

**ABOUT THE TEST** FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA.

|                |  |                  |  |                 |   |
|----------------|--|------------------|--|-----------------|---|
| <b>PATIENT</b> | <b>DISEASE</b> Bile duct extrahepatic cholangiocarcinoma | <b>PHYSICIAN</b> | <b>ORDERING PHYSICIAN</b> Yeh, Yi-Chen                   | <b>SPECIMEN</b> | <b>SPECIMEN ID</b> HHL 01/10/1951         |
|                | <b>NAME</b> Lu, Hung-Hsin                                |                  | <b>MEDICAL FACILITY</b> Taipei Veterans General Hospital |                 | <b>SPECIMEN TYPE</b> Blood                |
|                | <b>DATE OF BIRTH</b> 10 January 1951                     |                  | <b>ADDITIONAL RECIPIENT</b> None                         |                 | <b>DATE OF COLLECTION</b> 10 January 2024 |
|                | <b>SEX</b> Male  |                  | <b>MEDICAL FACILITY ID</b> 205872                        |                 | <b>SPECIMEN RECEIVED</b> 16 January 2024  |
|                | <b>MEDICAL RECORD #</b> 18200094                         |                  | <b>PATHOLOGIST</b> Not Provided                          |                 |   |

## Biomarker Findings

**Blood Tumor Mutational Burden** - 8 Muts/Mb  
**ctDNA Tumor Fraction** - High (50%)  
**Microsatellite status** - MSI-High Not Detected

## Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

**ARID1A** E2034\*  
**ASXL1** L386\*  
**SMAD4** E538fs\*14  
**TP53** R273H, V143M, V157F

This assay tested >300 cancer-related genes, including the following 2 gene(s) routinely assessed in this tumor type: **FGFR2**, **IDH1**.

## Report Highlights

- **High ctDNA Tumor Fraction** was detected, indicating a lower risk of false negative results (p. [5](#))
- Evidence-matched **clinical trial options** based on this patient's genomic findings: (p. [9](#))
- Variants that may represent **clonal hematopoiesis** and may originate from non-tumor sources: **ASXL1** L386\* (p. [6](#))

### BIOMARKER FINDINGS

**Blood Tumor Mutational Burden** -  
 8 Muts/Mb

**ctDNA Tumor Fraction** - High (50%)

**Microsatellite status** -  
 MSI-High Not Detected

### GENOMIC FINDINGS

**ARID1A** - E2034\* 54.3%

10 Trials see p. [9](#)

### VAF%

### THERAPY AND CLINICAL TRIAL IMPLICATIONS

**No therapies or clinical trials.** See Biomarker Findings section

**High ctDNA Tumor Fraction** defined as  $\geq 1.0\%$  based on concordance for defined short variants and fusions. See Biomarker Finding Summary.

**MSI-High** not detected. No evidence of microsatellite instability in this sample (see Appendix section).

### THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)

None

### THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)

None

### VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

**ASXL1** - L386\* ..... p. [6](#)

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**GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS**

*For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.*

**ASXL1 - L386\*** ..... **p. 6**    **TP53 - R273H, V143M, V157F** ..... **p. 8**  
**SMAD4 - E538fs\*14** ..... **p. 7**

**NOTE** Genomic alterations detected may be associated with activity of certain approved therapies; however, the therapies listed in this report may have varied clinical evidence in the patient's tumor type. Therapies and the clinical trials listed in this report may not be complete and/or exhaustive. Neither the therapies nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type. This report should be regarded and used as a supplementary source of information and not as the single basis for the making of a therapy decision. All treatment decisions remain the full and final responsibility of the treating physician and physicians should refer to approved prescribing information for all therapies. Therapies contained in this report may have been approved by the US FDA or other national authorities; however, they might not have been approved in your respective country. In the appropriate clinical context, germline testing of *APC, ATM, BAP1, BRCA1, BRCA2, BRIP1, CHEK2, FH, FLCN, MEN1, MLH1, MSH2, MSH6, MUTYH, NF1, NF2, PALB2, PMS2, POLE, PTEN, RAD51C, RAD51D, RB1, RET, SDHA, SDHB, SDHC, SDHD, SMAD4, STK11, TGFBR2, TP53, TSC1, TSC2, VHL*, and *WT1* is recommended.

Variant Allele Frequency is not applicable for copy number alterations.

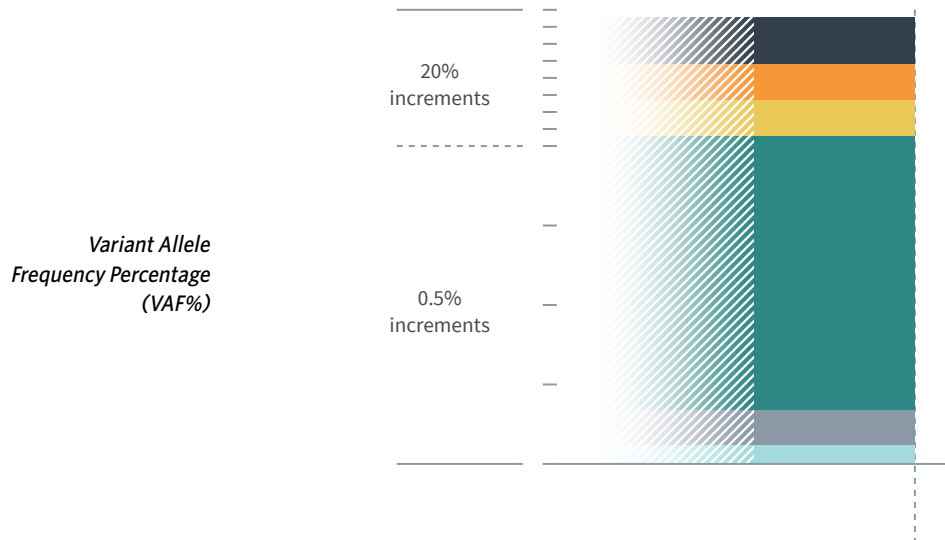
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ORDERED TEST # ORD-1798668-01



FoundationOne®Liquid CDx  
22 Jan 2024

| HISTORIC PATIENT FINDINGS (Biomarker Findings) |             | ORD-1798668-01        |
|--|-------------|-----------------------|
| <b>Blood Tumor Mutational Burden</b>           |             | 8 Muts/Mb             |
| <b>Microsatellite status</b>                   |             | MSI-High Not Detected |
| <b>ctDNA Tumor Fraction</b>                    |             | 50%                   |
| HISTORIC PATIENT FINDINGS (Genomic Findings)   |             | VAF%                  |
| <b>ARID1A</b>                                  | ● E2034*    | 54.3%                 |
| <b>ASXL1</b>                                   | ● L386*     | 14.3%                 |
| <b>SMAD4</b>                                   | ● E538fs*14 | 41.7%                 |
| <b>TP53</b>                                    | ● V157F     | 0.12%                 |
|  | ● V143M     | 0.22%                 |
|  | ● R273H     | 42.9%                 |

**IMPORTANT NOTE** This comparison table refers only to genes and biomarkers assayed by prior FoundationOne®Liquid CDx or FoundationOne®CDx tests. Up to five previous tests may be shown.

For some genes in FoundationOne Liquid CDx, only select exons are assayed. Therefore, an alteration found by a previous test may not have been confirmed despite overlapping gene lists. Please refer to the Appendix for the complete list of genes and exons assayed. Variants reported for prior time points reflect reporting practices at the time of the historical test(s). Changes in variant reporting nomenclature, classification, or handling may result in the appearance of discrepancies across time points. The gene and biomarker list will be updated periodically to reflect new knowledge about cancer biology.

ctDNA Tumor Fraction may include previous Tumor Fraction results which reflect reporting practices at the time of reporting. Changes in biomarker reporting may result in the appearance of discrepancies across time points.

As new scientific information becomes available, alterations that had previously been listed as Variants of Unknown Significance (VUS) may become reportable or reportable variants may become VUS.

Tissue Tumor Mutational Burden (TMB) and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding  
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genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of  $\geq 5\%$ , and bTMB is calculated based on variants with an allele frequency of  $\geq 0.5\%$ .

Not Tested = not baited, not reported on test, or test preceded addition of biomarker or gene

Not Detected = baited but not detected on test

Detected = present (VAF% is not applicable)

VAF% = variant allele frequency percentage

Cannot Be Determined = Sample is not of sufficient data quality to confidently determine biomarker status

Please note that other aspects of this table may have changed from the previous version to reflect the most up-to-date reporting information.

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BIOMARKER FINDINGS

BIOMARKER

## Blood Tumor Mutational Burden

RESULT

8 Muts/Mb

### POTENTIAL TREATMENT STRATEGIES

#### — Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased blood tumor mutational burden (bTMB) may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1<sup>1-5</sup>, anti-PD-1<sup>2,5-8</sup>, anti-PD-1/CTLA4 therapies<sup>2,6</sup>, anti-PD-L1/CTLA4 therapies<sup>1,9-13</sup>. A Phase 2 multi-solid-tumor trial showed that bTMB  $\geq 16$  Muts/Mb (as measured by this assay) was associated with improved survival from treatment with a PD-1 inhibitor alone or in combination with a CTLA-4 inhibitor<sup>6</sup>. In non-small cell lung cancer (NSCLC), multiple clinical trials have shown patients with higher bTMB derive clinical benefit from immune checkpoint inhibitors following single-agent or combination treatments with either CTLA4 inhibitors or chemotherapy, with reported high

bTMB cutpoints ranging from 6 Muts/Mb-16 Muts/Mb<sup>3,11-13</sup>. In head and neck squamous cell carcinoma (HNSCC), a Phase 3 trial showed that bTMB  $\geq 16$  Muts/Mb (approximate equivalency  $\geq 8$  Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor<sup>1</sup>. In colorectal cancer (CRC), a Phase 2 study showed that bTMB  $\geq 28$  Muts/Mb (approximate equivalency  $\geq 14$  Muts/Mb as measured by this assay) was associated with improved OS from a PD-L1 inhibitor alone or in combination with a CTLA-4 inhibitor<sup>9-10</sup>.

### FREQUENCY & PROGNOSIS

Among cholangiocarcinoma and gallbladder cancer cases profiled by F1LCDx, 4.8% and 8.7% of cases had a blood tumor mutational burden (bTMB) of  $>10$  Muts/Mb, respectively<sup>14</sup>. Published data investigating the prognostic implications of bTMB levels in biliary tract cancer are limited (PubMed, Jul 2023). Although cases with hypermutated biliary tract cancer were enriched in a subgroup with poor prognosis in 1 study<sup>15</sup>, TMB-high ( $\geq 10$  mut/Mb) status in biliary adenocarcinoma not treated with immunotherapy was not significantly associated with OS in another study, in which

patients with TMB-high tumors experienced numerically longer OS compared with patients with TMB-low tumors (11.5 vs. 8.4 months, adjusted HR=0.65)<sup>16</sup>.

### FINDING SUMMARY

Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>17-18</sup> and cigarette smoke in lung cancer<sup>19-20</sup>, treatment with temozolomide-based chemotherapy in glioma<sup>21-22</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>23-27</sup>, and microsatellite instability (MSI)<sup>23,26-27</sup>. High bTMB levels were not detected in this sample. It is unclear whether the bTMB levels in this sample would be predicted to be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents<sup>3-4,8,28-29</sup>. Depending on the clinical context, TMB testing of an alternate sample or by another methodology could be considered.

BIOMARKER

## ctDNA Tumor Fraction

RESULT

High (50%)

### POTENTIAL TREATMENT STRATEGIES

#### — Targeted Therapies —

Specimens with high circulating-tumor DNA (ctDNA) tumor fraction have high ctDNA content and thus higher sensitivity for identifying genomic alterations<sup>30-31</sup>. Such specimens are at a lower risk of false-negative results<sup>30-32</sup>. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an FDA-approved or appropriately validated in their countries tumor tissue test, if available. Single

observations or changes over time of circulating-tumor DNA (ctDNA) quantity are not currently part of any clinical decision-making guidelines but may be a useful indicator for future cancer management<sup>33-40</sup>.

### FREQUENCY & PROGNOSIS

In a large genomic study of 25 solid tumor types, 69% of liquid biopsy samples had ctDNA levels  $>1\%$  as measured by an investigational composite tumor fraction algorithm with a median tumor fraction of 2.2% across tumor types<sup>31</sup>. Median ctDNA levels were reported to be highest in small cell lung cancer, liver, colon, and bladder tumor types and lowest in glioma and appendiceal cancers<sup>31</sup>. Higher ctDNA levels were reported for patients with metastatic (Stage 4) tumors compared with patients with localized disease (Stages 1 to 3)<sup>41</sup>. Higher ctDNA levels have been reported to be associated with worse prognosis in a variety of advanced solid tumors<sup>42</sup>, including non-small cell lung cancer (NSCLC)<sup>43</sup>, colorectal cancer (CRC)<sup>43-44</sup>, pancreatic cancer<sup>45</sup>, Ewing sarcoma and

osteosarcoma<sup>46</sup>, prostate cancer<sup>38,43,47</sup>, breast cancer<sup>43,48</sup>, leiomyosarcoma<sup>49</sup>, esophageal cancer<sup>50</sup>, and gastrointestinal cancer<sup>51</sup>.

### FINDING SUMMARY

The ctDNA tumor fraction provides an estimate of the percentage of circulating tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The ctDNA tumor fraction algorithm utilized for FoundationOne Liquid CDx integrates multiple distinct genomic features, including aneuploidy and the observed allele frequencies of somatic short variants and rearrangements. High ctDNA tumor fraction was detected in this sample. In a study of patients with advanced non-small cell lung cancer (NSCLC), the positive predictive agreement and negative predictive value of liquid biopsy compared with tissue for the detection of targetable driver alterations was 96% and 96%, respectively, when ctDNA tumor fraction was high (greater or equal to 1%)<sup>30</sup>.

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ORDERED TEST # ORD-1798668-01

GENOMIC FINDINGS

GENE  
**ARID1A**

ALTERATION  
E2034\*

HGVS VARIANT  
NM\_006015.4:c.6100G>T (p.E2034\*)

VARIANT CHROMOSOMAL POSITION  
chr1:27106489

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no therapies approved to address the mutation or loss of ARID1A in cancer. However, on the basis of limited clinical and preclinical evidence, ARID1A inactivating mutations may lead to sensitivity to ATR inhibitors such as berzosertib and ceralasertib<sup>52</sup>. In Phase 2 studies of ceralasertib in solid tumors, patients with endometrial carcinoma or rare gynecological cancers with ARID1A loss or pathogenic mutations showed clinical response with ceralasertib treatment<sup>53-54</sup>. One patient with small cell lung cancer harboring an ARID1A mutation experienced a PR when treated with berzosertib combined with topotecan<sup>55</sup>. In a Phase 1 trial, a patient with metastatic colorectal cancer (CRC) harboring both

an ARID1A mutation and ATM loss treated with single-agent berzosertib achieved a CR that was ongoing at 29 months<sup>56</sup>. On the basis of limited clinical and preclinical evidence, ARID1A inactivation may predict sensitivity to EZH2 inhibitors<sup>57-59</sup>. A Phase 1 study of EZH2 inhibitor CPI-0209 reported 1 PR for a patient with ARID1A-mutated endometrial cancer<sup>57</sup>. Other studies have reported that the loss of ARID1A may activate the PI3K/AKT pathway and be linked with sensitivity to inhibitors of this pathway<sup>60-62</sup>. Patients with ARID1A alterations in advanced or metastatic solid tumors may derive benefit from treatment with anti-PD-1 or anti-PD-L1 immunotherapy<sup>63</sup>. Loss of ARID1A expression has been associated with chemoresistance to platinum-based therapy for patients with ovarian clear cell carcinoma<sup>64-65</sup> and to 5-fluorouracil in CRC cell lines<sup>66</sup>.

FREQUENCY & PROGNOSIS

ARID1A alterations are particularly prevalent in ovarian clear cell carcinoma (46-50%), ovarian and uterine endometrioid carcinomas (24-44%), and cholangiocarcinoma (27%); they are also reported in up to 27% of gastric carcinoma, esophageal adenocarcinoma, Waldenstrom macroglobulinemia, pediatric Burkitt lymphoma, hepatocellular carcinoma, colorectal carcinoma, and urothelial

carcinoma samples analyzed (COSMIC, cBioPortal, Jan 2024)<sup>67-75</sup>. ARID1A loss is associated with microsatellite instability in ovarian and endometrial endometrioid adenocarcinomas<sup>63,76-79</sup>, CRC<sup>63,80-82</sup>, and gastric cancer<sup>63,83-87</sup>. ARID1A protein loss is associated with tumors of poor histological grade for many tumor types, including colorectal cancer (CRC)<sup>80-82</sup>, cervical cancer<sup>88-89</sup>, gastric cancer<sup>83-87</sup>, urothelial carcinoma<sup>90-92</sup>, ovarian and endometrial cancers<sup>65,76-79,93-97</sup>, breast carcinoma<sup>98-100</sup>, and clear cell renal cell carcinoma<sup>101</sup>; ARID1A mutation has been associated with poor outcomes for patients with cholangiocarcinoma<sup>102-105</sup>. However, prognostic data regarding patient survival are often mixed and conflicting.

FINDING SUMMARY

ARID1A encodes the AT-rich interactive domain-containing protein 1A, also known as Baf250a, a member of the SWI/SNF chromatin remodeling complex. Mutation, loss, or inactivation of ARID1A has been reported in many cancers, and the gene is considered a tumor suppressor<sup>71,86,99,106-111</sup>. ARID1A mutations, which are mostly truncating, have been identified along the entire gene and often correlate with ARID1A protein loss<sup>71,84,107-108,112</sup>, whereas ARID1A missense mutations are mostly uncharacterized.

GENE  
**ASXL1**

ALTERATION  
L386\*

HGVS VARIANT  
NM\_015338.5:c.1157T>A (p.L386\*)

VARIANT CHROMOSOMAL POSITION  
chr20:31021158

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no targeted therapies available to address genomic alterations in ASXL1.

FREQUENCY & PROGNOSIS

ASXL1 alterations occur infrequently across

various solid tumor types<sup>113</sup> and are not known to act as drivers in any specific solid cancer type<sup>114</sup>. Published data investigating the prognostic implications of ASXL1 alterations in solid tumors are limited (PubMed, Oct 2023). In the context of clonal hematopoiesis, ASXL1 mutations are significantly enriched in current or former smokers<sup>115</sup>.

FINDING SUMMARY

ASXL1 regulates epigenetic marks and transcription through interaction with polycomb complex proteins and various transcription activators and repressors<sup>116-118</sup>. Alterations such as seen here may disrupt ASXL1 function or expression<sup>119-121</sup>.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to

occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>122-127</sup>. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy<sup>122-123</sup>. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>128</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH<sup>126,129-130</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

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GENOMIC FINDINGS

GENE

**SMAD4**

ALTERATION

E538fs\*14

HGVS VARIANT

NM\_005359.5:c.1612del (p.E538Kfs\*14)

VARIANT CHROMOSOMAL POSITION

chr18:48604789-48604790

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no targeted therapies available to address genomic alterations in SMAD4. Preclinical studies in colorectal cancer have reported associations of SMAD4 inactivation or loss with sensitivity to inhibitors of Aurora kinase A<sup>131</sup> and the Wnt/beta-catenin pathway<sup>132</sup>.

— Nontargeted Approaches —

Clinical studies have reported associations of SMAD4 loss or low SMAD4 expression with improved responses to chemotherapeutic agents in patients with pancreatic cancer<sup>133-135</sup> and non-small cell lung cancer (NSCLC)<sup>136</sup>. Other clinical studies

in pancreatic cancer have reported an association of high SMAD4 expression with better responses to neoadjuvant chemotherapy<sup>137</sup> and adjuvant chemoradiotherapy<sup>138</sup>.

FREQUENCY & PROGNOSIS

SMAD4 mutation or homozygous deletion is most frequently observed in pancreatic adenocarcinoma (43%)<sup>139</sup>, pancreatic acinar cell carcinoma (26%)<sup>74</sup>, cholangiocarcinoma (25%)<sup>140</sup>, small intestine cancer (20%)<sup>141</sup>, appendiceal adenocarcinoma (14-20% mutation; 57% deletion)<sup>142-143</sup>, colorectal adenocarcinoma (CRC; 14%)<sup>26</sup>, esophageal adenocarcinoma (14%)<sup>144</sup>, and stomach adenocarcinoma (13%)<sup>145</sup>. In preclinical studies, SMAD4 loss of function has been implicated in the development of mucinous neoplasms of the pancreas, including mucinous cystic neoplasms (MCN)<sup>146</sup> and intraductal papillary mucinous neoplasms (IPMN)<sup>147</sup>; in clinical samples, SMAD4 homozygous deletion has been observed in 10% of IPMNs and 8% of MCNs, and mutation was also observed in 5% of IPMNs<sup>148</sup>. SMAD4 gene alterations have been associated with reduced OS for patients with pancreatic adenocarcinoma<sup>149</sup>, including patients with KRAS-wildtype pancreatic tumors<sup>150</sup>. Reduced SMAD4 expression has been associated with worse prognosis in various cancer

types, including colorectal cancer (CRC)<sup>151-153</sup>, appendiceal mucinous neoplasm<sup>154</sup>, gastric adenocarcinoma<sup>155-156</sup>, esophageal adenocarcinoma<sup>157</sup>, esophageal squamous cell carcinoma<sup>158</sup>, breast cancer<sup>159</sup>, and prostate cancer<sup>160</sup>.

FINDING SUMMARY

SMAD4, also known as DPC4, encodes a tumor suppressor that regulates transcriptional activity downstream of TGF-beta receptor signaling<sup>161-162</sup>. SMAD4 alterations that result in loss or disruption of the MH1 domain (aa 18-142), MH2 domain (aa 323-552), or SAD domain (aa 275-320) are predicted to be inactivating<sup>163-176</sup>.

POTENTIAL GERMLINE IMPLICATIONS

Germline SMAD4 mutations, including those at the R361 hotspot, have been observed in patients with juvenile polyposis syndrome<sup>177-179</sup>, which is associated with an increased risk of gastrointestinal cancers<sup>180</sup>. The penetrance of deleterious SMAD4 mutations in patients with colon cancer is estimated at 20% by age 35 and 70% by age 65<sup>181</sup>. In the appropriate clinical context, germline testing of SMAD4 is recommended.

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GENOMIC FINDINGS

GENE

TP53

ALTERATION

R273H, V143M, V157F

HGVS VARIANT

NM\_000546.4:c.818G>A (p.R273H),

NM\_000546.4:c.427G>A (p.V143M),

NM\_000546.4:c.469G>T (p.V157F)

VARIANT CHROMOSOMAL POSITION

chr17:7577120, chr17:7578503, chr17:7578461

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib<sup>182-185</sup> or p53 gene therapy such as SGT53<sup>186-191</sup>. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% and SDs in 53% of patients with solid tumors; the response rate was 21% (4/19) for patients with TP53 mutations versus 12% (4/33) for patients who were TP53 wildtype<sup>192</sup>. Phase 2 studies of adavosertib in combination with chemotherapy reported ORRs of 32% (30/94) and 41% (12/29) for patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer<sup>193-194</sup>. For patients with platinum-sensitive TP53-mutated ovarian cancer, the combination of adavosertib with paclitaxel and carboplatin significantly increased PFS compared with paclitaxel and carboplatin alone (9.9 vs. 8.0 months)<sup>195</sup>. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24% (6/25) ORR with adavosertib combined with paclitaxel<sup>196</sup>. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71% (5/7) response rate for patients with TP53 alterations<sup>197</sup>. The Phase 2 FOCUS4-C trial for patients with TP53- and RAS-mutated colorectal

cancer reported improvement in PFS (3.61 vs. 1.87 months, HR=0.35, p=0.0022), but not OS (14.0 vs 12.8 months, p=0.93), following adavosertib treatment compared with active monitoring<sup>198</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel for patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage<sup>191</sup>. Missense mutations leading to TP53 inactivation may be sensitive to therapies that reactivate mutated p53 such as eprenetapopt. In a Phase 1b trial for patients with p53-positive high-grade serous ovarian cancer, eprenetapopt combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% DCR<sup>199</sup>. A Phase 1 trial of eprenetapopt with pembrolizumab for patients with solid tumors reported an ORR of 10% (3/29)<sup>200</sup>.

FREQUENCY & PROGNOSIS

Inactivation of p53, through mutation, deletion, or loss of heterozygosity (LOH), has been observed in 25-63% of gallbladder carcinomas and 10-61% of cholangiocarcinomas<sup>15,75,111,201-207</sup>. TP53 mutations occur more frequently in tumors caused by liver fluke (*O. viverrini*) infection (40%) than in cholangiocarcinoma cases not related to infection (9%)<sup>111</sup>. Aberrant TP53 expression, which is indicative of TP53 dysregulation, has been observed in 20-62% of gallbladder carcinomas and 25% (5/20) of cholangiocarcinomas<sup>208-210</sup>. Data regarding the prognostic significance of TP53 mutation in cholangiocarcinoma are conflicting<sup>103-105,140,211-215</sup>. Overexpression of p53 protein has been associated with reduced patient survival in poorly differentiated gallbladder adenocarcinomas and biliary tract cancers<sup>216-217</sup>; however, another study did not find such a correlation<sup>212</sup>.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>218</sup>. Alterations such as

seen here may disrupt TP53 function or expression<sup>219-223</sup>.

POTENTIAL GERMLINE IMPLICATIONS

One or more of the TP53 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with Li-Fraumeni syndrome (ClinVar, Sep 2023)<sup>224</sup>. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers<sup>225-227</sup>, including sarcomas<sup>228-229</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>230</sup> to 1:20,000<sup>229</sup>. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30<sup>231</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

POTENTIAL CLONAL HEMATOPOIESIS IMPLICATIONS

Variants seen in this gene have been reported to occur in clonal hematopoiesis (CH), an age-related process in which hematopoietic stem cells acquire somatic mutations that allow for clonal expansion<sup>122-127</sup>. CH in this gene has been associated with increased mortality, risk of coronary heart disease, risk of ischemic stroke, and risk of secondary hematologic malignancy<sup>122-123</sup>. Clinical management of patients with CH in this gene may include monitoring for hematologic changes and reduction of controllable risk factors for cardiovascular disease<sup>128</sup>. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH<sup>126,129-130</sup>. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH.

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**CLINICAL TRIALS**

**IMPORTANT** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or

research staff. This is not a comprehensive list of all available clinical trials. There may also be compassionate use or early access programs available, which are not listed in this report. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials are not ranked in order of potential or predicted efficacy for this patient or

in order of level of evidence for this patient's tumor type. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://clinicaltrials.gov). However, [clinicaltrials.gov](https://clinicaltrials.gov) does not list all clinical trials that might be available.

**GENE**
**ARID1A**
**RATIONALE**

ARID1A loss or inactivation may predict sensitivity to ATR inhibitors.

**ALTERATION**

E2034\*

**NCT02264678**
**PHASE 1/2**

Ascending Doses of AZD6738 in Combination With Chemotherapy and/or Novel Anti Cancer Agents

**TARGETS**  
 ATR, PARP, PD-L1

**LOCATIONS:** Seoul (Korea, Republic of), Goyang-si (Korea, Republic of), Cambridge (United Kingdom), Withington (United Kingdom), Manchester (United Kingdom), London (United Kingdom), Coventry (United Kingdom), Sutton (United Kingdom), Oxford (United Kingdom), Villejuif (France)

**NCT04298008**
**PHASE 2**

AZD6738 Plus Durvalumab in Biliary Tract Cancer

**TARGETS**  
 ATR, PD-L1

**LOCATIONS:** Seoul (Korea, Republic of)

**NCT04802174**
**PHASE 1/2**

Lurbinectedin With Berzosertib, an ATR Kinase Inhibitor in Small Cell Cancers and High-Grade Neuroendocrine Cancers

**TARGETS**  
 ATR

**LOCATIONS:** Maryland

**NCT04826341**
**PHASE 1/2**

A Phase I/II Study of Sacituzumab Govitecan Plus Berzosertib in Small Cell Lung Cancer and Homologous Recombination-Deficient Cancers Resistant to PARP Inhibitors

**TARGETS**  
 ATR, TOP1

**LOCATIONS:** Maryland

**NCT04170153**
**PHASE 1**

M1774 in Participants With Metastatic or Locally Advanced Unresectable Solid Tumors

**TARGETS**  
 ATR, PARP

**LOCATIONS:** Newcastle upon Tyne (United Kingdom), Cambridge (United Kingdom), Manchester (United Kingdom), Sutton (United Kingdom), Texas

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**CLINICAL TRIALS**
**NCT04657068**
**PHASE 1/2**

A Study of ART0380 for the Treatment of Advanced or Metastatic Solid Tumors

**TARGETS**  
 ATR

**LOCATIONS:** London (United Kingdom), Girona (Spain), Badalona (Spain), Barcelona (Spain), Zaragoza (Spain), Valencia (Spain), Madrid (Spain), El Palmar (Spain), A Coruña (Spain), Córdoba (Spain)

**NCT04972110**
**PHASE 1/2**

Study of RP-3500 With Niraparib or Olaparib in Advanced Solid Tumors

**TARGETS**  
 PARP, ATR

**LOCATIONS:** Oregon, California, Utah, Colorado, Arizona, Minnesota, Michigan, Connecticut, New York, Maryland

**NCT04905914**
**PHASE 1/2**

Study Of ATRN-119 In Patients With Advanced Solid Tumors

**TARGETS**  
 ATR

**LOCATIONS:** Ohio, Texas, Connecticut, Pennsylvania

**NCT04855656**
**PHASE 1**

Study of RP-6306 Alone or in Combination With RP-3500 in Patients With Advanced Solid Tumors

**TARGETS**  
 PKMYT1, ATR

**LOCATIONS:** Copenhagen (Denmark), Utah, Toronto (Canada), Missouri, Massachusetts, Rhode Island, Connecticut, New York, Pennsylvania, Texas

**NCT03669601**
**PHASE 1**

AZD6738 &amp; Gemcitabine as Combination Therapy

**TARGETS**  
 ATR

**LOCATIONS:** Cambridge (United Kingdom)

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**APPENDIX**
**Variants of Unknown Significance**

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**ATR**

 NM\_001184.3: c.5218G>A  
 (p.V1740I)  
 chr3:142222274

**BRD4**

 NM\_058243.2: c.2194G>A  
 (p.G732R)  
 chr19:15355538

**NF1**

 NM\_001042492.2:  
 c.5668G>C (p.G1890R)  
 chr17:29657372

**PARP3**

 NM\_005485.4: c.696\_704del  
 (p.A235\_E237del)  
 chr3:51979069-51979078

**SRC**

 NM\_005417.3:  
 c.1546\_1557del  
 (p.Q516\_L519del)  
 chr20:36031712-36031724

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Genes assayed in FoundationOne®Liquid CDx

FoundationOne Liquid CDx interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage (indicated with an \*); 75 genes (indicated in bold) are captured with increased sensitivity and have complete exonic (coding) coverage unless otherwise noted.

|                                 |  |   |  |   |  |  |                           |                            |
|---------------------------------|--|---|--|---|--|--|---------------------------|----------------------------|
| <b>ABL1</b><br>Exons 4-9        | ACVR1B                                       | <b>AKT1</b><br>Exon 3                   | AKT2   | AKT3  | <b>ALK</b><br>Exons 20-29, Introns 18, 19  | ALOX12B                                  | AMER1<br>(FAM123B or WTX) | <b>APC</b>                 |
| <b>AR</b>                       | <b>ARAF</b><br>Exons 4, 5, 7, 11, 13, 15, 16 | ARFRP1                                  | ARID1A   | ASXL1   | <b>ATM</b>                                 | <b>ATR</b>                               | ATRX                      | AURKA                      |
| AURKB                           | AXIN1  | AXL                                     | BAP1   | BARD1   | BCL2                                       | BCL2L1                                   | BCL2L2                    | BCL6                       |
| BCOR                            | BCORL1                                       | BCR*<br>Introns 8, 13, 14               | <b>BRAF</b><br>Exons 11-18, Introns 7-10                     | <b>BRCA1</b><br>Introns 2, 7, 8, 12, 16, 19, 20                                 | <b>BRCA2</b><br>Intron 2                   | BRD4                                     | BRIP1                     | BTG1                       |
| BTG2                            | <b>BTK</b><br>Exons 2, 15                    | CALR                                    | CARD11   | CASP8   | CBFB                                       | CBL                                      | <b>CCND1</b>              | CCND2                      |
| CCND3                           | CCNE1  | CD22                                    | CD70   | CD74*<br>Introns 6-8  | CD79A                                      | CD79B                                    | <b>CD274</b><br>(PD-L1)   | CDC73                      |
| <b>CDH1</b>                     | <b>CDK12</b>                                 | <b>CDK4</b>                             | <b>CDK6</b>  | CDK8  | CDKN1A                                     | CDKN1B                                   | <b>CDKN2A</b>             | CDKN2B                     |
| CDKN2C                          | CEBPA  | CHEK1                                   | <b>CHEK2</b>   | CIC   | CREBBP                                     | <b>CRKL</b>                              | CSF1R                     | CSF3R                      |
| CTCF                            | CTNNA1                                       | <b>CTNNB1</b><br>Exon 3                 | CUL3   | CUL4A   | CXCR4                                      | CYP17A1                                  | DAXX                      | DDR1                       |
| <b>DDR2</b><br>Exons 5, 17, 18  | DIS3   | DNMT3A                                  | DOT1L  | EED   | <b>EGFR</b><br>Introns 7, 15, 24-27        | EMSY<br>(C11orf30)                       | EP300                     | EPHA3                      |
| EPHB1                           | EPHB4  | <b>ERBB2</b>                            | <b>ERBB3</b><br>Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25 | ERBB4   | ERCC4                                      | ERG                                      | <b>ERRF1</b>              | <b>ESR1</b><br>Exons 4-8   |
| ETV4*<br>Intron 8               | ETV5*<br>Introns 6, 7                        | <b>ETV6*</b><br>Introns 5, 6            | EWSR1*<br>Introns 7-13                                       | <b>EZH2</b><br>Exons 4, 16, 17, 18  | EZR*<br>Introns 9-11                       | FANCA                                    | FANCC                     | FANCG                      |
| FANCL                           | FAS  | FBXW7                                   | FGF10  | FGF12   | FGF14                                      | FGF19                                    | FGF23                     | FGF3                       |
| FGF4                            | FGF6   | <b>FGFR1</b><br>Introns 1, 5, Intron 17 | <b>FGFR2</b><br>Intron 1, Intron 17                          | <b>FGFR3</b><br>Exons 7, 9 (alternative designation exon 10), 14, 18, Intron 17 | FGFR4                                      | FH                                       | FLCN                      | FLT1                       |
| <b>FLT3</b><br>Exons 14, 15, 20 | <b>FOXL2</b>                                 | FUBP1                                   | GABRA6   | GATA3   | GATA4                                      | GATA6                                    | GID4<br>(C17orf39)        | <b>GNA11</b><br>Exons 4, 5 |
| GNA13                           | <b>GNAQ</b><br>Exons 4, 5                    | <b>GNAS</b><br>Exons 1, 8               | GRM3   | GSK3B   | H3-3A<br>(H3F3A)                           | HDAC1                                    | HGF                       | HNFI1A                     |
| <b>HRAS</b><br>Exons 2, 3       | HSD3B1                                       | ID3                                     | <b>IDH1</b><br>Exon 4  | <b>IDH2</b><br>Exon 4   | IGF1R                                      | IKBKE                                    | IKZF1                     | INPP4B                     |
| IRF2                            | IRF4   | IRS2                                    | JAK1   | <b>JAK2</b><br>Exon 14  | <b>JAK3</b><br>Exons 5, 11, 12, 13, 15, 16 | JUN                                      | KDM5A                     | KDM5C                      |
| KDM6A                           | KDR  | KEAP1                                   | KEL  | <b>KIT</b><br>Exons 8, 9, 11, 12, 13, 17, Intron 16                             | KLHL6                                      | KMT2A<br>(MLL) Introns 6, 8-11, Intron 7 | KMT2D<br>(MLL2)           | <b>KRAS</b>                |

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|   |                   |  |  |   |                              |                            |  |  |
|---|-------------------|--|--|---|------------------------------|----------------------------|--|--|
| LTK   | LYN               | MAF  | <b>MAP2K1</b><br>(MEK1) Exons 2, 3   | <b>MAP2K2</b><br>(MEK2) Exons 2-4, 6, 7 | MAP2K4                       | MAP3K1                     | MAP3K13  | MAPK1  |
| MCL1  | <b>MDM2</b>       | MDM4   | MED12  | MEF2B                                   | MEN1                         | MERTK                      | <b>MET</b>   | MITF   |
| MKNK1   | MLH1              | <b>MPL</b><br>Exon 10                                | MRE11<br>(MRE11A)  | MSH2<br>Intron 5                        | MSH3                         | MSH6                       | MST1R  | MTAP   |
| <b>MTOR</b><br>Exons 19, 30, 39, 40,<br>43-45, 47, 48, 53, 56 | MUTYH             | MYB*<br>Intron 14                                    | <b>MYC</b><br>Intron 1   | MYCL<br>(MYCL1)                         | <b>MYCN</b>                  | <b>MYD88</b><br>Exon 4     | NBN  | <b>NF1</b>   |
| NF2   | NFE2L2            | NFKBIA   | NKX2-1   | NOTCH1                                  | NOTCH2<br>Intron 26          | NOTCH3                     | <b>NPM1</b><br>Exons 4-6, 8, 10                    | <b>NRAS</b><br>Exons 2, 3                                    |
| NSD2<br>(WHSC1 or MMSET)                                      | NSD3<br>(WHSC1L1) | NT5C2  | <b>NTRK1</b><br>Exons 14, 15, Introns<br>8-11  | NTRK2<br>Intron 12                      | <b>NTRK3</b><br>Exons 16, 17 | NUTM1*<br>Intron 1         | P2RY8  | <b>PALB2</b>   |
| PARP1   | PARP2             | PARP3  | PAX5   | PBRM1                                   | PDCD1<br>(PD-1)              | <b>PDCD1LG2</b><br>(PD-L2) | <b>PDGFRA</b><br>Exons 12, 18, Introns 7,<br>9, 11 | <b>PDGFRB</b><br>Exons 12-21, 23<br>9, 11                    |
| PDK1  | PIK3C2B           | PIK3C2G  | <b>PIK3CA</b><br>Exons 2, 3, 5-8, 10, 14,<br>19, 21 (Coding Exons 1,<br>2, 4-7, 9, 13, 18, 20) | PIK3CB                                  | PIK3R1                       | PIM1                       | PMS2   | POLD1  |
| POLE  | PPARG             | PPP2R1A  | PPP2R2A  | PRDM1                                   | PRKAR1A                      | PRKCI                      | PRKN<br>(PARK2)                                    | PTCH1  |
| <b>PTEN</b>   | <b>PTPN11</b>     | PTPRO  | QKI  | RAC1                                    | RAD21                        | RAD51                      | RAD51B   | RAD51C   |
| RAD51D  | RAD52             | RAD54L   | <b>RAF1</b><br>Exons 3, 4, 6, 7, 10, 14,<br>15, 17, Introns 4-8                                | RARA<br>Intron 2                        | <b>RB1</b>                   | RBM10                      | REL  | <b>RET</b><br>Introns 7, 8, Exons 11,<br>13-16, Introns 9-11 |
| RICTOR  | RNF43             | <b>ROS1</b><br>Exons 31, 36-38, 40,<br>Introns 31-35 | RPTOR  | RSPO2*<br>Intron 1                      | SDC4*<br>Intron 2            | SDHA                       | SDHB   | SDHC   |
| SDHD  | SETD2             | SF3B1  | SGK1   | SLC34A2*<br>Intron 4                    | SMAD2                        | SMAD4                      | SMARCA4  | SMARCB1  |
| <b>SMO</b>  | SNCAIP            | SOCS1  | SOX2   | SOX9                                    | SPEN                         | SPOP                       | SRC  | STAG2  |
| STAT3   | <b>STK11</b>      | SUFU   | SYK  | TBX3                                    | TEK                          | TENT5C<br>(FAM46C)         | TERC*<br>ncRNA                                     | <b>TERT*</b><br>Promoter                                     |
| TET2  | TGFBR2            | TIPARP   | TMPRSS2*<br>Introns 1-3  | TNFAIP3                                 | TNFRSF14                     | <b>TP53</b>                | TSC1   | TSC2   |
| TYRO3   | U2AF1             | <b>VEGFA</b>   | VHL  | WT1                                     | XPO1                         | XRCC2                      | ZNF217   | ZNF703   |

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

Microsatellite (MS) status  
Blood Tumor Mutational Burden (bTMB)  
ctDNA Tumor Fraction

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**APPENDIX**
**About FoundationOne® Liquid CDx**

FoundationOne Liquid CDx fulfills the requirements of the European Directive 98/79 EC for in vitro diagnostic medical devices and is registered as a CE-IVD product by Foundation Medicine's EU Authorized Representative, Qarad b.v.b.a, Ciplastraat 3, 2440 Geel, Belgium. The CE-IVD regulatory status of FoundationOne Liquid CDx is applicable in countries that accept and/or recognize the CE mark.


**ABOUT FOUNDATIONONE LIQUID CDx**

FoundationOne Liquid CDx was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Liquid CDx may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratories are qualified to perform high-complexity clinical testing.

Please refer to technical information for performance specification details.

**INTENDED USE**

FoundationOne Liquid CDx is a next generation sequencing based *in vitro* diagnostic device that analyzes 324 genes. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The test also detects the genomic signatures blood tumor mutational burden (bTMB), microsatellite instability (MSI), and ctDNA tumor fraction. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from the anti-coagulated peripheral whole blood of cancer patients. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with targeted therapies in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with malignant neoplasms.

**TEST PRINCIPLES**

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain

cfDNA from plasma from whole blood. Extracted cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes including coding exons and select introns of 309 genes, as well as only select intronic regions or non-coding regions of 15 genes. Hybrid-capture selected libraries are sequenced with deep coverage using the NovaSeq® 6000 platform. Sequence data are processed using a customized analysis pipeline designed to accurately detect genomic alterations, including base substitutions, indels, select copy number variants, and select genomic rearrangements. Substitutions and insertion and deletion alterations (indels) are reported in 311 genes, copy number alterations (CNAs) are reported in 310 genes, and gene rearrangements are reported in 324 genes. The assay also reports ctDNA tumor fraction, and genomic signatures including MSI and bTMB. A subset of targeted regions in 75 genes is baited for increased sensitivity.

**THE REPORT**

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

**QUALIFIED ALTERATION CALLS (EQUIVOCAL)**

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

**COPY NUMBER LOSS CALLS**

The FoundationOne Liquid CDx assay detects copy number loss in the following genes: *BRCA1*, *BRCA2*, and *PTEN*.

**RANKING OF THERAPIES AND CLINICAL TRIALS**
*Ranking of Therapies in Summary Table*

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category),

followed by therapies associated with resistance (when applicable).

*Ranking of Clinical Trials*

Pediatric trial qualification → Geographical proximity → Later trial phase.

**LIMITATIONS**

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. A negative result does not rule out the presence of a mutation below the limits of detection of the assay. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an appropriately validated tumor tissue test, if available.
4. The FoundationOne Liquid CDx assay does not detect heterozygous deletions.
5. The test is not intended to provide information on cancer predisposition.
6. Performance has not been validated for cfDNA input below the specified minimum input.
7. Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and nonsynonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of ≥5%, and bTMB is calculated based on variants with an allele frequency of ≥0.5%.
8. ctDNA tumor fraction is the percentage of circulating tumor DNA (ctDNA) present in a cell-free DNA (cfDNA) sample. The ctDNA tumor fraction estimate integrates multiple distinct genomic features, including modeled aneuploidy and the observed allele frequencies of somatic short variants and rearrangements.
9. Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.
10. Genomic findings from circulating cell-free DNA (cfDNA) may originate from circulating tumor DNA fragments, germline alterations, or

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About FoundationOne® Liquid CDx

non-tumor somatic alterations, such as clonal hematopoiesis of indeterminate potential (CHIP). Genes with alterations that may be derived from CHIP include, but are not limited to: *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, *TP53*, and *U2AF1*.

11. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
12. The test is not intended to replace germline testing or to provide information about cancer predisposition.

## REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, germline, or clonal hematopoiesis implications. Information included in the Report Highlights is expected to evolve with advances in scientific and clinical research. Findings included in the Report Highlights should be considered in the context of all other information in this report and other relevant patient information. Decisions on patient care and treatment are the responsibility of the treating physician.

## VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are 1) limited to reportable short variants with a protein effect listed in the ClinVar genomic database (Landrum et al., 2018; 29165669) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters), 2) associated with hereditary cancer-predisposing disorder(s), 3) detected at an allele frequency of >30%, and 4) in select genes reported by the ESMO Precision Medicine Working Group (Mandelker et al., 2019; 31050713) to have a greater than 10% probability of germline origin if identified during tumor sequencing. The selected genes are *ATM*, *BAP1*, *BRCA1*, *BRCA2*, *BRIP1*, *CHEK2*, *FH*, *FLCN*, *MLH1*, *MSH2*, *MSH6*, *MUTYH*, *PALB2*, *PMS2*, *POLE*, *RAD51C*, *RAD51D*, *RET*, *SDHA*, *SDHB*, *SDHC*, *SDHD*,

*TSC2*, and *VHL*, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical context.

## VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS

Variants that may represent clonal hematopoiesis (CH) are limited to select reportable short variants in defined genes identified in solid tumors only. Variant selection was determined based on gene tumor-suppressor or oncogene status, known role in solid tumors versus hematological malignancies, and literature prevalence. The defined genes are *ASXL1*, *ATM*, *CBL*, *CHEK2*, *DNMT3A*, *IDH2*, *JAK2*, *KMT2D* (*MLL2*), *MPL*, *MYD88*, *SF3B1*, *TET2*, and *U2AF1* and are not inclusive of all CH genes. The content in this report should not substitute for dedicated hematological workup. Comprehensive genomic profiling of solid tumors detects nontumor alterations that are due to CH. Patient-matched peripheral blood mononuclear cell sequencing is required to conclusively determine if this alteration is present in tumor or is secondary to CH. Interpretation should be based on clinical context.

## NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) ([www.nccn.org](http://www.nccn.org)). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2023. All rights reserved. To view the most recent and complete version of the guidelines, go online to [NCCN.org](http://NCCN.org). NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

## LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source

or level of published evidence.

## NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

## NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

## TREATMENT DECISIONS ARE THE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

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Electronically signed by Chelsea Marcus, M.D. | 22 January 2024  
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Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531  
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

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About FoundationOne®Liquid CDx

**SELECT ABBREVIATIONS**

| ABBREVIATION | DEFINITION                  |
|--------------|-----------------------------|
| CR           | Complete response           |
| DCR          | Disease control rate        |
| DNMT         | DNA methyltransferase       |
| HR           | Hazard ratio                |
| ITD          | Internal tandem duplication |
| MMR          | Mismatch repair             |
| Muts/Mb      | Mutations per megabase      |
| NOS          | Not otherwise specified     |
| ORR          | Objective response rate     |
| OS           | Overall survival            |
| PD           | Progressive disease         |
| PFS          | Progression-free survival   |
| PR           | Partial response            |
| SD           | Stable disease              |
| TKI          | Tyrosine kinase inhibitor   |

**REFERENCE SEQUENCE INFORMATION**

Sequence data is mapped to the human genome, Genome Reference Consortium Human Build 37 (GRCh37), also known as hg19.

**SOFTWARE VERSION INFORMATION**

MR Suite Version (RG) 7.15.0  
 MR Reporting Config Version Config 49  
 Analysis Pipeline Version v3.29.0  
 Computational Biology Suite Version 6.29.0

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