ABOUT THE TEST FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA

FOUNDATIONONE®LIQUID CDx

PATIENT

DISEASE Rectum adenocarcinoma (CRC)

NAME Wen, Yi-Te

DATE OF BIRTH 07 June 1975

MEDICAL RECORD # 32438247

PHYSICIAN

ORDERING PHYSICIAN Yeh, Yi-Chen

MEDICAL FACILITY Taipei Veterans General Hospital

ADDITIONAL RECIPIENT None

MEDICAL FACILITY ID 205872

PATHOLOGIST Not Provided

SPECIMEN

SPECIMEN ID YTW 06/07/1975

SPECIMEN TYPE Blood

DATE OF COLLECTION 15 November 2021

SPECIMEN RECEIVED 18 November 2021

Biomarker Findings

Blood Tumor Mutational Burden - 9 Muts/Mb Microsatellite status - MSI-High Not Detected

Tumor Fraction - 67%

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

NRAS G12D

ARID1A W2050*

MYC rearrangement intron 1

TSC1 F285fs*34

APC E1309fs*4

DNMT3A R882S

SDHB R217C

TP53 V157fs*19

O Therapies with Clinical Benefit

2 Therapies with Resistance

25 Clinical Trials

BIOMARKER FINDINGS

Blood Tumor Mutational Burden - 9 Muts/Mb

Microsatellite status - MSI-High Not Detected

Tumor Fraction - 67%

THERAPY	AND (CLINICAL	TRIAL	IMPLICA	PATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is an estimate of the percentage of circulating-tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample based on observed aneuploid instability.

GENOMIC FIND	DINGS	VAF %
NRAS -	G12D	60.2%
10 Trials see p.	. 15	
ARID1A -	W2050*	68.7%
5 Trials see p. 13		
MYC -	rearrangement intron 1	3.2%
2 Trials see p. 1	14	

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
Cetuximab 🗴	None
Panitumumab S	
None	None
None	None

Extensive evidence showing variant(s) in this sample may confer resistance to this therapy



PATIENT Wen, Yi-Te TUMOR TYPE Rectum adenocarcinoma (CRC) COUNTRY CODE

REPORT DATE 29 Nov 2021 ORDERED TEST # ORD-1240485-01

GENOMIC FINDINGS	VAF %	THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
TSC1 - F285fs*34	67.6%	None	None
9 Trials see p. 17			

Extensive evidence showing variant(s) in this sample may confer resistance to this therapy

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

DNMT3A - R882S

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

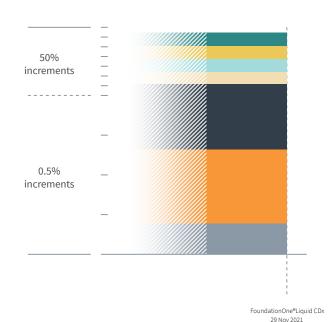
APC - E1309fs*4p. 8	<i>SDHB</i> - R217Cp. 9
DNMT3A - R882S p. 9	<i>TP53</i> - V157fs*19 p. 10

NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physician should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, ATM, BAP1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.



Variant Allele Frequency Percentage (VAF%)



HISTORIC PATIENT FINDINGS		ORD-1240485-01 VAF%	
Blood Tumor Mutational Burde	en	9 Muts/Mb	
Microsatellite sta	atus	MSI-High Not Detected	
Tumor Fraction		67%	
NRAS	• G12D	60.2%	
ARID1A	• W2050*	68.7%	
MYC	rearrangement intron 1	3.2%	
TSC1	• F285fs*34	67.6%	
APC	● E1309fs*4	66.7%	
DNMT3A	• R882S	0.39%	
SDHB	• R217C	0.93%	
TP53	V157fs*19	61.2%	

NOTE This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx, FoundationOne®Liquid, FoundationOne®, or FoundationOne®CDx tests. Up to five previous tests may be shown

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable.



Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of \geq 5%, and bTMB is calculated based on variants with an allele frequency of \geq 0.5%.

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

BIOMARKER FINDINGS

BIOMARKER

Blood Tumor Mutational Burden

RESULT 9 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

On the basis of clinical evidence in NSCLC and HSNCC, increased bTMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1¹⁻² and anti-PD-1³ therapies. In NSCLC, multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single agent or combination treatments with either CTLA4 inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 to

16 Muts/Mb¹. In HNSCC, a Phase 3 trial showed that bTMB ≥16 Muts/Mb (approximate equivalency ≥8 Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor⁴.

FREQUENCY & PROGNOSIS

In 1 study, the median plasma TMB for 163 patients with metastatic CRC was 16.3 muts/Mb (approximately 8 muts/Mb as measured by this assay)⁵. In a study for 61 patients with metastatic, microsatellite stable (MSS) CRC treated with best standard of care, plasma TMB scores ≥28 muts/Mb (approximately 14 muts/Mb as measured by this assay) were associated with reduced OS as compared with plasma TMB scores <28 muts/Mb (3.0 vs. 5.3 months, HR 0.76, p=0.007), whereas tissue TMB was not found to be prognostic in this population⁶.

FINDING SUMMARY

Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma⁷⁻⁸ and cigarette smoke in lung cancer9-10, treatment with temozolomide-based chemotherapy in glioma¹¹⁻¹², mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes¹³⁻¹⁷, and microsatellite instability (MSI)13,16-17. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents¹⁻³. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

Tumor Fraction

RESULT 67%

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

Specimens with high tumor fraction values have high circulating-tumor DNA (ctDNA) content, and thus higher sensitivity for identifying genomic alterations. Such specimens are at a lower risk of false negative results¹⁸. However, if tumor fraction is not detected as high, it does not exclude the presence of disease burden or compromise the confidence of reported alterations. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not

be overinterpreted or compared from one blood draw to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management¹⁹⁻²⁴.

FREQUENCY & PROGNOSIS

Detectible ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)²⁵. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer²⁶, Ewing sarcoma and osteosarcoma²⁷, prostate cancer²², breast cancer²⁸, leiomyosarcoma²⁹, esophageal cancer³⁰, colorectal

cancer31, and gastrointestinal cancer32.

FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 singlenucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content³³, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy $^{34-35}$.

GENOMIC FINDINGS

GENE

NRAS

ALTERATION G12D

TRANSCRIPT ID NM_002524

CODING SEQUENCE EFFECT

35G>A

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

On the basis of clinical evidence in hematologic malignancies³⁶⁻³⁹ and solid tumors^{36,40-41} as well as preclinical evidence⁴²⁻⁴⁶, NRAS activating alterations may predict sensitivity to MEK inhibitors, such as trametinib, cobimetinib, and binimetinib. Preclinical studies have suggested

that MEK inhibitors, either alone or in combination with other therapies, may exhibit at least some activity in NRAS-mutated CRC⁴⁷⁻⁴⁸. Although the presence of a KRAS mutation in CRC has been associated with lack of efficacy to monotherapy MEK inhibitors⁴⁹⁻⁵², the extent to which other alterations affecting this pathway, such as observed here, confers sensitivity to MEK inhibitors is unclear⁵³. Preclinical data in cancer cell lines indicates that NRAS mutation predicts sensitivity to the PI₃K-alpha-specific inhibitor alpelisib⁵⁴.

Potential Resistance —

Activating mutations in KRAS or NRAS are associated with lack of clinical benefit from cetuximab⁵⁵⁻⁵⁸ or panitumumab⁵⁹⁻⁶¹ for patients with CRC. Therefore, activating mutations in either gene indicate against the use of cetuximab and panitumumab (NCCN Colon Cancer

Guidelines, v.3.2021).

FREQUENCY & PROGNOSIS

NRAS mutation, commonly at codon 61, has been observed in 2-9% of colorectal cancers⁶²⁻⁶⁶. For patients with CRC, NRAS mutations have been reported to be associated with increased frequency of metastasis⁶⁷ and shorter survival⁶⁸⁻⁶⁹.

FINDING SUMMARY

NRAS encodes a member of the RAS family of small GTPases that mediate transduction of growth signals. Activation of RAS signaling causes cell growth, differentiation, and survival by activating the RAF-MAPK-ERK, PI₃K, and other pathways⁴⁵. NRAS alterations affecting amino acids G12, G13, G60, Q61, as well as mutations I24N, T50I, T58I, and A146T have been characterized as activating and oncogenic^{45,70-85}.

GENE

ARID1A

ALTERATION W2050*

TRANSCRIPT ID NM 006015

CODING SEQUENCE EFFECT 6150G>A

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies —

There are no therapies approved to address the mutation or loss of ARID1A in cancer. However, on the basis of limited clinical and preclinical evidence, ARID1A inactivating mutations may lead to sensitivity to ATR inhibitors such as M6620 and ceralasertib⁸⁶. In a Phase 2 study of ceralasertib in solid tumors, 2 patients with endometrial carcinoma in the cohort with loss of ARID1A expression achieved CRs on ceralasertib monotherapy; at least 1 of these 2 patients carried an inactivating ARID1A mutation. In contrast, no responses were observed for patients with normal ARID1A expression treated with ceralasertib combined with olaparib⁸⁷. One patient with small

cell lung cancer harboring an ARID1A mutation experienced a PR when treated with M6620 combined with topotecan88. In a Phase 1 trial, a patient with metastatic colorectal cancer harboring both an ARID1A mutation and ATM loss treated with single-agent M6620 achieved a CR that was ongoing at 29 months⁸⁹. On the basis of limited preclinical evidence from studies in ovarian cancer, ARID1A inactivation may predict sensitivity to EZH2 inhibitors90-91, which are under investigation in clinical trials. Other studies have reported that the loss of ARID1A may activate the PI₃K-AKT pathway and be linked with sensitivity to inhibitors of this pathway92-94. Patients with ARID1A alterations in advanced or metastatic solid tumors may derive benefit from treatment with anti-PD-1 or anti-PD-L1 immunotherapy⁹⁵. Loss of ARID₁A expression has been associated with chemoresistance to platinum-based therapy for patients with ovarian clear cell carcinoma96-97 and to 5-fluorouracil in colorectal cancer cell lines98.

FREQUENCY & PROGNOSIS

ARID1A alterations are particularly prevalent in ovarian clear cell carcinoma (46-50%), ovarian and uterine endometrioid carcinomas (24-44%), and cholangiocarcinoma (27%); they are also reported in up to 27% of gastric carcinoma, esophageal

adenocarcinoma, Waldenstrom macroglobulinemia, pediatric Burkitt lymphoma, hepatocellular carcinoma, colorectal carcinoma, and urothelial carcinoma samples analyzed (COSMIC, cBioPortal, 2021)⁹⁹⁻¹⁰⁷. ARID1A loss is associated with microsatellite instability in ovarian and endometrial endometrioid adenocarcinomas^{95,108-111}, CRC^{95,112-114}, and gastric cancer^{95,115-119}. ARID1A protein loss is reportedly more common in mismatch repair-deficient, BRAF V600E-mutated CRC tumors and is correlated with poor tumor staging and distant metastases, although data regarding an association between ARID1A protein loss and overall survival in CRC are mixed¹¹²⁻¹¹⁴.

FINDING SUMMARY

ARID1A encodes the AT-rich interactive domain-containing protein 1A, also known as Baf250a, a member of the SWI/SNF chromatin remodeling complex. Mutation, loss, or inactivation of ARID1A has been reported in many cancers, and the gene is considered a tumor suppressor^{103,118,120-126}. ARID1A mutations, which are mostly truncating, have been identified along the entire gene and often correlate with ARID1A protein loss^{103,116,121-122,127}, whereas ARID1A missense mutations are mostly uncharacterized.



GENOMIC FINDINGS

MYC

ALTERATION rearrangement intron 1

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

There are no available therapies that directly target MYC. However, preclinical data indicate that MYC overexpression may predict sensitivity to investigational agents targeting CDK1128-129, CDK2¹³⁰, Aurora kinase A¹³¹⁻¹³⁸, Aurora kinase B¹³⁹⁻¹⁴², glutaminase¹⁴³⁻¹⁴⁶, or BET bromodomaincontaining proteins¹⁴⁷⁻¹⁵⁰, as well as agents targeting both HDAC and PI₃K¹⁵¹⁻¹⁵³. A Phase 2 study reported a PFS benefit associated with a combination of the Aurora A kinase inhibitor alisertib and paclitaxel as second-line therapy for patients with MYC-overexpressed small cell lung cancer but not for patients without MYC overexpression¹⁵⁴. A patient with MYC-amplified invasive ductal breast carcinoma experienced a PR to an Aurora kinase inhibitor¹⁵⁵. The glutaminase inhibitor CB-839, in combination with either

everolimus or cabozantinib, has demonstrated encouraging efficacy in Phase 1 and 2 studies enrolling patients with pretreated advanced renal cell carcinoma¹⁵⁶⁻¹⁵⁷. As it is unclear if the rearrangement seen here results in expression of an oncogenic protein, it is not known whether these therapeutic approaches would be relevant.

- Nontargeted Approaches -

MYC amplification has also been suggested to predict response to chemotherapy in patients with breast cancer in some studies¹⁵⁸⁻¹⁵⁹. Preclinical evidence suggests that colon cancer cells with MYC amplification may be more sensitive to 5-fluorouracil and paclitaxel¹⁶⁰⁻¹⁶¹.

FREQUENCY & PROGNOSIS

Mutation and amplification of MYC have been observed in up to 2% and 5% of patients with colorectal cancer, respectively (cBioPortal, COSMIC, Sep 2021)⁹⁹⁻¹⁰¹. Overexpression of MYC in colorectal carcinoma has been reported to occur in the absence of MYC amplification¹⁶²⁻¹⁶⁴. MYC is a target gene of beta-catenin and may be upregulated by aberrant WNT signaling in colorectal cancer¹⁶⁵. MYC overexpression has been

reported in 62-91% of colorectal carcinomas studied ^{162,164,166-167}. MYC protein overexpression was reported to be a favorable prognostic biomarker in patients with colorectal cancer, and patients with low-level MYC amplification have been found to have significantly longer survival ¹⁶⁷⁻¹⁶⁸. However, MYC amplification and high expression have been associated with metastatic and aggressive colorectal tumors ^{164,169}.

FINDING SUMMARY

MYC (c-MYC) encodes a transcription factor that regulates many genes related to cell cycle regulation and cell growth. It is an oncogene and may be activated in as many as 20% of cancers¹⁷⁰. MYC dysregulation (amplification, overexpression, translocation) has been identified in a number of different cancer types¹⁷¹. Rearrangements such as observed here which separate the MYC proteincoding sequences (exons 2-3) from endogenous regulatory elements have been reported in the context of cancer and have been suggested to result in increased MYC expression¹⁷². However, the particular rearrangement observed here has not been directly characterized, and its effect on MYC expression is unknown.

GENOMIC FINDINGS

GENE

TSC1

ALTERATION F285fs*34

TRANSCRIPT ID

CODING SEQUENCE EFFECT

852_853insGC

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

Loss or inactivation of TSC1 can activate mTOR signaling¹⁷³⁻¹⁷⁴; however, response rates for patients with TSC1-mutated solid tumors treated with MTOR inhibitors such as everolimus and temsirolimus have been low¹⁷⁵⁻¹⁷⁷. In the prospective NCI-MATCH study, the ORR for patients with various TSC1-mutated solid tumors treated with everolimus was 7.7% (1/13); the single response was reported for a patient with urothelial cancer¹⁷⁵. In TSC1-mutated renal cell carcinoma (RCC), although responses to MTOR inhibitors have been described in multiple case series and reports¹⁷⁸⁻¹⁸², retrospective analysis of a

broader cohort showed no responses in TSC1-mutated RCC $(o/7)^{176}$. Retrospective analyses of the RECORD-3, GRANITE-1, and EVOLVE-1 studies of everolimus to treat patients with RCC, gastric cancer, or hepatocellular carcinoma, respectively, showed no significant association between alterations in MTOR, TSC1, or TSC2 and median PFS¹⁷⁷. PRs have been reported for patients with TSC1-altered perivascular epithelioid cell tumors¹⁸³⁻¹⁸⁴ and epithelial ovarian carcinoma¹⁸⁵ treated with nabsirolimus

- Potential Resistance -

Multiple clinical studies report that inhibitors of the PI₃K-AKT-mTOR pathway have not produced significant clinical benefit as monotherapies to treat CRC, even for tumors that harbor alterations in PIK₃CA or PTEN; data are more limited for alterations in other genes in this pathway¹⁸⁶⁻¹⁸⁸.

FREQUENCY & PROGNOSIS

TSC1 mutation has been reported in 1-4% of colorectal adenocarcinoma samples 16,189-191. One study reported down-regulation of TSC1 expression in both colorectal tumors and adenomatous polyp lesions compared to normal

mucosa¹⁹². TSC₁ SNPs have been associated with increased risk of rectal cancer¹⁹³ and worse disease-free and overall survival for patients with colorectal cancer¹⁹⁴.

FINDING SUMMARY

TSC1 encodes the protein Hamartin, which interacts with Tuberin, the gene product of TSC2, to inhibit and regulate mTOR activity^{173,195}. Alterations such as seen here may disrupt TSC1 function or expression¹⁹⁶⁻¹⁹⁸.

POTENTIAL GERMLINE IMPLICATIONS

Inactivating germline mutations in TSC1 are associated with the autosomal dominant disorder tuberous sclerosis complex, which results in the development of hamartomas in multiple organ systems and an increased risk of developing renal cell carcinoma¹⁹⁹⁻²⁰⁰. TSC1 mutations account for approximately 10 to 30% of reported sporadic cases²⁰¹. Prevalence for this disorder in the general population is estimated to be 1/6,000 from birth and 1/12,000 to 1/14,000 in children under 10 years of age²⁰². In the appropriate clinical context, germline testing of TSC1 is recommended.

GENE

APC

ALTERATION E1309fs*4

TRANSCRIPT ID

CODING SEQUENCE EFFECT 3927_3931delAAAGA

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

There are no approved drugs targeted to APC defects or WNT upregulation in solid tumors. Preclinical studies have reported that APC inactivation or beta-catenin activation confer synthetic lethality when TRAIL receptors are upregulated and the TRAIL death receptor program is activated²⁰³. In addition, the COX-2 inhibitor celecoxib was shown to reduce WNT

signaling in cancer cell lines²⁰⁴⁻²⁰⁵. A preclinical study has found that a small-molecule tankyrase inhibitor shows some activity in APC-mutant CRC models²⁰⁶.

FREQUENCY & PROGNOSIS

APC alterations have been found in 77% of tumors in the Colorectal Adenocarcinoma TCGA dataset ¹⁶. Inactivation of APC leads to activation of the Wnt/beta-catenin pathway, which is thought to play a role in the adenoma-carcinoma transition in some cancers, including colorectal cancer (CRC)²⁰⁷. The prognostic significance of APC mutations in sporadic CRC remains unclear²⁰⁸. Solid tumors with WNT/beta-catenin pathway alterations, as seen here, were observed to have significantly less T-cell inflammation in one study²⁰⁹.

FINDING SUMMARY

APC (adenomatous polyposis coli) encodes a tumor suppressor with critical roles in regulating cell division and adhesion. APC interacts with

beta-catenin and controls signaling in the WNT pathway, which regulates embryonic development and cell differentiation²¹⁰. Alterations such as seen here may disrupt APC function or expression²¹¹⁻²¹⁵.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the APC variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with familial adenomatous polyposis (ClinVar, Sep 2021)²¹⁶. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in APC are found in more than 90% of patients with familial adenomatous polyposis (FAP)²¹⁷⁻²¹⁹. The prevalence for FAP in the general population is estimated to be 1:8,300 from birth²²⁰, and in the appropriate clinical context germline testing of APC is recommended.

GENOMIC FINDINGS

GENE

DNMT3A

ALTERATION R882S

TRANSCRIPT ID NM_022552

CODING SEQUENCE EFFECT 2644C>A

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

There are no targeted therapies available to address genomic alterations in DNMT₃A in solid tumors.

FREQUENCY & PROGNOSIS

DNMT₃A alterations have been reported at relatively low frequencies in solid tumors and are more prevalent in hematological malignancies (cBioPortal, Feb 2021)¹⁰⁰⁻¹⁰¹. Published data investigating the prognostic implications of DNMT₃A alterations in solid tumors are limited

(PubMed, Feb 2021).

FINDING SUMMARY

The DNMT3A gene encodes the protein DNA methyltransferase 3A, an enzyme that is involved in the methylation of newly synthesized DNA, a function critical for gene regulation²²¹⁻²²². The role of DNMT3A in cancer is uncertain, as some reports describe increased expression and contribution to tumor growth, whereas others propose a role for DNMT3A as a tumor suppressor²²³⁻²²⁸. Mutations at codon 882, including R882S, R882H, and R882C, have demonstrated reduced methyltransferase activity in vitro, with R882H and R882C conferring increased cell proliferation²²⁹⁻²³¹. About half of all DNMT3A mutations in AML are R882H, which leads to a partially defective enzyme and altered oligomerization behavior, although the effect on global methylation remains to some extent controversial; in addition, at least one report suggests that mutation of R882 is associated with sensitivity to DNA methyltransferase inhibitors²²⁹⁻²³². On the basis of this, any alteration at R882 is likely to promote tumorigenesis, although the efficacy of DNMT inhibitors may not be consistent for all mutations.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion²³³⁻²³⁸. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy²³³⁻²³⁴. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²³⁹. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{237,240-241}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

GENE

SDHB

ALTERATION R217C

TRANSCRIPT ID

NM_003000

CODING SEQUENCE EFFECT

649C>T

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

There are no therapies available to directly target the loss or inactivation of SDH genes. Preclinical studies have shown that succinate, which can accumulate as a result of SDH inactivation, promotes angiogenesis via VEGF upregulation²⁴²⁻²⁴³. Case studies have reported objective responses in patients with renal cell carcinoma harboring either SDHA or SDHC alterations treated with multikinase inhibitors that target VEGFR, including sunitinib and

pazopanib²⁴⁴⁻²⁴⁵; however, these clinical data are limited. In a Phase 2 trial of vandetanib for children and adults with gastrointestinal stromal tumors (GISTs) with decreased SDH expression that were wild-type for KIT and PDGFRA, no partial or complete responses were observed and 2/9 patients experienced prolonged stable disease²⁴⁶.

FREQUENCY & PROGNOSIS

Somatic mutations in SDHB are rare and have been observed in fewer than 0.6% of tumors across all cancer types (COSMIC, 2021)⁹⁹. SDH deficiency has been associated with an aggressive subset of renal cell carcinoma with distinctive clinical and morphological features, affecting mostly younger patients²⁴⁷⁻²⁵⁰.

FINDING SUMMARY

SDHB encodes the succinate dehydrogenase complex, subunit B, flavoprotein. This protein is involved in the mitochondrial respiratory chain. SDH deficiency due to germline inactivating mutations in SDH genes is associated with

paraganglioma-pheochromocytoma syndrome (PGL/PCC), Leigh syndrome, and gastrointestinal stromal tumors (GIST), which typically do not harbor mutations in KIT or PDGFRA and are not sensitive to treatment with imatinib²⁵¹⁻²⁵⁴.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the SDHB variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with hereditary paragangliomapheochromocytoma syndrome (ClinVar, Sep 2021)²¹⁶. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. In the context of hereditary paraganglioma-pheochromocytoma, SDHB mutations are autosomal dominant²⁵⁵ and associated with an increased risk of developing malignant and metastatic disease²⁵⁶⁻²⁵⁷. SDHB has an increased malignancy risk compared with that of SDHC or SDHD mutation²⁵⁸. In the appropriate clinical context, germline testing of SDHB is recommended.

GENOMIC FINDINGS

GENE

TP53

ALTERATION V157fs*19

TRANSCRIPT ID

CODING SEQUENCE EFFECT
469 482delGTCCGCGCCATGGC

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib259-262, or p53 gene therapy and immunotherapeutics such as SGT-53²⁶³⁻²⁶⁷ and ALT-801²⁶⁸. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% (17/ 176) and SDs in 53.4% (94/176) of patients with solid tumors; the response rate was 21.1% (4/19) for patients with TP53 mutations versus 12.1% (4/ 33) for patients who were TP53 wild-type269. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 31.9% (30/ 94, 3 CR) ORR and a 73.4% (69/94) DCR for patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer²⁷⁰. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 42.9% (9/21, 1 CR) ORR and a 76.2% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer²⁷¹. The combination of adavosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone²⁷². In the Phase 2 VIKTORY

trial, patients with TP53-mutated metastatic and/ or recurrent gastric cancer experienced a 24.0% (6/25) ORR with adayosertib combined with paclitaxel²⁷³. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71.4% (5/7) response rate for patients with TP53 alterations²⁷⁴. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75.0% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage²⁶⁷. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53-mutated, but not TP53-wildtype, breast cancer xenotransplant mouse model²⁷⁵. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies²⁷⁶⁻²⁷⁷; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies²⁷⁸⁻²⁷⁹. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

FREQUENCY & PROGNOSIS

TP53 mutations have been reported in up to 60% of colorectal cancer cases^{16,280-285}. A study reported p53 expression in 49% of analyzed colorectal cancer cases²⁸⁶. TP53 mutation has not been consistently demonstrated to be a significant independent prognostic marker in the context of CRC²⁸⁷.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in

aggressive advanced cancers 288 . Alterations such as seen here may disrupt TP_{53} function or expression $^{289-293}$.

POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers²⁹⁴⁻²⁹⁶, including sarcomas²⁹⁷⁻²⁹⁸. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000²⁹⁹ to 1:20,000²⁹⁸. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30³⁰⁰. In the appropriate clinical context, germline testing of TP53 is recommended.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion²³³⁻²³⁸. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy²³³⁻²³⁴. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²³⁹. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH^{237,240-241}. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

THERAPIES ASSOCIATED WITH RESISTANCE

IN PATIENT'S TUMOR TYPE

Cetuximab



Resistance of variant(s) to associated therapy is likely

Assay findings association

NRAS G12D

AREAS OF THERAPEUTIC USE

Cetuximab is a monoclonal antibody that targets EGFR. It is FDA approved for the treatment of head and neck squamous cell carcinoma (HNSCC) and KRAS-wild-type, EGFR-expressing metastatic colorectal cancer (CRC). Please see the drug label for full prescribing information.

GENE ASSOCIATION

Therapies targeting EGFR, including cetuximab, have been shown to have significant clinical activity for patients with CRC^{55-58,301-302}; wild-type KRAS and NRAS are predictive biomarkers for the efficacy of cetuximab in metastatic CRC (NCCN Colon Cancer Guidelines v2.2021). Activating mutations in either KRAS⁵⁵⁻⁵⁸ or NRAS^{65,285}, which function downstream of EGFR, are associated with lack of benefit of cetuximab for patients with CRC and indicate against the use of cetuximab (NCCN Colon Cancer Guidelines v3.2021).

SUPPORTING DATA

Cetuximab has been shown to improve OS, PFS, and response rate for patients with KRAS-wild-type CRC, both as first-line combination therapy with FOLFIRI or

FOLFOX4^{55-56,302} and as monotherapy or combination therapy with irinotecan for chemotherapy-refractory patients^{57-58,301}. A prospective study of first-line cetuximab for patients with KRAS/NRAS/BRAF mutation-negative metastatic CRC resulted in limited efficacy, with 10.5% (2/19) of participants experiencing PRs and 57.9% (11/19) experiencing SDs303. The Phase 2 AVETUX trial of cetuximab combined with avelumab and mFOLFOX6 for patients with RAS- and BRAF-wild-type metastatic CRC resulted in an ORR of 79.5% (6 CR and 25 PRs, n=39) and a DCR of $92.3\%^{304}$. In the Phase 3 ASPECCT study, panitumumab was found to be noninferior to cetuximab with respect to median OS (10.4 vs. 10.0 months, HR=0.97) for patients with previously treated KRAS exon 2 wildtype metastatic colorectal cancer; median PFS was also similar between the two treatment groups (4.4 vs. 4.1 months, HR=1.00)305. In a similar patient population, a Phase 2 study of combination panitumumab and irinotecan versus combination cetuximab and irinotecan also demonstrated non-inferiority with respect to median PFS (5.4 vs. 4.3 months, HR = 0.64) and median OS (14.9 vs. 11.5 months, $HR = 0.66)^{306}$.

Panitumumab



Resistance of variant(s) to associated therapy is likely

Assay findings association

NRAS G12D

AREAS OF THERAPEUTIC USE

Panitumumab is a monoclonal antibody that targets EGFR. It is FDA approved to treat KRAS wild-type and NRAS wild-type metastatic colorectal cancer (CRC) combined with chemotherapy or as monotherapy for patients who have progressed on prior chemotherapy. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Therapies targeting EGFR, including panitumumab, have been shown to have significant clinical activity for patients with CRC^{59,305,307}; wild-type KRAS and NRAS are predictive biomarkers for the efficacy of panitumumab in metastatic CRC (NCCN Colon Cancer Guidelines v2.2021). Activating mutations in either KRAS⁵⁹⁻⁶¹ or NRAS^{60,283}, which function downstream of EGFR, are associated with lack of benefit of panitumumab for patients with CRC and indicate against the use of panitumumab (NCCN Colon Cancer Guidelines, v3.2021).

SUPPORTING DATA

Panitumumab has been shown to improve OS, PFS, and

ORR for patients with KRAS wild-type CRC, both as first-line combination therapy with $FOLFOX_4^{59}$ and as monotherapy for chemotherapy-refractory patients^{305,307}. An open-label, randomized Phase 2 trial reported that for patients with unresectable RAS-wild-type colorectal adenocarcinoma treated with first-line panitumumab plus FOLFOX4, maintenance with a combination of panitumumab plus fluorouracil and leucovorin was superior to panitumumab monotherapy (10-month PFS 59% vs. 49%)308. In the Phase 3 ASPECCT study, panitumumab was found to be non-inferior to cetuximab with respect to median OS (10.4 vs. 10.0 months, HR=0.97) for patients with previously treated KRAS exon 2 wildtype metastatic colorectal cancer; median PFS was also similar between the two treatment groups (4.4 vs. 4.1 months, HR=1.00)305. In a similar patient population, a Phase 2 study of combination panitumumab and irinotecan versus combination cetuximab and irinotecan also demonstrated non-inferiority with respect to median PFS (5.4 vs. 4.3 months, HR = 0.64) and median OS (14.9 vs. 11.5 months, $HR=0.66)^{306}$.

NOTE Genomic alterations detected may be associated with activity of certain US FDA or other specific country approved therapies; however, the therapies listed in this report may have varied evidence in the patient's tumor type. The listed therapies are not ranked in order of potential or predicted efficacy for this patient or in order of level of evidence for this patient's tumor type. The therapies listed in this report may not be complete and/or exhaustive. Furthermore, the listed therapies are limited to US FDA approved pharmaceutical drug products that are linked to a specific genomic alteration. There may also be US FDA approved pharmaceutical drug products that are not linked to a genomic alteration. Further there may also exist pharmaceutical drug products that are not



THERAPIES ASSOCIATED WITH RESISTANCE

IN PATIENT'S TUMOR TYPE

approved by the US FDA or other national authorities. There may also be other treatment modalities available than pharmaceutical drug products.



CLINICAL TRIALS

IMPORTANT Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial \Rightarrow Geographical proximity \Rightarrow Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. However, clinicaltrials.gov does not list all clinical trials that might be available.

ARID1A

RATIONALE ARID1A loss or inactivation may predict

sensitivity to ATR inhibitors.

ALTERATION W2050*

NCT02264678

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents
ATR, PARP, PD-L1

LOCATIONS: Seongnam-si (Korea, Republic of), Seoul (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), London (United Kingdom), Sutton (United Kingdom), Villejuif (France), Saint Herblain (France), California

NCTO2630199

Study of AZD6738, DNA Damage Repair/Novel Anti-cancer Agent, in Combination With Paclitaxel, in Refractory Cancer

TARGETS
ATR

LOCATIONS: Seoul (Korea, Republic of)

NCTO3641547

M6620 Plus Standard Treatment in Oesophageal and Other Cancer

TARGETS
ATR

LOCATIONS: Glasgow (United Kingdom), Manchester (United Kingdom), Oxford (United Kingdom), Cardiff (United Kingdom)

NCT03669601 PHASE 1

AZD6738 & Gemcitabine as Combination Therapy TARGETS
ATR

LOCATIONS: Cambridge (United Kingdom)

ATR Kinase Inhibitor VX-970 and Irinotecan Hydrochloride in Treating Patients With Solid Tumors That Are Metastatic or Cannot Be Removed by Surgery

LOCATIONS: California, Missouri, Pennsylvania, Massachusetts, Connecticut, Tennessee, Florida



CLINICAL TRIALS

MYC

ALTERATION rearrangement intron 1

RATIONALE

MYC overexpression may predict sensitivity to inhibition of CDKs, especially CDK1 and CDK2, of Aurora kinases, including Aurora kinase A and B, and of BET domain proteins, which are reported to downregulate MYC expression and MYC-

dependent transcriptional programs. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

NCT03220347	PHASE 1
A Study to Assess the Safety, Tolerability, Pharmacokinetics and Preliminary Efficacy of CC-90010 in Subjects With Advanced Solid Tumors and Relapsed/Refractory Non-Hodgkin's Lymphomas	TARGETS BRD2, BRD3, BRD4, BRDT

LOCATIONS: Kashiwa (Japan), Meldola (Italy), Napoli, Campania (Italy), Rozzano (MI) (Italy), Villejuif (France), Bordeaux (France), Barcelona (Spain), Madrid (Spain)

NCT01434316	PHASE 1
Veliparib and Dinaciclib in Treating Patients With Advanced Solid Tumors	TARGETS PARP, CDK1, CDK2, CDK5, CDK9
LOCATIONS: Massachusetts	



CLINICAL TRIALS

GENE	
NR.	AS

ALTERATION G12D

RATIONALE

LOCATIONS: Seoul (Korea, Republic of), Oregon, California, Colorado, Arizona, Wisconsin, Illinois

Activation of RAS signaling causes cell growth, differentiation, and survival by activating the RAF-MAPK-ERK, PI₃K, and other pathways.

NRAS activating mutations or amplification may therefore sensitize tumors to inhibitors of these downstream pathways.

PHASE 2
TARGETS mTOR, FGFRs, KIT, PDGFRA, RET, VEGFRs, MEK
PHASE 1/2
TARGETS SHP2, MEK

NCT03284502	PHASE 1
Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors	TARGETS MEK, RAFs

LOCATIONS: Hwasun (Korea, Republic of), Pusan (Korea, Republic of), Seongnam (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of)

NCT04303403	PHASE 1
Study of Trametinib and Ruxolitinib in Colorectal Cancer and Pancreatic Adenocarcinoma	TARGETS JAK2, JAK1, MEK
LOCATIONS: Singapore (Singapore)	

NCT03772561	PHASE 1
Phase I Study of AZD5363 + Olaparib + Durvalumab in Patients With Advanced or Metastatic Solid Tumor Malignancies	TARGETS PARP, AKTs, PD-L1
LOCATIONS: Singapore (Singapore)	

NCT04801966	PHASE NULL
Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study	TARGETS CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF
LOCATIONS: Melbourne (Australia)	



CLINICAL TRIALS

NCT03905148	PHASE 1/2		
Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors	TARGETS RAFs, EGFR, MEK		
LOCATIONS: Nedlands (Australia), Blacktown (Australia), Randwick (Australia), Melbourne (Australia), Texas			
NCT03377361	PHASE 1/2		
An Investigational Immuno-therapy Study Of Nivolumab In Combination With Trametinib With Or Without Ipilimumab In Patients With Previously Treated Cancer of the Colon or Rectum That Has Spread	TARGETS PD-1, MEK, CTLA-4, BRAF, KIT, RET, VEGFRS		
LOCATIONS: Brussels (Belgium)			
NCT02079740	PHASE 1/2		
Trametinib and Navitoclax in Treating Patients With Advanced or Metastatic Solid Tumors	TARGETS BCL-W, BCL-XL, BCL2, MEK		
LOCATIONS: Massachusetts			
NCT02407509	PHASE 1		
Phase I Trial of RO5126766	TARGETS RAFs, MEK, mTOR		
LOCATIONS: London (United Kingdom), Sutton (United Kingdom)			



CLINICAL TRIALS

GΕ	NI	Ε	
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ALTERATION F285fs*34

LOCATIONS: Kansas

RATIONALE

Inactivating TSC1 alterations may lead to increased mTOR activation and predict sensitivity to mTOR inhibitors. Several clinical studies have shown that inhibitors of the PI₃K-AKT-mTOR

pathway have not produced significant clinical benefit when used as a monotherapy in patients with colorectal cancer; combination therapies may be required to overcome this lack of response.

NCT04337463	PHASE NULL
ATG-008 Combined With Toripalimab in Advanced Solid Tumors	TARGETS mTORC1, mTORC2, PD-1
LOCATIONS: Chongqing (China), Chengdu (China)	
NCT04803318	PHASE 2

NC104603316	PHASE 2	
Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors	TARGETS mTOR, FGFRs, KIT, PDGFRA, RET, VEGFRs, MEK	
LOCATIONS: Guangzhou (China)		

NCT03439462	PHASE 1/2
ABI-009 (Nab-rapamycin) in Combination With FOLFOX and Bevacizumab as First-line Therapy in Patients With Advanced or Metastatic Colorectal Cancer	TARGETS mTOR, VEGFA
LOCATIONS: Washington, Nevada, Arizona, Texas, New Jersey, Louisiana	

NCT03217669	PHASE 1
Epacadostat (INCB24360) in Combination With Sirolimus in Advanced Malignancy	TARGETS IDO1, mTOR

NCT03065062	PHASE 1
Study of the CDK4/6 Inhibitor Palbociclib (PD-0332991) in Combination With the PI3K/mTOR Inhibitor Gedatolisib (PF-05212384) for Patients With Advanced Squamous Cell Lung, Pancreatic, Head & Neck and Other Solid Tumors	TARGETS PI3K-alpha, PI3K-gamma, mTORC1, mTORC2, CDK4, CDK6
LOCATIONS: Massachusetts	

NCT01582191	PHASE 1
A Phase 1 Trial of Vandetanib (a Multi-kinase Inhibitor of EGFR, VEGFR and RET Inhibitor) in Combination With Everolimus (an mTOR Inhibitor) in Advanced Cancer	TARGETS mTOR, EGFR, RET, SRC, VEGFRs
LOCATIONS: Texas	



CLINICAL TRIALS

NCT02159989	PHASE 1	
Sapanisertib and Ziv-Aflibercept in Treating Patients With Recurrent Solid Tumors That Are Metastatic or Cannot Be Removed by Surgery	TARGETS PIGF, VEGFA, VEGFB, mTORC1, mTORC2	
LOCATIONS: Texas		
NCT02321501	PHASE 1	
Phase I/Ib Dose Escalation & Biomarker Study of Ceritinib (LDK378) + Everolimus for Locally Advanced or Metastatic Solid Tumors With an Expansion in Non-Small Cell Lung Cancer (NSCLC) Characterized by Abnormalities in Anaplastic Lymphoma Kinase (ALK) Expression	TARGETS ROS1, ALK, mTOR	
LOCATIONS: Texas		
NCT03017833	PHASE 1	
Sapanisertib and Metformin in Treating Patients With Advanced or Metastatic Relapsed or Refractory Cancers	TARGETS mTORC1, mTORC2	
LOCATIONS: Texas		



APPENDIX

Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

ALK	ARAF	ATR	BRCA2
R84S	S1861	V2602L	R3370_T3371del
CRKL	FGFR2	GNAS	INPP4B
N117K	1623S	E132V	G506R
IRS2	JAK1	KLHL6	MLL2
A512T	C988S	F4441	R3626W
MSH6	NTRK1 splice site 287_287+20del21	NTRK3	PDGFRA
K1358fs*2		K583N	A503D
PDGFRB	PIK3CB	RB1	TSC2 A678T and R1122S
R604H	R1065Q	K810N	

APPENDIX

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

ABL1 Exons 4-9	ACVR1B	AKT1 Exon 3	AKT2	AKT3	ALK Exons 20-29, Introns 18, 19	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF Exons 4, 5, 7, 11, 13, 15	ARFRP1 5,	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BCR* Introns 8, 13, 14	BRAF Exons 11-18, Introns 7-	BRCA1 10 Introns 2, 7, 8, 12, 16, 19, 2	BRCA2 0 Intron 2	BRD4	BRIP1	BTG1
BTG2	BTK Exons 2, 15	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL
CCND1	CCND2	CCND3	CCNE1	CD22	CD70	CD74* Introns 6-8	CD79A	CD79B
CD274 (PD-L1)	CDC73	CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B
CDKN2A	CDKN2B	CDKN2C	СЕВРА	CHEK1	СНЕК2	CIC	CREBBP	CRKL
CSF1R	CSF3R	CTCF	CTNNA1	CTNNB1 Exon 3	CUL3	CUL4A	CXCR4	CYP17A1
DAXX	DDR1	DDR2 Exons 5, 17, 18	DIS3	DNMT3A	DOT1L	EED	EGFR Introns 7, 15, 24-27	EP300
ЕРНАЗ	ЕРНВ1	ЕРНВ4	ERBB2	ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	ERBB4	ERCC4	ERG	ERRFI1
ESR1 Exons 4-8	ETV4* Intron 8	ETV5* Introns 6, 7	ETV6* Introns 5, 6	EWSR1* Introns 7-13	EZH2 Exons 4, 16, 17, 18	EZR* Introns 9-11	FAM46C	FANCA
FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12	FGF14	FGF19
FGF23	FGF3	FGF4	FGF6	FGFR1 Introns 1, 5, Intron 17	FGFR2 Intron 1, Intron 17	FGFR3 Exons 7, 9 (alternative designation exon 10),		FH
FLCN	FLT1	FLT3 Exons 14, 15, 20	FOXL2	FUBP1	GABRA6	14, 18, Intron 17 GATA3	GATA4	GATA6
GNA11 Exons 4, 5	GNA13	GNAQ Exons 4, 5	GNAS Exons 1, 8	GRM3	GSK3B	НЗГЗА	HDAC1	HGF
HNF1A	HRAS Exons 2, 3	HSD3B1	ID3	IDH1 Exon 4	IDH2 Exon 4	IGF1R	IKBKE	IKZF1
INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2 Exon 14	JAK3 Exons 5, 11, 12, 13, 15, 16	JUN	KDM5A
KDM5C	KDM6A	KDR	KEAP1	KEL	KIT Exons 8, 9, 11, 12, 13, 1 Intron 16	KLHL6 7,	KMT2A (MLL) Introns 6, 8-11, Intron 7	KMT2D (MLL2)

APPENDIX

Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

KRAS	LTK	LYN	MAF	MAP2K1 (MEK1) Exons 2, 3	MAP2K2 (MEK2) Exons 2-4, 6,	MAP2K4 7	МАРЗК1	МАРЗК13
МАРК1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1	MERTK	MET
MITF	MKNK1	MLH1	MPL Exon 10	MRE11A	MSH2 Intron 5	MSH3	MSH6	MST1R
МТАР	MTOR Exons 19, 30, 39, 40, 43-45, 47, 48, 53, 56	MUTYH	MYB* Intron 14	MYC Intron 1	MYCL (MYCL1)	MYCN	MYD88 Exon 4	NBN
NF1	NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2 Intron 26	NOTCH3	NPM1 Exons 4-6, 8, 10
NRAS Exons 2, 3	NSD3 (WHSC1L1)	NT5C2	NTRK1 Exons 14, 15, Introns 8-11	NTRK2 Intron 12	NTRK3 Exons 16, 17	NUTM1* Intron 1	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA Exons 12, 18, Introns 7, 9, 11
PDGFRB Exons 12-21, 23	PDK1	PIK3C2B	PIK3C2G	PIK3CA Exons 2, 3, 5-8, 10, 14, 19, 21 (Coding Exons 1		PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	2, 4-7, 9, 13, 18, 20) PPP2R2A	PRDM1	PRKAR1A	PRKCI	РТСН1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1 Exons 3, 4, 6, 7, 10, 14, 15, 17, Introns 4-8	RARA Intron 2	RB1	RBM10	REL	RET Introns 7, 8, Exons 11, 13-16, Introns 9-11
RICTOR	RNF43	ROS1 Exons 31, 36-38, 40, Introns 31-35	RPTOR	RSPO2* Intron 1	SDC4* Intron 2	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SLC34A2* Intron 4	SMAD2	SMAD4	SMARCA4	SMARCB1
SMO	SNCAIP	SOCS1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2
STAT3	STK11	SUFU	SYK	TBX3	TEK	TERC*	TERT* Promoter	TET2
TGFBR2	TIPARP	TMPRSS2* Introns 1-3	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2	TYRO3
U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1	XRCC2	ZNF217	ZNF703

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Microsatellite (MS) status

Blood Tumor Mutational Burden (bTMB)

Tumor Fraction

APPENDIX

About FoundationOne®Liquid CDx

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.





ABOUT FOUNDATIONONE LIQUID CDX

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform highcomplexity clinical testing.

Please refer to technical information for performance specification details.

INTENDED USE

FoundationOne Liquid CDx is a next generation sequencing based in vitro diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

TEST PRINCIPLES

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

RANKING OF THERAPIES AND CLINICAL TRIALS

Ranking of Therapies in Summary Table Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials Pediatric trial qualification → Geographical proximity → Later trial phase.

LIMITATIONS

- 1. For in vitro diagnostic use.
- 2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
- 3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
- 4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
- **5.** The test is not intended to provide information on cancer predisposition.
- 6. Performance has not been validated for cfDNA input below the specified minimum input.
- 7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of ≥5%, and bTMB is calculated based on variants with an allele frequency of ≥0.5%.
- 8. Tumor fraction is the percentage of circulatingtumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from observed aneuploid instability in the sample.
- 9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
- 10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited to: ASXL1, ATM, CBL, CHEK2, DNMT3A, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, *TP*53, and *U*2*AF*1.
- 11. Alterations reported may include somatic (not

APPENDIX

About FoundationOne®Liquid CDx

inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.

 The test is not intended to replace germline testing or to provide information about cancer predisposition.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of followup germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are ASXL1, ATM, CBL, CHEK2, DNMT3A, IDH2, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, and U2AF1 and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patientmatched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical

context.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in

conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >4obp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
os	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
ткі	Tyrosine kinase inhibitor

MR Suite Version 5.1.1

APPENDIX

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TUMOR TYPE
Rectum adenocarcinoma (CRC)

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APPENDIX

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