

ABOUT THE TEST FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

PATIENT

DISEASE Pancreas neuroendocrine carcinoma

NAME Hsu, Po-Yu

DATE OF BIRTH 29 January 1982

SEX Male

MEDICAL RECORD # 46883527

PHYSICIAN

ORDERING PHYSICIAN Chen, Ming-Huang

MEDICAL FACILITY Taipei Veterans General Hospital

ADDITIONAL RECIPIENT None

MEDICAL FACILITY ID 205872

PATHOLOGIST Not Provided

SPECIMEN

SPECIMEN ID PYH 01/29/1982

SPECIMEN TYPE Blood

DATE OF COLLECTION 01 November 2021

SPECIMEN RECEIVED 08 November 2021

Biomarker Findings

Blood Tumor Mutational Burden - 3 Muts/Mb

Microsatellite status - MSI-High Not Detected

Tumor Fraction - Cannot Be Determined

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

NRAS Q61R

0 Therapies with Clinical Benefit

10 Clinical Trials

0 Therapies with Resistance

BIOMARKER FINDINGS

Blood Tumor Mutational Burden - 3 Muts/Mb

Microsatellite status - MSI-High Not Detected

Tumor Fraction - Cannot Be Determined

THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is an estimate of the percentage of circulating-tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample based on observed aneuploid instability.

GENOMIC FINDINGS

VAF %

NRAS - Q61R

0.34%

10 Trials see p. 5

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)

None

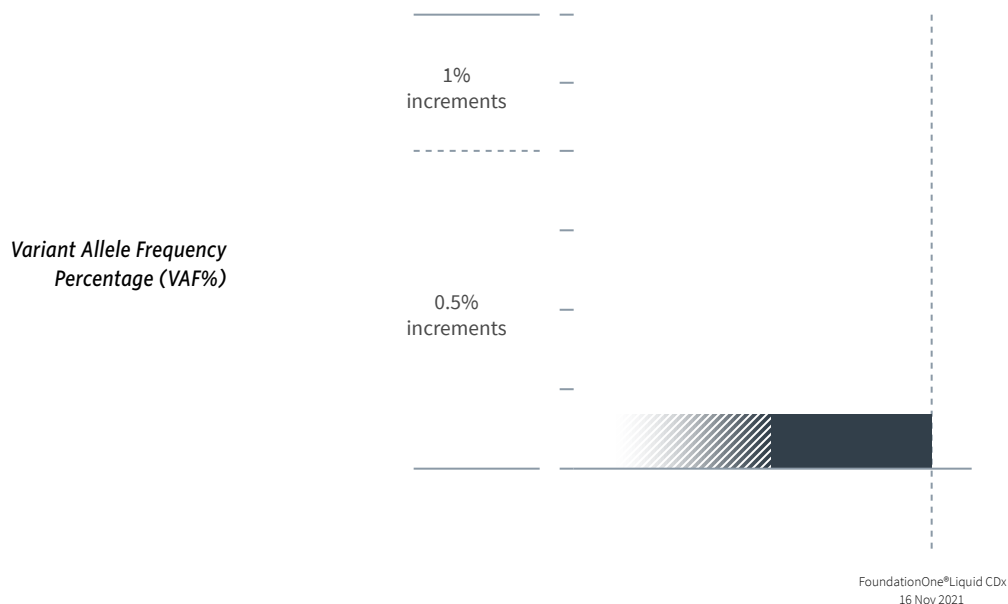
THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)

None

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

ORDERED TEST # ORD-1231066-01



| HISTORIC PATIENT FINDINGS | | ORD-1231066-01 VAF% |
|----------------------------------|--------|------------------------|
| Blood Tumor Mutational Burden | | 3 Muts/Mb |
| Microsatellite status | | MSI-High Not Detected |
| Tumor Fraction | | Cannot Be Determined |
| NRAS | ● Q61R | 0.34% |

NOTE This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx, FoundationOne®Liquid, FoundationOne®, or FoundationOne®CDx tests. Up to five previous tests may be shown.

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable.

Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

ORDERED TEST # ORD-1231066-01

BIOMARKER FINDINGS

BIOMARKER

Blood Tumor Mutational Burden

RESULT
3 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

On the basis of clinical evidence in NSCLC and HSNCC, increased bTMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1¹⁻² and anti-PD-1³ therapies. In NSCLC, multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single agent or combination treatments with

either CTLA4 inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 to 16 Muts/Mb¹. In HNSCC, a Phase 3 trial showed that bTMB ≥ 16 Muts/Mb (approximate equivalency ≥ 8 Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor⁴.

FREQUENCY & PROGNOSIS

Average bTMB levels in solid tumors other than NSCLC have not been evaluated (cBioPortal, COSMIC, PubMed, Mar 2021)⁵⁻⁷. Published data investigating the prognostic implications of tumor mutational burden in pancreas neuroendocrine tumors are limited (PubMed, Apr 2021).

FINDING SUMMARY

Blood tumor mutational burden (bTMB, also

known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma⁸⁻⁹ and cigarette smoke in lung cancer¹⁰⁻¹¹, treatment with temozolomide-based chemotherapy in glioma¹²⁻¹³, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes¹⁴⁻¹⁸, and microsatellite instability (MSI)^{14,17-18}. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents¹⁻³. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

Tumor Fraction

RESULT
Cannot Be Determined

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Specimens with high tumor fraction values have high circulating-tumor DNA (ctDNA) content, and thus higher sensitivity for identifying genomic alterations. Such specimens are at a lower risk of false negative results¹⁹. However, if tumor fraction is not detected as high, it does not exclude the presence of disease burden or compromise the confidence of reported alterations. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not be overinterpreted or compared from one blood

draw to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management²⁰⁻²⁵.

FREQUENCY & PROGNOSIS

Detectable ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)²⁶. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer²⁷, Ewing sarcoma and osteosarcoma²⁸, prostate cancer²³, breast cancer²⁹, leiomyosarcoma³⁰, esophageal cancer³¹, colorectal cancer³², and gastrointestinal cancer³³.

FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 single-nucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content³⁴, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy³⁵⁻³⁶. However, the tumor fraction estimate in this sample could not be determined with confidence.

ORDERED TEST # ORD-1231066-01

GENOMIC FINDINGS
GENE

NRAS

ALTERATION

Q61R

TRANSCRIPT ID

NM_002524

CODING SEQUENCE EFFECT

182A>G

POTENTIAL TREATMENT STRATEGIES
— Targeted Therapies —

On the basis of clinical evidence in hematologic

malignancies³⁷⁻⁴⁰ and solid tumors^{37,41-42} as well as preclinical evidence⁴³⁻⁴⁷, NRAS activating alterations may predict sensitivity to MEK inhibitors, such as trametinib, cobimetinib, and binimetinib. Preclinical data in cancer cell lines indicates that NRAS mutation predicts sensitivity to the PI3K-alpha-specific inhibitor alpelisib⁴⁸.

FREQUENCY & PROGNOSIS

NRAS mutations have been reported in 0.8% of pancreatic cancers, including 1.3% (1/75) of pancreatic neuroendocrine tumors, analyzed in the MSK-IMPACT study⁴⁹. Published data investigating the prognostic implications of NRAS alterations in pancreatic neuroendocrine tumors

are limited (PubMed, Oct 2021).

FINDING SUMMARY

NRAS encodes a member of the RAS family of small GTPases that mediate transduction of growth signals. Activation of RAS signaling causes cell growth, differentiation, and survival by activating the RAF-MAPK-ERK, PI3K, and other pathways⁴⁶. NRAS alterations affecting amino acids G12, G13, G60, Q61, as well as mutations I24N, T50I, T58I, and A146T have been characterized as activating and oncogenic^{46,50-65}.

ORDERED TEST # ORD-1231066-01

CLINICAL TRIALS

IMPORTANT Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. However, clinicaltrials.gov does not list all clinical trials that might be available.

GENE
NRAS
ALTERATION
Q61R

RATIONALE
Activation of RAS signaling causes cell growth, differentiation, and survival by activating the RAF-MAPK-ERK, PI3K, and other pathways.

NRAS activating mutations or amplification may therefore sensitize tumors to inhibitors of these downstream pathways.

NCT04803318
PHASE 2

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

TARGETS
mTOR, FGFRs, KIT, PDGFRA, RET, VEGFRs, MEK

LOCATIONS: Guangzhou (China)

NCT03989115
PHASE 1/2

Dose-Escalation and Dose-Expansion of RMC-4630 and Cobimetinib in Relapsed/Refractory Solid Tumors

TARGETS
SHP2, MEK

LOCATIONS: Seoul (Korea, Republic of), Oregon, California, Colorado, Arizona, Wisconsin, Illinois

NCT03284502
PHASE 1

Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors

TARGETS
MEK, RAFs

LOCATIONS: Hwasun (Korea, Republic of), Pusan (Korea, Republic of), Seongnam (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of)

NCT03772561
PHASE 1

Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies

TARGETS
PARP, AKTs, PD-L1

LOCATIONS: Singapore (Singapore)

NCT04801966
PHASE NULL

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

TARGETS
CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

LOCATIONS: Melbourne (Australia)

ORDERED TEST # ORD-1231066-01

CLINICAL TRIALS
NCT03905148
PHASE 1/2

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

TARGETS
RAFs, EGFR, MEK
LOCATIONS: Nedlands (Australia), Blacktown (Australia), Randwick (Australia), Melbourne (Australia), Texas

NCT02079740
PHASE 1/2

Trametinib and Navitoclax in Treating Patients With Advanced or Metastatic Solid Tumors

TARGETS
BCL-W, BCL-XL, BCL2, MEK
LOCATIONS: Massachusetts

NCT02407509
PHASE 1

Phase I Trial of RO5126766

TARGETS
RAFs, MEK, mTOR
LOCATIONS: London (United Kingdom), Sutton (United Kingdom)

NCT03825289
PHASE 1

Trametinib and Hydroxychloroquine in Treating Patients With Pancreatic Cancer

TARGETS
MEK
LOCATIONS: Utah

NCT04800822
PHASE 1

PF-07284892 in Participants With Advanced Solid Tumors

TARGETS
SHP2, ROS1, ALK, BRAF, EGFR, MEK
LOCATIONS: California, Michigan, New York, Tennessee, Texas

ORDERED TEST # ORD-1231066-01

APPENDIX
Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

ARAF
P595fs*44

DNMT3A
A368T

FGF6
S143N

FLT1
H1138Q

MSH2
Q298R

NOTCH3
G131R

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APPENDIX

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

| | | | | | | | | |
|----------------------------|--|---------------------------------|--|--|---|---|--|-----------------|
| ABL1 Exons 4-9 | ACVR1B | AKT1 Exon 3 | AKT2 | AKT3 | ALK Exons 20-29, Introns 18, 19 | ALOX12B | AMER1 (FAM123B) | APC |
| AR | ARAF Exons 4, 5, 7, 11, 13, 15, 16 | ARFRP1 | ARID1A | ASXL1 | ATM | ATR | ATRX | AURKA |
| AURKB | AXIN1 | AXL | BAP1 | BARD1 | BCL2 | BCL2L1 | BCL2L2 | BCL6 |
| BCOR | BCORL1 | BCR* Introns 8, 13, 14 | BRAF Exons 11-18, Introns 7-10 | BRCA1 Introns 2, 7, 8, 12, 16, 19, 20 | BRCA2 Intron 2 | BRD4 | BRIP1 | BTG1 |
| BTG2 | BTK Exons 2, 15 | C11orf30 (EMSY) | C17orf39 (GID4) | CALR | CARD11 | CASP8 | CBFB | CBL |
| CCND1 | CCND2 | CCND3 | CCNE1 | CD22 | CD70 | CD74* Introns 6-8 | CD79A | CD79B |
| CD274 (PD-L1) | CDC73 | CDH1 | CDK12 | CDK4 | CDK6 | CDK8 | CDKN1A | CDKN1B |
| CDKN2A | CDKN2B | CDKN2C | CEBPA | CHEK1 | CHEK2 | CIC | CREBBP | CRKL |
| CSF1R | CSF3R | CTCF | CTNNA1 | CTNNB1 Exon 3 | CUL3 | CUL4A | CXCR4 | CYP17A1 |
| DAXX | DDR1 | DDR2 Exons 5, 17, 18 | DIS3 | DNMT3A | DOT1L | EED | EGFR Introns 7, 15, 24-27 | EP300 |
| EPHA3 | EPHB1 | EPHB4 | ERBB2 | ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25 | ERBB4 | ERCC4 | ERG | ERRFI1 |
| ESR1 Exons 4-8 | ETV4* Intron 8 | ETV5* Introns 6, 7 | ETV6* Introns 5, 6 | EWSR1* Introns 7-13 | EZH2 Exons 4, 16, 17, 18 | EZR* Introns 9-11 | FAM46C | FANCA |
| FANCC | FANCG | FANCL | FAS | FBXW7 | FGF10 | FGF12 | FGF14 | FGF19 |
| FGF23 | FGF3 | FGF4 | FGF6 | FGFR1 Introns 1, 5, Intron 17 | FGFR2 Intron 1, Intron 17 | FGFR3 Exons 7, 9 (alternative designation exon 10), 14, 18, Intron 17 | FGFR4 | FH |
| FLCN | FLT1 | FLT3 Exons 14, 15, 20 | FOXL2 | FUBP1 | GABRA6 | GATA3 | GATA4 | GATA6 |
| GNA11 Exons 4, 5 | GNA13 | GNAQ Exons 4, 5 | GNAS Exons 1, 8 | GRM3 | GSK3B | H3F3A | HDAC1 | HGF |
| HNFI1A | HRAS Exons 2, 3 | HSD3B1 | ID3 | IDH1 Exon 4 | IDH2 Exon 4 | IGF1R | IKBKE | IKZF1 |
| INPP4B | IRF2 | IRF4 | IRS2 | JAK1 | JAK2 Exon 14 | JAK3 Exons 5, 11, 12, 13, 15, 16 | JUN | KDMSA |
| KDMSC | KDM6A | KDR | KEAP1 | KEL | KIT Exons 8, 9, 11, 12, 13, 17, Intron 16 | KLHL6 | KMT2A (MLL) Introns 6, 8-11, Intron 7 | KMT2D (MLL2) |

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Electronically signed by Julie Tse, M.D. | 15 November 2021
Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531
Shakti Ramkissoon, M.D., Ph.D., M.M. Sc, Laboratory Director CLIA: 34D2044309
Foundation Medicine, Inc. · 1.888.988.3639

Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ORDERED TEST # ORD-1231066-01

APPENDIX

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

| | | | | | | | | |
|----------------------------------|---|--|---|---|---|---------------------|----------------------------|--|
| KRAS | LTK | LYN | MAF | MAP2K1 (MEK1) Exons 2, 3 | MAP2K2 (MEK2) Exons 2-4, 6, 7 | MAP2K4 | MAP3K1 | MAP3K13 |
| MAPK1 | MCL1 | MDM2 | MDM4 | MED12 | MEF2B | MEN1 | MERTK | MET |
| MITF | MKNK1 | MLH1 | MPL Exon 10 | MRE11A | MSH2 Intron 5 | MSH3 | MSH6 | MST1R |
| MTAP | MTOR Exons 19, 30, 39, 40, 43-45, 47, 48, 53, 56 | MUTYH | MYB* Intron 14 | MYC Intron 1 | MYCL (MYCL1) | MYCN | MYD88 Exon 4 | NBN |
| NF1 | NF2 | NFE2L2 | NFKBIA | NKX2-1 | NOTCH1 | NOTCH2 Intron 26 | NOTCH3 | NPM1 Exons 4-6, 8, 10 |
| NRAS Exons 2, 3 | NSD3 (WHSC1L1) | NTSC2 | NTRK1 Exons 14, 15, Introns 8-11 | NTRK2 Intron 12 | NTRK3 Exons 16, 17 | NUTM1* Intron 1 | P2RY8 | PALB2 |
| PARK2 | PARP1 | PARP2 | PARP3 | PAX5 | PBRM1 | PDCD1 (PD-1) | PDCD1LG2 (PD-L2) | PDGFRA Exons 12, 18, Introns 7, 9, 11 |
| PDGFRB Exons 12-21, 23 | PDK1 | PIK3C2B | PIK3C2G | PIK3CA Exons 2, 3, 5-8, 10, 14, 19, 21 (Coding Exons 1, 2, 4-7, 9, 13, 18, 20) PPP2R2A | PIK3CB | PIK3R1 | PIM1 | PMS2 |
| POLD1 | POLE | PPARG | PPP2R1A | PRDM1 | PRKAR1A | PRKCI | PTCH1 | |
| PTEN | PTPN11 | PTPRO | QKI | RAC1 | RAD21 | RAD51 | RAD51B | RAD51C |
| RAD51D | RAD52 | RAD54L | RAF1 Exons 3, 4, 6, 7, 10, 14, 15, 17, Introns 4-8 | RARA Intron 2 | RB1 | RBM10 | REL | RET Introns 7, 8, Exons 11, 13-16, Introns 9-11 |
| RICTOR | RNF43 | ROS1 Exons 31, 36-38, 40, Introns 31-35 | RPTOR | RSPO2* Intron 1 | SDC4* Intron 2 | SDHA | SDHB | SDHC |
| SDHD | SETD2 | SF3B1 | SGK1 | SLC34A2* Intron 4 | SMAD2 | SMAD4 | SMARCA4 | SMARCB1 |
| SMO | SNCAIP | SOC1 | SOX2 | SOX9 | SPEN | SPOP | SRC | STAG2 |
| STAT3 | STK11 | SUFU | SYK | TBX3 | TEK | TERC* ncRNA | TERT* Promoter | TET2 |
| TGFB2 | TIPARP | TMPRSS2* Introns 1-3 | TNFAIP3 | TNFRSF14 | TP53 | TSC1 | TSC2 | TYRO3 |
| U2AF1 | VEGFA | VHL | WHSC1 | WT1 | XPO1 | XRCC2 | ZNF217 | ZNF703 |

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Microsatellite (MS) status

Blood Tumor Mutational Burden (bTMB)

Tumor Fraction

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Electronically signed by Julie Tse, M.D. | 15 November 2021
 Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531
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 Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ORDERED TEST # ORD-1231066-01

APPENDIX

About FoundationOne® Liquid CDx

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.



ABOUT FOUNDATIONONE LIQUID CDx

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details.

INTENDED USE

FoundationOne Liquid CDx is a next generation sequencing based *in vitro* diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

TEST PRINCIPLES

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

RANKING OF THERAPIES AND CLINICAL TRIALS

Ranking of Therapies in Summary Table

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

LIMITATIONS

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
5. The test is not intended to provide information on cancer predisposition.
6. Performance has not been validated for cfDNA input below the specified minimum input.
7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of $\geq 5\%$, and bTMB is calculated based on variants with an allele frequency of $\geq 0.5\%$.
8. Tumor fraction is the percentage of circulating-tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from observed aneuploid instability in the sample.
9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited to: *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, *TP53*, and *U2AF1*.
11. Alterations reported may include somatic (not

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inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.

12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical

context.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in

conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

SELECT ABBREVIATIONS

| ABBREVIATION | DEFINITION |
|--------------|-----------------------------|
| CR | Complete response |
| DCR | Disease control rate |
| DNMT | DNA methyltransferase |
| HR | Hazard ratio |
| ITD | Internal tandem duplication |
| MMR | Mismatch repair |
| Muts/Mb | Mutations per megabase |
| NOS | Not otherwise specified |
| ORR | Objective response rate |
| OS | Overall survival |
| PD | Progressive disease |
| PFS | Progression-free survival |
| PR | Partial response |
| SD | Stable disease |
| TKI | Tyrosine kinase inhibitor |

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APPENDIX
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