

PATIENT Shih, Hsien-Wei

TUMOR TYPE Pancreas cancer (NOS) COUNTRY CODE TW

REPORT DATE 04 June 2023 ORDERED TEST # ORD-1638962-01

ABOUT THE TEST FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

DISEASE Pancreas cancer (NOS) NAME Shih, Hsien-Wei DATE OF BIRTH 14 January 1973 SEX Male MEDICAL RECORD # 46790652

ORDERING PHYSICIAN Yeh, Yi-Chen MEDICAL FACILITY Taipei Veterans General Hospital ADDITIONAL RECIPIENT None MEDICAL FACILITY ID 205872 PATHOLOGIST Not Provided

SPECIMEN ID HWS 1/14/1973 SPECIMEN TYPE Blood DATE OF COLLECTION 24 May 2023 SPECIMEN RECEIVED 26 May 2023

Biomarker Findings

Blood Tumor Mutational Burden - O Muts/Mb Microsatellite status - MSI-High Not Detected Tumor Fraction - Elevated Tumor Fraction Not Detected

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

STK11 deletion exon 1 **KRAS** G12D RB1 splice site 1814+2T>A TP53 R273H, P250L

Report Highlights

- Targeted therapies with potential clinical benefit approved in another tumor type: Everolimus (p. 10), Temsirolimus (p. 10)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 11)

BIOMARKER FINDINGS

Blood Tumor Mutational Burden -0 Muts/Mb

Microsatellite status -

MSI-High Not Detected

Tumor Fraction -

Elevated Tumor Fraction Not Detected

THERAPY A	AND CLINICA	L TRIAL IMF	LICATION:
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No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected. The fact that elevated tumor fraction was not detected in this specimen indicates the possibility of lower levels of ctDNA but does not compromise confidence in any reported alterations. However, in the setting of a negative liquid biopsy result, orthogonal testing of a tissue specimen should be considered if clinically indicated (see Biomarker Findings section).

GENOMIC FINDINGS	VAF%	THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)
STK11 - deletion exon 1	0.35%	None
8 Trials see p. <u>13</u>		
KRAS - G12D	0.37%	None
10 Trials see p. <u>11</u>		

0.35%	None	Everolimus
		Temsirolimus
0.070/	Name	
0.37%	None	None
0.37%	None	None

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THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)



PATIENT Shih, Hsien-Wei

TUMOR TYPE Pancreas cancer (NOS) COUNTRY CODE TW

REPORT DATE 04 June 2023 ORDERED TEST # ORD-1638962-01

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

RB1 - splice site 1814+2T>A TP53 - R273H, P250L p. <u>8</u> p. 9

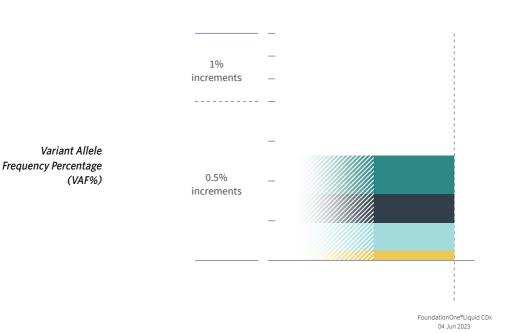
NOTE Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of APC, ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL, and WT1 is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531

Nimesh R. Patel, M.D., Laboratory Director CLIA: 34D2044309

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HISTORIC PATIENT I	FINDINGS	ORD-1638962-01 VAF%		
Blood Tumor Mutational B	mor O Muts/Mb			
Microsatellit	e status	MSI-High Not Detected		
Tumor Fraction	on	Elevated Tumor Fraction Not Detected		
STK11	deletion exon 1	0.35%		
KRAS	● G12D	0.37%		
RB1 ● splice site 1814+2T>A		0.48%		
TP53	● R273H	0.13%		
	• P250L	0.34%		

 $\textbf{IMPORTANT NOTE} \quad \text{This comparison table refers only to genes and biomarkers assayed by prior FoundationOne} \\ \textbf{Liquid CDx or FoundationOne} \\ \textbf{CDx tests. Up to five previous tests may be shown.} \\$

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. Variants reported for prior time points reflect reporting practices at the time of the historical test(s). Changes in variant reporting nomenclature, classification, or handling may result in the appearance of discrepancies across time points. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable or reportable variants may become VUS.

Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of \geq 5%, and bTMB is calculated based on variants with an allele frequency of \geq 0.5%.

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

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PATIENT Shih, Hsien-Wei TUMOR TYPE
Pancreas cancer (NOS)

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VAF% = variant allele frequency percentage

 ${\sf Cannot\,Be\,Determined\,=\,Sample\,is\,not\,of\,sufficient\,data\,quality\,to\,confidently\,determine\,biomarker\,status}$

Please note that other aspects of this table may have changed from the previous version to reflect the most up-to-date reporting information.



BIOMARKER FINDINGS

BIOMARKER

Blood Tumor Mutational Burden

RESULT 0 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies

On the basis of clinical evidence in solid tumors, increased blood tumor mutational burden (bTMB) may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1¹⁻³, anti-PD-1/CTLA4 therapies⁵⁻⁶, anti-PD-L1/CTLA4 therapies⁵⁻⁶, anti-PD-L1/CTLA4 therapies⁷⁻¹⁰. A Phase 2 multi-solid-tumor trial showed that bTMB \geq 16 Muts/Mb (as measured by this assay) was associated with improved survival from treatment with a PD-1 inhibitor alone or in combination with a CTLA-4 inhibitor⁵. In non-small cell lung cancer (NSCLC), multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single-agent or combination treatments with either CTLA4

inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 Muts/Mb-16 Muts/Mb18-10. In head and neck squamous cell carcinoma (HNSCC), a Phase 3 trial showed that bTMB ≥16 Muts/Mb (approximate equivalency ≥8 Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor¹¹¹. In colorectal cancer (CRC), a Phase 2 study showed that bTMB TMB ≥28 Muts/Mb (approximate equivalency ≥14 Muts/Mb as measured by this assay) was associated with improved OS from a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor².

FREQUENCY & PROGNOSIS

Average bTMB levels in solid tumors other than NSCLC have not been evaluated (PubMed, Mar 2023). Published data investigating the prognostic implications of bTMB levels in pancreatic carcinoma are limited (PubMed, Jul 2022). A study of patients with pancreatic ductal adenocarcinoma harboring mismatch repair gene mutations reported improved prognosis for patients with high TMB measured in tissue samples (defined as >50 mutations; survival 69-314 months) compared

to those with lower TMB (average of 5.7 mutations; 10-42 months)¹².

FINDING SUMMARY

Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma $^{13-14}$ and cigarette smoke in lung cancer¹⁵⁻¹⁶, treatment with temozolomide-based chemotherapy in glioma¹⁷⁻¹⁸, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes¹⁹⁻²³, and microsatellite instability (MSI)^{19,22-23}. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents^{1-2,4}. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

Tumor Fraction

RESULT

Elevated Tumor Fraction Not Detected

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies —

Specimens with elevated tumor fraction values have high circulating-tumor DNA (ctDNA) content, and thus higher sensitivity for identifying genomic alterations. Such specimens are at a lower risk of false negative results. However, if elevated tumor fraction is not detected, it does not exclude the presence of disease burden or compromise the confidence of reported alterations. Tumor fraction levels currently have limited implications for diagnosis, surveillance, or therapy and should not

be overinterpreted or compared from one blood draw to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management²⁴⁻²⁹.

FREQUENCY & PROGNOSIS

Detectible ctDNA levels have been reported in a variety of tumor types, with higher tumor fraction levels reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)³⁰. Elevated tumor fraction levels have been reported to be associated with worse prognosis in a variety of cancer types, including pancreatic cancer³¹, Ewing sarcoma and osteosarcoma³², prostate cancer²⁷, breast cancer³³, leiomyosarcoma³⁴, esophageal cancer³⁵, colorectal

cancer36, and gastrointestinal cancer37.

FINDING SUMMARY

Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 singlenucleotide polymorphism (SNP) sites across the genome. Unlike the maximum somatic allele frequency (MSAF) method of estimating ctDNA content³⁸, the tumor fraction metric does not take into account the allele frequency of individual variants but rather produces a more holistic estimate of ctDNA content using data from across the genome. The amount of ctDNA detected may correlate with disease burden and response to therapy³⁹⁻⁴⁰.

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GENOMIC FINDINGS

STK11

ALTERATION deletion exon 1

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

Increased mTOR signaling is present in LKB1-deficient tumors, suggesting therapies targeting mTOR may be relevant for tumors with STK11 alterations⁴¹⁻⁴⁴. Case studies have reported PRs for 2 patients with STK11-mutated pancreatic cancer following treatment with the mTOR inhibitor everolimus⁴⁵, with 1 PR observed for a patient with Peutz-Jeghers syndrome for 9 months⁴⁵. However, for patients with endometrial carcinoma, LKB1 (STK11) protein levels were not significantly correlated with response to everolimus⁴⁶. Glutaminase inhibitors targeting GLS1 are under investigation for patients with STK11-mutated tumors⁴⁷. Although 50% (1/2) of patients with STK11-mutated advanced NSCLC

experienced an SD of 6 months with the GLS1 inhibitor IPN60090, 100% (2/2) of patients with ovarian cancers did not derive clinical benefit from this therapy 47 , and preclinical evidence for this targeted approach is conflicting $^{48-51}$.

FREQUENCY & PROGNOSIS

STK11 mutations have been reported in up to 2.8% of pancreatic adenocarcinomas analyzed in the TCGA dataset⁵²⁻⁵⁴ and in 1-4% of pancreatic carcinoma cases in other studies⁵⁵⁻⁵⁷. LKB1 protein expression has been reported to be reduced or absent in 7-20% of pancreatic adenocarcinomas⁵⁵⁻⁵⁷. The association between reduced LKB1 protein and prognosis in patients with pancreatic cancer is not clear⁵⁷⁻⁵⁸. Patients with Peutz-Jeghers syndrome have been found to have an increased risk for pancreatic cancer, and studies using mouse models have implicated loss of STK11 or LKB1 inhibition in the development of pancreatic cancer^{56,59-62}.

FINDING SUMMARY

The serine/threonine kinase STK11 (also called

LKB1) activates AMPK and negatively regulates the mTOR pathway in response to changes in cellular energy levels⁴¹. LKB1 acts as a tumor suppressor in cancer, as loss of function promotes proliferation and tumorigenesis⁶³⁻⁶⁴. Alterations such as seen here may disrupt STK11 function or expression⁶⁵⁻⁷⁷.

POTENTIAL GERMLINE IMPLICATIONS

Germline mutations in STK11 underlie Peutz-Jeghers syndrome (PJS), a rare autosomal dominant disorder associated with a predisposition for tumor formation⁷⁸. This disorder has an estimated frequency between 1:29,000 and 1:120,000, although reported rates in the literature vary greatly⁷⁸⁻⁸⁰. Although gastrointestinal tumors are the most common malignancies associated with PJS, patients also exhibit an 18-fold increased risk of developing other epithelial cancers⁷⁸⁻⁸⁰, and individuals with this syndrome have a 30-50% risk of developing breast cancer^{78,80}. Given the association with PJS, in the appropriate clinical context testing for the presence of germline mutations in STK11 is recommended.

GENOMIC FINDINGS

KRAS

ALTERATION

G12D

HGVS VARIANT NM_004985.3:c.35G>A (p.G12D)

VARIANT CHROMOSOMAL POSITION

chr12:25398284

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

Preclinical evidence suggests that KRAS activation may predict sensitivity to MEK inhibitors, such as trametinib, binimetinib, cobimetinib, and selumetinib⁸¹⁻⁸⁶. For patients with pancreatic cancer, MEK inhibitor combinations are under investigation. A Phase 2 study of trametinib with pembrolizumab versus gemcitabine after stereotactic body radiotherapy (SBRT) reported increased median OS (mOS, 14.9 months vs. 12.8 months, HR=0.69) benefit for patients with KRASmutated, PD-L1 positive disease⁸⁷. Combination MEK/autophagy inhibitors are also under investigation based on preclinical evidence of increased autophagy downstream of KRASmutated pancreatic tumors⁸⁸⁻⁸⁹. A heavily pretreated patient with pancreatic cancer treated with trametinib plus hydroxychloroquine experienced a PR88. A Phase 2 study of the reoviral agent pelareorep with gemcitabine for patients with pancreatic cancer reported 1 PR, 23 SDs, and 5 PDs for 34 patients with a favorable median OS of 10.2 months⁹⁰. A Phase 1b study of second-line

pelareorep with pembrolizumab and chemotherapy reported 1 PR of 17.4 months and a DCR of 30% (3/ 10)91; an earlier study reported no benefit from pelareorep in combination with paclitaxel/ carboplatin⁹². Trials combining MEK inhibitors with other targeted therapies, such as EGFR inhibitors 93 or PI3K-AKT pathway inhibitors $^{94-95}$, reported no PRs and frequent adverse events for patients with KRAS-mutated pancreatic cancer. Clinical trials combining various MEK inhibitors with gemcitabine reported no additional benefit compared to gemcitabine alone irrespective of KRAS mutation status⁹⁶⁻⁹⁹, despite promising results in earlier trials of MEK inhibitor monotherapies¹⁰⁰⁻¹⁰⁵. In a Phase 1 study evaluating the MEK-pan-RAF dual inhibitor CH5126766, 6 patients harboring KRAS mutations experienced PRs, including 3 with non-small cell lung cancer (NCSLC), 1 with low-grade serous ovarian carcinoma (LGSOC), 1 with endometrial adenocarcinoma, and 1 with multiple myeloma 106 . Combination of CH5126766 with the FAK inhibitor defactinib elicited PR rates of 50% (4/8) for patients with KRAS-mutated LGSOC and 12% (2/17) for patients with KRAS-mutated NSCLC in a Phase 1 study¹⁰⁷⁻¹⁰⁸. Preclinical and clinical data suggest that KRAS mutations may predict clinical benefit from SHP2 inhibitors¹⁰⁹⁻¹¹⁰. A Phase 1 study of RMC-4630 for relapsed/refractory solid tumors reported a DCR of 58% (23/40) for patients with NSCLC and KRAS mutations and a DCR of 75% (12/16) for patients with NSCLC and KRAS G12C mutations¹¹¹. Interim results from a Phase 1/2 study of RMC-4630 plus cobimetinib reported tumor reduction in 3 of 8 patients with KRASmutated colorectal cancer¹¹². Preclinical studies suggest that KRAS activating mutations may

confer sensitivity to SOS1 inhibitors such as BI-3406, MRTX0902, BI-1701963, and BAY-293 as single agents¹¹³⁻¹¹⁸ or in combination with covalent KRAS G12C inhibitors¹¹⁸ and MEK inhibitors¹¹⁹⁻¹²⁰. Preclinical and clinical data suggest that KRAS G12D mutations may predict clinical benefit from KRAS G12D-targeted, T-cell-receptor-based adoptive cell therapy¹²¹⁻¹²³. Case studies of KRAS G12D-targeted, T-cell-receptor-based adoptive cell therapy have reported PRs for pretreated patients with metastatic pancreatic adenocarcinoma¹²¹ or colorectal cancer (CRC)¹²². Preclinical data suggests that KRAS G12D mutations may predict sensitivity to KRAS G12D small-molecule inhibitors, such as MRTX1133¹²⁴⁻¹²⁸ and RMC9805¹²⁹.

FREQUENCY & PROGNOSIS

KRAS mutations have been observed in 91-95% of pancreatic ductal adenocarcinoma cases^{52,130}, with the majority of mutations found at codon 12¹³¹⁻¹³⁴. KRAS mutations, particularly G12D, have been associated with decreased median survival time in patients with pancreatic ductal adenocarcinoma¹³².

FINDING SUMMARY

KRAS encodes a member of the RAS family of small GTPases. Activating mutations in RAS genes can cause uncontrolled cell proliferation and tumor $formation^{82,135}$. KRAS alterations affecting amino acids G12, G13, Q22, P34, A59, Q61, and A146, as well as mutations G1o_A11insG, G1o_A11insAG (also reported as G10_A11dup and G12_G13insAG), A18D, L19F, D33E, G6o_A66dup/E62_A66dup, E62K, E63K, R68S, K117R, and K117N have been characterized as activating and oncogenic $^{82,136-158}$.

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GENOMIC FINDINGS

GENE

RB1

ALTERATION splice site 1814+2T>A

HGVS VARIANT NM_000321.2:c.1814+2T>A (p.?)

VARIANT CHROMOSOMAL POSITION

chr13:49027249

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies —

On the basis of limited clinical data¹⁵⁹ and strong preclinical data¹⁶⁰⁻¹⁶³, RB1 inactivation may be associated with sensitivity to inhibitors of Aurora kinase A, particularly in small cell lung cancer

(SCLC). A clinical study evaluating the Aurora kinase A inhibitor alisertib for patients with prostate cancer did not find an association between RB1 deletion and clinical benefit¹⁶⁴. Other approaches to target RB1 inactivation under investigation in preclinical studies include inhibitors of BCL-2 family members¹⁶⁵ and activation of the NOTCH pathway¹⁶⁶.

FREQUENCY & PROGNOSIS

RB1 mutations have been reported in <1% of pancreatic ductal adenocarcinomas⁵²⁻⁵³. Published data investigating the prognostic implications of RB1 alterations in pancreatic carcinoma are limited (PubMed, Jun 2022). Preclinical data have suggested a role for RB1 inactivation in progression of pancreatic cancer¹⁶⁷⁻¹⁶⁸.

FINDING SUMMARY

RB1 encodes the retinoblastoma protein (Rb), a tumor suppressor and negative regulator of the cell cycle¹⁶⁹⁻¹⁷⁰. Alterations such as seen here may disrupt RB1 function or expression¹⁷¹⁻¹⁷⁷.

POTENTIAL GERMLINE IMPLICATIONS

Mutations in RB1 underlie the development of retinoblastoma (RB), a rare tumor that arises at a rate of approximately 1:20,000 live births, with nearly 5,000 new cases worldwide per year¹⁷⁸. Germline mutations in RB1 account for approximately 40% of RB tumors¹⁷⁹ and are associated with an increased risk of developing secondary malignancies that include soft tissue and bone sarcoma and malignant melanoma¹⁸⁰⁻¹⁸¹. In the appropriate clinical context, germline testing of RB1 is recommended.

GENOMIC FINDINGS

GENE

TP53

ALTERATION

R273H, P250L

HGVS VARIANT NM_000546.4:c.818G>A (p.R273H), NM_000546.4:c.749C>T (p.P250L)

VARIANT CHROMOSOMAL POSITION

chr17:7577120, chr17:7577532

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies —

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib182-185 or p53 gene therapy such as SGT53¹⁸⁶⁻¹⁹⁰. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype191. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 32% (30/94, 3 CR) ORR and a 73% (69/94) DCR for patients with platinumrefractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer¹⁹². A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 43% (9/21, 1 CR) ORR and a 76% (16/21) DCR for patients with platinum-refractory TP53-mutated ovarian cancer¹⁹³. The combination of adavosertib with paclitaxel and carboplatin for patients with TP53-mutated ovarian cancer also significantly increased PFS compared with paclitaxel and carboplatin alone 194. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib combined with paclitaxel¹⁹⁵. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck

squamous cell carcinoma (HNSCC) elicited a 71% (5/7) response rate for patients with TP53 alterations¹⁹⁶. The Phase 2 FOCUS₄-C trial for patients with TP53- and RAS-mutated colorectal cancer reported improvement in PFS (3.61 vs. 1.87 months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring 197 . In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage¹⁹⁰. Missense mutations leading to TP53 inactivation may be sensitive to therapies that reactivate mutated p53 such as eprenetapopt. In a Phase 1b trial for patients with p53-positive highgrade serous ovarian cancer, eprenetapopt combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR¹⁹⁸. A Phase 1 trial of eprenetapopt with pembrolizumab for patients with solid tumors reported an ORR of 10% (3/ 29)¹⁹⁹.

FREQUENCY & PROGNOSIS

TP53 mutations have been reported in 33-75% of pancreatic carcinomas, with the majority occurring as missense mutations, while deletion of TP53 has been found in 66% of pancreatic ductal adenocarcinoma cases^{130,200-202}. TP53 mutations are common in pancreatic ductal adenocarcinomas and are known to occur in the process of pancreatic carcinogenesis²⁰³⁻²⁰⁴. Additionally, aberrant expression of p53 has been found in 54-81% of pancreatic ductal adenocarcinoma cases^{201,205-207}. Studies have found inconsistent results regarding the prognostic significance of p53 expression in pancreatic ductal adenocarcinoma, although one study correlated low levels of TP53 mRNA with poor patient prognosis^{205,208-209}.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers²¹⁰. Alterations such as

seen here may disrupt TP₅₃ function or expression²¹¹⁻²¹⁵.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the TP53 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with Li-Fraumeni syndrome (ClinVar, Apr 2023)²¹⁶. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers²¹⁷⁻²¹⁹, including sarcomas²²⁰⁻²²¹. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000²²² to 1:20,000²²¹. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30²²³. In the appropriate clinical context, germline testing of TP53 is recommended.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion²²⁴⁻²²⁹. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy²²⁴⁻²²⁵. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease²³⁰. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to $CH^{228,231-232}$. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.



THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Everolimus

Assay findings association

STK11 deletion exon 1

AREAS OF THERAPEUTIC USE

Everolimus is an orally available mTOR inhibitor that is FDA approved to treat renal cell carcinoma (RCC) following antiangiogenic therapy; pancreatic neuroendocrine tumors; and well-differentiated nonfunctional neuroendocrine tumors of the lung or gastrointestinal tract. Everolimus is also approved to treat either renal angiomyolipoma or subependymal giant cell astrocytoma in association with tuberous sclerosis complex (TSC). Please see the drug label for full prescribing information.

GENE ASSOCIATION

Based on cases of clinical benefit in pancreatic cancer following everolimus treatment^{45,233}, STK₁₁ inactivation may confer sensitivity to mTOR inhibitors.

SUPPORTING DATA

A Phase 1 study for patients with metastatic pancreatic adenocarcinoma reported minimal efficacy for the combination of ribociclib and everolimus with 3/12 SD at 8 weeks as the best response²³⁴. In some tumor types,

including pancreatic cancer, it has been observed that monotherapy with mTOR inhibitors can activate a feedback loop involving the PI₃K-AKT pathway, sometimes causing rapid progression of the tumor²³⁵. Treatment with a dual mTOR and PI3K inhibitor, or with a combination of these inhibitors, may circumvent this phenomenon. In a Phase 1/2 study of patients with advanced pancreatic adenocarcinoma, the combination of everolimus, cetuximab, and capecitabine was found to be excessively toxic with minimal efficacy²³⁶. Early studies with single-agent everolimus in pancreatic cancer also did not show efficacy²³⁷; however, clinical trials examining mTOR inhibitors in combination with other chemotherapeutics are underway in pancreatic cancer. Whereas frequent adverse events precluded a recommended Phase 2 dose and schedule for the combination of trametinib and everolimus in a Phase 1b trial for solid tumors²³⁸, a retrospective study for heavily pretreated patients with solid tumors reported tolerable regimens of the combination for 23/31 patients, with 16 patients treated >3 months and evaluable patients achieving a median PFS of 6.5 months²³⁹.

Temsirolimus

Assay findings association

STK11 deletion exon 1

AREAS OF THERAPEUTIC USE

Temsirolimus is an intravenous mTOR inhibitor that is FDA approved for the treatment of advanced renal cell carcinoma. Please see the drug label for full prescribing information.

GENE ASSOCIATION

Based on cases of clinical benefit in pancreatic cancer following everolimus treatment^{45,233}, STK11 inactivation may confer sensitivity to mTOR inhibitors.

SUPPORTING DATA

A Phase 2 clinical trial in patients with pancreatic cancer reported that temsirolimus monotherapy was ineffective and may have contributed to disease progression²³⁵. A Phase 1 trial of bevacizumab and temsirolimus plus liposomal doxorubicin in patients with advanced solid tumors showed that the combination was well tolerated

and resulted in six-month SD in 21% of patients, with a 21% rate of partial or complete remission²⁴⁰. In a Phase 2 clinical trial in non-small cell lung cancer (NSCLC), temsirolimus showed clinical benefit, but further studies are warranted²⁴¹. A Phase 2 study of temsirolimus in patients with KRAS-mutant colorectal cancer reported limited efficacy; however, all patients who exhibited tumor reduction were found to have low levels of mutated KRAS in plasma samples²⁴². A Phase 2 clinical trial in patients with pancreatic cancer reported that temsirolimus monotherapy had limited efficacy, and may have contributed to disease progression²³⁵. A study examining the efficacy of temsirolimus-involving regimens in 24 patients with mesenchymal/metaplastic breast cancer (MpBCs) reported 2 CRs, 4 PRs, 2 instances of SD longer than 6 months, and 4 instances of SD shorter than 6 months243.

NOTE Genomic alterations detected may be associated with activity of certain US FDA or other specific country approved therapies; however, the therapies listed in this report may have varied evidence in the patient's tumor type. The listed therapies are not ranked in order of potential or predicted efficacy for this patient or in order of level of evidence for this patient's tumor type. The therapies listed in this report may not be complete and/or exhaustive. Furthermore, the listed therapies are limited to US FDA approved pharmaceutical drug products that are linked to a specific genomic alteration. There may also be US FDA approved pharmaceutical drug products that are not linked to a genomic alteration. Further there may also exist pharmaceutical drug products that are not approved by the US FDA or other national authorities. There may also be other treatment modalities available than pharmaceutical drug products.

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CLINICAL TRIALS

IMPORTANT Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial \Rightarrow Geographical proximity \Rightarrow Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov. However, clinicaltrials.gov does not list all clinical trials that might be available.

KRAS

ALTERATION G12D

PATIONAL F

Multiple clinical studies have reported lack of efficacy of MEK inhibitors as monotherapy for treatment of KRAS-mutant pancreatic cancer. Emerging data suggest patients with KRAS-mutant pancreatic cancer may be sensitive to MEK-pan-RAF dual inhibitors or combination

MEK/autophagy inhibitors. Preclinical and clinical evidence suggest that KRAS G12D mutations may confer sensitivity to KRAS G12D-targeted, T-cell-receptor-based adoptive cell therapy, KRAS G12D small-molecule inhibitors, or SOS1 inhibitors.

NCT05533463

Phase I Study of HRS-4642 in Patients With Advanced Solid Tumors Harboring KRAS G12D Mutation

PHASE 1

TARGETS KRAS

LOCATIONS: ShangHai (China)

NCT05438667

TCR-T Cell Therapy on Advanced Pancreatic Cancer and Other Solid Tumors

PHASE NULL

TARGETS KRAS

LOCATIONS: Guangzhou (China)

NCT04892017

PHASE 1/2

A Safety, Tolerability and PK Study of DCC-3116 in Patients With RAS or RAF Mutant Advanced or

Metastatic Solid Tumors.

TARGETS
ULK1, ULK2, MEK

LOCATIONS: Oregon, Massachusetts, New York, Texas, Pennsylvania

NCT05578092

PHASE 1/2

A Phase 1/2 Study of MRTX0902 in Solid Tumors With Mutations in the KRAS MAPK Pathway

TARGETS SOS1, KRAS

LOCATIONS: Colorado, Ohio, Tennessee, Maryland, Virginia, Texas

NCT05737706

PHASE 1/2

Study of MRTX1133 in Patients With Advanced Solid Tumors Harboring a KRAS G12D Mutation

TARGETS KRAS

LOCATIONS: Michigan, Massachusetts, New York, Tennessee, Texas, Virginia, Florida

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TUMOR TYPE
Pancreas cancer (NOS)

REPORT DATE 04 June 2023



ORDERED TEST # ORD-1638962-01

CLINICAL TRIALS

NCT05669482	PHASE 1/2	
Study of Avutometinib (VS-6766) +Defactinib With Gemcitabine and Nab-paclitaxel in Patients With Pancreatic Cancer	TARGETS RAFs, MEK, FAK	
LOCATIONS: Missouri, New York, Pennsylvania		
NCT03745326	PHASE 1/2	
Administering Peripheral Blood Lymphocytes Transduced With a Murine T-Cell Receptor Recognizing the G12D Variant of Mutated RAS in HLA-A*11:01 Patients	TARGETS KRAS	
LOCATIONS: Maryland		
NCT05194735	PHASE 1/2	
Phase I/II Study of Autologous T Cells to Express T-Cell Receptors (TCRs) in Subjects With Solid Tumors	TARGETS KRAS	
LOCATIONS: Texas		
NCT03825289	PHASE 1	
Trametinib and Hydroxychloroquine in Treating Patients With Pancreatic Cancer	TARGETS MEK	
LOCATIONS: Utah		
NCT04132505	PHASE 1	
Binimetinib and Hydroxychloroquine in Treating Patients With KRAS Mutant Metastatic Pancreatic Cancer	TARGETS MEK	
LOCATIONS: Texas		



CLINICAL TRIALS

GEN	E	
57	ΓΚ	11

ALTERATION deletion exon 1

RATIONALE

Increased mTOR signaling is present in LKB1-deficient tumors, suggesting therapies targeting mTOR may be relevant for tumors with STK11 alterations.

acietion exon i	
NCT03662412	PHASE 1/2
Study of Sirolimus in Patients With Advanced Pancreatic Cancer	TARGETS mTOR
LOCATIONS: Hangzhou (China)	
NCT03239015	PHASE 2
Efficacy and Safety of Targeted Precision Therapy in Refractory Tumor With Druggable Molecular Event	TARGETS EGFR, ERBB4, ERBB2, PARP, mTOR, MET, ROS1, RET, VEGFRs, BRAF, CDK4, CDK6
LOCATIONS: Shanghai (China)	
NCT04803318	PHASE 2
Trametinib Combined With Everolimus and Lenvatinib for Recurrent/Refractory Advanced Solid Tumors	TARGETS mTOR, FGFRs, RET, PDGFRA, VEGFRs, KIT, MEK
LOCATIONS: Guangzhou (China)	
NCT05125523	PHASE 1
A Study of Sirolimus for Injection (Albumin Bound) in Patients With Advanced Solid Tumors	TARGETS mTOR
LOCATIONS: Tianjin (China)	
NCT03297606	PHASE 2
Canadian Profiling and Targeted Agent Utilization Trial (CAPTUR)	TARGETS VEGFRS, ABL, SRC, ALK, ROS1, AXL, TRKA, MET, TRKC, DDR2, KIT, EGFR, PD-1, CTLA-4, PARP, CDK4, CDK6, FLT3, CSF1R, RET, mTOR, ERBB2, MEK, BRAF, SMO

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LOCATIONS: Vancouver (Canada), Edmonton (Canada), Saskatoon (Canada), Regina (Canada), Ottawa (Canada), Montreal (Canada), Toronto (Canada),

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Kingston (Canada), London (Canada)



TUMOR TYPE
Pancreas cancer (NOS)

REPORT DATE 04 June 2023

FOUNDATION ONE ** LIQUID CDx

CLINICAL TRIALS

ORDERED TEST # ORD-1638962-01

NCT05036226	PHASE 1/2
COAST Therapy in Advanced Solid Tumors and Prostate Cancer	TARGETS DDR2, ABL, SRC, KIT, mTOR
LOCATIONS: South Carolina	
NCT01582191	PHASE 1
A Phase 1 Trial of Vandetanib (a Multi-kinase Inhibitor of EGFR, VEGFR and RET Inhibitor) in Combination With Everolimus (an mTOR Inhibitor) in Advanced Cancer	TARGETS mTOR, EGFR, SRC, RET, VEGFRS
LOCATIONS: Texas	
NCT03203525	PHASE 1
Combination Chemotherapy and Bevacizumab With the NovoTTF-100L(P) System in Treating Participants With Advanced, Recurrent, or Refractory Hepatic Metastatic Cancer	TARGETS VEGFA, mTOR
LOCATIONS: Texas	



TUMOR TYPE Pancreas cancer (NOS)

REPORT DATE 04 June 2023



CBL

(p.A515P)

chr11:119155790

NM_005188.2: c.1543G>C

ORDERED TEST # ORD-1638962-01

APPENDIX

Variants of Unknown Significance

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

ATR

NM_001184.3: c.4654A>G (p.11552V) chr3:142231300

KLHL6

NM_130446.2: c.1182T>A (p.Y394*) chr3:183212035

FANCG

NM_004629.1: c.881G>A (p.G294E) chr9:35076764

FLT3

NM_004119.2: c.190G>A (p.G64R) chr13:28636182



APPENDIX

Genes assayed in FoundationOne®Liquid CDx

ORDERED TEST # ORD-1638962-01

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

ABL1 Exons 4-9	ACVR1B	AKT1 Exon 3	AKT2	AKT3	ALK Exons 20-29, Introns 18, 19	ALOX12B	AMER1 (FAM123B or WTX)	APC
AR	ARAF Exons 4, 5, 7, 11, 13, 15, 16	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BCR* Introns 8, 13, 14	BRAF Exons 11-18, Introns 7-10	BRCA1 D Introns 2, 7, 8, 12, 16, 19, 20	BRCA2 0 Intron 2	BRD4	BRIP1	BTG1
BTG2	BTK Exons 2, 15	CALR	CARD11	CASP8	CBFB	CBL	CCND1	CCND2
CCND3	CCNE1	CD22	CD70	CD74* Introns 6-8	CD79A	CD79B	CD274 (PD-L1)	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	СНЕК1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1 Exon 3	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2 Exons 5, 17, 18	DIS3	DNMT3A	DOT1L	EED	EGFR Introns 7, 15, 24-27	EMSY (C11orf30)	EP300	ЕРНАЗ
ЕРНВ1	ЕРНВ4	ERBB2	ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	ERBB4	ERCC4	ERG	ERRFI1	ESR1 Exons 4-8
ETV4* Intron 8	ETV5* Introns 6, 7	ETV6* Introns 5, 6	EWSR1* Introns 7-13	EZH2 Exons 4, 16, 17, 18	EZR* Introns 9-11	FANCA	FANCC	FANCG
FANCL	FAS	FBXW7	FGF10	FGF12	FGF14	FGF19	FGF23	FGF3
FGF4	FGF6	FGFR1 Introns 1, 5, Intron 17	FGFR2 Intron 1, Intron 17	FGFR3 Exons 7, 9 (alternative designation exon 10),	FGFR4	FH	FLCN	FLT1
FLT3 Exons 14, 15, 20	FOXL2	FUBP1	GABRA6	14, 18, Intron 17 GATA3	GATA4	GATA6	GID4 (C17orf39)	GNA11 Exons 4, 5
GNA13	GNAQ Exons 4, 5	GNAS Exons 1, 8	GRM3	GSK3B	H3-3A (H3F3A)	HDAC1	HGF	HNF1A
HRAS Exons 2, 3	HSD3B1	ID3	IDH1 Exon 4	IDH2 Exon 4	IGF1R	IKBKE	IKZF1	INPP4B
IRF2	IRF4	IRS2	JAK1	JAK2 Exon 14	<i>JAK3</i> Exons 5, 11, 12, 13, 15, 16	JUN	KDM5A	KDM5C
KDM6A	KDR	KEAP1	KEL	KIT Exons 8, 9, 11, 12, 13, 17 Intron 16	KLHL6 ,	KMT2A (MLL) Introns 6, 8-11, Intron 7	KMT2D (MLL2)	KRAS

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APPENDIX

Genes assayed in FoundationOne®Liquid CDx

ORDERED TEST # ORD-1638962-01

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an *); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

LTK	LYN	MAF	MAP2K1 (MEK1) Exons 2, 3	MAP2K2 (MEK2) Exons 2-4, 6,	MAP2K4 7	MAP3K1	MAP3K13	МАРК1
MCL1	MDM2	MDM4	MED12	MEF2B	MEN1	MERTK	MET	MITF
MKNK1	MLH1	MPL Exon 10	MRE11 (MRE11A)	MSH2 Intron 5	MSH3	MSH6	MST1R	MTAP
MTOR Exons 19, 30, 39, 40, 43-45, 47, 48, 53, 56	МИТҮН	MYB* Intron 14	MYC Intron 1	MYCL (MYCL1)	MYCN	MYD88 Exon 4	NBN	NF1
NF2	NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2 Intron 26	<i>NOTCH3</i>	NPM1 Exons 4-6, 8, 10	NRAS Exons 2, 3
NSD2 (WHSC1 or MMSET)	NSD3 (WHSC1L1)	NT5C2	NTRK1 Exons 14, 15, Introns 8-11	NTRK2 Intron 12	NTRK3 Exons 16, 17	NUTM1* Intron 1	P2RY8	PALB2
PARP1	PARP2	PARP3	PAX5	PBRM1	<i>PDCD1</i> (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA Exons 12, 18, Introns 7, 9, 11	PDGFRB Exons 12-21, 23
PDK1	PIK3C2B	PIK3C2G	PIK3CA Exons 2, 3, 5-8, 10, 14, 19, 21 (Coding Exons 1, 2, 4-7, 9, 13, 18, 20)		PIK3R1	PIM1	PMS2	POLD1
POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PRKN (PARK2)	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1 Exons 3, 4, 6, 7, 10, 14, 15, 17, Introns 4-8	RARA Intron 2	RB1	RBM10	REL	RET Introns 7, 8, Exons 11, 13-16, Introns 9-11
RICTOR	RNF43	ROS1 Exons 31, 36-38, 40, Introns 31-35	RPTOR	RSPO2* Intron 1	SDC4* Intron 2	SDHA	SDHB	SDHC
SDHD	SETD2	SF3B1	SGK1	SLC34A2* Intron 4	SMAD2	SMAD4	SMARCA4	SMARCB1
SMO	SNCAIP	SOCS1	SOX2	SOX9	SPEN	SPOP	SRC	STAG2
STAT3	STK11	SUFU	SYK	TBX3	TEK	<i>TENT5C</i> (FAM46C)	TERC* ncRNA	TERT* Promoter
TET2	TGFBR2	TIPARP	TMPRSS2* Introns 1-3	TNFAIP3	TNFRSF14	TP53	TSC1	TSC2
TYRO3	U2AF1	VEGFA	VHL	WT1	XPO1	XRCC2	ZNF217	ZNF703

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Microsatellite (MS) status Blood Tumor Mutational Burden (bTMB) Tumor Fraction

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APPENDIX

About FoundationOne®Liquid CDx

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Cipalstraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.





ABOUT FOUNDATIONONE LIQUID CDX

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform highcomplexity clinical testing.

Please refer to technical information for performance specification details.

INTENDED USE

FoundationOne Liquid CDx is a next generation sequencing based in vitro diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

TEST PRINCIPLES

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted

cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

RANKING OF THERAPIES AND CLINICAL TRIALS

Ranking of Therapies in Summary Table Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials Pediatric trial qualification → Geographical proximity → Later trial phase.

LIMITATIONS

- 1. For in vitro diagnostic use.
- 2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
- **3.** A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
- 4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
- **5.** The test is not intended to provide information on cancer predisposition.
- 6. Performance has not been validated for cfDNA input below the specified minimum input.
- 7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of ≥5%, and bTMB is calculated based on variants with an allele frequency of ≥0.5%.
- 8. Tumor fraction is the percentage of circulating tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate is computationally derived from the observed level of aneuploidy in the sample. Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected and is significantly distinct from that typically found in non-tumor samples.
- 9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
- **10.** Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited to: ASXL1, ATM, CBL, CHEK2, DNMT3A, JAK2,

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APPENDIX

About FoundationOne®Liquid CDx

*KMT*2*D* (*MLL*2), *MPL*, *MYD88*, *SF*3*B*1, *TET*2, *TP*53, and *U*2*AF*1.

- 11. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
- 12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of followup germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MLH1, MSH2, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51C, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, TSC2, and VHL, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's

tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are ASXL1, ATM, CBL, CHEK2, DNMT3A, IDH2, JAK2, KMT2D (MLL2), MPL, MYD88, SF3B1, TET2, and U2AF1 and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2023. All rights reserved. To view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of

disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >4obp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

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TUMOR TYPE Pancreas cancer (NOS)

REPORT DATE 04 June 2023



APPENDIX

About FoundationOne®Liquid CDx

ORDERED TEST # ORD-1638962-01

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
os	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
ткі	Tyrosine kinase inhibitor

REFERENCE SEQUENCE INFORMATION

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

MR Suite Version (RG) 7.9.0

APPENDIX

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