

Chen, Jen-Huai

TUMOR TYPE
Brain anaplastic astrocytoma
COUNTRY CODE
TW

REPORT DATE
11 Jan 2022
ORDERED TEST #
ORD-1269425-01

ABOUT THE TEST FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes.

PATIENT

DISEASE Brain anaplastic astrocytoma
NAME Chen, Jen-Huai
DATE OF BIRTH 21 February 1967
SEX Female
MEDICAL RECORD # 47841327

ORDERING PHYSICIAN Yeh, Yi-Chen
MEDICAL FACILITY Taipei Veterans General Hospital
ADDITIONAL RECIPIENT None
MEDICAL FACILITY ID 205872
PATHOLOGIST Not Provided

SPECIMEN

SPECIMEN SITE Brain
SPECIMEN ID S110-38013 A (PF21075)
SPECIMEN TYPE Slide Deck
DATE OF COLLECTION 03 December 2021
SPECIMEN RECEIVED 28 December 2021

## Biomarker Findings

Microsatellite status - MS-Stable
Tumor Mutational Burden - 0 Muts/Mb

## Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

EGFR A289V
PTEN C105Y
CDKN2A/B CDKN2A rearrangement exon 2
RB1 R661W
SETD2 E2542fs\*4
TERT promoter -124C>T

† See About the Test in appendix for details.

## Report Highlights

- Variants with diagnostic implications that may indicate a specific cancer type: TERT promoter -124C>T (p. 8)
- Targeted therapies with potential clinical benefit approved in another tumor type: Erlotinib (p. 9), Gefitinib (p. 9)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 10)
- Variants with prognostic implications for this tumor type that may impact treatment decisions: TERT promoter -124C>T (p. 8)

# Microsatellite status - MS-Stable Tumor Mutational Burden - 0 Muts/Mb GENOMIC FINDINGS EGFR - A289V 4 Trials see p. 10 PTEN - C105Y 10 Trials see p. 11

No therapies or clinical trials. see Biomarker Findings section		
THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)	
none	Erlotinib	
	Gefitinib	
none	none	

THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. see Biomarker Findings section

## GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

CDKN2A/B - CDKN2A rearrangement exon 2	p. 6	SETD2 - E2542fs*4	p. 7
DR1 - D661\N/	n 7	TERT - promoter -12/1C>T	n 0

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and exhaustive. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient; tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physicians should refer to approved prescribing information for all therapies.

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Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531 Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531 Post-Sequencing Analysis: 150 Second St., 1st Floor. Cambridge, MA 02141 · CLIA: 22D2027531



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Therapies contained in this report may have been approved by the US FDA.



**BIOMARKER FINDINGS** 

#### BIOMARKER

## Microsatellite status

RESULT MS-Stable

#### **POTENTIAL TREATMENT STRATEGIES**

#### Targeted Therapies

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors<sup>1-3</sup>, including approved therapies nivolumab and pembrolizumab<sup>4</sup>. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and

experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%, p=0.001)<sup>5</sup>.

#### **FREQUENCY & PROGNOSIS**

MSI-High has been reported in 3-8% of adult or pediatric astrocytomas and was generally not associated with Lynch syndrome<sup>6-8</sup>. Low-level MSI has been reported in 5-9% of glioblastoma (GBM) samples<sup>9-11</sup>. A large-scale study did not find high-level microsatellite instability (MSI-H) in any of 129 GBM samples<sup>9</sup>, although a small-scale study reported MSI-H in 4 of 15 pediatric GBMs and 1 of 12 adult GBMs<sup>12</sup>. The frequency of MSI has been reported to be increased in relapsed compared to primary GBM<sup>9</sup>, in GBMs with a previous lower grade astrocytoma<sup>10</sup>, and in giant cell GBM compared to classic GBM<sup>11</sup>.

#### **FINDING SUMMARY**

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>13</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH<sub>2</sub>, MSH<sub>6</sub>, or PMS<sub>2</sub><sup>13-15</sup>. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers<sup>16-18</sup>. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins<sup>13,15,17-18</sup>.

#### BIOMARKER

# Tumor Mutational Burden

RESULT 0 Muts/Mb

#### **POTENTIAL TREATMENT STRATEGIES**

#### - Targeted Therapies -

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>19-21</sup>, anti-PD-1 therapies<sup>19-22</sup>, and combination nivolumab and ipilimumab<sup>23-28</sup>. In glioma, a lack of association between TMB and clinical benefit from immune checkpoint inhibitors has been reported<sup>19,29-30</sup>. However, multiple case studies have reported that patients with ultramutated gliomas driven by POLE

mutations have benefited from treatment with anti-PD-1<sup>31-32</sup> or anti-PD-L1<sup>33</sup> therapies. Therefore, although increased TMB alone may not be a strong biomarker for PD-1 or PD-L1 inhibitors in this cancer type, these agents may have efficacy for patients with glioma harboring both high TMB and POLE mutation.

## FREQUENCY & PROGNOSIS

Anaplastic astrocytoma harbors a median TMB of 1.8 mutations per megabase (muts/Mb), and 2% of cases have high TMB (>20 muts/Mb)<sup>34</sup>. For pediatric patients, high TMB has been reported in a subset of high-grade gliomas, frequently in association with mutations in mismatch repair or proofreading genes and in TP53, whereas BRAF alterations or other oncogene fusions were observed more frequently in brain tumors harboring low TMB<sup>35-36</sup>. Increased TMB has been reported to correlate with higher tumor grade in glioma<sup>37</sup> and glioblastoma (GBM) tissue samples with biallelic mismatch repair deficiency

(bMMRD)<sup>31</sup>, as well as with shorter OS of patients with diffuse glioma<sup>38</sup>.

#### FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>39-40</sup> and cigarette smoke in lung cancer<sup>41-42</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>43-44</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>45-49</sup>, and microsatellite instability (MSI)<sup>45,48-49</sup>. This sample harbors a TMB below levels that would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents  $^{19,29-33}$ .

**GENOMIC FINDINGS** 

#### GENE

## **EGFR**

ALTERATION

A289V

TRANSCRIPT ID

NM\_005228

CODING SEQUENCE EFFECT 866C>T

**VARIANT ALLELE FREQUENCY (% VAF)** 

28.9%

#### **POTENTIAL TREATMENT STRATEGIES**

#### - Targeted Therapies -

For patients with non-small cell lung cancer, EGFR activating mutations may predict sensitivity to EGFR TKIs, including erlotinib50, gefitinib51, afatinib52, dacomitinib53, and osimertinib54;

however, the data for patients with other tumor types are limited<sup>55-60</sup>. A case study of a patient with multiple glioblastoma (GBM) tumors, one of which harbored EGFR amplification and multiple missense mutations, reported a near-CR of the EGFR-amplified and -mutated tumor to osimertinib<sup>61</sup>. A case series of 11 patients with bithalamic gliomas with EGFR mutations suggested that treatment with EGFR inhibitors, including osimertinib, prolonged patient survival relative to other types of treatment; however, no patients attained PR or SD58.

#### **FREQUENCY & PROGNOSIS**

Across several genomic studies of CNS tumors, EGFR alterations have been reported in 13.2% of anaplastic astrocytomas, 5.3-15.9% of glioblastoma multiformes (GBMs), and o% of pilocytic astrocytomas<sup>62-65</sup>. EGFR amplification and/or EGFR expression in glioma has been correlated

with poor overall survival in patients under 60 years of age, prolonged survival in patients over the age of 60, and tumor grade<sup>66-69</sup>.

#### **FINDING SUMMARY**

EGFR encodes the epidermal growth factor receptor, which belongs to a class of proteins called receptor tyrosine kinases. In response to signals from the environment, EGFR passes biochemical messages to the cell that stimulate it to grow and divide<sup>70</sup>. The EGFR A289V mutation, located in the extracellular domain, has been shown to be activating<sup>71</sup>. Glioblastoma cell lines harboring an EGFR A289V or A289D mutation were shown to be dependent on EGFR kinase activity<sup>72</sup>, and other mutations at this position are also likely activating. In addition, A289V is frequently associated with increased EGFR gene copy number<sup>71</sup>.

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**GENOMIC FINDINGS** 

#### GENE

## PTEN

ALTERATION

C105Y

TRANSCRIPT ID

CODING SEQUENCE EFFECT

314G>A

**VARIANT ALLELE FREQUENCY (% VAF)** 

72.0%

#### **POTENTIAL TREATMENT STRATEGIES**

#### - Targeted Therapies -

PTEN loss or mutation leads to activation of the PI<sub>3</sub>K-AKT-mTOR pathway and may predict sensitivity to inhibitors of this pathway<sup>73-76</sup>. Across various tissues, most clinical studies have not observed an association between PTEN deficiency and response to inhibitors of the PI<sub>3</sub>K-AKT-mTOR pathway. However, limited studies in prostate cancer<sup>77-80</sup>, renal cell carcinoma<sup>81</sup>, breast cancer<sup>82-83</sup>, and colorectal cancer<sup>84</sup> have reported an association between PTEN deficiency and response to inhibitors targeting the PI<sub>3</sub>K-AKTmTOR pathway. Preclinical data indicate that PTEN loss or inactivation may predict sensitivity to PARP inhibitors85-89, and clinical benefit has been observed for patients with PTEN-altered breast cancer including triple negative breast cancer90, ovarian cancer91, uterine leiomyosarcoma<sup>92</sup>, and endometrial cancer<sup>89</sup> treated with PARP inhibitors. However, some

studies have reported a lack of association between PTEN mutation and PARP inhibitor sensitivity <sup>93-94</sup>. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

#### Potential Resistance —

In a retrospective analysis of 66 patients with glioblastoma, tumors from nivolumab or pembrolizumab non-responders were significantly enriched for PTEN mutations<sup>29</sup>.

#### **FREQUENCY & PROGNOSIS**

Studies in the literature have indicated that PTEN alterations (mutation or homozygous deletion) occur most frequently in glioblastoma (GBM), less frequently in anaplastic astrocytoma, and rarely in lower grade glioma subtypes including low grade astrocytoma, oligodendroglioma, oligoastrocytoma, and ependymoma<sup>66,95-101</sup>. One study detected PTEN mutation in 42% (97/232) and loss in 10% (24/232) of IDH-wildtype GBM samples analyzed<sup>102</sup>. In the TCGA dataset, PTEN mutation was observed in 23% of GBM cases and PTEN deletion was reported in 7% of cases<sup>63</sup>, while in the Lower Grade Glioma TCGA dataset, PTEN mutation was observed in 4% of cases and homozygous deletion observed in 1.2% of cases<sup>103</sup>. Loss of PTEN correlated with significantly worse prognosis in all grades of gliomas98,104.

#### FINDING SUMMARY

PTEN encodes an inositol phosphatase that

functions as a tumor suppressor by negatively regulating the PI<sub>3</sub>K-AKT-mTOR pathway; loss of PTEN can lead to uncontrolled cell growth and suppression of apoptosis<sup>74</sup>. Although alterations such as seen here have not been fully characterized and are of unknown functional significance, similar alterations have been previously reported in the context of cancer, which may indicate biological relevance.

#### POTENTIAL GERMLINE IMPLICATIONS

One or more of the PTEN variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with hamartoma tumor syndrome (ClinVar, Sep 2021)105. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. PTEN mutations underlie several inherited disorders, collectively termed PTEN hamartoma tumor syndrome (PHTS), which include Cowden syndrome (CS) and its variant Lhermitte-Duclos disease (LD), Bannayan-Riley-Ruvalcaba syndrome (BRRS), PTEN-related Proteus syndrome (PS), and Proteus-like syndrome 106-107. The mutation rate for PTEN in these disorders ranges from 20 to 85% of patients  $^{106,108}$ . The estimated incidence of Cowden syndrome is 1/ 200,000, which may be an underestimate due to the high variability of this disorder<sup>106</sup>. Given the association between PTEN and these inherited syndromes, in the appropriate clinical context, germline testing for mutations affecting PTEN is recommended.

**GENOMIC FINDINGS** 

GENE

## CDKN2A/B

ALTERATION

CDKN2A rearrangement exon 2

#### **POTENTIAL TREATMENT STRATEGIES**

#### - Targeted Therapies -

Preclinical data suggest that tumors with loss of p16INK4a function may be sensitive to CDK4/6 inhibitors, such as abemaciclib, ribociclib, and palbociclib<sup>109-112</sup>. Although case studies have reported that patients with breast cancer or uterine leiomyosarcoma harboring CDKN2A loss responded to palbociclib treatment<sup>113-114</sup>, multiple other clinical studies have shown no significant correlation between p16INK4a loss or inactivation and the rapeutic benefit of these agents  $^{115\text{-}121};$  it is not known whether CDK4/6 inhibitors would be beneficial in this case. Although preclinical studies have suggested that loss of p14ARF function may be associated with reduced sensitivity to MDM2 inhibitors 122-123, the clinical relevance of p14ARF as a predictive biomarker is not clear.

#### Potential Resistance —

Rb inactivation may predict resistance to CDK4/6 inhibitors such as palbociclib, abemaciclib, and ribociclib, which act upstream of Rb $^{124\text{-}133}$ .

#### **FREQUENCY & PROGNOSIS**

Concurrent putative homozygous deletion of CDKN2A and CDKN2B has been reported in 35% of patients with gliomas<sup>64</sup> and detected more frequently in patients with glioblastoma multiforme (GBM; 58%)63 than in those with lower grade gliomas (13%) (cBioPortal, Sep 2021)134-135. In other studies, loss of CDKN2A/B by deletion has been reported in up to 78% of astrocytomas (including anaplastic astrocytomas and GBM)<sup>136-138</sup>. Decreased p14ARF and p16INK4a expression levels were found to be tightly associated in a study of glioma samples139. Homozygous deletion of the genomic region including CDKN2A and CDKN2B has been found to be associated with poor prognosis in GBM and likely serves as an early event in GBM progression<sup>137,140</sup>. In addition, expression of p16INK4a has been found to be lower in patients with high grade malignant gliomas compared to patients with low grade gliomas, and loss of p16INK4a expression has been associated with shorter overall survival in pilocytic astrocytomas141-142.

#### **FINDING SUMMARY**

CDKN2A encodes two different, unrelated tumor suppressor proteins, p16INK4a and p14ARF, whereas CDKN2B encodes the tumor suppressor p15INK4b $^{143-144}$ . Both p15INK4b and p16INK4a bind to and inhibit CDK4 and CDK6, thereby maintaining the growth-suppressive activity of

the Rb tumor suppressor; loss or inactivation of either p15INK4b or p16INK4a contributes to dysregulation of the CDK4/6-cyclin-Rb pathway and loss of cell cycle control¹45-146. The tumor suppressive functions of p14ARF involve stabilization and activation of p53, via a mechanism of MDM2 inhibition¹47-148. One or more alterations observed here are predicted to result in p16INK4a loss of function¹49-170. One or more alterations seen here are predicted to result in p14ARF loss of function¹53,170-173.

#### **POTENTIAL GERMLINE IMPLICATIONS**

Germline CDKN2A mutation is associated with melanoma-pancreatic cancer syndrome, a condition marked by increased risk of developing malignant melanoma and/or pancreatic cancer<sup>174</sup>. Mutation carriers within families may develop either or both types of cancer, and melanoma cases may be referred to as familial or hereditary melanoma<sup>175-176</sup>. CDKN2A is the most implicated gene in familial melanoma, with germline mutations present in 16% to 20% of familial melanoma cases<sup>177-179</sup>. CDKN2A alteration has also been implicated in familial melanomaastrocytoma syndrome, an extremely rare tumor association characterized by dual predisposition to melanoma and nervous system tumors  $^{180\text{--}182}$ . In the appropriate clinical context, germline testing of CDKN2A is recommended.

**GENOMIC FINDINGS** 

#### GENE

## RB<sub>1</sub>

ALTERATION

R661W

TRANSCRIPT ID

NM\_000321

**CODING SEQUENCE EFFECT** 

1981C>T

**VARIANT ALLELE FREQUENCY (% VAF)** 

53.8%

#### **POTENTIAL TREATMENT STRATEGIES**

#### - Targeted Therapies -

On the basis of limited clinical data<sup>183</sup> and strong preclinical data<sup>184-186</sup>, RB1 inactivation may be associated with sensitivity to inhibitors of Aurora kinase A, particularly in small cell lung cancer. It should be noted that a trial of the Aurora kinase A inhibitor alisertib in advanced prostate cancer did not find an association between RB1 deletion and clinical benefit<sup>187</sup>. Other approaches to target RB1 inactivation under investigation in preclinical studies include inhibitors of BCL-2 family members<sup>188</sup> and activation of the NOTCH pathway<sup>189</sup>.

#### Potential Resistance —

Rb inactivation may predict resistance to CDK4/6 inhibitors such as palbociclib, abemaciclib, and ribociclib, which act upstream of Rb $^{124-133}$ .

#### Nontargeted Approaches —

Loss of Rb function has been associated with increased sensitivity to cytotoxic agents and chemotherapeutics in both preclinical studies and in patients with bladder or breast cancer<sup>190-191</sup>.

#### **FREQUENCY & PROGNOSIS**

In low-grade gliomas, alteration in the Rb pathway, including homozygous deletion and promoter methylation of CDKN2A, CDKN2B, and RB1, is reported to occur in 26% of cases lacking IDH1/2, TP53, or 1p/19q alterations, and in 11% of tumors harboring these alterations<sup>192</sup>. Loss of heterozygosity at the RB1 locus has been reported in 28-30% of high-grade astrocytomas, and specifically in 19% of anaplastic astrocytoma cases in one study<sup>193-195</sup>. Promoter hypermethylation of RB1 has also been observed in 6-19% of malignant astrocytomas, with one study citing RB1 promoter methylation in 14% of anaplastic astrocytomas<sup>195-196</sup>. In the TCGA datasets, RB1 mutation or homozygous deletion was observed in 9% of glioblastomas63 and 2.5% of lower grade glioma cases<sup>103</sup>. In patients with low-grade diffuse gliomas, Rb pathway alterations have been

significantly associated with poor clinical outcome<sup>192,197</sup>.

#### **FINDING SUMMARY**

RB1 encodes the retinoblastoma protein (Rb), a tumor suppressor and negative regulator of the cell cycle<sup>191,198</sup>. Alterations such as seen here may disrupt RB1 function or expression<sup>199-205</sup>.

#### POTENTIAL GERMLINE IMPLICATIONS

One or more of the RB1 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with retinoblastoma (ClinVar, Sep 2021)105. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Mutations in RB1 underlie the development of retinoblastoma (RB), a rare tumor that arises at a rate of approximately 1:20,000 live births, with nearly 5,000 new cases worldwide per year<sup>206</sup>. Germline mutations in RB1 account for approximately 40% of RB tumors207 and are associated with an increased risk of developing secondary malignancies that include soft tissue and bone sarcoma and malignant melanoma<sup>208-209</sup>. In the appropriate clinical context, germline testing of RB1 is recommended.

#### GENE

## SETD2

ALTERATION E2542fs\*4

TRANSCRIPT ID

NM\_014159

CODING SEQUENCE EFFECT

7624\_7625insG

VARIANT ALLELE FREQUENCY (% VAF)

39.0%

#### **POTENTIAL TREATMENT STRATEGIES**

#### — Targeted Therapies —

There are no targeted therapies available to address genomic alterations in SETD2.

#### **FREQUENCY & PROGNOSIS**

Somatic inactivating alterations of SETD2 are documented to occur at low frequency in a number of solid tumors, most commonly in renal carcinoma<sup>210</sup>. SETD2 has been associated with favorable prognosis in gastric cancer<sup>211</sup>. SETD2 has also been associated with poor prognosis in RCC and MDS<sup>212</sup>, while data in other tumor types is limited (PubMed, Jun 2021).

#### FINDING SUMMARY

SETD2 encodes a histone lysine-36 methyltransferase<sup>213</sup> that preferentially interacts with the expanded N-terminal polyglutamine tracts present in mutant huntingtin, implicating it in the pathogenesis of Huntington disease<sup>214</sup>. SETD2 mRNA expression has been observed to be consistently reduced in breast tumors relative to adjacent non-tumor tissue, suggesting a potential tumor suppressor role<sup>215</sup>. Although alterations such as seen here have not been fully characterized and are of unknown functional significance, similar alterations have been previously reported in the context of cancer, which may indicate biological relevance.



**GENOMIC FINDINGS** 

#### GENE

## **TERT**

ALTERATION

promoter -124C>T

TRANSCRIPT ID

CODING SEQUENCE EFFECT

-124C>T

**VARIANT ALLELE FREQUENCY (% VAF)** 

44.5%

#### **POTENTIAL TREATMENT STRATEGIES**

#### - Targeted Therapies -

Therapeutic options for targeting tumors with TERT mutations are limited, although a variety of approaches have been investigated, including immunotherapies using TERT as a tumorassociated antigen and antisense oligonucleotideor peptide-based therapies. TERT peptide vaccines showed limited anticancer efficacy in clinical trials<sup>216</sup>; however, in one preclinical study, the combination of a TERT peptide vaccine and anti-CTLA-4 therapy suppressed tumor growth<sup>217</sup>. A Phase 2 study of the TERT inhibitor imetelstat for

patients with advanced non-small cell lung cancer reported no improvement in PFS or OS<sup>218</sup>.

#### **FREQUENCY & PROGNOSIS**

TERT promoter mutations have been reported in 51-59% of gliomas<sup>219-220</sup>, most frequently in glioblastoma (GBM, 54-84%), gliosarcoma (81%), oligodendroglioma (78%), and historically in oligoastrocytomas (25-31%) but less frequently in lower grade astrocytomas (10-18%) and in only 1% of ependymomas<sup>219-223</sup>. TERT promoter mutation has been shown to be significantly associated with increased TERT gene expression in astrocytoma, oligodendroglioma, and GBM<sup>224</sup>. TERT promoter mutations significantly associate with poor prognosis in patients with GBM, although this correlation may be due to the association with primary GBM as opposed to IDH-positive secondary GBM<sup>219,221,224-225</sup>. In the context of IDHwildtype glioma, TERT mutations are associated with reduced OS, whereas in IDH-mutated, 1p/ 19q co-deleted oligodendroglioma, TERT mutations are associated with improved OS (NCCN CNS Cancers Guidelines, v5.2020).

#### **FINDING SUMMARY**

Telomerase reverse transcriptase (TERT, or

hTERT) is a catalytic subunit of the telomerase complex, which is required to maintain appropriate chromosomal length<sup>226</sup>. Activation of TERT is a hallmark of cancer, being detected in up to 80-90% of malignancies and absent in quiescent cells<sup>227-229</sup>. Mutations within the promoter region of TERT that confer enhanced TERT promoter activity have been reported in two hotspots, located at -124 bp and -146 bp upstream of the transcriptional start site (also termed C228T and C250T, respectively)<sup>230-232</sup>, as well as tandem mutations at positions -124/-125 bp and -138/-139 bp<sup>230</sup>.

#### **POTENTIAL DIAGNOSTIC IMPLICATIONS**

TERT mutations are associated with 1p/19q codeletion in oligodendrogliomas, and are highly recurrent in IDH/ATRX-wildtype glioblastoma (GBM) (NCCN CNS Cancers Guidelines, v5.2020). Co-occurring TERT mutation, IDH mutation, and 1p/19q co-deletion is indicative of oligodendroglioma, whereas IDH mutation in the absence of TERT mutation is suggestive of astrocytoma (NCCN CNS Cancers Guidelines, v5.2020).



THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## **Erlotinib**

Assay findings association

EGFR A289V

#### **AREAS OF THERAPEUTIC USE**

Erlotinib is a small-molecule inhibitor of EGFR. It is FDA approved as a monotherapy or in combination with ramucirumab for patients with metastatic non-small cell lung cancer (NSCLC) harboring EGFR exon 19 deletions or exon 21 (L858R) mutations. Erlotinib is also FDA approved in combination with gemcitabine as a first-line treatment for advanced pancreatic cancer. Please see the drug label for full prescribing information.

#### **GENE ASSOCIATION**

Amplification or activation of EGFR may predict sensitivity to therapies such as erlotinib. For patients with activating mutations in EGFR, treatment with erlotinib has been associated with improved response and lengthened time to progression<sup>50,233-235</sup>.

#### **SUPPORTING DATA**

In the MyPathway Phase 2a basket study for advanced solid tumors, 1 of 9 patients with EGFR activation mutations responded to erlotinib monotherapy; the responding patient had urethral adenocarcinoma<sup>236</sup>. A

patient with EGFR-mutated metastatic lacrimal gland adenoid cystic carcinoma experienced clinical benefit from erlotinib treatment that was ongoing at 14 months<sup>237</sup>. A clinical study of patients with glioblastoma (GBM) treated with gefitinib or erlotinib found that 9/49 (18%) had tumor shrinkage of 25% or more; in this study, the extracellular domain EGFRvIII mutation was correlated with response<sup>238</sup>. In a Phase 2 study of 65 patients with GBM or gliosarcoma, treatment with erlotinib, temozolomide, and radiotherapy resulted in longer progression-free survival relative to a historical control study utilizing a regimen of temozolomide and radiotherapy alone (19.3 months vs. 14.1 months)<sup>239</sup>. However, in a Phase 1/2 trial of erlotinib monotherapy in 11 patients with relapsed or refractory GBM or anaplastic astrocytoma, all patients showed disease progression and the drug showed significant toxicity<sup>240</sup>. In addition, a Phase 2 trial of patients with recurrent or progressive GBM treated with erlotinib and sorafenib did not meet its objective of a 30% increase in overall survival time compared with historical controls; sorafenib was found to increase erlotinib clearance<sup>241</sup>.

## **Gefitinib**

Assay findings association

EGFR A289V

#### **AREAS OF THERAPEUTIC USE**

Gefitinib targets the tyrosine kinase EGFR and is FDA approved to treat non-small cell lung cancer (NSCLC) harboring exon 19 deletions or exon 21 (L858R) substitution mutations in EGFR. Please see the drug label for full prescribing information.

#### **GENE ASSOCIATION**

Activation of EGFR may predict sensitivity to therapies such as gefitinib. Clinical studies have consistently shown significant improvement in response rates and PFS for patients with EGFR-mutated non-small cell lung cancer (NSCLC) treated with gefitinib compared with chemotherapy<sup>235,242-247</sup>, and responses have been reported for patients with EGFR-rearranged NSCLC<sup>248-249</sup>.

#### **SUPPORTING DATA**

A clinical study of patients with glioblastoma (GBM)

treated with gefitinib or erlotinib found that 9/49 (18%) had tumor shrinkage of 25% or more; in this study, the extracellular domain EGFRvIII mutation was correlated with response<sup>238</sup>. A Phase 2 clinical study of gefitinib in patients with high-grade glioma (including GBM, anaplastic astrocytoma, and oligodendroglioma) reported 18% (5/28) disease stabilization; efficacy was not correlated with EGFR expression<sup>250</sup>. However, a Phase 1/ 2 clinical trial of gefitinib combined with radiotherapy in 178 patients with GBM reported no overall survival benefit of added gefitinib, and EGFR expression was found to be of no prognostic value for patients treated with gefitinib plus radiotherapy<sup>251</sup>. A Phase 2 trial of preoperative gefitinib treatment in patients with recurrent GBM reported that although EGFR phosphorylation was decreased in treated patients as compared to the control group, measurement of 12 downstream molecules revealed no significant changes<sup>252</sup>.

**NOTE** Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.



Chen, Jen-Huai

TUMOR TYPE
Brain anaplastic astrocytoma

REPORT DATE
11 Jan 2022

**CLINICAL TRIALS** 

#### ORDERED TEST # ORD-1269425-01

**NOTE** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and

should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial  $\Rightarrow$  Geographical proximity  $\Rightarrow$  Later trial phase. Clinical trials listed here may have additional enrollment criteria that may require

medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. Or visit https://www.foundationmedicine.com/genomictesting#support-services.

### GENE EGFR

ALTERATION A289V

#### **RATIONALE**

EGFR activating mutations, rearrangements, or amplification may predict sensitivity to EGFR-targeted therapies. Strategies to overcome

resistance to current agents include next-generation EGFR inhibitors and combination therapies.

NCT03239015	PHASE 2
Efficacy and Safety of Targeted Precision Therapy in Refractory Tumor With Druggable Molecular Event	TARGETS EGFR, ERBB4, ERBB2, PARP, mTOR, MET, ROS1, RET, VEGFRS, BRAF, CDK4, CDK6

LOCATIONS: Shanghai (China)

LOCATIONS: Liège (Belgium), Brussels (Belgium), Gent (Belgium)

NCT03810872	PHASE 2
An Explorative Study of Afatinib in the Treatment of Advanced Cancer Carrying an EGFR, a HER2 or a HER3 Mutation	TARGETS EGFR, ERBB4, ERBB2

NCT02800486 PHASE 2

Super Selective Intra-arterial Repeated Infusion of Cetuximab (Erbitux) With Reirradiation for Treatment of Relapsed/Refractory GBM, AA, and AOA EGFR

**LOCATIONS:** New York

NCT03783403	PHASE 1
A Study of CC-95251, a Monoclonal Antibody Directed Against SIRP $\alpha$ , in Subjects With Advanced Solid and Hematologic Cancers	TARGETS CD20, EGFR, SIRP-alpha

**LOCATIONS:** Heidelberg (Australia), Melbourne (Australia), Edmonton (Canada), California, Colorado, Arizona, Toronto (Canada), Oklahoma, Texas, Pennsylvania



**CLINICAL TRIALS** 

GEN	E	
P7	E	Ν

ALTERATION C105Y

#### RATIONALE

PTEN loss or inactivating mutations may lead to increased activation of the PI<sub>3</sub>K-AKT-mTOR pathway and may indicate sensitivity to inhibitors of this pathway. PTEN loss or inactivation may also predict sensitivity to PARP inhibitors. It is

not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

NCT04337463	PHASE NULL
ATG-008 Combined With Toripalimab in Advanced Solid Tumors	TARGETS mTORC1, mTORC2, PD-1
LOCATIONS: Chongqing (China), Chengdu (China)	

NCT04740190	PHASE 2	
Talazoparib - Carboplatin for Recurrent High-grade Glioma With DDRd	TARGETS PARP	

LOCATIONS: Hong Kong (Hong Kong)

NCT04001569	PHASE 1/2
AZD8186 and Paclitaxel in Advanced Gastric Cancer	TARGETS PI3K-beta

LOCATIONS: Seongnam-si (Korea, Republic of)

NCT02264678	PHASE 1/2
Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents	TARGETS ATR, PARP, PD-L1

LOCATIONS: Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), London (United Kingdom), Sutton (United Kingdom), Villejuif (France), Saint Herblain (France), California

NCT03772561	PHASE 1
Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies	TARGETS PARP, AKTs, PD-L1
LOCATIONS: Singapore (Singapore)	
NCT04801966	PHASE NULL
Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study	TARGETS CDK4, CDK6, PI3K-alpha, PD-L1, MEK,

Disclaimer: Foundation Medicine Inc. only provides PDF report as an official issuance of the test result. Any other transformed format is not an "official / formal solution" and not guarantee the accuracy of this conversion. It is suggested the hospital to verify the outputs and validate the suitability of use.

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LOCATIONS: Melbourne (Australia)

PARP, PD-1, BRAF



TUMOR TYPE
Brain anaplastic astrocytoma

REPORT DATE 11 Jan 2022



ORDERED TEST # ORD-1269425-01

**CLINICAL TRIALS** 

NCT03994796	PHASE 2
Genetic Testing in Guiding Treatment for Patients With Brain Metastases	TARGETS TRKB, ALK, TRKC, ROS1, TRKA, CDK4 CDK6, PI3K, mTOR
LOCATIONS: Alaska, Washington	
NCT04632992	PHASE 2
A Study Evaluating Targeted Therapies in Participants Who Have Advanced Solid Tumors With Genomic Alterations or Protein Expression Patterns Predictive of Response	TARGETS TRKB, ALK, TRKC, ROS1, TRKA, PD-L1 ERBB2, ERBB3, PI3K-alpha, RET, AKTs
LOCATIONS: Alaska, Washington, Oregon, California, Montana	
NCT04497116	PHASE 1/2
Study of RP-3500 in Advanced Solid Tumors	TARGETS ATR, PARP
LOCATIONS: Copenhagen (Denmark), Newcastle Upon Tyne (United Kingdom), Manchester (United (Canada), Massachusetts, Rhode Island, New York, Tennessee, Texas	Kingdom), London (United Kingdom), Toronto
NCT03907969	PHASE 1/2
A Clinical Trial to Evaluate AZD7648 Alone and in Combination With Other Anti-cancer Agents in Patients With Advanced Cancers	TARGETS PARP, DNA-PK



PATIENT Chen, Jen-Huai TUMOR TYPE
Brain anaplastic astrocytoma

REPORT DATE 11 Jan 2022

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APPENDIX

Variants of Unknown Significance

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**KDM5C**R179C **NTRK1**R220W



ACVR1R

ARI1

ORDERED TEST # ORD-1269425-01

APPENDIX

ALOX12R

Genes Assayed in FoundationOne®CDx

AMFR1 (FAM123R) APC

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

**AKT3** 

ΔIK

# DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS

ΔΚΤ2

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1	BTG2
BTK	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND2	CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2	DIS3	DNMT3A	DOT1L	EED	EGFR	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRFI1	ESR1	EZH2
FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3
FGFR4	FH	FLCN	FLT1	FLT3	FOXL2	FUBP1	GABRA6	GATA3
GATA4	GATA6	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B	H3F3A
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDM5A	KDM5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11A	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2	NOTCH3
NPM1	NRAS	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA
PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10	REL	RET
RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC	SDHD	SETD2
SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO	SNCAIP	SOCS1
SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3	STK11	SUFU
SYK	TBX3	TEK	TET2	TGFBR2	TIPARP	TNFAIP3	TNFRSF14	TP53
TSC1	TSC2	TYRO3	U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1
XRCC2	ZNF217	ZNF703						
DNA GENE LIST:	FOR THE DETEC	TION OF SELECT	REARRANGEME	NTS				
ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSPO2	SDC4	SLC34A2	TERC*	TERT**	TMPRSS2

<sup>\*</sup>TERC is an NCRNA

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Loss of Heterozygosity (LOH) score Microsatellite (MS) status Tumor Mutational Burden (TMB)

<sup>\*\*</sup>Promoter region of TERT is interrogated

APPENDIX

About FoundationOne®CDx

FoundationOne CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium.

#### **ABOUT FOUNDATIONONE CDX**

FoundationOne CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details: www.rochefoundationmedicine.com/f1cdxtech.

#### **INTENDED USE**

FoundationOne®CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and for selected forms of ovarian cancer, loss of heterozygosity (LOH) score, using DNA isolated from formalin-fixed, paraffinembedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with therapies in accordance with approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms.

#### **TEST PRINCIPLES**

FoundationOne CDx will be performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The proposed assay will employ a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons. The assay therefore includes detection of alterations in a total of 324 genes.

Using an Illumina® HiSeq platform, hybrid capture–selected libraries will be sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data will be processed using a customized analysis pipeline designed to accurately detect all classes of genomic alterations, including base substitutions, indels, focal copy number amplifications, homozygous gene deletions, and selected genomic rearrangements (e.g.,gene fusions). Additionally, genomic signatures including loss of heterozygosity (LOH), microsatellite instability (MSI) and tumor mutational burden (TMB) will be reported.

#### THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. The F1CDx report may be used as an aid to inform molecular eligibility for clinical trials. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

#### **Diagnostic Significance**

FoundationOne CDx identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

# Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification - equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for ERBB2 and six (6) for all other genes. Conversely, an alteration denoted as "loss equivocal" implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that the FoundationOne CDx analytical methodology has identified as being present in <10% of the assayed tumor DNA.

Ranking of Therapies and Clinical Trials Ranking of Therapies in Summary Table
Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials
Pediatric trial qualification → Geographical proximity → Later trial phase.

# NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

#### Limitations

1. In the fractional-based MSI algorithm, a tumor specimen will be categorized as MSI-H, MSS, or MS-Equivocal according to the fraction of microsatellite loci determined to be altered or unstable (i.e., the fraction unstable loci score). In the F1CDx assay, MSI is evaluated based on a genome-wide analysis across >2000 microsatellite loci. For a given microsatellite locus, non-somatic alleles are discarded, and the microsatellite is categorized as unstable if remaining alleles differ from the reference genome. The final fraction unstable loci score is calculated as the number of unstable microsatellite loci divided by the number of evaluable microsatellite loci. The MSI-H and MSS cut-off thresholds were determined by analytical concordance to a PCR comparator assay using a pan-tumor FFPE tissue sample set. Patients with results categorized as "MS-

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About FoundationOne®CDx

- Stable" with median exon coverage <300X, "MS-Equivocal," or "Cannot Be Determined" should receive confirmatory testing using a validated orthogonal (alternative) method.
- 2. TMB by F1CDx is determined by counting all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and the total number is reported as mutations per megabase (mut/Mb) unit. Observed TMB is dependent on characteristics of the specific tumor focus tested for a patient (e.g., primary vs. metastatic, tumor content) and the testing platform used for the detection; therefore observed TMB results may vary between different specimens for the same patient and between detection methodologies employed on the same sample. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay limit of detection (LoD), filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation https://www.accessdata.fda.gov/cdrh\_docs/ pdf17/P170019B.pdf. The clinical validity of TMB defined by this panel has been established for TMB as a qualitative output for a cut-off of 10 mutations per megabase but has not been established for TMB as a quantitative score.
- 3. The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and extrapolating an LOH profile, excluding armand chromosome-wide LOH segments. Detection of LOH has been verified only for ovarian cancer patients, and the LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine LOH. Performance of the LOH classification has not been established for samples below 35% tumor content. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.
- 4. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. The test does not provide information about susceptibility.
- 5. Biopsy may pose a risk to the patient when archival tissue is not available for use with the assay. The patient's physician should determine

whether the patient is a candidate for biopsy. Reflex testing to an alternative FDA approved companion diagnostic should be performed for patients who have an ERBB2 amplification result detected with copy number equal to 4 (baseline ploidy of tumor +2) for confirmatory testing. While this result is considered negative by FoundationOne®CDx (F1CDx), in a clinical concordance study with an FDA approved FISH test, 70% (7 out of 10 samples) were positive, and 30% (3 out of 10 samples) were negative by the FISH test with an average ratio of 2.3. The frequency of ERBB2 copy number 4 in breast cancer is estimated to be approximately 2%. Multiple references listed in https://www.mycancergenome.org/content/ disease/breast-cancer/ERBB2/238/ report the frequency of HER2 overexpression as 20% in breast cancer. Based on the F1CDx HER2 CDx concordance study, approximately 10% of HER2 amplified samples had copy number 4. Thus, total frequency is conservatively estimated to be approximately 2%.

#### **REPORT HIGHLIGHTS**

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating

#### **VARIANT ALLELE FREQUENCY**

Variant Allele Frequency (VAF) represents the fraction of sequencing reads in which the variant is observed. This attribute is not taken into account for therapy inclusion, clinical trial matching, or interpretive content. Caution is recommended in interpreting VAF to indicate the potential germline or somatic origin of an alteration, recognizing that tumor fraction and tumor ploidy of samples may vary.

Precision of VAF for base substitutions and indels

BASE SUBSTITUTIONS	%CV*		
Repeatability	5.11 - 10.40		
Reproducibility	5.95 - 12.31		
INDELS	%CV*		
INDELS  Repeatability	%CV*		

<sup>\*</sup>Interquartile Range =  $1^{st}$  Quartile to  $3^{rd}$  Quartile

#### VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of followup germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >10%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

## VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are ASXL1, CBL, DNMT3A, IDH2, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, and U2AF1 and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear



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About FoundationOne®CDx

cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

#### **LEVEL OF EVIDENCE NOT PROVIDED**

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

#### **NO GUARANTEE OF CLINICAL BENEFIT**

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

#### **NO GUARANTEE OF REIMBURSEMENT**

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

# TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. FoundationOne CDx is performed using DNA derived from tumor, and as such germline events may not be reported.

#### **SELECT ABBREVIATIONS**

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
os	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
ткі	Tyrosine kinase inhibitor

MR Suite Version 5.2.0

The median exon coverage for this sample is 977x

**APPENDIX** 

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APPENDIX

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