# ACTOnco® + Report

PATIENT	
Identifier: 蕭一宗	Patient ID: 1761940
Date of Birth: Jul 14, 1961	Gender: Male
Diagnosis: Adenocarcinoma	
ORDERING PHYSICIAN	
Name: 陳志學醫師	Tel: 886-228712121
Facility: 臺北榮總	
Address: 臺北市北投區石牌路二段 201 號	
SPECIMEN	
Specimen ID: S11203170A Collection site: Duodenum	Type: FFPE tissue
Date received: Feb 03, 2023 Lab ID: AA-23-00680	D/ID: NA

#### ABOUT ACTORCO®+

The test is a next-generation sequencing (NGS)-based assay developed for efficient and comprehensive genomic profiling of cancers. This test interrogates coding regions of 440 genes associated with cancer treatment, prognosis and diagnosis. Genetic mutations detected by this test include small-scale mutations like single nucleotide variants (SNVs), small insertions and deletions (InDels) (≤ 15 nucleotides) and large-scale genomic alterations like copy number alterations (CNAs). The test also includes an RNA test, detecting fusion transcripts of 13 genes.

# SUMMARY FOR ACTIONABLE VARIANTS VARIANTS/BIOMARKERS WITH EVIDENCE OF CLINICAL SIGNIFICANCE

Genomic	Probable Effects in F	Probable Sensitive in Other	
Alterations/Biomarkers	Sensitive Resistant		Cancer Types
Not detected			

#### VARIANTS/BIOMARKERS WITH POTENTIAL CLINICAL SIGNIFICANCE

Genomic Alterations/Biomarkers	Possibly Sensitive	Possibly Resistant
CDKN2A E69*	Abemaciclib, Palbociclib, Ribociclib	-
KRAS G12R	-	Afatinib, Dacomitinib, Erlotinib, Gefitinib, Osimertinib, Cetuximab, Panitumumab

### Note:

- The above summary tables present genomic variants and biomarkers based on the three-tiered approach proposed by US FDA for reporting tumor profiling NGS testing. "Variants/biomarkers with evidence of clinical significance" refers to mutations that are widely recognized as standard-of-care biomarkers (FDA level 2/AMP tier 1). "Variants/biomarkers with potential clinical significance" refers to mutations that are not included in the standard of care but are informational for clinicians, which are commonly biomarkers used as inclusion criterial for clinical trials (FDA level 3/AMP tier 2).
- The therapeutic agents and possible effects to a given drug are based on mapping the variants/biomarkers with ACT Genomics clinical knowledge database. The mapping results only provide information for reference, but not medical recommendation.
- Please refer to corresponding sections for more detailed information about genomic alteration and clinical relevance listed above.





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### **TESTING RESULTS**

### **VARIANT(S) WITH CLINICAL RELEVANCE**

### - Single Nucleotide and Small InDel Variants

Gene	Amino Acid Change	Allele Frequency
CDKN2A	E69*	37.8%
KRAS	G12R	48.2%
RNF43	Y89fs	41.9%
TP53	R175H	45.3%

#### - Copy Number Alterations

Chromosome	Gene	Variation	Copy Number
Chr10	PTEN	Heterozygous deletion	1
Chr11	ATM, CHEK1, MRE11	Heterozygous deletion	1
Chr22	CHEK2, NF2	Heterozygous deletion	1
Chr7	KMT2C	Heterozygous deletion	1

#### - Fusions

Fusion Gene & Exon	Transcript ID
	No fusion gene detected in this sample

#### - Immune Checkpoint Inhibitor (ICI) Related Biomarkers

Biomarker	Results
Tumor Mutational Burden (TMB)	1.9 muts/Mb
Microsatellite Instability (MSI)	Microsatellite stable (MSS)

#### Note:

- Variant(s) enlisted in the SNV table may currently exhibit no relevance to treatment response prediction. Please refer to INTERPRETATION for more biological information and/or potential clinical impacts of the variants.
- Loss of heterozygosity (LOH) information was used to infer tumor cellularity. Copy number alteration in the tumor was determined based on 39% tumor purity.
- For more therapeutic agents which are possibly respond to heterozygous deletion of genes listed above, please refer to APPENDIX for more information.
- TMB was calculated by using the sequenced regions of ACTOnco®+ to estimate the number of somatic nonsynonymous mutations per megabase of all protein-coding genes (whole exome). The threshold for high mutation load is set at ≥ 7.5 mutations per megabase. TMB, microsatellite status and gene copy number deletion cannot be determined if calculated tumor purity is < 30%.





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# **ACTOnco® + Report**

### THERAPEUTIC IMPLICATIONS

#### **TARGETED THERAPIES**

Genomic Alterations	Therapies	Effect
Level 3A		
KRAS G12R Afatinib, Dacomitinib, Erlotinib, Gefitinib, Osimertinib, Cetuximab, Panitumumab resistant		resistant
Level 3B		
<b>CDKN2A</b> E69*	Abemaciclib, Palbociclib, Ribociclib	sensitive

Therapies associated with benefit or lack of benefit are based on biomarkers detected in this tumor and published evidence in professional guidelines or peer-reviewed journals.

Level	Description
1	FDA-recognized biomarkers predictive of response or resistance to FDA approved drugs in this indication
2	Standard care biomarkers (recommended by the NCCN guideline) predictive of response or resistance to FDA approved drugs in this indication
зА	Biomarkers predictive of response or resistance to therapies approved by the FDA or NCCN guideline in a different cancer type
3B	Biomarkers that serve as inclusion criteria for clinical trials (minimal supportive data required)
4	Biomarkers that show plausible therapeutic significance based on small studies, few case reports, or preclinical studies





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### **IMMUNE CHECKPOINT INHIBITORS (ICIs)**

No genomic alterations detected to confer sensitivity or lack of benefit to immune checkpoint therapies.

#### - Other Biomarkers with Potential Clinical Effects for ICIs

Genomic Alterations	Potential Clinical Effects
	Not detected

Note: Tumor non-genomic factors, such as patient germline genetics, PDL1 expression, tumor microenvironment, epigenetic alterations or other factors not provided by this test may affect ICI response.

#### **CHEMOTHERAPIES**

Genomic Alterations	Therapies	Effect	Level of Evidence	Cancer Type
TP53	Platinum- and taxane-	Less sensitive	Clinical	Overien concer
R175H	based regimens	Less sensitive	Cililicai	Ovarian cancer

#### **HORMONAL THERAPIES**

No genomic alterations detected in this tumor predicted to confer sensitivity or lack of benefit to hormonal therapies.

#### **OTHERS**

No genomic alterations detected in this tumor predicted to confer sensitivity or lack of benefit to other therapies.

#### Note:

Therapeutic implications provided in the test are based solely on the panel of 440 genes sequenced. Therefore, alterations in genes not covered in this panel, epigenetic and post-transcriptional and post-translational factors may also determine a patient's response to therapies. In addition, several other patient-associated clinical factors, including but not limited to, prior lines of therapies received, dosage and combinations with other therapeutic agents, patient's cancer types, sub-types, and/or stages, may also determine the patient's clinical response to therapies.





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### VARIANT INTERPRETATION

### **CDKN2A** E69\*

#### **Biological Impact**

The Cyclin-Dependent Kinase Inhibitor 2A (CDKN2A) gene encodes the p16 (p16INK4a) and p14 (ARF) proteins. p16INK4a binds to CDK4 and CDK6, inhibiting these CDKs from binding D-type cyclins and phosphorylating the retinoblastoma (RB) protein whereas p14 (ARF) blocks the oncogenic activity of MDM2 by inhibiting MDM2-induced degradation of p53<sup>[1][2][3]</sup>. CDKN2A has been reported as a haploinsufficient tumor suppressor with one copy loss that may lead to weak protein expression and is insufficient to execute its original physiological functions<sup>[4]</sup>. Loss of CDKN2A has been frequently found in human tumors that result in uncontrolled cell proliferation<sup>[5][6]</sup>.

E69\* mutation results in a premature truncation of the CDKN2A protein at amino acid 69 (UniProtKB). This mutation is predicted to lead to a loss of CDKN2A function, despite not having characterized in the literature.

#### Therapeutic and prognostic relevance

Intact p16-Cdk4-Rb axis is known to be associated with sensitivity to cyclin-dependent kinase inhibitors[7][8]. Several case reports also revealed that patients with CDKN2A-deleted tumors respond to the CDK4/6-specific inhibitor treatments[9][10][11]. However, there are clinical studies that demonstrated CDKN2A nuclear expression, CDKN2A/CDKN2B co-deletion, or CDKN2A inactivating mutation was not associated with clinical benefit from CDK4/6 inhibitors, such as palbociclib and ribociclib, in RB-positive patients[12][13][14]. However, CDKN2A loss or mutation has been determined as an inclusion criterion for the trial evaluating CDK4/6 inhibitors efficacy in different types of solid tumors (NCT02693535, NCT02187783).

The phase II TAPUR trial demonstrated clinical benefits to palbociclib monotherapy in advanced NSCLC or head and neck cancer harboring a CDKN2A mutation or copy number loss. However, pancreatic and biliary cancer patients harboring a CDKN2A mutation or copy number loss did not demonstrate an objective response or stable disease when treated with palbociclib monotherapy for 16 weeks (DOI: 10.1200/JCO.2021.39.15 suppl.6043)[15][16].

Notably, the addition of several CDK4/6 inhibitors to hormone therapies, including palbociclib in combination with letrozole, ribociclib plus letrozole, and abemaciclib combines with fulvestrant, have been approved by the U.S. FDA for the treatment of ER+ and HER2- breast cancer[8][17][18].

In a Phase I trial, a KRAS wild-type squamous non-small cell lung cancer (NSCLC) patient with CDKN2A loss had a partial response when treated with CDK4/6 inhibitor abemaciclib[10]. Administration of combined palbociclib and MEK inhibitor PD-0325901 yield promising progression-free survival among patients with KRAS mutant non-small cell lung cancer (NSCLC) (AACR 2017, Abstract CT046). Moreover, MEK inhibitor in combination with CDK4/6 inhibitor demonstrates significant anti-KRAS-mutant NSCLC activity and radiosensitizing effect in preclinical models[19].

A retrospective analysis demonstrated that concurrent deletion of CDKN2A with EGFR mutation in patients with nonsmall cell lung cancer (NSCLC), predicts worse overall survival after EGFR-TKI treatment[20].

#### KRAS G12R

### **Biological Impact**

The V-Ki-Ras2 Kirsten Rat Sarcoma 2 Viral Oncogene Homolog (KRAS) gene encodes a small GTPase protein, a member of the RAS family of small GTPases, which catalyze the hydrolysis of GTP to GDP. RAS proteins cycle between an active (GTP-bound) and an inactive (GDP-bound) state, to activate the downstream oncogenic pathways, including the PI3K/AKT/mTOR and MAPK pathways[21]. KRAS mutations occur primarily in three hotspots G12, G13 and Q61, and less frequently in codon A146[21][22]. These are activating mutations that lead to constitutive activation and persistent stimulation of the downstream signaling pathways<sup>[23][24]</sup>.





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Mutations in KRAS have been reported in a diverse spectrum of human malignancies, including pancreatic carcinomas (>80%)<sup>[21][25]</sup>, colon carcinomas (40-50%)<sup>[26][27]</sup>, and lung carcinomas (30-50%)<sup>[28][29]</sup>, but are also present in biliary tract malignancies, endometrial cancer, cervical cancer, bladder cancer, liver cancer, myeloid leukemia and breast cancer<sup>[22]</sup>.

KRAS G12R is a hotspot mutation that lies within a GTP binding region of the KRAS protein (UniProtKB). G12R results in decreased KRAS GTPase activity and increased activation of downstream signaling in vitro[30][31].

#### Therapeutic and prognostic relevance

Except for KRAS G12C, other KRAS mutants are not currently targetable, but the downstream MEK serves as a potential target<sup>[32]</sup>. MEK inhibitors trametinib, cobimetinib, and binimetinib were approved by the U.S. FDA for patients with advanced metastatic melanoma whose tumors harbor BRAF V600 mutations<sup>[33][34][35][36]</sup>.

There are case reports indicated that patients harboring a KRAS mutation may benefit from MEK inhibitor treatment. A patient with small cell neuroendocrine carcinoma (SCNEC) of the cervix harboring a KRAS G12D mutation showed significant response with trametinib<sup>[37]</sup>. Another low-grade serous carcinoma case with KRAS G12D also has sustained response to trametinib (Am J Clin Exp Obstet Gynecol 2015;2(3):140-143). In addition, a low-grade serous ovarian cancer patient harboring KRAS G12V mutation showed stable disease after 8 weeks of binimetinib treatment, and demonstrated a partial response after another 26 weeks of treatment<sup>[38]</sup>. However, trametinib did not demonstrate superiority to docetaxel in KRAS-mutant non-small cell lung cancer (NSCLC) patients, based on results from a randomized Phase II study<sup>[39]</sup>.

Both clinical and preclinical studies demonstrated a limited response to monotherapy using MEK inhibitors<sup>[40]</sup>. Moreover, several clinical trials are in progress to evaluate the combination of MEK and mTOR inhibition as a new potential therapeutic strategy in CRC<sup>[41]</sup>, and in patient-derived xenografts of RAS-mutant CRC, inhibition of MEK and mTOR suppressed tumor growth, but not tumor regression<sup>[42]</sup>. A study using the CRC patient-derived xenograft (PDX) model showed that the combination of trametinib, a MEK inhibitor, and palbociclib, a CDK4/6 inhibitor, was well tolerated and resulted in objective responses in all KRAS mutant models<sup>[43]</sup>.

KRAS mutation has been determined as an inclusion criterion for the trials evaluating MEK inhibitors efficacies in various types of solid tumors (NCT03704688, NCT02399943, NCT02285439, NCT03637491, NCT04214418).

Cetuximab and panitumumab are two EGFR-specific antibodies approved by the U.S. FDA for patients with KRAS wild-type metastatic colorectal cancer (NCT00154102, NCT00079066, NCT01412957, NCT00364013). Results from the PRIME and FIRE-3 trials indicated that panitumumab and cetuximab did not benefit patients with KRAS or NRAS mutations and may even have a detrimental effect in these patients<sup>[44]</sup>. Taken together, the National Comprehensive Cancer Network (NCCN) recommended that, cetuximab and panitumumab should only be used if both KRAS and NRAS genes are normal (NCCN guidelines)<sup>[45][46]</sup>. Numerous studies have demonstrated the presence of KRAS or NRAS mutations at exon 2, 3 or 4 as a predictor of resistance to anti-EGFR therapies<sup>[47][48][49][50][51][52][53]</sup>.

Sorafenib, a multi-kinase inhibitor, has been shown to be beneficial in KRAS-mutant CRC<sup>[54]</sup>, KRAS-mutant NSCLC<sup>[55]</sup>, and KRAS-amplified melanoma<sup>[56]</sup>.

There has been conflicting data on the effect of KRAS mutation on the efficacy of bevacizumab in metastatic CRC patients(J Clin Oncol 34, 2016 (suppl; abstr 3525))<sup>[57][58]</sup>.

In NCCN guidelines for NSCLC, KRAS mutations have been suggested as an emerging biomarker for EGFR TKIs in NSCLC patients. KRAS mutations are associated with a lack of efficacy of EGFR TKIs, including erlotinib, gefitinib, afatinib, and osimertinib, in NSCLC patients<sup>[59][60][61]</sup>.





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Studies have shown that KRAS mutation, especially those occurs in exon 2 (codon 12 or 13) and codon 61 indicated a poor prognosis for patients with CRC<sup>[62]</sup>.

In low-grade serous carcinoma of the ovary or peritoneum, patients with KRAS or BRAF mutations (n=21) had a significantly better OS than those with wild-type KRAS or BRAF (n=58) (106.7 months vs 66.8 months), respectively<sup>[63]</sup>. In ovarian serous borderline tumor with recurrent low-grade serous carcinoma, patient harboring KRAS G12V mutation appeared to have shorter survival time<sup>[64]</sup>.

In 242 patients with unresectable pancreatic cancer, the presence of KRAS G12R (N=17) or G12D (N=92) was associated with poorer prognosis (overall survival HR 1.6; 95% confidence interval 1.11-2.28)<sup>[65]</sup>.

In a Phase II trial, a patient with Erdheim-Chester disease harboring KRAS G12R and ARAF P216A achieved a complete response to cobimetinib treatment<sup>[66]</sup>. An exploratory study demonstrated that pancreatic cancer patients with KRAS G12R mutation had better response to the combination of gemcitabine and cobimetinib than patients with KRAS G12D and G12V. One of six patients with KRAS G12R had partial response and five had stable disease and median PFS was 6 months<sup>[67]</sup>.

#### **RNF43 Y89fs**

#### **Biological Impact**

Ring finger protein 43 (RNF43) gene encodes a transmembrane E3 ubiquitin ligase that inhibits Wnt signaling pathway by downregulation of Frizzled receptor<sup>[68][69][70]</sup>. Loss-of-function mutations of RNF43 have been reported in ovarian, colorectal, endometrial, gastric, cholangiocarcinoma, and pancreatic cancers<sup>[71][72][73][74][75][76][77]</sup>. In colorectal cancer, mutations of RNF43 is mutually exclusive with APC truncation mutations<sup>[72]</sup>.

Y89fs mutation results in a change in the amino acid sequence beginning at 89, likely to cause premature truncation of the functional RNF43 protein (UniProtKB). This mutation is predicted to lead to a loss of RNF43 protein function, despite not being characterized in the literature.

#### Therapeutic and prognostic relevance

Loss-of-function mutations of RNF43 was reported to correlate with higher recurrence rate in colorectal cancer<sup>[78]</sup>. Lower expression of RNF43 has been reported to associate with shorter overall survival in gastric cancer<sup>[79][80]</sup> and intrahepatic cholangiocarcinoma<sup>[81]</sup>.

#### **TP53** R175H

### **Biological Impact**

TP53 encodes the p53 protein, a crucial tumor suppressor that orchestrates essential cellular processes including cell cycle arrest, senescence and apoptosis<sup>[82]</sup>. TP53 is a proto-typical haploinsufficient gene, such that loss of a single copy of TP53 can result in tumor formation<sup>[83]</sup>.

The R175H is a hotspot mutation lies within the DNA binding domain of p53 and can be detected in various human cancers<sup>[84]</sup>. This is a gain-of-function (GOF) mutant losing the wild-type tumor suppressor activity and with acquired new oncogenic activities that capable of contributing to malignant progression<sup>[85]</sup>. Increased expression of TP53 R175H in endometrial cancer cells has been shown to increase the invasive phenotypes by activation of the EGFR/PI3K/AKT pathway<sup>[86]</sup>.





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#### Therapeutic and prognostic relevance

Despite having a high mutation rate in cancers, there are currently no approved targeted therapies for TP53 mutations. A phase II trial demonstrated that Wee1 inhibitor (AZD1775) in combination with carboplatin was well tolerated and showed promising anti-tumor activity in TP53-mutated ovarian cancer refractory or resistant (< 3 months) to standard first-line therapy (NCT01164995)<sup>[87]</sup>.

In a retrospective study (n=19), advanced sarcoma patients with TP53 loss-of-function mutations displayed improved progression-free survival (208 days versus 136 days) relative to patients with wild-type TP53 when treated with pazopanib<sup>[88]</sup>. Results from another Phase I trial of advanced solid tumors (n=78) demonstrated that TP53 hotspot mutations are associated with better clinical response to the combination of pazopanib and vorinostat<sup>[89]</sup>.

Advanced solid tumor and colorectal cancer patients harboring a TP53 mutation have been shown to be more sensitive to bevacizumab when compared with patients harboring wild-type TP53<sup>[90][91][92]</sup>. In a pilot trial (n=21), TP53-negative breast cancer patients demonstrated increased survival following treatment with bevacizumab in combination with chemotherapy agents, Adriamycin (doxorubicin) and Taxotere (docetaxel)<sup>[93]</sup>. TP53 mutations were correlated with poor survival of advanced breast cancer patients receiving tamoxifen or primary chemotherapy<sup>[94][95]</sup>. In a retrospective study of non-small cell lung cancer (NSCLC), TP53 mutations were associated with high expression of VEGF-A, the primary target of bevacizumab, offering a mechanistic explanation for why patients exhibit improved outcomes after bevacizumab treatment when their tumors harbor mutant TP53 versus wild-type TP53<sup>[96]</sup>.

TP53 oncomorphic mutations, including P151S, Y163C, R175H, L194R, Y220C, R248Q, R248W, R273C, R273H, R273L, and R282W have been shown to predict resistance to platinum- and taxane-based chemotherapy in advanced serous ovarian carcinoma patients<sup>[97]</sup>.

#### **ATM Heterozygous deletion**

#### **Biological Impact**

The ataxia-telangiectasia mutated protein kinase (ATM) gene encodes a PI3K-related serine/threonine protein kinase involved in genomic integrity maintenance and plays central roles in DNA double-strand break (DSB) repair, which can be induced by ionizing radiation, chemotherapy drugs, or oxidative stress<sup>[98]</sup>. ATM is a well-characterized tumor suppressor gene, hereditary mutations and haploinsufficiency of ATM result in markedly increased susceptibility to a variety of cancer types<sup>[99][100][101][102][103]</sup>. Results from a case-cohort study of colorectal cancer and cancer-free control individuals suggested that germline pathogenic mutations in ATM and PALB2 should be added to established CRC risk genes as part of standard tumor genetic testing panels<sup>[104]</sup>. ATM is among the most commonly aberrant genes in sporadic cancers. Somatic ATM aberrations are frequently observed in hematologic malignancies<sup>[105][106][107][108]</sup> and a board range of tumors such as prostate cancer<sup>[109]</sup>, head and neck squamous cell carcinoma (HNSCC)<sup>[110]</sup>, pancreatic cancer<sup>[111]</sup>, lung adenocarcinoma<sup>[112]</sup>, breast cancer<sup>[113]</sup>, and ovarian cancer<sup>[100]</sup>.

#### Therapeutic and prognostic relevance

In May 2020, the U.S. FDA approved olaparib for the treatment of adult patients with metastatic castration-resistant prostate cancer (mCRPC) who carry mutations in homologous recombination repair (HRR) genes, including BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D, RAD54L, and progressed following prior treatment with enzalutamide or abiraterone acetate (NCT02987543)<sup>[114]</sup>.

In a phase II trial (TOPARP-A; NCT01682772), 3 out of 4 metastatic prostate cancer patients harboring only ATM inactivating mutations responded to olaparib treatment<sup>[115]</sup>. Also, the phase II TOPARP-B trial (NCT01682772) demonstrated that olaparib treatment resulted in a RECIST 1.1 or PSA50 response rate of 10.5% (2/12) and a composite overall response rate of 36.8% (7/19) in prostate cancer patients harboring deleterious ATM mutations<sup>[116]</sup>.





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In another randomized, double-blind phase II trial in Asian patients with metastatic gastric cancer has shown that addition of olaparib to paclitaxel significantly increased the OS in both the overall population and patients with low or undetectable ATM protein expression (NCT01063517)<sup>[117]</sup>. However, in the subsequent phase III trial (GOLD; NCT01924533), addition of olaparib to paclitaxel did not significantly improve OS in the overall or the ATM-negative population of Asian gastric cancer patients<sup>[118]</sup>. Besides, in a phase II trial (TBCRC 048; NCT03344965), 7 metastatic breast cancer patients harboring only ATM mutations were not responded to olaparib treatment (SD: n=2, PD: n=5)<sup>[119]</sup>.In a phase II trial (TRITON2; NCT02952534), 49 mCRPC patients harboring ATM alteration had limited response to rucaparib treatment. The radiographic response rate was 10.5 % (n=2/19 evaluable patients), the prostate-specific antigen response rate was 4.1% (n=2/49), and the 6-month clinical benefit rate was 28.6% (n=12/42)<sup>[120]</sup>.

In preclinical studies, cells with ATM alternation were sensitive to olaparib, niraparib, and talazoparib treatment in vitro and in vivo<sup>[121][122][123][124]</sup>.

In addition, ATM has been determined as an inclusion criterion for the trials evaluating olaparib efficacy in breast cancer (NCT04053322) and advanced solid tumors (NCT03297606), rucaparib efficacy in ovarian cancer (NCT01968213)<sup>[125]</sup> and prostate cancer (NCT02952534, NCT03533946)<sup>[120]</sup>, niraparib efficacy in pancreatic cancer (NCT03553004, NCT03601923), prostate cancer (NCT02854436), melanoma (NCT03925350), metastatic esophageal/gastroesophageal junction (GEJ)/proximal gastric adenocarcinoma (NCT03840967), and any malignancy, except prostate (NCT03207347), and talazoparib efficacy in advanced or metastatic cancer (NCT02286687), HER2-negative solid tumors (NCT02401347), prostate cancer (NCT03148795), and lung cancer (NCT03377556), respectively.

Also, a prospective study in muscle-invasive bladder cancer patients suggested that genomic alternations in the DNA repair genes ATMs, RB1 and FANCC could be recognized as biomarkers predictive of response to cisplatin-based neoadjuvant chemotherapy<sup>[126]</sup>. However, loss-of-function of the ATM-CHEK2-TP53 cascade is associated with resistance to anthracycline/mitomycin-containing chemotherapy in patients with breast cancer<sup>[127]</sup>.

A retrospective study of VICTOR trial demonstrated that ATM loss was associated with worse prognosis in colorectal cancer<sup>[128]</sup>.

#### **CHEK1** Heterozygous deletion

#### **Biological Impact**

The checkpoint kinase 1 (CHEK1 or CHK1) gene encodes a protein kinase involved in transducing DNA damage signals and is required for both the intra-S phase and G2/M checkpoints<sup>[129]</sup>. CHEK1 heterozygosity has been shown to cause haploinsufficient phenotypes that can contribute to tumorigenesis through inappropriate S phase entry, accumulation of DNA damage during replication, and failure to restrain mitotic entry<sup>[130][131]</sup>. Despite acting as a tumor suppressor, homozygous loss-of-function mutations in CHEK1 have not been identified in tumors<sup>[132]</sup>, and CHEK1 mutations are extremely rare<sup>[129]</sup>. Overexpression of CHEK1 has been observed in a variety of tumors, including liver cancer<sup>[133]</sup>, breast cancer<sup>[134]</sup>, colorectal cancer<sup>[135]</sup>, non-small cell lung (NSCLC) cancer<sup>[136]</sup>, and nasopharyngeal cancer<sup>[137]</sup>.

#### Therapeutic and prognostic relevance

In May 2020, the U.S. FDA approved olaparib for the treatment of adult patients with metastatic castration-resistant prostate cancer (mCRPC) who carry mutations in homologous recombination repair (HRR) genes, including BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D, RAD54L, and progressed following prior treatment with enzalutamide or abiraterone acetate (NCT02987543)<sup>[114]</sup>.

In addition, CHEK1 has been determined as an inclusion criterion for the trials evaluating olaparib efficacy in advanced solid tumors (NCT03297606; CAPTUR trial), rucaparib efficacy in ovarian cancer (NCT01968213)<sup>[125]</sup>, prostate cancer (NCT03533946), niraparib efficacy in pancreatic cancer (NCT03553004), and any malignancy, except prostate (NCT03207347), and talazoparib efficacy in lung cancer (NCT03377556), respectively.





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Selective inhibitors for CHEK1 and CHEK2 alone or in combination with other agents are currently being investigated in clinical trials<sup>[138]</sup>.

#### **CHEK2** Heterozygous deletion

#### **Biological Impact**

The checkpoint kinase 2 (CHEK2 or CHK2) gene encodes a serine/threonine protein kinase involved in transducing DNA damage signals that are required for both the intra-S phase and G2/M checkpoints<sup>[139]</sup>. CHEK2 heterozygosity has been shown to cause haploinsufficient phenotypes that can contribute to tumorigenesis through inappropriate S phase entry, accumulation of DNA damage during replication, and failure to restrain mitotic entry<sup>[130][131]</sup>. CHEK2 aberrations are associated with glioblastoma, breast, ovarian, prostate, colorectal, gastric, thyroid, and lung cancers<sup>[140][141][142][143][144]</sup>.

### Therapeutic and prognostic relevance

In May 2020, the U.S. FDA approved olaparib for the treatment of adult patients with metastatic castration-resistant prostate cancer (mCRPC) who carry mutations in homologous recombination repair (HRR) genes, including BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D, RAD54L, and progressed following prior treatment with enzalutamide or abiraterone acetate (NCT02987543)<sup>[114]</sup>.

In a phase II trial (TBCRC 048; NCT03344965), 7 metastatic breast cancer patients harboring only germline mutations in CHEK2 were not responded to olaparib treatment (SD: n=3, PD: n=4)<sup>[119]</sup>. Furthermore, in another phase II trial (TRITON2; NCT02952534), 12 mCRPC patients harboring CHEK2 alteration had limited response to rucaparib treatment. One patient with co-occurring ATM alteration had a radiographic partial response (n=1/9 evaluable patients). The prostate-specific antigen response rate was 16.7% (n=2/12), and the 6-month clinical benefit rate was 37.5% (n=3/8)<sup>[120]</sup>.

In addition, CHEK2 has been determined as an inclusion criterion for the trials evaluating olaparib efficacy in advanced solid tumors (NCT03297606; CAPTUR trial), rucaparib efficacy in ovarian cancer (NCT01968213)<sup>[125]</sup>, and prostate cancer (NCT02952534, NCT03533946)<sup>[120]</sup>, niraparib efficacy in metastatic esophageal/gastroesophageal junction (GEJ)/proximal gastric adenocarcinoma (NCT03840967), melanoma (NCT03925350), pancreatic cancer (NCT03553004, NCT03601923), prostate cancer (NCT02854436), and any malignancy, except prostate (NCT03207347), and talazoparib efficacy in HER2-negative solid tumors (NCT02401347), prostate cancer (NCT03148795), and lung cancer (NCT03377556), respectively.

#### **KMT2C** Heterozygous deletion

#### **Biological Impact**

Lysine methyltransferase 2C (KMT2C) gene encodes the histone methyltransferase MLL3, which methylates lysine residue four on the tail of histone H3 (H3K4)<sup>[145]</sup>and regulates the gene expression during development and hematopoiesis<sup>[146][147][148]</sup>. KMT2C is ubiquitously expressed, and its function is essential for normal embryonal development and cell proliferation<sup>[149]</sup>. Genetic deletion of the region containing KMT2C is the most common chromosomal abnormality in acute myeloid leukemia<sup>[150][151]</sup>, and KMT2C mutation has been reported in breast cancer, cutaneous squamous cell carcinoma, and leukemia<sup>[152][153][154][155][156]</sup>. KMT2C was implicated as a haploinsufficient gene with one copy loss may lead to weak protein expression and is insufficient to execute its original physiological functions<sup>[157]</sup>. Animal studies revealed that MLL3 haploinsufficiency enhances hematopoietic stem cells (HSCs) self-renewal capacity and induces extensive division of HSCs (AACR; Cancer Res 2018;78(13 Suppl): Abstract nr 4996).





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#### Therapeutic and prognostic relevance

Preclinical studies of cell lines and xenograft models demonstrated that cells with reduced KMT2C expression and activity are deficient in homologous recombination-mediated double-strand break DNA repair and therefore, are more sensitive to olaparib, a PARP1/2 inhibitor<sup>[158]</sup>.

A meta-analysis indicated that low levels of KMT2C expression was associated with better overall survival in pancreatic ductal adenocarcinoma (PDAC) patients<sup>[159]</sup>. However, another study of ER-positive breast cancer patients (n = 401) demonstrated that low KMT2C expression was associated with worse overall survival<sup>[160]</sup>.

#### **MRE11** Heterozygous deletion

#### **Biological Impact**

The MRE11 gene encodes a protein that forms the MRE11-RAD50-NBS (MRN) complex involved in sensing and repairing DNA double-strand breaks via homologous recombination and non-homologous end joining<sup>[161][162]</sup>. MRE11 has been implicated as a haploinsufficient gene with one copy loss may lead to weak protein expression and is insufficient to execute its original physiological function<sup>[161]</sup>. The carrier of MRE11 mutation may confer elevated risks for numerous types of cancers including breast cancer, ovarian cancer, endometrial cancer, colorectal cancer, and lymphoid cancer<sup>[161][162][163][164][165][166][167]</sup>.

#### Therapeutic and prognostic relevance

In a Phase II clinical trial (n=50), one castration-resistant prostate cancer patient harboring an MRE11 inactivating mutation responded to olaparib<sup>[115]</sup>. Preclinically, loss of MRE11 also predicted sensitivity to PARP inhibitor talazoparib and ABT-888 in endometrial cancer<sup>[168]</sup> and microsatellite unstable colorectal cancer (CRC) cell lines<sup>[169]</sup>. MRE11 has been selected as an inclusion criterion for the trial examining olaparib in metastatic biliary tract cancer (NCT04042831), and talazoparib in HER2-negative breast cancer (NCT02401347) and prostate cancer (NCT03148795).

CRC patients with tumor deficient of MRE11 showed initially reduced disease-free survival (DFS) and overall survival (OS) but improved long-term DFS and OS compared with patients with an intact MRE11<sup>[170]</sup>.

#### **NF2** Heterozygous deletion

#### **Biological Impact**

The neurofibromin (NF2) gene encodes the protein Merlin, a tumor suppressor that functions as a negative regulator of the PI3K/AKT/mTOR pathway<sup>[171][172][173]</sup>. NF2 is a haploinsufficient tumor suppressor gene with one copy loss may lead to weak protein expression and is insufficient to execute its original physiological functions<sup>[174]</sup>. Inactivation germline mutations in the NF2 are associated with the hereditary neurofibromatosis type 2, a disorder characterized by the growth of noncancerous tumors in the nervous system<sup>[171][175]</sup>. Somatic mutations or deletion of NF2 are frequently observed in human cancers, including 20-50% of pleural mesotheliomas<sup>[176]</sup>, 6% papillary renal cell carcinoma, 5% pancreas cancer, and 4% melanoma (cbioPortal; June 2015), and less frequently in other cancers<sup>[177]</sup>.

#### Therapeutic and prognostic relevance

Genomic alterations with activating effects on the mTOR signaling pathway have been identified to confer sensitivity to everolimus across multiple cancer types<sup>[178][180][181]</sup>. There are at least two case studies indicating the clinical efficacy of everolimus in bladder cancer and urothelial carcinoma<sup>[182][183]</sup>, both harboring NF2 truncating mutations. Preclinical evidence has shown the efficacy of MEK1/2 inhibitor selumetinib in KRAS-mutant thyroid cancer model with NF2 loss<sup>[184]</sup>.

Analysis of afatinib-plus-cetuximab-resistant biopsy specimens revealed a loss-of-function alteration in genes that modulate mTOR signaling pathway, including NF2 and TSC1<sup>[185]</sup>.





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## PTEN Heterozygous deletion

### **Biological Impact**

The phosphatase and tensin homolog deleted on chromosome ten (PTEN) gene encodes a lipid/protein phosphatase that is important for the regulation of cell proliferation, survival, homologous recombination and maintenance of genomic integrity<sup>[186][187]</sup>. PTEN acts as an essential tumor suppressor by antagonizing the PI3K/AKT/mTOR signaling pathway<sup>[188]</sup>. PTEN is a haploinsufficient tumor suppressor gene, in which having only one copy of the wild-type allele does not produce enough protein product to execute wild-type functions<sup>[83][189][190]</sup>. Germline loss-of-function PTEN mutations are found in approximately 80% of patients with Cowden syndrome, a disorder that is associated with high-penetrance breast and thyroid cancer<sup>[191][192][193]</sup>. Somatic mutations or monoallelic loss of PTEN is regularly observed in a significant fraction of human cancers, including sporadic breast cancer, colon cancer, endometrial cancer, prostate cancer, and glioblastoma<sup>[194][195][196][197][198]</sup>.

### Therapeutic and prognostic relevance

Somatic loss of PTEN results in aberrant activation of PI3K/AKT/mTOR signaling pathway and provides a mechanistic rationale for PI3K pathway inhibitors treatment<sup>[199][200]</sup>. Preclinical studies demonstrated that PTEN deficiency was associated with increased sensitivity to PI3K pathway inhibitors in selected cancer subtypes<sup>[201][202][203][204][205][206]</sup>. Although early clinical data indicated that PTEN loss was associated with improved response and survival in solid tumor patients treated with mTORC1 inhibitor, everolimus<sup>[178][207][208]</sup>, several phase II trials showed no clinical benefit of everolimus or temsirolimus treatment in patients with advanced solid tumors harboring PTEN loss<sup>[209][210][211]</sup>.

Several groups found that PTEN loss was generally associated with poor response to trastuzumab therapy, whether this agent was administered in the neoadjuvant, adjuvant, or metastatic settings [212][213][214][215][216]. Also, loss of PTEN expression in advanced colorectal cancer (CRC) has been linked with resistance to anti-EGFR mAbs like cetuximab and panitumumab [217][218][219][220][221][50]. Preclinical studies showed that loss of PTEN expression in EGFR mutant cells was associated with decreased sensitivity to EGFR TKIs, erlotinib and gefitinib [222][223]. Inhibition of the PI3K/AKT/mTOR signal pathway has been shown to be an effective strategy to radiosensitize NSCLC cells harboring the EGFR activating mutation that acquires resistance to both TKIs due to PTEN loss or inactivation mutations [224]. Loss or biallelic inactivation of PTEN is associated with resistance to anti-PD-1 checkpoint blockade therapies, including pembrolizumab and nivolumab in melanoma and leiomyosarcoma patients [225][226][227].

PTEN loss of function mutation has been determined as an inclusion criterion for the trial evaluating olaparib efficacy in metastatic biliary tract cancer (NCT04042831); talazoparib efficacy in HER2-negative solid tumors (NCT02401347); rucaparib efficacy in prostate cancer (NCT02952534, NCT03533946), and niraparib efficacy in breast cancer (NCT04508803) or any malignancy (except prostate) cancer (NCT03207347). Clinical data also suggested that PTEN deficient cancers may be sensitive to olaparib<sup>[228]</sup>. However, in a phase II trial (NCT02286687), 13 patients with advanced solid tumors harboring PTEN mutation or loss (by IHC) had limited response to talazoparib treatment; only one patient with PTEN mutation had prolonged SD (Mol Cancer Ther 2018;17(1 Suppl):Abstract nr A096; NCT02286687). Besides, in a phase I trial (NCT00749502), no association between loss of PTEN expression and the efficacy of niraparib was identified in patients with castration-resistant prostate cancer<sup>[229]</sup>.

In a preclinical study, PTEN null cancer cells were sensitive to rucaparib treatment in vitro [230].





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### **US FDA-APPROVED DRUG(S)**

### Abemaciclib (VERZENIO)

Abemaciclib is a cyclin-dependent kinase 4/6 (CDK4/6) inhibitor. Abemaciclib is developed and marketed by Eli Lilly under the trade name VERZENIO.

### - FDA Approval Summary of Abemaciclib (VERZENIO)

	Breast cancer (Approved on 2021/10/12)	
MONARCH E	HR+/HER2-	
NCT03155997	Abemaciclib + tamoxifen/aromatase inhibitor vs. Tamoxifen/aromatase inhibitor [IDFS at 36	
	months(%): 86.1 vs. 79.0]	
MONADOU 2[231]	Breast cancer (Approved on 2018/02/26)	
MONARCH 3 <sup>[231]</sup> NCT02246621	HR+/HER2-	
	Abemaciclib + anastrozole/letrozole vs. Placebo + anastrozole/letrozole [PFS(M): 28.2 vs. 14.	
MONADOU 0[18]	Breast cancer (Approved on 2017/09/28)	
MONARCH 2 <sup>[18]</sup>	HR+/HER2-	
NCT02107703	Abemaciclib + fulvestrant vs. Placebo + fulvestrant [PFS(M): 16.4 vs. 9.3]	
	Breast cancer (Approved on 2017/09/28)	
MONARCH 1 <sup>[232]</sup>	HR+/HER2-	
NCT02102490	Abemaciclib [ORR(%): 19.7 vs. 17.4]	

### **Everolimus (AFINITOR)**

Everolimus, a derivative of sirolimus, works as an inhibitor of mammalian target of rapamycin complex 1 (mTORC1) and blocks mTORC1-mediated downstream signals for cell growth, proliferation, and survival. Everolimus is developed and marketed by Novartis under the trade name AFINITOR.

### - FDA Approval Summary of Everolimus (AFINITOR)

DADIANT 4[233]	Lung or gastrointestinal neuroendocrine tumor (Approved on 2016/02/26)						
	-						
RADIANT-4 <sup>[233]</sup> NCT01524783  BOLERO-2 <sup>[234]</sup> NCT00863655  EXIST-2 NCT00790400  RADIANT-3 <sup>[207]</sup>	Everolimus vs. Placebo [PFS(M): 11 vs. 3.9]						
DOLEDO 0[234]	Breast cancer (Approved on 2012/07/20)						
	ER+/HER2-						
NC10000000	Everolimus + exemestane vs. Placebo + exemestane [PFS(M): 7.8 vs. 3.2]						
<b>EXIST-2</b> NCT00790400	<b>Tuberous sclerosis complex (tsc)-associated renal angiomyolipoma</b> (Approved on 2012/04/26)						
	Everolimus vs. Placebo [ORR(%): 41.8 vs. 0]						
DADIANT 0[207]	Pancreatic neuroendocrine tumor (Approved on 2011/05/05)						
NCT00510068	Everolimus vs. Placebo [PFS(M): 11 vs. 4.6]						
EVICE 4[235]	Subependymal giant cell astrocytoma (Approved on 2010/10/29)						
EXIST-1 <sup>[235]</sup>							
NCT00789828	Everolimus vs. Placebo [ORR(%): 35.0]						
DECODD 4[236]	Renal cell carcinoma (Approved on 2009/05/30)						
RECORD-1 <sup>[236]</sup>							
NCT00410124	Everolimus vs. Placebo [PFS(M): 4.9 vs. 1.9]						





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### Niraparib (ZEJULA)

Niraparib is an oral, small molecule inhibitor of the DNA repair enzyme poly (ADP-ribose) polymerase-1 and -2 (PARP-1, -2). Niraparib is developed and marketed by Tesaro under the trade name ZEJULA.

### - FDA Approval Summary of Niraparib (ZEJULA)

PRIMA	Ovarian cancer, Fallopian tube cancer, Peritoneal carcinoma (Approved on 2020/04/29)							
NCT02655016	Niraparib vs. Placebo [PFS (overall population)(M): 13.8 vs. 8.2]							
NOVA[237]	Ovarian cancer, Fallopian tube cancer, Peritoneal carcinoma (Approved on 2017/03/27)							
NOVA <sup>[237]</sup> NCT01847274	-							
NG101047274	Niraparib vs. Placebo [PFS (overall population)(M): 11.3 vs. 4.7]							

### Olaparib (LYNPARZA)

Olaparib is an oral, small molecule inhibitor of poly (ADP-ribose) polymerase-1, -2, and -3 (PARP-1, -2, -3). Olaparib is developed by KuDOS Pharmaceuticals and marketed by AstraZeneca under the trade name LYNPARZA.

### - FDA Approval Summary of Olaparib (LYNPARZA)

Olympia i A	Her2-negative high-risk early breast cancer (Approved on 2022/03/11)						
OlympiA NCT02032823	HER2-/gBRCA mutation						
NC102032023	Olaparib vs. Placebo [ invasive disease-free survival (IDFS)(M): ]						
PPO6 4[114]	Prostate cancer (Approved on 2020/05/19)						
PROfound <sup>[114]</sup>	HRR genes mutation						
NCT02987543	Olaparib vs. Enzalutamide or abiraterone acetate [PFS(M): 5.8 vs. 3.5]						
DA OL A 4[238]	Ovarian cancer (Approved on 2020/05/08)						
PAOLA-1 <sup>[238]</sup>	HRD+						
NCT02477644	Olaparib + bevacizumab vs. Placebo + bevacizumab [PFS(M): 37.2 vs. 17.7]						
POLO <sup>[239]</sup>	Pancreatic adenocarcinoma (Approved on 2019/12/27)						
. 020	gBRCA mutation						
NCT02184195	Olaparib vs. Placebo [ORR(%): 23.0 vs. 12.0, PFS(M): 7.4 vs. 3.8]						
SOLO-1 <sup>[240]</sup>	Ovarian cancer, Fallopian tube cancer, Peritoneal carcinoma (Approved on 2018/12/19)						
NCT01844986	gBRCA mutation or sBRCA mutation						
NC101044900	Olaparib vs. Placebo [PFS(M): NR vs. 13.8]						
Olaman : A D[241]	Breast cancer (Approved on 2018/02/06)						
<b>OlympiAD</b> <sup>[241]</sup> NCT02000622	HER2-/gBRCA mutation						
NC102000022	Olaparib vs. Chemotherapy [PFS(M): 7 vs. 4.2]						
SOLO-2/ENGOT-Ov21 <sup>[242]</sup>	Ovarian cancer, Fallopian tube cancer, Peritoneal carcinoma (Approved on 2017/08/17)						
NCT01874353	gBRCA mutation						
1101014333	Olaparib vs. Placebo [PFS(M): 19.1 vs. 5.5]						
C4d. 40[243]	Ovarian cancer, Fallopian tube cancer, Peritoneal carcinoma (Approved on 2017/08/17)						
Study19 <sup>[243]</sup>	-						
NCT00753545	Olaparib vs. Placebo [PFS(M): 8.4 vs. 4.8]						





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### Palbociclib (IBRANCE)

Palbociclib is an oral, cyclin-dependent kinase (CDK) inhibitor specifically targeting CDK4 and CDK6, thereby inhibiting retinoblastoma (Rb) protein phosphorylation. Palbociclib is developed and marketed by Pfizer under the trade name IBRANCE.

### - FDA Approval Summary of Palbociclib (IBRANCE)

PALOMA-2 <sup>[244]</sup>	Breast cancer (Approved on 2017/03/31)				
NCT01740427	ER+/HER2-				
NC101740427	Palbociclib + letrozole vs. Placebo + letrozole [PFS(M): 24.8 vs. 14.5]				
DAL ON A 0[245]	Breast cancer (Approved on 2016/02/19)				
PALOMA-3 <sup>[245]</sup>	ER+/HER2-				
NCT01942135	Palbociclib + fulvestrant vs. Placebo + fulvestrant [PFS(M): 9.5 vs. 4.6]				

### Ribociclib (KISQALI)

Ribociclib is a cyclin-dependent kinase (CDK) inhibitor specifically targeting cyclin D1/CDK4 and cyclin D3/CDK6, thereby inhibiting retinoblastoma (Rb) protein phosphorylation. Ribociclib is developed by Novartis and Astex Pharmaceuticals and marketed by Novartis under the trade name KISQALI.

### - FDA Approval Summary of Ribociclib (KISQALI)

MONALEECA 2[17]	Breast cancer (Approved on 2017/03/13)
MONALEESA-2 <sup>[17]</sup> NCT01958021	HR+/HER2-
NC101958021	Ribociclib vs. Letrozole [PFS(M): NR vs. 14.7]

#### Rucaparib (RUBRACA)

Rucaparib is an inhibitor of the DNA repair enzyme poly (ADP-ribose) polymerase-1, -2 and -3 (PARP-1, -2, -3). Rucaparib is developed and marketed by Clovis Oncology under the trade name RUBRACA.

### - FDA Approval Summary of Rucaparib (RUBRACA)

TRITONO	Prostate cancer (Approved on 2020/05/15)
TRITON2 NCT02952534	gBRCA mutation or sBRCA mutation
NC102952554	Rucaparib [ORR(%): 44.0, DOR(M): NE]
	Ovarian cancer, Fallopian tube cancer, Peritoneal carcinoma (Approved on 2018/04/06)
ARIEL3 <sup>[125]</sup>	-
NCT01968213	Rucaparib vs. Placebo [PFS (All)(M): 10.8 vs. 5.4, PFS (HRD)(M): 13.6 vs. 5.4, PFS
	(tBRCA)(M): 16.6 vs. 5.4]





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### Talazoparib (TALZENNA)

Talazoparib is an inhibitor of poly (ADP-ribose) polymerase (PARP) enzymes, including PARP1 and PARP2. Talazoparib is developed and marketed by Pfizer under the trade name TALZENNA.

### - FDA Approval Summary of Talazoparib (TALZENNA)

EMBRACA <sup>[246]</sup>	Breast cancer (Approved on 2018/10/16)
NCT01945775	HER2-/gBRCA mutation
NC101945775	Talazoparib vs. Chemotherapy [PFS(M): 8.6 vs. 5.6]

### **Temsirolimus (TORISEL)**

Temsirolimus is a soluble ester of sirolimus (rapamycin, brand-name drug Rapamune) and functions as an inhibitor of mammalian target of rapamycin complex (mTORC). The inhibitory molecular mechanism is similar to Everolimus. Temsirolimus is developed by Wyeth Pharmaceuticals and marketed by Pfizer under the trade name TORISEL.

### - FDA Approval Summary of Temsirolimus (TORISEL)

[247]	Renal cell carcinoma (Approved on 2007/05/30)
NCT00065468	-
INC I 00065468	Temsirolimus vs. Ifn-α [OS(M): 10.9 vs. 7.3]

D=day; W=week; M=month





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Project ID: C23-M001-00316 Report No.: AA-23-00680\_ONC Date Reported: Feb 15, 2023

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### **ONGOING CLINICAL TRIALS**

Trials were searched by applying filters: study status, patient's diagnosis, intervention, location and/or biomarker(s). Please visit <a href="https://clinicaltrials.gov">https://clinicaltrials.gov</a> to search and view for a complete list of open available and updated matched trials.

No trial has been found.





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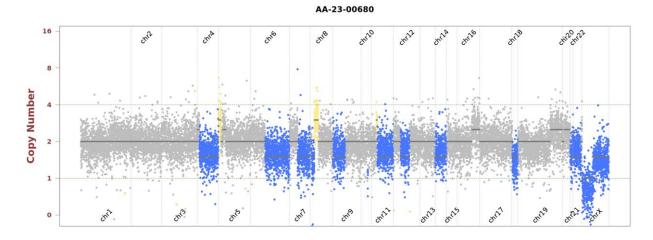
# SUPPLEMENTARY INFORMATION OF TESTING RESULTS DETAILED INFORMATION OF VARIANTS WITH CLINICAL RELEVANCE

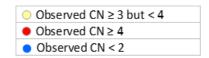
### - Single Nucleotide and Small InDel Variants

Gene	Amino Acid Gene Change		Gene Exon		cDNA Change	Accession Number	COSMIC ID	Allele Frequency	Coverage	
CDKN2A	E69*	2	c.205G>T	NM_000077	COSM13281	37.8%	288			
KRAS	G12R	2	c.34G>C	NM_004985	COSM518	48.2%	2066			
RNF43	Y89fs	3	c.263dup	NM_017763	-	41.9%	1377			
TP53	R175H	5	c.524G>A	NM_000546	COSM10648	45.3%	1504			

#### - Copy Number Alterations

Observed copy number (CN) for each evaluated position is shown on the y-axis. Regions referred to as amplification or deletion are shown in color. Regions without significant changes are represented in gray.









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#### **OTHER DETECTED VARIANTS**

Gene	Amino Acid Change	Exon	cDNA Accession Change Number		COSMIC ID	Allele Frequency	Coverage	
ATR	A880T	13	c.2638G>A	NM_001184	COSM7339247	43.4%	1206	
BCL9	M1382V	10	c.4144A>G	NM_004326	COSM4995262	42.4%	321	
CARD11	S694L	16	c.2081C>T	NM_032415	COSM5505215	51.9%	516	
EP300	A2289T	31	c.6865G>A	NM_001429	-	63.4%	382	
NFKB1	G136S	6	c.406G>A	NM_003998	-	35.0%	758	
PALB2	R825T	5	c.2474G>C	NM_024675	-	56.7%	953	
PRDM1	DM1 P467L		c.1400C>T	NM_001198	-	62.6%	537	
PRKCA	Splice region	-	c.1386-7C>T	NM_002737	-	68.3%	1136	
VHL	R205H	3	c.614G>A	NM_000551	COSM1232270	36.7%	1546	

#### Note:

- This table enlists variants detected by the panel other than those with clinical relevance (reported in Testing Result section).

The clinical impact of a genetic variant is determined according to ACT Genomics in-house clinical knowledge database. A negative result does not necessarily indicate absence of biological effect on the tumor. Some variants listed here may possibly have preclinical data or may show potential clinical relevance in the future.





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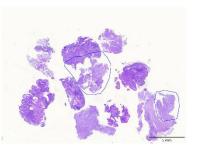
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### **TEST DETAILS**

#### SPECIMEN RECEIVED AND PATHOLOGY REVIEW





Collection date: Jan 31, 2023Facility retrieved: 臺北榮總

H&E-stained section No.: S11203170A

Collection site: DuodenumExamined by: Dr. Yun-An Chen

1. The percentage of viable tumor cells in total cells in the whole slide (%): 5%

2. The percentage of viable tumor cells in total cells in the encircled areas in the whole slide (%): 40%

3. The percentage of necrotic cells (including necrotic tumor cells) in total cells in the whole slide (%): 25%

4. The percentage of necrotic cells (including necrotic tumor cells) in total cells in the encircled areas in the whole slide (%): 15%

5. Additional comment: NA

Manual macrodissection: Performed on the highlighted region

- The outline highlights the area of malignant neoplasm annotated by a pathologist.

#### **RUN QC**

Panel: ACTOnco®+

### **DNA** test

- Mean Depth: 866x

- Target Base Coverage at 100x: 94%

#### RNA test

- Average unique RNA Start Sites per control GSP2: 152





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#### LIMITATIONS

- This test does not provide information of variant causality and does not detect variants in non-coding regions that could affect gene expression. This report does not report polymorphisms and we do not classify whether a mutation is germline or somatic. Variants identified by this assay were not subject to validation by Sanger or other technologies.
- The possibility cannot be excluded that certain pathogenic variants detected by other sequencing tools may not be reported in the test because of technical limitation of bioinformatics algorithm or the NGS sequencing platform, e.g. low coverage.
- This test has been designed to detect fusions in 13 genes sequenced. Therefore, fusion in genes not covered by this test would not be reported. For novel fusions detected in this test, Sanger sequencing confirmation is recommended if residue specimen is available

### **NEXT-GENERATION SEQUENCING (NGS) METHODS**

Extracted genomic DNA was amplified using primers targeting coding exons of analyzed genes and subjected to library construction. Barcoded libraries were subsequently conjugated with sequencing beads by emulsion PCR and enriched using Ion Chef system. Sequencing was performed according to Ion Proton or Ion S5 sequencer protocol (Thermo Fisher Scientific).

Raw reads generated by the sequencer were mapped to the hg19 reference genome using the Ion Torrent Suite. Coverage depth was calculated using Torrent Coverage Analysis plug-in. Single nucleotide variants (SNVs) and short insertions/deletions (InDels) were identified using the Torrent Variant Caller plug-in. VEP (Variant Effect Predictor) was used to annotate every variant using databases from Clinvar, COSMIC and Genome Aggregation database. Variants with coverage ≥ 20, allele frequency ≥ 5% and actionable variants with allele frequency ≥ 2% were retained. This test provides uniform coverage of the targeted regions, enabling target base coverage at 100x ≥ 85% with a mean coverage ≥ 500x.

Variants reported in Genome Aggregation database with > 1% minor allele frequency (MAF) were considered as polymorphisms. ACT Genomics in-house database was used to determine technical errors. Clinically actionable and biologically significant variants were determined based on the published medical literature.

The copy number alterations (CNAs) were predicted as described below:

Amplicons with read counts in the lowest 5th percentile of all detectable amplicons and amplicons with a coefficient of variation ≥ 0.3 were removed. The remaining amplicons were normalized to correct the pool design bias. ONCOCNV (an established method for calculating copy number aberrations in amplicon sequencing data by Boeva et al., 2014) was applied for the normalization of total amplicon number, amplicon GC content, amplicon length, and technology-related biases, followed by segmenting the sample with a gene-aware model. The method was used as well for establishing the baseline of copy number variations.

Tumor mutational burden (TMB) was calculated by using the sequenced regions of ACTOnco®+ to estimate the number of somatic nonsynonymous mutations per megabase of all protein-coding genes (whole exome). The TMB calculation predicted somatic variants and applied a machine learning model with a cancer hotspot correction. TMB may be reported as "TMB-High", "TMB-Low" or "Cannot Be Determined". TMB-High corresponds to ≥ 7.5 mutations per megabase (Muts/Mb); TMB-Low corresponds to < 7.5 Muts/Mb. TMB is reported as "Cannot Be Determined" if the tumor purity of the sample is < 30%.

Classification of microsatellite instability (MSI) status is determined by a machine learning prediction algorithm. The change of a number of repeats of different lengths from a pooled microsatellite stable (MSS) baseline in > 400 genomic loci are used as the features for the algorithm. The final output of the results is either microsatellite Stable (MSS) or microsatellite instability high (MSI-H).





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#### **RNA** test

Extracted RNA was reverse-transcribed and subjected to library construction. Sequencing was performed according to lon Proton or lon S5 sequencer protocol (Thermo Fisher Scientific). To ensure sequencing quality for fusion variant analysis, the average unique RNA Start Sites (SS) per control Gene Specific Primer 2 (GSP 2) should be ≥ 10.

The fusion analysis pipeline aligned sequenced reads to the human reference genome, identified regions that map to noncontiguous regions of the genome, applied filters to exclude probable false-positive events and, annotated previously characterized fusion events according to Quiver Gene Fusion Database, a curated database owned and maintained by ArcherDX. In general, samples with detectable fusions need to meet the following criteria: (1) Number of unique start sites (SS) for the GSP2  $\geq$  3; (2) Number of supporting reads spanning the fusion junction  $\geq$  5; (3) Percentage of supporting reads spanning the fusion junction  $\geq$  10%; (4) Fusions annotated in Quiver Gene Fusion Database.

### **DATABASE USED**

- Reference genome: Human genome sequence hg19
- COSMIC v.92
- Genome Aggregation database r2.1.1
- ClinVar (version 20210404)
- ACT Genomics in-house database
- Quiver Gene Fusion Database version 5.1.18

**Variant Analysis:** 

醫檢師黃靖婷 博士 Ching-Ting Huang Ph.D. 檢字第 016511 號 CTHUANG

Sign Off

解剖病理專科醫師王業翰 Yeh-Han Wang M.D. 病解字第 000545 號







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### GENE LIST SNV & CNV

ABCB1*	ABCC2*	ABCG2*	ABL1	ABL2	ADAMTS1	ADAMTS13	ADAMTS15	ADAMTS16	ADAMTS18	ADAMTS6	ADAMTS9
ADAMTSL1	ADGRA2	ADH1C*	AKT1	AKT2	AKT3	ALDH1A1*	ALK	AMER1	APC	AR	ARAF
ARID1A	ARID1B	ARID2	ASXL1	ATM	ATR	ATRX	AURKA	AURKB	AXIN1	AXIN2	AXL
B2M	BAP1	BARD1	BCL10	BCL2*	BCL2L1	BCL2L2*	BCL6	BCL9	BCOR	BIRC2	BIRC3
BLM	BMPR1A	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1	BTG2*	ВТК	BUB1B	CALR
CANX	CARD11	CASP8	CBFB	CBL	CCNA1	CCNA	CCNB1	CCNB2	CCNB3	CCND1	CCND2
CCND3	CCNE1	CCNE2	CCNH	CD19	CD274	CD58	CD70*	CD79A	CD79B	CDC73	CDH1
CDK1	CDK12	CDK2	CDK4	CDK5	CDK6	CDK7	CDK8	CDK9	CDKN1A	CDKN1B	CDKN2A
CDKN2B	CDKN2C	CEBPA*	CHEK1	CHEK2	CIC	CREBBP	CRKL	CRLF2	CSF1R	CTCF	CTLA4
CTNNA1	CTNNB1	CUL3	CYLD	CYP1A1*	CYP2B6*	CYP2C19*	CYP2C8*	CYP2D6	CYP2E1*	CYP3A4*	CYP3A5*
DAXX	DCUN1D1	DDR2	DICER1	DNMT3A	DOT1L	DPYD	DTX1	E2F3	EGFR	EP300	EPCAM
EPHA2	ЕРНА3	EPHA5	EPHA7	EPHB1	ERBB2	ERBB3	ERBB4	ERCC1	ERCC2	ERCC3	ERCC4
ERCC5	ERG	ESR1	ESR2	ETV1	ETV4	EZH2	FAM46C	FANCA	FANCC	FANCD2	FANCE
FANCF	FANCG	FANCL	FAS	FAT1	FBXW7	FCGR2B	FGF1*	FGF10	FGF14	FGF19*	FGF23
FGF3	FGF4*	FGF6	FGFR1	FGFR2	FGFR3	FGFR4	FH	FLCN	FLT1	FLT3	FLT4
FOXL2*	FOXP1	FRG1	FUBP1	GATA1	GATA2	GATA3	GNA11	GNA13	GNAQ	GNAS	GREM1
GRIN2A	GSK3B	GSTP1*	GSTT1*	HGF	HIF1A	HIST1H1C*	HIST1H1E*	HNF1A	HR	HRAS*	HSP90AA1
HSP90AB1	HSPA4	HSPA5	IDH1	IDH2	IFNL3*	IGF1	IGF1R	IGF2	IKBKB	IKBKE	IKZF1
IL6	IL7R	INPP4B	INSR	IRF4	IRS1	IRS2*	JAK1	JAK2	JAK3	JUN*	KAT6A
KDM5A	KDM5C	KDM6A	KDR	KEAP1	KIT	KMT2A	КМТ2С	KMT2D	KRAS	LCK	LIG1
LIG3	LMO1	LRP1B	LYN	MALT1	MAP2K1	MAP2K2	MAP2K4	MAP3K1	MAP3K7	MAPK1	МАРКЗ
MAX	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1	MET	MITF	MLH1	MPL	MRE11
MSH2	MSH6	MTHFR*	MTOR	MUC16	MUC4	MUC6	MUTYH	МҮС	MYCL	MYCN	MYD88
NAT2*	NBN	NEFH	NF1	NF2	NFE2L2	NFKB1	NFKBIA	NKX2-1*	NOTCH1	NOTCH2	<i>NOTCH3</i>
NOTCH4	NPM1	NQ01*	NRAS	NSD1	NTRK1	NTRK2	NTRK3	PAK3	PALB2	PARP1	PAX5
PAX8	PBRM1	PDCD1	PDCD1LG2	PDGFRA	PDGFRB	PDIA3	PGF	PHOX2B*	PIK3C2B	PIK3C2G	РІКЗСЗ
PIK3CA	PIK3CB	PIK3CD	PIK3CG	PIK3R1	PIK3R2	PIK3R3	PIM1	PMS1	PMS2	POLB	POLD1
POLE	PPARG	PPP2R1A	PRDM1	PRKAR1A	PRKCA	PRKCB	PRKCG	PRKCI	PRKCQ	PRKDC	PRKN
PSMB8	PSMB9	PSME1	PSME2	PSME3	PTCH1	PTEN	PTGS2	PTPN11	PTPRD	PTPRT	RAC1
RAD50	RAD51	RAD51B	RAD51C	RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10	RECQL4
REL	RET	RHOA	RICTOR	RNF43	ROS1	RPPH1	RPTOR	RUNX1	RUNX1T1	RXRA	SDHA
SDHB	SDHC	SDHD	SERPINB3	SERPINB4	SETD2	SF3B1	SGK1	SH2D1A*	SLC19A1*	SLC22A2*	SLCO1B1*
SLCO1B3*	SMAD2	SMAD3	SMAD4	SMARCA4	SMARCB1	SMO	SOCS1*	SOX2*	SOX9	SPEN	SPOP
SRC	STAG2	STAT3	STK11	SUFU	SYK	SYNE1	TAF1	TAP1	TAP2	TAPBP	TBX3
TEK	TERT	TET1	TET2	TGFBR2	TMSB4X*	TNF	TNFAIP3	TNFRSF14	TNFSF11	TOP1	TP53
TPMT*	TSC1	TSC2	TSHR	TYMS	U2AF1	UBE2A*	UBE2K	UBR5	UGT1A1*	USH2A	VDR*
VEGFA	VEGFB	VHL	WT1	XIAP	XPO1	XRCC2	ZNF217				

<sup>\*</sup>Analysis of copy number alterations NOT available.

### **FUSION**

AIK	BRAF	EGFR	EGER1	FGFR2	FGFR3	MET	NRG1	NTRK1	NTRK2	NTRK3	RET	ROS1
			FGFKI									





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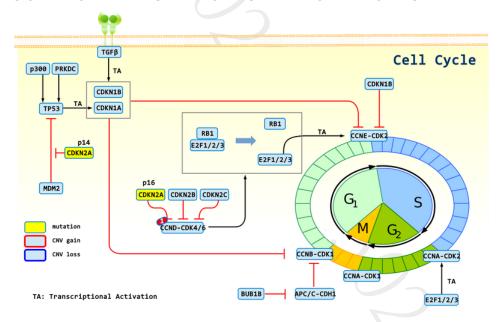
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### **APPENDIX**

#### POSSIBLE THERAPEUTIC IMPLICATIONS FOR HETEROZYGOUS DELETION

Gene	Therapies	Possible effect
NF2	Everolimus, Temsirolimus	sensitive
ATM	Niraparib, Olaparib, Rucaparib, Talazoparib	sensitive
CHEK1	Niraparib, Olaparib, Rucaparib, Talazoparib	sensitive
CHEK2	Niraparib, Olaparib, Rucaparib, Talazoparib	sensitive
KMT2C	Niraparib, Olaparib, Rucaparib, Talazoparib	sensitive
MRE11	Niraparib, Olaparib, Rucaparib, Talazoparib	sensitive
PTEN	Niraparib, Olaparib, Rucaparib, Talazoparib	sensitive
PTEN	Cetuximab, Erlotinib, Gefitinib, Panitumumab, Trastuzumab	resistant

### SIGNALING PATHWAYS AND MOLECULAR-TARGETED AGENTS



1: Abemaciclib, Ribociclib, Palbociclib



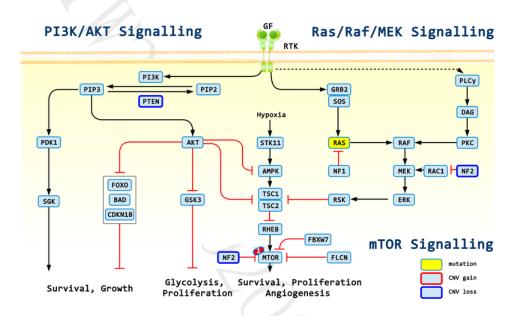


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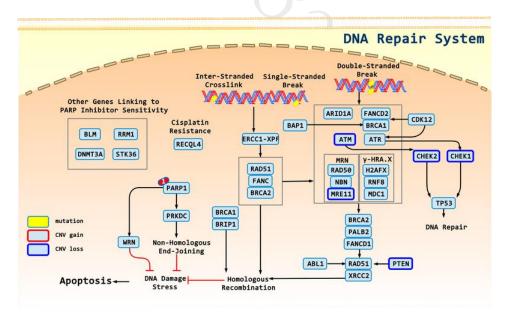
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#### 1: Everolimus, Temsirolimus



1: Olaparib, Niraparib, Rucaparib, Talazoparib





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### **DISCLAIMER**

#### 法律聲明

本檢驗報告僅提供專業醫療參考,結果需經專業醫師解釋及判讀。基因突變資訊非必具備藥物或治療有效性指標,反之亦然。本檢驗報告提供之用藥指引不聲明或保證其臨床有效性,反之亦然。本基因檢測方法係由本公司研究開發,已經過有效性測試。

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本公司於提供檢驗報告後,即已完成本次契約義務,後續之報告解釋、判讀及用藥、治療,應自行尋求相關專業醫師協助,若需將報告移件其他醫師,本人應取得該醫師同意並填寫移件申請書,主動告知行動基因,行動基因僅能配合該醫師意願與時間提供醫師解說。

#### 醫療決策需由醫師決定

任何治療與用藥需經由醫師在考慮病患所有健康狀況相關資訊包含健檢、其他檢測報告和病患意願後,依照該地區醫療照護標準由醫師獨立判斷。醫師不應僅依據單一報告結果(例如本檢測或本報告書內容)做決策。

### 基因突變與用藥資訊並非依照有效性排序

本報告中列出之生物標記變異與藥物資訊並非依照潛在治療有效性排序。

#### 證據等級

藥物潛在臨床效益(或缺乏潛在臨床效益)的實證證據是依據至少一篇臨床療效個案報告或臨床前試驗做為評估。本公司盡力提供適時及 準確之資料,但由於醫學科技之發展日新月異,本公司不就本報告提供的資料是否為準確、適宜或最新作保證。

#### 責任

本檢驗報告僅提供專業醫療參考,本公司及其員工不對任何由使用本報告之內容引起的直接、間接、特殊、連帶或衍生的損失或損害承擔責任。





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