

**ABOUT THE TEST** FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

## PATIENT

**DISEASE** Brain glioblastoma (GBM)  
**NAME** Kuo, Chin-Chuan  
**DATE OF BIRTH** 20 March 1961  
**SEX** Male  
**MEDICAL RECORD #** 46795442

## PHYSICIAN

**ORDERING PHYSICIAN** Hsu, Pin-Chuan  
**MEDICAL FACILITY** Taipei Veterans General Hospital  
**ADDITIONAL RECIPIENT** None  
**MEDICAL FACILITY ID** 205872  
**PATHOLOGIST** Not Provided

## SPECIMEN

**SPECIMEN SITE** Brain  
**SPECIMEN ID** S110-24512 A  
**SPECIMEN TYPE** Slide Deck  
**DATE OF COLLECTION** 24 August 2021  
**SPECIMEN RECEIVED** 14 September 2021

## Biomarker Findings

**Microsatellite status** - Cannot Be Determined  
**Tumor Mutational Burden** - 25 Muts/Mb

## Genomic Findings

*For a complete list of the genes assayed, please refer to the Appendix.*

**NF1** S340fs\*12  
**KRAS** V14I - subclonal<sup>†</sup>  
**PDGFRA** S847L  
**PTEN** R233\* - subclonal<sup>†</sup>  
**RNF43** G659fs\*41  
**TSC2** R1438Q  
**ATR** Y2025fs\*2  
**CDKN2A/B** p16INK4a R58\* and p14ARF P72L  
**ERCC4** A428V  
**PTPN11** M504V - subclonal, R498W<sup>†</sup>  
**SETD2** W1640fs\*26  
**TP53** R110C, R175H

**2 Disease relevant genes with no reportable alterations: EGFR, IDH1**

<sup>†</sup> See About the Test in appendix for details.

2 Therapies with Clinical Benefit

38 Clinical Trials

0 Therapies with Resistance

## BIOMARKER FINDINGS

**Microsatellite status** - Cannot Be Determined

**Tumor Mutational Burden** - 25 Muts/Mb

## THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. see Biomarker Findings section

No therapies or clinical trials. see Biomarker Findings section

GENOMIC FINDINGS	THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
<b>NF1</b> - S340fs*12 10 Trials <i>see p. 17</i>	none	Selumetinib Trametinib
<b>KRAS</b> - V14I - subclonal 10 Trials <i>see p. 15</i>	none	none
<b>PDGFRA</b> - S847L 8 Trials <i>see p. 19</i>	none	none
<b>PTEN</b> - R233* - subclonal 10 Trials <i>see p. 21</i>	none	none
<b>RNF43</b> - G659fs*41 3 Trials <i>see p. 23</i>	none	none
<b>TSC2</b> - R1438Q 10 Trials <i>see p. 24</i>	none	none

#### GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

<b>ATR</b> - Y2025fs*2 ..... p. 9	<b>PTPN11</b> - M504V - subclonal, R498W ..... p. 11
<b>CDKN2A/B</b> - p16INK4a R58* and p14ARF P72L ..... p. 10	<b>SETD2</b> - W1640fs*26 ..... p. 12
<b>ERCC4</b> - A428V ..... p. 11	<b>TP53</b> - R110C, R175H ..... p. 13

**NOTE** Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.

ORDERED TEST # ORD-1188306-01

## BIOMARKER FINDINGS

## BIOMARKER

## Microsatellite status

## RESULT

Cannot Be Determined

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

On the basis of prospective clinical evidence in multiple solid tumor types, MSI and associated increased mutational burden<sup>1-2</sup> may predict sensitivity to anti-PD-1 and anti-PD-L1 immune checkpoint inhibitors<sup>2-6</sup>, including the approved therapies nivolumab (alone or in combination with ipilimumab)<sup>7-9</sup>, pembrolizumab<sup>10-11</sup>, atezolizumab, avelumab, and durvalumab<sup>3-5</sup>. As the MSI status of this tumor is unknown, the relevance of these therapeutic approaches is unclear.

## FREQUENCY &amp; PROGNOSIS

Low-level MSI has been reported in 5-9% of glioblastoma (GBM) samples<sup>12-14</sup>. A large-scale study did not find high-level microsatellite instability (MSI-H) in any of 129 GBM samples<sup>12</sup>, although a small-scale study reported MSI-H in 4 of 15 pediatric GBMs and 1 of 12 adult GBMs<sup>15</sup>. The frequency of MSI has been reported to be increased in relapsed compared to primary GBM<sup>12</sup>, in GBMs with a previous lower grade astrocytoma<sup>13</sup>, and in giant cell GBM compared to classic GBM<sup>14</sup>.

## FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>16</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one

of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2<sup>16-18</sup>. The level of MSI in this sample could not be determined with confidence. Depending on the clinical context, MSI testing of an alternate sample or by another methodology could be considered.

## POTENTIAL GERMLINE IMPLICATIONS

While approximately 80% of MSI-H tumors arise due to somatic inactivation of an MMR pathway protein, about 20% arise due to germline mutations in one of the MMR genes<sup>16</sup>, which are associated with a condition known as Lynch syndrome (also known as hereditary nonpolyposis colorectal cancer or HNPCC)<sup>19</sup>. Lynch syndrome leads to an increased risk of colorectal, endometrial, gastric, and other cancers<sup>19-21</sup> and has an estimated prevalence in the general population ranging from 1:600 to 1:2000<sup>22-24</sup>. Therefore, in the appropriate clinical context, germline testing of MLH1, MSH2, MSH6, and PMS2 is recommended.

## BIOMARKER

## Tumor Mutational Burden

## RESULT

25 Muts/Mb

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>25-27</sup>, anti-PD-1 therapies<sup>25-28</sup>, and combination nivolumab and ipilimumab<sup>29-34</sup>. In glioma, a lack of association between TMB and clinical benefit from immune checkpoint inhibitors has been reported<sup>25,35-36</sup>. However, multiple case studies have reported that patients with ultramutated gliomas driven by POLE mutations have benefited from treatment with anti-PD-1<sup>37-38</sup> or anti-PD-L1<sup>39</sup> therapies. Therefore, although increased TMB alone may not be a

strong biomarker for PD-1 or PD-L1 inhibitors in this cancer type, these agents may have efficacy for patients with glioma harboring both high TMB and POLE mutation.

## FREQUENCY &amp; PROGNOSIS

Glioblastoma (GBM) harbors a median TMB of 2.7 mutations per megabase (mut/Mb), and 4.2% of cases have high TMB (>20 muts/Mb)<sup>40</sup>. For pediatric patients, high TMB has been reported in a subset of high-grade gliomas, frequently in association with mutations in mismatch repair or proofreading genes and in TP53, whereas BRAF alterations or other oncogene fusions were observed more frequently in brain tumors harboring low TMB<sup>41-42</sup>. Increased TMB has been reported to correlate with higher tumor grade in glioma<sup>43</sup> and glioblastoma (GBM) tissue samples with biallelic mismatch repair deficiency (bMMRD)<sup>37</sup>, as well as with shorter OS of patients with diffuse glioma<sup>44</sup>.

## FINDING SUMMARY

Tumor mutation burden (TMB, also known as

mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>45-46</sup> and cigarette smoke in lung cancer<sup>11,47</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>48-49</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>50-54</sup>, and microsatellite instability (MSI)<sup>50,53-54</sup>. This sample harbors a TMB that may be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors in POLE-mutated glioma. Although efficacy of immune checkpoint inhibitors has been observed for patients with other solid tumor types harboring TMB levels such as seen here<sup>25-28,55</sup>, an association between TMB and clinical benefit has generally not been observed for patients with glioma<sup>25,35-36</sup>, except for those with ultramutated glioma with POLE mutation<sup>37-39</sup>. Therefore, these agents may have efficacy for patients with this cancer type harboring both high TMB and POLE mutation.

ORDERED TEST # ORD-1188306-01

## GENOMIC FINDINGS

## GENE

**NF1**

## ALTERATION

S340fs\*12

## TRANSCRIPT ID

NM\_001042492

## CODING SEQUENCE EFFECT

1019\_1020delCT

## VARIANT ALLELE FREQUENCY (% VAF)

41.3%

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

On the basis of clinical evidence in neurofibromatosis Type 1-associated neurofibroma<sup>56-59</sup>, glioma or glioblastoma<sup>59-63</sup>, non-small cell lung cancer<sup>64</sup>, NF1 inactivation may predict sensitivity to MEK inhibitors such as cobimetinib, trametinib, binimetinib, and selumetinib. Loss or inactivation of NF1 may also predict sensitivity to mTOR inhibitors, including the approved agents everolimus and temsirolimus, based on limited clinical data<sup>65-67</sup> and strong preclinical data in models of malignant peripheral

nerve sheath tumor (MPNST)<sup>68-69</sup>. A preclinical study suggests that combined mTOR and MEK inhibition is effective in a model of NF1-deficient MPNST<sup>70</sup>. Whereas frequent adverse events precluded a recommended Phase 2 dose and schedule for the combination of trametinib and everolimus in a Phase 1b trial for solid tumors<sup>71</sup>, a retrospective study for heavily pretreated patients with solid tumors reported tolerable regimens of the combination for 23/31 patients, with 16 patients treated >3 months and evaluable patients achieving a median PFS of 6.5 months<sup>72</sup>.

## FREQUENCY &amp; PROGNOSIS

NF1 mutation has been observed in 5-6% of lower grade gliomas and 9-14% of glioblastoma multiforme (GBM) cases; homozygous deletion of NF1 was observed in 1% of lower grade gliomas and 2-3% of GBMs<sup>48,73-75</sup>. Among GBM subtypes, NF1 mutation and loss were reported most frequently in the mesenchymal subtype, 37% (14/28) and 38% (21/55) of cases, respectively<sup>76</sup>. NF1 loss was significantly associated with decreased overall and disease-specific survival in patients with lower grade gliomas (II-III), but not in those with GBM<sup>77</sup>.

## FINDING SUMMARY

NF1 encodes neurofibromin, a GTPase-activating protein (GAP) that is a key negative regulator of the RAS signaling pathway<sup>78</sup>. Neurofibromin acts as a tumor suppressor by repressing RAS signaling<sup>79</sup>. Alterations such as seen here may disrupt NF1 function or expression<sup>79-88</sup>.

## POTENTIAL GERMLINE IMPLICATIONS

One or more of the NF1 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with neurofibromatosis type 1 (ClinVar, Mar 2021)<sup>89</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in NF1 cause the autosomal dominant disorder neurofibromatosis type 1, which is characterized in part by increased risk of developing various tumors, including sarcoma, glioma, breast carcinoma, and neuroendocrine and hematological neoplasms<sup>90-92</sup>. Estimates for the prevalence of the disorder in the general population range from 1:2,500 to 1:3,000<sup>93-94</sup>, and in the appropriate clinical context, germline testing of NF1 is recommended.

ORDERED TEST # ORD-1188306-01

## GENOMIC FINDINGS

## GENE

## KRAS

## ALTERATION

V14I - subclonal

## TRANSCRIPT ID

NM\_004985

## CODING SEQUENCE EFFECT

40G&gt;A

## VARIANT ALLELE FREQUENCY (% VAF)

2.2%

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

Preclinical and clinical data suggest that KRAS mutations may predict clinical benefit from SHP2 inhibitors<sup>95-96</sup>. A Phase 1 study of RMC-4630 for relapsed/refractory solid tumors reported a DCR of 58% (23/40) for patients with NSCLC and KRAS mutations and a DCR of 75% (12/16) for patients with NSCLC and KRAS G12C mutations<sup>97</sup>. Interim results from a Phase 1/2 study of RMC-4630 plus cobimetinib reported tumor reduction in 3 of 8 patients with KRAS-mutated colorectal cancer<sup>98</sup>. Preclinical evidence

suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib<sup>99-104</sup>. While clinical responses have been reported for patients with KRAS-mutated ovarian<sup>105-108</sup>, cervical small cell neuroendocrine<sup>109</sup>, or uterine cancer<sup>107</sup> treated with MEK inhibitor monotherapy, multiple clinical trials have not demonstrated increased response rates for patients with KRAS-altered tumors including KRAS-mutated CRC<sup>110-113</sup>, pancreatic cancer<sup>114-116</sup>, and NSCLC<sup>111,117-118</sup>. A Phase 2 study of trametinib and uprosertib for patients with recurrent cervical cancer reported no responses for patients with KRAS-mutated (2/2 SDs) or KRAS-amplified (1/1 SD) cancer<sup>119</sup>. Clinical responses have been reported for combination treatment strategies including MEK inhibitors with PI3K or AKT inhibitors for patients with KRAS-mutated ovarian cancer<sup>120-122</sup> and KRAS-mutated endometrioid adenocarcinoma<sup>123</sup>.

## FREQUENCY &amp; PROGNOSIS

In the TCGA dataset, KRAS mutations or amplification was detected in 1.8% of glioblastomas (GBM)<sup>74</sup> and 2.8% of lower grade gliomas<sup>73</sup>. In other studies KRAS mutations were

observed in 2 out of 125 pilocytic astrocytomas, 1 out 25 grade 1 and 2 astrocytomas<sup>124-125</sup>, and 2 out of 94 patients with GBM<sup>126</sup>. While the importance of RAS signaling in astrocytomas has been established, there is very little information regarding clinical implications of KRAS alterations in human astrocytoma<sup>124,127</sup>. In mouse models of cancer, activating KRAS mutation in combination with AKT mutation was sufficient to induce GBM in astrocytes and neural progenitors<sup>128</sup>. Furthermore, mutant KRAS-driven signaling was required for the maintenance of mouse GBM tumors<sup>129</sup>, suggesting that targeting KRAS signaling may be an appropriate therapeutic strategy in KRAS-driven GBMs.

## FINDING SUMMARY

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation<sup>100,130</sup>. KRAS mutations K5N, V14I, P34L/R, T58I, G60R, Y71H, K147E, and F156L have been characterized as activating and identified as germline mutations associated with Noonan, Costello and cardio-faciocutaneous syndromes<sup>131-138</sup>.

ORDERED TEST # ORD-1188306-01

## GENOMIC FINDINGS

## GENE

# PDGFRA

## ALTERATION

S847L

## TRANSCRIPT ID

NM\_006206

## CODING SEQUENCE EFFECT

2540C&gt;T

## VARIANT ALLELE FREQUENCY (% VAF)

24.2%

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

On the basis of extensive clinical evidence in solid tumors and hematologic cancers, PDGFRA activating alterations are associated with sensitivity to imatinib<sup>139-176</sup>. Sorafenib has shown clinical and preclinical activity against the FIP1L1-PDGFRA fusion in chronic eosinophilic leukemia (CEL) and mutations associated with clinical resistance to imatinib and sunitinib in both CEL and gastrointestinal stromal tumor (GIST)<sup>177-182</sup>. Complete responses to nilotinib have been reported in patients with CEL or hypereosinophilic syndrome with FIP1L1-PDGFRA or activating mutations<sup>155,183-184</sup>;

preclinical evidence has reported efficacy of nilotinib in the context of PDGFRA mutations associated with GIST<sup>185-186</sup>. Patients with GIST harboring PDGFRA activating mutations have been reported to derive clinical benefit from treatment with sunitinib<sup>187</sup> or regorafenib<sup>188-189</sup>. Preclinical studies have reported sensitivity of activating PDGFRA mutations and FIP1L1-PDGFRA fusion to dasatinib<sup>179,185</sup>. PDGFRA D842 mutations were reported to be sensitive to avapritinib in clinical<sup>190</sup> and preclinical<sup>190</sup> studies of GIST, and demonstrated sensitivity to ripretinib for 1 patient<sup>191</sup>. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

## FREQUENCY & PROGNOSIS

PDGFRA mutation has been identified in 5.6% of Grade 3 and 5.4% of Grade 4 astrocytomas, 2.4% of Grade 3 oligodendrogliomas, and 12% (3/25) of gliosarcomas analyzed in COSMIC (Jun 2021)<sup>192</sup>. PDGFRA mutations have been reported in 0-5% of lower grade glioma and glioblastoma samples<sup>74-75,193-198</sup>, Ceccarelli et al., 2016; 26824661, Cancer Genome Atlas Research Network., 2015; 26061751, cBio-Johnson et al., 2014; 24336570, cBio-Thomas et al., 2017; 28472509, cBio-Jones et al., 2013; 23817572). A retrospective analysis of

TCGA glioma samples reported elevated expression of ERBB3 correlated with PDGFRA expression and co-expression of these genes was an indicator of poor prognosis in a GBM patient cohort<sup>199</sup>. Amplification of PDGFRA has been associated with tumor grade and poor progression-free and overall survival in patients with glioblastoma<sup>200-202</sup>. In addition, PDGFRA amplification has been reported to occur in conjunction with IDH1 mutation in glioblastoma, and both alterations in the same tumor have been associated with poor patient prognosis<sup>202</sup>.

## FINDING SUMMARY

PDGFRA encodes platelet-derived growth factor receptor alpha (PDGFR-alpha), a tyrosine kinase receptor that, upon binding of cognate ligands (PDGFA or PDGFB), activates several signaling pathways, including PI3K and MAPK<sup>203</sup>. PDGFR aberrations, including point mutations, translocations, amplification, and/or overexpression, have been associated with various malignancies<sup>204</sup>. Although alterations such as seen here have not been fully characterized and are of unknown functional significance, similar alterations have been previously reported in the context of cancer, which may indicate biological relevance.



ORDERED TEST # ORD-1188306-01

## GENOMIC FINDINGS

## GENE

# PTEN

## ALTERATION

R233\* - subclonal

## TRANSCRIPT ID

NM\_000314

## CODING SEQUENCE EFFECT

697C&gt;T

## VARIANT ALLELE FREQUENCY (% VAF)

1.1%

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

PTEN loss or mutation leads to activation of the PI3K-AKT-mTOR pathway and may predict sensitivity to inhibitors of this pathway<sup>205-208</sup>. Clinical studies in glioblastoma have not observed an association between PTEN deficiency and response to everolimus or temsirolimus<sup>209-211</sup>. Preclinical data indicate that PTEN loss or inactivation may predict sensitivity to PARP inhibitors<sup>212-216</sup>, and clinical benefit has been observed for patients with PTEN-altered breast cancer including triple negative breast cancer<sup>217</sup>, ovarian cancer<sup>218</sup>, uterine leiomyosarcoma<sup>219</sup>, and endometrial cancer<sup>216</sup> treated with PARP inhibitors. However, some studies have reported a lack of association between PTEN mutation and PARP inhibitor sensitivity<sup>220-221</sup>.

### — Potential Resistance —

On the basis of a Phase 1b study, PTEN loss of expression may be associated with resistance to combination therapy with CDK4/6 inhibitors

such as ribociclib and aromatase inhibitors such as letrozole<sup>222</sup>. Limited clinical evidence in glioblastoma<sup>35</sup>, leiomyosarcoma<sup>223</sup>, and melanoma<sup>224</sup> suggests that PTEN alterations may predict a lack of response to anti-PD-1 therapy. In an analysis of 39 patients with metastatic melanoma treated with pembrolizumab or nivolumab, patients with PTEN-expressing tumors achieved significantly greater reduction of tumor size than those with reduction or loss of PTEN expression<sup>224</sup>. In a retrospective analysis of 66 patients with glioblastoma, tumors from nivolumab or pembrolizumab non-responders were significantly enriched for PTEN mutations<sup>35</sup>. In a patient with uterine leiomyosarcoma treated with pembrolizumab monotherapy, a treatment-resistant tumor arose that harbored PTEN loss<sup>223</sup>.

## FREQUENCY & PROGNOSIS

Studies in the literature have indicated that PTEN alterations (mutation or homozygous deletion) occur most frequently in glioblastoma (GBM), less frequently in anaplastic astrocytoma, and rarely in lower grade glioma subtypes including low grade astrocytoma, oligodendroglioma, oligoastrocytoma, and ependymoma<sup>225-232</sup>. One study detected PTEN mutation in 42% (97/232) and loss in 10% (24/232) of IDH-wildtype GBM samples analyzed<sup>233</sup>. In the TCGA dataset, PTEN mutation was observed in 23% of GBM cases and PTEN deletion was reported in 7% of cases<sup>74</sup>, while in the Lower Grade Glioma TCGA dataset, PTEN mutation was observed in 4% of cases and homozygous deletion observed in 1.2% of cases<sup>73</sup>. Decreased PTEN expression is associated with the higher grade GBM tumors<sup>234</sup>. Loss of PTEN correlated with significantly worse prognosis in all grades of gliomas<sup>229,235</sup>.

## FINDING SUMMARY

PTEN encodes an inositol phosphatase that functions as a tumor suppressor by negatively regulating the PI3K-AKT-mTOR pathway; loss of PTEN can lead to uncontrolled cell growth and suppression of apoptosis<sup>206</sup>. Alterations such as seen here may disrupt PTEN function or expression<sup>231,236-275</sup>.

## POTENTIAL GERMLINE IMPLICATIONS

One or more of the PTEN variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with hamartoma tumor syndrome (ClinVar, Mar 2021)<sup>89</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. PTEN mutations underlie several inherited disorders, collectively termed PTEN hamartoma tumor syndrome (PHTS), which include Cowden syndrome (CS) and its variant Lhermitte-Duclos disease (LD), Bannayan-Riley-Ruvalcaba syndrome (BRRS), PTEN-related Proteus syndrome (PS), and Proteus-like syndrome<sup>276-277</sup>. The mutation rate for PTEN in these disorders ranges from 20 to 85% of patients<sup>276,278</sup>. The estimated incidence of Cowden syndrome is 1/200,000, which may be an underestimate due to the high variability of this disorder<sup>276</sup>. Given the association between PTEN and these inherited syndromes, in the appropriate clinical context, germline testing for mutations affecting PTEN is recommended.

ORDERED TEST # ORD-1188306-01

## GENOMIC FINDINGS

## GENE

## RNF43

## ALTERATION

G659fs\*41

## TRANSCRIPT ID

NM\_017763

## CODING SEQUENCE EFFECT

1976delG

## VARIANT ALLELE FREQUENCY (% VAF)

18.1%

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

Preclinical studies have reported that RNF43 is a

negative regulator of WNT signaling, and RNF43 loss or inactivation leads to WNT activation and confers sensitivity to WNT pathway inhibitors, particularly Porcupine inhibitors, in multiple tumor types<sup>279-283</sup>. Therefore, patients whose tumors harbor inactivating alterations in RNF43 may benefit from WNT pathway inhibitors, which are under investigation in clinical trials.

## FREQUENCY &amp; PROGNOSIS

Mutations in RNF43 have been reported in 18-27% of endometrial cancers<sup>284-285</sup>, 3-5% of pancreatic cancers<sup>286</sup>, 21% of ovarian mucinous carcinomas<sup>287</sup>, 9% of liver fluke-associated cholangiocarcinomas<sup>288</sup>, and up to 18% of colorectal cancers<sup>53,285</sup>. RNF43 mutations are associated with mismatch repair deficiency and

microsatellite instability (MSI) in colorectal<sup>285</sup>, endometrial<sup>285</sup>, and gastric cancers<sup>289-290</sup>; one study reported RNF43 alterations in more than 50% of MSI gastric carcinomas<sup>289</sup>.

## FINDING SUMMARY

RNF43 encodes a ubiquitin ligase<sup>291</sup> that was discovered because it is overexpressed in colon cancer<sup>292</sup>. RNF43 and the homologous E3 ubiquitin ligase ZNRF3 are tumor suppressors that function as negative regulators of WNT signaling<sup>279-283</sup>. An additional tumor-suppressor-like role for RNF43 in colon cancer is hypothesized to occur via its interaction with the ubiquitin-protein ligase NEDL1, which is predicted to enhance the pro-apoptotic effects of p53<sup>293</sup>.

## GENE

## TSC2

## ALTERATION

R1438Q

## TRANSCRIPT ID

NM\_000548

## CODING SEQUENCE EFFECT

4313G&gt;A

## VARIANT ALLELE FREQUENCY (% VAF)

22.0%

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

Loss or inactivation of TSC2 can activate mTOR signaling<sup>294-295</sup>. mTOR inhibitors such as everolimus, temsirolimus, and sirolimus have shown activity against tumors associated with the genetic disease tuberous sclerosis complex (TSC), including subependymal giant-cell astrocytomas and renal angiomyolipomas<sup>296-300</sup>. In the context of TSC2-altered malignancies unrelated to TSC, mTOR inhibitor activity has been limited<sup>301-303</sup>, with the exception of perivascular epithelioid cell tumors (PEComas)<sup>304-305</sup> and anecdotal reports across various solid tumors including anaplastic

thyroid cancer<sup>306</sup>, renal cell carcinoma (RCC)<sup>307-308</sup>, glioblastoma<sup>309</sup>, and CNS embryonal tumor<sup>310</sup>, as well as a case of Hodgkin lymphoma<sup>311</sup>. In the prospective NCI-MATCH study, only 6.7% (1/15) of patients with TSC2-mutated solid tumors responded to everolimus, with the single response reported for a patient with uterine leiomyosarcoma<sup>301</sup>. Retrospective analyses of the RECORD-3, GRANITE-1, and EVOLVE-1 studies of everolimus to treat patients with RCC, gastric cancer, or hepatocellular carcinoma, respectively, showed no significant association between alterations in mTOR, TSC1, or TSC2 and median PFS<sup>312</sup>. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

## FREQUENCY &amp; PROGNOSIS

Hemizygous loss of TSC2 has been reported in one of 15 GBM samples in one study<sup>313</sup> and homozygous deletion in 0.2% of cases analyzed in the TCGA datasets<sup>74</sup>. TSC2 mutation has been observed in 0.4-3.0% of grade I-II glioma, 2.8% of grade III glioma, and <0.5% of glioblastomas (cBioPortal, COSMIC, Jan 2021)<sup>73-74</sup>. Loss of Tuberin expression has been reported in high-grade astrocytomas<sup>314</sup>. Inactivation of TSC2 and

Tuberin has been associated with numerous cancers, including astrocytomas and glioblastomas<sup>315-316</sup>.

## FINDING SUMMARY

The tumor suppressor protein Tuberin (TSC2) binds with Hamartin (TSC1) to inhibit mTOR signaling and cell growth<sup>294,317</sup>. Although alterations such as seen here have not been fully characterized and are of unknown functional significance, similar alterations have been previously reported in the context of cancer, which may indicate biological relevance.

## POTENTIAL GERMLINE IMPLICATIONS

Inactivating germline mutations in TSC2 are associated with the autosomal dominant disorder tuberous sclerosis complex, which results in the development of hamartomas in multiple organ systems and an increased risk of developing renal cell carcinoma (RCC)<sup>318-320</sup>. TSC2 mutations account for approximately 75 to 80% of reported sporadic cases<sup>321</sup>. Prevalence for this disorder in the general population is estimated to be 1/6,000 from birth and 1/12,000 to 1/14,000 in children under 10 years of age<sup>321</sup>. In the appropriate clinical context, germline testing of TSC2 is recommended.



ORDERED TEST # ORD-1188306-01

## GENOMIC FINDINGS

## GENE

**ATR**

## ALTERATION

Y2025fs\*2

## TRANSCRIPT ID

NM\_001184

## CODING SEQUENCE EFFECT

6072\_6073insA

## VARIANT ALLELE FREQUENCY (% VAF)

24.4%

mutated breast cancer<sup>322</sup>. Based on preclinical evidence, ATR-deficient tumors may be sensitive to PARP inhibitors<sup>323-324</sup>.

## — Nontargeted Approaches —

ATR inactivation has been associated with increased sensitivity to 5-fluorouracil and cisplatin, but not to oxaliplatin, in cancer cell lines<sup>325-327</sup>; however, this has not been demonstrated clinically.

## FREQUENCY &amp; PROGNOSIS

ATR mutations have been reported in 1% of glioblastoma multiforme (GBM) samples<sup>74-75</sup>. In the COSMIC database, ATR mutations were not detected in any of 70 low-grade gliomas, but were identified in 2% of glioblastomas (Apr 2021)<sup>192</sup>. Expression of ATR has been reported to be

reduced in gliomas compared to normal brain tissue<sup>328</sup>. It has also been reported that in glioma cells the level of phosphorylated ATR decreases in response to hypoxia<sup>329</sup>. The prognostic significance of ATR alterations in glioma has not been extensively investigated (PubMed, Mar 2021).

## FINDING SUMMARY

ATR encodes the protein ataxia telangiectasia and RAD3 related, which phosphorylates the tumor suppressor BRCA1, and several cell cycle checkpoint proteins including CHK1; it plays a key role in maintaining genome integrity via regulation of DNA repair and replication<sup>330-331</sup>. Alterations such as seen here may disrupt ATR function or expression<sup>332</sup>.

## POTENTIAL TREATMENT STRATEGIES

## — Targeted Therapies —

A Phase 2 study reported talazoparib led to a SD lasting over 6 months for a patient with ATR-

ORDERED TEST # ORD-1188306-01

**GENOMIC FINDINGS**
**GENE**
**CDKN2A/B**
**ALTERATION**

p16INK4a R58\* and p14ARF P72L

**TRANSCRIPT ID**

NM\_000077

**CODING SEQUENCE EFFECT**

172C&gt;T

**VARIANT ALLELE FREQUENCY (% VAF)**

24.7%

**POTENTIAL TREATMENT STRATEGIES**
**— Targeted Therapies —**

Preclinical data suggest that tumors with loss of p16INK4a function may be sensitive to CDK4/6 inhibitors, such as abemaciclib, ribociclib, and palbociclib<sup>333-336</sup>. Although case studies have reported that patients with breast cancer or uterine leiomyosarcoma harboring CDKN2A loss responded to palbociclib treatment<sup>337-338</sup>, multiple other clinical studies have shown no significant correlation between p16INK4a loss or inactivation and therapeutic benefit of these agents<sup>339-345</sup>; it is not known whether CDK4/6 inhibitors would be beneficial in this case. Although preclinical studies have suggested that loss of p14ARF function may be associated with reduced sensitivity to MDM2 inhibitors<sup>346-347</sup>, the clinical relevance of p14ARF as a predictive biomarker is not clear.

**— Potential Resistance —**

On the basis of a Phase 1b study, PTEN loss of expression may be associated with resistance to combination therapy with CDK4/6 inhibitors

such as ribociclib and aromatase inhibitors such as letrozole<sup>222</sup>.

**FREQUENCY & PROGNOSIS**

Concurrent putative homozygous deletion of CDKN2A and CDKN2B has been reported in 35% of patients with gliomas<sup>348</sup> and detected more frequently in patients with glioblastoma multiforme (GBM; 58%)<sup>74</sup> than in those with lower grade gliomas (13%) (cBioPortal, Sep 2021)<sup>349-350</sup>. In other studies, loss of CDKN2A/B by deletion has been reported in up to 78% of astrocytomas (including anaplastic astrocytomas and GBM)<sup>76,201,351</sup>. A study found homozygous deletion of both p16INK4a and p14ARF in 26% (13/50) of glioblastomas (GBMs); 18% (9/50) of cases showed homozygous deletion of the p14ARF-encoding locus alone<sup>352</sup>. One study detected CDKN2A/B loss in 69% (161/232) and mutation in 2.6% (6/232) of IDH-wildtype GBM samples analyzed<sup>233</sup>. Decreased p14ARF and p16INK4a expression levels were found to be tightly associated in a study of glioma samples<sup>353</sup>. Homozygous deletion of the genomic region including CDKN2A and CDKN2B has been found to be associated with poor prognosis in GBM and likely serves as an early event in GBM progression<sup>201,354</sup>. In addition, expression of p16INK4a has been found to be lower in patients with high grade malignant gliomas compared to patients with low grade gliomas, and loss of p16INK4a expression has been associated with shorter overall survival in pilocytic astrocytomas<sup>355-356</sup>.

**FINDING SUMMARY**

CDKN2A encodes two different, unrelated tumor suppressor proteins, p16INK4a and p14ARF,

whereas CDKN2B encodes the tumor suppressor p15INK4b<sup>357-358</sup>. Both p15INK4b and p16INK4a bind to and inhibit CDK4 and CDK6, thereby maintaining the growth-suppressive activity of the Rb tumor suppressor; loss or inactivation of either p15INK4b or p16INK4a contributes to dysregulation of the CDK4/6-cyclin-Rb pathway and loss of cell cycle control<sup>359-360</sup>. The tumor suppressive functions of p14ARF involve stabilization and activation of p53, via a mechanism of MDM2 inhibition<sup>361-362</sup>. One or more alterations observed here are predicted to result in p16INK4a loss of function<sup>363-384</sup>. One or more alterations seen here have been observed in the context of cancer but have not been characterized and their effect on p14ARF function is unclear.

**POTENTIAL GERMLINE IMPLICATIONS**

Germline CDKN2A mutation is associated with melanoma-pancreatic cancer syndrome, a condition marked by increased risk of developing malignant melanoma and/or pancreatic cancer<sup>385</sup>. Mutation carriers within families may develop either or both types of cancer, and melanoma cases may be referred to as familial or hereditary melanoma<sup>386-387</sup>. CDKN2A is the most implicated gene in familial melanoma, with germline mutations present in 16% to 20% of familial melanoma cases<sup>388-390</sup>. CDKN2A alteration has also been implicated in familial melanoma-astrocytoma syndrome, an extremely rare tumor association characterized by dual predisposition to melanoma and nervous system tumors<sup>391-393</sup>. In the appropriate clinical context, germline testing of CDKN2A is recommended.

ORDERED TEST # ORD-1188306-01

## GENOMIC FINDINGS

## GENE

# ERCC4

## ALTERATION

A428V

## TRANSCRIPT ID

NM\_005236

## CODING SEQUENCE EFFECT

1283C&gt;T

## VARIANT ALLELE FREQUENCY (% VAF)

26.7%

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

There are no therapies to address ERCC4 alterations in cancer. On the basis of limited preclinical and clinical data, loss of ERCC4

expression may predict response to platinum agents<sup>394-395</sup> or combination PARP and TOP1 inhibitors<sup>396</sup>. A patient with advanced-stage high-grade serous epithelial ovarian cancer and an ERCC4 A583T mutation with heterozygous loss of the wild-type allele exhibited an ongoing disease-free response (25 months post diagnosis) to first-line platinum chemotherapy<sup>394</sup>.

## FREQUENCY & PROGNOSIS

In the MSK-IMPACT pan-cancer dataset, ERCC4 mutation has been reported in 1.2% of more than 10,000 samples across 62 solid tumor types analyzed<sup>397</sup>. In lung adenocarcinoma, higher expression of XPF was observed in never smokers versus ever smokers<sup>398</sup>. High expression of the partner protein, ERCC1, has been associated with lack of response to platinum-based chemotherapy in several tumor types, including non-small cell

lung cancer<sup>399-400</sup>, head and neck squamous cell carcinoma<sup>401</sup>, bladder cancer<sup>402</sup>, and ovarian cancer<sup>403-404</sup>.

## FINDING SUMMARY

ERCC4 (also known as XPF) encodes a DNA endonuclease that forms an obligate partner for ERCC1; XPF-ERCC1 is involved in several DNA repair pathways, including nucleotide excision repair (NER), interstrand cross-link repair, single-stranded annealing branch of homologous recombination, and telomere maintenance<sup>404-405</sup>. Cells lacking ERCC4 exhibit heightened sensitivity to platinum agents<sup>394-395</sup>. Mutations in ERCC4 have been associated with xeroderma pigmentosum, Cockayne syndrome, Fanconi anemia, XFE progeria, and cerebro-oculo-facio-skeletal syndrome<sup>406</sup>.

## GENE

# PTPN11

## ALTERATION

M504V - subclonal, R498W

## TRANSCRIPT ID

NM\_002834, NM\_002834

## CODING SEQUENCE EFFECT

1510A&gt;G, 1492C&gt;T

## VARIANT ALLELE FREQUENCY (% VAF)

2.0%, 20.0%

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

SHP-2 has been reported to activate the RAS-MEK-ERK, PI3K-AKT-mTOR, and SRC kinase pathways<sup>407-410</sup>. Based on a case study of a patient with histiocytic sarcoma harboring an activating PTPN11 mutation who experienced a PR to trametinib<sup>411</sup>, as well as preclinical data<sup>412-414</sup>, PTPN11 activation may predict sensitivity to MEK inhibitors in histiocytic neoplasms. These

approaches would not be relevant in the context of inactivating alterations, as seen here.

## FREQUENCY & PROGNOSIS

In the Brain Lower Grade Glioma and Glioblastoma Multiforme TCGA datasets, PTPN11 mutations have been reported in fewer than 1% of cases (cBioPortal, Jan 2021)<sup>349-350</sup>. PTPN11 mutations in glioma subtypes have also been reported in the scientific literature, and recurrent activating PTPN11 mutations have been detected in pilocytic astrocytomas<sup>415-417</sup>. PTPN11 mutations in glioblastoma have been associated with young patient age<sup>418</sup>, but their prognostic significance in gliomas in general have not been extensively studied (PubMed, Aug 2021). While both oncogenic and tumor suppressor roles for PTPN11 has been described, its role in glioblastoma tumorigenesis is likely to be oncogenic<sup>415-416,419-420</sup>.

## FINDING SUMMARY

PTPN11 encodes the protein tyrosine-protein phosphatase non-receptor type 11, also known as SHP-2. PTPN11 plays a critical role in both

embryonic development and cancer<sup>421</sup>. PTPN11 is also known to be somatically mutated in a variety of cancers, where both oncogenic and tumor suppressor roles for PTPN11 have been described<sup>415,419-420</sup>. The N-terminal SRC homology 2 (SH2) domain (aa 6-102) negatively regulates SHP-2 activity by binding to the active site of the SHP-2 protein tyrosine phosphatase (PTP) domain (aa 247-521)<sup>422</sup>. Alterations that disrupt this interaction or affect the specificity and structure of the SH2 and PTP domains, such as seen here, have been characterized as activating<sup>409,419,423-435</sup> and are predicted to be oncogenic<sup>409,419,424-427,436-439</sup>. The PTPN11 R498W mutation was reported in a patient with Noonan syndrome and characterized as inactivating<sup>431</sup>.

## POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in PTPN11 have been found in the developmental disorder Noonan syndrome, which predisposes individuals to various cancers, including embryonal rhabdomyosarcoma, neuroblastoma, and juvenile myelomonocytic leukemia<sup>425,440-444</sup>.

ORDERED TEST # ORD-1188306-01

**GENOMIC FINDINGS**
**GENE**

# SETD2

**ALTERATION**

W1640fs\*26

**TRANSCRIPT ID**

NM\_014159

**CODING SEQUENCE EFFECT**

4912\_4913insA

**VARIANT ALLELE FREQUENCY (% VAF)**

26.4%

**POTENTIAL TREATMENT STRATEGIES**
**— Targeted Therapies —**

There are no targeted therapies available to address genomic alterations in SETD2.

**FREQUENCY & PROGNOSIS**

Somatic inactivating alterations of SETD2 are documented to occur at low frequency in a number of solid tumors, most commonly in renal carcinoma<sup>445</sup>. SETD2 has been associated with favorable prognosis in gastric cancer<sup>446</sup>. SETD2 has also been associated with poor prognosis in RCC and MDS<sup>447</sup>, while data in other tumor types is limited (PubMed, Jun 2021).

**FINDING SUMMARY**

SETD2 encodes a histone lysine-36 methyltransferase<sup>448</sup> that preferentially interacts with the expanded N-terminal polyglutamine tracts present in mutant huntingtin, implicating it in the pathogenesis of Huntington disease<sup>449</sup>. SETD2 mRNA expression has been observed to be consistently reduced in breast tumors relative to adjacent non-tumor tissue, suggesting a potential tumor suppressor role<sup>450</sup>. SETD2 alterations such as observed here have been shown to be inactivating<sup>451-456</sup>.

ORDERED TEST # ORD-1188306-01

## GENOMIC FINDINGS

## GENE

# TP53

## ALTERATION

R110C, R175H

## TRANSCRIPT ID

NM\_000546, NM\_000546

## CODING SEQUENCE EFFECT

328C&gt;T, 524G&gt;A

## VARIANT ALLELE FREQUENCY (% VAF)

27.5%, 28.6%

## POTENTIAL TREATMENT STRATEGIES

### — Targeted Therapies —

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib<sup>457-460</sup>, or p53 gene therapy and immunotherapeutics such as SGT-53<sup>461-465</sup> and ALT-801<sup>466</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% (17/176) and SDs in 53.4% (94/176) of patients with solid tumors; the response rate was 21.1% (4/19) in patients with TP53 mutations versus 12.1% (4/33) in patients who were TP53 wild-type<sup>467</sup>. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 31.9% (30/94, 3 CR) ORR and a 73.4% (69/94) DCR in patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>468</sup>. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 42.9% (9/21, 1 CR) ORR and a 76.2% (16/21) DCR in patients with platinum-refractory TP53-mutated ovarian cancer<sup>469</sup>. The combination of adavosertib with paclitaxel and carboplatin in patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone<sup>120</sup>. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24.0% (6/25) ORR with adavosertib combined with paclitaxel<sup>470</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71.4% (5/7) response rate for patients with TP53 alterations<sup>471</sup>. In a Phase 1b clinical trial of SGT-53 in

combination with docetaxel in patients with solid tumors, 75.0% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>465</sup>. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53-mutated, but not TP53-wild-type, breast cancer xenotransplant mouse model<sup>472</sup>. Missense mutations leading to TP53 inactivation may also be sensitive to therapies that reactivate mutated p53 such as APR-246<sup>473-475</sup>. In a Phase 1b trial for patients with p53-positive high-grade serous ovarian cancer, APR-246 combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR<sup>476</sup>. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies<sup>477-478</sup>; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies<sup>479-480</sup>. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

## FREQUENCY & PROGNOSIS

In the TCGA dataset, TP53 alterations have been reported in 35% of glioblastomas (GBMs), with a high incidence in pediatric and secondary GBMs and a low incidence in primary GBMs<sup>75,481</sup>. One study detected TP53 alterations in 31% (73/232) of IDH-wildtype GBM samples analyzed, with most of the events being mutations<sup>233</sup>. TP53 mutations have been reported in 18-40% of astrocytoma samples, and preferentially in anaplastic astrocytoma; one study reported TP53 loss of function and partially/fully functional mutations in 15% and 25% of anaplastic astrocytomas, respectively<sup>482-487</sup>. Some studies suggest that the presence of a TP53 mutation is correlated with a favorable prognosis in patients with glioblastoma (GBM)<sup>488</sup>. One study reported that TP53 alterations were associated with poorer OS (12.9 months altered vs. 19.7 months wildtype, HR=1.58, p=0.0054) in IDH-wildtype GBM<sup>233</sup>. Mutation of TP53 is thought to be an early step in the tumorigenesis of astrocytomas, which can progress into anaplastic astrocytoma and then glioblastoma through gain of other genetic abnormalities such as loss of CDKN2A or RB1,

followed by loss of PTEN<sup>489</sup>.

## FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>490</sup>. Alterations such as seen here may disrupt TP53 function or expression<sup>491-495</sup>.

## POTENTIAL GERMLINE IMPLICATIONS

One or more of the TP53 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with Li-Fraumeni syndrome (ClinVar, Mar 2021)<sup>89</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers<sup>496-498</sup>, including sarcomas<sup>499-500</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>501</sup> to 1:20,000<sup>500</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30<sup>502</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

## POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>503-508</sup>. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy<sup>503-504</sup>. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>509</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH<sup>507,510-511</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.



ORDERED TEST # ORD-1188306-01

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Selumetinib

*Assay findings association*
**NF1**  
S340fs\*12

### AREAS OF THERAPEUTIC USE

Selumetinib is a MEK inhibitor that is FDA approved to treat pediatric patients 2 years of age and older with neurofibromatosis type 1 (NF1) who have symptomatic, inoperable plexiform neurofibromas (PNs). Please see the drug label for full prescribing information.

### GENE ASSOCIATION

On the basis of clinical evidence in neurofibromatosis type 1 (NF1)-associated neurofibroma<sup>56-59,512-516</sup>, glioma<sup>59-63,517</sup>, and non-small cell lung cancer<sup>64</sup>, NF1 inactivation may predict sensitivity to MEK inhibitors.

### SUPPORTING DATA

Clinical data on the efficacy of selumetinib for the treatment of glioblastoma are limited (PubMed, Apr 2021). Selumetinib has demonstrated clinical activity in low-grade glioma. A Phase 2 study of selumetinib for patients with low-grade glioma (LGG) reported 8/25 PRs for patients with BRAF alterations and 10/25 PRs for those with NF1-associated LGG<sup>60</sup>; a Phase 1 study of selumetinib reported 5/25 PRs for patients with LGG<sup>518</sup>. A Phase 2 study of selumetinib for patients with tumors with activating alterations in the MAPK pathway

evaluated 8 patients with high-grade glioma (HGG); 2 SDs and no objective responses were observed in this subset<sup>519</sup>. Selumetinib has demonstrated efficacy in NF1-associated neurofibroma in Phase 2 studies<sup>57,512-513</sup> and a Phase 1 study<sup>56</sup>. Phase 2 studies reported clinical responses in low-grade glioma<sup>60,518</sup>, melanoma<sup>520-524</sup>, and in lung<sup>64,525-526</sup> and endometrial cancer<sup>527</sup>. A Phase 2 study of selumetinib for patients with activating alterations in the MAPK pathway reported a DCR of 15% (3/20), with no objective responses observed<sup>519</sup>. Phase 1 studies of selumetinib to treat patients with solid tumors reported 1/15 PR for a patient with colorectal cancer (CRC) and 5/15 SDs for patients with tonsil squamous cell carcinoma (SCC), non-small cell lung cancer (NSCLC), and CRC<sup>528</sup>; 2/39 PRs (for patients with CRC) and 18/39 SDs were achieved when selumetinib was administered in combination with cyclosporin A<sup>529</sup>. Multiple Phase 1 studies combining selumetinib with erlotinib or temsirolimus<sup>530</sup>, docetaxel or dacarbazine<sup>531</sup>, AKT inhibitors<sup>532</sup>, or cixutumumab (an anti-IGF-1R antibody)<sup>533</sup> reported clinical responses for patients with advanced solid tumors including NSCLC, thyroid carcinoma, tongue SCC, and ovarian cancer.

## Trametinib

*Assay findings association*
**NF1**  
S340fs\*12

### AREAS OF THERAPEUTIC USE

Trametinib is a MEK inhibitor that is FDA approved as a monotherapy to treat patients with melanoma with BRAF V600E or V600K mutations. Please see the drug label for full prescribing information.

### GENE ASSOCIATION

On the basis of clinical evidence in neurofibromatosis type 1 (NF1)-associated neurofibroma<sup>56-59,512-516</sup>, glioma<sup>59-63,517</sup>, and non-small cell lung cancer<sup>64</sup>, NF1 inactivation may predict sensitivity to MEK inhibitors.

### SUPPORTING DATA

Case studies of trametinib in NF1-associated low-grade glioma have reported 7 PRs, including 2 patients with pilocytic astrocytoma, 2 patients with diffuse astrocytoma, 3 patients with low-grade glioma

experiencing PRs of over 6 months<sup>59,61-62,517</sup>. A study of four pediatric patients with BRAF mutation-positive non-operable astrocytoma reported a reduction in tumor volume in response to trametinib for the 3 optic gliomas with BRAF duplication<sup>534-535</sup>. A patient with pilocytic astrocytoma harboring an NF1A-RAF1 fusion that had progressed on multiple lines of prior treatment exhibited ongoing SD following treatment with trametinib<sup>536</sup>. Whereas frequent adverse events precluded a recommended Phase 2 dose and schedule for the combination of trametinib and everolimus in a Phase 1b trial for solid tumors<sup>71</sup>, a retrospective study for heavily pretreated patients with solid tumors reported tolerable regimens of the combination for 23/31 patients, with 16 patients treated >3 months and evaluable patients achieving a median PFS of 6.5 months<sup>72</sup>.

**NOTE** Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.



ORDERED TEST # ORD-1188306-01

**CLINICAL TRIALS**

**NOTE** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and

should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that may require

medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationmedicine.com/genomic-testing#support-services). Or visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

**GENE**  
**KRAS**
**ALTERATION**  
 V14I - subclonal

**RATIONALE**  
 KRAS activating mutations or amplification may predict sensitivity to inhibitors of MAPK pathway

components, including MEK inhibitors.

**NCT04803318**
**PHASE 2**

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

**TARGETS**  
 mTOR, FGFRs, KIT, PDGFRA, RET, VEGFRs, MEK

**LOCATIONS:** Guangzhou (China)

**NCT03284502**
**PHASE 1**

Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors

**TARGETS**  
 MEK, RAFs

**LOCATIONS:** Hwasun (Korea, Republic of), Pusan (Korea, Republic of), Seongnam (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of)

**NCT04801966**
**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**  
 CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)

**NCT03905148**
**PHASE 1/2**

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

**TARGETS**  
 RAFs, EGFR, MEK

**LOCATIONS:** Nedlands (Australia), Blacktown (Australia), Randwick (Australia), Melbourne (Australia), Texas

**NCT04111458**
**PHASE 1**

A Study to Test Different Doses of BI 1701963 Alone and Combined With Trametinib in Patients With Different Types of Advanced Cancer (Solid Tumours With KRAS Mutation)

**TARGETS**  
 KRAS, SOS1, MEK

**LOCATIONS:** Frankfurt am Main (Germany), Köln (Germany), Utrecht (Netherlands), Rotterdam (Netherlands), Massachusetts, Tennessee, Texas, North Carolina

ORDERED TEST # ORD-1188306-01

CLINICAL TRIALS

**NCT04800822**
**PHASE 1**

PF-07284892 in Participants With Advanced Solid Tumors

**TARGETS**  
SHP2, ROS1, ALK, BRAF, EGFR, MEK

**LOCATIONS:** California, Michigan, New York, Tennessee, Texas

**NCT02070549**
**PHASE 1**

Trametinib in Treating Patients With Advanced Cancer With or Without Hepatic Dysfunction

**TARGETS**  
MEK

**LOCATIONS:** Toronto (Canada)

**NCT02407509**
**PHASE 1**

Phase I Trial of RO5126766

**TARGETS**  
RAFs, MEK, mTOR

**LOCATIONS:** London (United Kingdom), Sutton (United Kingdom)

**NCT03162627**
**PHASE 1**

Selumetinib and Olaparib in Solid Tumors

**TARGETS**  
MEK, PARP

**LOCATIONS:** Texas

**NCT03065387**
**PHASE 1**

Study of the Pan-ERBB Inhibitor Neratinib Given in Combination With Everolimus, Palbociclib or Trametinib in Advanced Cancer Subjects With EGFR Mutation/Amplification, HER2 Mutation/Amplification or HER3/4 Mutation

**TARGETS**  
mTOR, EGFR, ERBB2, ERBB4, CDK4, CDK6, MEK

**LOCATIONS:** Texas

ORDERED TEST # ORD-1188306-01

**CLINICAL TRIALS**
**GENE**
**NF1**
**ALTERATION**

S340fs\*12

**RATIONALE**

On the basis of clinical evidence and strong preclinical evidence, NF1 inactivation may predict sensitivity to MEK inhibitors. Limited clinical

data and strong preclinical data indicate that loss or inactivation of NF1 may also predict sensitivity to mTOR inhibitors.

**NCT03239015**
**PHASE 2**

Efficacy and Safety of Targeted Precision Therapy in Refractory Tumor With Druggable Molecular Event

**TARGETS**

EGFR, ERBB2, ERBB4, PARP, mTOR, MET, RET, ROS1, VEGFRs, BRAF, CDK4, CDK6

**LOCATIONS:** Shanghai (China)

**NCT04337463**
**PHASE NULL**

ATG-008 Combined With Toripalimab in Advanced Solid Tumors

**TARGETS**

mTORC1, mTORC2, PD-1

**LOCATIONS:** Chongqing (China), Chengdu (China)

**NCT04803318**
**PHASE 2**

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

**TARGETS**

mTOR, FGFRs, KIT, PDGFRA, RET, VEGFRs, MEK

**LOCATIONS:** Guangzhou (China)

**NCT03834740**
**PHASE NULL**

Ph0/2 Ribociclib & Everolimus

**TARGETS**

CDK6, CDK4, mTOR

**LOCATIONS:** Arizona

**NCT04801966**
**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**

CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)

**NCT03905148**
**PHASE 1/2**

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

**TARGETS**

RAFTs, EGFR, MEK

**LOCATIONS:** Nedlands (Australia), Blacktown (Australia), Randwick (Australia), Melbourne (Australia), Texas

ORDERED TEST # ORD-1188306-01

CLINICAL TRIALS

**NCT03158389**

PHASE 1/2

 NCT Neuro Master Match - N<sup>2</sup>M<sup>2</sup> (NOA-20)

**TARGETS**  
ALK, RET, CDK4, CDK6, mTOR,  
MDM2, PD-L1, SMO

**LOCATIONS:** Berlin (Germany), Dresden (Germany), Regensburg (Germany), Bochum (Germany), Frankfurt am Main (Germany), Essen (Germany), Mainz (Germany), Heidelberg (Germany), Cologne (Germany), Mannheim (Germany)

**NCT04800822**

PHASE 1

PF-07284892 in Participants With Advanced Solid Tumors

**TARGETS**  
SHP2, ROS1, ALK, BRAF, EGFR, MEK

**LOCATIONS:** California, Michigan, New York, Tennessee, Texas

**NCT02070549**

PHASE 1

Trametinib in Treating Patients With Advanced Cancer With or Without Hepatic Dysfunction

**TARGETS**  
MEK

**LOCATIONS:** Toronto (Canada)

**NCT03065062**

PHASE 1

Study of the CDK4/6 Inhibitor Palbociclib (PD-0332991) in Combination With the PI3K/mTOR Inhibitor Gedatolisib (PF-05212384) for Patients With Advanced Squamous Cell Lung, Pancreatic, Head &amp; Neck and Other Solid Tumors

**TARGETS**  
PI3K-alpha, PI3K-gamma, mTORC1,  
mTORC2, CDK4, CDK6

**LOCATIONS:** Massachusetts

ORDERED TEST # ORD-1188306-01

CLINICAL TRIALS

**GENE**  
**PDGFRA**
**ALTERATION**  
S847L

**RATIONALE**  
PDGFRA activating mutations may predict sensitivity to certain PDGFRA-targeted therapies. It is not known whether these therapeutic

approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

**NCT04704154**
**PHASE 2**

A Trial to Learn Whether Regorafenib in Combination With Nivolumab Can Improve Tumor Responses and How Safe it is for Participants With Solid Tumors

**TARGETS**  
BRAF, KIT, RET, VEGFRs, PD-1

**LOCATIONS:** Taipei (Taiwan), Taichung (Taiwan), Tainan (Taiwan), Seoul (Korea, Republic of), Kobe (Japan), Nagoya (Japan), Chuo-ku (Japan), Koto-ku (Japan), Kita-Adachigun (Japan), Padova (Italy)

**NCT03025893**
**PHASE 2/3**

A Phase II/III Study of High-dose, Intermittent Sunitinib in Patients With Recurrent Glioblastoma Multiforme

**TARGETS**  
CSF1R, FLT3, KIT, RET, VEGFRs

**LOCATIONS:** Groningen (Netherlands), Nijmegen (Netherlands), Amsterdam (Netherlands)

**NCT03970447**
**PHASE 2/3**

A Trial to Evaluate Multiple Regimens in Newly Diagnosed and Recurrent Glioblastoma

**TARGETS**  
BRAF, KIT, RET, VEGFRs

**LOCATIONS:** Washington, Utah, California, Colorado, Minnesota, Wisconsin, Montréal (Canada), Michigan

**NCT04051606**
**PHASE 2**

Regorafenib in Bevacizumab Refractory Recurrent Glioblastoma

**TARGETS**  
BRAF, KIT, RET, VEGFRs

**LOCATIONS:** Ohio

**NCT04200404**
**PHASE 1/2**

A Study of CS1001 in Subjects With Advanced or Refractory Solid Tumors

**TARGETS**  
BRAF, KIT, RET, VEGFRs, PD-L1

**LOCATIONS:** Kurralta Park (Australia)

**NCT02379416**
**PHASE 1**

Combination Nilotinib and Paclitaxel in Adults With Relapsed Solid Tumors

**TARGETS**  
ABL, KIT

**LOCATIONS:** Maryland

ORDERED TEST # ORD-1188306-01

CLINICAL TRIALS

**NCT03475251**
**PHASE 1**

A Study of CS1003 in Subjects With Advanced Solid Tumors

**TARGETS**  
PD-1, BRAF, KIT, RET, VEGFRs

**LOCATIONS:** Randwick (Australia)

**NCT01738139**
**PHASE 1**

Ipilimumab and Imatinib Mesylate in Advanced Cancer

**TARGETS**  
KIT, ABL, CTLA-4

**LOCATIONS:** Texas



ORDERED TEST # ORD-1188306-01

CLINICAL TRIALS

**GENE**  
**PTEN**
**ALTERATION**  
R233\* - subclonal

**RATIONALE**  
PTEN loss or inactivating mutations may lead to increased activation of the PI3K-AKT-mTOR pathway and may indicate sensitivity to inhibitors

of this pathway. PTEN loss or inactivation may also predict sensitivity to PARP inhibitors.

**NCT04337463**
**PHASE NULL**

ATG-008 Combined With Toripalimab in Advanced Solid Tumors

**TARGETS**  
mTORC1, mTORC2, PD-1

**LOCATIONS:** Chongqing (China), Chengdu (China)

**NCT04740190**
**PHASE 2**

Talazoparib - Carboplatin for Recurrent High-grade Glioma With DDRd

**TARGETS**  
PARP

**LOCATIONS:** Hong Kong (Hong Kong)

**NCT04001569**
**PHASE 1/2**

AZD8186 and Paclitaxel in Advanced Gastric Cancer

**TARGETS**  
PI3K-beta

**LOCATIONS:** Seongnam-si (Korea, Republic of)

**NCT02264678**
**PHASE 1/2**

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

**TARGETS**  
ATR, PARP, PD-L1

**LOCATIONS:** Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), London (United Kingdom), Sutton (United Kingdom), Villejuif (France), Saint Herblain (France), California

**NCT04715620**
**PHASE 2**

Niraparib Combined With Radiotherapy in rGBM

**TARGETS**  
PARP

**LOCATIONS:** Tianjin (China)

**NCT04635631**
**PHASE 1**

STUDY OF TALAZOPARIB MONOTHERAPY IN CHINESE PARTICIPANTS WITH ADVANCED SOLID TUMORS

**TARGETS**  
PARP

**LOCATIONS:** Beijing (China), Changchun (China)

ORDERED TEST # ORD-1188306-01

CLINICAL TRIALS

**NCT03772561**
**PHASE 1**

Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies

**TARGETS**  
PARP, AKTs, PD-L1

**LOCATIONS:** Singapore (Singapore)

**NCT04801966**
**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**  
CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)

**NCT04632992**
**PHASE 2**

A Study Evaluating Targeted Therapies in Participants Who Have Advanced Solid Tumors With Genomic Alterations or Protein Expression Patterns Predictive of Response

**TARGETS**  
ALK, ROS1, TRKA, TRKB, TRKC, PD-L1, ERBB2, ERBB3, PI3K-alpha, RET, AKTs

**LOCATIONS:** Alaska, Washington, Oregon, California, Montana

**NCT04497116**
**PHASE 1/2**

Study of RP-3500 in Advanced Solid Tumors

**TARGETS**  
ATR, PARP

**LOCATIONS:** Copenhagen (Denmark), Newcastle Upon Tyne (United Kingdom), Manchester (United Kingdom), London (United Kingdom), Toronto (Canada), Massachusetts, Rhode Island, New York, Tennessee, Texas

ORDERED TEST # ORD-1188306-01

CLINICAL TRIALS

**GENE**  
**RNF43**

**RATIONALE**  
Based on preclinical evidence, tumors with loss or inactivation of RNF43 may be sensitive to inhibitors of the WNT signaling pathway.

**ALTERATION**  
G659fs\*41

### NCT02521844

**PHASE 1**

A Study to Evaluate the Safety and Tolerability of ETC-1922159 in Advanced Solid Tumours

**TARGETS**  
PORCN

**LOCATIONS:** Singapore (Singapore), Colorado, Missouri, Texas, North Carolina

### NCT01351103

**PHASE 1**

A Study of LGK974 in Patients With Malignancies Dependent on Wnt Ligands

**TARGETS**  
PORCN, PD-1

**LOCATIONS:** Utrecht (Netherlands), Rotterdam (Netherlands), Barcelona (Spain), Hospitalet de Llobregat (Spain), Valencia (Spain), Madrid (Spain), California, Michigan, Massachusetts, New York

### NCT03447470

**PHASE 1**

Study to Evaluate the Safety and Tolerability of RXC004 in Advanced Malignancies

**TARGETS**  
PORCN

**LOCATIONS:** Newcastle (United Kingdom), Manchester (United Kingdom), London (United Kingdom), Sutton (United Kingdom), Oxford (United Kingdom)

ORDERED TEST # ORD-1188306-01

CLINICAL TRIALS

**GENE**  
**TSC2**
**ALTERATION**  
R1438Q

**RATIONALE**  
Inactivating TSC2 alterations may lead to increased mTOR activation and predict sensitivity to mTOR inhibitors. It is not known whether

these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

**NCT03239015**
**PHASE 2**

Efficacy and Safety of Targeted Precision Therapy in Refractory Tumor With Druggable Molecular Event

**TARGETS**  
EGFR, ERBB2, ERBB4, PARP, mTOR, MET, RET, ROS1, VEGFRs, BRAF, CDK4, CDK6

**LOCATIONS:** Shanghai (China)

**NCT04337463**
**PHASE NULL**

ATG-008 Combined With Toripalimab in Advanced Solid Tumors

**TARGETS**  
mTORC1, mTORC2, PD-1

**LOCATIONS:** Chongqing (China), Chengdu (China)

**NCT04803318**
**PHASE 2**

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

**TARGETS**  
mTOR, FGFRs, KIT, PDGFRA, RET, VEGFRs, MEK

**LOCATIONS:** Guangzhou (China)

**NCT03834740**
**PHASE NULL**

Ph0/2 Ribociclib &amp; Everolimus

**TARGETS**  
CDK6, CDK4, mTOR

**LOCATIONS:** Arizona

**NCT03158389**
**PHASE 1/2**

 NCT Neuro Master Match - N<sup>2</sup>M<sup>2</sup> (NOA-20)

**TARGETS**  
ALK, RET, CDK4, CDK6, mTOR, MDM2, PD-L1, SMO

**LOCATIONS:** Berlin (Germany), Dresden (Germany), Regensburg (Germany), Bochum (Germany), Frankfurt am Main (Germany), Essen (Germany), Mainz (Germany), Heidelberg (Germany), Cologne (Germany), Mannheim (Germany)

**NCT03065062**
**PHASE 1**

Study of the CDK4/6 Inhibitor Palbociclib (PD-0332991) in Combination With the PI3K/mTOR Inhibitor Gedatolisib (PF-05212384) for Patients With Advanced Squamous Cell Lung, Pancreatic, Head &amp; Neck and Other Solid Tumors

**TARGETS**  
PI3K-alpha, PI3K-gamma, mTORC1, mTORC2, CDK4, CDK6

**LOCATIONS:** Massachusetts

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 Electronically signed by J. Keith Killian, M.D. | 22 September 2021  
Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531  
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 Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531  
Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531  
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

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**CLINICAL TRIALS**
**NCT02159989**
**PHASE 1**

Sapanisertib and Ziv-Aflibercept in Treating Patients With Recurrent Solid Tumors That Are Metastatic or Cannot Be Removed by Surgery

**TARGETS**  
 PIGF, VEGFA, VEGFB, mTORC1, mTORC2

**LOCATIONS:** Texas

**NCT03217669**
**PHASE 1**

Epacadostat (INCB24360) in Combination With Sirolimus in Advanced Malignancy

**TARGETS**  
 IDO1, mTOR

**LOCATIONS:** Kansas

**NCT01552434**
**PHASE 1**

Bevacizumab, Temsirolimus Alone and in Combination With Valproic Acid or Cetuximab in Patients With Advanced Malignancy and Other Indications

**TARGETS**  
 VEGFA, HDAC, mTOR, EGFR

**LOCATIONS:** Texas

**NCT01582191**
**PHASE 1**

A Phase 1 Trial of Vandetanib (a Multi-kinase Inhibitor of EGFR, VEGFR and RET Inhibitor) in Combination With Everolimus (an mTOR Inhibitor) in Advanced Cancer

**TARGETS**  
 mTOR, EGFR, RET, SRC, VEGFRs

**LOCATIONS:** Texas

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**APPENDIX**
**Variants of Unknown Significance**

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

<b>AXIN1</b> A526V	<b>BAP1</b> R700W	<b>BARD1</b> P395S	<b>BCORL1</b> D1015N
<b>CALR</b> K111E	<b>DOT1L</b> D588E	<b>EGFR</b> R255*	<b>FGFR1</b> D501E
<b>JAK3</b> R222H	<b>KIT</b> R956Q	<b>MAP2K2 (MEK2)</b> E167K	<b>MSH2</b> G164W
<b>MSH6</b> K854del	<b>MTOR</b> G1431R	<b>NF1</b> R1412G	<b>PAX5</b> R38C
<b>POLE</b> R1839H	<b>PRKCI</b> R130H	<b>RBM10</b> R660H	<b>RPTOR</b> P368L and V971I
<b>SYK</b> splice site 1582-1G>T	<b>TBX3</b> A562V	<b>TNFAIP3</b> P462L	<b>ZNF703</b> G340S



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**APPENDIX**
**Genes Assayed in FoundationOne®CDx**

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

**DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS**

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1	BTG2
BTB	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND2	CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2	DIS3	DNMT3A	DOT1L	EED	EGFR	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRF1	ESR1	EZH2
FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3
FGFR4	FH	FLCN	FLT1	FLT3	FOXO2	FUBP1	GABRA6	GATA3
GATA4	GATA6	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B	H3F3A
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDMSA	KDMS5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11A	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKB1A	NKX2-1	NOTCH1	NOTCH2	NOTCH3
NPM1	NRAS	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA
PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10	REL	RET
RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC	SDHD	SETD2
SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO	SNCAIP	SOC3
SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3	STK11	SUFU
SYK	TBX3	TEK	TET2	TGFBR2	TIPARP	TNFAIP3	TNFRSF14	TP53
TSC1	TSC2	TYRO3	U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1
XRCC2	ZNF217	ZNF703						

**DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS**

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSP02	SDC4	SLC34A2	TERC*	TERT**	TPRPS2

\*TERC is an NCRNA

\*\*Promoter region of TERT is interrogated

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

Loss of Heterozygosity (LOH) score

Microsatellite (MS) status

Tumor Mutational Burden (TMB)

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**APPENDIX**

About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a., Cipalstraat 3, 2440 Geel, Belgium.


**ABOUT FOUNDATIONONE CDx**

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details:  
[www.rochefoundationmedicine.com/f1cdxtech](http://www.rochefoundationmedicine.com/f1cdxtech).

**INTENDED USE**

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffin-embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

**TEST PRINCIPLES**

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture-selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

**THE REPORT**

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

**Diagnostic Significance**

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

**Qualified Alteration Calls (Equivocal and Subclonal)**

An alteration denoted as "amplification – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for ERBB2 and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical methodology has identified as being present in <10% of the assayed tumor DNA.

**Ranking of Therapies and Clinical Trials**
**Ranking of Therapies in Summary Table**

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

**Ranking of Clinical Trials**

Pediatric trial qualification → Geographical proximity → Later trial phase.

**NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION**

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) ([www.nccn.org](http://www.nccn.org)). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. To view the most recent and complete version of the guidelines, go online to [NCCN.org](http://NCCN.org). NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

**Limitations**

1. The MSI-H/MSS designation by FMI F1CDx test is based on genome wide analysis of 95 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines. The threshold for MSI-H/MSS was determined by analytical concordance to comparator assays (IHC and PCR) using uterine, cecum and colorectal cancer FFPE tissue. The clinical validity of the qualitative MSI designation has not been established. For Microsatellite Instability (MSI) results, confirmatory testing using a validated orthogonal method should be considered.
2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics

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APPENDIX

About FoundationOne®CDx

of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation [https://www.accessdata.fda.gov/cdrh\\_docs/pdf17/P170019B.pdf](https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf). The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.

3. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.
4. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
5. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine whether the patient is a candidate for biopsy.
6. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an *ERBB2* amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH test, 70% (7 out of 10 samples) were positive,

and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of *ERBB2* copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in <https://www.mycancergenome.org/content/disease/breast-cancer/ERBB2/238/> report the frequency of *HER2* overexpression as 20% in breast cancer. Based on the F1CDx *HER2* CDx concordance study, approximately 10% of *HER2* amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

#### VARIANT ALLELE FREQUENCY

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

#### Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	%CV*
Repeatability	5.11 - 10.40
Reproducibility	5.95 - 12.31
INDELS	%CV*
Repeatability	6.29 - 10.00
Reproducibility	7.33 - 11.71

\*Interquartile Range = 1<sup>st</sup> Quartile to 3<sup>rd</sup> Quartile

#### VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*,

*RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

#### VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *CBL*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

#### LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

#### NO GUARANTEE OF CLINICAL BENEFIT

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

#### NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

#### TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating

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physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

#### SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mut/mMb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

MR Suite Version 5.0.0

The median exon coverage for this sample is 832x

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APPENDIX

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