

ABOUT THE TEST FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

PATIENT

DISEASE Unknown primary adenocarcinoma
NAME Teng, Chi-Ya
DATE OF BIRTH 23 November 1963
SEX Male
MEDICAL RECORD # 45378652

PHYSICIAN

ORDERING PHYSICIAN Chen, Ming-Huang
MEDICAL FACILITY Taipei Veterans General Hospital
ADDITIONAL RECIPIENT None
MEDICAL FACILITY ID 205872
PATHOLOGIST Not Provided

SPECIMEN

SPECIMEN ID CYT 11/23/1963
SPECIMEN TYPE Blood
DATE OF COLLECTION 27 October 2021
SPECIMEN RECEIVED 01 November 2021

Biomarker Findings

Blood Tumor Mutational Burden - 4 Muts/Mb
Microsatellite status - MSI-High Not Detected
Tumor Fraction - 20%

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

ATM splice site 6007-1G>T
ARID1A splice site 2732+1_2732+18del18
ASXL1 G646fs*12
TERT promoter -124C>T

4 Therapies with Clinical Benefit
0 Therapies with Resistance

13 Clinical Trials

PATHOLOGIST COMMENTS

Erik Williams, M.D. 9-Nov-2021

Genomic findings from circulating cell-free DNA may originate from circulating tumor DNA (ctDNA), germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion. Clinical correlation is needed to determine whether the ATM variant identified in this case is attributable to the patient's adenocarcinoma or is secondary to CH. Testing of a tissue specimen, such as with FoundationOne®CDx, could help clarify the origin of this variant. See the professional services section for more information.

BIOMARKER FINDINGS

Blood Tumor Mutational Burden - 4 Muts/Mb

Microsatellite status - MSI-High Not Detected

Tumor Fraction - 20%

THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is an estimate of the percentage of circulating-tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample based on observed aneuploid instability.

GENOMIC FINDINGS		VAF %	THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
ATM -	splice site 6007-1G>T	0.18%	None	Niraparib
				Olaparib
				Rucaparib
				Talazoparib
10 Trials see p. 13				
ARID1A -	splice site 2732+1_2732+18del18	1.5%	None	None
5 Trials see p. 12				

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

ATM - splice site 6007-1G>T p. 6 **ASXL1 -** G646fs*12 p. 7

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

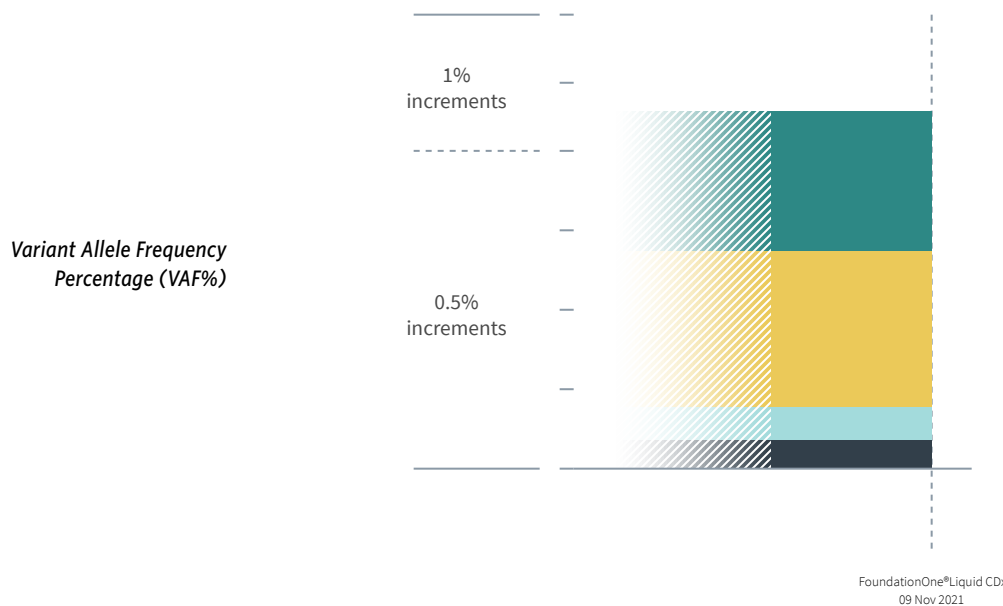
For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

ASXL1 - G646fs*12 p. 7 **TERT -** promoter -124C>T p. 8

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

ORDERED TEST # ORD-1226643-01



HISTORIC PATIENT FINDINGS		ORD-1226643-01 VAF%
Blood Tumor Mutational Burden		4 Muts/Mb
Microsatellite status		MSI-High Not Detected
Tumor Fraction		20%
ATM	● splice site 6007-1G>T	0.18%
ARID1A	● splice site 2732+1_2732+18 del18	1.5%
ASXL1	● G646fs*12	0.98%
TERT	● promoter -124C>T	0.21%

NOTE This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx, FoundationOne®Liquid, FoundationOne®, or FoundationOne®CDx tests. Up to five previous tests may be shown.

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable.

Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

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Electronically signed by Erik Williams, M.D. | 09 November 2021
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Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

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VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

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BIOMARKER FINDINGS

BIOMARKER

Blood Tumor Mutational Burden

RESULT

4 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

On the basis of clinical evidence in NSCLC and HNSCC, increased bTMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1¹⁻² and anti-PD-1³ therapies. In NSCLC, multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single agent or combination treatments with either CTLA4 inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 to 16 Muts/Mb¹. In HNSCC, a Phase 3 trial showed that bTMB ≥ 16 Muts/Mb (approximate equivalency ≥ 8 Muts/Mb as measured by this assay) was associated with improved survival

from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor⁴.

FREQUENCY & PROGNOSIS

Average bTMB levels in solid tumors other than NSCLC have not been evaluated (cBioPortal, COSMIC, PubMed, Mar 2021)⁵⁻⁷. Published data investigating the prognostic implications of TMB have mainly been investigated in the context of tissue TMB. In patients with NSCLC, increased TMB is associated with higher tumor grade and poor prognosis⁸, as well as with a decreased frequency of known driver mutations in EGFR, ALK, ROS1, or MET (1% of high-TMB samples each), but not BRAF (10.3%) or KRAS (9.4%)⁹. Although some studies have reported a lack of association between smoking and increased TMB in NSCLC^{8,10}, several other large studies did find a strong link¹¹⁻¹⁴. In CRC, elevated TMB is associated with a higher frequency of BRAF V600E driver mutations¹⁵⁻¹⁶ and with microsatellite instability (MSI)¹⁶, which in turn has been reported to correlate with better prognosis¹⁷⁻²⁴. Although increased TMB is associated with increased tumor grade in endometrioid endometrial carcinoma²⁵⁻²⁸ and bladder cancer²⁹, it is also linked with

improved prognosis in patients with these tumor types²⁶.

FINDING SUMMARY

Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma³⁰⁻³¹ and cigarette smoke in lung cancer³²⁻³³, treatment with temozolomide-based chemotherapy in glioma³⁴⁻³⁵, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes^{15,26,36-38}, and microsatellite instability (MSI)^{15,26,38}. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents¹⁻³. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

Tumor Fraction

RESULT

20%

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Specimens with high tumor fraction values have high circulating-tumor DNA (ctDNA) content, and thus higher sensitivity for identifying genomic alterations. Such specimens are at a lower risk of false negative results³⁹. However, if tumor fraction is not detected as high, it does not exclude the presence of disease burden or compromise the confidence of reported alterations. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not

be overinterpreted or compared from one blood draw to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management⁴⁰⁻⁴⁵.

FREQUENCY & PROGNOSIS

Detectable ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)⁴⁶. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer⁴⁷, Ewing sarcoma and osteosarcoma⁴⁸, prostate cancer⁴³, breast cancer⁴⁹, leiomyosarcoma⁵⁰, esophageal cancer⁵¹, colorectal

cancer⁵², and gastrointestinal cancer⁵³.

FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 single-nucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content⁵⁴, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy⁵⁵⁻⁵⁶.

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GENOMIC FINDINGS

GENE

ATM

ALTERATION

splice site 6007-1G>T

TRANSCRIPT ID

NM_000051

CODING SEQUENCE EFFECT

6007-1G>T

and hematologic malignancies^{75,78} also support the increased sensitivity of ATM-deficient cells to ATR inhibitors. Preclinical experiments also indicate that loss of ATM causes dependency on DNA-PKcs in cancer cells; DNA-PKcs inhibitors promoted apoptosis in ATM-deficient cells and were active in a lymphoma mouse model lacking ATM activity⁷⁹.

FREQUENCY & PROGNOSIS

ATM mutations have been reported in 6% of samples analyzed in the COSMIC database, including carcinomas of skin (21%), endometrium (12%), urinary tract (11%), large intestine (9%), lung (6%), small intestine (6%), and biliary tract (6%)(Aug 2021)⁷. Decreased expression of ATM has been reported in patients with gastric or colorectal cancer⁸⁰⁻⁸³. High ATM protein expression has been reported to correspond with smaller tumor size, lower recurrence rate, longer patient survival, and fewer metastases in some tumors, including gastric and colorectal cancers⁸⁴⁻⁸⁷.

FINDING SUMMARY

ATM encodes the protein ataxia telangiectasia mutated, which is a serine/threonine protein kinase that plays a key role in the DNA damage response⁸⁸. Loss of functional ATM promotes tumorigenesis⁸⁹. Alterations such as seen here

may disrupt ATM function or expression⁹⁰⁻⁹².

POTENTIAL GERMLINE IMPLICATIONS

ATM mutation carriers have increased cancer risk, with female carriers displaying a 38% lifetime risk of breast cancer⁹³. Biallelic mutations in ATM underlie the rare autosomal-recessive inherited disorder ataxia-telangiectasia (A-T), also referred to as genome instability or DNA damage response syndrome⁹⁴. This disease is characterized by genomic instability, sensitivity to DNA-damaging agents, and increased risk of developing cancer^{88,94}. The prevalence of A-T is estimated at 1:40,000 to 1:100,000 worldwide⁹⁴. In the appropriate clinical context, germline testing of ATM is recommended.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion⁹⁵⁻¹⁰⁰. Comprehensive genomic profiling of solid tumors may detect nontumor alterations that are due to CH^{99,101-102}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Loss of functional ATM results in a defective DNA damage response and homologous recombination-mediated DNA repair and may predict sensitivity to PARP inhibitors⁵⁷. Clinical data in prostate cancer⁵⁸⁻⁶⁰, gastric cancer⁶¹, colorectal cancer⁶², breast cancer⁶², papillary renal cell carcinoma⁶³, and cholangiocarcinoma⁶⁴ indicate that loss or inactivation of ATM may confer sensitivity to PARP inhibitors⁶⁵⁻⁷². In Phase 1 trials of ATR inhibitors, a heavily pretreated patient with colorectal cancer who achieved a CR to berzosertib⁷³ and 4 out of 4 patients with diverse solid tumors who achieved PRs to BAY1895344⁷⁴ harbored ATM inactivation or protein loss; studies showing reduced cell viability and increased DNA damage in preclinical models of solid tumors⁷⁵⁻⁷⁷

ORDERED TEST # ORD-1226643-01

GENOMIC FINDINGS
GENE
ARID1A
ALTERATION

splice site 2732+1_2732+18del18

TRANSCRIPT ID

NM_006015

CODING SEQUENCE EFFECT

2732+1_2732+18del18

of EZH2¹⁰⁵⁻¹⁰⁶, which are under investigation in clinical trials. Other studies have reported that loss of ARID1A may activate the PI3K-AKT pathway and be linked with sensitivity to inhibitors of this pathway¹⁰⁷⁻¹⁰⁹. Loss of ARID1A expression has been associated with chemoresistance to platinum-based therapy in patients with ovarian clear cell carcinoma¹¹⁰⁻¹¹¹ and to 5-fluorouracil (5-FU) in CRC cell lines¹¹².

with tumors of poor histological grade for many tumor types, including colorectal cancer (CRC)¹²³⁻¹²⁵, cervical cancer¹³¹⁻¹³², gastric cancer¹²⁶⁻¹³⁰, urothelial carcinoma¹³³⁻¹³⁵, ovarian and endometrial cancers^{27,111,119-121,136-140}, breast carcinoma¹⁴¹⁻¹⁴³, and clear cell renal cell carcinoma¹⁴⁴; ARID1A mutation has been associated with poor outcomes for patients with cholangiocarcinoma¹⁴⁵⁻¹⁴⁸. However, prognostic data regarding patient survival are often mixed and conflicting.

POTENTIAL TREATMENT STRATEGIES
— Targeted Therapies —

There are no therapies approved to address the mutation or loss of ARID1A in cancer. However, on the basis of limited clinical and preclinical evidence, ARID1A inactivating mutations may lead to sensitivity to ATR inhibitors such as M6620; 1 patient with small cell lung cancer harboring an ARID1A mutation experienced a PR when treated with M6620 combined with topotecan¹⁰³⁻¹⁰⁴. On the basis of limited preclinical evidence from studies in ovarian cancer, ARID1A inactivation may predict sensitivity to inhibitors

FREQUENCY & PROGNOSIS

ARID1A alterations are particularly prevalent in ovarian clear cell carcinoma (46-50%), ovarian and uterine endometrioid carcinomas (24-44%), and cholangiocarcinoma (27%); they are also reported in up to 27% of gastric carcinoma, esophageal adenocarcinoma, Waldenstrom macroglobulinemia, pediatric Burkitt lymphoma, hepatocellular carcinoma, colorectal carcinoma, and urothelial carcinoma samples analyzed (COSMIC, cBioPortal, 2021)^{5-7,113-118}. ARID1A loss is associated with microsatellite instability in ovarian and endometrial endometrioid adenocarcinomas^{27,119-122}, CRC¹²²⁻¹²⁵, and gastric cancer^{122,126-130}. ARID1A protein loss is associated

FINDING SUMMARY

ARID1A encodes the AT-rich interactive domain-containing protein 1A, also known as Baf250a, a member of the SWI/SNF chromatin remodeling complex. Mutation, loss, or inactivation of ARID1A has been reported in many cancers, and the gene is considered a tumor suppressor^{114,129,142,149-154}. ARID1A mutations, which are mostly truncating, have been identified along the entire gene and often correlate with ARID1A protein loss^{114,127,150-151,155}, whereas ARID1A missense mutations are mostly uncharacterized.

GENE
ASXL1
ALTERATION

G646fs*12

TRANSCRIPT ID

NM_015338

CODING SEQUENCE EFFECT

1934_1935insG

various solid tumor types¹⁵⁶ and are not known to act as drivers in any specific solid cancer type¹⁵⁷. Published data investigating the prognostic implications of ASXL1 alterations in solid tumors are limited (PubMed, May 2021). In the context of clonal hematopoiesis, ASXL1 mutations are significantly enriched in current or former smokers¹⁵⁸.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion⁹⁵⁻¹⁰⁰. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy⁹⁵⁻⁹⁶. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease¹⁶⁵. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{99,101-102}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

POTENTIAL TREATMENT STRATEGIES
— Targeted Therapies —

There are no targeted therapies available to address genomic alterations in ASXL1.

FINDING SUMMARY

ASXL1 regulates epigenetic marks and transcription through interaction with polycomb complex proteins and various transcription activators and repressors¹⁵⁹⁻¹⁶¹. Alterations such as seen here may disrupt ASXL1 function or expression¹⁶²⁻¹⁶⁴.

FREQUENCY & PROGNOSIS

ASXL1 alterations occur infrequently across

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GENOMIC FINDINGS

GENE

TERT

ALTERATION

promoter -124C>T

TRANSCRIPT ID

NM_198253

CODING SEQUENCE EFFECT

-124C>T

directed cytotoxic molecules.

(rs2853669) that was reported in 47% of patients¹⁶⁹.

FREQUENCY & PROGNOSIS

TERT promoter mutations have been observed in melanoma, glioma, and thyroid and bladder cancers¹⁶⁶⁻¹⁷⁴ and associate with increased TERT expression^{167,175-176}. In thyroid tumors, these promoter mutations were shown to be associated with tumor aggressiveness and increased mortality, and often coincided with BRAF or RAS alterations^{166,170-171,177}. In melanoma, TERT promoter mutations or protein overexpression has been associated with poor clinicopathological features, but not with impact on survival^{175,178-180}. In addition, germline polymorphisms in TERT have been associated with risk of melanoma development¹⁸¹⁻¹⁸³. TERT promoter mutations were significantly associated with poor survival in patients with urothelial cell carcinoma, but only in the absence of a common polymorphism

FINDING SUMMARY

Telomerase reverse transcriptase (TERT, or hTERT) is a catalytic subunit of the telomerase complex, which is required to maintain appropriate chromosomal length¹⁸⁴. Activation of TERT is a hallmark of cancer, being detected in up to 80-90% of malignancies and absent in quiescent cells¹⁸⁵⁻¹⁸⁷. Mutations within the promoter region of TERT that confer enhanced TERT promoter activity have been reported in two hotspots, located at -124 bp and -146 bp upstream of the transcriptional start site (also termed C228T and C250T, respectively)^{166-167,176}, as well as tandem mutations at positions -124/-125 bp and -138/-139 bp¹⁷⁶.

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Therapeutic options for targeting tumors with TERT mutations are limited, although a variety of approaches are under development, including immunotherapies utilizing TERT as a tumor-associated antigen, antisense oligonucleotide- or peptide-based therapies, and TERT promoter-

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THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Niraparib

Assay findings association

ATM

splice site 6007-1G>T

AREAS OF THERAPEUTIC USE

The PARP inhibitor niraparib is FDA approved to treat patients with epithelial ovarian, fallopian tube, or primary peritoneal cancer, with or without homologous recombination deficiency (HRD)-positive status. Please see the drug label for full prescribing information.

GENE ASSOCIATION

ATM inactivation may predict sensitivity to PARP inhibitors. ATM alterations have been associated with clinical benefit from PARP inhibitors in solid tumors, including prostate cancer^{58-60,188}, colorectal cancer⁶², breast cancer⁶², gastric cancer⁶¹, cholangiocarcinoma⁶⁴, and papillary renal cell carcinoma⁶³.

SUPPORTING DATA

Niraparib has been primarily evaluated in the context of ovarian cancer. In a Phase 3 study of patients with

platinum-sensitive, recurrent ovarian cancer, niraparib significantly increased median PFS, as compared to placebo, in patients with germline BRCA mutations (21 vs. 5.5 months) and in patients without germline BRCA mutations (9.3 vs. 3.9 months) as well as in a subgroup of the patients without germline BRCA mutations with homologous recombination-deficient tumors (12.9 vs. 3.8 months)¹⁸⁹. In a Phase 1 study of niraparib treatment for patients with solid tumors, 40% (8/20) of patients with ovarian cancer and BRCA mutations and 50% (2/4) of patients with breast cancer and BRCA mutations experienced a PR, and 43% (9/21) of patients with castration-resistant prostate cancer and 100% (2/2) of patients with non-small cell lung cancer achieved SD¹⁹⁰. A Phase 1 study of the combination of niraparib and bevacizumab in patients with platinum-sensitive, high-grade ovarian cancer reported a DCR of 91% (10/11), with a response rate of 45% (5/11)¹⁹¹.

Olaparib

Assay findings association

ATM

splice site 6007-1G>T

AREAS OF THERAPEUTIC USE

The PARP inhibitor olaparib is FDA approved to treat patients with epithelial ovarian, Fallopian tube, or primary peritoneal cancer, patients with deleterious or suspected deleterious gBRCA-mutated pancreatic adenocarcinoma or HER2-negative breast cancer, and patients with prostate cancer and mutations in homologous recombination repair genes. Olaparib is also approved in combination with bevacizumab to treat patients with ovarian, Fallopian tube, or primary peritoneal cancer with deleterious or suspected deleterious somatic or gBRCA mutation and/or genomic instability. Please see the drug label for full prescribing information.

GENE ASSOCIATION

ATM inactivation may predict sensitivity to PARP inhibitors. ATM alterations have been associated with clinical benefit from PARP inhibitors in solid tumors, including prostate cancer^{58-60,188}, colorectal cancer⁶², breast cancer⁶², gastric cancer⁶¹, cholangiocarcinoma⁶⁴, and papillary renal cell carcinoma⁶³.

SUPPORTING DATA

Olaparib has been studied primarily for the treatment of ovarian cancer, with response rates often significantly

higher for patients with BRCA mutations than for those without¹⁹²⁻¹⁹³; higher response rates have also been observed for patients with platinum-sensitive versus platinum-resistant cancer¹⁹³⁻¹⁹⁶. As maintenance therapy for patients with newly diagnosed or platinum-sensitive relapsed ovarian cancer, olaparib has demonstrated significantly improved median PFS and median OS compared with placebo in the Phase 3 SOLO-1 study¹⁹⁷ and in multiple later-phase studies¹⁹⁸⁻²⁰¹. Phase 3 studies of olaparib for patients with BRCA-mutated metastatic breast²⁰² or pancreatic cancer²⁰³ or for patients with metastatic castration-resistant prostate cancer and BRCA or ATM alterations²⁰⁴ have also reported significantly longer median PFS compared with chemotherapy, placebo, or hormone therapy. Additionally, olaparib has demonstrated clinical activity for patients with other solid tumors harboring BRCA mutations, including leiomyosarcoma²⁰⁵, cholangiocarcinoma²⁰⁶, and bladder cancer²⁰⁷ in smaller studies. Olaparib in combination with the AKT inhibitor capivasertib has demonstrated clinical benefit for patients with solid tumors; a Phase 1 trial reported a 45% (25/56) DCR, including 14 PRs and 11 SDs, and 14 of those experiencing clinical benefit had germline BRCA1/2 mutated-solid tumors²⁰⁸.

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THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Rucaparib

Assay findings association

ATM

splice site 6007-1G>T

AREAS OF THERAPEUTIC USE

The PARP inhibitor rucaparib is FDA approved to treat patients with metastatic castration-resistant prostate cancer (mCRPC) or epithelial ovarian, Fallopian tube, or primary peritoneal cancer and deleterious somatic or germline BRCA mutations. Rucaparib is also approved as a maintenance treatment of patients with recurrent epithelial ovarian, Fallopian tube, or primary peritoneal cancer. Please see the drug label for full prescribing information.

GENE ASSOCIATION

ATM inactivation may predict sensitivity to PARP inhibitors. ATM alterations have been associated with clinical benefit from PARP inhibitors in solid tumors, including prostate cancer^{58-60,188}, colorectal cancer⁶², breast cancer⁶², gastric cancer⁶¹, cholangiocarcinoma⁶⁴, and papillary renal cell carcinoma⁶³.

SUPPORTING DATA

Rucaparib has primarily been evaluated in the context of ovarian carcinoma, breast carcinoma, pancreatic carcinoma, and melanoma. In a Phase 2 study of rucaparib for recurrent, platinum-sensitive ovarian, peritoneal, or fallopian tube carcinoma, median PFS was significantly longer in patients with BRCA1/2 mutations (12.8 months) or high loss of heterozygosity (LOH; 5.7 months) compared to patients with low LOH (5.2 months). Objective responses were observed for 80% (32/40) of patients with BRCA1/2 mutations, for 29% (24/82) with high LOH, and for 10% (7/10) with low LOH²⁰⁹. In

heavily pretreated patients with a germline BRCA1/2 mutation who had received 2-4 prior chemotherapy treatments and had a progression free interval of greater than 6 months, 65% (17/26) of patients achieved an objective response with rucaparib treatment²¹⁰. In a Phase 2 study evaluating rucaparib for patients with advanced breast or ovarian cancer and germline BRCA1/2 mutations, disease control was observed in 92% (12/13) of patients with ovarian cancer treated with oral rucaparib dosed continuously, but no objective responses were reported in breast cancer patients (n=23). However, 39% (9/23) of evaluable patients with breast cancer achieved SD lasting 12 weeks or more²¹¹. In a Phase 1 study of rucaparib treatment in patients with solid tumors, 3/4 patients with ovarian cancer and 1/1 patient with breast cancer given the recommended Phase 2 dose reported objective responses; all responders harbored BRCA1/2 mutations²¹². A Phase 2 study of rucaparib treatment for patients with relapsed pancreatic cancer reported 1/19 CR, 2/19 PR (one unconfirmed) and 4/19 SD. Of the 19 patients treated in the study, 15 (79%) had a BRCA2 mutation²¹³. In a Phase 2 study of intravenous rucaparib in combination with temozolomide for patients with metastatic melanoma, 8/46 patients achieved a PR and 8/46 had SD²¹⁴; a Phase 1 study reported 1 CR, 1 PR, and 4 SD lasting six months or longer in patients with metastatic melanoma²¹⁵. A Phase 1 study of intravenous and oral rucaparib in combination with chemotherapy for the treatment of advanced solid tumors reported a disease control rate of 68.8% (53/77), including 1 CR and 9 PRs²¹⁶.

Talazoparib

Assay findings association

ATM

splice site 6007-1G>T

AREAS OF THERAPEUTIC USE

The PARP inhibitor talazoparib is FDA approved to treat HER2-negative locally advanced or metastatic breast cancer with deleterious or suspected deleterious germline BRCA mutations. Please see the drug label for full prescribing information.

GENE ASSOCIATION

ATM inactivation may predict sensitivity to PARP inhibitors. ATM alterations have been associated with clinical benefit from PARP inhibitors in solid tumors, including prostate cancer^{58-60,188}, colorectal cancer⁶², breast cancer⁶², gastric cancer⁶¹, cholangiocarcinoma⁶⁴, and papillary renal cell carcinoma⁶³.

SUPPORTING DATA

Talazoparib has been studied primarily in the context of

BRCA-mutated, HER2-negative breast cancer, where patients achieved significantly longer median PFS (8.6 vs. 5.6 months, HR=0.54), a higher ORR (62.6% vs. 27.2%), and improved quality of life on talazoparib compared with standard chemotherapy in a Phase 3 study²¹⁷⁻²¹⁸. In a Phase 2 study of talazoparib for BRCA1/2-wildtype patients with homologous recombination pathway alterations, the best outcome in non-breast tumors was SD ≥ 6 months for 2/7 patients who had colon cancer with germline ATM alteration or testicular cancer with germline CHEK2 and somatic ATM alteration⁶². Clinical activity of single-agent talazoparib has been observed in numerous other solid tumors, including responses in BRCA-mutated ovarian, pancreatic, prostate, and ampulla of Vater cancers; PALB2-mutated pancreatic and bladder cancers; ATM-mutated cholangiocarcinoma; and small cell lung cancer²¹⁹⁻²²².

NOTE Genomic alterations detected may be associated with activity of certain US FDA or other specific country approved therapies; however, the therapies listed in this report may have varied evidence in the patient's tumor type. The listed therapies are not ranked in order of potential or predicted efficacy for this

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THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

patient or in order of level of evidence for this patient's tumor type. The therapies listed in this report may not be complete and/or exhaustive. Furthermore, the listed therapies are limited to US FDA approved pharmaceutical drug products that are linked to a specific genomic alteration. There may also be US FDA approved pharmaceutical drug products that are not linked to a genomic alteration. Further there may also exist pharmaceutical drug products that are not approved by the US FDA or other national authorities. There may also be other treatment modalities available than pharmaceutical drug products.

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CLINICAL TRIALS

IMPORTANT Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. However, clinicaltrials.gov does not list all clinical trials that might be available.

GENE
ARID1A
RATIONALE
ARID1A loss or inactivation may predict

sensitivity to ATR inhibitors.

ALTERATION
splice site 2732+1_2732+18del18

NCT02264678
PHASE 1/2

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

TARGETS
ATR, PARP, PD-L1

LOCATIONS: Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), London (United Kingdom), Sutton (United Kingdom), Villejuif (France), Saint Herblain (France), California

NCT02630199
PHASE 1

Study of AZD6738, DNA Damage Repair/Novel Anti-cancer Agent, in Combination With Paclitaxel, in Refractory Cancer

TARGETS
ATR

LOCATIONS: Seoul (Korea, Republic of)

NCT03641547
PHASE 1

M6620 Plus Standard Treatment in Oesophageal and Other Cancer

TARGETS
ATR

LOCATIONS: Glasgow (United Kingdom), Manchester (United Kingdom), Oxford (United Kingdom), Cardiff (United Kingdom)

NCT03669601
PHASE 1

AZD6738 & Gemcitabine as Combination Therapy

TARGETS
ATR

LOCATIONS: Cambridge (United Kingdom)

NCT02595931
PHASE 1

ATR Kinase Inhibitor VX-970 and Irinotecan Hydrochloride in Treating Patients With Solid Tumors That Are Metastatic or Cannot Be Removed by Surgery

TARGETS
ATR

LOCATIONS: California, Missouri, Pennsylvania, Massachusetts, Connecticut, Tennessee, Florida

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CLINICAL TRIALS
GENE
ATM
RATIONALE
Loss or inactivation of ATM may increase
sensitivity to PARP inhibitors, ATR inhibitors, or

DNA-PKcs inhibitors.

ALTERATION
splice site 6007-1G>T

NCT03498521
PHASE 2

A Phase II Randomized Study Comparing the Efficacy and Safety of Targeted Therapy or Cancer Immunotherapy Versus Platinum-Based Chemotherapy in Patients With Cancer of Unknown Primary Site

TARGETS
ALK, RET, SMO, AKTs, PARP, PD-L1,
EGFR, VEGFA, MEK, BRAF, ERBB2,
ERBB3, ROS1, TRKA, TRKB, TRKC

LOCATIONS: Fukuoka (Japan), Ehime (Japan), Seoul (Korea, Republic of), Aichi (Japan), Tokyo (Japan), Chiba (Japan), Bangkok (Thailand), Blacktown (Australia), St Leonards (Australia), Helsinki (Finland)

NCT04123366
PHASE 2

Study of Olaparib (MK-7339) in Combination With Pembrolizumab (MK-3475) in the Treatment of Homologous Recombination Repair Mutation (HRRm) and/or Homologous Recombination Deficiency (HRD)-Positive Advanced Cancer (MK-7339-007/KEYLYNK-007)

TARGETS
PARP, PD-1

LOCATIONS: Fukuoka (Japan), Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Okayama (Japan), Nagoya (Japan), Tokyo (Japan), Kashiwa (Japan), Sapporo (Japan), Nedlands (Australia), Southport (Australia)

NCT03742895
PHASE 2

Efficacy and Safety of Olaparib (MK-7339) in Participants With Previously Treated, Homologous Recombination Repair Mutation (HRRm) or Homologous Recombination Deficiency (HRD) Positive Advanced Cancer (MK-7339-002 / LYNK-002)

TARGETS
PARP

LOCATIONS: Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Chelyabinsk (Russian Federation), Nedlands (Australia), Kazan (Russian Federation), Arkhangelsk (Russian Federation), Port Macquarie (Australia), Ryazan (Russian Federation), Darlinghurst (Australia), Moscow (Russian Federation)

NCT02264678
PHASE 1/2

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

TARGETS
ATR, PARP, PD-L1

LOCATIONS: Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), London (United Kingdom), Sutton (United Kingdom), Villejuif (France), Saint Herblain (France), California

NCT02630199
PHASE 1

Study of AZD6738, DNA Damage Repair/Novel Anti-cancer Agent, in Combination With Paclitaxel, in Refractory Cancer

TARGETS
ATR

LOCATIONS: Seoul (Korea, Republic of)

ORDERED TEST # ORD-1226643-01

CLINICAL TRIALS
NCT04635631
PHASE 1

STUDY OF TALAZOPARIB MONOTHERAPY IN CHINESE PARTICIPANTS WITH ADVANCED SOLID TUMORS

TARGETS
PARP

LOCATIONS: Beijing (China), Changchun (China)

NCT03188965
PHASE 1

First-in-human Study of ATR Inhibitor BAY1895344 in Patients With Advanced Solid Tumors and Lymphomas

TARGETS
ATR

LOCATIONS: Sunto (Japan), Chuo-ku (Japan), Kashiwa (Japan), Singapore (Singapore), St. Gallen (Switzerland), Bellinzona (Switzerland), Newcastle Upon Tyne (United Kingdom), Genève (Switzerland), Sutton (United Kingdom), Edmonton (Canada)

NCT03772561
PHASE 1

Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies

TARGETS
PARP, AKTs, PD-L1

LOCATIONS: Singapore (Singapore)

NCT04801966
PHASE NULL

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

TARGETS
CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

LOCATIONS: Melbourne (Australia)

NCT02693535
PHASE 2

TAPUR: Testing the Use of Food and Drug Administration (FDA) Approved Drugs That Target a Specific Abnormality in a Tumor Gene in People With Advanced Stage Cancer

TARGETS
VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, CDK4, CDK6, CSF1R, FLT3, KIT, RET, mTOR, EGFR, ERBB2, ERBB3, MEK, BRAF, SMO, DDR2, PARP, PD-1, CTLA-4, ERBB4

LOCATIONS: Hawaii, Washington, Oregon, California

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APPENDIX
Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

BCORL1
amplification

C17ORF39
K160R

CSF1R
T621M

FANCC
A325T

FGFR3
V505I

GABRA6
R84H

IRS2
A512T

MTOR
T1834_T1837del

PIK3C2G
rearrangement

RICTOR
S443C

SPEN
R3136C

STAG2
amplification

ZNF703
G302D

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APPENDIX

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

ABL1 Exons 4-9	ACVR1B	AKT1 Exon 3	AKT2	AKT3	ALK Exons 20-29, Introns 18, 19	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF Exons 4, 5, 7, 11, 13, 15, 16	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BCR* Introns 8, 13, 14	BRAF Exons 11-18, Introns 7-10	BRCA1 Introns 2, 7, 8, 12, 16, 19, 20	BRCA2 Intron 2	BRD4	BRIP1	BTG1
BTG2	BTK Exons 2, 15	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL
CCND1	CCND2	CCND3	CCNE1	CD22	CD70	CD74* Introns 6-8	CD79A	CD79B
CD274 (PD-L1)	CDC73	CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B
CDKN2A	CDKN2B	CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL
CSF1R	CSF3R	CTCF	CTNNA1	CTNNB1 Exon 3	CUL3	CUL4A	CXCR4	CYP17A1
DAXX	DDR1	DDR2 Exons 5, 17, 18	DIS3	DNMT3A	DOT1L	EED	EGFR Introns 7, 15, 24-27	EP300
EPHA3	EPHB1	EPHB4	ERBB2	ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	ERBB4	ERCC4	ERG	ERRF1
ESR1 Exons 4-8	ETV4* Intron 8	ETV5* Introns 6, 7	ETV6* Introns 5, 6	EWSR1* Introns 7-13	EZH2 Exons 4, 16, 17, 18	EZR* Introns 9-11	FAM46C	FANCA
FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12	FGF14	FGF19
FGF23	FGF3	FGF4	FGF6	FGFR1 Introns 1, 5, Intron 17	FGFR2 Intron 1, Intron 17	FGFR3 Exons 7, 9 (alternative designation exon 10), 14, 18, Intron 17	FGFR4	FH
FLCN	FLT1	FLT3 Exons 14, 15, 20	FOXL2	FUBP1	GABRA6	GATA3	GATA4	GATA6
GNA11 Exons 4, 5	GNA13	GNAQ Exons 4, 5	GNAS Exons 1, 8	GRM3	GSK3B	H3F3A	HDAC1	HGF
HNFI1A	HRAS Exons 2, 3	HSD3B1	ID3	IDH1 Exon 4	IDH2 Exon 4	IGF1R	IKBKE	IKZF1
INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2 Exon 14	JAK3 Exons 5, 11, 12, 13, 15, 16	JUN	KDMSA
KDMSC	KDM6A	KDR	KEAP1	KEL	KIT Exons 8, 9, 11, 12, 13, 17, Intron 16	KLHL6	KMT2A (MLL) Introns 6, 8-11, Intron 7	KMT2D (MLL2)

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Electronically signed by Erik Williams, M.D. | 09 November 2021
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Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
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APPENDIX

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

KRAS	<i>LTK</i>	<i>LYN</i>	<i>MAF</i>	MAP2K1 (MEK1) Exons 2, 3	MAP2K2 (MEK2) Exons 2-4, 6, 7	<i>MAP2K4</i>	<i>MAP3K1</i>	<i>MAP3K13</i>
<i>MAPK1</i>	<i>MCL1</i>	MDM2	<i>MDM4</i>	<i>MED12</i>	<i>MEF2B</i>	<i>MEN1</i>	<i>MERTK</i>	MET
<i>MITF</i>	<i>MKNK1</i>	<i>MLH1</i>	MPL Exon 10	<i>MRE11A</i>	<i>MSH2</i> Intron 5	<i>MSH3</i>	<i>MSH6</i>	<i>MST1R</i>
<i>MTAP</i>	MTOR Exons 19, 30, 39, 40, 43-45, 47, 48, 53, 56	<i>MUTYH</i>	<i>MYB*</i> Intron 14	MYC Intron 1	<i>MYCL</i> (MYCL1)	MYCN	MYD88 Exon 4	<i>NBN</i>
NF1	<i>NF2</i>	<i>NFE2L2</i>	<i>NFKBIA</i>	<i>NKX2-1</i>	<i>NOTCH1</i>	<i>NOTCH2</i> Intron 26	<i>NOTCH3</i>	NPM1 Exons 4-6, 8, 10
NRAS Exons 2, 3	<i>NSD3</i> (WHSC1L1)	<i>NTSC2</i>	NTRK1 Exons 14, 15, Introns 8-11	<i>NTRK2</i> Intron 12	NTRK3 Exons 16, 17	<i>NUTM1*</i> Intron 1	<i>P2RY8</i>	PALB2
<i>PARK2</i>	<i>PARP1</i>	<i>PARP2</i>	<i>PARP3</i>	<i>PAX5</i>	<i>PBRM1</i>	<i>PDCD1</i> (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA Exons 12, 18, Introns 7, 9, 11
PDGFRB Exons 12-21, 23	<i>PDK1</i>	<i>PIK3C2B</i>	<i>PIK3C2G</i>	PIK3CA Exons 2, 3, 5-8, 10, 14, 19, 21 (Coding Exons 1, 2, 4-7, 9, 13, 18, 20) <i>PPP2R2A</i>	<i>PIK3CB</i>	<i>PIK3R1</i>	<i>PIM1</i>	<i>PMS2</i>
<i>POLD1</i>	<i>POLE</i>	<i>PPARG</i>	<i>PPP2R1A</i>		<i>PRDM1</i>	<i>PRKAR1A</i>	<i>PRKCI</i>	<i>PTCH1</i>
PTEN	PTPN11	<i>PTPRO</i>	<i>QKI</i>	<i>RAC1</i>	<i>RAD21</i>	<i>RAD51</i>	<i>RAD51B</i>	<i>RAD51C</i>
<i>RAD51D</i>	<i>RAD52</i>	<i>RAD54L</i>	RAF1 Exons 3, 4, 6, 7, 10, 14, 15, 17, Introns 4-8	<i>RARA</i> Intron 2	RB1	<i>RBM10</i>	<i>REL</i>	RET Introns 7, 8, Exons 11, 13-16, Introns 9-11
<i>RICTOR</i>	<i>RNF43</i>	ROS1 Exons 31, 36-38, 40, Introns 31-35	<i>RPTOR</i>	<i>RSPO2*</i> Intron 1	<i>SDC4*</i> Intron 2	<i>SDHA</i>	<i>SDHB</i>	<i>SDHC</i>
<i>SDHD</i>	<i>SETD2</i>	<i>SF3B1</i>	<i>SGK1</i>	<i>SLC34A2*</i> Intron 4	<i>SMAD2</i>	<i>SMAD4</i>	<i>SMARCA4</i>	<i>SMARCB1</i>
SMO	<i>SNCAIP</i>	<i>SOC1</i>	<i>SOX2</i>	<i>SOX9</i>	<i>SPEN</i>	<i>SPOP</i>	<i>SRC</i>	<i>STAG2</i>
<i>STAT3</i>	STK11	<i>SUFU</i>	<i>SYK</i>	<i>TBX3</i>	<i>TEK</i>	<i>TERC*</i> ncRNA	TERT* Promoter	<i>TET2</i>
<i>TGFBR2</i>	<i>TIPARP</i>	<i>TMPRSS2*</i> Introns 1-3	<i>TNFAIP3</i>	<i>TNFRSF14</i>	TP53	<i>TSC1</i>	<i>TSC2</i>	<i>TYRO3</i>
<i>U2AF1</i>	VEGFA	<i>VHL</i>	<i>WHSC1</i>	<i>WT1</i>	<i>XPO1</i>	<i>XRCC2</i>	<i>ZNF217</i>	<i>ZNF703</i>

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Microsatellite (MS) status

Blood Tumor Mutational Burden (bTMB)

Tumor Fraction

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APPENDIX

About FoundationOne® Liquid CDx

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.



ABOUT FOUNDATIONONE LIQUID CDx

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details.

INTENDED USE

FoundationOne Liquid CDx is a next generation sequencing based *in vitro* diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

TEST PRINCIPLES

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

RANKING OF THERAPIES AND CLINICAL TRIALS

Ranking of Therapies in Summary Table

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

LIMITATIONS

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
5. The test is not intended to provide information on cancer predisposition.
6. Performance has not been validated for cfDNA input below the specified minimum input.
7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.
8. Tumor fraction is the percentage of circulating-tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from observed aneuploid instability in the sample.
9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited to: *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, *TP53*, and *U2AF1*.
11. Alterations reported may include somatic (not

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About FoundationOne®Liquid CDx

inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.

12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical

context.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

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LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in

conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

MR Suite Version 5.1.1

ORDERED TEST # **ORD-1226643-01**
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