

ABOUT THE TEST FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

PATIENT	DISEASE Colon adenocarcinoma (CRC)	PHYSICIAN	ORDERING PHYSICIAN Yeh, Yi-Chen	SPECIMEN	SPECIMEN ID WCK 9/27/1962
	NAME Kao, Wen-Chuan		MEDICAL FACILITY Taipei Veterans General Hospital		SPECIMEN TYPE Blood
	DATE OF BIRTH 27 September 1962		ADDITIONAL RECIPIENT None		DATE OF COLLECTION 12 October 2022
	SEX Male		MEDICAL FACILITY ID 205872		SPECIMEN RECEIVED 17 October 2022
	MEDICAL RECORD # 44091692		PATHOLOGIST Not Provided		

Biomarker Findings

Blood Tumor Mutational Burden - 5 Muts/Mb
Microsatellite status - MSI-High Not Detected
Tumor Fraction - Elevated Tumor Fraction

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

KRAS G12D
APC E1353*
FBXW7 S86*
DNMT3A R882C
IKZF1 R450H
PTPRO V230I
RAD21 amplification - equivocal†
TP53 splice site 97-1G>T

† See About the Test in appendix for details.

Report Highlights

- Targeted therapies with **potential resistance** based on this patient's genomic findings: **Cetuximab** (p. 11), **Panitumumab** (p. 12)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 13)
- Variants that may represent **clonal hematopoiesis** and may originate from non-tumor sources: **DNMT3A** R882C (p. 8)

BIOMARKER FINDINGS

Blood Tumor Mutational Burden -
 5 Muts/Mb

Microsatellite status -
 MSI-High Not Detected

Tumor Fraction -
 Elevated Tumor Fraction

GENOMIC FINDINGS

KRAS - G12D 21.4%

10 Trials see p. 15



THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).


Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected. There is higher sensitivity for identifying genomic alterations and a lower risk of false negative results in specimens with elevated tumor fraction; the positive percent agreement observed between liquid and tissue for defined short variants is $\geq 90\%$ (Li et al., 2021; AACR Abstract 2231) (see Biomarker Findings section).

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)

Cetuximab 
Panitumumab 

THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)

None

 Extensive evidence showing variant(s) in this sample may confer resistance to this therapy

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 Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531
 Nimesh R. Patel, M.D., Laboratory Director CLIA: 34D2044309
 Foundation Medicine, Inc. | 1.888.988.3639

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 Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
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GENOMIC FINDINGS	VAF%	THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
APC - E1353*	31.8%	None	None
3 Trials see p. 13			
FBXW7 - S86*	9.4%	None	None
4 Trials see p. 14			

✖ Extensive evidence showing variant(s) in this sample may confer resistance to this therapy

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

DNMT3A - R882C p. 8

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

DNMT3A - R882C p. 8 **RAD21 - amplification - equivocal** p. 9
IKZF1 - R450H p. 8 **TP53 - splice site 97-1G>T** p. 10
PTPRO - V230I p. 9

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

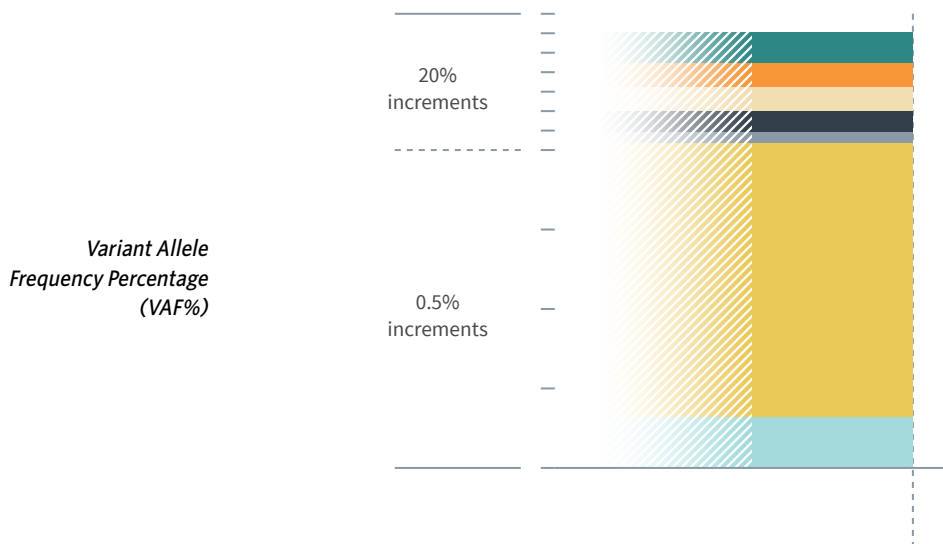
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ORDERED TEST # ORD-1479444-01



FoundationOne®Liquid CDx
24 Oct 2022

HISTORIC PATIENT FINDINGS

ORD-1479444-01
VAF%

Blood Tumor Mutational Burden

5 Muts/Mb

Microsatellite status

MSI-High Not Detected

Tumor Fraction

33%

KRAS

● G12D

21.4%

APC

● E1353*

31.8%

FBXW7

● S86*

9.4%

DNMT3A

● R882C

0.32%

IKZF1

● R450H

11.2%

PTPRO

● V230I

24.6%

RAD21

amplification

Detected

TP53

● splice site
97-1G>T

24.2%

NOTE This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx or FoundationOne®CDx tests. Up to five previous tests may be shown.

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable.

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Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

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BIOMARKER FINDINGS

BIOMARKER

Blood Tumor Mutational Burden

RESULT

5 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased blood tumor mutational burden (bTMB) may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1¹⁻³, anti-PD-1³⁻⁴, and anti-PD-1/CTLA4 therapies⁵⁻⁶. A Phase 2 multi-solid-tumor trial showed that bTMB ≥ 16 Muts/Mb (as measured by this assay) was associated with improved survival from treatment with a PD-1 inhibitor alone or in combination with a CTLA-4 inhibitor⁵. In non-small cell lung cancer (NSCLC), multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single-agent or combination treatments with either CTLA4 inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 Muts/Mb-16 Muts/Mb¹. In head and neck squamous cell carcinoma (HNSCC), a Phase 3 trial showed that

bTMB ≥ 16 Muts/Mb (approximate equivalency ≥ 8 Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor⁷.

FREQUENCY & PROGNOSIS

In 1 study, the median plasma TMB for 163 patients with metastatic CRC was 16.3 muts/Mb (approximately 8 muts/Mb as measured by this assay)⁸. The prognostic value of tumor mutational burden (TMB) in colorectal cancer (CRC) is context- and therapy-dependent. A study of tissue TMB (tTMB) in 145 CRC samples showed longer OS in TMB-high samples compared with TMB-low ones⁹. Similarly, for patients with metastatic CRC treated with first-line chemotherapy combined with bevacizumab or cetuximab, high tissue TMB (tTMB-H) was associated with longer OS¹⁰. For patients treated with adjuvant chemotherapy, tTMB-H was associated with better 5-year relapse-free survival¹¹. However, for patients with EGFR/BRAF-inhibitor-treated, BRAF-mutated microsatellite stable (MSS) metastatic CRC, intermediate tTMB was associated with significantly poorer PFS and OS compared with TMB-low status; patients with primary resistance to EGFR/BRAF blockage had higher TMB than those sensitive to these therapies¹². In a study for 61 patients with metastatic, MSS CRC treated with

best standard of care, plasma TMB scores ≥ 28 Muts/Mb (approximately 14 Muts/Mb as measured by this assay) were associated with reduced OS compared with plasma TMB scores < 28 Muts/Mb (3.0 vs. 5.3 months, HR=0.76, p=0.007), whereas tTMB was not found to be prognostic in this population¹³.

FINDING SUMMARY

Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma¹⁴⁻¹⁵ and cigarette smoke in lung cancer¹⁶⁻¹⁷, treatment with temozolomide-based chemotherapy in glioma¹⁸⁻¹⁹, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes²⁰⁻²⁴, and microsatellite instability (MSI)^{20,23-24}. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents¹⁻²⁴. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

Tumor Fraction

RESULT

Elevated Tumor Fraction

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Specimens with elevated tumor fraction have high circulating-tumor DNA (ctDNA) content, and thus high sensitivity for identifying genomic alterations. Such specimens are at low risk of false negative results. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not be overinterpreted or compared from one blood draw to another. There are currently no targeted approaches to address

specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management²⁵⁻³⁰.

FREQUENCY & PROGNOSIS

Detectable ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)³¹. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer³², Ewing sarcoma and osteosarcoma³³, prostate cancer²⁸, breast cancer³⁴, leiomyosarcoma³⁵, esophageal cancer³⁶, colorectal cancer³⁷, and gastrointestinal cancer³⁸.

FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 single-nucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content³⁹, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy⁴⁰⁻⁴¹.

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ORDERED TEST # ORD-1479444-01

GENOMIC FINDINGS

GENE

KRAS

ALTERATION

G12D

TRANSCRIPT ID

NM_004985.3

CODING SEQUENCE EFFECT

35G>A

VARIANT CHROMOSOMAL POSITION

chr12:25398284

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Preclinical evidence suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib⁴²⁻⁴⁷. However, multiple clinical trials have reported lack of efficacy of trametinib and other MEK inhibitors when used as monotherapy for treatment of patients with KRAS-mutant CRC⁴⁸⁻⁵². Both clinical⁵³⁻⁵⁴ and preclinical⁵⁵⁻⁵⁶ studies suggest that combinatorial approaches including MEK inhibitors are likely to be more effective for the treatment of CRC, including strategies such as combination of MEK inhibitors with PI3K inhibitors⁵⁴, RAF inhibitors⁵⁵, pan-ERBB inhibitors⁵⁶, or chemotherapeutic agents⁵³. In a Phase 1 study evaluating the MEK-pan-RAF dual inhibitor CH5126766, 6 patients harboring KRAS mutations experienced PRs, including 3 with

non-small cell lung cancer (NSCLC), 1 with low-grade serous ovarian carcinoma (LGSOC), 1 with endometrial adenocarcinoma, and 1 with multiple myeloma⁵⁷. Combination of CH5126766 with the FAK inhibitor defactinib elicited PR rates of 50% (4/8) for patients with KRAS-mutated low-grade serous ovarian cancer and 12% (2/17) for patients with KRAS-mutated non-small cell lung cancer (NSCLC) in a Phase 1 study⁵⁸⁻⁵⁹. Preclinical and clinical data suggest that KRAS mutations may predict clinical benefit from SHP2 inhibitors⁶⁰⁻⁶¹. A Phase 1 study of RMC-4630 for relapsed/refractory solid tumors reported a DCR of 58% (23/40) for patients with NSCLC and KRAS mutations and a DCR of 75% (12/16) for patients with NSCLC and KRAS G12C mutations⁶². Interim results from a Phase 1/2 study of RMC-4630 plus cobimetinib reported tumor reduction in 3 of 8 patients with KRAS-mutated colorectal cancer⁶³. Preclinical data suggest that KRAS mutation may confer sensitivity to SOS1 inhibitors⁶⁴⁻⁶⁵. Phase 1 studies of the SOS1 inhibitor BI 1701963 alone or in combination with MEK inhibitors, KRAS G12C inhibitors, or irinotecan are recruiting for patients with solid tumors harboring KRAS mutations⁶⁶⁻⁶⁷. Preclinical and limited clinical evidence suggest that KRAS mutation may predict sensitivity to PLK1 inhibitors⁶⁸. A Phase 1b/2 study of PLK1 inhibitor onvansertib in combination with FOLFIRI and bevacizumab for patients with KRAS-mutated metastatic CRC previously treated with chemotherapy reported an 87.5% (7/8; 3 PR, 4 SD) clinical benefit rate, with 1 patient going on to successful curative surgery⁶⁹.

— Potential Resistance —

Activating mutations in KRAS or NRAS are associated with lack of clinical benefit from cetuximab⁷⁰⁻⁷³ or panitumumab⁷⁴⁻⁷⁶ for patients with CRC. Therefore, activating mutations in either gene indicate against the use of cetuximab and panitumumab (NCCN Colon Cancer Guidelines, v1.2022).

FREQUENCY & PROGNOSIS

Mutations in KRAS have been reported in approximately 35-50% of colorectal cancers (CRCs)⁷⁷⁻⁸⁵. Numerous studies have reported that KRAS mutations are associated with increased metastasis, adverse clinicopathological features, and shorter survival of patients with CRC^{79-82,86-87}.

FINDING SUMMARY

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation^{43,88}. KRAS alterations affecting amino acids G12, G13, Q22, P34, A59, Q61, and A146, as well as mutations G10_A11insG, G10_A11insAG (also reported as G10_A11dup and G12_G13insAG), A18D, L19F, D33E, G60_A66dup/E62_A66dup, E62K, E63K, R68S, and K117N have been characterized as activating and oncogenic^{43,89-111}.

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ORDERED TEST # ORD-1479444-01

GENOMIC FINDINGS

GENE

APC

ALTERATION

E153*

TRANSCRIPT ID

NM_000038.4

CODING SEQUENCE EFFECT

4057G>T

VARIANT CHROMOSOMAL POSITION

chr5:112175348

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no approved drugs targeting APC inactivation in cancer. Loss of APC function leads to accumulation of beta-catenin and upregulation of WNT pathway transcription programs¹¹², and potential therapeutic approaches to target this pathway include CBP/beta-catenin antagonists, which interfere with the ability of beta-catenin to

interact with transcriptional co-activator CBP¹¹³⁻¹¹⁴. In a Phase 1 trial of the CBP/beta-catenin antagonist E7386, 1 patient with APC-mutated small bowel adenocarcinoma achieved a PR with tumor shrinkage of -69% and response duration of 165 days¹¹⁵; preclinical data support sensitivity of APC-deficient gastric or colorectal cancer models to E7386¹¹⁶⁻¹¹⁷.

FREQUENCY & PROGNOSIS

APC mutations have been found in 73% of tumors in the colorectal adenocarcinoma TCGA dataset²³. In 1 study, loss of heterozygosity (LOH) of APC was observed in 32% of colorectal cancer (CRC) samples¹¹⁸. The prognostic significance of APC mutations in sporadic CRC remains unclear¹¹⁹. Solid tumors with WNT/beta-catenin pathway alterations, as seen here, were observed to have significantly less T-cell inflammation in one study¹²⁰.

FINDING SUMMARY

APC (adenomatous polyposis coli) encodes a tumor

suppressor with critical roles in regulating cell division and adhesion. APC interacts with beta-catenin and controls signaling in the WNT pathway, which regulates embryonic development and cell differentiation¹²¹. Alterations such as seen here may disrupt APC function or expression¹²²⁻¹²⁶.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the APC variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with familial adenomatous polyposis (ClinVar, Sep 2022)¹²⁷. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in APC are found in more than 90% of patients with familial adenomatous polyposis (FAP)¹²⁸⁻¹³⁰. The prevalence for FAP in the general population is estimated to be 1:8,300 from birth¹³¹, and in the appropriate clinical context germline testing of APC is recommended.

GENE

FBXW7

ALTERATION

S86*

TRANSCRIPT ID

NM_033632.3

CODING SEQUENCE EFFECT

257C>A

VARIANT CHROMOSOMAL POSITION

chr4:153332699

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

FBXW7 inactivating alterations may indicate

sensitivity to mTOR inhibitors¹³²⁻¹³³. Case series reported objective responses for 2 patients with FBXW7-mutated cervical squamous cell carcinoma treated with everolimus¹³⁴.

— Potential Resistance —

Multiple clinical studies report that inhibitors of the PI3K-AKT-mTOR pathway have not produced significant clinical benefit as monotherapies to treat CRC, even for tumors that harbor alterations in PIK3CA or PTEN; data are more limited for alterations in other genes in this pathway¹³⁵⁻¹³⁷.

FREQUENCY & PROGNOSIS

Mutations in FBXW7 have been identified in up to 12% of colorectal adenocarcinomas^{23,138-139} and also in 4-7% of colorectal adenomas¹⁴⁰⁻¹⁴¹. Low FBXW7

mRNA levels are associated with poor patient prognosis, and FBXW7 inactivation in colorectal cancer has been associated with chromosomal instability^{140,142}.

FINDING SUMMARY

FBXW7 encodes the F-box protein subunit of the SCF ubiquitin ligase complex, which targets proteins for degradation¹⁴³. FBXW7 inactivation is associated with chromosomal instability and with stabilization of proto-oncogenes, such as mTOR, MYC, cyclin E, NOTCH, and JUN; FBXW7 is therefore considered a tumor suppressor¹⁴³⁻¹⁴⁴. Alterations such as seen here may disrupt FBXW7 function or expression¹⁴⁴⁻¹⁵¹.

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GENOMIC FINDINGS

GENE
DNMT3A

ALTERATION
R882C

TRANSCRIPT ID
NM_022552.3

CODING SEQUENCE EFFECT
2644C>T

VARIANT CHROMOSOMAL POSITION
chr2:25457243

POTENTIAL TREATMENT STRATEGIES
— Targeted Therapies —

There are no targeted therapies available to address genomic alterations in DNMT3A in solid tumors.

FREQUENCY & PROGNOSIS

DNMT3A alterations have been reported at relatively low frequencies in solid tumors and are more prevalent in hematological malignancies (cBioPortal, Feb 2022)¹⁵²⁻¹⁵³. Published data

investigating the prognostic implications of DNMT3A alterations in solid tumors are limited (PubMed, Feb 2022).

FINDING SUMMARY

The DNMT3A gene encodes the protein DNA methyltransferase 3A, an enzyme that is involved in the methylation of newly synthesized DNA, a function critical for gene regulation¹⁵⁴⁻¹⁵⁵. The role of DNMT3A in cancer is uncertain, as some reports describe increased expression and contribution to tumor growth, whereas others propose a role for DNMT3A as a tumor suppressor¹⁵⁶⁻¹⁶¹. Mutations at codon 882, including R882S, R882H, and R882C, have demonstrated reduced methyltransferase activity in vitro, with R882H and R882C conferring increased cell proliferation¹⁶²⁻¹⁶⁴. About half of all DNMT3A mutations in AML are R882H, which leads to a partially defective enzyme and altered oligomerization behavior, although the effect on global methylation remains to some extent controversial; in addition, at least one report suggests that mutation of R882 is associated with sensitivity to DNA methyltransferase inhibitors¹⁶²⁻¹⁶⁵. On the basis of this, any alteration

at R882 is likely to promote tumorigenesis, although the efficacy of DNMT inhibitors may not be consistent for all mutations.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion¹⁶⁶⁻¹⁷¹. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy¹⁶⁶⁻¹⁶⁷. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease¹⁷². Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{170,173-174}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

GENE
IKZF1

ALTERATION
R450H

TRANSCRIPT ID
NM_006060.3

CODING SEQUENCE EFFECT
1349G>A

VARIANT CHROMOSOMAL POSITION
chr7:50468114

POTENTIAL TREATMENT STRATEGIES
— Targeted Therapies —

There are no therapeutic options to directly target IKZF1 alterations. Preclinical studies have reported that the immunomodulatory therapy lenalidomide,

which is approved in certain hematological malignancies, causes degradation of Ikaros and Aiolos (encoded by IKZF3); degradation of these transcription factors has been shown to be necessary and sufficient for the activity of lenalidomide¹⁷⁵⁻¹⁷⁷. However, it is unknown whether this approach is relevant for solid tumors with IKZF1 alterations (PubMed, Jan 2022).

FREQUENCY & PROGNOSIS

IKZF1 alterations occur at a relatively low frequency in various solid tumor types, including cutaneous melanoma (up to 7%)¹⁷⁸⁻¹⁷⁹, lung adenocarcinoma (up to 7%)¹⁸⁰⁻¹⁸¹, uterine endometrioid carcinoma (5%)²⁰, stomach adenocarcinoma (4%)¹⁸²⁻¹⁸³, colorectal adenocarcinoma (2-3%)^{23,184}, and small cell lung cancer (up to 3%)¹⁸⁵⁻¹⁸⁶, but the functional and prognostic impact of IKZF1 alterations in solid

tumors has not been established¹⁸⁷⁻¹⁹⁰. IKZF1 alterations have been predominantly studied in the context of acute lymphoblastic leukemia (ALL) and have been found in 15% of pediatric B-cell ALL cases and more than 70% of BCR-ABL1-positive ALL cases¹⁹¹⁻¹⁹³. IKZF1 deletions have been associated with poor outcomes in ALL, including reduced overall survival and increased risk of recurrence¹⁹⁴⁻¹⁹⁷.

FINDING SUMMARY

IKZF1 encodes the Ikaros family zinc finger protein 1, a transcription factor and chromatin remodeling protein that is considered to be a tumor suppressor¹⁹⁸⁻¹⁹⁹. IKZF1 alterations that result in disruption or loss of the zinc-finger motifs (amino acids 117-514) are predicted to lead to a loss of Ikaros function¹⁹⁹⁻²⁰¹.

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ORDERED TEST # ORD-1479444-01

GENOMIC FINDINGS

GENE

PTPRO

ALTERATION

V230I

TRANSCRIPT ID

NM_030667.1

CODING SEQUENCE EFFECT

688G>A

VARIANT CHROMOSOMAL POSITION

chr12:15654580

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

No targeted therapies are available to address genomic alterations in PTPRO. In a preclinical study of breast cancer, PTPRO expression was suppressed by estrogen but increased by

tamoxifen; upregulation of PTPRO sensitized cells to this selective estrogen modulator²⁰². Low PTPRO expression has been implicated in resistance to cetuximab in patients with KRAS wild-type colorectal carcinoma²⁰³.

FREQUENCY & PROGNOSIS

In the TCGA datasets, PTPRO mutation has been reported at highest frequency in lung squamous cell carcinoma (SCC, 6.2%)²⁰⁴, uterine corpus endometrial carcinoma (UCEC, 5.4%)²⁰, and lung adenocarcinoma (3%)¹⁸⁰, whereas homozygous deletion was most frequently identified in cases of lung (3%)¹⁸⁰ or prostate (1.8%)²⁰⁵ adenocarcinoma. Hypermethylation of the PTPRO promoter is also observed in breast^{202,206-207}, hepatocellular²⁰⁸⁻²⁰⁹, colorectal²¹⁰, esophageal squamous cell²¹¹, and lung squamous cell carcinoma (SCC)²¹² as well as in some leukemias²¹³⁻²¹⁴. Promoter methylation significantly correlates with reduced PTPRO

transcript levels^{206-208,215-216} and is associated with poor prognosis in patients with lung SCC²¹² and breast cancer^{206,215,217}; in the context of the latter, epigenetic silencing of PTPRO is an independent predictor of shorter overall survival (OS) for patients with HER2-positive disease^{206,217}. Low PTPRO expression in breast cancer is also significantly associated with shorter OS and poor prognosis²¹⁵ and in lung SCC is an independent predictor of the latter²¹².

FINDING SUMMARY

PTPRO, also known as GLEPP1, encodes a protein tyrosine phosphatase that regulates podocyte function²¹⁸⁻²¹⁹. In the context of cancer, PTPRO is a tumor suppressor that attenuates signaling and tumorigenesis by multiple oncogenes, through dephosphorylation and/or endocytic downregulation of these substrates^{207,215-216,220}.

GENE

RAD21

ALTERATION

amplification - equivocal

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no therapies to target alterations in this gene.

FREQUENCY & PROGNOSIS

RAD21 amplifications have been reported in solid

tumors, including breast cancers (7%), melanoma (5.4%), and prostate (2.4%) cancers²²¹. RAD21 overexpression has been correlated with poor prognosis in endometrial cancer²²², breast cancer²²³⁻²²⁴, Ewing sarcoma²²⁵, and colorectal cancer (CRC), especially in KRAS-mutant CRC²²⁶.

FINDING SUMMARY

RAD21 encodes a protein involved in DNA double-strand break repair and sister chromatid cohesion as a part of the cohesin complex²²⁷⁻²³⁰. In preclinical studies, downregulation of RAD21 or other cohesin components leads to loss of expression from amplified genes, as well as amplifications themselves upon cell passaging²³¹,

but also leads to an increase in deletions, insertions, and other rearrangements²³². High RAD21 expression has also been associated with increased genomic instability²³³. Cohesin complex also organizes chromatin domains and regulates gene expression²³⁴⁻²³⁵. Both overexpression and reduction of expression of RAD21 has been reported to alter gene expression²³⁶. RAD21 amplification has been correlated with increased expression in breast^{223,233,237} and endometrial²²² cancers. Other RAD21 alterations, including truncating and point mutations, have been reported in the context of cancer, but the majority have not been characterized.

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ORDERED TEST # ORD-1479444-01

GENOMIC FINDINGS

GENE

TP53

ALTERATION

splice site 97-1G>T

TRANSCRIPT ID

NM_000546.4

CODING SEQUENCE EFFECT

97-1G>T

VARIANT CHROMOSOMAL POSITION

chr17:7579591

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib²³⁸⁻²⁴¹ or p53 gene therapy such as SGT53²⁴²⁻²⁴⁶. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype²⁴⁷. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 32% (30/94, 3 CR) ORR and a 73% (69/94) DCR for patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer²⁴⁸. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 43% (9/21, 1 CR) ORR and a 76% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer²⁴⁹. The combination of adavosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone²⁵⁰. In the Phase 2

VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib combined with paclitaxel²⁵¹. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71% (5/7) response rate for patients with TP53 alterations²⁵². The Phase 2 FOCUS4-C trial for patients with TP53- and RAS-mutated colorectal cancer reported improvement in PFS (3.61 vs. 1.87 months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring²⁵³. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage²⁴⁶. Missense mutations leading to TP53 inactivation may be sensitive to therapies that reactivate mutated p53 such as eprenetapopt. In a Phase 1b trial for patients with p53-positive high-grade serous ovarian cancer, eprenetapopt combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR²⁵⁴. A Phase 1 trial of eprenetapopt with pembrolizumab for patients with solid tumors reported an ORR of 10% (3/29)²⁵⁵.

FREQUENCY & PROGNOSIS

TP53 mutations have been reported in up to 75% of colorectal cancer cases^{23,256-261}. A study reported p53 expression in 49% of analyzed colorectal cancer cases²⁶². TP53 mutation has not been consistently demonstrated to be a significant independent prognostic marker in the context of CRC²⁶³.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers²⁶⁴. Alterations such as seen here may disrupt TP53 function or expression²⁶⁵⁻²⁶⁹.

POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers²⁷⁰⁻²⁷², including sarcomas²⁷³⁻²⁷⁴. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000²⁷⁵ to 1:20,000²⁷⁴. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30²⁷⁶. In the appropriate clinical context, germline testing of TP53 is recommended.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion¹⁶⁶⁻¹⁷¹. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy¹⁶⁶⁻¹⁶⁷. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease¹⁷². Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{170,173-174}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

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THERAPIES ASSOCIATED WITH RESISTANCE

IN PATIENT'S TUMOR TYPE

Cetuximab

✖ Resistance of variant(s) to associated therapy is likely

Assay findings association

KRAS
G12D

AREAS OF THERAPEUTIC USE

Cetuximab is a monoclonal antibody that targets EGFR. It is FDA approved for the treatment of head and neck squamous cell carcinoma (HNSCC) and KRAS-wild-type, EGFR-expressing metastatic colorectal cancer (CRC). Please see the drug label for full prescribing information.

GENE ASSOCIATION

Therapies targeting EGFR, including cetuximab, have been shown to have significant clinical activity for patients with CRC^{70-73,277-278}; wild-type KRAS and NRAS are predictive biomarkers for the efficacy of cetuximab in metastatic CRC (NCCN Colon Cancer Guidelines v1.2022). Activating mutations in either KRAS⁷⁰⁻⁷³ or NRAS^{261,279}, which function downstream of EGFR, are associated with lack of benefit of cetuximab for patients with CRC and indicate against the use of cetuximab (NCCN Guidelines v1.2022).

SUPPORTING DATA

Cetuximab has been shown to improve OS, PFS, and response rate for patients with KRAS-wildtype colorectal cancer (CRC), both in combination with FOLFIRI, FOLFOX₄, or irinotecan^{70-71,277-278,280} and as monotherapy for chemotherapy-refractory patients^{73,281}. The Phase 3 study STRATEGIC-1 reported a similar duration of disease control (DDC) for patients with unresectable

metastatic CRC (mCRC) and KRAS-, NRAS-, and BRAF-wildtype status treated with mFOLFOX-bevacizumab alternated with a cetuximab regimen in first or second line, respectively (overall DDC 22.5 vs. 23.5 months); in addition, the study reported similar OS (37.8 vs. 34.4 months) and higher numerical ORR for patients treated with cetuximab in the first line followed by mFOLFOX-bevacizumab compared with those receiving EGFR-directed antibodies in the second or third line²⁸². A prospective study of cetuximab monotherapy for patients with KRAS-, NRAS-, and BRAF-wildtype mCRC reported 11% (2/19) PRs and 58% (11/19) SDs²⁸³. The Phase 2 AVETUX trial of cetuximab combined with avelumab and mFOLFOX6 for patients with RAS- and BRAF-wildtype mCRC resulted in an ORR of 81% (4 CR and 27 PRs, n=37) and a DCR of 89%²⁸⁴. In the Phase 3 ASPECCT study, panitumumab was found to be non-inferior to cetuximab with respect to median OS (10.4 vs. 10.0 months, HR=0.97) for patients with previously treated KRAS exon 2 wildtype metastatic colorectal cancer; median PFS was also similar between the two treatment groups (4.4 vs. 4.1 months, HR=1.00)²⁸⁵. In a similar patient population, a Phase 2 study of combination panitumumab and irinotecan versus combination cetuximab and irinotecan also demonstrated non-inferiority with respect to median PFS (5.4 vs. 4.3 months, HR = 0.64) and median OS (14.9 vs. 11.5 months, HR=0.66)²⁸⁶.

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THERAPIES ASSOCIATED WITH RESISTANCE

IN PATIENT'S TUMOR TYPE

Panitumumab

✖ Resistance of variant(s) to associated therapy is likely

Assay findings association

KRAS
G12D

AREAS OF THERAPEUTIC USE

Panitumumab is a monoclonal antibody that targets EGFR. It is FDA approved to treat KRAS wild-type and NRAS wild-type metastatic colorectal cancer (CRC) combined with chemotherapy or as monotherapy for patients who have progressed on prior chemotherapy. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Therapies targeting EGFR, including panitumumab, have been shown to have significant clinical activity for patients with CRC^{74,285,287}; wild-type KRAS and NRAS are predictive biomarkers for the efficacy of panitumumab in metastatic CRC (NCCN Colon Cancer Guidelines v1.2022). Activating mutations in either KRAS⁷⁴⁻⁷⁶ or NRAS^{75,259}, which function downstream of EGFR, are associated with lack of benefit of panitumumab for patients with CRC and indicate against the use of panitumumab (NCCN Colon Cancer Guidelines v1.2022, NCCN Rectal Cancer Guidelines v1.2022).

SUPPORTING DATA

Panitumumab has been shown to improve OS, PFS, and ORR for patients with KRAS-wildtype colorectal cancer (CRC), both in combination with FOLFOX₄, FOLFIRI, irinotecan, or best supportive care^{74,288-291}, and as

monotherapy for chemotherapy-refractory patients^{259,285,287}. The Phase 3 PARADIGM trial comparing panitumumab plus mFOLFOX₆ versus bevacizumab plus mFOLFOX₆ as first-line treatment for patients with RAS-wildtype left-sided metastatic CRC demonstrated that treatment with panitumumab significantly improved median OS (mOS; 36.2 months vs. 31.3 months) compared with bevacizumab²⁹². A Phase 2 trial reported that, for patients with unresectable RAS-wildtype colorectal adenocarcinoma treated with panitumumab plus FOLFOX₄, maintenance with a combination of panitumumab plus fluorouracil and leucovorin was superior to panitumumab monotherapy (10-month PFS OF 59% vs. 49%)²⁹³. In the Phase 3 ASPECCT study, panitumumab was found to be non-inferior to cetuximab with respect to median OS (10.4 vs. 10.0 months, HR=0.97) for patients with previously treated KRAS exon 2 wildtype metastatic colorectal cancer; median PFS was also similar between the two treatment groups (4.4 vs. 4.1 months, HR=1.00)²⁸⁵. In a similar patient population, a Phase 2 study of combination panitumumab and irinotecan versus combination cetuximab and irinotecan also demonstrated non-inferiority with respect to median PFS (5.4 vs. 4.3 months, HR = 0.64) and median OS (14.9 vs. 11.5 months, HR=0.66)²⁸⁶.

NOTE Genomic alterations detected may be associated with activity of certain US FDA or other specific country approved therapies; however, the therapies listed in this report may have varied evidence in the patient's tumor type. The listed therapies are not ranked in order of potential or predicted efficacy for this patient or in order of level of evidence for this patient's tumor type. The therapies listed in this report may not be complete and/or exhaustive. Furthermore, the listed therapies are limited to US FDA approved pharmaceutical drug products that are linked to a specific genomic alteration. There may also be US FDA approved pharmaceutical drug products that are not linked to a genomic alteration. Further there may also exist pharmaceutical drug products that are not approved by the US FDA or other national authorities. There may also be other treatment modalities available than pharmaceutical drug products.

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CLINICAL TRIALS

IMPORTANT Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. However, clinicaltrials.gov does not list all clinical trials that might be available.

GENE
APC
ALTERATION
E1353*

RATIONALE

Based on preclinical and limited clinical data, APC inactivation may be associated with sensitivity to

CBP/beta-catenin interaction inhibitors.

NCT05091346
PHASE 1/2

A Study of E7386 in Combination With Pembrolizumab in Previously Treated Participants With Selected Solid Tumors

TARGETS

CBP, Beta-catenin, PD-1

LOCATIONS: Osaka (Japan), Tokyo (Japan), Chiba-shi (Japan), Kashiwa (Japan), California

NCT04008797
PHASE 1

A Study of E7386 in Combination With Other Anticancer Drug in Participants With Solid Tumor

TARGETS

CBP, Beta-catenin, FGFRs, RET, PDGFRA, VEGFRs, KIT

LOCATIONS: Osakasayama (Japan), Chuo-Ku (Japan), Chiba (Japan), Kashiwa (Japan)

NCT03264664
PHASE 1

Study of E7386 in Participants With Selected Advanced Neoplasms

TARGETS

CBP, Beta-catenin

LOCATIONS: Glasgow (United Kingdom), Manchester (United Kingdom), Sutton (United Kingdom)

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CLINICAL TRIALS
GENE
FBXW7
ALTERATION
S86*
RATIONALE

Loss or inactivation of FBXW7 may lead to increased mTOR activation and may predict sensitivity to mTOR inhibitors. Several clinical studies have shown that inhibitors of the PI3K-AKT-mTOR pathway have not produced

significant clinical benefit when used as a monotherapy in patients with colorectal cancer; combination therapies may be required to overcome this lack of response.

NCT04337463
PHASE NULL

ATG-008 Combined With Toripalimab in Advanced Solid Tumors

TARGETS
mTORC1, mTORC2, PD-1

LOCATIONS: Chongqing (China), Chengdu (China)

NCT04803318
PHASE 2

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

TARGETS
mTOR, FGFRs, RET, PDGFRA, VEGFRs, KIT, MEK

LOCATIONS: Guangzhou (China)

NCT01582191
PHASE 1

A Phase 1 Trial of Vandetanib (a Multi-kinase Inhibitor of EGFR, VEGFR and RET Inhibitor) in Combination With Everolimus (an mTOR Inhibitor) in Advanced Cancer

TARGETS
mTOR, EGFR, SRC, RET, VEGFRs

LOCATIONS: Texas

NCT03203525
PHASE 1

Combination Chemotherapy and Bevacizumab With the NovoTTF-100L(P) System in Treating Participants With Advanced, Recurrent, or Refractory Hepatic Metastatic Cancer

TARGETS
VEGFA, mTOR

LOCATIONS: Texas

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CLINICAL TRIALS
GENE
KRAS
ALTERATION
G12D
RATIONALE

KRAS activating mutations or amplification may predict sensitivity to inhibitors of MAPK pathway components, including MEK inhibitors. KRAS mutation may predict sensitivity to PLK1 inhibitors. Limited clinical and preclinical studies indicate KRAS mutations may predict sensitivity

to MEK-pan-RAF dual inhibitors. Multiple clinical studies have reported lack of efficacy of MEK inhibitors as monotherapy for treatment of KRAS-mutant colorectal cancer; combination therapies may be more effective.

NCT04803318
PHASE 2

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

TARGETS

mTOR, FGFRs, RET, PDGFRA, VEGFRs, KIT, MEK

LOCATIONS: Guangzhou (China)

NCT03284502
PHASE 1

Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors

TARGETS

MEK, RAFs

LOCATIONS: Hwasun (Korea, Republic of), Pusan (Korea, Republic of), Seongnam (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of)

NCT04303403
PHASE 1

Study of Trametinib and Ruxolitinib in Colorectal Cancer and Pancreatic Adenocarcinoma

TARGETS

JAK2, JAK1, MEK

LOCATIONS: Singapore (Singapore)

NCT04870034
PHASE NULL

Binimetinib and Palbociclib Before Surgery for the Treatment of Operable KRAS-Positive Lung, Colorectal, or Pancreatic Cancer

TARGETS

MEK, CDK4, CDK6

LOCATIONS: New York

NCT04801966
PHASE NULL

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

TARGETS

CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

LOCATIONS: Melbourne (Australia)

NCT03905148
PHASE 1/2

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

TARGETS

RAFs, EGFR, MEK

LOCATIONS: Nedlands (Australia), Blacktown (Australia), Randwick (Australia), Melbourne (Australia), California, Texas

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CLINICAL TRIALS
NCT04551521
PHASE 2
CRAFT: The NCT-PMO-1602 Phase II Trial

TARGETS
PD-L1, AKTs, MEK, BRAF, ALK, RET, ERBB2

LOCATIONS: Würzburg (Germany), Mainz (Germany), Heidelberg (Germany), Tübingen (Germany)

NCT04720976
PHASE 1/2
JAB-3312 Activity in Adult Patients With Advanced Solid Tumors

TARGETS
MEK, SHP2, PD-1, EGFR, KRAS

LOCATIONS: Utah

NCT04965818
PHASE 1/2
Phase 1b/2 Study of Futibatinib in Combination With Binimetinib in Patients With Advanced KRAS Mutant Cancer

TARGETS
MEK, FGFRs

LOCATIONS: California, Indiana, Texas

NCT03829410
PHASE 1/2
Onvansertib in Combination With FOLFIRI and Bevacizumab for Second Line Treatment of Metastatic Colorectal Cancer Patients With a Kras Mutation

TARGETS
PLK1, VEGFA

LOCATIONS: California, Arizona, Minnesota, Kansas, Arkansas, Virginia, Florida

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APPENDIX
Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

ARID1A

M50V

BRCA2

I1298_T1301del

CHEK1

Q411R

DAXX

E457del

ETV6

rearrangement

FBXW7

H540R

FLT1

E614*

NOTCH3

G1347R

RAD51D

A52V

SRC

rearrangement

TEK

S570L

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Electronically signed by Naomi Lynn Ferguson, M.D. | 24 October 2022
 Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531
 Nimesh R. Patel, M.D., Laboratory Director CLIA: 34D2044309
 Foundation Medicine, Inc. | 1.888.988.3639

Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 • CLIA: 22D2027531
 Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 • CLIA: 22D2027531
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ORDERED TEST # ORD-1479444-01

APPENDIX

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

ABL1 Exons 4-9	<i>ACVR1B</i>	AKT1 Exon 3	<i>AKT2</i>	<i>AKT3</i>	ALK Exons 20-29, Introns 18, 19	<i>ALOX12B</i>	<i>AMER1</i> (FAM123B or WTX)	APC
AR	ARAF Exons 4, 5, 7, 11, 13, 15, 16	<i>ARFRP1</i>	<i>ARID1A</i>	<i>ASXL1</i>	ATM	ATR	<i>ATRX</i>	<i>AURKA</i>
<i>AURKB</i>	<i>AXIN1</i>	<i>AXL</i>	<i>BAP1</i>	<i>BARD1</i>	<i>BCL2</i>	<i>BCL2L1</i>	<i>BCL2L2</i>	<i>BCL6</i>
<i>BCOR</i>	<i>BCORL1</i>	<i>BCR*</i> Introns 8, 13, 14	BRAF Exons 11-18, Introns 7-10	BRCA1 Introns 2, 7, 8, 12, 16, 19, 20	BRCA2 Intron 2	<i>BRD4</i>	<i>BRIP1</i>	<i>BTG1</i>
<i>BTG2</i>	BTB Exons 2, 15	<i>CALR</i>	<i>CARD11</i>	<i>CASP8</i>	<i>CBFB</i>	<i>CBL</i>	CCND1	<i>CCND2</i>
<i>CCND3</i>	<i>CCNE1</i>	<i>CD22</i>	<i>CD70</i>	<i>CD74*</i> Introns 6-8	<i>CD79A</i>	<i>CD79B</i>	CD274 (PD-L1)	<i>CDC73</i>
CDH1	CDK12	CDK4	CDK6	<i>CDK8</i>	<i>CDKN1A</i>	<i>CDKN1B</i>	CDKN2A	<i>CDKN2B</i>
<i>CDKN2C</i>	<i>CEBPA</i>	<i>CHEK1</i>	CHEK2	<i>CIC</i>	<i>CREBBP</i>	CRKL	<i>CSF1R</i>	<i>CSF3R</i>
<i>CTCF</i>	<i>CTNNA1</i>	CTNNB1 Exon 3	<i>CUL3</i>	<i>CUL4A</i>	<i>CXCR4</i>	<i>CYP17A1</i>	<i>DAXX</i>	<i>DDR1</i>
DDR2 Exons 5, 17, 18	<i>DIS3</i>	<i>DNMT3A</i>	<i>DOT1L</i>	<i>EED</i>	EGFR Introns 7, 15, 24-27	<i>EMSY</i> (C11orf30)	<i>EP300</i>	<i>EPHA3</i>
<i>EPHB1</i>	<i>EPHB4</i>	ERBB2	ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	<i>ERBB4</i>	<i>ERCC4</i>	<i>ERG</i>	ERRF1	ESR1 Exons 4-8
<i>ETV4*</i> Intron 8	<i>ETV5*</i> Introns 6, 7	ETV6* Introns 5, 6	<i>EWSR1*</i> Introns 7-13	EZH2 Exons 4, 16, 17, 18	<i>EZR*</i> Introns 9-11	<i>FANCA</i>	<i>FANCC</i>	<i>FANCG</i>
<i>FANCL</i>	<i>FAS</i>	<i>FBXW7</i>	<i>FGF10</i>	<i>FGF12</i>	<i>FGF14</i>	<i>FGF19</i>	<i>FGF23</i>	<i>FGF3</i>
<i>FGF4</i>	<i>FGF6</i>	FGFR1 Introns 1, 5, Intron 17	FGFR2 Intron 1, Intron 17	FGFR3 Exons 7, 9 (alternative designation exon 10), 14, 18, Intron 17	<i>FGFR4</i>	<i>FH</i>	<i>FLCN</i>	<i>FLT1</i>
FLT3 Exons 14, 15, 20	FOXL2	<i>FUBP1</i>	<i>GABRA6</i>	<i>GATA3</i>	<i>GATA4</i>	<i>GATA6</i>	<i>GID4</i> (C17orf39)	GNA11 Exons 4, 5
<i>GNA13</i>	GNAQ Exons 4, 5	GNAS Exons 1, 8	<i>GRM3</i>	<i>GSK3B</i>	<i>H3-3A</i> (H3F3A)	<i>HDAC1</i>	<i>HGF</i>	<i>HNFI1A</i>
HRAS Exons 2, 3	<i>HSD3B1</i>	<i>ID3</i>	IDH1 Exon 4	IDH2 Exon 4	<i>IGF1R</i>	<i>IKBKE</i>	<i>IKZF1</i>	<i>INPP4B</i>
<i>IRF2</i>	<i>IRF4</i>	<i>IRS2</i>	<i>JAK1</i>	JAK2 Exon 14	JAK3 Exons 5, 11, 12, 13, 15, 16	<i>JUN</i>	<i>KDM5A</i>	<i>KDM5C</i>
<i>KDM6A</i>	<i>KDR</i>	<i>KEAP1</i>	<i>KEL</i>	KIT Exons 8, 9, 11, 12, 13, 17, Intron 16	<i>KLHL6</i>	<i>KMT2A</i> (MLL) Introns 6, 8-11, Intron 7	<i>KMT2D</i> (MLL2)	KRAS

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LTK	LYN	MAF	MAP2K1 (MEK1) Exons 2, 3	MAP2K2 (MEK2) Exons 2-4, 6, 7	MAP2K4	MAP3K1	MAP3K13	MAPK1
MCL1	MDM2	MDM4	MED12	MEF2B	MEN1	MERTK	MET	MITF
MKNK1	MLH1	MPL Exon 10	MRE11 (MRE11A)	MSH2 Intron 5	MSH3	MSH6	MST1R	MTAP
MTOR Exons 19, 30, 39, 40, 43-45, 47, 48, 53, 56	MUTYH	MYB* Intron 14	MYC Intron 1	MYCL (MYCL1)	MYCN	MYD88 Exon 4	NBN	NF1
NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2 Intron 26	NOTCH3	NPM1 Exons 4-6, 8, 10	NRAS Exons 2, 3
NSD2 (WHSC1 or MMSET)	NSD3 (WHSC1L1)	NT5C2	NTRK1 Exons 14, 15, Introns 8-11	NTRK2 Intron 12	NTRK3 Exons 16, 17	NUTM1* Intron 1	P2RY8	PALB2
PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA Exons 12, 18, Introns 7, 9, 11	PDGFRB Exons 12-21, 23 9, 11
PDK1	PIK3C2B	PIK3C2G	PIK3CA Exons 2, 3, 5-8, 10, 14, 19, 21 (Coding Exons 1, 2, 4-7, 9, 13, 18, 20)	PIK3CB	PIK3R1	PIM1	PMS2	POLD1
POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PRKN (PARK2)	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1 Exons 3, 4, 6, 7, 10, 14, 15, 17, Introns 4-8	RARA Intron 2	RB1	RBM10	REL	RET Introns 7, 8, Exons 11, 13-16, Introns 9-11
RICTOR	RNF43	ROS1 Exons 31, 36-38, 40, Introns 31-35	RPTOR	RSP02* Intron 1	SDC4* Intron 2	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SLC34A2* Intron 4	SMAD2	SMAD4	SMARCA4	SMARCB1
SMO	SNCAIP	SOCS1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2
STAT3	STK11	SUFU	SYK	TBX3	TEK	TENT5C (FAM46C)	TERC* ncRNA	TERT* Promoter
TET2	TGFBR2	TIPARP	TMPRSS2* Introns 1-3	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2
TYRO3	U2AF1	VEGFA	VHL	WT1	XPO1	XRCC2	ZNF217	ZNF703

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Microsatellite (MS) status
Blood Tumor Mutational Burden (bTMB)
Tumor Fraction

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Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531
Nimesh R. Patel, M.D., Laboratory Director CLIA: 34D2044309
Foundation Medicine, Inc. | 1.888.988.3639

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APPENDIX

About FoundationOne® Liquid CDx

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Ciplastraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.



ABOUT FOUNDATIONONE LIQUID CDx

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details.

INTENDED USE

FoundationOne Liquid CDx is a next generation sequencing based *in vitro* diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

TEST PRINCIPLES

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

RANKING OF THERAPIES AND CLINICAL TRIALS

Ranking of Therapies in Summary Table

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

LIMITATIONS

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
5. The test is not intended to provide information on cancer predisposition.
6. Performance has not been validated for cfDNA input below the specified minimum input.
7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.
8. Tumor fraction is the percentage of circulating tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from the observed level of aneuploidy in the sample. Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected and is significantly distinct from that typically found in non-tumor samples.
9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited to: *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *JAK2*,

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About FoundationOne® Liquid CDx

KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, TP53, and U2AF1.

11. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's

tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1, ATM, CBL, CHEK2, DNMT3A, IDH2, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, and U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2022. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of

disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

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About FoundationOne®Liquid CDx

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version (RG) 7.2.0

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