

ABOUT THE TEST FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

PATIENT	DISEASE Unknown primary carcinoma (NOS)	PHYSICIAN	ORDERING PHYSICIAN Yeh, Yi-Chen	SPECIMEN	SPECIMEN ID CTH 07/15/1961
	NAME Hsu, Chiu-Tsu		MEDICAL FACILITY Taipei Veterans General Hospital		SPECIMEN TYPE Blood
	DATE OF BIRTH 15 July 1961		ADDITIONAL RECIPIENT None		DATE OF COLLECTION 06 January 2023
	SEX Female		MEDICAL FACILITY ID 205872		SPECIMEN RECEIVED 10 January 2023
	MEDICAL RECORD # 37968459		PATHOLOGIST Not Provided		

Biomarker Findings

Blood Tumor Mutational Burden - 3 Muts/Mb
Microsatellite status - MSI-High Not Detected
Tumor Fraction - Elevated Tumor Fraction Not Detected

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

ARID1A S715fs*101
KRAS G12D
AXIN1 R22*
BRAF G466E
DNMT3A K766fs*15
SF3B1 K700E

Report Highlights

- Evidence-matched clinical trial options based on this patient's genomic findings: (p. [10](#))
- Variants that may represent clonal hematopoiesis and may originate from non-tumor sources: **DNMT3A** K766fs*15 (p. [8](#)), **SF3B1** K700E (p. [9](#))

BIOMARKER FINDINGS

Blood Tumor Mutational Burden -
3 Muts/Mb

Microsatellite status -
MSI-High Not Detected

Tumor Fraction -
Elevated Tumor Fraction Not Detected

THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected. The fact that elevated tumor fraction was not detected in this specimen indicates the possibility of lower levels of ctDNA but does not compromise confidence in any reported alterations. However, in the setting of a negative liquid biopsy result, orthogonal testing of a tissue specimen should be considered if clinically indicated (see Biomarker Findings section).

GENOMIC FINDINGS

VAF%

ARID1A - S715fs*101 1.8%

7 Trials see p. [10](#)

KRAS - G12D 2.0%

10 Trials see p. [12](#)

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)

None

THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)

None

None

None

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Electronically signed by J. Keith Killian, M.D. | 17 January 2023
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VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

DNMT3A - K766fs*15 p. [8](#) **SF3B1 - K700E** p. [9](#)

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

AXIN1 - R22* p. [7](#) **DNMT3A - K766fs*15** p. [8](#)
BRAF - G466E p. [8](#) **SF3B1 - K700E** p. [9](#)

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFB2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

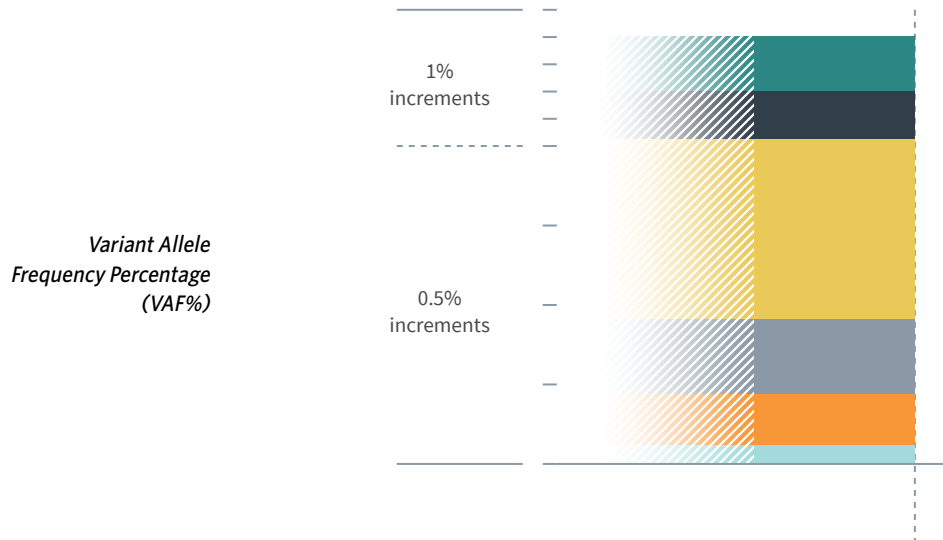
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FoundationOne®Liquid CDx
17 Jan 2023

HISTORIC PATIENT FINDINGS

ORD-1540654-01
VAF%

Blood Tumor Mutational Burden

3 Muts/Mb

Microsatellite status

MSI-High Not Detected

Tumor Fraction

Elevated Tumor Fraction Not Detected

ARID1A	● S715fs*101	1.8%
KRAS	● G12D	2.0%
AXIN1	● R22*	1.4%
BRAF	● G466E	0.12%
DNMT3A	● K766fs*15	0.47%
SF3B1	● K700E	0.32%

NOTE This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx or FoundationOne®CDx tests. Up to five previous tests may be shown.

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable.

Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

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Detected = present (VAF% is not applicable)

VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

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BIOMARKER FINDINGS

BIOMARKER

Blood Tumor Mutational Burden

RESULT

3 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased blood tumor mutational burden (bTMB) may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1¹⁻³, anti-PD-1³⁻⁴, anti-PD-1/CTLA4 therapies⁵⁻⁶, anti-PD-L1/CTLA4 therapies⁷⁻¹⁰. A Phase 2 multi-solid-tumor trial showed that bTMB ≥ 16 Muts/Mb (as measured by this assay) was associated with improved survival from treatment with a PD-1 inhibitor alone or in combination with a CTLA-4 inhibitor⁵. In non-small cell lung cancer (NSCLC), multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single-agent or combination treatments with either CTLA4 inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 Muts/Mb-16 Muts/Mb^{1,8-10}. In head and neck squamous cell

carcinoma (HNSCC), a Phase 3 trial showed that bTMB ≥ 16 Muts/Mb (approximate equivalency ≥ 8 Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor¹¹. In colorectal cancer (CRC), a Phase 2 study showed that bTMB TMB ≥ 28 Muts/Mb (approximate equivalency ≥ 14 Muts/Mb as measured by this assay) was associated with improved OS from a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor⁷.

FREQUENCY & PROGNOSIS

Average bTMB levels in solid tumors other than NSCLC have not been evaluated (PubMed, Mar 2022). Published data investigating the prognostic implications of TMB have mainly been investigated in the context of tissue TMB. In patients with NSCLC, increased TMB is associated with higher tumor grade and poor prognosis¹², as well as with a decreased frequency of known driver mutations in EGFR, ALK, ROS1, or MET (1% of high-TMB samples each), but not BRAF (10.3%) or KRAS (9.4%)¹³. Although some studies have reported a lack of association between smoking and increased TMB in NSCLC^{12,14}, several other large studies did find a strong link¹⁵⁻¹⁸. In CRC, elevated TMB is associated with a higher frequency of BRAF V600E driver mutations¹⁹⁻²⁰ and with

microsatellite instability (MSI)²⁰, which in turn has been reported to correlate with better prognosis²¹⁻²⁸. Although increased TMB is associated with increased tumor grade in endometrioid endometrial carcinoma²⁹⁻³² and bladder cancer³³, it is also linked with improved prognosis in patients with these tumor types³⁰.

FINDING SUMMARY

Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma³⁴⁻³⁵ and cigarette smoke in lung cancer³⁶⁻³⁷, treatment with temozolomide-based chemotherapy in glioma³⁸⁻³⁹, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes^{19,30,40-42}, and microsatellite instability (MSI)^{19,30,42}. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents¹⁻²⁴. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

Tumor Fraction

RESULT

Elevated Tumor Fraction Not Detected

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Specimens with elevated tumor fraction values have high circulating-tumor DNA (ctDNA) content, and thus high sensitivity for identifying genomic alterations. Such specimens are at low risk of false negative results. However, if elevated tumor fraction is not detected, it does not exclude the presence of disease burden or compromise the confidence of reported alterations. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not

be overinterpreted or compared from one blood draw to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management⁴³⁻⁴⁸.

FREQUENCY & PROGNOSIS

Detectable ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)⁴⁹. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer⁵⁰, Ewing sarcoma and osteosarcoma⁵¹, prostate cancer⁴⁶, breast cancer⁵², leiomyosarcoma⁵³, esophageal cancer⁵⁴, colorectal

cancer⁵⁵, and gastrointestinal cancer⁵⁶.

FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 single-nucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content⁵⁷, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy⁵⁸⁻⁵⁹.

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GENOMIC FINDINGS
GENE
ARID1A
ALTERATION

S715fs*101

TRANSCRIPT ID

NM_006015.4

CODING SEQUENCE EFFECT

2143_2144delTC

VARIANT CHROMOSOMAL POSITION

chr1:27087565-27087567

POTENTIAL TREATMENT STRATEGIES
— Targeted Therapies —

There are no therapies approved to address the mutation or loss of ARID1A in cancer. However, on the basis of limited clinical and preclinical evidence, ARID1A inactivating mutations may lead to sensitivity to ATR inhibitors such as M662o and ceralasertib⁶⁰. In a Phase 2 study of ceralasertib in solid tumors, 2 patients with endometrial carcinoma in the cohort with loss of ARID1A expression achieved CRs on ceralasertib monotherapy; at least 1 of these 2 patients carried an inactivating ARID1A mutation. In contrast, no responses were observed for patients with normal ARID1A expression treated with ceralasertib combined with olaparib⁶¹. One patient with small cell lung cancer harboring an ARID1A mutation

experienced a PR when treated with M662o combined with topotecan⁶². In a Phase 1 trial, a patient with metastatic colorectal cancer (CRC) harboring both an ARID1A mutation and ATM loss treated with single-agent M662o achieved a CR that was ongoing at 29 months⁶³. On the basis of limited clinical and preclinical evidence, ARID1A inactivation may predict sensitivity to EZH2 inhibitors⁶⁴⁻⁶⁵. A Phase 1 study of EZH2 inhibitor CPI-0209 reported 1 PR for a patient with ARID1A-mutated endometrial cancer⁶⁶. Other studies have reported that the loss of ARID1A may activate the PI3K-AKT pathway and be linked with sensitivity to inhibitors of this pathway⁶⁷⁻⁶⁹. Patients with ARID1A alterations in advanced or metastatic solid tumors may derive benefit from treatment with anti-PD-1 or anti-PD-L1 immunotherapy⁷⁰. Loss of ARID1A expression has been associated with chemoresistance to platinum-based therapy for patients with ovarian clear cell carcinoma⁷¹⁻⁷² and to 5-fluorouracil in CRC cell lines⁷³.

FREQUENCY & PROGNOSIS

ARID1A alterations are particularly prevalent in ovarian clear cell carcinoma (46-50%), ovarian and uterine endometrioid carcinomas (24-44%), and cholangiocarcinoma (27%); they are also reported in up to 27% of gastric carcinoma, esophageal adenocarcinoma, Waldenstrom macroglobulinemia, pediatric Burkitt lymphoma, hepatocellular

carcinoma, colorectal carcinoma, and urothelial carcinoma samples analyzed (COSMIC, cBioPortal, 2023)⁷⁴⁻⁸². ARID1A loss is associated with microsatellite instability in ovarian and endometrial endometrioid adenocarcinomas^{31,70,83-85}, CRC^{70,86-88}, and gastric cancer^{70,89-93}. ARID1A protein loss is associated with tumors of poor histological grade for many tumor types, including colorectal cancer (CRC)⁸⁶⁻⁸⁸, cervical cancer⁹⁴⁻⁹⁵, gastric cancer⁸⁹⁻⁹³, urothelial carcinoma⁹⁶⁻⁹⁸, ovarian and endometrial cancers^{31,72,83-85,99-103}, breast carcinoma¹⁰⁴⁻¹⁰⁶, and clear cell renal cell carcinoma¹⁰⁷; ARID1A mutation has been associated with poor outcomes for patients with cholangiocarcinoma¹⁰⁸⁻¹¹¹. However, prognostic data regarding patient survival are often mixed and conflicting.

FINDING SUMMARY

ARID1A encodes the AT-rich interactive domain-containing protein 1A, also known as Baf250a, a member of the SWI/SNF chromatin remodeling complex. Mutation, loss, or inactivation of ARID1A has been reported in many cancers, and the gene is considered a tumor suppressor^{78,92,105,112-117}. ARID1A mutations, which are mostly truncating, have been identified along the entire gene and often correlate with ARID1A protein loss^{78,90,113-114,118}, whereas ARID1A missense mutations are mostly uncharacterized.

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GENOMIC FINDINGS

GENE

KRAS

ALTERATION

G12D

TRANSCRIPT ID

NM_004985.3

CODING SEQUENCE EFFECT

35G>A

VARIANT CHROMOSOMAL POSITION

chr12:25398284

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Preclinical evidence suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib¹¹⁹⁻¹²⁴. While clinical responses have been reported for patients with KRAS-mutated ovarian¹²⁵⁻¹²⁸, cervical small cell neuroendocrine¹²⁹, or uterine cancer¹²⁷ treated with MEK inhibitor monotherapy, multiple clinical trials have not demonstrated increased response rates for patients with KRAS-altered tumors including KRAS-mutated CRC¹³⁰⁻¹³³, pancreatic cancer¹³⁴⁻¹³⁶, and NSCLC^{131,137-138}. A Phase 2 study of trametinib and uprosertib for patients with recurrent cervical cancer reported no responses for patients with KRAS-mutated (2/2 SDs) or KRAS-amplified (1/1 SD) cancer¹³⁹. Clinical responses have been reported for combination treatment strategies

including MEK inhibitors with PI3K or AKT inhibitors for patients with KRAS-mutated ovarian cancer¹⁴⁰⁻¹⁴² and KRAS-mutated endometrioid adenocarcinoma¹⁴³. In a Phase 1 study evaluating the MEK-pan-RAF dual inhibitor CH5126766, 6 patients harboring KRAS mutations experienced PRs, including 3 with non-small cell lung cancer (NSCLC), 1 with low-grade serous ovarian carcinoma (LGSOC), 1 with endometrial adenocarcinoma, and 1 with multiple myeloma¹⁴⁴. Combination of CH5126766 with the FAK inhibitor defactinib elicited PR rates of 50% (4/8) for patients with KRAS-mutated low-grade serous ovarian cancer and 12% (2/17) for patients with KRAS-mutated non-small cell lung cancer (NSCLC) in a Phase 1 study¹⁴⁵⁻¹⁴⁶. Preclinical and clinical data suggest that KRAS mutations may predict clinical benefit from SHP2 inhibitors¹⁴⁷⁻¹⁴⁸. A Phase 1 study of RMC-4630 for relapsed/refractory solid tumors reported a DCR of 58% (23/40) for patients with NSCLC and KRAS mutations and a DCR of 75% (12/16) for patients with NSCLC and KRAS G12C mutations¹⁴⁹. Interim results from a Phase 1/2 study of RMC-4630 plus cobimetinib reported tumor reduction in 3 of 8 patients with KRAS-mutated colorectal cancer¹⁵⁰. Preclinical data suggest that KRAS mutation may confer sensitivity to SOS1 inhibitors¹⁵¹⁻¹⁵². Phase 1 studies of the SOS1 inhibitor BI 1701963 alone or in combination with MEK inhibitors, KRAS G12C inhibitors, or irinotecan are recruiting for patients with solid tumors harboring KRAS mutations¹⁵³⁻¹⁵⁴.

FREQUENCY & PROGNOSIS

KRAS mutations have been observed in 18% of tumor samples analyzed in the COSMIC database, including 53% of pancreatic, 45% of peritoneal, 32% of colorectal, 21% of small intestinal, 18% of biliary tract, and 15% of lung tumors (Mar 2022)⁷⁴. Mutations in KRAS have been reported in 32-54% of colorectal cancer cases, with the G12C, G12V, and G13D mutations specifically identified in 7-11%, 26-32%, and 16-24% of cases, respectively¹⁵⁵⁻¹⁶⁰. Additionally, an activating KRAS mutation has been reported in more than 80% of pancreatic adenocarcinomas, with the majority of mutations found at codon 12¹⁶¹⁻¹⁶⁴. KRAS mutations, particularly G12D, have been associated with decreased median survival time in patients with pancreatic ductal adenocarcinoma¹⁶². One study found that KRAS mutations were correlated with shorter PFS and OS in cancer of unknown primary (CUP) tumors¹⁶⁵.

FINDING SUMMARY

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation^{120,166}. KRAS alterations affecting amino acids G12, G13, Q22, P34, A59, Q61, and A146, as well as mutations G10_A11insG, G10_A11insAG (also reported as G10_A11dup and G12_G13insAG), A18D, L19F, D33E, G60_A66dup/E62_A66dup, E62K, E63K, R68S, and K117N have been characterized as activating and oncogenic^{120,167-189}.

GENE

AXIN1

ALTERATION

R22*

TRANSCRIPT ID

NM_003502.3

CODING SEQUENCE EFFECT

64C>T

VARIANT CHROMOSOMAL POSITION

chr16:396962

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no approved therapies that target AXIN1 mutation or WNT pathway activation, but WNT pathway inhibitors are being studied preclinically and in early clinical trials for solid tumors¹⁹⁰⁻¹⁹³.

FREQUENCY & PROGNOSIS

Somatic AXIN1 mutations have been reported in a wide variety of tumor types in the COSMIC database (2022)⁷⁴. Mutations in AXIN1 have been observed in 18% of hepatitis B virus-related hepatocellular carcinoma¹⁹⁴. These mutations frequently co-occur with mutations in RPS6KA3, a component of the mitogen activated protein kinase (MAPK) pathway¹⁹⁵. In another study, AXIN1

mutations were reported in 6/54 (11%) of colorectal tumor samples¹⁹⁶. Aberrant methylation of the AXIN1 promoter in lung tumors has been associated with reduced AXIN1 expression and poor prognosis¹⁹⁷. An increase in AXIN1 protein expression was reported in non-small cell lung cancer cells resistant to erlotinib; however, the role of AXIN1 expression in drug resistance is not clear¹⁹⁸.

FINDING SUMMARY

AXIN1 encodes a cytoplasmic protein that negatively regulates the WNT signaling pathway through interactions with beta-catenin, GSK3-beta, and APC¹⁹⁹⁻²⁰¹.

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GENOMIC FINDINGS

GENE

BRAF

ALTERATION

G466E

TRANSCRIPT ID

NM_004333.4

CODING SEQUENCE EFFECT

1397G>A

VARIANT CHROMOSOMAL POSITION

chr7:140481411

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Clinical outcomes for patients with activating BRAF alterations treated with BRAF and MEK inhibitors are most extensive at the V600 codon; outcomes are more limited for BRAF class 3 kinase-impaired or inactivating mutations such as one or more of the alterations seen here. A retrospective study of immunotherapies in NSCLC reported a 78% DCR (7/9) for patients with BRAF class 3 mutations²⁰². MEK inhibitors alone or in combination with BRAF inhibitors may be efficacious in these alterations; a basket trial of single-agent MEK inhibitor trametinib reported 1

PR, 8 SDs, and 9 PDs for these patients²⁰³, and combination therapies reported individual responses in other basket trials²⁰⁴⁻²⁰⁵. A retrospective analysis in BRAF-mutated melanoma reported PD as the best response in BRAF class 3 alterations for 2 patients treated with MEK inhibitors and 3 patients treated with RAF inhibitors²⁰⁶. Single-agent BRAF inhibitor vemurafenib was not effective in a Phase 2 trial in NSCLC, which reported no responses for 6 patients with class 3 BRAF alterations²⁰⁷; a basket trial of vemurafenib also observed no responses for these patients (n=3)²⁰⁸. Investigational BRAF²⁰⁹ and ERK²¹⁰ inhibitors are also in development; a basket trial of ulixertinib reported no responses and 3 SDs for patients across class 3-mutated tumors²¹⁰.

FREQUENCY & PROGNOSIS

BRAF mutation has been most extensively studied in melanoma, where it has been reported in 37-66% of cases²¹¹⁻²¹⁴. BRAF mutation also occurs at high frequencies in patients with papillary craniopharyngiomas (95%)²¹⁵, metanephric kidney adenomas (90%)²¹⁶, and papillary thyroid carcinoma (45%)²¹⁷⁻²¹⁹, and has also been reported in lung adenocarcinoma (10%)²²⁰ and colorectal cancer (9%)¹⁹. Studies on the effect of BRAF alteration on prognosis are conflicting with reports of

association with poor prognosis in cholangiocarcinoma²²¹⁻²²³ and colorectal cancer²²⁴⁻²³¹, improved prognosis in ovarian cancer²³², or no association in NSCLC²³³⁻²³⁴ and pancreatic ductal adenocarcinoma²³⁵. BRAF mutation in papillary thyroid carcinoma have been reported to correlate with poor prognosis in some studies^{217,219,236-240}, but not in other studies²⁴¹⁻²⁴². There are similarly conflicting reports regarding the prognostic significance of BRAF mutation in the context of melanoma²⁴³⁻²⁴⁶.

FINDING SUMMARY

BRAF encodes a member of the RAF family of protein kinases, which includes ARAF, BRAF, and CRAF. These kinases function downstream of RAS as part of the MAPK (RAF-MEK-ERK) signaling cascade that facilitates cell proliferation, survival and transformation²⁴⁷⁻²⁴⁸. BRAF mutations have been reported in up to 20% of all cancers, with the majority of mutations occurring at the V600 position^{211,249}. Alterations such as the class 3 mutation seen here have been shown to require concomitant upstream RAS activity in contrast with independently activating BRAF V600 or class 2 alterations²⁵⁰⁻²⁶², and may activate the MEK-ERK signaling pathway via CRAF^{250-252,259,263}.

GENE

DNMT3A

ALTERATION

K766fs*15

TRANSCRIPT ID

NM_022552.3

CODING SEQUENCE EFFECT

2296_2297delAA

VARIANT CHROMOSOMAL POSITION

chr2:25463195-25463197

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no targeted therapies available to address genomic alterations in DNMT3A in solid tumors.

FREQUENCY & PROGNOSIS

DNMT3A alterations have been reported at relatively low frequencies in solid tumors and are more prevalent in hematological malignancies (cBioPortal, Feb 2022)⁷⁵⁻⁷⁶. Published data investigating the prognostic implications of DNMT3A alterations in solid tumors are limited (PubMed, Feb 2022).

FINDING SUMMARY

The DNMT3A gene encodes the protein DNA methyltransferase 3A, an enzyme that is involved in the methylation of newly synthesized DNA, a function critical for gene regulation²⁶⁴⁻²⁶⁵. The role of DNMT3A in cancer is uncertain, as some reports describe increased expression and contribution to tumor growth, whereas others propose a role for DNMT3A as a tumor suppressor²⁶⁶⁻²⁷¹. Alterations such as seen here may disrupt DNMT3A function or expression²⁷²⁻²⁷⁵.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion²⁷⁶⁻²⁸¹. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy²⁷⁶⁻²⁷⁷. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²⁸². Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{280,283-284}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

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ORDERED TEST # ORD-1540654-01

GENOMIC FINDINGS

GENE

SF3B1

ALTERATION

K700E

TRANSCRIPT ID

NM_012433.2

CODING SEQUENCE EFFECT

2098A>G

VARIANT CHROMOSOMAL POSITION

chr2:198266834

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Preclinical studies suggest that mutations in genes encoding spliceosome components, including SF3B1, may confer sensitivity to spliceosome inhibitors²⁸⁵⁻²⁸⁹. In preclinical models, SF3B1 mutation leads to DNA damage and ATR-CHK1 pathway activation, increasing sensitivity to ATR and CHK1 inhibitors²⁸⁹. However, clinical data supporting SF3B1 as biomarkers for the efficacy of these approaches is lacking.

FREQUENCY & PROGNOSIS

In the context of solid tumors, SF3B1 mutations have been reported in uveal melanoma

(15-37%)²⁹⁰⁻²⁹², adenoid cystic carcinomas of the salivary gland (4%, 1/24)²⁹³ and breast²⁹⁴ as well as in pancreatic carcinoma²⁹⁵, glioblastoma, and renal clear cell carcinoma²⁷⁸. Mutation of SF3B1 was found to be recurrent in several breast carcinoma subtypes²⁹⁶⁻²⁹⁸, and in unselected breast cancers, it correlated with ER-positivity and frequent co-occurrence with AKT1 and PIK3CA mutations^{297,299}; the hot spot mutation K700E was found in 16% (3/19) of papillary and 6% of breast mucinous carcinomas²⁹⁹. In a retrospective study of primary uveal melanoma, SF3B1 mutation was generally mutually exclusive with BAP1 mutation²⁹⁰. In solid tumors, the prognostic implications of SF3B1 alterations are dependent on disease context. In a study of 3,282 breast cancer cases, SF3B1 mutation was significantly associated with poor prognosis for patients with luminal B and progesterone receptor (PR)-negative subtypes of disease³⁰⁰. For patients with hepatocellular carcinoma, one study showed that SF3B1 mutation was associated with an advanced stage of disease³⁰¹. SF3B1 mutation has been associated with a medium risk for distant metastasis for patients with uveal melanoma (NCCN Uveal Melanoma Guidelines, v2.2022)^{290-292,302}.

FINDING SUMMARY

SF3B1 encodes a subunit of the spliceosome, the complex that is responsible for the splicing of pre-

mRNA molecules to create mature messenger RNA³⁰³⁻³⁰⁶. SF3B1 mutations predominantly occur in HEAT domains 5-7 at codons 625, 662, 666, and 700^{299,307-311}, which result in neomorphic activity that upregulates aberrant mRNA splicing³¹²⁻³¹⁵. The consequences of SF3B1 alterations outside of these sites have not been extensively characterized.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion²⁷⁶⁻²⁸¹. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy²⁷⁶⁻²⁷⁷. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²⁸². Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{280,283-284}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

ORDERED TEST # ORD-1540654-01

CLINICAL TRIALS

IMPORTANT Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. However, clinicaltrials.gov does not list all clinical trials that might be available.

GENE
ARID1A
RATIONALE

ARID1A loss or inactivation may predict

sensitivity to ATR inhibitors.

ALTERATION

S715fs*101

NCT02264678
PHASE 1/2

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

TARGETS
ATR, PARP, PD-L1

LOCATIONS: Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), Manchester (United Kingdom), London (United Kingdom), Coventry (United Kingdom), Sutton (United Kingdom), Oxford (United Kingdom)

NCT04657068
PHASE 1/2

A Study of ART0380 for the Treatment of Advanced or Metastatic Solid Tumors

TARGETS
ATR

LOCATIONS: London (United Kingdom), Colorado, Oklahoma, Texas, Pennsylvania, Tennessee, Florida

NCT04514497
PHASE 1

Testing the Addition of an Anti-cancer Drug, BAY 1895344, to Usual Chemotherapy for Advanced Stage Solid Tumors, With a Specific Focus on Patients With Small Cell Lung Cancer, Poorly Differentiated Neuroendocrine Cancer, and Pancreatic Cancer

TARGETS
ATR, TOP1

LOCATIONS: Arizona, Minnesota, Oklahoma, Missouri, Pennsylvania, Connecticut, Tennessee, Florida

NCT04616534
PHASE 1

Testing the Addition of an Anti-cancer Drug, BAY 1895344 ATR Inhibitor, to the Chemotherapy Treatment (Gemcitabine) for Advanced Pancreatic and Ovarian Cancer, and Advanced Solid Tumors

TARGETS
ATR

LOCATIONS: Massachusetts, Maryland

NCT04802174
PHASE 1/2

Lurbinectedin With Berzosertib, an ATR Kinase Inhibitor in Small Cell Cancers and High-Grade Neuroendocrine Cancers

TARGETS
ATR

LOCATIONS: Maryland

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ORDERED TEST # ORD-1540654-01

CLINICAL TRIALS
NCT04266912
PHASE 1/2

Avelumab and M6620 for the Treatment of DDR Deficient Metastatic or Unresectable Solid Tumors

TARGETS
ATR, PD-L1

LOCATIONS: Texas

NCT03669601
PHASE 1

AZD6738 & Gemcitabine as Combination Therapy

TARGETS
ATR

LOCATIONS: Cambridge (United Kingdom)

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CLINICAL TRIALS
GENE
KRAS
ALTERATION
G12D

RATIONALE
KRAS activating mutations or amplification may predict sensitivity to inhibitors of MAPK pathway components, including MEK inhibitors. Limited

clinical and preclinical studies indicate KRAS mutations may predict sensitivity to MEK-pan-RAF dual inhibitors.

NCT04985604
PHASE 1/2

DAY101 Monotherapy or in Combination With Other Therapies for Patients With Solid Tumors

TARGETS
BRAF, MEK

LOCATIONS: Busan (Korea, Republic of), Seoul (Korea, Republic of), Clayton (Australia), Edegem (Belgium), Oregon, Barcelona (Spain), Madrid (Spain), California, Colorado

NCT04803318
PHASE 2

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

TARGETS
mTOR, FGFRs, RET, PDGFRA, VEGFRs, KIT, MEK

LOCATIONS: Guangzhou (China)

NCT03284502
PHASE 1

Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors

TARGETS
MEK, RAFs, NRAS

LOCATIONS: Hwasun (Korea, Republic of), Pusan (Korea, Republic of), Seongnam (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of)

NCT04801966
PHASE NULL

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

TARGETS
CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

LOCATIONS: Melbourne (Australia)

NCT04551521
PHASE 2

CRAFT: The NCT-PMO-1602 Phase II Trial

TARGETS
PD-L1, AKTs, MEK, BRAF, ALK, RET, ERBB2

LOCATIONS: Würzburg (Germany), Mainz (Germany), Heidelberg (Germany), Tübingen (Germany)

NCT04892017
PHASE 1/2

A Safety, Tolerability and PK Study of DCC-3116 in Patients With RAS or RAF Mutant Advanced or Metastatic Solid Tumors.

TARGETS
ULK1, ULK2, MEK

LOCATIONS: Massachusetts, Texas, Pennsylvania

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CLINICAL TRIALS
NCT03905148
PHASE 1/2

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

TARGETS
RAFs, EGFR, MEK

LOCATIONS: Nedlands (Australia), Blacktown (Australia), Randwick (Australia), Melbourne (Australia), California, Texas

NCT05159245
PHASE 2

The Finnish National Study to Facilitate Patient Access to Targeted Anti-cancer Drugs

TARGETS
BRAF, VEGFRs, RET, KIT, ERBB2, TRKB, ALK, TRKB, ROS1, TRKA, SMO, PD-L1, MEK, CDK4, CDK6

LOCATIONS: Kuopio (Finland), Helsinki (Finland), Tampere (Finland), Turku (Finland)

NCT04817956
PHASE 2

Improving Public Cancer Care by Implementing Precision Medicine in Norway

TARGETS
PD-L1, VEGFA, ERBB2, ALK, RET, PARP, SMO, TRKB, TRKC, ROS1, TRKA, MEK, BRAF, PI3K-alpha, FGFR1, FGFR2, FGFR3, MET, KIT, ABL

LOCATIONS: Tromsø (Norway), Bodø (Norway), Hamar (Norway), Oslo (Norway), Fredrikstad (Norway), Drammen (Norway), Trondheim (Norway), Skien (Norway), Førde (Norway), Bergen (Norway)

NCT04720976
PHASE 1/2

JAB-3312 Activity in Adult Patients With Advanced Solid Tumors

TARGETS
MEK, SHP2, PD-1, EGFR, KRAS

LOCATIONS: Utah, California, Arizona, Minnesota, Illinois, Michigan, Oklahoma, Missouri, Indiana, Connecticut

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APPENDIX
Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

ATRX
Q483P

EPHB1
S774L

NOTCH1
Q1957P

NOTCH2
V1438I

PARP3
R408H

PTCH1
R1303C

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APPENDIX

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

ABL1 Exons 4-9	ACVR1B	AKT1 Exon 3	AKT2	AKT3	ALK Exons 20-29, Introns 18, 19	ALOX12B	AMER1 (FAM123B or WTX)	APC
AR	ARAF Exons 4, 5, 7, 11, 13, 15, 16	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BCR* Introns 8, 13, 14	BRAF Exons 11-18, Introns 7-10	BRCA1 Introns 2, 7, 8, 12, 16, 19, 20	BRCA2 Intron 2	BRD4	BRIP1	BTG1
BTG2	BTK Exons 2, 15	CALR	CARD11	CASP8	CBFB	CBL	CCND1	CCND2
CCND3	CCNE1	CD22	CD70	CD74* Introns 6-8	CD79A	CD79B	CD274 (PD-L1)	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1 Exon 3	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2 Exons 5, 17, 18	DIS3	DNMT3A	DOT1L	EED	EGFR Introns 7, 15, 24-27	EMSY (C11orf30)	EP300	EPHA3
EPHB1	EPHB4	ERBB2	ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	ERBB4	ERCC4	ERG	ERRF1	ESR1 Exons 4-8
ETV4* Intron 8	ETV5* Introns 6, 7	ETV6* Introns 5, 6	EWSR1* Introns 7-13	EZH2 Exons 4, 16, 17, 18	EZR* Introns 9-11	FANCA	FANCC	FANCG
FANCL	FAS	FBXW7	FGF10	FGF12	FGF14	FGF19	FGF23	FGF3
FGF4	FGF6	FGFR1 Introns 1, 5, Intron 17	FGFR2 Intron 1, Intron 17	FGFR3 Exons 7, 9 (alternative designation exon 10), 14, 18, Intron 17	FGFR4	FH	FLCN	FLT1
FLT3 Exons 14, 15, 20	FOXL2	FUBP1	GABRA6	GATA3	GATA4	GATA6	GID4 (C17orf39)	GNA11 Exons 4, 5
GNA13	GNAQ Exons 4, 5	GNAS Exons 1, 8	GRM3	GSK3B	H3-3A (H3F3A)	HDAC1	HGF	HNFI1A
HRAS Exons 2, 3	HSD3B1	ID3	IDH1 Exon 4	IDH2 Exon 4	IGF1R	IKBKE	IKZF1	INPP4B
IRF2	IRF4	IRS2	JAK1	JAK2 Exon 14	JAK3 Exons 5, 11, 12, 13, 15, 16	JUN	KDM5A	KDM5C
KDM6A	KDR	KEAP1	KEL	KIT Exons 8, 9, 11, 12, 13, 17, Intron 16	KLHL6	KMT2A (MLL) Introns 6, 8-11, Intron 7	KMT2D (MLL2)	KRAS

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LTK	LYN	MAF	MAP2K1 (MEK1) Exons 2, 3	MAP2K2 (MEK2) Exons 2-4, 6, 7	MAP2K4	MAP3K1	MAP3K13	MAPK1
MCL1	MDM2	MDM4	MED12	MEF2B	MEN1	MERTK	MET	MITF
MKNK1	MLH1	MPL Exon 10	MRE11 (MRE11A)	MSH2 Intron 5	MSH3	MSH6	MST1R	MTAP
MTOR Exons 19, 30, 39, 40, 43-45, 47, 48, 53, 56	MUTYH	MYB* Intron 14	MYC Intron 1	MYCL (MYCL1)	MYCN	MYD88 Exon 4	NBN	NF1
NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2 Intron 26	NOTCH3	NPM1 Exons 4-6, 8, 10	NRAS Exons 2, 3
NSD2 (WHSC1 or MMSET)	NSD3 (WHSC1L1)	NT5C2	NTRK1 Exons 14, 15, Introns 8-11	NTRK2 Intron 12	NTRK3 Exons 16, 17	NUTM1* Intron 1	P2RY8	PALB2
PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA Exons 12, 18, Introns 7, 9, 11	PDGFRB Exons 12-21, 23 9, 11
PDK1	PIK3C2B	PIK3C2G	PIK3CA Exons 2, 3, 5-8, 10, 14, 19, 21 (Coding Exons 1, 2, 4-7, 9, 13, 18, 20)	PIK3CB	PIK3R1	PIM1	PMS2	POLD1
POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PRKN (PARK2)	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1 Exons 3, 4, 6, 7, 10, 14, 15, 17, Introns 4-8	RARA Intron 2	RB1	RBM10	REL	RET Introns 7, 8, Exons 11, 13-16, Introns 9-11
RICTOR	RNF43	ROS1 Exons 31, 36-38, 40, Introns 31-35	RPTOR	RSP02* Intron 1	SDC4* Intron 2	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SLC34A2* Intron 4	SMAD2	SMAD4	SMARCA4	SMARCB1
SMO	SNCAIP	SOCS1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2
STAT3	STK11	SUFU	SYK	TBX3	TEK	TENT5C (FAM46C)	TERC* ncRNA	TERT* Promoter
TET2	TGFBR2	TIPARP	TMPRSS2* Introns 1-3	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2
TYRO3	U2AF1	VEGFA	VHL	WT1	XPO1	XRCC2	ZNF217	ZNF703

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Microsatellite (MS) status
Blood Tumor Mutational Burden (bTMB)
Tumor Fraction

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ORDERED TEST # ORD-1540654-01

APPENDIX

About FoundationOne® Liquid CDx

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Ciplastraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.



ABOUT FOUNDATIONONE LIQUID CDx

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details.

INTENDED USE

FoundationOne Liquid CDx is a next generation sequencing based *in vitro* diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

TEST PRINCIPLES

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

RANKING OF THERAPIES AND CLINICAL TRIALS

Ranking of Therapies in Summary Table

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

LIMITATIONS

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
5. The test is not intended to provide information on cancer predisposition.
6. Performance has not been validated for cfDNA input below the specified minimum input.
7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.
8. Tumor fraction is the percentage of circulating tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from the observed level of aneuploidy in the sample. Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected and is significantly distinct from that typically found in non-tumor samples.
9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited to: *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *JAK2*,

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KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, TP53, and U2AF1.

11. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's

tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1, ATM, CBL, CHEK2, DNMT3A, IDH2, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, and U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2022. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of

disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

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SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version (RG) 7.4.0

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