

CS 217 – Algorithm Design and Analysis

Shanghai Jiaotong University, Fall 2019

Handed out on Thursday, 2019-09-26

First submission and questions due on Monday, 2019-09-30

You will receive feedback from the TA.

Final submission due on Thursday, 2019-10-10

3 Minimum Spanning Trees

Throughout this assignment, let G be a weighted graph, i.e., $G = (V, E, w)$ with $w : E \rightarrow \mathbb{R}^+$. For $c \in \mathbb{R}$ and a weighted graph $G = (V, E, w)$, let $G_c := (V, \{e \in E \mid w(e) \leq c\})$. That is, G_c is the subgraph of G consisting of all edges of weight at most c .

Exercise 1 Let T be a minimum spanning tree of G , and let $c \in \mathbb{R}$. Show that T_c and G_c have exactly the same connected components. (That is, two vertices $u, v \in V$ are connected in T_c if and only if they are connected in G_c). You are encouraged to draw pictures to illustrate your proof!

Proof: In other words, we need to prove the following statements are equivalent, given $v_1, v_2 \in V$

$\exists \text{ path } e_1, \dots, e_n \in E, \text{ the path connects } v_1, v_2, \text{ and } w(e_i) \leq c \quad (*)$.

and

$\exists \text{ path } e_1, \dots, e_n \in E(T), \text{ the path connects } v_1, v_2, \text{ and } w(e_i) \leq c \quad (**)$.

Notice that $(**) \implies (*)$ is obvious. We only need to prove $(*) \implies (**)$. The path connects v_1, v_2 in T is unique, otherwise there are cycles.

Let the unique path connects $v_1 = u_1, \dots, u_t = v_2$. And assume $\exists j, 1 \leq j < t$ such that

$$w(e(u_j, u_{j+1})) > c.$$

we remove the edge $e(u_j, u_{j+1})$ from T , and get 2 sets of vertices A, B . A, B are connected respectively. We prove that $\exists e \in E$ that connects A, B plus $w(e) \leq c$. This is obvious from (*). Hence it leads that T is not a MST, a contradiction. \square

Exercise 2 For a weighted graph G , let $m_c(G) := |\{e \in E(G) \mid w(e) \leq c\}|$, i.e., the number of edges of weight at most c (so G_c has $m_c(G)$ edges). Let T, T' be two minimum spanning trees of G . Show that $m_c(T) = m_c(T')$.

Proof: Let the edge set of T_c be

$$E(T_c) = \{e_1, e_2, \dots, e_r\}.$$

We know that $E(T_c)$ forms several connected componets $A_1, A_2, \dots, A_t \subset V$. And from the last exercise we know that A_1, A_2, \dots, A_t are also connected componets in T' . And we can assert the connected components in T' are exactly these A_i , otherwise apply the conclusion from last exercise, we can derive more components for T . And specifically, those components must be trees. Hence

$$m_c(T) = \sum_{i=1}^t (|A_i| - 1) = m_c(T').$$

\square

Exercise 3 Suppose G is connected, and no two edges of G have the same weight. Show that G has exactly one minimum spanning tree!

Proof: Otherwise consider 2 minimum spanning tree T_1, T_2 , let

$$E(T_1) = \{a_1, a_2, \dots, a_r\}.$$

in which $a_i < a_{i+1}$, and similarly

$$E(T_2) = \{b_1, b_2, \dots, b_s\}.$$

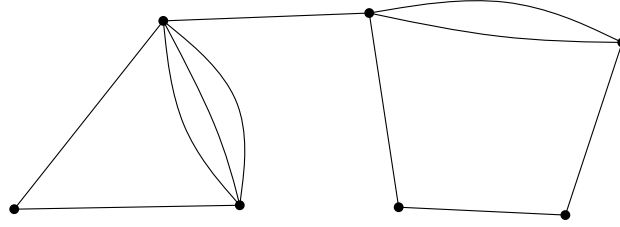
Let j be the minimum such that $a_j \neq b_j$. We can assert j exists since T_1, T_2 are different.

W.L.O.G let $a_j < b_j$, it leads that

$$m_{a_j}(T_1) = j \neq j - 1 = m_{a_j}(T_2).$$

Which contradicts with **Exercise 2**. □

A *multigraph* is a graph that can have multiple edges, called “parallel edges”. Without defining it formally, we illustrate it:



A multigraph.

All other definitions, like connected components and spanning trees are the same as for normal (simple) graphs. However, when two spanning trees use different parallel edges, we consider them different:



The same multigraph with two different spanning trees.

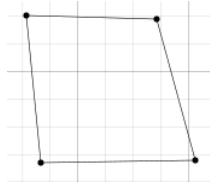
Exercise 4 How many spanning trees does the above multigraph on 7 vertices have? Justify your answer!

Proof: Obviously the edge connecting the left part of the graph and the right part must be included in a spanning tree. To construct a spanning tree, we now pay attention on these two subgraphs.

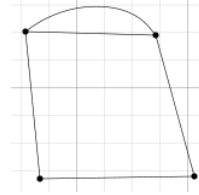
We first consider such a simple graph with 4 edges. Without any effort, it has 4 spanning trees, and this result can be applied to a graph with n edges.

Then we consider a simple multi-graph case. If we remove one of the parallel edges in the multi-graph, it’s exactly a simple graph discussed above. So obviously, we know it has $4 \times 2 = 8$ spanning trees.

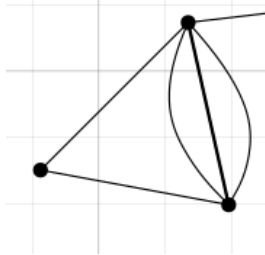
Based on these observations, we consider the subgraphs in *Exer.4*. Left part has 3 parallel edges, which leads to $3 \times 3 = 9$ spanning trees, and right



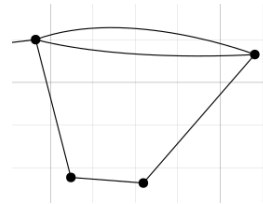
(a) simple graph



(b) simple multi graph

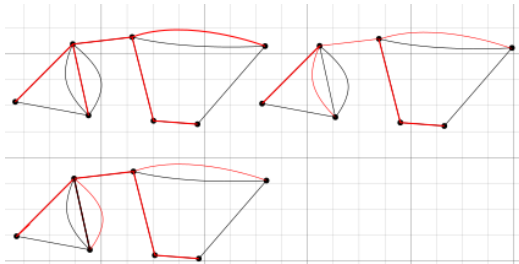


(a) left part

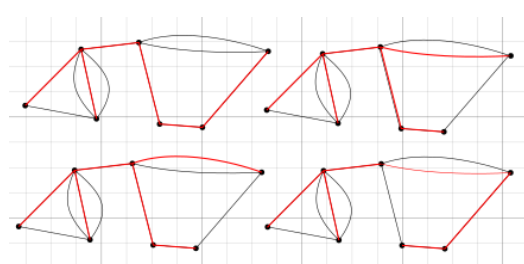


(b) right part

part has $2 \times 4 = 8$ spanning trees. Due to the simple counting method, there're $9 \times 8 = 72$ spanning trees for the graph. Below is some of them. \square



(a) left part examples



(b) right part examples

Exercise 5 Suppose you have a polynomial-time algorithm that, given a multigraph H , computes the number of spanning trees of H . Using this algorithm as a subroutine, design a polynomial-time algorithm that, given a weighted graph G , computes the number of minimum spanning trees of G .

Proof: We already know *Prim's* and *Kruskal's* algorithm to construct one of the *MSTs* of a graph, and only those edges having the same weight may lead to different spanning trees and we have a magic polynomial-time

algorithm for computing the number of spanning trees. Based on these tools, we just need to find do some midifications for *Kruskal's* algorithm.

We know that during the process of *Kruskal's* algorithm, it gets the edges with different weights of an *MST*. Having these weights, we can get all other *candidate* edges for another *MST* as long as its weight is the same as one of the known *MST's* edge's. We can solve all edges with the same weight together. Every time, for a paticular weight, construct an abstract graph G^* with the represented point and the edge connected different component in that weight. Then we use that polynomial-time magic algorithm(since i know it's according to Matrix-Tree Theorem) to calculate the answer. And then merge different component. Finally we multiply the answer for every type of edges.

Data: graph G

Result: number of *MSTs* of G

sort(E);

$Ans = 1$;

for $e \in E$ **do**

$EdgeLayer = \{(Find(e.a), Find(e.b))\}$;

$PointLayer = \{Find(e.a), Find(e.b)\}$;

$nowe = e.weight$;

$e = next(e)$;

while $e.weight == nowe$ **do**

$EdgeLayer = EdgeLayer \cup (Find(e.a), Find(e.b))$;

$PointLayer = PointLayer \cup \{Find(e.a), Find(e.b)\}$;

$e = next(e)$;

end

$Ans *= ComputeSpanningTreeNumber(PointLayer, EdgeLayer)$;

for $e2 \in EdgeLayer$ **do**

 Merge($e2.a$, $e2.b$);

end

end

if *NotConnected*(G) **then**

$Ans = 0$;

end

return Ans

Algorithm 1: Compute number of *MST*

The running time for this algorithm is really simple, since we know that *ComputeSpanningTreeNumber* is polynomial-time. And we divide the

edges at most $|E|$ layers, so in all the running time is polynomial. \square