

**ABOUT THE TEST** FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

<b>PATIENT</b>	<b>DISEASE</b> Lung adenocarcinoma	<b>PHYSICIAN</b>	<b>ORDERING PHYSICIAN</b> Yeh, Yi-Chen	<b>SPECIMEN</b>	<b>SPECIMEN ID</b> C.K.W. 1/10/1971
	<b>NAME</b> Wang, Chang-Kuei		<b>MEDICAL FACILITY</b> Taipei Veterans General Hospital		<b>SPECIMEN TYPE</b> Blood
	<b>DATE OF BIRTH</b> 10 January 1971		<b>ADDITIONAL RECIPIENT</b> None		<b>DATE OF COLLECTION</b> 23 December 2021
	<b>SEX</b> Male		<b>MEDICAL FACILITY ID</b> 205872		<b>SPECIMEN RECEIVED</b> 27 December 2021
	<b>MEDICAL RECORD #</b> 47919584		<b>PATHOLOGIST</b> Not Provided		

## Biomarker Findings

**Blood Tumor Mutational Burden** - 0 Muts/Mb

**Microsatellite status** - MSI-High Not Detected

**Tumor Fraction** - Elevated Tumor Fraction Not Detected

## Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

**KRAS** G12C

**KEAP1** L373fs\*27

**STK11** G242fs\*21

**MLL2** Q3910\_Q3911del

**MUTYH** R19\*

**SMAD4** Q366\*

**TP53** V73fs\*76

† See About the Test in appendix for details.

## Report Highlights

- Targeted therapies with NCCN categories of evidence in this tumor type: Sotorasib (p. 13)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 14)
- Variants with prognostic implications for this tumor type that may impact treatment decisions: KRAS G12C (p. 6)
- Variants in select cancer susceptibility genes to consider for possible follow-up germline testing in the appropriate clinical context: MUTYH R19\* (p. 10)
- Variants that may represent clonal hematopoiesis and may originate from non-tumor sources: MLL2 Q3910\_Q3911del (p. 10)

## BIOMARKER FINDINGS

**Blood Tumor Mutational Burden** - 0 Muts/Mb

**Microsatellite status** - MSI-High Not Detected

**Tumor Fraction** - Elevated Tumor Fraction Not Detected

## THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected. The fact that elevated tumor fraction was not detected in this specimen indicates the possibility of lower levels of ctDNA but does not compromise confidence in any reported alterations. However, in the setting of a negative liquid biopsy result, orthogonal testing of a tissue specimen should be considered if clinically indicated (see Biomarker Findings section).

## GENOMIC FINDINGS

## VAF %

**KRAS** - G12C 1.7%

10 Trials see p. 15

**KEAP1** - L373fs\*27 1.3%

3 Trials see p. 14

## THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)

Sotorasib

2A

None

## THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)

None

None

☐ NCCN category

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GENOMIC FINDINGS	VAF %	THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
<b>STK11 -</b> G242fs*21	1.5%	None	None
8 Trials see p. 17			

☐ NCCN category

**VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING IN SELECT CANCER SUSCEPTIBILITY GENES**

Findings below have been previously reported as pathogenic germline in the ClinVar genomic database and were detected at an allele frequency of >30%. See appendix for details.

**MUTYH - R19\*** ..... p. 10

This report does not indicate whether variants listed above are germline or somatic in this patient. In the appropriate clinical context, follow-up germline testing would be needed to determine whether a finding is germline or somatic.

**VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)**

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

**MLL2 - Q3910\_Q3911del** ..... p. 10

**GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS**

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

**MLL2 - Q3910\_Q3911del** ..... p. 10    **SMAD4 - Q366\*** ..... p. 11  
**MUTYH - R19\*** ..... p. 10    **TP53 - V73fs\*76** ..... p. 12

**NOTE** Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

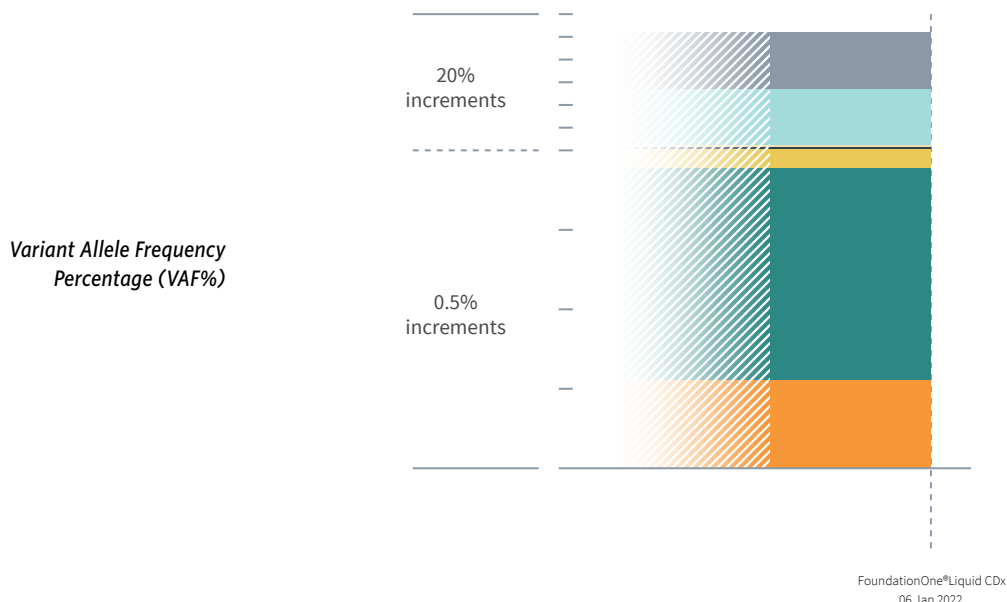
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ORDERED TEST # ORD-1269427-01



#### HISTORIC PATIENT FINDINGS

ORD-1269427-01  
VAF%

#### Blood Tumor Mutational Burden

0 Muts/Mb

#### Microsatellite status

MSI-High Not Detected

#### Tumor Fraction

Elevated Tumor Fraction Not Detected

<b>KRAS</b>	● G12C	1.7%
<b>KEAP1</b>	● L373fs*27	1.3%
<b>STK11</b>	● G242fs*21	1.5%
<b>MLL2</b>	● Q3910_Q3911del	49.0%
<b>MUTYH</b>	● R19*	49.9%
<b>SMAD4</b>	● Q366*	0.56%
<b>TP53</b>	● V73fs*76	2.2%

**NOTE** This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx, FoundationOne®Liquid, FoundationOne®, or FoundationOne®CDx tests. Up to five previous tests may be shown.

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable.

Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of  $\geq 5\%$ , and bTMB is calculated based on variants with an allele frequency of  $\geq 0.5\%$ .

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Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

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BIOMARKER FINDINGS

BIOMARKER

## Blood Tumor Mutational Burden

RESULT

0 Muts/Mb

### POTENTIAL TREATMENT STRATEGIES

#### — Targeted Therapies —

On the basis of clinical evidence in NSCLC and HSNCC, increased bTMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>1-2</sup> and anti-PD-1<sup>3</sup> therapies. In NSCLC, multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single agent or combination treatments with either CTLA4 inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 to 16 Muts/Mb<sup>1</sup>. In HNSCC, a Phase 3 trial showed that bTMB  $\geq 16$  Muts/Mb (approximate equivalency  $\geq 8$  Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in

combination with a CTLA-4 inhibitor<sup>4</sup>.

### FREQUENCY & PROGNOSIS

NSCLC harbors a median bTMB of 16.8 Muts/Mb (range 1.9-52.5 Muts/Mb)<sup>3</sup>. Retrospective analysis of the Phase 3 OAK and Phase 2 POPLAR trials for patients with advanced or metastatic non-small cell lung cancer (NSCLC) reported that bTMB  $\geq 7$  Muts/Mb was associated with shorter PFS (2.8 vs. 4.2 months) and OS (7.4 vs. 11.9 months) compared with bTMB  $< 7$  Muts/Mb for patients treated with docetaxel<sup>5</sup>. In one study of advanced NSCLC in China, bTMB  $\geq 6$  Muts/Mb was associated with decreased PFS (10 vs. 18 months) and OS (11 vs. 25 months) compared with bTMB  $< 6$  Muts/Mb for patients treated with platinum-based chemotherapy<sup>6</sup>. A large study of Chinese patients with lung adenocarcinoma reported a shorter median OS for tumors with a higher number of mutations in a limited gene set compared with a lower mutation number (48.4 vs. 61.0 months)<sup>7</sup>. Another study of patients with NSCLC correlated elevated TMB with poorer prognosis and significantly associated lower TMB in combination with PD-L1 negative status with longer median survival in patients with lung adenocarcinoma<sup>8</sup>. However, no significant

prognostic association of TMB and/or PD-L1 status with survival has been reported in patients with lung SCC<sup>9</sup>.

### FINDING SUMMARY

Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>10-11</sup> and cigarette smoke in lung cancer<sup>12-13</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>14-15</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>16-20</sup>, and microsatellite instability (MSI)<sup>16,19-20</sup>. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents<sup>1-3</sup>. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

## Tumor Fraction

RESULT

Elevated Tumor Fraction Not Detected

### POTENTIAL TREATMENT STRATEGIES

#### — Targeted Therapies —

Specimens with elevated tumor fraction values have high circulating-tumor DNA (ctDNA) content, and thus high sensitivity for identifying genomic alterations. Such specimens are at low risk of false negative results. However, if elevated tumor fraction is not detected, it does not exclude the presence of disease burden or compromise the confidence of reported alterations. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not

be overinterpreted or compared from one blood draw to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management<sup>21-26</sup>.

### FREQUENCY & PROGNOSIS

Detectable ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)<sup>27</sup>. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer<sup>28</sup>, Ewing sarcoma and osteosarcoma<sup>29</sup>, prostate cancer<sup>24</sup>, breast cancer<sup>30</sup>, leiomyosarcoma<sup>31</sup>, esophageal cancer<sup>32</sup>, colorectal

cancer<sup>33</sup>, and gastrointestinal cancer<sup>34</sup>.

### FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 single-nucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content<sup>35</sup>, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy<sup>36-37</sup>.

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ORDERED TEST # ORD-1269427-01

GENOMIC FINDINGS

GENE

**KRAS**

ALTERATION

G12C

TRANSCRIPT ID

NM\_004985

CODING SEQUENCE EFFECT

34G>T

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

In a Phase 1 study evaluating the MEK-pan-RAF dual inhibitor CH5126766, 6 patients harboring KRAS mutations experienced PRs, including 3 with non-small cell lung cancer (NSCLC), 1 with low-grade serous ovarian carcinoma (LGSOC), 1 with endometrial adenocarcinoma, and 1 with multiple myeloma<sup>38</sup>. Another Phase 1 study of CH5126766 combined with the FAK inhibitor defactinib reported 4 PRs in KRAS-mutated LGSOC<sup>39</sup>. KRAS G12C may predict sensitivity to G12C-targeted inhibitors such as sotorasib<sup>40-42</sup> and adagrasib<sup>43</sup>. The Phase 1/2 CodeBreak 100 trial of sotorasib in G12C-mutated solid tumors observed clinical benefit for patients with non-small cell lung cancer (NSCLC) and colorectal cancer (CRC), with additional responses observed in several other tumor types<sup>42,44-45</sup>. In the Phase 1/2 KRYSTAL-1 trial, treatment with single-agent adagrasib elicited a 45% (23/51) ORR and a 96% (49/51) DCR for patients with G12C-mutated NSCLC<sup>46</sup>. Responses to single-agent adagrasib were also reported for patients with other types of G12C-mutated tumors, including an ORR of 17% (3/18) for patients with CRC and individual responses reported for patients with endometrial cancer, pancreatic cancer, ovarian cancer, and cholangiocarcinoma (1 confirmed or unconfirmed PR each)<sup>47</sup>. Preclinical data suggest that sotorasib in combination with the EGFR inhibitor cetuximab may lead to more effective suppression of KRAS G12C-mutated CRC tumors<sup>48</sup>. Preclinical and clinical data suggest that KRAS mutations may predict clinical benefit from SHP2 inhibitors<sup>49-50</sup>. A Phase 1 study of RMC-4630 for relapsed/refractory solid tumors reported a DCR of 58% (23/40) for patients with NSCLC and KRAS mutations and a DCR of 75% (12/16) for patients with NSCLC and KRAS G12C mutations<sup>51</sup>. Interim results from a Phase 1/2 study of RMC-4630 plus cobimetinib reported tumor reduction in 3 of 8 patients with KRAS-

mutated colorectal cancer<sup>52</sup>. Preclinical evidence suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib<sup>53-58</sup>. Multiple clinical studies have reported either low response rates or response rates similar to those of chemotherapy in patients with KRAS-mutated NSCLC receiving MEK inhibitors as a monotherapy<sup>59-61</sup>. In a Phase 3 study, the addition of selumetinib to docetaxel did not significantly improve the PFS or OS of patients with KRAS-mutant NSCLC relative to docetaxel alone<sup>62</sup>. In a Phase 1/1b study evaluating trametinib with either docetaxel or pemetrexed, responses were independent of KRAS mutation status<sup>63</sup>. Combinatorial approaches involving MEK inhibitors and other targeted therapies, including PI3K or EGFR inhibitors, have generally had limited clinical efficacy in patients with NSCLC and have been associated with high toxicity<sup>64-66</sup> despite preclinical evidence supporting the effectiveness of combinatorial strategies involving inhibitors of PI3K<sup>67-68</sup>, RAF<sup>69</sup>, pan-ERBB<sup>70</sup>, or BCL2<sup>71-72</sup>. Preclinical data suggest that KRAS mutation may confer sensitivity to SOS1 inhibitors<sup>73-74</sup>. Phase 1 studies of the SOS1 inhibitor BI 1701963 alone or in combination with MEK inhibitors, KRAS G12C inhibitors, or irinotecan are recruiting for patients with solid tumors harboring KRAS mutations<sup>75-76</sup>. However, a Phase 1 combination trial of the MEK inhibitor PD-0325901 with the CDK4/6 inhibitor palbociclib that included 17 patients with KRAS-mutant NSCLC reported 1 PR, >50% SD, and 5 patients with PFS >6 months; clinical benefit was seen among patients with tumors harboring KRAS mutation alone or together with inactivation of TP53 or CDKN2A/B, but not among patients with tumors harboring KRAS mutation and STK11 inactivation<sup>77</sup>. The CDK4/6 inhibitor abemaciclib demonstrated increased activity in KRAS-mutated NSCLC compared to KRAS-wildtype NSCLC (median PFS of 2.8 vs. 1.9 months) in a Phase 1 trial<sup>78</sup> but did not prolong median OS compared to erlotinib (7.4 vs. 7.8 months, HR=0.97), in spite of improved PFS (3.6 vs. 1.9 months, HR=0.58) and ORR (8.9% vs. 2.7%) relative to erlotinib, in a Phase 3 study for patients with platinum-refractory KRAS-mutated advanced NSCLC<sup>79</sup>. Although some studies have suggested that KRAS mutation status may predict a lack of response to the EGFR inhibitors erlotinib and gefitinib in patients with lung cancer, a retrospective study suggests that there is no statistically significant difference in response to EGFR tyrosine kinase inhibitors among KRAS-

wildtype and KRAS-mutated patients<sup>80-83</sup>. A study assessing the immune checkpoint inhibitor nivolumab for pretreated patients with KRAS-mutated (n=206) or KRAS-wildtype (n=324) advanced NSCLC observed a similar ORR (20% vs. 17%), median PFS (4 vs. 3 months) and OS (11.2 vs. 10 months) in both cohorts, although the 3-month PFS rate was significantly longer in KRAS-positive than KRAS-negative patients (53% vs. 42%)<sup>84</sup>. Co-occurring KRAS and STK11 alterations are associated with poorer response to immune checkpoint inhibitors for patients with NSCLC. Following anti-PD-1-based regimens, retrospective analyses have reported shorter OS for patients with KRAS- and STK11-mutated tumors than for those whose KRAS-mutated tumors were STK11-wildtype (6.4 vs. 16.1 months, HR=1.99), as well as markedly fewer objective responses for patients with KRAS-/STK11-mutated versus KRAS-/TP53-mutated tumors in the CheckMate-057 (0% [0/6] vs. 57% [4/7])<sup>85</sup> and GEMINI (0% [0/6], vs. 53% [9/17])<sup>86</sup>. Another study observed that patients with NSCLC and KRAS-mutated tumors without STK11 alteration who were treated with second-line immunotherapy experienced similar median PFS (2.8 vs. 2.2 months, HR = 1.64) and numerically longer median OS (7.7 vs. 3.5 months, HR = 2.3; p=0.09) compared to patients harboring mutations in both KRAS and STK11<sup>87</sup>. A Phase 1 study on the combination of the RAF-MEK inhibitor CH5126766 and the FAK inhibitor defactinib in KRAS-mutated non-small cell lung cancer (NSCLC) reported a PR rate of 12% (2/17), including 100% (2/2) of patients with KRAS G12V mutations, and an SD rate of 59% (10/17)<sup>88</sup>. Additional clinical responses for patients with low-grade serous ovarian cancer (PR rate 50% [4/8]) and NSCLC (PR rate 10% [1/10]) with KRAS mutations have been reported<sup>39</sup>.

FREQUENCY & PROGNOSIS

KRAS G12C mutations have been identified in 14% of non-small cell lung cancers<sup>89</sup>. Studies have reported KRAS mutations in 10-38% of non-small cell lung cancers (NSCLC), including 27-37% of lung adenocarcinomas<sup>90-101</sup>, 10.5-33% of lung adenosquamous carcinomas<sup>102-104</sup>, 22% of lung large cell carcinoma without neuroendocrine features, and 6% of lung large cell neuroendocrine carcinomas<sup>105</sup>. KRAS mutation in lung adenocarcinoma has been correlated with disease progression, poorly differentiated tumors, and aggressive tumor behavior (NCCN NSCLC Guidelines, v4.2021)<sup>95,101,106</sup>. However, the

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## GENOMIC FINDINGS

prognostic value of KRAS mutation in lung adenocarcinoma may differ among ethnic groups and may depend upon the specific allelic variant present<sup>107</sup>. KRAS mutation was associated with shorter PFS (7.0 vs. 8.6 months,  $p=0.026$ ) and OS (14.2 vs. 21.6 months,  $p=0.019$ ) with first-line treatment with bevacizumab plus chemotherapy in a retrospective study<sup>108</sup> and a lower major pathological response rate (0% [0/10] vs. 35.5% [11/31]) after neoadjuvant bevacizumab plus chemotherapy followed by adjuvant bevacizumab in a Phase 2 trial<sup>109</sup>, relative to those patients lacking KRAS mutation. However, addition of atezolizumab to first-line bevacizumab and chemotherapy improved PFS regardless of KRAS status in the Phase 3 IMpower150 study (HR=0.50

for KRAS mutant vs. 0.47 for KRAS wild-type vs. 0.67 for KRAS unknown)<sup>110</sup>. In one study of 55 patients with lung adenocarcinoma, KRAS mutations, especially in combination with TP53 alterations, correlated with improved clinical outcomes to PD-1 inhibitors pembrolizumab and nivolumab, likely as a consequence of association with some immunogenic features such as tumor mutation burden<sup>111</sup>.

#### FINDING SUMMARY

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor formation<sup>54,112</sup>. Clinical benefit has been

reported for patients with KRAS G12C-mutated solid tumors following treatment with G12C inhibitors such as sotorasib<sup>40-42</sup> or adagrasib<sup>43</sup>. However, clinical and preclinical resistance to G12C inhibitors, either by emergence of additional alterations in KRAS or other genes in the RTK/MAPK/PI3K pathway, have also been observed<sup>113-116</sup>. KRAS alterations affecting amino acids G12, G13, Q22, P34, A59, Q61, and A146, as well as mutations G10\_A11insG, G10\_A11insAG (also reported as G10\_A11dup and G12\_G13insAG), A18D, L19F, D33E, G60\_A66dup/E62\_A66dup, E62K, R68S, and K117N have been characterized as activating and oncogenic<sup>54,117-139</sup>.

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Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ORDERED TEST # ORD-1269427-01

GENOMIC FINDINGS

GENE

**KEAP1**

ALTERATION

L373fs\*27

TRANSCRIPT ID

NM\_012289

CODING SEQUENCE EFFECT

1117delC

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

A study of patients with localized non-small cell lung cancer (NSCLC) identified pathogenic KEAP1 and NFE2L2 mutations as predictors of local recurrence following radiotherapy but not surgery; limited preclinical data also showed that treatment with a glutaminase inhibitor sensitized KEAP1-mutated NSCLC cells to radiation<sup>140</sup>. In other preclinical studies, treatment with AKT inhibitors sensitized lung cancer cells harboring KEAP1 or NFE2L2 mutations to both chemotherapy and radiation therapy<sup>141-142</sup>. Mixed clinical data have been reported for the association between KEAP1 mutations and the response to immunotherapy. A pan-cancer study of immunotherapy showed that patients with KEAP1 mutations had shorter OS (10 vs. 20 months) than those without<sup>143</sup>. However, another study across solid tumors showed that KEAP1 mutations were associated with higher tumor mutational burden (TMB) and PD-L1 expression, as well as improved survival outcomes with immunotherapy compared with other treatments (20.0 vs. 11.5 months)<sup>144</sup>. For patients with non-small cell lung cancer (NSCLC), a study of PD-L1 inhibitors

showed that patients with concurrent mutations of STK11 and KEAP1 (n=39) experienced significantly shorter PFS (1.6 vs. 2.5 months, HR=1.5) and OS (4 vs. 11 months, HR=1.9) compared with patients with STK11- and KEAP1-wildtype tumors (n=210) despite significantly higher TMB in the group harboring STK11 and KEAP1 mutations (median 9.4 vs. 6.1 Muts/Mb)<sup>145</sup>. Retrospective analyses of patients with NSCLC who received immunotherapy reported reduced OS (p=0.040) for patients harboring KEAP1- or NFE2L2-mutated tumors<sup>146</sup> or STK11- or KEAP1-mutated tumors (p < 0.001)<sup>147</sup> compared with those without. Studies of immune checkpoint inhibitors for patients with lung adenocarcinoma showed that coexisting mutations between KEAP1, PBRM1, SMARCA4, STK11, and KRAS were associated with worse OS<sup>148</sup>. An exploratory analysis of a subset of patients with PD-L1-positive NSCLC treated in the first-line setting with pembrolizumab showed similar ORR, PFS, and OS when comparing patients with STK11 or KEAP1 mutations and those without<sup>149</sup>. In addition, preclinical data suggest that KEAP1 inactivation increases tumor demand for glutamine and increases tumor sensitivity to glutaminase inhibitors like telaglenastat<sup>150-152</sup>. Limited clinical data suggest that KEAP1 mutations may predict improved clinical benefit from combinations of glutaminase inhibitors and anti-PD-1 inhibitors<sup>153</sup>; a Phase 1/2 study of the glutaminase inhibitor telaglenastat (CB-839) plus nivolumab to treat advanced NSCLC reported better clinical benefit rates and median PFS for patients with KEAP1 mutations (75% [3/4] vs. 15% [2/13], 6.4 vs. 3.7 months), KRAS mutations (38% [3/8] vs. 20% [2/10], 4.5 vs. 3.7 months), or KEAP1 and KRAS concurrent mutations (100% [2/2] vs.

13% [1/8], 7.2 vs. 3.7 months) compared with patients without these mutations<sup>153</sup>. The KEAP1 mutation has also been identified as a potential biomarker for sensitivity to combined AKT and TXNRD1 inhibition in lung cancer<sup>154</sup>.

FREQUENCY & PROGNOSIS

Somatic mutation of KEAP1 occurs in a range of solid tumors, including gastric, hepatocellular, colorectal, and lung cancers<sup>155</sup>. KEAP1 mutations are rare in hematological malignancies, occurring in fewer than 1% of samples analyzed (COSMIC, 2022)<sup>156</sup>. In a retrospective analysis of the pan-solid MSKCC dataset, KEAP1 mutation correlated with reduced OS (13.28 vs. 26.53 months)<sup>144</sup>. For patients with non-small cell lung cancer (NSCLC), mutation of KEAP1 and/or NFE2L2 also correlated with reduced median OS (11.51 vs. 22.32 months)<sup>144</sup>. In another study, for NSCLC treated with frontline chemotherapy, multivariate analysis showed that KEAP1 and/or NFE2L2 mutations significantly associated with reduced survival for patients with adenocarcinoma (PFS HR=2.34, OS HR=1.96) but not for patients with squamous cell carcinoma<sup>157</sup>.

FINDING SUMMARY

KEAP1 encodes a substrate adaptor protein that regulates the cellular response to oxidative stress by providing substrate specificity for a CUL3-dependent ubiquitin ligase<sup>158</sup>. KEAP1 exerts anti-tumor effects through negative regulation of NRF2, a transcription factor encoded by NFE2L2<sup>159-161</sup>; KEAP1 inactivation promotes cancer progression through NRF2-mediated chemoresistance and cell growth<sup>160-161</sup>.

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Electronically signed by Shari Brown, M.D. | 06 January 2022  
Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531  
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ORDERED TEST # ORD-1269427-01

GENOMIC FINDINGS

GENE

**STK11**

ALTERATION

G242fs\*21

TRANSCRIPT ID

NM\_000455

CODING SEQUENCE EFFECT

725\_732delGGGTACC

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

Increased mTOR signaling is present in LKB1-deficient tumors, suggesting therapies targeting mTOR may be relevant for tumors with STK11 alterations<sup>162-166</sup>. Case studies have reported PRs for 2 patients with STK11-mutated pancreatic cancer following treatment with the mTOR inhibitor everolimus<sup>167</sup>, with 1 PR observed in a PJS patient for 9 months until progression<sup>167</sup>. However, retrospective analysis of a Phase 2 trial for patients with endometrial carcinoma found LKB1 (STK11) protein levels were not significantly correlated with response to everolimus treatment<sup>168</sup>. In one preclinical study, STK11 loss was associated with sensitivity to combination treatment including an SRC inhibitor<sup>169</sup>; however, the clinical relevance of these findings has not been established.

— Potential Resistance —

STK11 alteration is associated with poorer response to immune checkpoint inhibitors for patients with non-small cell lung cancer (NSCLC), including those with tumors harboring co-occurring KRAS or KEAP1 mutations. Following anti-PD-1-based regimens, retrospective analyses have reported shorter OS for patients with KRAS and STK11 co-mutated tumors than for patients

with wild-type STK11 (6.4 vs. 16.1 months, HR=1.99)<sup>85</sup>, as well as markedly fewer objective responses for patients with KRAS/STK11 co-mutated versus KRAS/TP53 co-mutated tumors in the CheckMate-057, CheckMate-012, and GEMINI trials (0% vs. 53-78%)<sup>85,170</sup>, although a case study reported ongoing response for 1 patient with KRAS/STK11 co-mutations treated with nivolumab and ipilimumab<sup>171</sup>. Patients with NSCLC and concurrent mutation of STK11 and KEAP1 (n=39) who received treatment with a PD-L1 inhibitor experienced significantly shorter PFS (1.6 vs. 2.5 months; HR=1.5) and OS (4 vs. 11 months; HR=1.9) compared with patients with STK11- and KEAP1-wild-type tumors (n=210) despite significantly higher TMB in the group harboring STK11 and KEAP1 mutations (median 9.4 vs. 6.1 Muts/Mb)<sup>145</sup>. However, exploratory analyses of patients with NSCLC treated in the first-line setting with pembrolizumab showed trends towards improved ORR and OS irrespective of STK11 or KEAP1 mutation status, though this was not demonstrated to be statistically significant<sup>149,172</sup>. In the absence of co-mutations, reduced clinical benefit has also been reported for patients with NSCLC harboring STK11 mutations compared with wild-type STK11 and either anti-PD-L1<sup>173-174</sup> or anti-PD-1 therapy<sup>175</sup>.

FREQUENCY & PROGNOSIS

Several clinical studies have found STK11 mutation to be common in non-small cell lung cancer (NSCLC) (15-35%), with alterations more prevalent in lung adenocarcinomas (13-34%) than in lung squamous cell carcinoma (2-19%)<sup>91,163,176-180</sup>. In the TCGA datasets, STK11 homozygous deletion was observed in 1% of lung adenocarcinoma cases<sup>92</sup> and was not observed in any of 178 lung squamous cell carcinoma cases<sup>180</sup>. STK11 mutations in NSCLC often co-occur with activating KRAS mutations<sup>178-179</sup>. In transgenic

mouse models, animals expressing mutant KRAS developed lung adenocarcinomas, whereas the KRAS-mutant/LKB1-deficient mice developed an expanded histological spectrum of tumors that included large cell and squamous cell carcinomas<sup>163</sup>. Strongly decreased or absent expression of LKB1 correlated with inferior outcome in patients with NSCLC treated with bevacizumab-containing chemotherapy; expression of LKB1 was not prognostic in patients treated with chemotherapy without bevacizumab<sup>181</sup>.

FINDING SUMMARY

The serine/threonine kinase STK11 (also called LKB1) activates AMPK and negatively regulates the mTOR pathway in response to changes in cellular energy levels<sup>162</sup>. LKB1 acts as a tumor suppressor in cancer, as loss of function promotes proliferation and tumorigenesis<sup>169,182</sup>. Alterations such as seen here may disrupt STK11 function or expression<sup>183-194</sup>.

POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in STK11 underlie Peutz-Jeghers syndrome (PJS), a rare autosomal dominant disorder associated with a predisposition for tumor formation<sup>195</sup>. This disorder has an estimated frequency between 1:29,000 and 1:120,000, although reported rates in the literature vary greatly<sup>195-197</sup>. Although gastrointestinal tumors are the most common malignancies associated with PJS, patients also exhibit an 18-fold increased risk of developing other epithelial cancers<sup>195-197</sup>, and individuals with this syndrome have a 30-50% risk of developing breast cancer<sup>195,197</sup>. Given the association with PJS, in the appropriate clinical context testing for the presence of germline mutations in STK11 is recommended.

ORDERED TEST # ORD-1269427-01

GENOMIC FINDINGS

GENE

**MLL2**

ALTERATION

Q3910\_Q3911del

TRANSCRIPT ID

NM\_003482

CODING SEQUENCE EFFECT

11729\_11734delAGCAAC

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no targeted therapies available to address genomic alterations in MLL2.

FREQUENCY & PROGNOSIS

MLL2 alterations are observed in a number of solid tumor contexts (COSMIC, Jan 2022)<sup>156</sup>, and

are especially prevalent in lung squamous cell carcinoma (SCC)<sup>180</sup> and small cell lung carcinoma (SCLC)<sup>198</sup>. MLL2 mutation was found to be an independent prognostic factor of poor PFS and OS in non-small cell lung cancer, but not in SCLC<sup>199</sup>. One study reported that MLL2 truncating mutations were more common in recurrent ovary granulosa cell tumors (GCT) compared with primary GCTs (24% [10/42] vs. 3.0% [1/32])<sup>200</sup>. In a study of esophageal SCC, high MLL2 expression positively correlated with tumor stage, differentiation, and size, and negatively correlated with OS<sup>201</sup>.

FINDING SUMMARY

MLL2 encodes an H3K4-specific histone methyltransferase that is involved in the transcriptional response to progesterone signaling<sup>202</sup>. Germline de novo mutations of MLL2 are responsible for the majority of cases of

Kabuki syndrome, a complex and phenotypically distinctive developmental disorder<sup>203</sup>. A significant number of inactivating MLL2 alterations have been observed in multiple tumor types, suggesting a tumor suppressor role<sup>204</sup>.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>205-210</sup>. Comprehensive genomic profiling of solid tumors may detect nontumor alterations that are due to CH<sup>209,211-212</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

GENE

**MUTYH**

ALTERATION

R19\*

TRANSCRIPT ID

NM\_001048171

CODING SEQUENCE EFFECT

55C>T

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no therapies or clinical trials available to address MUTYH alterations in cancer.

FREQUENCY & PROGNOSIS

In general, somatic MUTYH mutations are infrequently reported across cancer types (COSMIC, 2022)<sup>156</sup>. Monoallelic MUTYH mutation occurs in 1-2% of the general population<sup>213-214</sup>.

There is conflicting data regarding the impact of monoallelic mutations on the risk of developing CRC<sup>215-217</sup>. Patients with MUTYH-mutant CRC were reported to have significantly improved overall survival compared to patients without MUTYH mutation<sup>218</sup>.

FINDING SUMMARY

MUTYH (also known as MYH) encodes an enzyme involved in DNA base excision repair, and loss of function mutations in MUTYH result in increased rates of mutagenesis and promotion of tumorigenesis<sup>219</sup>. The two most frequently reported MUTYH loss of function mutations are G382D (also referred to as G396D) and Y165C (also referred to as Y179C)<sup>213-214,220-222</sup>. Numerous other MUTYH mutations have also been shown to result in loss of function<sup>220-223</sup>.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the MUTYH variants observed here has been described in the ClinVar database as

a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with MUTYH-associated polyposis (ClinVar, Sep 2021)<sup>224</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline biallelic MUTYH mutation causes MUTYH-associated polyposis (also known as MYH-associated polyposis or MAP), an autosomal recessive condition characterized by multiple colorectal adenomas and increased lifetime risk of colorectal cancer (CRC)<sup>213,225-227</sup>. MAP accounts for approximately 0.7% of all CRC cases and 2% of early-onset CRC cases<sup>213</sup>. In contrast to CRC, the role of MUTYH mutation in the context of other cancer types is not well established<sup>228-232</sup>. Estimates for the prevalence of MAP in the general population range from 1:5,000-1:10,000<sup>214</sup>. Therefore, in the appropriate clinical context, germline testing of MUTYH is recommended.

ORDERED TEST # ORD-1269427-01

GENOMIC FINDINGS

GENE

SMAD4

ALTERATION

Q366\*

TRANSCRIPT ID

NM\_005359

CODING SEQUENCE EFFECT

1096C>T

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no therapies to address SMAD4 alterations in cancer. Preclinical studies<sup>233-234</sup> and a clinical study of pancreatic cancer suggest that low SMAD4 expression exhibit increased responsiveness to chemotherapeutic agents such as cisplatin and irinotecan<sup>235</sup>.

FREQUENCY & PROGNOSIS

SMAD4 mutation or homozygous deletion is most frequently observed in pancreatic adenocarcinoma (43%)<sup>236</sup>, pancreatic acinar cell carcinoma<sup>237</sup>, cholangiocarcinoma (25%)<sup>238</sup>, appendiceal

adenocarcinoma (14-20% mutation; 57% deletion)<sup>239-240</sup>, colorectal adenocarcinoma (CRC; 14%)<sup>19</sup>, esophageal adenocarcinoma (14%)<sup>241</sup>, and stomach adenocarcinoma (13%)<sup>242</sup>. In preclinical studies, SMAD4 loss of function has been implicated in the development of mucinous neoplasms of the pancreas, including mucinous cystic neoplasms (MCN)<sup>243</sup> and intraductal papillary mucinous neoplasms (IPMN)<sup>244</sup>; in clinical samples, SMAD4 homozygous deletion has been observed in 10% of IPMNs and 8% of MCNs, and mutation was also observed in 5% of IPMNs<sup>245</sup>. SMAD4 gene alterations have been associated with reduced overall survival for patients with pancreatic adenocarcinoma<sup>246</sup>. Reduced SMAD4 expression has been associated with worse prognosis in various cancer types, including CRC<sup>247-249</sup>, appendiceal mucinous neoplasm<sup>250</sup>, gastric adenocarcinoma<sup>251-252</sup>, esophageal adenocarcinoma<sup>253</sup>, esophageal squamous cell carcinoma<sup>254</sup>, breast cancer<sup>255</sup>, and prostate cancer<sup>256</sup>.

FINDING SUMMARY

SMAD4, also known as DPC4, encodes a tumor suppressor that regulates transcriptional activity

downstream of TGF-beta receptor signaling<sup>257-258</sup>. SMAD4 alterations that result in loss or disruption of the MH1 domain (aa 18-142), MH2 domain (aa 323-552), or SAD domain (aa 275-320) are predicted to be inactivating<sup>259-272</sup>.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the SMAD4 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with juvenile polyposis syndrome (ClinVar, Sep 2021)<sup>224</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline SMAD4 mutations, including those at the R361 hotspot, have been observed in patients with juvenile polyposis syndrome<sup>273-275</sup>, which is associated with an increased risk of gastrointestinal cancers<sup>276</sup>. The penetrance of deleterious SMAD4 mutations in patients with colon cancer is estimated at 20% by age 35 and 70% by age 65<sup>277</sup>. In the appropriate clinical context, germline testing of SMAD4 is recommended.

ORDERED TEST # ORD-1269427-01

GENOMIC FINDINGS

GENE

TP53

ALTERATION

V73fs\*76

TRANSCRIPT ID

NM\_000546

CODING SEQUENCE EFFECT

216\_217insC

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib<sup>278-281</sup>, or p53 gene therapy and immunotherapeutics such as SGT-53<sup>282-286</sup> and ALT-801<sup>287</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% (17/176) and SDs in 53.4% (94/176) of patients with solid tumors; the response rate was 21.1% (4/19) for patients with TP53 mutations versus 12.1% (4/33) for patients who were TP53 wild-type<sup>288</sup>. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 31.9% (30/94, 3 CR) ORR and a 73.4% (69/94) DCR for patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>289</sup>. A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 42.9% (9/21, 1 CR) ORR and a 76.2% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer<sup>290</sup>. The combination of adavosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone<sup>291</sup>. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24.0% (6/25) ORR with adavosertib combined with paclitaxel<sup>292</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell

carcinoma (HNSCC) elicited a 71.4% (5/7) response rate for patients with TP53 alterations<sup>293</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75.0% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>286</sup>. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53-mutated, but not TP53-wild-type, breast cancer xenotransplant mouse model<sup>294</sup>. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies<sup>295-296</sup>; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies<sup>297-298</sup>. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

FREQUENCY & PROGNOSIS

TP53 is one of the most commonly mutated genes in lung cancer; mutations have been reported in 43-80% of non-small cell lung cancers (NSCLCs)<sup>92,180,299-304</sup>, including 42-52% of lung adenocarcinomas and 58-83% of lung squamous cell carcinomas (cBioPortal, COSMIC, Feb 2021)<sup>91-92,180,305</sup>. TP53 homozygous deletion has been observed in 1.4% of lung adenocarcinoma and <1% of lung squamous cell carcinoma cases (cBioPortal, Feb 2021)<sup>306-307</sup>. In one study of 55 patients with lung adenocarcinoma, TP53 alterations correlated with immunogenic features including PD-L1 expression, tumor mutation burden and neoantigen presentation; likely as a consequence of this association TP53 mutations correlated with improved clinical outcomes to PD-1 inhibitors pembrolizumab and nivolumab in this study<sup>111</sup>. Mutations in TP53 have been associated with lymph node metastasis in patients with lung adenocarcinoma<sup>308</sup>.

FINDING SUMMARY

Functional loss of the tumor suppressor p53,

which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>309</sup>. Alterations such as seen here may disrupt TP53 function or expression<sup>310-314</sup>.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the TP53 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with Li-Fraumeni syndrome (ClinVar, Sep 2021)<sup>224</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers<sup>315-317</sup>, including sarcomas<sup>318-319</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>320</sup> to 1:20,000<sup>319</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30<sup>321</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>205-210</sup>. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy<sup>205-206</sup>. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>322</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH<sup>209,211-212</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

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**THERAPIES WITH CLINICAL BENEFIT**
**IN PATIENT'S TUMOR TYPE**

## Sotorasib

*Assay findings association*
**KRAS**  
G12C

### AREAS OF THERAPEUTIC USE

Sotorasib is a KRAS G12C inhibitor that is FDA approved for the treatment of locally advanced or metastatic non-small cell lung cancer (NSCLC). Please see the drug label for full prescribing information.

### GENE ASSOCIATION

Sotorasib has been reported to confer clinical benefit for patients with KRAS G12C-mutated non-small cell lung cancer (NSCLC)<sup>42,44</sup>; limited clinical data suggest sotorasib may also provide benefit in other G12C-mutated solid diseases<sup>42,323</sup>.

### SUPPORTING DATA

The Phase 1/2 CodeBreaK 100 trial of sotorasib for patients with previously treated locally advanced or metastatic G12C-mutated solid tumors observed significant benefit for patients with non-small cell lung cancer (NSCLC), achieving an ORR of 37%, a DCR of 81%, median PFS (mPFS) of 6.8 months, and median OS of 12.5 months<sup>42,44</sup>. In the same study, patients with colorectal cancer (CRC) achieved a lower ORR of 7% (3/42) but had a high DCR of 74% (31/42) and mPFS of 4.0 months, and individual responses (PRs) were observed for patients with melanoma (1/1), pancreatic (1/11), endometrial (1/2), and appendiceal (1/2) cancers<sup>42</sup>.

**NOTE** Genomic alterations detected may be associated with activity of certain US FDA or other specific country approved therapies; however, the therapies listed in this report may have varied evidence in the patient's tumor type. The listed therapies are not ranked in order of potential or predicted efficacy for this patient or in order of level of evidence for this patient's tumor type. The therapies listed in this report may not be complete and/or exhaustive. Furthermore, the listed therapies are limited to US FDA approved pharmaceutical drug products that are linked to a specific genomic alteration. There may also be US FDA approved pharmaceutical drug products that are not linked to a genomic alteration. Further there may also exist pharmaceutical drug products that are not approved by the US FDA or other national authorities. There may also be other treatment modalities available than pharmaceutical drug products.

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**CLINICAL TRIALS**

**IMPORTANT** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://clinicaltrials.gov). However, [clinicaltrials.gov](https://clinicaltrials.gov) does not list all clinical trials that might be available.

**GENE**
**KEAP1**
**RATIONALE**

KEAP1 inactivation may predict sensitivity to glutaminase inhibitors.

**ALTERATION**

L373fs\*27

**NCT04265534**
**PHASE 2**

KEAPSAKE: A Study of Telaglenastat (CB-839) With Standard-of-Care Chemoimmunotherapy in 1L KEAP1/NRF2-Mutated, Nonsquamous NSCLC

**TARGETS**  
PD-1, GLS

**LOCATIONS:** Hawaii, Washington, Oregon, California, Utah

**NCT03872427**
**PHASE 2**

Testing Whether Cancers With Specific Mutations Respond Better to Glutaminase Inhibitor, CB-839 HCl, Anti-Cancer Treatment, BeGIN Study

**TARGETS**  
GLS

**LOCATIONS:** Kansas, Missouri, Illinois

**NCT04250545**
**PHASE 1**

Testing of the Anti Cancer Drugs CB-839 HCl (Telaglenastat) and MLN0128 (Sapanisertib) in Advanced Stage Non-small Cell Lung Cancer

**TARGETS**  
mTORC1, mTORC2, GLS

**LOCATIONS:** California, New York

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**CLINICAL TRIALS**
**GENE**
**KRAS**
**ALTERATION**
**G12C**
**RATIONALE**

Clinical evidence suggests that patients with the KRAS G12C mutation may be sensitive to G12C-targeted inhibitors such as sotorasib and adagrasib. KRAS activating mutations or amplification may predict sensitivity to inhibitors of MAPK pathway components, including MEK inhibitors. Limited clinical and preclinical studies indicate KRAS mutations may predict sensitivity

to MEK-pan-RAF dual inhibitors. KRAS alterations are not predictive biomarkers for MEK inhibitor monotherapy in NSCLC and combinatorial approaches may yield improved efficacy. Clinical evidence suggests that patients with KRAS-mutant NSCLC may be sensitive to the CDK4/6 inhibitor abemaciclib.

**NCT03337698**
**PHASE 1/2**

A Study Of Multiple Immunotherapy-Based Treatment Combinations In Participants With Metastatic Non-Small Cell Lung Cancer (Morpheus- Non-Small Cell Lung Cancer)

**TARGETS**

PD-L1, MEK, CEA, CXCR4, EZH2, MDM2, ADORA2A

**LOCATIONS:** Tainan City (Taiwan), Jeollanam-do (Korea, Republic of), Seoul (Korea, Republic of), Blacktown (Australia), East Melbourne (Australia), Haifa (Israel), Petach Tikva (Israel), Ramat Gan (Israel), Newcastle upon Tyne (United Kingdom), Dijon (France)

**NCT04803318**
**PHASE 2**

Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors

**TARGETS**

mTOR, FGFRs, RET, PDGFRA, VEGFRs, KIT, MEK

**LOCATIONS:** Guangzhou (China)

**NCT03600883**
**PHASE 1/2**

A Phase 1/2, Study Evaluating the Safety, Tolerability, PK, and Efficacy of AMG 510 in Subjects With Solid Tumors With a Specific KRAS Mutation.

**TARGETS**

KRAS, PD-1, PD-L1

**LOCATIONS:** Fukuoka-shi (Japan), Matsuyama-shi (Japan), Seoul (Korea, Republic of), Wakayama-shi (Japan), Osaka-shi (Japan), Hirakata-shi (Japan), Nagoya-shi (Japan), Sunto-gun (Japan), Yokohama-shi (Japan), Kawasaki-shi (Japan)

**NCT03989115**
**PHASE 1/2**

Dose-Escalation and Dose-Expansion of RMC-4630 and Cobimetinib in Relapsed/Refractory Solid Tumors

**TARGETS**

SHP2, MEK

**LOCATIONS:** Seoul (Korea, Republic of), Oregon, California, Colorado, Arizona, Wisconsin, Illinois

**NCT03284502**
**PHASE 1**

Cobimetinib and HM95573 in Patients With Locally Advanced or Metastatic Solid Tumors

**TARGETS**

MEK, RAFs

**LOCATIONS:** Hwasun (Korea, Republic of), Pusan (Korea, Republic of), Seongnam (Korea, Republic of), Seoul (Korea, Republic of), Goyang-si (Korea, Republic of)

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**CLINICAL TRIALS**
**NCT02974725**
**PHASE 1**

Study of LXH254 and LTT462 in NSCLC

**TARGETS**

CDK6, CDK4, ERK1, ERK2, ARAF, BRAF, MEK

**LOCATIONS:** Seoul (Korea, Republic of), Westmead (Australia), Prahran (Australia), Ramat Gan (Israel), Tel Aviv (Israel), Stockholm (Sweden), Warszawa (Poland), Dresden (Germany), Aviano (Italy), Frankfurt (Germany)

**NCT03099174**
**PHASE 1**

This Study in Patients With Different Types of Cancer (Solid Tumours) Aims to Find a Safe Dose of Xentuzumab in Combination With Abemaciclib With or Without Hormonal Therapies. The Study Also Tests How Effective These Medicines Are in Patients With Lung and Breast Cancer.

**TARGETS**

CDK4, CDK6, IGF-1, IGF-2, Aromatase, ER

**LOCATIONS:** Seoul (Korea, Republic of), Goyang (Korea, Republic of), Aichi, Nagoya (Japan), Kanagawa, Isehara (Japan), Tokyo, Chuo-ku (Japan), Tokyo, Koto-ku (Japan), Chiba, Kashiwa (Japan), Helsinki (Finland), Tampere (Finland), Turku (Finland)

**NCT04185883**
**PHASE 1/2**

AMG 510 Activity in Subjects With Advanced Solid Tumors With KRAS p.G12C Mutation (CodeBreak 101)

**TARGETS**

KRAS, CDK4, CDK6, PD-1, mTOR, SHP2, MEK, PD-L1, EGFR, ERBB4, ERBB2, VEGFA

**LOCATIONS:** Nagoya-shi (Japan), Kashiwa-shi (Japan), Washington, California, Utah

**NCT04801966**
**PHASE NULL**

Safety and Oversight of the Individually Tailored Treatment Approach: A Novel Pilot Study

**TARGETS**

CDK4, CDK6, PI3K-alpha, PD-L1, MEK, PARP, PD-1, BRAF

**LOCATIONS:** Melbourne (Australia)

**NCT03905148**
**PHASE 1/2**

Study of the Safety and Pharmacokinetics of BGB-283 and PD-0325901 in Patients With Advanced or Refractory Solid Tumors

**TARGETS**

RAFs, EGFR, MEK

**LOCATIONS:** Nedlands (Australia), Blacktown (Australia), Randwick (Australia), Melbourne (Australia), Texas

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**CLINICAL TRIALS**
**GENE**
**STK11**
**ALTERATION**

G242fs\*21

**RATIONALE**

Increased mTOR signaling is present in LKB1-deficient tumors, suggesting therapies

targeting mTOR may be relevant for tumors with STK11 alterations.

**NCT04337463**
**PHASE NULL**

ATG-008 Combined With Toripalimab in Advanced Solid Tumors

**TARGETS**

mTORC1, mTORC2, PD-1

**LOCATIONS:** Chongqing (China), Chengdu (China)

**NCT03334617**
**PHASE 2**

Phase II Umbrella Study of Novel Anti-cancer Agents in Patients With NSCLC Who Progressed on an Anti-PD-1/PD-L1 Containing Therapy.

**TARGETS**

PD-L1, PARP, mTORC1, mTORC2, ATR, CD73, STAT3

**LOCATIONS:** Seoul (Korea, Republic of), Wien (Austria), Salzburg (Austria), Innsbruck (Austria), Esslingen a.N. (Germany), Heidelberg (Germany), Köln (Germany), Edmonton (Canada), Paris (France), Villejuif (France)

**NCT02664935**
**PHASE 2**

National Lung Matrix Trial: Multi-drug Phase II Trial in Non-Small Cell Lung Cancer

**TARGETS**

FGFRs, mTORC1, mTORC2, CDK4, CDK6, ALK, ROS1, AXL, TRKA, MET, TRKC, MEK, AKTs, EGFR, PD-L1, KIT, DDR2, VEGFRs, PDGFRA, FLT3, RET, TRKB

**LOCATIONS:** Aberdeen (United Kingdom), Newcastle (United Kingdom), Glasgow (United Kingdom), Leeds (United Kingdom), Colchester (United Kingdom), Sheffield (United Kingdom), Cambridge (United Kingdom), Manchester (United Kingdom), Leicester (United Kingdom), Maidstone (United Kingdom)

**NCT04250545**
**PHASE 1**

Testing of the Anti Cancer Drugs CB-839 HCl (Telaglenastat) and MLN0128 (Sapanisertib) in Advanced Stage Non-small Cell Lung Cancer

**TARGETS**

mTORC1, mTORC2, GLS

**LOCATIONS:** California, New York

**NCT03065062**
**PHASE 1**

Study of the CDK4/6 Inhibitor Palbociclib (PD-0332991) in Combination With the PI3K/mTOR Inhibitor Gedatolisib (PF-05212384) for Patients With Advanced Squamous Cell Lung, Pancreatic, Head &amp; Neck and Other Solid Tumors

**TARGETS**

PI3K-alpha, PI3K-gamma, mTORC1, mTORC2, CDK4, CDK6

**LOCATIONS:** Massachusetts

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CLINICAL TRIALS

**NCT02159989**
**PHASE 1**

Sapanisertib and Ziv-Aflibercept in Treating Patients With Recurrent Solid Tumors That Are Metastatic or Cannot Be Removed by Surgery

**TARGETS**  
VEGFA, VEGFB, PIGF, mTORC1,  
mTORC2

LOCATIONS: Texas

**NCT03017833**
**PHASE 1**

Sapanisertib and Metformin in Treating Patients With Advanced or Metastatic Relapsed or Refractory Cancers

**TARGETS**  
mTORC1, mTORC2

LOCATIONS: Texas

**NCT03430882**
**PHASE 1**

Sapanisertib, Carboplatin, and Paclitaxel in Treating Patients With Recurrent or Refractory Malignant Solid Tumors

**TARGETS**  
mTORC1, mTORC2

LOCATIONS: Texas

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**APPENDIX**
**Variants of Unknown Significance**

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**GABRA6**  
E218A

**KIT**  
T304A

**MRE11A**  
T226S

**NTRK2**  
T34R

**PDCD1 (PD-1)**  
A202\_R203insGA

**SUFU**  
P482L

**TNFAIP3**  
T262I

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**APPENDIX**
**Genes assayed in FoundationOne®Liquid CDx**

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an \*); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

<b>ABL1</b> Exons 4-9	ACVR1B	<b>AKT1</b> Exon 3	AKT2	AKT3	<b>ALK</b> Exons 20-29, Introns 18, 19	ALOX12B	AMER1 (FAM123B)	<b>APC</b>
<b>AR</b>	<b>ARAF</b> Exons 4, 5, 7, 11, 13, 15, 16	ARFRP1	ARID1A	ASXL1	<b>ATM</b>	<b>ATR</b>	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BCR* Introns 8, 13, 14	<b>BRAF</b> Exons 11-18, Introns 7-10	<b>BRCA1</b> Introns 2, 7, 8, 12, 16, 19, 20	<b>BRCA2</b> Intron 2	BRD4	BRIP1	BTG1
BTG2	<b>BTK</b> Exons 2, 15	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL
<b>CCND1</b>	CCND2	CCND3	CCNE1	CD22	CD70	CD74* Introns 6-8	CD79A	CD79B
<b>CD274</b> (PD-L1)	CDC73	<b>CDH1</b>	<b>CDK12</b>	<b>CDK4</b>	<b>CDK6</b>	CDK8	CDKN1A	CDKN1B
<b>CDKN2A</b>	CDKN2B	CDKN2C	CEBPA	CHEK1	<b>CHEK2</b>	CIC	CREBBP	<b>CRKL</b>
CSF1R	CSF3R	CTCF	CTNNA1	<b>CTNNB1</b> Exon 3	CUL3	CUL4A	CXCR4	CYP17A1
DAXX	DDR1	<b>DDR2</b> Exons 5, 17, 18	DIS3	DNMT3A	DOT1L	EED	<b>EGFR</b> Introns 7, 15, 24-27	EP300
EPHA3	EPHB1	EPHB4	<b>ERBB2</b>	<b>ERBB3</b> Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	ERBB4	ERCC4	ERG	<b>ERRFI1</b>
<b>ESR1</b> Exons 4-8	ETV4* Intron 8	ETV5* Introns 6, 7	<b>ETV6*</b> Introns 5, 6	EWSR1* Introns 7-13	<b>EZH2</b> Exons 4, 16, 17, 18	EZR* Introns 9-11	FAM46C	FANCA
FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12	FGF14	FGF19
FGF23	FGF3	FGF4	FGF6	<b>FGFR1</b> Introns 1, 5, Intron 17	<b>FGFR2</b> Intron 1, Intron 17	<b>FGFR3</b> Exons 7, 9 (alternative designation exon 10), 14, 18, Intron 17	FGFR4	FH
FLCN	FLT1	<b>FLT3</b> Exons 14, 15, 20	<b>FOXL2</b>	FUBP1	GABRA6	GATA3	GATA4	GATA6
<b>GNA11</b> Exons 4, 5	GNA13	<b>GNAQ</b> Exons 4, 5	<b>GNAS</b> Exons 1, 8	GRM3	GSK3B	H3F3A	HDAC1	HGF
HNFI1A	<b>HRAS</b> Exons 2, 3	HSD3B1	ID3	<b>IDH1</b> Exon 4	<b>IDH2</b> Exon 4	IGF1R	IKBKE	IKZF1
INPP4B	IRF2	IRF4	IRS2	JAK1	<b>JAK2</b> Exon 14	<b>JAK3</b> Exons 5, 11, 12, 13, 15, 16	JUN	KDM5A
KDM5C	KDM6A	KDR	KEAP1	KEL	<b>KIT</b> Exons 8, 9, 11, 12, 13, 17, Intron 16	KLHL6	KMT2A (MLL) Introns 6, 8-11, Intron 7	KMT2D (MLL2)

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<b>KRAS</b>	LTK	LYN	MAF	<b>MAP2K1</b> (MEK1) Exons 2, 3	<b>MAP2K2</b> (MEK2) Exons 2-4, 6, 7	MAP2K4	MAP3K1	MAP3K13
MAPK1	MCL1	<b>MDM2</b>	MDM4	MED12	MEF2B	MEN1	MERTK	<b>MET</b>
MITF	MKNK1	MLH1	<b>MPL</b> Exon 10	MRE11A	MSH2 Intron 5	MSH3	MSH6	MST1R
MTAP	<b>MTOR</b> Exons 19, 30, 39, 40, 43-45, 47, 48, 53, 56	MUTYH	MYB* Intron 14	<b>MYC</b> Intron 1	MYCL (MYCL1)	<b>MYCN</b>	<b>MYD88</b> Exon 4	NBN
<b>NF1</b>	NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2 Intron 26	NOTCH3	<b>NPM1</b> Exons 4-6, 8, 10
<b>NRAS</b> Exons 2, 3	NSD3 (WHSC1L1)	NTSC2	<b>NTRK1</b> Exons 14, 15, Introns 8-11	NTRK2 Intron 12	<b>NTRK3</b> Exons 16, 17	NUTM1* Intron 1	P2RY8	<b>PALB2</b>
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	<b>PDCD1LG2</b> (PD-L2)	<b>PDGFRA</b> Exons 12, 18, Introns 7, 9, 11
<b>PDGFRB</b> Exons 12-21, 23	PDK1	PIK3C2B	PIK3C2G	<b>PIK3CA</b> Exons 2, 3, 5-8, 10, 14, 19, 21 (Coding Exons 1, 2, 4-7, 9, 13, 18, 20)	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PTCH1
<b>PTEN</b>	<b>PTPN11</b>	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	<b>RAF1</b> Exons 3, 4, 6, 7, 10, 14, 15, 17, Introns 4-8	RARA Intron 2	<b>RB1</b>	RBM10	REL	<b>RET</b> Introns 7, 8, Exons 11, 13-16, Introns 9-11
RICTOR	RNF43	<b>ROS1</b> Exons 31, 36-38, 40, Introns 31-35	RPTOR	RSP02* Intron 1	SDC4* Intron 2	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SLC34A2* Intron 4	SMAD2	SMAD4	SMARCA4	SMARCB1
<b>SMO</b>	SNCAIP	SOC1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2
STAT3	<b>STK11</b>	SUFU	SYK	TBX3	TEK	TERC* ncRNA	<b>TERT*</b> Promoter	TET2
TGFBR2	TIPARP	<b>TMPRSS2*</b> Introns 1-3	TNFAIP3	TNFRSF14	<b>TP53</b>	TSC1	TSC2	TYRO3
U2AF1	<b>VEGFA</b>	VHL	WHSC1	WT1	XPO1	XRCC2	ZNF217	ZNF703

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

Microsatellite (MS) status

Blood Tumor Mutational Burden (bTMB)

Tumor Fraction

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**APPENDIX**

**About FoundationOne®Liquid CDx**

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.



**ABOUT FOUNDATIONONE LIQUID CDx**

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details.

**INTENDED USE**

FoundationOne Liquid CDx is a next generation sequencing based *in vitro* diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

**TEST PRINCIPLES**

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

**THE REPORT**

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

**QUALIFIED ALTERATION CALLS (EQUIVOCAL)**

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

**RANKING OF THERAPIES AND CLINICAL TRIALS**

*Ranking of Therapies in Summary Table*

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

*Ranking of Clinical Trials*

Pediatric trial qualification → Geographical proximity → Later trial phase.

**LIMITATIONS**

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
5. The test is not intended to provide information on cancer predisposition.
6. Performance has not been validated for cfDNA input below the specified minimum input.
7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of  $\geq 5\%$ , and bTMB is calculated based on variants with an allele frequency of  $\geq 0.5\%$ .
8. Tumor fraction is the percentage of circulating tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from the observed level of aneuploidy in the sample. Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected and is significantly distinct from that typically found in non-tumor samples.
9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited

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**APPENDIX**

About FoundationOne® Liquid CDx

to: *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, *TP53*, and *U2AF1*.

11. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

**REPORT HIGHLIGHTS**

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

**VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING**

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*, *TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to

distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

**VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS**

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

**NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION**

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) ([www.nccn.org](http://www.nccn.org)). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. To view the most recent and complete version of the guidelines, go online to [NCCN.org](http://NCCN.org). NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

**LEVEL OF EVIDENCE NOT PROVIDED**

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

**NO GUARANTEE OF CLINICAL BENEFIT**

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

**NO GUARANTEE OF REIMBURSEMENT**

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

**TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN**

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

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APPENDIX

About FoundationOne®Liquid CDx

**SELECT ABBREVIATIONS**

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

MR Suite Version 5.2.0

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APPENDIX

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