

PATIENT Lin, Hung Chun TUMOR TYPE
Brain glioblastoma (GBM)
COUNTRY CODE

28 Feb 2023 ORDERED TEST # ORD-1570860-01

ABOUT THE TEST FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

DISEASE Brain glioblastoma (GBM)
NAME Lin, Hung Chun
DATE OF BIRTH 30 March 1956
SEX Male
MEDICAL RECORD # 44684868

ORDERING PHYSICIAN Yeh, Yi-Chen
MEDICAL FACILITY Taipei Veterans General Hospital
ADDITIONAL RECIPIENT None
MEDICAL FACILITY ID 205872
PATHOLOGIST Not Provided

SPECIMEN SITE Brain
SPECIMEN ID S112-04410C (PF23017)
SPECIMEN TYPE Slide Deck
DATE OF COLLECTION 07 February 2023
SPECIMEN RECEIVED 21 February 2023

## Biomarker Findings

Microsatellite status - MS-Stable
Tumor Mutational Burden - 4 Muts/Mb

### **Genomic Findings**

For a complete list of the genes assayed, please refer to the Appendix.

EGFR A289T PTEN P213fs\*8 - subclonal<sup>†</sup> CTNNB1 splice site 1804-1G>A TERT promoter -124C>T TP53 K132E

2 Disease relevant genes with no reportable alterations: *IDH1*, *PDGFRA* 

† See About the Test in appendix for details.

## Report Highlights

- Variants with diagnostic implications that may indicate a specific cancer type: TERT promoter -124C>T (p. Z)
- Targeted therapies with potential clinical benefit approved in another tumor type: Erlotinib (p. 9), Gefitinib (p. 9), Osimertinib (p. 10)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 11)
- Variants with prognostic implications for this tumor type that may impact treatment decisions: TERT promoter -124C>T (p. 7)

#### **PATHOLOGIST COMMENTS**

Douglas A. Mata, MD, MPH 28-Feb-2023

This assay is not validated to identify chromosome-level cytogenetic aberrations. However, manual review of the copy-number profile reveals several whole chromosome/arm/ segmental gains and losses including but not limited to gain of chromosome 7 and losses of chromosomes 10 and 13. Clinical correlation is advised.

BIOMARKER FINDINGS	THERAPY AND CLINICAL TRIAL IMPLICATIONS	
Microsatellite status - MS-Stable	No therapies or clinical trials. See Biomarker Findings section	
Tumor Mutational Burden - 4 Muts/Mb	No therapies or clinical trials. See Biomarker Findings section	
GENOMIC FINDINGS	THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
<b>EGFR -</b> A289T	none	Erlotinib
		Gefitinib
8 Trials see p. <u>11</u>		Osimertinib
<b>PTEN -</b> P213fs*8 - subclonal	none	none
<b>10 Trials</b> see p. <u>13</u>		

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PATIENT Lin, Hung Chun TUMOR TYPE
Brain glioblastoma (GBM)
COUNTRY CODE
TW

REPORT DATE 28 Feb 2023 ORDERED TEST # ORD-1570860-01

#### GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

 CTNNB1 - splice site 1804-1G>A
 p. 6
 TP53 - K132E
 p. 8

 TERT - promoter -124C>T
 p. 7

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies.

Therapies contained in this report may have been approved by the US FDA.



**BIOMARKER FINDINGS** 

#### **BIOMARKER**

## Microsatellite status

RESULT MS-Stable

#### **POTENTIAL TREATMENT STRATEGIES**

#### Targeted Therapies —

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors<sup>1-3</sup>, including approved therapies nivolumab and pembrolizumab<sup>4</sup>. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and

experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%, p=0.001)<sup>5</sup>.

#### **FREQUENCY & PROGNOSIS**

Low-level MSI has been reported in 5-9% of glioblastoma (GBM) samples<sup>6-8</sup>. A large-scale study did not find high-level microsatellite instability (MSI-H) in any of 129 GBM samples<sup>6</sup>, although a small-scale study reported MSI-H in 4 of 15 pediatric GBMs and 1 of 12 adult GBMs<sup>9</sup>. The frequency of MSI has been reported to be increased in relapsed compared to primary GBM<sup>6</sup>, in GBMs with a previous lower grade astrocytoma<sup>7</sup>, and in giant cell GBM compared to classic GBM<sup>8</sup>.

#### **FINDING SUMMARY**

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>10</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH<sub>2</sub>, MSH<sub>6</sub>, or PMS<sub>2</sub><sup>10-12</sup>. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers<sup>13-15</sup>. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins<sup>10,12,14-15</sup>.

#### **BIOMARKER**

## Tumor Mutational Burden

RESULT 4 Muts/Mb

## POTENTIAL TREATMENT STRATEGIES

#### Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>16-18</sup>, anti-PD-1 therapies<sup>16-19</sup>, and combination nivolumab and ipilimumab<sup>20-25</sup>. In glioma, a lack of association between TMB and clinical benefit from immune checkpoint inhibitors has been reported <sup>16,26-27</sup>. However, multiple case studies have reported that patients with ultramutated gliomas driven by POLE mutations

have benefited from treatment with anti-PD- $1^{28-29}$  or anti-PD- $1^{30}$  therapies. Therefore, although increased TMB alone may not be a strong biomarker for PD-1 or PD-1 inhibitors in this cancer type, these agents may have efficacy for patients with glioma harboring both high TMB and POLE mutation.

#### FREQUENCY & PROGNOSIS

Glioblastoma (GBM) harbors a median TMB of 2.7 mutations per megabase (muts/Mb), and 4.2% of cases have high TMB (>20 muts/Mb)<sup>31</sup>. For pediatric patients, high TMB has been reported in a subset of high-grade gliomas, frequently in association with mutations in mismatch repair or proofreading genes and in TP53, whereas BRAF alterations or other oncogene fusions were observed more frequently in brain tumors harboring low TMB<sup>32-33</sup>. Increased TMB has been reported to correlate with higher tumor grade in glioma<sup>34</sup> and glioblastoma (GBM) tissue samples with biallelic mismatch repair deficiency

 $(bMMRD)^{28}$ , as well as with shorter OS of patients with diffuse glioma<sup>35</sup>.

#### FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>36-37</sup> and cigarette smoke in lung cancer<sup>38-39</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>40-41</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>42-46</sup>, and microsatellite instability (MSI)<sup>42,45-46</sup>. This sample harbors a TMB below levels that would be predicted to be associated with sensitivity to PD-1-or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents<sup>16,26-30</sup>.



**GENOMIC FINDINGS** 

## **EGFR**

ALTERATION

A289T

TRANSCRIPT ID

NM\_005228.3

CODING SEQUENCE EFFECT 865G>A

VARIANT CHROMOSOMAL POSITION chr7:55221821

**VARIANT ALLELE FREQUENCY (% VAF)** 

17.1%

## **POTENTIAL TREATMENT STRATEGIES**

#### Targeted Therapies

For patients with non-small cell lung cancer (NSCLC), EGFR activating mutations may predict sensitivity to EGFR-TKIs, including erlotinib<sup>47</sup>, gefitinib<sup>48-51</sup>, afatinib<sup>52-55</sup>, dacomitinib<sup>56</sup>, and osimertinib<sup>53,57</sup>; however, the data for patients with other tumor types are limited<sup>58-63</sup>. Patients with

EGFR-mutated bithalamic glioma have reported responses to osimertinib64-65. In a case series of 11 patients with bithalamic gliomas with EGFR mutations, EGFR inhibitors, including osimertinib, showed improved survival; however, it showed a lack of significant clinical responses<sup>61</sup>. On the basis of preclinical data, EGFR mutations confer sensitivity to EGFR inhibitors, including osimertinib<sup>61</sup>.

### **FREQUENCY & PROGNOSIS**

EGFR alterations have been reported in 13.2% of anaplastic astrocytomas, 5.3-15.9% of glioblastoma multiformes (GBMs), and 0% of pilocytic astrocytomas in several genomic studies of CNS tumors<sup>66-69</sup>. In GBMs, Missense mutations in the EGFR extracellular domain have been found in 10-15% of cases and approximately half have a lowlevel amplification of the mutated allele<sup>70-71</sup>. In a study of IDH-wildtype GBM samples, EGFR alterations were detected in 50% (117/232) of IDHwildtype GBM samples analyzed, including 41% (95/232) with a co-occurring EGFR amplification and mutation, 26% (61/232) with an EGFR domain

truncation event, such as EGFRvIII, and 2.2% (5/ 232) with an EGFR fusion event<sup>72</sup>. No definitive correlation has been identified between EGFR amplification and length of survival in patients with GBM<sup>73-74</sup>; however, EGFR amplification has been associated with prolonged survival in patients over the age of 60 with GBM<sup>75</sup>.

#### **FINDING SUMMARY**

EGFR encodes the epidermal growth factor receptor, which belongs to a class of proteins called receptor tyrosine kinases. In response to signals from the environment, EGFR passes biochemical messages to the cell that stimulate it to grow and divide76. The EGFR A289V mutation, located in the extracellular domain, has been shown to be activating<sup>70</sup>. Glioblastoma cell lines harboring an EGFR A289V or A289D mutation were shown to be dependent on EGFR kinase activity<sup>71</sup>, and other mutations at this position are also likely activating. In addition, A289V is frequently associated with increased EGFR gene copy number<sup>70</sup>.

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**GENOMIC FINDINGS** 

#### GENE

## PTEN

ALTERATION

P213fs\*8 - subclonal

TRANSCRIPT ID

NM\_000314.4

CODING SEQUENCE EFFECT 638delC

VARIANT CHROMOSOMAL POSITION chr10:89717611-89717612

VARIANT ALLELE FREQUENCY (% VAF)

2.0%

#### POTENTIAL TREATMENT STRATEGIES

#### Targeted Therapies

PTEN loss or mutation leads to activation of the PI<sub>3</sub>K-AKT-mTOR pathway and may predict sensitivity to inhibitors of this pathway<sup>77-80</sup>. Clinical studies in glioblastoma have not observed an association between PTEN deficiency and response to everolimus or temsirolimus<sup>81-83</sup>. Preclinical data indicate that PTEN loss or inactivation may predict sensitivity to PARP inhibitors<sup>84-88</sup>, and clinical benefit has been

observed for patients with PTEN-altered breast cancer including triple negative breast cancer<sup>89</sup>, ovarian cancer<sup>90</sup>, uterine leiomyosarcoma<sup>91</sup>, and endometrial cancer<sup>88</sup> treated with PARP inhibitors. However, some studies have reported a lack of association between PTEN mutation and PARP inhibitor sensitivity<sup>92-93</sup>.

#### **FREQUENCY & PROGNOSIS**

Studies in the literature have indicated that PTEN alterations (mutation or homozygous deletion) occur most frequently in glioblastoma (GBM), less frequently in anaplastic astrocytoma, and rarely in lower grade glioma subtypes including low grade astrocytoma, oligodendroglioma, oligoastrocytoma, and ependymoma<sup>75,94-100</sup>. One study detected PTEN mutation in 42% (97/232) and loss in 10% (24/232) of IDH-wildtype GBM samples analyzed<sup>72</sup>. In the TCGA dataset, PTEN mutation was observed in 23% of GBM cases and PTEN deletion was reported in 7% of cases<sup>67</sup>, while in the Lower Grade Glioma TCGA dataset, PTEN mutation was observed in 4% of cases and homozygous deletion observed in 1.2% of cases<sup>101</sup>. Decreased PTEN expression is associated with the higher grade GBM tumors  $^{102}$ . Loss of PTEN correlated with significantly worse prognosis in all grades of gliomas 97,103.

#### **FINDING SUMMARY**

PTEN encodes an inositol phosphatase that functions as a tumor suppressor by negatively regulating the PI<sub>3</sub>K-AKT-mTOR pathway; loss of PTEN can lead to uncontrolled cell growth and suppression of apoptosis<sup>78</sup>. Alterations such as seen here may disrupt PTEN function or expression<sup>99,104-144</sup>.

#### POTENTIAL GERMLINE IMPLICATIONS

PTEN mutations underlie several inherited disorders, collectively termed PTEN hamartoma tumor syndrome (PHTS), which include Cowden syndrome (CS) and its variant Lhermitte-Duclos disease (LD), Bannayan-Riley-Ruvalcaba syndrome (BRRS), PTEN-related Proteus syndrome (PS), and Proteus-like syndrome<sup>145-146</sup>. The mutation rate for PTEN in these disorders ranges from 20 to 85% of patients<sup>145,147</sup>. The estimated incidence of Cowden syndrome is 1/200,000, which may be an underestimate due to the high variability of this disorder<sup>145</sup>. Given the association between PTEN and these inherited syndromes, in the appropriate clinical context, germline testing for mutations affecting PTEN is recommended.

**GENOMIC FINDINGS** 

#### **GENE**

## CTNNB1

ALTERATION

splice site 1804-1G>A

TRANSCRIPT ID

NM\_001904.3

CODING SEQUENCE EFFECT

1804-1G>A

VARIANT CHROMOSOMAL POSITION

chr3:41277839

**VARIANT ALLELE FREQUENCY (% VAF)** 

18.3%

#### **POTENTIAL TREATMENT STRATEGIES**

#### Targeted Therapies

Mutation or activation of CTNNB1 signaling has been shown to increase mTOR signaling, promote tumorigenesis, and respond to mTOR inhibition in preclinical studies<sup>148-150</sup>. Small studies have reported clinical benefit following treatment of everolimus combined with other targeted agents for patients with CTNNB1-mutated hepatocellular carcinoma<sup>151-152</sup> or endometrial carcinoma<sup>153</sup>. In preclinical studies, CTNNB1 activating mutations have been shown to increase expression of WNT

pathway member DKK1, which may promote tumor cell proliferation and immune evasion<sup>154-156</sup>. A Phase 1 trial of DKK1-targeting antibody DKN-01 in combination with paclitaxel in esophageal cancer reported a 50% (2/4) PR rate and 25% (1/4) SD rate in patients with CTNNB1 activating mutations, compared with 24% (10/41) PR and 37% (15/41) SD in unselected patients<sup>157</sup>. Multiple preclinical studies in cancer models harboring CTNNB1 mutation or beta-catenin pathway activation have reported activation of the NOTCH pathway and sensitivity to pharmacologic inhibition of NOTCH signaling by gammasecretase inhibitors<sup>158-161</sup>. Clinical trials of gammasecretase inhibitor nirogacestat have shown high response rates in patients with desmoid tumors, which are driven by activating CTNNB1 mutations in the majority of cases<sup>162</sup>, suggesting CTNNB1-mutated tumors may be sensitive to gamma-secretase inhibitors. Although WNT pathway inhibitors have been explored preclinically in CTNNB1-mutated cells, clinical data supporting this therapeutic approach are lacking<sup>149,163-165</sup>. These approaches would not be relevant in the context of inactivating alterations, as

#### **FREQUENCY & PROGNOSIS**

CTNNB1 mutations are common in various solid tumors and are often seen in endometrial (14%), hepatobiliary (11%), melanoma (4.7%), prostate (3.4%), and non-small cell lung (2.9%) cancer (NSCLC)<sup>166</sup>. Overexpression of the components of the Wnt pathway, including beta-catenin, is common in glioblastoma, and Wnt/beta-catenin signaling has been implicated in the initiation, proliferation, and invasion of glioma<sup>167-168</sup>. This is frequently accomplished by hypermethylation or other inactivation of the negative regulators of the WNT/beta-catenin pathway, such as APC<sup>169</sup>. Increased beta-catenin expression has been correlated with poor prognosis in glioblastoma patients<sup>170-171</sup>. In preclinical studies, proliferation of glioma cell lines has been reported to be sensitive to WNT or beta-catenin inhibition<sup>172</sup>.

#### **FINDING SUMMARY**

CTNNB1 encodes beta-catenin, a key downstream component of the WNT signaling pathway. Beta-catenin interacts with cadherin to regulate cell-cell adhesion; as a component of the WNT pathway, it also plays a role in development, cell proliferation, and cell differentiation<sup>173</sup>. Alterations such as seen here may disrupt CTNNB1 function or expression<sup>174-175</sup>.

**GENOMIC FINDINGS** 

#### GENE

## **TERT**

ALTERATION

promoter -124C>T

TRANSCRIPT ID NM\_198253.2

CODING SEQUENCE EFFECT

-124C>T

VARIANT CHROMOSOMAL POSITION

chr5:1295228

**VARIANT ALLELE FREQUENCY (% VAF)** 

32.9%

## POTENTIAL TREATMENT STRATEGIES

Targeted Therapies —

Therapeutic options for targeting tumors with TERT mutations are limited, although a variety of approaches have been investigated, including immunotherapies using TERT as a tumorassociated antigen and antisense oligonucleotideor peptide-based therapies. TERT peptide vaccines showed limited anticancer efficacy in clinical trials<sup>176</sup>; however, in one preclinical study, the combination of a TERT peptide vaccine and anti-CTLA-4 therapy suppressed tumor growth<sup>177</sup>. A Phase 2 study of the TERT inhibitor imetelstat for

patients with advanced non-small cell lung cancer reported no improvement in PFS or OS<sup>178</sup>.

#### **FREQUENCY & PROGNOSIS**

TERT promoter mutations have been reported in 51-59% of gliomas<sup>179-180</sup>, most frequently in glioblastoma (GBM, 54-84%), gliosarcoma (81%), oligodendroglioma (78%), and historically in oligoastrocytomas (25-31%) but less frequently in lower grade astrocytomas (10-18%) and in only 1% of ependymomas<sup>179-183</sup>. In patients with glioblastoma (GBM), the prevalence of TERT promoter mutation is lower in pediatric primary GBM (11%) and adult secondary GBM (28%) compared with adult primary GBM  $(58-83\%)^{179,181}$ . One study detected TERT promoter mutations in 78% (181/232) of IDH-wildtype GBM samples analyzed72. TERT promoter mutation has been shown to be significantly associated with increased TERT gene expression in astrocytoma, oligodendroglioma, and GBM<sup>184</sup>. TERT promoter mutations significantly associate with poor prognosis in patients with GBM, although this correlation may be due to the association with primary GBM as opposed to IDH-positive secondary GBM<sup>179,181,184-185</sup>. In the context of IDHwildtype glioma, TERT mutations are associated with reduced OS (NCCN CNS Cancers Guidelines,

#### FINDING SUMMARY

Telomerase reverse transcriptase (TERT, or hTERT) is a catalytic subunit of the telomerase complex, which is required to maintain appropriate chromosomal length<sup>186</sup>. Activation of TERT is a hallmark of cancer, being detected in up to 80-90% of malignancies and absent in quiescent cells<sup>187-189</sup>. Mutations within the promoter region of TERT that confer enhanced TERT promoter activity have been reported in two hotspots, located at -124 bp and -146 bp upstream of the transcriptional start site (also termed C228T and C250T, respectively)<sup>190-192</sup>, as well as tandem mutations at positions -124/-125 bp and -138/-139 bp<sup>190</sup>.

#### POTENTIAL DIAGNOSTIC IMPLICATIONS

TERT mutations are associated with 1p/19q codeletion in oligodendrogliomas, and are highly recurrent in IDH/ATRX-wildtype glioblastoma (GBM) (NCCN CNS Cancers Guidelines, v1.2022)<sup>193</sup>. The presence of EGFR gene amplification or TERT promoter mutations are indicative of diffuse astrocytic glioma with molecular features of glioblastoma, WHO grade 4 in IDH1/2-wildtype tumors (NCCN CNS Cancers Guidelines, v1.2022)<sup>194</sup>.

**GENOMIC FINDINGS** 

#### GENE

## **TP53**

ALTERATION

K132E

TRANSCRIPT ID NM\_000546.4

CODING SEQUENCE EFFECT 394A>G

VARIANT CHROMOSOMAL POSITION chr17:7578536

VARIANT ALLELE FREQUENCY (% VAF)

#### **POTENTIAL TREATMENT STRATEGIES**

#### Targeted Therapies

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib195-198 or p53 gene therapy such as SGT53<sup>199-203</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype<sup>204</sup>. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 32% (30/94, 3 CR) ORR and a 73% (69/94) DCR for patients with platinumrefractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>205</sup>. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 43% (9/21, 1 CR) ORR and a 76% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer<sup>206</sup>. The combination of adayosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone<sup>207</sup>. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib combined with paclitaxel<sup>208</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71%

(5/7) response rate for patients with TP53 alterations<sup>209</sup>. The Phase 2 FOCUS<sub>4</sub>-C trial for patients with TP53- and RAS-mutated colorectal cancer reported improvement in PFS (3.61 vs. 1.87 months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring<sup>210</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>203</sup>. Missense mutations leading to TP<sub>53</sub> inactivation may be sensitive to therapies that reactivate mutated p53 such as eprenetapopt. In a Phase 1b trial for patients with p53-positive highgrade serous ovarian cancer, eprenetapopt combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR<sup>211</sup>. A Phase 1 trial of eprenetapopt with pembrolizumab for patients with solid tumors reported an ORR of 10% (3/ 29)212.

#### **FREQUENCY & PROGNOSIS**

In the TCGA dataset, TP53 alterations have been reported in 35% of glioblastomas (GBMs), with a high incidence in pediatric and secondary GBMs and a low incidence in primary GBMs<sup>213-214</sup>. One study detected TP53 alterations in 31% (73/232) of IDH-wildtype GBM samples analyzed, with most of the events being mutations<sup>72</sup>. TP53 mutations have been reported in 18-40% of astrocytoma samples, and preferentially in anaplastic astrocytoma; one study reported TP53 loss of function and partially/fully functional mutations in 15% and 25% of anaplastic astrocytomas, respectively<sup>215-220</sup>. Some studies suggest that the presence of a TP53 mutation is correlated with a favorable prognosis in patients with glioblastoma (GBM) $^{221}$ . One study reported that TP53 alterations were associated with poorer OS (12.9 months altered vs. 19.7 months wildtype, HR=1.58, p=0.0054) in IDH-wildtype GBM<sup>72</sup>. Mutation of TP53 is thought to be an early step in the tumorigenesis of astrocytomas, which can progress into anaplastic astrocytoma and then glioblastoma through gain of other genetic abnormalities such as loss of CDKN2A or RB1, followed by loss of PTEN<sup>222</sup>.

#### **FINDING SUMMARY**

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>223</sup>. Alterations such as seen here may disrupt TP53 function or expression<sup>224-228</sup>.

#### POTENTIAL GERMLINE IMPLICATIONS

One or more of the TP53 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with Li-Fraumeni syndrome (ClinVar, Sep 2022)<sup>229</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers230-232, including sarcomas<sup>233-234</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>235</sup> to 1:20,000<sup>234</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30<sup>236</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

# POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>237-242</sup>. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy<sup>237-238</sup>. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>243</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH<sup>241,244-245</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary

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THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## **Erlotinib**

Assay findings association

EGFR A289T

#### **AREAS OF THERAPEUTIC USE**

Erlotinib is a small-molecule inhibitor of EGFR. It is FDA approved as a monotherapy or in combination with ramucirumab for patients with metastatic non-small cell lung cancer (NSCLC) harboring EGFR exon 19 deletions or exon 21 (L858R) mutations. Erlotinib is also FDA approved in combination with gemcitabine as a first-line treatment for advanced pancreatic cancer. Please see the drug label for full prescribing information.

#### **GENE ASSOCIATION**

Amplification or activation of EGFR may predict sensitivity to the rapies such as erlotinib. For patients with activating mutations in EGFR, treatment with erlotinib has been associated with improved response and lengthened time to progression  $^{47,246-248}$  .

#### **SUPPORTING DATA**

In the MyPathway Phase 2a basket study for advanced solid tumors, 1 of 9 patients with EGFR activation mutations responded to erlotinib monotherapy; the responding patient had urethral adenocarcinoma<sup>249</sup>. A

patient with EGFR-mutated metastatic lacrimal gland adenoid cystic carcinoma experienced clinical benefit from erlotinib treatment that was ongoing at 14 months<sup>250</sup>. A clinical study of patients with glioblastoma (GBM) treated with gefitinib or erlotinib found that 9/49 (18%) had tumor shrinkage of 25% or more; in this study, the extracellular domain EGFRvIII mutation was correlated with response<sup>251</sup>. In a Phase 2 study of 65 patients with GBM or gliosarcoma, treatment with erlotinib, temozolomide, and radiotherapy resulted in longer progression-free survival relative to a historical control study utilizing a regimen of temozolomide and radiotherapy alone (19.3 months vs. 14.1 months)<sup>252</sup>. However, in a Phase 1/2 trial of erlotinib monotherapy in 11 patients with relapsed or refractory GBM or anaplastic astrocytoma, all patients showed disease progression and the drug showed significant toxicity<sup>253</sup>. In addition, a Phase 2 trial of patients with recurrent or progressive GBM treated with erlotinib and sorafenib did not meet its objective of a 30% increase in overall survival time compared with historical controls; sorafenib was found to increase erlotinib clearance<sup>254</sup>.

## Gefitinib

Assay findings association

EGFR A289T

#### **AREAS OF THERAPEUTIC USE**

Gefitinib targets the tyrosine kinase EGFR and is FDA approved to treat non-small cell lung cancer (NSCLC) harboring exon 19 deletions or exon 21 (L858R) substitution mutations in EGFR. Please see the drug label for full prescribing information.

#### **GENE ASSOCIATION**

Activation of EGFR may predict sensitivity to therapies such as gefitinib. Clinical studies have consistently shown significant improvement in response rates and PFS for patients with EGFR-mutated non-small cell lung cancer (NSCLC) treated with gefitinib compared with chemotherapy<sup>248,255-260</sup>, and responses have been reported for patients with EGFR-rearranged NSCLC<sup>261-262</sup>.

#### **SUPPORTING DATA**

A clinical study of patients with glioblastoma (GBM)

treated with gefitinib or erlotinib found that 9/49 (18%) had tumor shrinkage of 25% or more; in this study, the extracellular domain EGFRvIII mutation was correlated with response<sup>251</sup>. A Phase 2 clinical study of gefitinib in patients with high-grade glioma (including GBM, anaplastic astrocytoma, and oligodendroglioma) reported 18% (5/28) disease stabilization; efficacy was not correlated with EGFR expression<sup>263</sup>. However, a Phase 1/2 clinical trial of gefitinib combined with radiotherapy in 178 patients with GBM reported no overall survival benefit of added gefitinib, and EGFR expression was found to be of no prognostic value for patients treated with gefitinib plus radiotherapy<sup>264</sup>. A Phase 2 trial of preoperative gefitinib treatment in patients with recurrent GBM reported that although EGFR phosphorylation was decreased in treated patients as compared to the control group, measurement of 12 downstream molecules revealed no significant changes265.

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THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## **Osimertinib**

Assay findings association

EGFR A289T

#### **AREAS OF THERAPEUTIC USE**

Osimertinib is an irreversible EGFR TKI that is selective for EGFR TKI-sensitizing mutations and the EGFR T790M mutation. It is FDA approved in various treatment settings for patients with non-small cell lung cancer (NSCLC) whose tumors have EGFR exon 19 deletions, exon 21 L858R mutations, or T790M mutations. Please see the drug label for full prescribing information.

#### **GENE ASSOCIATION**

EGFR TKI-sensitizing mutations or rearrangements and/or the EGFR T790M mutation may predict sensitivity to osimertinib in non-small cell lung cancer<sup>57,261,266-268</sup>. EGFR mutations may confer sensitivity to osimertinib on the basis of clinical responses to the third-generation TKI osimertinib for patients with EGFR-mutated glioma<sup>64-65</sup> and additional clinical studies suggesting clinical benefit for these patients<sup>61,269</sup>.

#### **SUPPORTING DATA**

Clinical benefit from osimertinib has been observed for cases of pediatric and adult patients with EGFR-altered glioma<sup>61,64-65,269-270</sup>. Osimertinib has been studied primarily for the treatment of EGFR-mutated NSCLC. The Phase 3 FLAURA study reported that, relative to erlotinib or gefitinib, first-line osimertinib significantly increased both median PFS (mPFS; 18.9 vs. 10.2 months, HR=0.46) and median OS (38.6 vs. 31.8 months; HR=0.80) for patients with advanced non-small cell lung cancer (NSCLC) and activating, sensitizing EGFR mutations (specifically, exon 19 deletion or L858)266,271. In the Phase 3 ADAURA study, patients with early-stage (IB/II/IIIA) EGFR-mutated NSCLC experienced longer disease-free survival on osimertinib compared with placebo in the adjuvant setting (65.8 vs. 28.1 months, HR=0.27)<sup>272</sup>. A Phase 1 study reported that T790M-negative patients with

acquired EGFR TKI resistance experienced an ORR of 21% and a median PFS of 2.8 months  $^{57}$ . A Phase  $_{1b/2}$ study evaluating osimertinib in combination with the CD73 inhibitor oleclumab for patients with advanced EGFR-mutated, T790M-negative NSCLC reported an ORR of 19% (4/21), a DCR of 81%, and mPFS of 11 months (Kim et al., 2021 AACR Abstract CT163). A Phase 2 study of osimertinib for EGFR-TKI-naive patients with metastatic or recurrent NSCLC and uncommon EGFR mutations reported a 50% (18/36) ORR and an 89% (32/ 36) DCR with a median PFS of 8.2 months and a median duration of response of 11.2 months; patients harboring L861Q, G719X, or S768I mutations had ORRs of 78% (7/ 9), 53% (10/19), and 38% (3/8), respectively<sup>273</sup>. A Phase 2 trial of osimertinib in combination with bevacizumab versus osimertinib monotherapy for patients with untreated advanced non-small cell lung cancer (NSCLC) harboring EGFR del19 or L858R reported no difference in ORR (82% vs 86%) and median PFS (22.1 vs 20.2 months, HR 0.862 p=0.213)<sup>274</sup>. The Phase 2 BOOSTER study of osimertinib in combination with bevacizumab versus osimertinib monotherapy for patients with advanced NSCLC with EGFR-sensitizing mutations (exon 19 del or L858R) and L790M at progression on prior EGFR TKI reported no difference in ORR (55% vs 55%), median OS (24.0 vs 24.3 months, HR 1.03 p=0.91), or median PFS (15.4 vs 12.3 months, HR 0.96 p=0.83), although improved PFS was observed for the combination in the subgroup of current or former smokers (16.5 vs 8.4, HR 0.52) while nonsmokers had no benefit (HR 1.47)275. The Phase 1b TATTON study of osimertinib in combination with selumetinib, savolitinib, or durvalumab for patients with previously treated EGFR-mutated NSCLC reported ORRs of 42% (15/36), 44% (8/18), and 44% (10/23), respectively<sup>276</sup>.

**NOTE** Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.

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FOUNDATIONONE®CDx

PATIENT Lin, Hung Chun TUMOR TYPE
Brain glioblastoma (GBM)

REPORT DATE 28 Feb 2023

ORDERED TEST # ORD-1570860-01

**CLINICAL TRIALS** 

**NOTE** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually

updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial  $\rightarrow$  Geographical proximity  $\rightarrow$  Later trial phase. Clinical trials listed here may have additional enrollment criteria that

may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. Or, visit https://www.foundationmedicine.com/genomic-testing#support-services.

# EGFR

ALTERATION A289T

#### **RATIONALE**

EGFR activating mutations, rearrangements, or amplification may predict sensitivity to EGFRtargeted therapies. Strategies to overcome resistance to current agents include nextgeneration EGFR inhibitors and combination therapies.

NCT03239015	PHASE 2
Efficacy and Safety of Targeted Precision Therapy in Refractory Tumor With Druggable Molecular Event	TARGETS EGFR, ERBB4, ERBB2, PARP, mTOR, MET, ROS1, RET, VEGFRS, BRAF, CDK4, CDK6

LOCATIONS: Shanghai (China)

NCT03783403	PHASE 1
A Study of CC-95251, a Monoclonal Antibody Directed Against SIRP $\alpha$ , in Subjects With Advanced Solid and Hematologic Cancers	TARGETS CD20, EGFR, SIRP-alpha

LOCATIONS: Seoul (Korea, Republic of), Heidelberg (Australia), Melbourne (Australia), Manchester (United Kingdom), Edmonton (Canada), Rouen (France), Oregon, Marseille (France), Creteil (France), Nantes Cedex 01 (France)

NCT04946968	PHASE 2
Phase-2 Dacomitinib Study on Patients With EGFR-Driven Advanced Solid Tumours With Low EGFR-AS1 IncRNA Expr or Other Novel Emerging Biomarkers	TARGETS ERBB4, EGFR, ERBB2
LOCATIONS: Singapore (Singapore)	

NCT04670679	PHASE 1
A Dose Escalation/Expansion Study of ERAS-601 in Patients With Advanced or Metastatic Solid Tumors	TARGETS SHP2, EGFR

LOCATIONS: Perth (Australia), Melbourne (Australia), Nevada, California, Missouri, Texas, Massachusetts, New York, Tennessee

NCT04616196	PHASE 1/2
Study of NKTR 255 in Combination With Cetuximab in Solid Tumors	TARGETS EGFR
LOCATIONS: California, Montana, Arizona, Minnesota, Illinois, Michigan, Texas, New York	

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TUMOR TYPE
Brain glioblastoma (GBM)

REPORT DATE 28 Feb 2023



ORDERED TEST # ORD-1570860-01

CLINICAL TRIALS

NCT04720976	PHASE 1/2
JAB-3312 Activity in Adult Patients With Advanced Solid Tumors	TARGETS MEK, SHP2, PD-1, EGFR, KRAS
LOCATIONS: Utah, California, Arizona, Minnesota, Illinois, Michigan, Oklahoma, Missouri, Indiana, Connecticut	
NCT02800486	PHASE 2
Super Selective Intra-arterial Repeated Infusion of Cetuximab (Erbitux) With Reirradiation for Treatment of Relapsed/Refractory GBM, AA, and AOA	TARGETS EGFR
LOCATIONS: New York	
NCT02861898	PHASE 1/2
Super-selective Intra-arterial Repeated Infusion of Cetuximab for the Treatment of Newly Diagnosed Glioblastoma	TARGETS EGFR
LOCATIONS: New York	



**CLINICAL TRIALS** 

# PTEN

# ALTERATION P213fs\*8 - subclonal

#### RATIONALE

PTEN loss or inactivating mutations may lead to increased activation of the PI<sub>3</sub>K-AKT-mTOR pathway and may indicate sensitivity to inhibitors

of this pathway. PTEN loss or inactivation may also predict sensitivity to PARP inhibitors.

NCT04337463	PHASE NULL
ATG-008 Combined With Toripalimab in Advanced Solid Tumors	TARGETS mTORC1, mTORC2, PD-1

LOCATIONS: Chongqing (China), Chengdu (China)

NCT04740190	PHASE 2
Talazoparib - Carboplatin for Recurrent High-grade Glioma With DDRd	TARGETS PARP

LOCATIONS: Hong Kong (Hong Kong)

NCT02264678	PHASE 1/2
Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents	TARGETS ATR, PARP, PD-L1

LOCATIONS: Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), Manchester (United Kingdom), London (United Kingdom), Coventry (United Kingdom), Sutton (United Kingdom), Oxford (United Kingdom)

NCT05035745	PHASE 1/2
Selinexor & Talazoparib in Advanced Refractory Solid Tumors; Advanced/Metastatic Triple Negative Breast Cancer (START)	TARGETS XPO1, PARP
LOCATIONS: Singapore (Singapore)	

NCT03772561	PHASE 1
Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies	TARGETS PARP, AKTs, PD-L1
LOCATIONS: Singapore (Singapore)	

NCT04614909	PHASE NULL
Phase 0/2 Study of Pamiparib in Newly Diagnosed and rGBM	<b>TARGETS</b> PARP
LOCATIONS: Arizona	

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TUMOR TYPE
Brain glioblastoma (GBM)

REPORT DATE 28 Feb 2023



ORDERED TEST # ORD-1570860-01

CLINICAL TRIALS

NCT05076513	PHASE NULL		
Trial of Niraparib in Participants With Newly-diagnosed Glioblastoma and Recurrent Glioma	TARGETS PARP		
LOCATIONS: Arizona			
NCT04801966	PHASE NULL		
Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study	TARGETS CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF		
LOCATIONS: Melbourne (Australia)			
NCT04497116	PHASE 1/2		
Study of RP-3500 in Advanced Solid Tumors	TARGETS ATR, PARP		
<b>LOCATIONS:</b> Copenhagen (Denmark), Newcastle Upon Tyne (United Kingdom), Manchester (Un (Canada), Massachusetts, Rhode Island, New York, Tennessee	ited Kingdom), London (United Kingdom), Illinois, Toronto		
NCT04991480	PHASE 1/2		
A Study of ART4215 for the Treatment of Advanced or Metastatic Solid Tumors	TARGETS PARP, Pol theta		
LOCATIONS: London (United Kingdom), Oklahoma, Connecticut, New York, Pennsylvania, Tennes	ssee, Texas, Florida		



TUMOR TYPE
Brain glioblastoma (GBM)

REPORT DATE 28 Feb 2023



ORDERED TEST # ORD-1570860-01

**APPENDIX** 

Variants of Unknown Significance

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

<b>ABL1</b>	<b>AXIN1</b>	<b>BCOR</b>	CDKN2A/B
R483Q	R412W	K1137R	P3S
DIS3	ESR1	FLCN	KDM5A
M536V	G145S	A290V	G284D
KMT2A (MLL)	<b>PIK3C2G</b>	<b>ROS1</b>	<b>TSC2</b>
S215P	1459N	A474T	R786H

WHSC1 (MMSET)

rearrangement



**APPENDIX** 

Genes Assayed in FoundationOne®CDx

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

#### DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY **NUMBER ALTERATIONS**

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B	or WTX)
APC	AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX
AURKA	AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2
BCL6	BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1
BTG2	BTK	CALR	CARD11	CASP8	CBFB	CBL	CCND1	CCND2
CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73	CDH1
CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B	CDKN2C
CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R	CTCF
CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1	DDR2
DIS3	DNMT3A	DOT1L	EED	EGFR	EMSY (C11orf30)	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRFI1	ESR1	EZH2
FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12	FGF14
FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3	FGFR4
FGF 19 FH	FGF23 FLCN	FLT1	FGF4 FLT3	FOXL2	FUBP1	GABRA6	GATA3	GATA4
					GNAS		GSK3B	
GATA6	GID4 (C17orf39)	GNA11	GNA13	GNAQ		GRM3		H3-3A (H3F3A)
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDM5A	KDM5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11 (MRE11A)	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2	<i>NOTCH3</i>
NPM1	NRAS	NSD2 (WHSC1 or I	•	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3
P2RY8	PALB2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)
PDGFRA	PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1
PMS2	POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI
PRKN (PARK2)	PTCH1	PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51
RAD51B	RAD51C	RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10
REL	RET	RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO
SNCAIP	SOCS1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3
STK11	SUFU	SYK	TBX3	TEK	TENT5C (FAM46C	)	TET2	TGFBR2
TIPARP	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2	TYRO3	U2AF1	VEGFA
VHL	WT1	XPO1	XRCC2	ZNF217	ZNF703			
DNA GENE LIS	ST: FOR THE D	ETECTION OF	SELECT REAR	RANGEMENTS				
ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSPO2	SDC4	SLC34A2	TERC*	TERT**	TMPRSS2

<sup>\*</sup>TERC is an NCRNA

#### ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

**Homologous Recombination status** Loss of Heterozygosity (LOH) score Microsatellite (MS) status Tumor Mutational Burden (TMB)

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<sup>\*\*</sup>Promoter region of TERT is interrogated



APPENDIX

About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a,

Cipalstraat 3, 2440 Geel, Belgium. C €

#### **ABOUT FOUNDATIONONE CDX**

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details: www.rochefoundationmedicine.com/f1cdxtech.

#### **INTENDED USE**

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffinembedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

#### **TEST PRINCIPLE**

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes

detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture–selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g.,gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

#### THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

#### Diagnostic Significance

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for *ERBB2* and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical

methodology has identified as being present in <10% of the assayed tumor DNA.

Ranking of Therapies and Clinical Trials Ranking of Therapies in Summary Table
Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials
Pediatric trial qualification → Geographical proximity → Later trial phase.

# NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2023. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

#### Limitations

1. In the fraction-based MSI algorithm, a tumor specimen will be categorized as MSI-H, MSS, or MS-Equivocal according to the fraction of microsatellite loci determined to be altered or unstable (i.e., the fraction unstable loci score). In the F1CDx assay, MSI is evaluated based on a genome-wide analysis across >2000 microsatellite loci. For a given microsatellite locus, non-somatic alleles are discarded, and the microsatellite is categorized as unstable if remaining alleles differ from the reference genome. The final fraction unstable loci score is calculated as the number of unstable microsatellite loci divided by the number of evaluable microsatellite loci. The MSI-H and MSS cut-off thresholds were determined by

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**APPENDIX** 

About FoundationOne®CDx

- analytical concordance to a PCR comparator assay using a pan-tumor FFPE tissue sample set. Patients with results categorized as "MS-Stable" with median exon coverage <300X, "MS-Equivocal," or "Cannot Be Determined" should receive confirmatory testing using a validated orthogonal (alternative) method.
- 2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore, observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation https://www.accessdata.fda.gov/cdrh\_docs/ pdf17/P170019B.pdf. The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.
- 3. Homologous Recombination status may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas (Coleman et al., 2017; 28916367). Samples with deleterious BRCA1/2 alteration and/or Loss of Heterozygosity (LOH) score ≥ 16% will be reported as "HRD Positive" and samples with absence of these findings will be reported as "HRD Not Detected," agnostic of potential secondary BRCA1/2 reversion alterations. Certain potentially deleterious missense or small in-frame deletions in BRCA1/2 may not be classified as deleterious and, in the absence of an elevated LOH profile, samples with such mutations may be classified as "HRD Not Detected." A result of "HRD Not Detected" does not rule out the presence of a BRCA1/2 alteration or an elevated LOH profile outside the assay performance characteristic limitations.
- 4. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and

- extrapolating an LOH profile, excluding armand chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.
- 5. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
- 6. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine whether the patient is a candidate for biopsy.
- 7. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an ERBB2 amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of ERBB2 copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in https://www.mycancergenome.org/content/ disease/breast-cancer/ERBB2/238/ report the

disease/breast-cancer/ERBB2/238/ report the frequency of HER2 overexpression as 20% in breast cancer. Based on the F1CDx HER2 CDx concordance study, approximately 10% of HER2 amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

#### **REPORT HIGHLIGHTS**

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal

hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

#### **VARIANT ALLELE FREQUENCY**

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	%CV*
Repeatability	5.11 - 10.40
Reproducibility	5.95 - 12.31
INDELS	%CV*
INDELS  Repeatability	%CV*

\*Interquartile Range = 1st Quartile to 3rd Quartile

#### VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of followup germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's

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APPENDIX

About FoundationOne®CDx

tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

# VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are ASXL1, CBL, DNMT3A, IDH2, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, and U2AF1 and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

#### LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

### NO GUARANTEE OF CLINICAL BENEFIT

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

#### NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

# TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking

into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

#### **SELECT ABBREVIATIONS**

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
os	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

#### REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version (RG) 7.6.0

The median exon coverage for this sample is 962x

**APPENDIX** 

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