pol theta

**LOCATIONS:** London (United Kingdom), Oklahoma, New York, Tennessee, Texas, Florida

**NCT02484404**
**PHASE 1/2**

Phase I/II Study of the Anti-Programmed Death Ligand-1 Antibody MEDI4736 in Combination With Olaparib and/or Cediranib for Advanced Solid Tumors and Advanced or Recurrent Ovarian, Triple Negative Breast, Lung, Prostate and Colorectal Cancers

**TARGETS**

PARP, KIT, PDGFRA, PDGFRB, VEGFRs, PD-L1

**LOCATIONS:** Maryland

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**APPENDIX**
**Variants of Unknown Significance**

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**AR**

A48S

**CALR**

K368del

**EP300**

N1127T

**GNAS**

A259V

**IGF1R**

P842S

**KDM6A**

T837A

**REL**

N551S

**TSC2**

A1560T and H1726Y

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**APPENDIX**
**Genes assayed in FoundationOne®Liquid CDx**

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an \*); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

|                                 |  |   |  |   |  |  |                           |                            |
|---------------------------------|--|---|--|---|--|--|---------------------------|----------------------------|
| <b>ABL1</b><br>Exons 4-9        | ACVR1B                                       | <b>AKT1</b><br>Exon 3                   | AKT2   | AKT3  | <b>ALK</b><br>Exons 20-29, Introns 18, 19  | ALOX12B                                  | AMER1<br>(FAM123B or WTX) | <b>APC</b>                 |
| <b>AR</b>                       | <b>ARAF</b><br>Exons 4, 5, 7, 11, 13, 15, 16 | ARFRP1                                  | ARID1A   | ASXL1   | <b>ATM</b>                                 | <b>ATR</b>                               | ATRX                      | AURKA                      |
| AURKB                           | AXIN1  | AXL                                     | BAP1   | BARD1   | BCL2                                       | BCL2L1                                   | BCL2L2                    | BCL6                       |
| BCOR                            | BCORL1                                       | BCR*<br>Introns 8, 13, 14               | <b>BRAF</b><br>Exons 11-18, Introns 7-10                     | <b>BRCA1</b><br>Introns 2, 7, 8, 12, 16, 19, 20                                 | <b>BRCA2</b><br>Intron 2                   | BRD4                                     | BRIP1                     | BTG1                       |
| BTG2                            | <b>BTK</b><br>Exons 2, 15                    | CALR                                    | CARD11   | CASP8   | CBFB                                       | CBL                                      | <b>CCND1</b>              | CCND2                      |
| CCND3                           | CCNE1  | CD22                                    | CD70   | CD74*<br>Introns 6-8  | CD79A                                      | CD79B                                    | <b>CD274</b><br>(PD-L1)   | CDC73                      |
| <b>CDH1</b>                     | <b>CDK12</b>                                 | <b>CDK4</b>                             | <b>CDK6</b>  | CDK8  | CDKN1A                                     | CDKN1B                                   | <b>CDKN2A</b>             | CDKN2B                     |
| CDKN2C                          | CEBPA  | CHEK1                                   | <b>CHEK2</b>   | CIC   | CREBBP                                     | <b>CRKL</b>                              | CSF1R                     | CSF3R                      |
| CTCF                            | CTNNA1                                       | <b>CTNNB1</b><br>Exon 3                 | CUL3   | CUL4A   | CXCR4                                      | CYP17A1                                  | DAXX                      | DDR1                       |
| <b>DDR2</b><br>Exons 5, 17, 18  | DIS3   | DNMT3A                                  | DOT1L  | EED   | <b>EGFR</b><br>Introns 7, 15, 24-27        | EMSY<br>(C11orf30)                       | EP300                     | EPHA3                      |
| EPHB1                           | EPHB4  | <b>ERBB2</b>                            | <b>ERBB3</b><br>Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25 | ERBB4   | ERCC4                                      | ERG                                      | <b>ERRFI1</b>             | <b>ESR1</b><br>Exons 4-8   |
| ETV4*<br>Intron 8               | ETV5*<br>Introns 6, 7                        | <b>ETV6*</b><br>Introns 5, 6            | EWSR1*<br>Introns 7-13                                       | <b>EZH2</b><br>Exons 4, 16, 17, 18  | EZR*<br>Introns 9-11                       | FANCA                                    | FANCC                     | FANCG                      |
| FANCL                           | FAS  | FBXW7                                   | FGF10  | FGF12   | FGF14                                      | FGF19                                    | FGF23                     | FGF3                       |
| FGF4                            | FGF6   | <b>FGFR1</b><br>Introns 1, 5, Intron 17 | <b>FGFR2</b><br>Intron 1, Intron 17                          | <b>FGFR3</b><br>Exons 7, 9 (alternative designation exon 10), 14, 18, Intron 17 | FGFR4                                      | FH                                       | FLCN                      | FLT1                       |
| <b>FLT3</b><br>Exons 14, 15, 20 | <b>FOXL2</b>                                 | FUBP1                                   | GABRA6   | GATA3   | GATA4                                      | GATA6                                    | GID4<br>(C17orf39)        | <b>GNA11</b><br>Exons 4, 5 |
| GNA13                           | <b>GNAQ</b><br>Exons 4, 5                    | <b>GNAS</b><br>Exons 1, 8               | GRM3   | GSK3B   | H3-3A<br>(H3F3A)                           | HDAC1                                    | HGF                       | HNFI1A                     |
| <b>HRAS</b><br>Exons 2, 3       | HSD3B1                                       | ID3                                     | <b>IDH1</b><br>Exon 4  | <b>IDH2</b><br>Exon 4   | IGF1R                                      | IKBKE                                    | IKZF1                     | INPP4B                     |
| IRF2                            | IRF4   | IRS2                                    | JAK1   | <b>JAK2</b><br>Exon 14  | <b>JAK3</b><br>Exons 5, 11, 12, 13, 15, 16 | JUN                                      | KDMSA                     | KDMS5C                     |
| KDM6A                           | KDR  | KEAP1                                   | KEL  | <b>KIT</b><br>Exons 8, 9, 11, 12, 13, 17, Intron 16                             | KLHL6                                      | KMT2A<br>(MLL) Introns 6, 8-11, Intron 7 | KMT2D<br>(MLL2)           |                            |

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**APPENDIX**

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an \*); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

|  |   |                   |  |   |   |                              |                            |  |
|--|---|-------------------|--|---|---|------------------------------|----------------------------|--|
| <b>KRAS</b>  | LTK   | LYN               | MAF  | <b>MAP2K1</b><br>(MEK1) Exons 2, 3  | <b>MAP2K2</b><br>(MEK2) Exons 2-4, 6, 7 | MAP2K4                       | MAP3K1                     | MAP3K13  |
| MAPK1  | MCL1  | <b>MDM2</b>       | MDM4   | MED12   | MEF2B                                   | MEN1                         | MERTK                      | <b>MET</b>   |
| MITF   | MKNK1   | MLH1              | <b>MPL</b><br>Exon 10                                | MRE11<br>(MRE11A)   | MSH2<br>Intron 5                        | MSH3                         | MSH6                       | MST1R  |
| MTAP   | <b>MTOR</b><br>Exons 19, 30, 39, 40,<br>43-45, 47, 48, 53, 56 | MUTYH             | MYB*<br>Intron 14                                    | <b>MYC</b><br>Intron 1  | MYCL<br>(MYCL1)                         | <b>MYCN</b>                  | <b>MYD88</b><br>Exon 4     | NBN  |
| <b>NF1</b>   | NF2   | NFE2L2            | NFKBIA   | NKX2-1  | NOTCH1                                  | NOTCH2<br>Intron 26          | NOTCH3                     | <b>NPM1</b><br>Exons 4-6, 8, 10                    |
| <b>NRAS</b><br>Exons 2, 3                                    | NSD2<br>(WHSC1 or MMSET)                                      | NSD3<br>(WHSC1L1) | NTSC2  | <b>NTRK1</b><br>Exons 14, 15, Introns<br>8-11   | NTRK2<br>Intron 12                      | <b>NTRK3</b><br>Exons 16, 17 | NUTM1*<br>Intron 1         | P2RY8  |
| <b>PALB2</b>   | PARP1   | PARP2             | PARP3  | PAX5  | PBRM1                                   | PDCD1<br>(PD-1)              | <b>PDCD1LG2</b><br>(PD-L2) | <b>PDGFRA</b><br>Exons 12, 18, Introns 7,<br>9, 11 |
| <b>PDGFRB</b><br>Exons 12-21, 23                             | PDK1  | PIK3C2B           | PIK3C2G  | <b>PIK3CA</b><br>Exons 2, 3, 5-8, 10, 14,<br>19, 21 (Coding Exons 1,<br>2, 4-7, 9, 13, 18, 20)<br>PPP2R2A | PIK3CB                                  | PIK3R1                       | PIM1                       | PMS2   |
| POLD1  | POLE  | PPARG             | PPP2R1A  | PRDM1   | PRKAR1A                                 | PRKCI                        | PRKN<br>(PARK2)            |  |
| PTCH1  | <b>PTEN</b>   | <b>PTPN11</b>     | PTPRO  | QKI   | RAC1                                    | RAD21                        | RAD51                      | RAD51B   |
| RAD51C   | RAD51D  | RAD52             | RAD54L   | <b>RAF1</b><br>Exons 3, 4, 6, 7, 10, 14,<br>15, 17, Introns 4-8   | RARA<br>Intron 2                        | <b>RB1</b>                   | RBM10                      | REL  |
| <b>RET</b><br>Introns 7, 8, Exons 11,<br>13-16, Introns 9-11 | RICTOR  | RNF43             | <b>ROS1</b><br>Exons 31, 36-38, 40,<br>Introns 31-35 | RPTOR   | RSPO2*<br>Intron 1                      | SDC4*<br>Intron 2            | SDHA                       | SDHB   |
| SDHC   | SDHD  | SETD2             | SF3B1  | SGK1  | SLC34A2*<br>Intron 4                    | SMAD2                        | SMAD4                      | SMARCA4  |
| SMARCB1  | <b>SMO</b>  | SNCAIP            | SOC1   | SOX2  | SOX9                                    | SPEN                         | SPOP                       | SRC  |
| STAG2  | STAT3   | <b>STK11</b>      | SUFU   | SYK   | TBX3                                    | TEK                          | TENT5C<br>(FAM46C)         | TERC*<br>ncRNA                                     |
| <b>TERT*</b><br>Promoter                                     | TET2  | TGFB2             | TIPARP   | TMPSR2*<br>Introns 1-3  | TNFAIP3                                 | TNFRSF14                     | <b>TP53</b>                | TSC1   |
| TSC2   | TYRO3   | U2AF1             | <b>VEGFA</b>   | VHL   | WT1                                     | XPO1                         | XRCC2                      | ZNF217   |
| ZNF703   |   |                   |  |   |   |                              |                            |  |

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

Microsatellite (MS) status  
Blood Tumor Mutational Burden (bTMB)  
Tumor Fraction

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APPENDIX

About FoundationOne®Liquid CDx

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.



### ABOUT FOUNDATIONONE LIQUID CDx

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details.

### INTENDED USE

FoundationOne Liquid CDx is a next generation sequencing based *in vitro* diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

### TEST PRINCIPLES

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

### THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

### QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

### RANKING OF THERAPIES AND CLINICAL TRIALS

#### Ranking of Therapies in Summary Table

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

#### Ranking of Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

### LIMITATIONS

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
5. The test is not intended to provide information on cancer predisposition.
6. Performance has not been validated for cfDNA input below the specified minimum input.
7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of  $\geq 5\%$ , and bTMB is calculated based on variants with an allele frequency of  $\geq 0.5\%$ .
8. Tumor fraction is the percentage of circulating tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from the observed level of aneuploidy in the sample. Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected and is significantly distinct from that typically found in non-tumor samples.
9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited

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APPENDIX

About FoundationOne® Liquid CDx

to: *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, *TP53*, and *U2AF1*.

11. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

## REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

## VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to

distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

## VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

## NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) ([www.nccn.org](http://www.nccn.org)). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2022. All rights reserved. To view the most recent and complete version of the guidelines, go online to [NCCN.org](http://NCCN.org). NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

## LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

## NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

## NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

## TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

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APPENDIX

About FoundationOne®Liquid CDx

## SELECT ABBREVIATIONS

| ABBREVIATION | DEFINITION                  |
|--------------|-----------------------------|
| CR           | Complete response           |
| DCR          | Disease control rate        |
| DNMT         | DNA methyltransferase       |
| HR           | Hazard ratio                |
| ITD          | Internal tandem duplication |
| MMR          | Mismatch repair             |
| Muts/Mb      | Mutations per megabase      |
| NOS          | Not otherwise specified     |
| ORR          | Objective response rate     |
| OS           | Overall survival            |
| PD           | Progressive disease         |
| PFS          | Progression-free survival   |
| PR           | Partial response            |
| SD           | Stable disease              |
| TKI          | Tyrosine kinase inhibitor   |

## REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version 7.0.0

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**APPENDIX**
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