

Chen, Hsiu Ching

TUMOR TYPE
Pancreas ductal
adenocarcinoma
COUNTRY CODE

REPORT DATE 09 May 2023

ORD-1620416-01

ABOUT THE TEST FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

DISEASE Pancreas ductal adenocarcinoma

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DATE OF BIRTH 01 March 1950

SEX Male

MEDICAL RECORD # 48576961

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MEDICAL FACILITY ID 205872
PATHOLOGIST Not Provided

SPECIMEN SITE Pancreas

SPECIMEN ID S112-04080 F (PF23043)

SPECIMEN TYPE Slide Deck

DATE OF COLLECTION 04 February 2023

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### Biomarker Findings

Microsatellite status - MS-Stable
Tumor Mutational Burden - 6 Muts/Mb

### Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

ARID1A R376fs\*15 KRAS G12V PDGFRB V823I CDKN2A/B CDKN2B loss, CDKN2A loss TP53 R342\*

2 Disease relevant genes with no reportable alterations: *BRCA1*, *BRCA2* 

### Report Highlights

• Evidence-matched **clinical trial options** based on this patient's genomic findings: (p. <u>9</u>)

| BIOMARKER FINDINGS                  | THERAPY AND CLINICAL TRIAL IMPLICATIONS                         |  |  |
|-------------------------------------|---|--|--|
| Microsatellite status - MS-Stable   | No therapies or clinical trials. See Biomarker Findings section |  |  |
| Tumor Mutational Burden - 6 Muts/Mb | No therapies or clinical trials. See Biomarker Findings section |  |  |
| GENOMIC FINDINGS                    | THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)     | THERAPIES WITH CLINICAL RELEVANCE<br>(IN OTHER TUMOR TYPE) |  |
| <b>ARID1A -</b> R376fs*15           | none  | none   |  |
| 6 Trials see p. 9                   |   |  |  |
| <b>KRAS -</b> G12V                  | none  | none   |  |
| 4 Trials see p. <u>11</u>           |   |  |  |
| PDGFRB - V823I                      | none  | none   |  |
| 4 Trials see p. 12                  |   |  |  |

#### GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

*CDKN2A/B* - CDKN2B loss, CDKN2A loss p. <u>7</u> *TP53* - R342\* p. <u>8</u>

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TW

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NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.



**BIOMARKER FINDINGS** 

#### **BIOMARKER**

### Microsatellite status

RESULT MS-Stable

#### **POTENTIAL TREATMENT STRATEGIES**

#### Targeted Therapies —

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors<sup>1-3</sup>, including approved therapies nivolumab and pembrolizumab<sup>4</sup>. In a retrospective

analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%, p=0.001)<sup>5</sup>.

#### **FREQUENCY & PROGNOSIS**

MSI is rare in pancreatic carcinoma, reported in less than 1% of samples (n=>1,000)<sup>6-10</sup>. The prognostic significance of MSI in pancreatic cancer is unknown (PubMed, Aug 2022).

#### FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive

amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>11</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2<sup>11-13</sup>. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers<sup>14-16</sup>. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins<sup>11,13,15-16</sup>.

#### BIOMARKER

# Tumor Mutational Burden

RESULT 6 Muts/Mb

#### **POTENTIAL TREATMENT STRATEGIES**

#### Targeted Therapies —

On the basis of clinical evidence in solid tumors. increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L117-19, anti-PD-1 therapies17-20, and combination nivolumab and ipilimumab  $^{21\mbox{-}26}.$  In multiple pan-tumor studies, increased tissue tumor mutational burden (TMB) was associated with sensitivity to immune checkpoint inhibitors<sup>17-20,27-31</sup>. In the KEYNOTE 158 trial of pembrolizumab monotherapy for patients with solid tumors, significant improvement in ORR was observed for patients with TMB ≥10 Muts/Mb (as measured by this assay) compared with those with TMB <10 Muts/Mb in a large cohort that included multiple tumor types<sup>27</sup>; similar findings were observed in the KEYNOTE 028 and 012 trials<sup>20</sup>. At the same TMB cutpoint, retrospective analysis of

patients with solid tumors treated with any checkpoint inhibitor identified that tissue TMB scores ≥ 10 Muts/Mb were associated with prolonged time to treatment failure compared with scores <10 muts/Mb (HR=0.68)31. For patients with solid tumors treated with nivolumab plus ipilimumab in the CheckMate 848 trial, improved responses were observed in patients with a tissue TMB ≥ 10 Muts/Mb independent of blood TMB at any cutpoint in matched samples<sup>32</sup>. However, support for higher TMB thresholds and efficacy was observed in the prospective Phase 2 MyPathway trial of atezolizumab for patients with pan-solid tumors, where improved ORR and DCR was seen in patients with TMB ≥ 16 Muts/Mb than those with TMB  $\geq$  10 and <16 Muts/Mb<sup>30</sup>. Similarly, analyses across several solid tumor types reported that patients with higher TMB (defined as ≥16-20 Muts/Mb) achieved greater clinical benefit from PD-1 or PD-L1-targeting monotherapy compared with patients with higher TMB treated with chemotherapy  $^{33}$  or those with lower TMB treated with PD-1 or PD-L1-targeting agents<sup>18</sup>.

#### **FREQUENCY & PROGNOSIS**

Pancreatic carcinomas, including ductal and acinar subtypes, have been reported to harbor a median TMB of 2-3 mutations per megabase (muts/Mb), and o-2% of cases have high TMB (>20 muts/

Mb)<sup>34</sup>; TMB has not been assessed in pancreatic mucinous neoplasms (PubMed, Oct 2022). A study of patients with pancreatic ductal adenocarcinoma harboring mismatch repair gene mutations reported improved prognosis for patients with high TMB measured in tissue samples (defined as >50 mutations; survival 69-314 months) compared to those with lower TMB (average of 5.7 mutations; 10-42 months)<sup>35</sup>.

#### FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>36-37</sup> and cigarette smoke in lung cancer38-39, treatment with temozolomide-based chemotherapy in glioma<sup>40-41</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes42-46, and microsatellite instability (MSI)<sup>42,45-46</sup>. This sample harbors a TMB level associated with lower rates of clinical benefit from treatment with PD-1- or PD-L1-targeting immune checkpoint inhibitors compared with patients with tumors harboring higher TMB levels, based on several studies in multiple solid tumor types<sup>18-19,27</sup>.



**GENOMIC FINDINGS** 

#### GENE

# ARID1A

ALTERATION

R376fs\*15

**HGVS VARIANT** 

NM\_006015.4: c.1126del (p.R376Gfs\*15)

VARIANT CHROMOSOMAL POSITION chr1:27024017-27024018

VARIANT ALLELE FREQUENCY (% VAF)
13.0%

# POTENTIAL TREATMENT STRATEGIES

#### Targeted Therapies -

There are no therapies approved to address the mutation or loss of ARID1A in cancer. However, on the basis of limited clinical and preclinical evidence, ARID1A inactivating mutations may lead to sensitivity to ATR inhibitors such as M6620 and ceralasertib<sup>47</sup>. In a Phase 2 study of ceralasertib in solid tumors, 2 patients with endometrial carcinoma in the cohort with loss of ARID1A expression achieved CRs on ceralasertib monotherapy; at least 1 of these 2 patients carried an inactivating ARID1A mutation. In contrast, no responses were observed for patients with normal ARID1A expression treated with ceralasertib combined with olaparib<sup>48</sup>. One patient with small cell lung cancer harboring an ARID1A mutation

experienced a PR when treated with M6620combined with topotecan<sup>49</sup>. In a Phase 1 trial, a patient with metastatic colorectal cancer (CRC) harboring both an ARID1A mutation and ATM loss treated with single-agent M6620 achieved a CR that was ongoing at 29 months<sup>50</sup>. On the basis of limited clinical and preclinical evidence, ARID1A inactivation may predict sensitivity to EZH2 inhibitors<sup>51-52</sup>. A Phase 1 study of EZH2 inhibitor CPI-0209 reported 1 PR for a patient with ARID1A-mutated endometrial cancer<sup>53</sup>. Other studies have reported that the loss of ARID1A may activate the PI<sub>3</sub>K-AKT pathway and be linked with sensitivity to inhibitors of this pathway<sup>54-56</sup>. Patients with ARID1A alterations in advanced or metastatic solid tumors may derive benefit from treatment with anti-PD-1 or anti-PD-L1 immunotherapy<sup>57</sup>. Loss of ARID<sub>1</sub>A expression has been associated with chemoresistance to platinumbased therapy for patients with ovarian clear cell carcinoma<sup>58-59</sup> and to 5-fluorouracil in CRC cell lines<sup>60</sup>.

#### **FREQUENCY & PROGNOSIS**

ARID1A alterations are particularly prevalent in ovarian clear cell carcinoma (46-50%), ovarian and uterine endometrioid carcinomas (24-44%), and cholangiocarcinoma (27%); they are also reported in up to 27% of gastric carcinoma, esophageal adenocarcinoma, Waldenstrom macroglobulinemia, pediatric Burkitt lymphoma, hepatocellular

carcinoma, colorectal carcinoma, and urothelial carcinoma samples analyzed (COSMIC, cBioPortal, 2023)61-69. ARI $\bar{\text{D}}_{1}\text{A}$  loss is associated with microsatellite instability in ovarian and endometrial endometrioid adenocarcinomas<sup>57,70-73</sup>, CRC57,74-76, and gastric cancer57,77-81. ARID1A protein loss is associated with tumors of poor histological grade for many tumor types, including colorectal cancer (CRC)74-76, cervical cancer82-83, gastric cancer<sup>77-81</sup>, urothelial carcinoma<sup>84-86</sup>, ovarian and endometrial cancers<sup>59,70-73,87-91</sup>, breast carcinoma<sup>92-94</sup>, and clear cell renal cell carcinoma<sup>95</sup>; ARID1A mutation has been associated with poor outcomes for patients with cholangiocarcinoma<sup>96-99</sup>. However, prognostic data regarding patient survival are often mixed and

#### **FINDING SUMMARY**

ARID1A encodes the AT-rich interactive domain-containing protein 1A, also known as Baf250a, a member of the SWI/SNF chromatin remodeling complex. Mutation, loss, or inactivation of ARID1A has been reported in many cancers, and the gene is considered a tumor suppressor<sup>65,80,93,100-105</sup>. ARID1A mutations, which are mostly truncating, have been identified along the entire gene and often correlate with ARID1A protein loss<sup>65,78,101-102,106</sup>, whereas ARID1A missense mutations are mostly uncharacterized.



**GENOMIC FINDINGS** 

#### **GENE**

# KRAS

ALTERATION G12V

HGVS VARIANT

NM\_004985.3: c.35G>T (p.G12V)

VARIANT CHROMOSOMAL POSITION chr12:25398284

VARIANT ALLELE FREQUENCY (% VAF)

### POTENTIAL TREATMENT STRATEGIES

#### Targeted Therapies -

Preclinical evidence suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib $^{107-112}$ . For patients with pancreatic cancer, MEK inhibitor combinations are under investigation. A Phase 2 study of trametinib with pembrolizumab versus gemcitabine after stereotactic body radiotherapy (SBRT) reported increased median OS (mOS, 14.9 months vs. 12.8 months, HR=0.69) benefit for patients with KRASmutated, PD-L1 positive disease<sup>113</sup>. Combination MEK/autophagy inhibitors are also under investigation based on preclinical evidence of increased autophagy downstream of KRASmutated pancreatic tumors<sup>114-115</sup>. A heavily pretreated patient with pancreatic cancer treated with trametinib plus hydroxychloroquine experienced a PR<sup>114</sup>. A Phase 2 study of the reoviral agent pelareorep with gemcitabine for patients with pancreatic cancer reported 1 PR, 23 SDs, and 5 PDs for 34 patients with a favorable median OS of 10.2 months<sup>116</sup>. A Phase 1b study of second-line pelareorep with pembrolizumab and chemotherapy reported 1 PR of 17.4 months and a DCR of 30% (3/ 10)<sup>117</sup>; an earlier study reported no benefit from pelareorep in combination with paclitaxel/ carboplatin<sup>118</sup>. Trials combining MEK inhibitors with other targeted therapies, such as EGFR inhibitors<sup>119</sup> or PI<sub>3</sub>K-AKT pathway inhibitors<sup>120-121</sup>, reported no PRs and frequent adverse events for patients with KRAS-mutated pancreatic cancer. Clinical trials combining various MEK inhibitors with gemcitabine reported no additional benefit compared to gemcitabine alone irrespective of KRAS mutation status<sup>122-125</sup>, despite promising results in earlier trials of MEK inhibitor monotherapies<sup>126-131</sup>. In a Phase 1 study evaluating the MEK-pan-RAF dual inhibitor CH5126766, 6 patients harboring KRAS mutations experienced PRs, including 3 with non-small cell lung cancer (NCSLC), 1 with low-grade serous ovarian carcinoma (LGSOC), 1 with endometrial adenocarcinoma, and 1 with multiple myeloma<sup>132</sup>. Combination of CH5126766 with the FAK inhibitor defactinib elicited PR rates of 50% (4/8) for patients with KRAS-mutated LGSOC and 12% (2/17) for patients with KRAS-mutated NSCLC in a Phase 1 study<sup>133-134</sup>. Preclinical and clinical data suggest that KRAS mutations may predict clinical benefit from SHP2 inhibitors<sup>135-136</sup>. A Phase 1 study of RMC-4630 for relapsed/refractory solid tumors reported a DCR of 58% (23/40) for patients with NSCLC and KRAS mutations and a DCR of 75%

(12/16) for patients with NSCLC and KRAS G12C mutations<sup>137</sup>. Interim results from a Phase 1/2 study of RMC-4630 plus cobimetinib reported tumor reduction in 3 of 8 patients with KRAS-mutated colorectal cancer<sup>138</sup>. Preclinical studies suggest that KRAS activating mutations may confer sensitivity to SOS1 inhibitors such as BI-3406, MRTX0902, BI-1701963, and BAY-293 as single agents<sup>139-144</sup> or in combination with covalent KRAS G12C inhibitors<sup>144</sup> and MEK inhibitors<sup>145-146</sup>.

#### **FREQUENCY & PROGNOSIS**

KRAS mutations have been observed in 91-95% of pancreatic ductal adenocarcinoma cases<sup>147-148</sup>, with the majority of mutations found at codon 12<sup>149-152</sup>. KRAS mutations, particularly G12D, have been associated with decreased median survival time in patients with pancreatic ductal adenocarcinoma<sup>150</sup>.

#### **FINDING SUMMARY**

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation 108,153. KRAS alterations affecting amino acids G12, G13, Q22, P34, A59, Q61, and A146, as well as mutations G10\_A11insG, G10\_A11insAG (also reported as G10\_A11dup and G12\_G13insAG), A18D, L19F, D33E, G60\_A66dup/E62\_A66dup, E62K, E63K, R68S, K117R, and K117N have been characterized as activating and oncogenic 108,154-176.



**GENOMIC FINDINGS** 

#### GENE

# **PDGFRB**

ALTERATION

V823I

**HGVS VARIANT** 

NM\_002609.3: c.2467G>A (p.V823I)

VARIANT CHROMOSOMAL POSITION chr5:149500570

VARIANT ALLELE FREQUENCY (% VAF) 50.6%

# POTENTIAL TREATMENT STRATEGIES — Targeted Therapies —

On the basis of clinical benefit for patients with renal cell carcinoma<sup>177-180</sup> or solitary fibrous tumor<sup>181-182</sup>, as well as for a patient with urothelial carcinoma<sup>183</sup> and a patient with clear cell sarcoma<sup>184</sup>, PDGFRB expression is associated with

sensitivity to sorafenib and sunitinib. PDGFRB fusions in myeloid neoplasms have been shown to be exquisitely sensitive to PDGFR-targeting therapies<sup>185-186</sup>. Significant clinical benefit has also been achieved with dasatinib or imatinib in PDGFRB fusion-positive acute lymphoblastic leukemia<sup>187-190</sup>, chronic eosinophilic leukemia<sup>191-193</sup>, and various other hematologic malignancies<sup>194-198</sup>. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

#### **FREQUENCY & PROGNOSIS**

PDGFRB amplification was reported in 3% of samples in a study of pancreatic ductal adenocarcinoma<sup>148</sup>. However, active (phosphorylated) PDGFR-beta was reported in 93.5% (29/31) of pancreatic carcinoma samples in another study<sup>199</sup>. PDGFRB mutations have been found in up to 1.1% of pancreatic adenocarcinoma

cases (cBioPortal, COSMIC, Nov 2021)<sup>61-63</sup>. High expression of PDGFR-beta has been reported to be associated with worse metastasis-free and postoperative survival of patients with pancreatic cancer<sup>200-201</sup>.

#### **FINDING SUMMARY**

PDGFRB (Platelet-derived growth factor receptor, beta) encodes PDGFR-beta, a receptor tyrosine kinase that binds growth factors of the platelet-derived growth factor (PDGF) family. It is located on chromosome 5q33, and rearrangements involving this gene that result in its constitutive activation have been found in chronic myeloproliferative diseases<sup>194,202-205</sup>. Although alterations such as seen here have not been fully characterized and are of unknown functional significance, similar alterations have been previously reported in the context of cancer, which may indicate biological relevance.



**GENOMIC FINDINGS** 

GENE

# CDKN2A/B

ALTERATION

CDKN2B loss, CDKN2A loss

#### **POTENTIAL TREATMENT STRATEGIES**

#### Targeted Therapies —

Preclinical data suggest that tumors with loss of p16INK4a function may be sensitive to CDK4/6 inhibitors, such as abemaciclib, ribociclib, and palbociclib<sup>206-209</sup>. Clinical data in mesothelioma, breast cancer, and uterine leiomyosarcoma indicate that CDKN2A loss may predict sensitivity to abemaciclib<sup>210</sup> and palbociclib treatment<sup>211-212</sup>. However, multiple other clinical studies have shown no significant correlation between p16INK4a loss or inactivation and therapeutic benefit of these agents<sup>213-219</sup>; it is not known whether CDK<sub>4</sub>/6 inhibitors would be beneficial in this case. The p15INK4b protein encoded by CDKN2B is known to inhibit CDK4, and although concomitant loss of CDKN2A and CDKN2B may predict sensitivity to CDK4/6 inhibitors, such as ribociclib, abemaciclib, and palbociclib<sup>216-217,220-221</sup>, direct supporting data for CDKN2B alteration as a predictive biomarker for these therapies are limited<sup>222-223</sup>. Although preclinical studies have suggested that loss of p14ARF function may be associated with reduced sensitivity to MDM2 inhibitors<sup>224-225</sup>, the clinical relevance of p14ARF as a predictive biomarker is not clear.

#### **FREQUENCY & PROGNOSIS**

CDKN2A/B loss has been reported in 36% of pancreatic ductal carcinomas148. CDKN2A loss has been reported in 25-100% of pancreatic ductal adenocarcinomas analyzed, with a portion of those due to gene deletion<sup>150,226-228</sup>. A study of multiple pancreatic cancer subtypes reported no CDKN2A mutations (o/6 samples) and loss of heterozygosity in only one of four samples of pancreatic acinar carcinomas, compared to mutation or loss of heterozygosity in 38% and 67% of pancreatic ductal carcinomas, respectively<sup>229</sup>. Promoter methylation affecting p16INK4a and p14ARF has been reported in 43% (16/37) and 20.6% (7/34), respectively, of pancreatic fluid specimens of patients with pancreatic carcinoma<sup>230</sup>. The loss or decrease of p16INK4a expression levels in pancreatic ductal adenocarcinoma has been reported in 32-80% of samples analyzed and one study reported concurrent loss of p16INK4a and p14ARF protein expression in 68% (19/28) of cases<sup>226,231-232</sup>. p16INK4a expression has been associated with improved OS in pancreatic adenocarcinoma patients in univariate and multivariate analysis<sup>233</sup>. Furthermore, CDKN2A alterations (deletion or mutation) in the presence of concomitant KRAS mutation may correlate with shorter survival in patients with pancreatic ductal adenocarcinoma  $^{231}$ .

#### **FINDING SUMMARY**

CDKN2A encodes two different, unrelated tumor suppressor proteins, p16INK4a and p14ARF, whereas CDKN2B encodes the tumor suppressor p15INK4b<sup>234-235</sup>. Both p15INK4b and p16INK4a

bind to and inhibit CDK4 and CDK6, thereby maintaining the growth-suppressive activity of the Rb tumor suppressor; loss or inactivation of either p15INK4b or p16INK4a contributes to dysregulation of the CDK4/6-cyclin-Rb pathway and loss of cell cycle control<sup>236-237</sup>. The tumor suppressive functions of p14ARF involve stabilization and activation of p53, via a mechanism of MDM2 inhibition<sup>238-239</sup>. One or more alterations observed here are predicted to result in p16INK4a loss of function<sup>240-261</sup>. One or more alterations seen here are predicted to result in p14ARF loss of function<sup>244,261-264</sup>. CDKN2B alterations such as seen here are predicted to inactivate p15INK4b<sup>265</sup>.

#### POTENTIAL GERMLINE IMPLICATIONS

Germline CDKN2A mutation is associated with melanoma-pancreatic cancer syndrome, a condition marked by increased risk of developing malignant melanoma and/or pancreatic cancer<sup>266</sup>. Mutation carriers within families may develop either or both types of cancer, and melanoma cases may be referred to as familial or hereditary melanoma<sup>267-268</sup>. CDKN<sub>2</sub>A is the most implicated gene in familial melanoma, with germline mutations present in 16% to 20% of familial melanoma cases<sup>269-271</sup>. CDKN<sub>2</sub>A alteration has also been implicated in familial melanoma-astrocytoma syndrome, an extremely rare tumor association characterized by dual predisposition to melanoma and nervous system tumors<sup>272-274</sup>. In the appropriate clinical context, germline testing of CDKN2A is recommended.



**GENOMIC FINDINGS** 

#### GENE

26.7%

# **TP53**

ALTERATION

R342\*
HGVS VARIANT

NM\_000546.4: c.1024C>T (p.R342\*)

VARIANT CHROMOSOMAL POSITION chr17:7574003

VARIANT ALLELE FREQUENCY (% VAF)

POTENTIAL TREATMENT STRATEGIES

#### - Targeted Therapies -

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib<sup>275-278</sup> or p53 gene therapy such as SGT53<sup>279-283</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype<sup>284</sup>. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 32% (30/94, 3 CR) ORR and a 73% (69/94) DCR for patients with platinumrefractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>285</sup>. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 43% (9/21, 1 CR) ORR and a 76% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer<sup>286</sup>. The combination of adavosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone 287. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib combined with paclitaxel<sup>288</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with

cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71% (5/7) response rate for patients with TP53 alterations<sup>289</sup>. The Phase 2 FOCUS<sub>4</sub>-C trial for patients with TP53- and RAS-mutated colorectal cancer reported improvement in PFS (3.61 vs. 1.87 months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring<sup>290</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>283</sup>. Missense mutations leading to TP<sub>53</sub> inactivation may be sensitive to therapies that reactivate mutated p53 such as eprenetapopt. In a Phase 1b trial for patients with p53-positive highgrade serous ovarian cancer, eprenetapopt combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR<sup>291</sup>. A Phase 1 trial of eprenetapopt with pembrolizumab for patients with solid tumors reported an ORR of 10% (3/ 29)292.

#### FREQUENCY & PROGNOSIS

TP53 mutations have been reported in 33-75% of pancreatic carcinomas, with the majority occurring as missense mutations, while deletion of TP53 has been found in 66% of pancreatic ductal adenocarcinoma cases 147,293-295. TP53 mutations are common in pancreatic ductal adenocarcinomas and are known to occur in the process of pancreatic carcinogenesis 296-297. Additionally, aberrant expression of p53 has been found in 54-81% of pancreatic ductal adenocarcinoma cases 231,294,298-299. Studies have found inconsistent results regarding the prognostic significance of p53 expression in pancreatic ductal adenocarcinoma, although one study correlated low levels of TP53 mRNA with poor patient prognosis 231,300-301.

### FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in

aggressive advanced cancers $^{302}$ . Alterations such as seen here may disrupt TP53 function or expression $^{303-307}$ .

#### POTENTIAL GERMLINE IMPLICATIONS

One or more of the TP53 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with Li-Fraumeni syndrome (ClinVar, Sep 2022)<sup>308</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers309-311, including sarcomas312-313. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>314</sup> to 1:20,000<sup>313</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age  $30^{315}$ . In the appropriate clinical context, germline testing of TP53 is recommended.

# POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion316-321. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy316-317. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>322</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH320,323-324. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.



PATIENT Chen, Hsiu Ching

TUMOR TYPE
Pancreas ductal
adenocarcinoma

REPORT DATE 09 May 2023

ORDERED TEST # ORD-1620416-01

**CLINICAL TRIALS** 

**NOTE** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually

updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial  $\rightarrow$  Geographical proximity  $\rightarrow$  Later trial phase. Clinical trials listed here may have additional enrollment criteria that

may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. Or, visit https://www.foundationmedicine.com/genomic-testing#support-services.

# ARID1A

ALTERATION

#### **RATIONALE**

ARID1A loss or inactivation may predict sensitivity to ATR inhibitors.

R376fs\*15

NCT02264678

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

TARGETS
ATR, PARP, PD-L1

LOCATIONS: Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), Manchester (United Kingdom), London (United Kingdom), Coventry (United Kingdom), Sutton (United Kingdom), Oxford (United Kingdom)

NCTO4802174

Lurbinectedin With Berzosertib, an ATR Kinase Inhibitor in Small Cell Cancers and High-Grade Neuroendocrine Cancers

LOCATIONS: Maryland

NCTO4657068 PHASE 1/2

A Study of ART0380 for the Treatment of Advanced or Metastatic Solid Tumors

TARGETS
ATR

LOCATIONS: London (United Kingdom), Colorado, Oklahoma, Texas, Pennsylvania, Tennessee, Florida

NCTO4514497

Testing the Addition of an Anti-cancer Drug, BAY 1895344, to Usual Chemotherapy for Advanced Stage Solid Tumors, With a Specific Focus on Patients With Small Cell Lung Cancer, Poorly Differentiated Neuroendocrine Cancer, and Pancreatic Cancer

**LOCATIONS:** California, Arizona, Minnesota, Oklahoma, Missouri, Pennsylvania, Connecticut, New York

| NCT03669601                                  | PHASE 1        |
|--|----------------|
| AZD6738 & Gemcitabine as Combination Therapy | TARGETS<br>ATR |
| LOCATIONS: Cambridge (United Kingdom)        |                |

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REPORT DATE 09 May 2023

FOUNDATIONONE®CDx

ORDERED TEST # ORD-1620416-01

**CLINICAL TRIALS** 

| NCT04616534   | PHASE 1        |
|---|----------------|
| Testing the Addition of an Anti-cancer Drug, BAY 1895344 ATR Inhibitor, to the Chemotherapy Treatment (Gemcitabine) for Advanced Pancreatic and Ovarian Cancer, and Advanced Solid Tumors | TARGETS<br>ATR |
| LOCATIONS: Massachusetts, Maryland  |                |



REPORT DATE 09 May 2023



LOCATIONS: Massachusetts, New York, Texas, Pennsylvania

ORDERED TEST # ORD-1620416-01

**CLINICAL TRIALS** 

| GENE |    |
|------|----|
| KR   | AS |

ALTERATION G12V

#### **RATIONALE**

Multiple clinical studies have reported lack of efficacy of MEK inhibitors as monotherapy for treatment of KRAS-mutant pancreatic cancer. Emerging data suggest patients with KRAS-mutant pancreatic cancer may be sensitive to

MEK-pan-RAF dual inhibitors or combination MEK/autophagy inhibitors. Preclinical evidence suggests that KRAS activating mutations may predict sensitivity to SOS1 inhibitors.

| NCT05669482  | PHASE 1/2                 |
|--|---------------------------|
| Study of Avutometinib (VS-6766) +Defactinib With Gemcitabine and Nab-paclitaxel in Patients With Pancreatic Cancer | TARGETS<br>RAFs, MEK, FAK |
| LOCATIONS: Missouri, New York  |                           |

| NCT04892017   | PHASE 1/2               |
|---|-------------------------|
| A Safety, Tolerability and PK Study of DCC-3116 in Patients With RAS or RAF Mutant Advanced or Metastatic Solid Tumors. | TARGETS ULK1, ULK2, MEK |
|   |                         |

| NCT03825289   | PHASE 1        |
|---|----------------|
| Trametinib and Hydroxychloroquine in Treating Patients With Pancreatic Cancer | TARGETS<br>MEK |
| LOCATIONS: Utah   |                |

| NCT04132505   | PHASE 1        |
|---|----------------|
| Binimetinib and Hydroxychloroquine in Treating Patients With KRAS Mutant Metastatic Pancreatic Cancer | TARGETS<br>MEK |
| LOCATIONS: Texas  |                |



REPORT DATE 09 May 2023

FOUNDATIONONE®CDX

**CLINICAL TRIALS** 

ORDERED TEST # ORD-1620416-01

| GEI | NE |   |    |   |   |
|-----|----|---|----|---|---|
| P   | D  | G | FI | R | R |

ALTERATION V823I

#### **RATIONALE**

PDGFRB amplification or activating mutations may predict sensitivity to certain PDGFRB-targeted therapies. It is not known whether these

therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

| NCT02693535   | PHASE 2  |
|---|--|
| TAPUR: Testing the Use of Food and Drug Administration (FDA) Approved Drugs That Target a Specific Abnormality in a Tumor Gene in People With Advanced Stage Cancer | TARGETS CDK4, CDK6, FLT3, VEGFRS, CSF1R, KIT, RET, mTOR, ERBB2, MEK, BRAF, PARP, PD-1, CTLA-4, PD-L1, TRKB, ALK, TRKC, ROS1, TRKA, FGFRS |
| LOCATIONS: Hawaii, Washington, Oregon, California   |  |

| NCT03297606  | PHASE 2   |
|--|---|
| Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR) | TARGETS VEGFRS, ABL, SRC, ALK, ROS1, AXL, TRKA, MET, TRKC, DDR2, KIT, EGFR, PD-1, CTLA-4, PARP, CDK4, CDK6, FLT3, CSF1R, RET, mTOR, ERBB2, MEK, BRAF, SMO |

LOCATIONS: Vancouver (Canada), Kelowna (Canada), Edmonton (Canada), Saskatoon (Canada), Regina (Canada), Ottawa (Canada), Montreal (Canada), Toronto (Canada), Kingston (Canada), London (Canada)

| NCT04817956   | PHASE 2   |
|---|---|
| Improving Public Cancer Care by Implementing Precision Medicine in Norway | TARGETS PD-L1, VEGFA, ERBB2, ALK, RET, PARP, SMO, TRKB, TRKC, ROS1, TRKA, MEK, BRAF, PI3K-alpha, FGFR1, FGFR2, FGFR3, MET, KIT, ABL |

LOCATIONS: Tromsø (Norway), Bodø (Norway), Hamar (Norway), Oslo (Norway), Fredrikstad (Norway), Drammen (Norway), Trondheim (Norway), Skien (Norway), Førde (Norway), Bergen (Norway)

| NCT01738139   | PHASE 1                     |
|---|-----------------------------|
| Ipilimumab and Imatinib Mesylate in Advanced Cancer | TARGETS<br>KIT, ABL, CTLA-4 |
| LOCATIONS: Texas                                    |                             |

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**APPENDIX** 

Variants of Unknown Significance

ORDERED TEST # ORD-1620416-01

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

#### ABL1

NM\_005157.4: c.2470G>A (p.V824M) chr9:133760147

#### CSF3R

NM\_156039.3: c.1348G>T (p.E450\*) chr1:36935379

#### MAP2K4

NM\_003010.2: c.557A>G (p.D186G) chr17:12011150

#### SGK1

NM\_005627.3: c.1174G>C (p.E392Q) chr6:134491528

#### AR

NM\_000044.2: c.528C>A (p.S176R) chrX:66765516

#### ERBB3

NM\_001982.3: c.1463G>A (p.R488Q) chr12:56487317

#### NFE2L2

NM\_006164.4: c.78\_86dup (p.128\_D29insEDI) chr2:178098958

#### **ATRX**

NM\_000489.3: c.6035A>T (p.E2012V) chrX:76849241

#### FH

NM\_000143.3: c.797T>C (p.M266T) chr1:241669410

#### **PDGFRA**

NM\_006206.4: c.101A>G (p.E34G) chr4:55127313

#### CARD11

NM\_032415.4: c.2009C>T (p.T670M) chr7:2962899

#### HSD3B1

amplification

#### PTCH1

NM\_001083603.1: c.131A>G (p.E44G) chr9:98278972



Genes Assayed in FoundationOne®CDx

ORDERED TEST # ORD-1620416-01

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

#### DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY **NUMBER ALTERATIONS**

| ABL1         | ACVR1B          | AKT1           | AKT2          | AKT3           | ALK             | ALOX12B        | AMER1 (FAM123B | or WTX)          |
|--------------|-----------------|----------------|---------------|----------------|-----------------|----------------|----------------|------------------|
| APC          | AR              | ARAF           | ARFRP1        | ARID1A         | ASXL1           | ATM            | ATR            | ATRX             |
| AURKA        | AURKB           | AXIN1          | AXL           | BAP1           | BARD1           | BCL2           | BCL2L1         | BCL2L2           |
| BCL6         | BCOR            | BCORL1         | BRAF          | BRCA1          | BRCA2           | BRD4           | BRIP1          | BTG1             |
| BTG2         | BTK             | CALR           | CARD11        | CASP8          | CBFB            | CBL            | CCND1          | CCND2            |
| CCND3        | CCNE1           | CD22           | CD274 (PD-L1) | CD70           | CD79A           | CD79B          | CDC73          | CDH1             |
| CDK12        | CDK4            | CDK6           | CDK8          | CDKN1A         | CDKN1B          | CDKN2A         | CDKN2B         | CDKN2C           |
| CEBPA        | CHEK1           | CHEK2          | CIC           | CREBBP         | CRKL            | CSF1R          | CSF3R          | CTCF             |
| CTNNA1       | CTNNB1          | CUL3           | CUL4A         | CXCR4          | CYP17A1         | DAXX           | DDR1           | DDR2             |
| DIS3         | DNMT3A          | DOT1L          | EED           | EGFR           | EMSY (C11orf30) | EP300          | EPHA3          | EPHB1            |
| EPHB4        | ERBB2           | ERBB3          | ERBB4         | ERCC4          | ERG             | ERRFI1         | ESR1           | EZH2             |
| FANCA        | FANCC           | FANCG          | FANCL         | FAS            | FBXW7           | FGF10          | FGF12          | FGF14            |
| FGF19        | FGF23           | FGF3           | FGF4          | FGF6           | FGFR1           | FGFR2          | FGFR3          | FGFR4            |
| FH           | FLCN            | FLT1           | FLT3          | FOXL2          | FUBP1           | GABRA6         | GATA3          | GATA4            |
| GATA6        | GID4 (C17orf39) | GNA11          | GNA13         | GNAQ           | GNAS            | GRM3           | GSK3B          | H3-3A (H3F3A)    |
| HDAC1        | HGF             | HNF1A          | HRAS          | HSD3B1         | ID3             | IDH1           | IDH2           | IGF1R            |
| IKBKE        | IKZF1           | INPP4B         | IRF2          | IRF4           | IRS2            | JAK1           | JAK2           | JAK3             |
| JUN          | KDM5A           | KDM5C          | KDM6A         | KDR            | KEAP1           | KEL            | KIT            | KLHL6            |
| KMT2A (MLL)  | KMT2D (MLL2)    | KRAS           | LTK           | LYN            | MAF             | MAP2K1 (MEK1)  | MAP2K2 (MEK2)  | MAP2K4           |
| MAP3K1       | MAP3K13         | MAPK1          | MCL1          | MDM2           | MDM4            | MED12          | MEF2B          | MEN1             |
| MERTK        | MET             | MITF           | MKNK1         | MLH1           | MPL             | MRE11 (MRE11A) | MSH2           | MSH3             |
| MSH6         | MST1R           | MTAP           | MTOR          | MUTYH          | MYC             | MYCL (MYCL1)   | MYCN           | MYD88            |
| NBN          | NF1             | NF2            | NFE2L2        | NFKBIA         | NKX2-1          | NOTCH1         | NOTCH2         | <i>NOTCH3</i>    |
| NPM1         | NRAS            | NSD2 (WHSC1 or | MMSET)        | NSD3 (WHSC1L1) | NT5C2           | NTRK1          | NTRK2          | NTRK3            |
| P2RY8        | PALB2           | PARP1          | PARP2         | PARP3          | PAX5            | PBRM1          | PDCD1 (PD-1)   | PDCD1LG2 (PD-L2) |
| PDGFRA       | PDGFRB          | PDK1           | PIK3C2B       | PIK3C2G        | PIK3CA          | PIK3CB         | PIK3R1         | PIM1             |
| PMS2         | POLD1           | POLE           | PPARG         | PPP2R1A        | PPP2R2A         | PRDM1          | PRKAR1A        | PRKCI            |
| PRKN (PARK2) | PTCH1           | PTEN           | PTPN11        | PTPRO          | QKI             | RAC1           | RAD21          | RAD51            |
| RAD51B       | RAD51C          | RAD51D         | RAD52         | RAD54L         | RAF1            | RARA           | RB1            | RBM10            |
| REL          | RET             | RICTOR         | RNF43         | ROS1           | RPTOR           | SDHA           | SDHB           | SDHC             |
| SDHD         | SETD2           | SF3B1          | SGK1          | SMAD2          | SMAD4           | SMARCA4        | SMARCB1        | SMO              |
| SNCAIP       | SOCS1           | SOX2           | SOX9          | SPEN           | SPOP            | SRC            | STAG2          | STAT3            |
| STK11        | SUFU            | SYK            | TBX3          | TEK            | TENT5C (FAM46C  |                | TET2           | TGFBR2           |
| TIPARP       | TNFAIP3         | TNFRSF14       | TP53          | TSC1           | TSC2            | TYRO3          | U2AF1          | VEGFA            |
| VHL          | WT1             | XPO1           | XRCC2         | ZNF217         | ZNF703          |                |                |                  |
| DNA GENE L   | IST: FOR THE D  | ETECTION OF    | SELECT REAR   | RANGEMENTS     |                 |                |                |                  |
| ALK          | BCL2            | BCR            | BRAF          | BRCA1          | BRCA2           | CD74           | EGFR           | ETV4             |
| ETV5         | ETV6            | EWSR1          | EZR           | FGFR1          | FGFR2           | FGFR3          | KIT            | KMT2A (MLL)      |

| ALK  | BCL2 | BCR   | BRAF   | BRCA1 | BRCA2   | CD74  | EGFR   | ETV4        |
|------|------|-------|--------|-------|---------|-------|--------|-------------|
| ETV5 | ETV6 | EWSR1 | EZR    | FGFR1 | FGFR2   | FGFR3 | KIT    | KMT2A (MLL) |
| MSH2 | MYB  | MYC   | NOTCH2 | NTRK1 | NTRK2   | NUTM1 | PDGFRA | RAF1        |
| RARA | RET  | ROS1  | RSPO2  | SDC4  | SLC34A2 | TERC* | TERT** | TMPRSS2     |

<sup>\*</sup>TERC is an NCRNA

#### ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

**Homologous Recombination status** Loss of Heterozygosity (LOH) score Microsatellite (MS) status Tumor Mutational Burden (TMB)

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<sup>\*\*</sup>Promoter region of TERT is interrogated



**APPENDIX** 

About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a,

Cipalstraat 3, 2440 Geel, Belgium. C €

#### ABOUT FOUNDATIONONE CDX

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details: www.rochefoundationmedicine.com/f1cdxtech.

#### **INTENDED USE**

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffinembedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

#### **TEST PRINCIPLE**

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes

detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture–selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g.,gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

#### THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

### **Diagnostic Significance**

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

# **Qualified Alteration Calls (Equivocal and Subclonal)**

An alteration denoted as "amplification – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for *ERBB2* and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical

methodology has identified as being present in <10% of the assayed tumor DNA.

#### **Ranking of Therapies and Clinical Trials**

Ranking of Therapies in Summary Table
Therapies are ranked based on the following
criteria: Therapies with clinical benefit (ranked
alphabetically within each evidence category),
followed by therapies associated with resistance
(when applicable).

Ranking of Clinical Trials
Pediatric trial qualification → Geographical proximity → Later trial phase.

# NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2023. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

#### Limitations

1. In the fraction-based MSI algorithm, a tumor specimen will be categorized as MSI-H, MSS, or MS-Equivocal according to the fraction of microsatellite loci determined to be altered or unstable (i.e., the fraction unstable loci score). In the F1CDx assay, MSI is evaluated based on a genome-wide analysis across >2000 microsatellite loci. For a given microsatellite locus, non-somatic alleles are discarded, and the microsatellite is categorized as unstable if remaining alleles differ from the reference genome. The final fraction unstable loci score is calculated as the number of unstable microsatellite loci divided by the number of evaluable microsatellite loci. The MSI-H and MSS cut-off thresholds were determined by

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About FoundationOne®CDx

ORDERED TEST # ORD-1620416-01

- analytical concordance to a PCR comparator assay using a pan-tumor FFPE tissue sample set. Patients with results categorized as "MS-Stable" with median exon coverage <300X, "MS-Equivocal," or "Cannot Be Determined" should receive confirmatory testing using a validated orthogonal (alternative) method.
- 2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation https://www.accessdata.fda.gov/cdrh\_docs/ pdf17/P170019B.pdf. The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.
- 3. Homologous Recombination status may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas (Coleman et al., 2017; 28916367). Samples with deleterious BRCA1/2 alteration and/or Loss of Heterozygosity (LOH) score ≥ 16% will be reported as "HRD Positive" and samples with absence of these findings will be reported as "HRD Not Detected," agnostic of potential secondary BRCA1/2 reversion alterations. Certain potentially deleterious missense or small in-frame deletions in BRCA1/2 may not be classified as deleterious and, in the absence of an elevated LOH profile, samples with such mutations may be classified as "HRD Not Detected." A result of "HRD Not Detected" does not rule out the presence of a BRCA1/2 alteration or an elevated LOH profile outside the assay performance characteristic limitations.
- 4. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and

- extrapolating an LOH profile, excluding armand chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.
- 5. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
- 6. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine whether the patient is a candidate for biopsy.
- 7. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an *ERBB2* amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of *ERBB2* copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in https://www.mycancergenome.org/content/

disease/breast-cancer/ERBB2/238/ report the frequency of HER2 overexpression as 20% in breast cancer. Based on the F1CDx HER2 CDx concordance study, approximately 10% of HER2 amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

#### **REPORT HIGHLIGHTS**

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal

hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

#### **VARIANT ALLELE FREQUENCY**

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

Precision of VAF for base substitutions and indels

| BASE SUBSTITUTIONS   | %CV*         |  |  |
|----------------------|--------------|--|--|
| Repeatability        | 5.11 - 10.40 |  |  |
| Reproducibility      | 5.95 - 12.31 |  |  |
|                      |              |  |  |
| INDELS               | %CV*         |  |  |
| INDELS Repeatability | %CV*         |  |  |

\*Interquartile Range = 1st Quartile to 3rd Quartile

#### VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of followup germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's



**APPENDIX** 

About FoundationOne®CDx

tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

# VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are ASXL1, CBL, DNMT3A, IDH2, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, and U2AF1 and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

#### LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

#### **NO GUARANTEE OF CLINICAL BENEFIT**

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

#### NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

# TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking

into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

#### **SELECT ABBREVIATIONS**

| ABBREVIATION | DEFINITION                  |
|--------------|-----------------------------|
| CR           | Complete response           |
| DCR          | Disease control rate        |
| DNMT         | DNA methyltransferase       |
| HR           | Hazard ratio                |
| ITD          | Internal tandem duplication |
| MMR          | Mismatch repair             |
| muts/Mb      | Mutations per megabase      |
| NOS          | Not otherwise specified     |
| ORR          | Objective response rate     |
| os           | Overall survival            |
| PD           | Progressive disease         |
| PFS          | Progression-free survival   |
| PR           | Partial response            |
| SD           | Stable disease              |
| TKI          | Tyrosine kinase inhibitor   |

#### REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version (RG) 7.8.0

The median exon coverage for this sample is 907x



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APPENDIX

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