

An Interactive Theorem Proving Framework for Declarative Cloud Orchestration

by

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Abstract

An interactive theorem proving framework for verifying liveness properties of declarative cloud orchestration is proposed. The framework provides (1) a general way to formalize specifications of different kinds of cloud orchestration tools and (2) a procedure for how to verifying a kind of liveness properties of formalized specifications. It also provides (a) general templates and libraries of formal descriptions for specifying orchestration of cloud systems and (b) logical proofs of lemmas for general predicates of the libraries.

The framework has been applied to the verification of specifications of AWS CloudFormation and also of OASIS TOSCA, and is demonstrated to be effective for reducing generic routine work and making a verification engineer concentrate on the work specific to each individual system.

Acknowledgments

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Chapter 1

Introduction

Cloud computing has recently emerged as an important infrastructure supporting many aspects of human activities. In former days, it took several months to make system infrastructure resources (computer, network, storage, etc.) available, while in these days, it takes only several minutes to do so. This situation accelerates the whole life cycle of system usage where much flexible automation is required for system operations.

Correctness of automated operations of cloud systems is much more crucial than that of the former systems because cloud systems serve to much more people in much longer time than the former systems used mainly inside of companies. However cloud computing enables to easily, cheaply, and repeatedly prepare testing environments for applications, automated operations intrinsically cannot be tested on testing environments; they should be tested only on production environments.

A system on cloud consists of many “parts,” such as virtual machines (VMs), storages, and network services as well as software packages, configuration files, and user accounts in VMs. These parts are called *resources* and the automated management of cloud resources is called *resource orchestration*, or *cloud orchestration*.

The most popular cloud orchestration tool is *CloudFormation* [1] provided as a service by Amazon Web Services (AWS) and a compatible open source tool is being developed as *Open-Stack Heat* [18]. CloudFormation can manage resources provided by IaaS platform of AWS, such as VMs (EC2), block storages (EBS), load balancers (ELB), and so on. CloudFormation automatically sets up these resources according to a *template* that declaratively defines dependencies of resources. However, CloudFormation does not directly manage resources inside VMs and instead it allows to specify any types of scripts for initially setting up VMs, such as installing Httpd package, creating configuration files, copying contents, and activating an Httpd component. Shell command scripts were commonly used for this layer of management and recently several open source tools become popular such as *Puppet* [14], *Chef* [4], and *Ansible* [15]. People have to learn and use these several kinds of tools in actual situations, which results in much elaboration to guarantee its correctness. In an actual commercial experience of the author, more than 50% of troubles are caused by defects in those dependency definitions and scripts.

While orchestration tools are specialized into two management layers on IaaS and inside VMs, there is a unified standard specification language, *OASIS TOSCA* [12] that can be used to describe the structure of both types of resources, on IaaS and inside VMs. The resource structure is called a *topology* and a TOSCA tool is expected to automate system operations based on resource dependencies declaratively defined in topologies. Currently, however, there

is no practical implementation of declarative specifications of TOSCA because it has not yet explicitly provided any way to specify behavior of a topology, i.e. how to automate a topology.

We believe formal approaches will provide systematic ways to guarantee the correctness of cloud orchestration. Formal approaches are mainly classified into two categories, *model checking* and *theorem proving*. Model checking methods are based on exhaustive analysis of states of transition systems and can automatically find counter examples included in the specified models. However, the size of models are limited and thus absence of counter examples can not be proved. On the other hand, theorem proving can verify models of arbitrary many number of states and so suitable for proving absence of counter examples. It requires to think through meanings of the specified models, which is very important aspect of developing trusted systems. However, when applying to practical problems it requires many human efforts to develop proofs for splitting the cases, establishing lemmas, and proving them in the course of verification.

This paper proposes a framework of interactive proof development for a kind of liveness properties, *leads-to* property, of cloud orchestration. Here we say “framework” to mean something like an application framework of software development. For example, Ruby on Rails (RoR) [9] is one of the most popular application frameworks. RoR defines an MVC architecture of web applications, provides super classes and utility classes to implement the architecture, and gives developers a guide for how to design and code web applications. Focusing on a specific application domain, i.e. web applications, RoR brings high productivity by minimizing development efforts and high maintainability by consistent structure of applications.

Similarly, our framework provides a general formalization of cloud orchestration specifications of different kinds of tools and provides a procedure for how to verify leads-to properties of the specifications. It also provides logic templates and predicate libraries which are defined in a general level of abstraction and can be instantiated as problem specific descriptions, predicates, and lemmas. Using them, the verification procedure assists developers to systematically think and develop proofs of leads-to properties.

The rest of this paper is organized as follows. Chapter 2 introduces several cloud orchestration tools. Chapter 3 introduces functionalities of CafeOBJ language in which we represent formal specifications of cloud systems. Chapter 4 describes a general model of cloud orchestration. Chapter 5 describes general logic templates and predicate libraries. Chapter 6 presents the procedure for verification of leads-to properties using a simple example specification of Cloud-Formation. Chapter 7 explains how the framework is applied to verification of OASIS TOSCA specifications. Chapter 8 explains related work and future issues.

Chapter 2

Cloud Orchestration

2.1 AWS CloudFormation

The most popular cloud orchestration tool is *CloudFormation* [1] provided as a service by Amazon Web Services (AWS) and a compatible open source tool is being developed as *OpenStack Heat* [18]. CloudFormation can manage resources provided by IaaS platform of AWS, such as VMs (EC2), block storages (EBS), and load balancers (ELB). CloudFormation automatically sets up these resources according to a *template* that declaratively defines dependencies of resources. However, CloudFormation does not directly manage resources inside VMs and instead it allows to specify any types of scripts for initially setting up VMs, such as installing Httpd package, creating configuration files, copying contents, and activating an Httpd component.

CloudFormation models a cloud system simply as a set of *resources* on IaaS platform of AWS. The model is called a *template*. A resource has an identifier and a type and includes several *properties* which may depend on other resources. CloudFormation automates to setup a cloud system according to the specified dependency of the resources. Fig. 2.1 is a part of a very simple CloudFormation template written in JSON format [10]. This template specifies a simple cloud system on the AWS IaaS platform shown in Fig. 2.2. Note that an Elastic Compute Cloud instance (EC2 instance) is a virtual machine on AWS IaaS platform and an Elastic IP (EIP) provides a static IP address for an EC2 instance which is dynamically created and activated.

```
{ "Resources" : {  
  "MyInstance" : {  
    "Type" : "AWS::EC2::Instance",  
    "MyEIP" : {  
      "Type" : "AWS::EC2::EIP",  
      "Properties" : {  
        "InstanceId" : { "Ref" : "MyInstance" }  
      }  
    }  
  }  
}}
```

Figure 2.1: A Very Simple CloudFormation Template

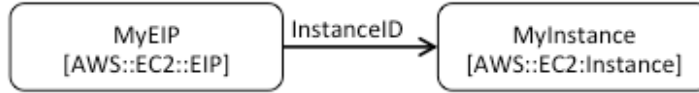


Figure 2.2: A Simple Example Cloud System on AWS

2.2 OpenStack Heat

2.3 Puppet, Chef, Ansible

2.4 OASIS TOSCA

OASIS TOSCA[12] is a standard specification language to describe a cloud system consisting of service components and their relationships using a *service template*. It provides interoperable deployments of cloud systems across different cloud environments and their management throughout the complete lifecycle (e.g. setting up, scaling, patching, monitoring, etc.). A service template consists of a *topology template* and optionally a set of *plans*. A topology template defines the resource structure of a cloud system. Note that a topology template can be parameterized to give actual environment parameters such as IP addresses. It is the reason why named as “template” and in this paper we simply say a topology for the sake of brevity. A plan is an imperative definition of a system operation of the cloud system, such as a setup plan, written by a standard process modeling language, such as BPMN.

In TOSCA, a resource is called a *node* that has several *capabilities* and *requirements*. A topology consists of a set of nodes and a set of *relationships* of nodes. A capability is a function that the node provides to another node, while a requirement is a function that the node needs to be provided by another node. A relationship relates a requirement of a source node to a capability of a target node. Note that nodes and relationships in a topology template can also be parameterized, thus the exact terms of TOSCA are node templates and relationship templates. Fig. 2.3 shows a typical example of topology that consists of nine nodes and nine relationships. White circles represent capabilities and black ones are requirements.

The current version of TOSCA is an XML-based language¹. Fig 2.4 is a part of the topology template of Fig. 2.3. In this example, there are two nodes (VMApache and OSApache) and one relationship. A capability is a function that the node provides to another node, while a requirement is a function that the node needs to be provided by another node. In this example, VMApacheOS is a capability of VMApache and OSApacheContainer is a requirement of OSApache. A relationship relates a requirement of a source node to a capability of a target node. Each node, relationship, capability, and requirement has a type, such as VirtualMachine, HostedOn, and so on. Types are main functionalities of TOSCA that enable reusability of topology descriptions.

TOSCA assumes two main engineering roles; a type architect and an application architect. In a typical scenario, a type architect defines and provides several types of those elements and an application architect uses them to define a topology of a cloud system. The type architect also defines operations² of node types, such as creating, starting, stopping, or deleting nodes,

¹OASIS TOSCA TC has published the committee draft of a simple profile for a YAML-based language. [13]

²In this paper, we say a *type operation* as an operation of a type whereas TOSCA calls it a *lifecycle operation*.

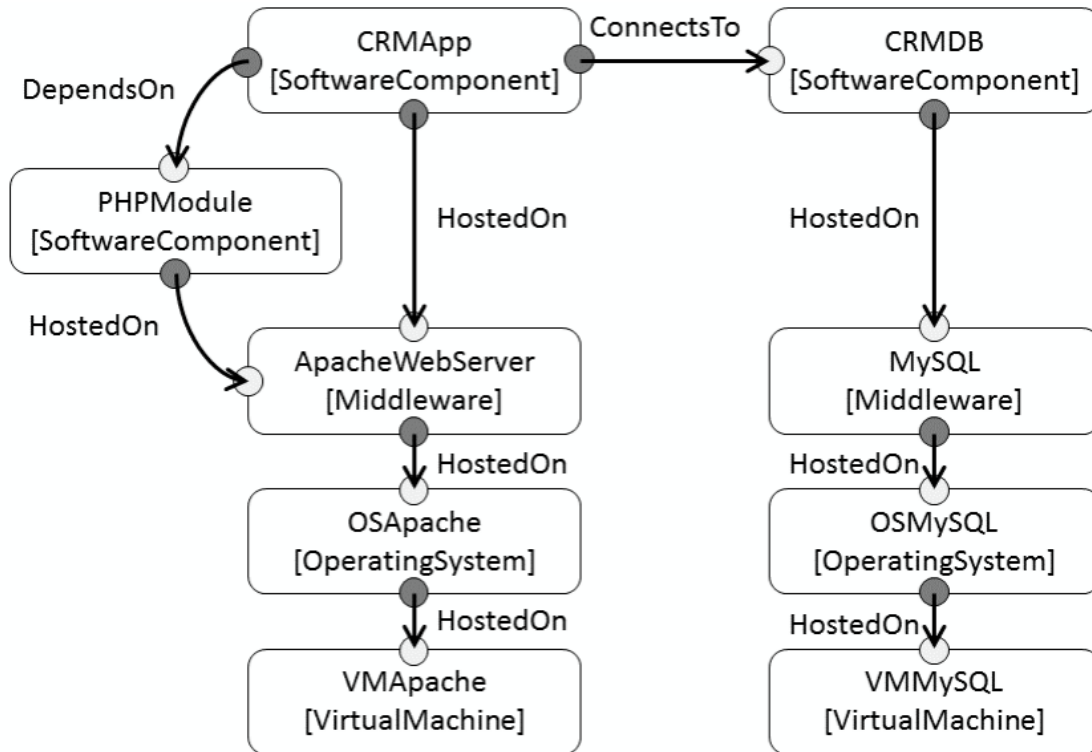


Figure 2.3: An Example of TOSCA topology

```

<TopologyTemplate>
  <NodeTemplate id="VMApache" name="VM for Apache"
    type="VirtualMachine">
    <Capabilities>
      <Capability id="VMApacheOS" name="OS"
        type="OperatingSystemContainerCapability"/>
    </Capabilities> </NodeTemplate>
  <NodeTemplate id="OSApache" name="OS for Apache"
    type="OperatingSystem">
    <Requirements>
      <Requirement id="OSApacheContainer" name="Container"
        type="OperatingSystemContainerRequirement"/>
    </Requirements>
    <Capabilities>
      <Capability id="OsApacheSoftware" name="Software"
        type="SoftwareContainerCapability"/>
    </Capabilities> </NodeTemplate>
  <RelationshipTemplate id="OSApacheHostedOnVMApache"
    name="hosted on" type="HostedOn">
    <SourceElement ref="OSApacheContainer"/>
    <TargetElement ref="VMApacheOS"/>
  </RelationshipTemplate>
  ...
</TopologyTemplate>

```

Figure 2.4: A Topology Template of TOSCA

and of relationship types, such as attaching relationships. A system operation of a cloud system is implemented as an invocation sequence of the type operations, which can be decided in two kinds of manners. One is an imperative manner in which the application architect uses a process modeling language to define a plan that explicitly invokes these type operations. Another is a declarative one in which the application architect only defines a topology and a TOSCA tool will automatically invoke appropriate type operations based on the defined topology. Naturally, the declarative manner is a main target of OASIS TOSCA because it promotes more abstract and reusable descriptions of topologies.

In this paper, *behavior of topologies* means when and which type operations should be invoked in automation. It is important to notice that behavior of a topology depends on types of included nodes and relationships. We also say *behavior of a type* to mean that the conditions and results of invoking its type operations, which is defined by a type architect. Usually, different types of nodes are provided by different vendors and so specified by different type architects. An application architect is responsible for behavior of a topology whereas type architects are responsible for behavior of their defined types.

Currently there are no practical implementations of the declarative manner of TOSCA and one of the reasons is that no standard set of type operations of nodes or relationships are defined and there is no way for type architects to define behavior of their own types. In Section 2.4, we will describe how to use our framework to define behavior of TOSCA types and to verify that a specified topology can correctly automate to set up the cloud system.

Chapter 3

Preliminaries of CafeOBJ

CafeOBJ [2] is a formal specification language that is one of the state-of-the-art algebraic specification languages and a member of the OBJ [8] language family, such as Maude [11]. CafeOBJ specifications are executable by regarding equations and transition rules in them as left-to-right rewrite rules, and this executability can be used for interactive theorem proving.

3.1 Modules and Equations

Basic units of specifications in CafeOBJ are *modules*. A module¹ consists of declarations of *module importations*, *sorts*, *sub-sort relations*, *operators*, *variables*, *equations* and *transition rules*, some of which may be omitted. Conventionally, names of modules, sorts, and variables are capitalized while names of operators including constants start with lower case letters or use punctuation symbols.

Modules may have *parameters* and are called parameterized modules if so. An example of parameterized modules is as follows ²:

```
module! SET(X :: TRIV) {
  -- Module Importation
  protecting(NAT)

  -- Sorts, Sub-sort Relations
  [Elt.X < Set]

  -- Operators
  op empty : -> Set {constr}
  op _ _ : Set Set -> Set {constr assoc comm idem id: empty}

  op #_ : Set -> Nat
  op _U_ : Set Set -> Set
  op _\in_ : Elt.X Set -> Bool
  op _A_ : Set Set -> Set
  op _\\_ : Set Set -> Set
```

¹CafeOBJ modules can be classified into tight modules and loose modules. Roughly speaking, a tight module denotes a unique model, while a loose module denotes a class of modules. Those are declared with `module!` and `module*` respectively.

²In CafeOBJ, a comment starts with `--` or `**` to the end of the line.

```

op subset : Set Set -> Bool

-- Variables
vars S S1 S2 : Set
vars E E1 : Elt.X

-- Equations
-- for =
eq ((E S1) = (E S2)) = (S1 = S2) .
-- for empty
eq ((E S) = empty) = false .
-- for #_
eq # empty = 0 .
eq # (E S) = 1 + (# S) .
-- for _U_
eq S1 U S2 = S1 S2 .
-- for _\in_
eq E \in empty = false .
eq E \in (E S) = true .
ceq E \in (E1 S) = E \in S if not(E = E1) .
-- for _A_
eq empty A S2 = empty .
eq (E S1) A (E S2) = E (S1 A S2) .
ceq (E S1) A S2 = S1 A S2 if not(E \in S2) .
-- for _\_\_
eq empty \_\_ E = empty .
eq (E S) \_\_ E = S .
ceq (E1 S) \_\_ E = (E1 (S \_\_ E)) if not (E = E1) .
-- for subset
eq subset(empty,S) = true .
eq subset((E S1),S2) = E \in S2 and subset(S1,S2) .
}

```

This module specifies generic sets and has one parameter X constrained by the built-in module TRIV in which one sort Elt is only declared as follows:

```

module* TRIV {
  [Elt]
}

```

The sort is referred by $\text{Elt}.X$ and used for elements in SET. The built-in module NAT in which natural numbers are specified is imported with `protecting`. Modules also can be imported with `extending` and `using`. `protecting` means that it is not allowed to add and collapse elements of the imported modules. `extending` means it is allowed only to add but not to collapse them. `using` means it is allowed to add and collapse them.

One sort Set is declared and it is also declared that $\text{Elt}.X$ is a sub-sort of Set . This is why an element is also a singleton set that only consists of the element. Operators may be constructors and a constructor without arguments is a constant. The operator `empty` is a constant of Set and the juxtaposition operator `_ _` is a constructor of Set , where an underscore is the place where an argument is put. It is also specified that the juxtaposition operator is associative, commutative, and idempotent and has `empty` as its identity. Operators are defined

with equations. The first equation specifies that $\# \text{ empty}$ equals 0 , and the second one specifies that $\# (E \ S)$ equals $1 + (\# \ S)$. Those two equations define operator $\#$ that counts the number of the elements in a given set. Operators $_U$, $_\text{in}$, $_A$, and $_\backslash$ are defined which mean union(\cup), inclusion(\in), intersection(\cap), and difference(\backslash) of sets respectively.

Parameterized modules can be instantiated with modules as actual parameters through views. Let us consider the following module as an actual parameter of `Set`:

```
module! SERVICE {
  protecting(NAT)
  [LocalState Service]
  ops closed open ready : -> LocalState {constr}
  op sv : Nat LocalState -> Service {constr}
}
```

in which two sorts are declared. A term of sort `LocalState` represents a local state of a service and there are three constants of local states; `closed`, `open`, and `ready`. A term of sort `Service` represents a service which has a form `sv(n, lst)` where `n` is some natural number as an identifier and `lst` is one of local states. `SET` can be instantiated as `SV-SET` as follows:

```
module! SV-SET {
  protecting(
    SET(SERVICE{sort Elt -> Service})
    * {sort Set -> SvSet,
      op empty -> empSvSet})
}
```

What follows `SERVICE`, namely `{sort Elt -> Service}`, is the view used here saying that `Elt` is replaced with `Service` in the instantiation of `SET` with `SERVICE`. What follows `*` is renaming. `Set` and `empty` are renamed as `SvSet` and `empSvSet`, respectively. Other operators are used without renaming. The instantiated `SET` with `SERVICE` in which `Set` and `empty` are renamed as mentioned is imported with `protecting` in `SV-SET`. Command `open` make a given module, `SV-SET` in this case, available.

```
open SV-SET .
reduce #(sv(1,closed) sv(2,open)) . -- to 2.

op svs : -> SvSet .
reduce #(sv(1,closed) svs) = # svs + 1 . -- to true.
close
```

In `SV-SET`, `(sv(1,closed) sv(2,open))` is a term of sort `SvSet` and represents a set of services consists of two elements. Thereby, `#(sv(1,closed) sv(2,open))` is a term of `Nat` which reduces to `2` using equations of `SET` as left-to-right rewrite rules. When `svs` is a term of sort `SvSet`, `(sv(1,closed) svs)` is also a term of sort `SvSet` which represents a set of services including at least one `closed` service where `svs` represents the rest of the set. Thus, `#(sv(1,closed) svs)` reduces to `# svs + 1`.

3.2 Transition Rules

Let us consider the following module:

```

module! UPDATE {
  using(SV-SET)

  [State]
  op < _ > : SvSet -> State {constr}
  var SVS : SvSet
  var N : Nat

  trans [c2o]:
    < sv(N,closed) SVS > => < sv(N,open) SVS > .

  ctrans [o2r]:
    < sv(N,open) SVS > => < sv(N,ready) SVS >
    if # SVS > 0 .
}

```

Module UPDATE specifies a state machine. We say a “global state” as a state of the state machine in order to avoid the confusion with local states of services. A ground term of sort *State* represents a global state consisting of a set of services, where the set $\{ \langle svs \rangle \mid svs \text{ is a ground term of SvSet} \}$ represents the state space. Two transition rules, labeled by *c2o* and *o2r*, define the state transition over the states. Transition rule *c2o* specifies that a *closed* service appearing in a state is changed to *open*, and *o2r* specifies that an *open* service is changed to *ready* if there is at least one other service; *ctrans* means “conditional trans”. Command *execute* makes CafeOBJ try to apply transition rules until no one can be applied.

```

open UPDATE .
execute < sv(1,closed) sv(2,open) > .
  -- to < sv(1,ready) sv(2,ready) > .

execute < sv(1,closed) > .
  -- to < sv(1,open) > .
close

```

Rule *c2o* makes state $\langle sv(1,closed) \ sv(2,open) \rangle$ transit to $\langle sv(1,open) \ sv(2,open) \rangle$ then rule *o2r* makes transit it to $\langle sv(1,ready) \ sv(2,open) \rangle$ and successively makes it transit to $\langle sv(1,open) \ sv(2,open) \rangle$. On the other hand, only rule *c2o* can be applied to state $\langle sv(1,closed) \rangle$ because it has only one element.

3.2.1 Formalization of State Machines in CafeOBJ

This section summarizes the formal definitions of state machines in CafeOBJ. Please refer to [6] for detailed definitions.

Definition 1 [transition rule] Let *State* be a sort of global states, *l* and *r* be terms of sort *State*, and let *c* be a term of sort *Bool*, then a triple $R = [l, r, c]$ is called a transition rule and represented as “*ctrans l => r if c .*” (or “*trans l => r .*” when *c* is true).

Definition 2 [transition] Let *St* be a set of global states (i.e. ground terms of sort *State*), *Rule* be a set of transition rules, then a pair of global states $(S, S') \in Tr \subseteq St \times St$ is called a transition specified by Rule iff there exists a transition rule $R = [l, r, c] \in Rule$ and some ground substitution σ such that $S = l\sigma$, $S' = r\sigma$, and $c\sigma$ reduces to *true*. We also say *R* can be applied to *S* and say *S'* is a next state of *S*.

Definition 3 [state machine] Let *Rule* be a set of transition rules, then a state machine is a triple (St, Tr, In) where *St* is a set of global state, $Tr \subseteq St \times St$ is a set of transitions specified by *Rule*, and $In \subseteq St$. An element of *In* is called an initial state.

Definition 4 [transition sequence] Let (St, Tr, In) be a state machine, then a transition sequence is a sequence of global states (S_0, S_1, \dots, S_n) where each adjacent pair $(S_i, S_{i+1}) \in Tr$.

Notation 1 $[S\alpha, \alpha S, \alpha\beta]$ Let *S* be a global state and $\alpha = (S_0, S_1, \dots, S_n)$ be a transition sequence, then $S\alpha$ is the transition sequence such that $S\alpha = (S, S_0, S_1, \dots, S_n)$. αS is the transition sequence such that $\alpha S = (S_0, S_1, \dots, S_n, S)$. Let $\alpha = (S_0, S_1, \dots, S_n)$ and $\alpha = (S_{n+1}, S_{n+2}, \dots, S_{n+m})$ be transition sequences, then $\alpha\beta$ is the transition sequence such that $\alpha\beta = (S_0, S_1, \dots, S_n, S_{n+1}, S_{n+2}, \dots, S_{n+m})$.

Definition 5 [reachable] Let (St, Tr, In) be a state machine, then a global state $S \in St$ is reachable iff there exists a transition sequence (S_0, S_1, \dots, S_n) where $S_0 \in In$ and $S = S_n$. Note that $S_0 \in In$ is reachable because (S_0) is a transition sequence with $n = 0$.

Definition 6 [invariant] Let (St, Tr, In) be a state machine, then a global state predicate *p* is an invariant iff $p(S) = \text{true}$ holds for any reachable state *S*.

3.3 Search Predicates

What is called search predicates can be used to conduct reachability analysis for such state machines specified in CafeOBJ. Let us consider the following code fragment:

```
open UPDATE .
  reduce < sv(1,closed) sv(2,open) > =(*,1)=>+ < SVS > . -- to true.
  reduce < sv(3,closed) sv(4,ready) > =(*,1)=>+ < SVS > . -- to true.
  reduce < sv(5,open) > =(*,1)=>+ < SVS > . -- to false.
close
```

By reducing the term in the code fragment, CafeOBJ finds any next states of the given state, such as $\langle \text{sv}(1, \text{open}) \text{ sv}(2, \text{open}) \rangle^3$. The first reduction returns true because both transition rules are applicable. The second one also returns true but only rule c2o is applicable. The third one returns false.

CafeOBJ can find next states of a given state such that some conditions hold in those next states. Let us consider the following code fragment⁴:

```
open UPDATE .
  pred anyOpen : SvSet .
    -- The same as: op anyOpen : SvSet -> Bool .
  eq anyOpen(sv(N,open) SVS) = true .
  var CC : Bool .
  reduce
    < sv(1,closed) sv(2,open) > =(*,1)=>+ < SVS > if CC
    suchThat CC implies anyOpen(SVS) { true } . -- to true.
```

³*, 1, and + specify the range of search. If 2 is used instead of *, CafeOBJ tries to find at most two next states. If 3 is used instead of 1, CafeOBJ finds all states reachable from the given state with at most three state transitions. If * is used instead of +, CafeOBJ also includes the given state as a search target. Only $=(*, 1)=>+$ is used in this paper.

⁴Since the final part of the reduce sentence, { true }, is for debugging, please ignore it.

Here, `pred` declares a predicate, i.e., an operator whose coarity is `Bool`. The reduction returns true in which `CafeOBJ` finds any next states of the given state such that an open service is appearing. In this case, transition rule `c2o` makes such next state. Note that when the predicate tries a conditional transition rule, it binds the rule's condition to `CC`. The `suchThat` clause uses `CC` to check `anyOpen(SVS)` only when the rule is applied.

On the other hand, when we want to check some condition holds in all possible next states, we need some trick. The following code fragment checks whether all possible next states of state `< sv(1,closed) sv(2,open) >` include at least one open services:

```
reduce not (
  < sv(1,closed) sv(2,open) > =(*,1)=>+ < SVS > if CC
  suchThat not ((CC implies anyOpen(SVS)) == true) { true } ) .
  -- to false.
```

This style of coding is we call the *double negation idiom* because it returns true when it CAN- NOT find any next state of the given state such that NO open service is appearing. The reduction proceeds as follows:

1. Try to match LHS of `c2o` to the given state.
2. Also try to match the rule's condition (i.e. `true` because the rule is unconditional) to `CC` and the substituted RHS (i.e. `< sv(1,open) sv(2,open) >`) to `< SVS >`.
3. Evaluate the substituted `suchThat` clause which reduces to false because `anyOpen(sv(1,open) sv(2,open))` reduces to true.
4. Then, continuing the search, try to match LHS of `o2r` to the given state, the condition (i.e. `# SVS > 0`) to `CC`, and the substituted RHS (i.e. `< sv(2,ready) sv(1,closed) >`) to `< SVS >`.
5. Evaluate the substituted `suchThat` clause which reduces to true because `sv(2,ready) sv(1,closed)` does not include any open service.
6. Then the search predicate returns true and the whole term reduces to false.

This means that there is a next states of state `< sv(1,closed) sv(2,open) >` which does not include any open services; `CafeOBJ` finds that it is state `< sv(1,closed) sv(2,ready) >`.

Note that this is a typical example where we need `_ == true`. In `CafeOBJ`, `term1 == term2` reduces to `true` if both terms are reduced to be the same term and to `false` otherwise. On the other hand, `term1 = term2` reduces to `true` iff `term1 == term2` reduces to `true`. The following code fragment shows difference between `_ = _` and `_ == _`.

```
reduce anyOpen(sv(1,closed)) = true .
  -- to anyOpen(sv(1,closed)) = true .
reduce anyOpen(sv(1,closed)) == true .
  -- to false.
```

In this case, `CafeOBJ` cannot decide `anyOpen(SVS)` does or does not hold because the definition of `anyOpen` is incomplete and thus the first sentence above can reduce to neither `true` nor `false`. The second one using `_ == true` reduces to `false`, which is the reason why `suchThat` clause in the double negation idiom works as we intended.

3.3.1 Formalization of Search Predicates

This section describes the search predicates more formally.

Definition 7 [unconditional search predicate] Let *Rule* be a set of transition rules and let *S* and *S'* be terms of sort *State*. The unconditional search predicate, $FS(S, S')$ is represented as “ $S = (*, 1) \Rightarrow + S'$ ” and holds iff there exists a transition rule $R = [l, r, c] \in Rule$ and a substitution σ such that $S\sigma = l\sigma$ holds, $S'\sigma = r\sigma$ holds, and $c\sigma$ reduces to true.

Definition 8 [conditional search predicate] Let *Rule* be a set of transition rules, *S* and *S'* be terms of sort *State*, and *CC* and *B* be terms of sort *Bool*. The conditional search predicate, $CFS(S, S', CC, B)$ is represented as “ $S = (*, 1) \Rightarrow + S'$ if *CC* suchThat *B* { *debug_info* }” and holds iff there exists a transition rule $R = [l, r, c] \in Rule$ and a substitution σ such that $S\sigma = l\sigma$ holds, $S'\sigma = r\sigma$ holds, $CC\sigma = c\sigma$ holds, and $B\sigma$ reduces to true.

3.4 Verification by Proof Scores

A *proof score* is an executable specification in CafeOBJ such that if executed as expected, then the desired theorem is proved [7]. Verification by proof scores is an interactive developing process to think through meaning of the specification that is very important aspect of developing trusted systems.

For example, let us verify that in module UPDATE there should be a next state of state *S* when at least two services included in *S* are not ready.

```
module! ProofUPDATE {
  protecting(UPDATE)

  -- Theorem to be proved.
  pred theorem : State

  vars N N1 N2 : Nat
  vars St1 St2 : LocalState .
  vars SVS SVS' : SvSet

  eq theorem(< sv(N1,St1) sv(N2,St2) SVS >)
    = ((St1 == ready) = false and (St2 == ready) = false)
      implies < sv(N1,St1) sv(N2,St2) SVS > =(*,1)=>+ < SVS' > .

  -- Axiom of Nat
  eq (1 + N > 0) = true .

  -- Arbitrary constants.
  op s : -> State
  ops sv1 sv2 : -> Service
  ops st1 st2 : -> LocalState
  ops n1 n2 : -> Nat
  op svs : -> SvSet
}
```

Module ProofUPDATE gets ready for verification; it defines the theorem to be proved and declares several arbitrary constants. Note that we requires an axiom for natural numbers which says that the successor of a natural number is greater than 0.

Firstly, we begin with the most general case; the state is $\langle sv1 \ sv2 \ svs \rangle$ where $sv1$ and $sv2$ are arbitrary constants of sort `Service` and svs is of `SvSet`.

```
-- The most general case.
open ProofUPDATE .
  eq s = < sv1 sv2 svs > .
  reduce theorem(s) . -- to false.
close
```

This case is too general to judge whether the theorem does or does not hold. We should split the case into cases which collectively cover the general case. There are three case; (1) both services are closed, (2) both services are open, and (3) one service is closed and another is open. The following is a proof score for the three cases.

```
-- Case 1: Both services are closed.
open ProofUPDATE .
  eq s = < sv1 sv2 svs > .
  eq sv1 = sv(n1,closed) .
  eq sv2 = sv(n2,closed) .
  reduce theorem(s) . -- to true.
close
```

```
-- Case 2: Both services are open.
open ProofUPDATE .
  eq s = < sv1 sv2 svs > .
  eq sv1 = sv(n1,open) .
  eq sv2 = sv(n2,open) .
  reduce theorem(s) . -- to true.
close
```

```
-- Case 3: A closed service and an open service.
open ProofUPDATE .
  eq s = < sv1 sv2 svs > .
  eq sv1 = sv(n1,closed) .
  eq sv2 = sv(n2,open) .
  reduce theorem(s) . -- to true.
close
```

Verification is successfully done because all cases collectively covering the most general case are proved.

3.5 Constructor-based Inductive Theorem Prover (CITP)

As described above, interactive theorem proving is a systematic process to split general cases into collectively covering cases until all cases are specific enough to be proved. Thereby, a proof score should be written more carefully when case splitting becomes deeper. It sometimes causes to carelessly forget some cases to be proved. In fact, it may take considerable time to convince that the three cases in the previous section collectively cover all cases.

In order to assist to develop proof scores which are more systematic and easier to understand, CafeOBJ provides CITP method consisting of several special commands. The following is the list of part of CITP commands⁵:

- `:goal {eq term = true .}`
Define the goal to be proved and let it be the current case. Multiple goal equations can be specified.
- `:ctf {eq LHS = RHS .}`
Split the current case into two case adding `eq LHS = RHS .` to one case and `eq (LHS = RHS) = false .` to another.
- `:csp {eq LHS1 = RHS1 . eq LHS2 = RHS2}`
Split the current case into cases each of which `eq LHSi = RHSi .` is added to.
- `:apply (rd)`
Reduce the goal in the current case.
- `:def name = :ctf {...}`
`:def name = :csp {...}`
Name the case splitting.
- `:apply (name1 name2)`
Combine named case splittings. When *name*₁ splits *n* cases and *name*₂ splits *m* case, the current case is split into totally *n*×*m* cases. It can also specify `rd`, i.e. `:apply (n1 n2 rd)`, which means reducing the goal in every split case.
- `:init [label] by { substitution }`
Introduce a *labeled* lemma proven by other proof scores. *Substitution* specifies how to unify the lemma to the current case. Detailed examples will be explained in Chapter 6.
- `describe proof`
Describe the proof tree consisting of split cases. Proven cases are shown by “*” marks.
- `show proof`
Summarize the proof tree consisting of split cases. Proven cases are shown by “*” marks.

The following is a proof score of CITP version of the example in the previous section:

```
select ProofUPDATE .
:goal {
  eq theorem(< sv(n1,st1) sv(n2,st2) sv >) = true .
}
:def csp-st1 = :csp {
  eq st1 = closed .
  eq st1 = open .
  eq st1 = ready .
}
:def csp-st2 = :csp {
```

⁵As its name suggests, CITP has capability to automatically produce inductive goals based on constructors, however we use it only for management of proof trees in this paper.

```

eq st2 = closed .
eq st2 = open .
eq st2 = ready .
}
:apply (csp-st1 csp-st2 rd)
describe proof

```

Command `select` is similar to `open` except that it does not allow to declare new sorts, operators, equations, and so on.

Firstly, the goal to be proved should represent the most general case. Note that predicate `theorem` is defined by only one equation, which implicitly means that it does not hold for global states which does not match the LHS of the rule, i.e. $\langle \text{sv}(N1, \text{St1}) \text{ sv}(N2, \text{St2}) \text{ SVS} \rangle$. Thus, the state in the most general case is $\langle \text{sv}(n1, \text{st1}) \text{ sv}(n2, \text{st2}) \text{ svS} \rangle$ where `n1`, `st1`, `n2`, `st2`, and `svS` are arbitrary constants of corresponding classes. Then, since class `LocalState` has only three constants (`closed`, `open`, and `ready`) as constructors in module `UPDATE`, there are three cases where `st1` (and also `st2`) is one of the three constants in each of cases. Thereby the combination of case splitting for `st1` and `st2` collectively covers all cases.

The final command, `describe proof`, describes the proof tree as follows:

```

==> root*
  -- context module: #Goal-root
  -- targeted sentence:
    eq theorem(< (sv(n1, st1) sv(n2, st2) svS) >)
      = true .
[csp-st1] 1*
  -- context module: #Goal-1
  -- assumption
    eq [csp-st1]: st1 = closed .
  -- targeted sentence:
    eq theorem(< (sv(n1, st1) sv(n2, st2) svS) >)
      = true .
[csp-st2] 1-1*
  -- context module: #Goal-1-1
  -- assumptions
    eq [csp-st1]: st1 = closed .
    eq [csp-st2]: st2 = closed .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svS) >)
      = true .
[csp-st2] 1-2*
  -- context module: #Goal-1-2
  -- assumptions
    eq [csp-st1]: st1 = closed .
    eq [csp-st2]: st2 = open .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svS) >)
      = true .
[csp-st2] 1-3*
  -- context module: #Goal-1-3
  -- assumptions

```

```

    eq [csp-st1]: st1 = closed .
    eq [csp-st2]: st2 = ready .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svs) >)
      = true .
[csp-st1] 2*
  -- context module: #Goal-2
  -- assumption
    eq [csp-st1]: st1 = open .
  -- targeted sentence:
    eq theorem(< (sv(n1, st1) sv(n2, st2) svs) >)
      = true .
[csp-st2] 2-1*
  -- context module: #Goal-2-1
  -- assumptions
    eq [csp-st1]: st1 = open .
    eq [csp-st2]: st2 = closed .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svs) >)
      = true .
[csp-st2] 2-2*
  -- context module: #Goal-2-2
  -- assumptions
    eq [csp-st1]: st1 = open .
    eq [csp-st2]: st2 = open .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svs) >)
      = true .
[csp-st2] 2-3*
  -- context module: #Goal-2-3
  -- assumptions
    eq [csp-st1]: st1 = open .
    eq [csp-st2]: st2 = ready .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svs) >)
      = true .
[csp-st1] 3*
  -- context module: #Goal-3
  -- assumption
    eq [csp-st1]: st1 = ready .
  -- targeted sentence:
    eq theorem(< (sv(n1, st1) sv(n2, st2) svs) >)
      = true .
[csp-st2] 3-1*
  -- context module: #Goal-3-1
  -- assumptions
    eq [csp-st1]: st1 = ready .
    eq [csp-st2]: st2 = closed .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svs) >)

```

```

        = true .
[csp-st2] 3-2*
  -- context module: #Goal-3-2
  -- assumptions
    eq [csp-st1]: st1 = ready .
    eq [csp-st2]: st2 = open .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svs) >)
      = true .
[csp-st2] 3-3*
  -- context module: #Goal-3-3
  -- assumptions
    eq [csp-st1]: st1 = ready .
    eq [csp-st2]: st2 = ready .
  -- discharged sentence:
    eq [RD]: theorem(< (sv(n1, st1) sv(n2, st2) svs) >)
      = true .

```

This means that the most general case (root) is split into three cases (1, 2, and 3) using csp-st1 each of which is also split into three case (for example, 1-1, 1-2, and 1-3) using csp-st2. “*” marks show the all cases are successfully proved.

Chapter 4

Models and Representations of Cloud Orchestration

Cloud Orchestration is automation of operations such as set-up, scale-out, scale-in, or shutdown of cloud systems. In order to verify correctness of an automated operation of a cloud system, we need to model the structure of the target cloud system and the behavior of the operation. We say “model” which means abstractly and formally specifying the structure and behavior. A specified model is represented by a formal specification language, i.e. CafeOBJ in this paper.

4.1 Structure Models and Representations

CloudFormation models a structure of a cloud system simply as a set of *resources* on IaaS platform of AWS. The model is called a *template* which is represented by JSON as illustrated in Fig. 2.1. A resource has an identifier and a type and includes several *properties* which may depend on other resources.

On the other hand, TOSCA’s model of a cloud system is more structured to manage any types of cloud resources, as well as inside VMs, and any types of operations such as scale-out, scale-in, shutdown, and so on. A TOSCA’s model, called a *topology*, which is represented by XML as illustrated in Fig 2.4. A topology consists of a set of *nodes* and a set of *relationships* between nodes. A node has several *capabilities* and *requirements*. A relationship relates a requirement of a source node to a capability of a target node.

In order to cover many different kinds of models of cloud system structures, our framework provides a generic model of a cloud system structure which consists of several *classes* of *objects*. For example, in the case of CloudFormation, a cloud system consists of two classes (resource and property) of objects whereas TOSCA models that a cloud system consists of four classes (node, relationship, capability, and requirement). For a while, we explain our framework using the simple CloudFormation template shown in Fig. 2.1 and the case of TOSCA topologies will be explained in Chapter 7.

An object has a *type*¹, an *identifier*(ID), a *local state*, and possibly *links* to other objects. In the case of the example show in Fig. 2.1, a resource object whose type is AWS::EC2::Instance has its ID as MyInstance. The type of MyEIP resource is AWS::EC2::EIP. MyEIP has a property but its ID is hidden and we assume it is MyEIP::InsID since its parent is MyEIP and its type

¹Do not think a *type* is that of programming languages which is called *sort* in CafeOBJ . A type is just an attribute of an object. We use the term because both CloudFormation and TOSCA use it.

is `InstanceId`. `MyEIP::InsID` has a link to `MyInstance`. Local states of objects are used for automation of operations, which will be explained in Section 4.2. Note that a link is represented by an identifier of the linked object in our framework.

An object belongs to a class and thus a class is a set of objects. We assume this set consists of countably infinite objects each of which has its fixed ID and type. Local states or links of objects may be dynamically changed. A class decides the set of possible types, the set of possible local states of its objects. A class also decides how its objects link to other objects.

Users of the framework should design representation of the system model in **CafeOBJ** language. A class is represented as a **CafeOBJ** module that defines a sort of its objects, a constructor of the sort, a set of literals of types, and a set of literals of local states. An object is represented as a ground constructor term of the sort.

For the example show in Fig. 2.1, three objects may be represented as the following ground terms:

```
res(ec2Instance, myInstance, initial)
res(ec2Eip, myEIP, initial)
prop(instanceId, myEIP::InsID, notready, myEIP, myInstance)
```

Although the users of the framework can freely design the representation of objects, typically the constructor name represents the class of the object (`res`, `prop`), the first argument is its type (`ec2Instance`, `ec2Eip`, `instanceId`), the second is its identifier (`myInstance`, `myEIP`, `myEIP::InsID`)², and the third is its local state. In this example, the initial states of resource and property objects are assumed as `initial` and `notready` respectively. The fourth argument of the property object represents a link to its parent, `myEIP`, and the fifth represents that the property depends on `myInstance`. The example of **CafeOBJ** modules representing resource and property classes will be shown in Chapter 5.

4.2 Behavior Models and Representations

The framework models the behavior of an automated operation of a cloud system as a state machine in which a set of *transition rules* of states specifies the behavior. We say a *global state* as a state of the state machine in order to avoid the confusion with local states of objects. A global state is a finite set of objects each of which is included of some class. A transition rule makes a global state transit to another global state where local states or links of some objects are changed.

In the case of a template of **CloudFormation**, a global state consists of finite number of resources and their properties. **CloudFormation** tries to start all resources according to the dependency specified by the template. In this paper, we use a very simple behavior model of **CloudFormation** as an example; a local state of a resource is firstly *initial* and becomes *started* but a dependent resource can be *started* after all resources it depends become *started*. The dependency is specified such that a property linking some resource is firstly *notready* and becomes *ready* when the linked resource is *started* and a resource can be *started* when all of its properties become *ready*. Figure 4.1 illustrates the model where solid arrows show changes of local states and dashed arrows show transition rules.

A global state is represented in **CafeOBJ** as a ground constructor term of sort `State`, which is typically a tuple of sets of objects, each of the sets is a finite subset of a class. In the case of

²In this paper, we often use an identifier to designate an object which has the identifier for the sake of brevity.

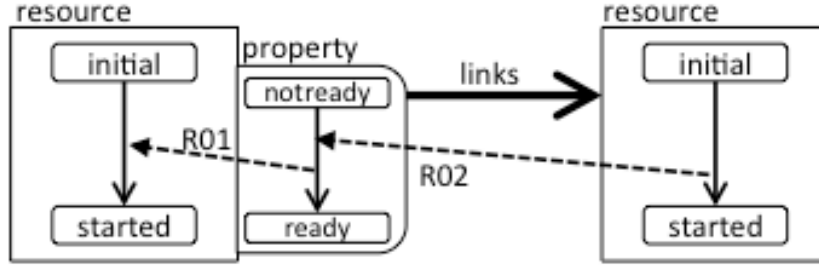


Figure 4.1: Simple Behavior Model of CloudFormation

CloudFormation, sort `State` is defined as a pair of a set of resources and a set of properties and the global state shown in Fig. 2.1 is represented as follows:³

```
module! STATE {
  protecting(LINKS)
  [State]
  op <_,_> : SetOfResource SetOfProperty -> State {constr}
}

open STATE .
-- Constants
ops ec2Instance ec2Eip : -> RSTypeLt .
ops myInstance myEIP : -> RSIDLt .
ops myEIP::InsID : -> PRIDLt .
op instanceId : -> PRTYPELt .
op s0 : -> State .
eq s0 =
  < (res(ec2Instance, myInstance, initial)
    res(ec2Eip, myEIP, initial)),
    (prop(instanceId, myEIP::InsID, notready, myEIP, myInstance)) >
```

The behavior is modeled and represented by a set of two transition rules as follows:

```
module! STATERules {
  protecting(STATEfuns)

  -- Variables
  vars IDRS IDRRS : RSID
  var IDPR : PRID
  var TRS : RSType
  var TPR : PRTYPE
  var SetRS : SetOfResource
  var SetPR : SetOfProperty

  -- Start an initial resource
  -- if all of its properties are ready.
  ctrans [R01]:
    < (res(TRS,IDRS,initial) SetRS), SetPR >
```

³Module `LINKS` and several sorts of constants will be explained in the next chapter.

```

=> < (res(TRS,IDRS,started) SetRS), SetPR >
    if allPROfRSInStates(SetPR,IDRS,ready) .

-- Let a not-ready property be ready
-- if its referring resource is started.
trans [R02]:
  < (res(TRS,IDRRS,started) SetRS),
    (prop(TPR,IDPR,notready,IDRS,IDRRS) SetPR) >
=> < (res(TRS,IDRRS,started) SetRS),
    (prop(TPR,IDPR,ready ,IDRS,IDRRS) SetPR) > .
}

```

Predicate `allPROfRSInStates(SetPR,IDRS,ready)` checks a set of properties `SetPR` whether every property of resource `IDRS` is `ready`, which will be explained in Section 5.2. Thus, rule `R01` means that an initial resource becomes `started` when all of its properties are `ready`. The LHS of rule `R02` includes a resource and a property. The second link of the property is the identifier of the resource, which means the property refers the resource. Thereby, rule `R02` means that a `notready` property becomes `ready` when it refers a `started` resource.

4.3 Simulation of Models

CafeOBJ provides `execute` command to execute a state machine trying to apply transition rules as long as possible.

```

open STATERules .
-- Constants
ops ec2Instance ec2Eip : -> RSTypeLt .
ops myInstance myEIP : -> RSIDLt .
ops myEIP::InsID : -> PRIDLt .
op instanceId : -> PRTYPELt .
op s0 : -> State .
eq s0 =
  < (res(ec2Instance, myInstance,initial)
    res(ec2Eip,myEIP,initial)),
    (prop(instanceId,myEIP::InsID,notready,myEIP,myInstance)) > .

execute s0 .
-- will be produced
-- < (res(ec2Instance, myInstance,started)
--   res(ec2Eip,myEIP,started)),
--   (prop(instanceId,myEIP::InsID,ready,myEIP,myInstance)) > .

```

The following is a part of log messages of the execution above, which shows that firstly rule `R01` makes `myInstance` transit from *initial* to *ready*, then `R02` makes `myEIP::InsID` transit from *notready* to *ready*, and finally `R01` makes `myEIP` transit from *initial* to *started*.

```

...
1>[2] apply trial #1
-- rule: ctrans [R01]:
    (< (res(TRS,IDRS,initial) SetRS) , SetPR >)

```

```

=> (< (res(TRS,IDRS,started) SetRS) , SetPR >)
  if allPROfRSInStates(SetPR,IDRS,ready)
{ IDRS |-> myInstance,
  TRS |-> ec2Instance,
  SetRS |-> res(ec2Eip,myEIP,initial),
  SetPR |-> prop(instanceId,myEIP::InsID,notready,myEIP,myInstance)
}
...
1>[19] match success #1
1<[19] (< (res(ec2Eip,myEIP,initial) res(ec2Instance,myInstance,initial)),
      (prop(instanceId,myEIP::InsID,notready,myEIP,myInstance)) >)
  --> (< (res(ec2Instance,myInstance,started) res(ec2Eip,myEIP,initial)),
      (prop(instanceId,myEIP::InsID,notready,myEIP,myInstance)) >)
1>[20] rule: trans [R02]:
      (< (res(TRS,IDRRS,started) SetRS),
        (prop(TPR,IDPR,notready,IDRS,IDRRS) SetPR) >)
  => (< (res(TRS,IDRRS,started) SetRS),
      (prop(TPR,IDPR,ready,IDRS,IDRRS) SetPR) >)
{ IDPR |-> myEIP::InsID,
  TPR |-> instanceId,
  IDRS |-> myEIP,
  SetPR |-> empPR,
  IDRRS |-> myInstance,
  TRS |-> ec2Instance,
  SetRS |-> res(ec2Eip,myEIP,initial)
}
1<[20] (< (res(ec2Eip,myEIP,initial) res(ec2Instance,myInstance,started)),
      (prop(instanceId,myEIP::InsID,notready,myEIP,myInstance)) >)
  --> (< (res(ec2Instance,myInstance,started) res(ec2Eip,myEIP,initial)),
      (prop(instanceId,myEIP::InsID,ready,myEIP,myInstance)) >)
1>[21] apply trial #1
...
1>[42] match success #1
1<[42] (< (res(ec2Eip,myEIP,initial) res(ec2Instance,myInstance,started)),
      (prop(instanceId,myEIP::InsID,ready,myEIP,myInstance)) >)
  --> (< (res(ec2Eip,myEIP,started) res(ec2Instance,myInstance,started)),
      (prop(instanceId,myEIP::InsID,ready,myEIP,myInstance)) >)

(< (res(ec2Instance,myInstance,started) res(ec2Eip,myEIP,started)),
  (prop(instanceId,myEIP::InsID,ready,myEIP,myInstance)) >):State

```

Chapter 5

General Templates and Predicate Libraries

The framework uses the template mechanism of CafeOBJ to provide a general way to model cloud orchestration, predefined predicate libraries, and proved lemmas together with their proof scores.

5.1 Template Modules of Objects

Template module OBJECTBASE defines nine sorts and more than ten operators/predicates of objects, which generally and minimally defines what an object is in a class. The template can be instantiated and imported in a module for each class of objects, where the imported sorts and operators can be used only by renaming appropriately. For the example show in Fig. 2.1, following module RESOURCE describes specifications of the resource class for CloudFormation¹.

```
module! RESOURCE {
  -- Instantiation of Template
  extending(OBJECTBASE
    * {sort Object -> Resource,
      sort ObjIDLt -> RSIDLt,
      sort ObjID -> RSID,
      sort ObjTypeLt -> RSTypeLt,
      sort ObjType -> RSType,
      sort ObjStateLt -> RSStateLt,
      sort ObjState -> RSState,
      sort SetOfObject -> SetOfResource,
      sort SetOfObjState -> SetOfRSState,
      op empObj -> empRS,
      op empState -> empSRS,
      op existObj -> existRS,
      op existObjInStates -> existRSInStates,
      op uniqObj -> uniqRS,
      op #ObjInStates -> #ResourceInStates,
      op getObject -> getResource,
```

¹OBJECTBASE is a template with no parameter and is used to instantiate a new module and rename predefined sorts/operators.

```

    op allObjInStates -> allRSInStates,
    op allObjNotInStates -> allRSNotInStates,
    op someObjInStates -> someRSInStates}
)

-- Constructor
-- res(RSType, RSID, RSState) is a Resource.
op res : RSType RSID RSState -> Resource {constr}

-- Variables
var TRS : RSType
var IDRS : RSID
var SRS : RSState

-- Selectors
eq type(res(TRS,IDRS,SRS)) = TRS .
eq id(res(TRS,IDRS,SRS)) = IDRS .
eq state(res(TRS,IDRS,SRS)) = SRS .

-- Local States
ops initial started : -> RSStateLt {constr}
}

```

The following is the list of part of sorts and operators predefined by template module OBJECTBASE whereas argument *obj* is an object, *id* is an identifier of an object, *seto* is a set of objects, and *setls* is a set of local states of objects:

- sort Object (as Resource)
Sort for objects themselves.
- sort ObjIDLt (renamed as RSIDLt)
Subsort of ObjID for identifier literals. A literal is a constant for which OBJECTBASE predefines a special equality predicate such that $_ = _$ is exactly the same as $_ == _$.
- sort ObjID (as RSID)
Sort for identifiers of objects.
- sort ObjTypeLt (as RSTypeLt)
Subsort of ObjType for type literals.
- sort ObjType (as RSType)
Sort for types of objects.
- sort ObjStateLt (as RSStateLt)
Subsort of ObjState for local state literals.
- sort ObjState (as RSState)
Sort for local states of objects.
- sort SetOfObject (as SetOfResource)
Soft for sets of objects.

- `sort SetOfObjState (as SetOfRSState)`
Sort for sets of local states of objects.
- `op empObj (as empRS)`
Constant representing an empty set of objects.
- `op empState (as empSRS)`
Constant representing an empty set of local states of objects.
- `op existObj (as existRS)`
Predicate used as `existObj(seto, id)` which holds iff some object with identifier *id* is included in *seto*;
$$\exists o \in seto : id(o) = id.$$
- `op existObjInStates (as existRSInStates)`
Predicate used as `existObjInStates(seto, id, setls)` which holds iff some object with identifier *id* is included in *seto* and its local state is included in *setls*;
$$\exists o \in seto : (id(o) = id \wedge state(o) \in setls).$$
- `op uniqObj (as uniqRS)`
Predicate used as `uniqObj(seto)` which holds iff the identifier of each object is unique in *seto*;
$$\forall o, o' \in seto : (o \neq o' \rightarrow id(o) \neq id(o')).$$
- `op #ObjInStates (as #ResourceInStates)`
Operator used as `#ObjInStates(setls, seto)` which returns the number of objects in *seto* whose local states are included in *setls*.
- `op getObject (as getResource)`
Operator used as `getObject(seto, id)` which returns an object in *seto* whose identifier is *id*.
- `op allObjInStates (as allRSInStates)`
Predicate used as `allObjInStates(seto, setls)` which holds iff the local states of all objects in *seto* are included in *setls*;
$$\forall o \in seto : state(o) \in setls.$$
- `op allObjNotInStates (as allRSNotInStates)`
Predicate used as `allObjNotInStates(seto, setls)` which holds iff the local states of all objects in *seto* are not included in *setls*;
$$\forall o \in seto : state(o) \notin setls.$$
- `op someObjInStates (as someRSInStates)`
Predicate used as `someObjInStates(seto, setls)` which holds iff there exists an objects in *seto* whose local state is included in *setls*;
$$\exists o \in seto : state(o) \in setls.$$

The module importing the instantiated template can be extended to freely define a constructor of objects and local state literals. In this case, module `RESOURCE` defines a constructor (`res`) of sort `Resource` whose arguments are a type, an identifier, and a local state of the resource. It also defines local state literals, `initial` and `started` of a resource.

In addition, the module should implement three selector operators, `type`, `id`, and `state`, each of which takes a resource as an argument and returns the type, the identifier, and the local state of the resource respectively since OBJECTBASE uses them to implement the predefined general operators².

Similarly, following module PROPERTY specifies the property class for the example show in Fig. 2.1.

```

module! PROPERTY {
  protecting(RESOURCE)

  -- Instantiation of Template
  extending(OBJECTBASE
    * {sort Object -> Property,
      sort ObjIDLt -> PRIDLt,
      sort ObjID -> PRID,
      sort ObjTypeLt -> PRTypeLt,
      sort ObjType -> PRType,
      sort ObjStateLt -> PRStateLt,
      sort ObjState -> PRState,
      sort SetOfObject -> SetOfProperty,
      sort SetOfObjState -> SetOfPRState,
      op empObj -> empPR,
      op empState -> empSPR,
      op existObj -> existPR,
      op existObjInStates -> existPRInStates,
      op uniqObj -> uniqPR,
      op #ObjInStates -> #PropertyInStates,
      op getObject -> getProperty,
      op allObjInStates -> allPRInStates,
      op allObjNotInStates -> allPRNotInStates,
      op someObjInStates -> somePRInStates}
  )

  -- Constructor
  -- prop(PRType, PRID, PRState, RSID, RSID) is a Property.
  op prop : PRType PRID PRState RSID RSID -> Property {constr}

  -- Variables
  var TPR : PRType
  var IDPR : PRID
  var SPR : PRState
  vars IDRS1 IDRS2 : RSID

  -- Selectors
  op parent : Property -> RSID
  op refer : Property -> RSID
  eq type(prop(TPR, IDPR, SPR, IDRS1, IDRS2)) = TPR .
  eq id(prop(TPR, IDPR, SPR, IDRS1, IDRS2)) = IDPR .
  eq state(prop(TPR, IDPR, SPR, IDRS1, IDRS2)) = SPR .

```

²OBJECTBASE declares and uses these operators and so RESOURCE only should define them by equations.

```

eq parent(prop(TPR, IDPR, SPR, IDRS1, IDRS2)) = IDRS1 .
eq refer(prop(TPR, IDPR, SPR, IDRS1, IDRS2)) = IDRS2 .

-- Local States
ops notready ready : -> PRStateLt {constr}
}

```

Firstly, module `PROPERTY` imports module `RESOURCE` using `protecting` because a property object links to its parent resource and also links to its referring resource.

Module `PROPERTY` defines a constructor (`prop`) of sort `property` whose arguments are a type, an identifier, a local state, and links of the property. As noted before, a link is represented by an identifier of the linked object. It also defines local state literals, `notready` and `ready` of a property.

In addition to the mandatory selectors (`type`, `id`, and `state`), module `PROPERTY` declares and defines two more selectors, `parent` and `refer`, each of which returns a parent resource and a referring resource of the property respectively.

5.2 Template Modules for Links

In addition to the operators provided by template module `OBJECTBASE`, two template modules `OBJLINKMANY2ONE` and `OBJLINKONE2ONE` provide many predefined operators/predicates for links between objects. Representing object structures by using links, instead of nesting structures, enables the framework to be easily applied to any kinds of model structures and to effectively provide a predefined set of operators/predicates.

A template module `OBJLINKMANY2ONE` takes one parameter module of a class whose object links to another object. In order to provide predefined operators for links, the template module assumes that the parameter module defines eleven specific sorts and five specific operators. For example, it assumes that a parameter module defines `Object` as a sort for linking objects, `LObject` as a sort for linked objects, `link` as a selector of `Object` which returns the identifier of linked object, and so on. When the actual parameter module defines those sorts and operators with the different names from ones assumed, `CafeOBJ` allows to specify correspondence of the names. In the case of `CloudFormation`, the sort for linking objects is `Property`, the sort for linked objects is `Resource`, and the selectors are `parent` and `refer` defined by module `PROPERTY`. The following module `LINKS` imports `OBJLINKMANY2ONE` twice for both kinds of links specifying the correspondence of the names:

```

module! LINKS {
  -- A Property links to its parent Resource
  extending(OBJLINKMANY2ONE(
    PROPERTY {sort Object -> Property,
              sort ObjID -> PRID,
              sort ObjType -> PRType,
              sort ObjState -> PRState,
              sort SetOfObject -> SetOfProperty,
              sort SetOfObjState -> SetOfPRState,
              sort LObject -> Resource,
              sort LObjID -> RSID,
              sort LObjState -> RSState,
              sort SetOfLObject -> SetOfResource,

```

```

        sort SetOfLObjectState -> SetOfRSState,
        op link -> parent,
        op empLObject -> empRS,
        op existLObject -> existRS,
        op existLObjectInStates -> existRSInStates,
        op getLObject -> getResource}
    )
    * {op hasLObject -> hasParent,
        op getXOfZ -> getRSOfPR,
        op getZsOfX -> getPRsOfRS,
        op getZsOfXInStates -> getPRsOfRSInStates,
        op getXsOfZs -> getRSsOfPRs,
        op getXsOfZsInStates -> getRSsOfPRsInStates,
        op getZsOfXs -> getPRsOfRSs,
        op getZsOfXsInStates -> getPRsOfRSsInStates,
        op allZHaveX -> allPRHaveRS,
        op allZOfXInStates -> allPROfRSInStates,
        op ifOfXThenInStates -> ifOfRSThenInStates,
        op ifXInStatesThenZInStates -> ifRSInStatesThenPRInStates}
    )

-- A Property links to its referring Resource
extending(OBJLINKMANY2ONE(
    PROPERTY {sort Object -> Property,
        sort ObjID -> PRID,
        sort ObjType -> PRType,
        sort ObjState -> PRState,
        sort SetOfObject -> SetOfProperty,
        sort SetOfObjState -> SetOfPRState,
        sort LObject -> Resource,
        sort LobjID -> RSID,
        sort LobjState -> RSState,
        sort SetOfLObject -> SetOfResource,
        sort SetOfLObjectState -> SetOfRSState,
        op link -> refer,
        op empLObject -> empRS,
        op existLObject -> existRS,
        op existLObjectInStates -> existRSInStates,
        op getLObject -> getResource}
    )
    * {op hasLObject -> hasRefRS,
        op getXOfZ -> getRRSOfPR,
        op getZsOfX -> getPRsOfRRS,
        op getZsOfXInStates -> getPRsOfRRSInStates,
        op getXsOfZs -> getRRSsOfPRs,
        op getXsOfZsInStates -> getRRSsOfPRsInStates,
        op getZsOfXs -> getPRsOfRRSs,
        op getZsOfXsInStates -> getPRsOfRRSsInStates,
        op allZHaveX -> allPRHaveRRS,
        op allZOfXInStates -> allPROfRRSInStates,

```

```

        op if0fXThenInStates -> if0fRRSThenInStates,
        op ifXInStatesThenZInStates -> ifRRSInStatesThenPRInStates}
    )
}

```

The following is the list of eleven sorts and five operators assumed by module OBJLINKMANY2ONE whereas argument *obj* is a linking object, *lid* is an identifier of a linked object, *setlo* is a set of linked objects, and *setlls* is a set of local states of linked objects:

- sort Object (actually named as Property)
Sort for linking objects.
- sort ObjID (as PRID)
Sort for identifiers of linking objects.
- sort ObjType (as PRType)
Sort for types of linking objects.
- sort ObjState (as PRState)
Sort for local states of linking objects.
- sort SetOfObject (as SetOfProperty)
Sort for sets of linking objects.
- sort SetOfObjState (as SetOfPRState)
Sort for sets of local states of linking objects.
- sort LObject (as Resource)
Sort for linked objects.
- sort LObjID (as RSID)
Sort for identifiers of linked objects.
- sort LObjState (as RSState)
Sort for local states of linked objects.
- sort SetOfLObject (as SetOfResource)
Sort for sets of linked objects.
- sort SetOfLObjState (as SetOfRSState)
Sort for sets of local states of linked objects.
- op link (as parent and refer)
Selector used as $\text{link}(obj)$ which returns the identifier of the object linked by *obj*.
- op empLObject (as empRS)
Constant representing an empty set of linked objects.
- op existLObject (as existRS)
Predicate used as $\text{existLObject}(setlo, lid)$ which holds iff an linked object with identifier *lid* is included in *setlo*;
 $\exists lo \in setlo : id(lo) = lid.$

- op existLObjInStates (as existRSInStates)
Predicate used as $\text{existLObjInStates}(\text{setlo}, \text{lid}, \text{setlls})$ which holds iff an linked object with identifier lid is included in setlo and its local state is included in setlls ;
$$\exists lo \in \text{setlo} : (\text{id}(lo) = \text{lid} \wedge \text{state}(lo) \in \text{setlls}).$$
- op getLObject (as getResource)
Operator used as $\text{getLObject}(\text{setlo}, \text{lid})$ which returns an object in setlo whose identifier is lid .

Note that LINKS imports OBJLINKMANY2ONE twice but only selector `link` is specified differently, `parent` and `refer`, and others are the same.

Many operators/predicates between linking (Z) and linked (X) objects are provided. In this case, each of them is twice renamed differently. The following is the list of part of operators predefined by template module OBJLINKMANY2ONE whereas argument obj is a linking object, seto is a set of linking objects, setls is a set of local states of linking objects, lobj is a linked object, lid is an identifier of a linked object, setlo is a set of linked objects, and setlls is a set of local states of linked objects:

- hasLObj (renamed as hasParent and hasRefRS)
Predicate used as $\text{hasLObj}(\text{obj}, \text{setlo})$ which holds iff the object linked by obj is included in setlo ;
$$\exists lo \in \text{setlo} : \text{id}(lo) = \text{link}(\text{obj}).$$
- getXOfZ (as getRSOfPR and getRRSOfPR)
Operator used as $\text{getXOfZ}(\text{setlo}, \text{obj})$ which returns an object linked by obj and included in setlo .
- getZsOfX (as getPRsOfRS and getPRsOfRRS)
Operator used as $\text{getZsOfX}(\text{seto}, \text{lobj})$ which returns a subset seto each of whose element object links to lobj .
- getZsOfXInStates (as getPRsOfRSInStates and getPRsOfRRSInStates)
Operator used as $\text{getZsOfXInStates}(\text{seto}, \text{lobj}, \text{setls})$ which returns a subset of seto each of whose element object links to lobj and is in one of local states of setls .
- getXsOfZs (as getRSsOfPRs and getRRSsOfPRs)
Operator used as $\text{getXsOfZs}(\text{setlo}, \text{seto})$ which returns a subset of setlo each of whose element object is linked by some object included in seto .
- getXsOfZsInStates (as getRSsOfPRsInStates and getRRSsOfPRsInStates)
Operator used as $\text{getXsOfZsInStates}(\text{setlo}, \text{seto}, \text{setlls})$ which returns a subset of setlo each of whose element object is linked by some object included in seto and is in one of local states of setlls .
- getZsOfXs (as getPRsOfRSs and getPRsOfRRSs)
Operator used as $\text{getZsOfXs}(\text{seto}, \text{setlo})$ which returns a subset of seto each of whose element object links to some object included in setlo .
- getZsOfXsInStates (as getPRsOfRSsInStates and getPRsOfRRSsInStates)
Operator used as $\text{getZsOfXsInStates}(\text{seto}, \text{setlo}, \text{setls})$ which returns a subset of seto each of whose element object links to some object included in setlo and is in one of local states of setls .

- **allZHaveX** (as **allPRHaveRS** and **allPRHaveRRS**)
 Predicate used as **allZHaveX**(*seto*, *setlo*) which holds iff every object included in *seto* has objects linked by it which are included in *setlo*;
 $\forall o \in seto, \exists lo \in setlo : id(lo) = link(o).$
- **allZOfXInStates** (as **allPROfRSInStates** and **allPROfRRSInStates**)
 Predicate used as **allZOfXInStates**(*seto*, *lid*, *setls*) which holds iff every object included in *seto* whose link is *lid* is in one of locals state in *setls*;
 $\forall o \in seto : (link(o) = lid \rightarrow state(o) \in setls).$
- **ifOfXThenInStates** (as **ifOfRSThenInStates** and **ifOfRRSThenInStates**)
 Predicate used as **ifOfXThenInStates**(*obj*, *lid*, *setls*) which holds iff the link of *obj* is not *lid* or the local state of *obj* is included in *setls*;
 $link(obj) = lid \rightarrow state(obj) \in setls.$
- **ifXInStatesThenZInStates**
 (as **ifRSInStatesThenPRInStates** and **ifRRSInStatesThenPRInStates**)
 Predicate used as **ifXInStatesThenZInStates**(*setlo*, *setlls*, *seto*, *setls*) which holds iff every object included in *setlo* whose local state is included in *setlls* is linked by objects included in *seto* each of which is in one of local states in *setls*;

$$\forall lo \in setlo : (state(lo) \in setlls \rightarrow$$

$$\forall o \in seto : (link(o) = id(lo) \rightarrow state(o) \in setls)).$$

Similarly module **OBJLINKONEZONE** provides predicates for one to one relationships between objects, which will be explained in Section 7.1.1.

5.3 Proved Lemmas for Predefined Predicates

In the course of verification, a lot of lemmas about predefined predicates are commonly required. The framework provides many typical lemmas which are already proved as general as the templates and can be used for any instantiated predicates without individual proofs. Most of proved lemmas provided together with proof scores written in **CafeOBJ**.

5.3.1 Basic Lemmas

Lemma 1 (Implication Lemma) *Let A and B be Boolean terms in CafeOBJ, then A implies B is equivalent to A and B = A.*

A lemma typically has a form $A \rightarrow B$. When using this to prove a *goal*, we may write a proof score in **CafeOBJ** as follows:

```
reduce (A implies B) implies goal .
```

However, this style is somewhat inconvenient. Remember that CITP method tries to prove a fixed set of goals in many cases. If several lemmas are effective to different cases, we should use a complicated goal set such as:

```

:goal {
  eq (A1 implies B1) and (A2 implies B2) ... implies goal1 = true .
  eq (A1 implies B1) and (A2 implies B2) ... implies goal2 = true .
  ...
}

```

This style is not only complicated but also very expensive to execute. CafeOBJ internally represents a logical formula in the algebraic normal form (ANF), in which a formula represented as ANDed terms are XORed. For example, formula $(A \text{ implies } B) \text{ implies goal}$ is represented as $A \text{ xor } B \text{ xor goal xor } (A \text{ and } B) \text{ xor } (A \text{ and goal}) \text{ xor } (A \text{ and } B \text{ and goal})$. The ANF of a goal would become exponentially long along with the number of lemmas.

Using the implication lemma, we can define lemmas in a independent style from goals as follows:

```

eq (A1 and B1) = A1 .
eq (A2 and B2) = A2 .
...
:goal {
  eq goal1 = true .
  eq goal2 = true .
  ...
}

```

Lemma 2 (Set Lemma) *Let S be a set of object, P be a predicate of an object, allObjP be a predicate of a set of objects where $\text{allObjP}(S)$ holds iff $P(O)$ holds for every object O in S . Then, if $\text{allObjP}(S)$ does not hold, then there exists an object O' and a set of objects S' such that $S=(O' \ S')$ holds and $P(O')$ does not hold.*

Corollary 1 *Let S be a set of object, P be a predicate of an object, someObjP be a predicate of a set of objects where $\text{someObjP}(S)$ holds iff $P(O)$ holds for some object O in S . Then, if $\text{someObjP}(S)$ holds, then there exists an object O' and a set of objects S' such that $S=(O' \ S')$ holds and $P(O')$ holds.*

Since a cloud system structure is modeled as a collection of several classes of objects, proof is often split into two cases where all elements in a certain set of objects do or do not satisfy a certain condition. For example, since the condition of rule R01 is $\text{allPROfRSInStates}(\text{SetPR}, \text{IDRS}, \text{ready})$, proof is split into two cases; all properties of resource IDRS are or are not ready.

Template module OBJECTBASE predefines a general predicate allObjP that uses an object predicate P and checks if $P(O)$ holds for every object O in a given set of objects. Similarly it predefines a general predicate someObjP . Here, it is important to note that many predicates provided by the template modules are ones instantiated from allObjP or someObjP .

For example, allZOfXInStates is instantiated from allObjP where $P(O)$ holds iff O is in one of given local states whenever it links to a given linked object. As explained in Section 5.2, allPROfRSInStates is renamed from allZOfXInStates and thus the set lemma can be used to split cases where the condition of rule R01 does or does not hold as follows:

```

:csp {
  eq allPROfRSInStates(setPR,idRS,ready) = true .
  eq setPR = (PR' setPR') .
}

```

Note that in this case, PR' should be a property whose parent is resource $idRS$ but is not ready (i.e. is `notready`). Thus, it can be represented as `prop(tp, idPR, notready, idRS, idRRS)` where tp , $idPR$, and $idRRS$ are arbitrary constants. Then, the case splitting can be specified as follows:

```
:csp {
  eq allPROfRSInState(setPR, idRS, ready) = true .
  eq setPR = (prop(tp, idPR, notready, idRS, idRRS) setPR') .
}
```

For another example, since `existRS` is instantiated from `someObjP`, a typical case splitting code is as follows:

```
:csp {
  eq existRS(setRS, idRS) = false .
  eq setRS = (res(trs, idRS, srs) setRS') .
}
```

5.3.2 Lemmas for Link Predicates

The framework provides many proved lemmas for predefined predicates provided by `OBJLINKMANY2ONE` and `OBJLINKONE2ONE`. This section describes two of them with example usages.

Lemma 3 (Many-2-One Lemma 07) *Let S_x be a set of linking objects, S_z be a set of linked objects, ST_x be a set of local states of linking objects, ST_z be a set of local states of linked objects, and St be a local state of linking object where St is not included in ST_x . Then, `allObjInStates(S_x , St)` implies `ifXInStatesThenZInStates(S_x , ST_x , S_z , ST_z)`.*

This lemma is represented in `CafeOBJ` as follows³:

```
vars B1 B2 : Bool
pred (_when _) : Bool Bool { prec: 64 r-assoc }
eq (B1 when B2)
  = B2 implies B1 .

var S_X : SetOfLObject
var S_Z : SetOfObject
var SX : LObjState
var St_X : SetOfLObjectState
var St_Z : SetOfObjectState
pred m2o-lemma07 : SetOfLObject LObjState SetOfLObjectState
  SetOfObject SetOfObjectState
eq m2o-lemma07(S_X, SX, St_X, S_Z, St_Z)
  = allObjInStates(S_X, SX) implies
    ifXInStatesThenZInStates(S_X, St_X, S_Z, St_Z)
  when not (SX \in St_X) .
```

In the course of verification of the transition rule set in Section 4.2, we need an invariant which says that every started parent resource has ready properties only. It is represented as follows:

³`prec: 64` means the operator precedence of `when` is 64 (very low) and `r-assoc` means it is right associative.


```

var SetRS : SetOfResource
var SetPR : SetOfProperty
pred inv-ifRSSStartedThenPRReady : State
eq inv-ifRSSStartedThenPRReady(< SetRS,SetPR >)
  = ifRSInStatesThenPRInStates(SetRS,started,SetPR,ready) .

```

In order to show that `inv-ifRSSStartedThenPRReady` is an invariant, we need a lemma which says that if all resources are `initial` then `inv-ifRSSStartedThenPRReady` holds. The lemma could be defined as follows:

```

var SetRS : SetOfResource
var SetPR : SetOfProperty
pred lemma1 : SetOfResource SetOfProperty
eq lemma1(SetRS,SetPR) =
  allRSInStates(SetRS,initial) implies
  ifRSInStatesThenPRInStates(SetRS,started,SetPR,ready) .

```

Although this lemma may be intuitively true, a typical pitfall of developing proof scores is regarding some lemma as intuitive and skipping to prove it, which often results in leaving critical errors in specifications. However, recalling that we get `allRSInStates` by renaming `allObjInStates` and similarly `ifRSInStatesThenPRInStates` by renaming `ifXInStatesThenZInStates`, this lemma can be got by renaming `m2o-lemma07` as follows:

```

var SetRS : SetOfResource
var SetPR : SetOfProperty
pred m2o-lemma07-renamed : SetOfResource SetOfProperty
eq m2o-lemma07-renamed(SetRS,SetPR)
  = allRSInStates(SetRS,initial) implies
    ifRSInStatesThenPRInStates(SetRS,started,SetPR,ready)
  when not (initial \in started) .

```

Since `not (initial \in started)` is true, the `when` clause can be omitted. This is why we use `when` instead of `implies` assuming it will be omitted when renamed. Using the implication lemma, this lemma can be defined as follows:

```

var SetRS : SetOfResource
var SetPR : SetOfProperty
eq [m2o-lemma07]:
  (allRSInStates(SetRS,initial) and
   ifRSInStatesThenPRInStates(SetRS,started,SetPR,ready))
  = allRSInStates(SetRS,initial) .

```

Lemma 4 (Many-2-One Lemma 11) *Let S_x be a set of linking objects, S_z be a set of linked objects, ST_x be a set of local states of linking objects, ST_z be a set of local states of linked objects, and Z and Z' be linked objects where Z and Z' are identical (i.e. whose identifiers, links, and types are the same) and only their local states are different⁴. Then, if the local state of Z' is included in ST_z , $\text{ifXInStatesThenZInStates}(S_x, ST_x, (Z \text{ } S_z), ST_z)$ implies $\text{ifXInStatesThenZInStates}(S_x, ST_x, (Z' \text{ } S_z), ST_z)$.*

This lemma is represented in `CafeOBJ` as follows:

⁴Exactly speaking, Z and Z' are terms of `CafeOBJ` representing when the same object in the model is in the different local states.

```

vars O1 O2 : Object
pred changeObjState : Object Object
eq changeObjState(O1,O2)
  = (id(O1) = id(O2)) and
    (link(O1) = link(O2)) and
    (type(O1) = type(O2)) .

vars Z Z' : Object
var S_X : SetOfLObject
var S_Z : SetOfLObject
var St_X : SetOfLObjectState
var St_Z : SetOfLObjectState
pred m2o-lemma11 : Object Object SetOfLObject SetOfLObjectState
  SetOfLObject SetOfLObjectState
eq m2o-lemma11(Z,Z',S_X,St_X,S_Z,St_Z)
  = ifXInStatesThenZInStates(S_X,St_X,(Z S_Z),St_Z) implies
    ifXInStatesThenZInStates(S_X,St_X,(Z' S_Z),St_Z)
  when (state(Z') \in St_Z) and changeObjState(Z,Z') .

```

In order to show that `inv-ifRSStartedThenPRReady` above is an invariant, we also need another lemma which says that `inv-ifRSStartedThenPRReady` keeps to hold when rule R02 is applied and makes a property transit from `notready` to `ready`. The lemma could be defined as follows:

```

vars IDRS IDRRS : RSID
var IDPR : PRID
var TPR : PRTYPE
var SetRS : SetOfResource
var SetPR : SetOfProperty
pred lemma2 : SetOfResource PRTYPE PRID RSID RSID SetOfProperty
eq lemma2(SetRS,TPR,IDPR,IDRS,IDRRS,SetPR)
  = ifRSInStatesThenPRInStates
    (SetRS,started,(prop(TPR,IDPR,notready,IDRS,IDRRS) SetPR),ready)
  implies
    ifRSInStatesThenPRInStates
    (SetRS,started,(prop(TPR,IDPR, ready,IDRS,IDRRS) SetPR),ready) .

```

Again this lemma may be intuitively true because its antecedent part requires that some properties should be `ready` and one specific property with identifier `IDPR` changes its local state from `notready` to `ready`. And again this lemma can also be got by renaming `m2o-lemma11` as follows:

```

vars IDRS IDRRS : RSID
var IDPR : PRID
var TPR : PRTYPE
var SetRS : SetOfResource
var SetPR : SetOfProperty
pred m2o-lemma11-renamed : SetOfResource PRTYPE PRID
  RSID RSID SetOfProperty
eq m2o-lemma11-renamed(SetRS,TPR,IDPR,IDRS,IDRRS,SetPR) =
  = ifRSInStatesThenPRInStates
    (SetRS,started,(prop(TPR,IDPR,notready,IDRS,IDRRS) SetPR),ready)

```

```

implies
  ifRSInStatesThenPRInStates
    (SetRS,started,(prop(TPR,IDPR,    ready,IDRS,IDRRS) SetPR),ready)
  when (state(prop(TPR,IDPR,ready,IDRS,IDRRS)) \in ready) and
    changeObjState(prop(TPR,IDPR,notready,IDRS,IDRRS),
      prop(TPR,IDPR,    ready,IDRS,IDRRS)) .

```

The **when** clause reduces to true and can be omitted. Using the implication lemma, this lemma can be define as follows:

```

vars IDRS IDRRS : RSID
var IDPR : PRID
var TPR : PRType
var SetRS : SetOfResource
var SetPR : SetOfProperty
eq [m2o-lemma11]:
  (ifRSInStatesThenPRInStates
    (SetRS,started,(prop(TPR,IDPR,notready,IDRS,IDRRS) SetPR),ready)
  and
    ifRSInStatesThenPRInStates
    (SetRS,started,(prop(TPR,IDPR,    ready,IDRS,IDRRS) SetPR),ready))
  =
    ifRSInStatesThenPRInStates
    (SetRS,started,(prop(TPR,IDPR,notready,IDRS,IDRRS) SetPR),ready) .

```

5.3.3 Cyclic Dependency Lemma

A rule typically produces dependency of objects. For example, rule R01 in Section 4.2 makes myEIP transit from *initial* to *started* when its property myEIP::InsID is *ready*, which means myEIP depends on myEIP::InsID. Similarly, rule R02 makes property myEIP::InsID depend on its referring resource myInstance.

If such dependency is cyclic it should be troublesome because there may be a situation where each of objects in the cycle is waiting for its dependent object and no rule is applicable to any of them. Such situation is called a deadlock. For example, if myInstance had a property referring myEIP, then these two resources would be mutually dependent and no transition rule could be applied.

In order to start transitions and reach a desired final state, a cloud system should not include such cyclic dependency. Verification of the system requires (1) to formalize that the dependency is acyclic, (2) to prove that the acyclicity is an invariant, and (3) to prove that when acyclic there exists at least one applicable trans rule and the system continues to transit. The framework provides a template module to formalize acyclicity of dependency for (1) and a lemma that guarantees existence of applicable rules for (3).

Formalization of dependency and no cyclicness

This section will describe a formal definition of cyclic dependency and show examples using the CloudFormation example case shown in Fig. 2.1 and transition rules R01 and R02 in Section 4.2.

Notation 2 [$X \in C$] Let C be a class of objects in a cloud system and X be an object the system consisting of, then we denote $\underline{X \in C}$ when X is of C .

Notation 3 [$st(X, S)$] Let S be a global state of a cloud system and X be an object in S , then $st(X, S)$ is the local state of X in the context of S .

Definition 9 [can make an object transit] Let $R = [l, r, c]$ be a transition rule, C be a class of objects, S be a global state, and X be an object of C . We say R can make X transit in S iff there exists a ground substitution σ such that $S = l\sigma$, $c\sigma$ reduces to true, and $st(X, l\sigma) \neq st(X, r\sigma)$. We also say R can make X transit from $st(X, l\sigma)$ to $st(X, r\sigma)$ in S . Let s and s' be local states of C , then we say R can make an object of C transit from s to s' iff there exists a global state S such that R can make an object of C transit from s to s' in S .

Definition 10 [pre-transit local states] Let R be a transition rule and C be a class of objects, then the pre-transit local states of R for C , denoted $prels(R, C)$, is the set of local states of C where $s \in prels(R, C)$ iff there exists some local state s' of C such that R can make an object of C transit from s to s' .

For example, if $st(myInstance, S)$ is *initial* then $R01$ can make *myInstance* transit from *initial* to *started* in S and thus $prels(R01, Resource)$ is $\{ initial \}$. Note that a transition rule can make objects of more than one classes transit.

Notation 4 ($S[X/s]$) Let S be a global state, C be a class of objects, X be an object of C in S , and s be a local state of C , then $S[X/s]$ is the global state such that:

- $S[X/s]$ consists of the identical objects (i.e. identifiers and types are the same) as S ,
- each link of objects in $S[X/s]$ is the same as S , and
- $st(X, S[X/s]) = s$ and $\forall X' \neq X : st(X', S[X/s]) = st(X', S)$.

This notation can specify more than one objects such that $S[X_1/s_1, X_2/s_2, \dots]$. Let Σ be a set of pairs of an object and a local state, $\Sigma = \{ (X_1, s_1), (X_2, s_2), \dots \}$, then we denote $S[\Sigma]$ as $S[X_1/s_1, X_2/s_2, \dots]$.

Let S_0 be the following global state:

```
< ( res(ec2Instance, myInstance, initial)
    res(ec2Eip, myEIP, initial) ),
  ( prop(instanceId, myEIP::InsID, notready, myEIP, myInstance) ) >
```

Let us denote an object by its identifier and let Σ_0 be a set of pairs of an object and a local state such that $\Sigma_0 = \{ (myInstance, started), (myEIP::InsID, ready) \}$, then $S_0[\Sigma_0]$ is the following global state:

```
< ( res(ec2Instance, myInstance, started)
    res(ec2Eip, myEIP, initial) ),
  ( prop(instanceId, myEIP::InsID, ready, myEIP, myInstance) ) >
```

Definition 11 [depends on] Let S be a global state, X and X' be objects in S , and R be a transition rule where R cannot make X transit in S . We say X depends on X' in S w.r.t. R , denoted $dep_R(X, X', S)$, iff there exists a set Σ of pairs of an object and a local state such that Σ includes a pair whose first element is X' , R can make X transit in $S[\Sigma]$, and Σ is minimal. Here we say “minimal” which means that there exists no subset Σ' of Σ such that R can make X transit in $S[\Sigma']$. We also say X depends on X' in S , denoted $dep(X, X', S)$, when there exists some transition rule R such that $dep_R(X, X', S)$.

For example, rule R01 can make myEIP transit from *initial* to *started* in $S_0[\Sigma_0]$, however, there is a subset of Σ_0 such that $\Sigma_{R01} = \{(\text{myEIP}:\text{InsID}, \text{ready})\}$ where rule R01 can make myEIP transit also in $S_0[\Sigma_{R01}]$. Thereby, myEIP depends only on myEIP:InsID but not on myInstance in S_0 w.r.t. R01. Similarly, when $\Sigma_{R02} = \{(\text{myInstance}, \text{started})\}$, rule R02 can make myEIP::InsID transit from *notready* to *ready* in $S_0[\Sigma_{R02}]$ and thus myEIP::InsID depends on myInstance in S_0 w.r.t. R02.

Definition 12 [depending set] Let X be an object, R be a transition rule, and S be a global state, then the depending set of X in S , denoted $DS(X, S)$, is recursively defined as (1) if X depends on some other object X' in S then X' is included in $DS(X, S)$, i.e. $\forall X' : (dep(X, X', S) \rightarrow X' \in DS(X, S))$, and (2) if $X' \in DS(X, S)$ and X' depends on some other object X'' in S then X'' is included in $DS(X, S)$, i.e. $\forall X', X'' : (X' \in DS(X, S) \wedge dep(X', X'', S) \rightarrow X'' \in DS(X, S))$.

Definition 13 [no cyclic dependency] Let C be a class, X be an object of C , and S be a global state. We say X is in no cyclic dependency in S , denoted $noCycle(X, S)$, iff X itself is not included in $DS(X, S)$. We also say there is no cyclic dependency of C in S , denoted $noCycle_C(S)$, iff all objects of C in S are in no cyclic dependency in S .

For example, $DS(\text{myEIP}, S_0) = \{ \text{myEIP}::\text{InsID}, \text{myInstance} \}$ because myEIP depends on myEIP::InsID in S_0 w.r.t. R01 and myEIP::InsID depends on myInstance in S_0 w.r.t. R02. Since the depending set of myEIP does not include myEIP itself, myEIP is in no cyclic dependency in S_0 , and there is no cyclic dependency of Resource in S_0 .

Lemma 5 (Cyclic Dependency Lemma) *Let S be a global state, R be a transition rule, and C be a class of objects. If there is no cyclic dependency of C in S and there exists some object X of C in S whose local state is included in $prels(R, C)$, then there exists some object O of C in S such that the local state of O is included in $prels(R, C)$ and the depending set of O includes no object of C whose local state is included in $prels(R, C)$; i.e.*

$$\begin{aligned} noCycle_C(S) \wedge \exists X \in C : (st(X, S) \in prels(R, C)) \rightarrow \\ \exists O \in C : (st(O, S) \in prels(R, C) \wedge \\ \forall O' \in C : (O' \in DS(O, S) \rightarrow st(O', S) \notin prels(R, C))) \end{aligned}$$

Proof: Let C^R be a set of objects of C in S whose local states are included in $prels(R, C)$; i.e. $C^R = \{ O \mid O \in C \wedge st(O, S) \in prels(R, C) \}$. C^R is not empty because it includes X . If every object O in C^R has at least one object $O' \in C^R \cap DS(O, S)$ then there should be some object O in C^R such that $O \in DS(O, S)$ because DS is transitive and C^R is finite. However, it means there is cyclic dependency of C in S . \square

For example, let S_0 be a global state shown above, then there is no cyclic dependency of Resource in S_0 and there exists myEIP whose local state is *initial*. Thereby, the Cyclic Dependency Lemma ensures that there exists a Resource object whose local state is *initial* and whose depending set includes no initial Resource objects; that is myInstance.

Focusing on One Class

When using the Cyclic Dependency Lemma, we can usually focus on one class of objects. In the CloudFormation example case, we can focus on Resource objects and not on Property

objects; we should consider no cyclic dependency of only Resource objects and existence of a Resource object whose local state is in $prels(R01, \text{Resource})$, i.e. is *initial*. The following is a modified version of the formalization focusing on one class.

Definition 14 [depending set of the same class as] Let C be a class, X be an object of C , R be a transition rule, and S be a global state, then the depending set of the same class as X in S , denoted $\underline{DS_C(X, S)}$, is defined as $DS_C(X, S) = \{ X' \in \overline{C} \mid X' \in DS(X, S) \}$

Lemma 6 Let C be a class, X be an object of C , and S be a global state. If X itself is not included in $DS_C(X, S)$, then X is in no cyclic dependency of C in S .

Corollary 2 Let S be a global state, R be a transition rule, and C be a class of objects. If there is no cyclic dependency of C in S and there exists some object X of C in S whose local state is included in $prels(R, C)$, then there exists some object O of C in S such that the local state of O is included in $prels(R, C)$ and $DS_C(O, S)$ includes no object whose local state is included in $prels(R, C)$; typically $DS_C(O, S)$ is empty.

Definition 15 [dependency chain] Let X_1, X_2, \dots, X_n be objects and S be a global state, then the dependency chain in S , denoted $\underline{dc([X_1, X_2, \dots, X_n], S)}$, is defined as $\forall i \in \{1 \dots n - 1\} : \underline{dep(X_i, X_{i+1}, S)}$.

For example, since myEIP depends on $\text{myEIP}::\text{InsID}$ and it in turn depends on myInstance in S_0 , there is a dependency chain in S_0 , $dc([\text{myEIP}, \text{myEIP}::\text{InsID}, \text{myInstance}], S_0)$.

Definition 16 [directly depending set of the same class as] Let C be a class of objects, X be an object of C , and S be a global state. The directly depending set of the same class as X in S , denoted $\underline{DDS_C(X, S)}$, is defined as $\{ X' \mid \exists dc([X, X_1, \dots, X_n, X'], S) \wedge X' \in C \wedge \forall i \in [1 \dots n] : X_i \notin C \}$.

When X and X' are objects of C , $X' \in DDS_C(X)$ means that there exists a dependency chain in which the first object is X , the last object is X' , and every object between X and X' is not of C . For example, $DDS_C(\text{myEIP}, S_0) = \{ \text{myInstance} \}$ since there is a dependency chain $dc([\text{myEIP}, \text{myEIP}::\text{InsID}, \text{myInstance}], S_0)$.

Corollary 3 Let S be a global state, R be a transition rule, and C be a class of objects. If there is no cyclic dependency of C in S and there exists some object X of C in S whose local state is included in $prels(R, C)$, then there exists some object O of C in S such that the local state of O is included in $prels(R, C)$ and $DDS_C(O, S)$ includes no object whose local state is included in $prels(R, C)$; typically $DDS_C(O, S)$ is empty.

Using a Template Module to Represent $noCycle_C$

Using the formalization of cyclic dependency explained above, the framework provides a predicate, $noCycle(S)$, which checks there is no cyclic dependency in the given global state S . It is defined by a template module, $CYCLEPRED$, which uses the following parameter module, $PRMCYCLE$.

```

module* PRMCYCLE {
  [Object < SetOfObject]
  op empObj : -> SetOfObject
  op _ _ : SetOfObject SetOfObject -> SetOfObject
  op _\in_ : Object SetOfObject -> Bool

  [State]
  op getAllObjInState : State -> SetOfObject

  -- DDSC means Direct Depending Set of the same class.
  -- DDSC is required to have the following properties.
  -- (O \in DDSC(O,S)) = false .
  op DDSC : Object State -> SetOfObject
}

```

Given a global state, operator `getAllObjInState` returns the set of all objects of the specific class we focus; that is the resource class in the CloudFormation example case. Operator `DDSC(O, S)` returns the directly depending set of the same class as the given object `O` in the given global state `S`. The user of the framework should appropriately define `getAllObjInState` and `DDSC` because they are specific to each problem. In the CloudFormation example case, they can be defined as follows:

```

module! STATECyclefuns {
  protecting(STATE)

  var RS : Resource
  var SetRS : SetOfResource
  var SetPR : SetOfProperty

  op getAllRSInState : State -> SetOfResource
  eq getAllRSInState(< SetRS, SetPR >) = SetRS .

  op DDSC : Resource State -> SetOfResource
  eq DDSC(RS, < SetRS, SetPR >)
    = if state(RS) = initial then
      getRRSsOfPRsInStates(SetRS,
                           getPRsOfRSInStates(SetPR, RS, notready),
                           initial)
    else empRS fi .
}

```

Remember that rule `R01` can make an initial resource transit when all of its properties are ready and that rule `R02` can make a notready property when its parent is started. As explained in Section 5.2, `getPRsOfRSInStates(SetPR, RS, notready)` returns a set of properties which are included in the set of properties `SetPR`, whose parents are the resource `RS`, and whose local states are `notready`. And `getRRSsOfPRsInStates(SetRS, setPR, initial)` returns a set of resources which are included in the set of resources `SetRS`, are referred by one of the properties in the set `setPR`, and whose local states are `initial`. Thereby, $DDS_C(X, S)$ can be defined by combining these operators.

The following is the template module `CYCLEPRED`:

```

module! CYCLEPRED(P :: PRMCYCLE) {
  var O : Object
  vars V OS : SetOfObject
  var S : State

  pred noCycle : State
  pred noCycle : Object State
  pred noCycle : SetOfObject SetOfObject State

  eq noCycle(S)
    = noCycle(getAllObjInState(S), empObj, S) .

  eq noCycle(O, S)
    = noCycle(O, empObj, S) .

  eq noCycle(empObj, V, S)
    = true .
  eq noCycle((O OS), V, S)
    = if O \in V then false else noCycle(DDSC(O, S), (O V), S) fi
    and noCycle(OS, V, S) .
}

```

Given a global state, predicate `noCycle` transitively visits objects in directly depending sets `DDSC(O, S)` and checks not to find any object already visited. In the CloudFormation example case, this template module can be instantiated as follows:

```

extending(CYCLEPRED(
  STATECyclefuns {sort Object -> Resource,
                  sort SetOfObject -> SetOfResource,
                  op empObj -> empRS,
                  op getAllObjInState -> getAllRSInState})
  * {op noCycle -> noRSCycle}
)

```

`Resource`, `SetOfResource`, `empRS`, `getAllRSInState` are specified as actual parameters where `DDSC` is not specified because the name is the same as the formal parameter. `noCycle` is renamed as `noRSCycle`.

Lemmas for Proving No Cyclicity is An Invariant

In order to use the Cyclic Dependency Lemma, the user of the framework should prove that no cyclicity is an invariant, especially should prove that $noCycle_c(S) \rightarrow noCycle_c(S')$ for any global state S and any possible next state S' of S . Although such proof is specific to each problem, there are several common techniques and the framework provides several proved lemmas for them.

It is often the case where a transition rule decreases dependencies between objects when it is applied. For example, when rule `R01` is applied to a global state, it makes a resource object transit from `initial` to `started`. If the resource object is referred by some `notready` property object, then the property depends on the resource in the global state w.r.t. `R02` and does not in the next state. Similarly, when rule `R02` is applied, it makes a property object transit

from `notready` to `ready` and the dependency between the property and its parent resource disappears. Thereby, when these rules are applied, the depending sets will become smaller than in the previous global states.

Lemma 7 (Depending Subset Lemma) *Let S and S' be global states. If $DS(X, S') \subseteq DS(X, S)$ for all objects X in S , then $noCycle(S) \rightarrow noCycle(S')$.*

Proof: $noCycle(S)$ means that $X \notin DS(X, S)$ for any X in S , which implies that $X \notin DS(X, S')$ because $DS(X, S') \subseteq DS(X, S)$. \square

Corollary 4 *Let C be a class of objects and S and S' be global states. If $DS_C(X, S') \subseteq DS_C(X, S)$ for all objects X of C in S , then $noCycle_C(S) \rightarrow noCycle_C(S')$.*

Corollary 5 *Let C be a class of objects and S and S' be global states. If $DDS_C(X, S') \subseteq DDS_C(X, S)$ for all objects X of C in S , then $noCycle_C(S) \rightarrow noCycle_C(S')$.*

Lemma 8 (Many-2-One Lemma 24) *Let S_x be a set of linking objects, S_z be a set of linked objects, St_x be a set of local states of linking objects, and X and X' be linking objects where X and X' are identical and only their local states are different. Then, if the local state of X' is not included in St_x , $getXsOfZsInStates((X' \ S_x), S_z, St_x)$ is a subset of or equal to $getXsOfZsInStates((X \ S_x), S_z, St_x)$.*

This Many-2-One Lemma 24 is represented in CafeOBJ as follows:

```
vars X X' : LObject
var S_X : SetOfLObject
var S_Z : SetOfObject
var St_X : SetOfLObjState
pred m2o-lemma24 : LObject LObject SetOfLObject
                  SetOfObject SetOfLObjState .
eq m2o-lemma24(X, X', S_X, S_Z, St_X)
  = subset(getXsOfZsInStates((X' S_X), S_Z, St_X),
           getXsOfZsInStates((X S_X), S_Z, St_X))
  when id(X) = id(X') and not state(X') \in St_X .
```

In the CloudFormation example case, in order to show `noRSCycle` is an invariant, the corollary of the Depending Subset Lemma ensures that we should only prove that `DDSC` becomes a subset of itself when rule `R01` or `R02` is applied. It then requires another lemma which says that `getRRSsOfPRsInStates` becomes a subset of itself when rule `R01` is applied and makes a resource transit from `initial` to `started`. The lemma could be defined as follows:

```
var IDRS : RSID
var TRS : RSType
var SetRS : SetOfResource
var SetPR : SetOfProperty
pred lemma3 : RSType RSID SetOfResource SetOfProperty
eq lemma3(TRS, IDRS, SetRS, SetPR)
  = subset(getRRSsOfPRsInStates((res(TRS, IDRS, started) SetRS),
                                SetPR, initial),
           getRRSsOfPRsInStates((res(TRS, IDRS, initial) SetRS),
                                SetPR, initial)) .
```

As explained in Section 5.2, `getRRSsOfPRsInStates` is renamed from `getXsOfZsInStates` and thus this lemma can be got by renaming `m2o-lemma24` as follows:

```
vars RS RS' : Resource
var SetRS : SetOfResource
var SetSRS : SetOfRSState
var SetPR : SetOfProperty
ceq [m2o-lemma24]:
  subset(getRRSsOfPRsInStates((RS' SetRS),SetPR,SetSRS),
    getRRSsOfPRsInStates((RS SetRS),SetPR,SetSRS))
  = true
  if id(RS) = id(RS') and not state(RS') \in SetSRS .
```

In the other cases, systems are intentionally designed to have some constraints to avoid cyclic dependencies. For example, if a system is constrained to have no cyclic chains of links of objects, then there should be no cyclic dependency in the system no matter how the local states of the objects transit. Since the purpose of such constraints is to simplify complicated controls of dependencies of objects, it is typically easier to check the constraints than to use *noCycle* defined above.

Notation 5 [*rel*(X, X', S)] Let S be a global state and X and X' be objects in S . When there is some relationship r between X and X' , we denote it as $\underline{r(X, X', S)}$. Note that “ X depends on X' in S ” is one of such relationships.

Definition 17 [directly relating set] Let S be a global state, X be an object in S , and r be a relationship of objects. Then, the directly relating set of X in S w.r.t. r , denoted $\underline{DRS_r(X, S)}$, is defined as $DRS_r(X, S) = \{ X' \mid r(X, X', S) \}$.

Definition 18 [relating set] Let X be an object, S be a global state, and r be a relationship of objects, then the relating set of X in S w.r.t. r , denoted $\underline{RS_r(X, S)}$, is recursively defined as (1) $\forall X' : (r(X, X', S) \rightarrow X' \in RS_r(X, S))$, and (2) $\forall X', X'' : (X' \in RS_r(X, S) \wedge r(X', X'', S) \rightarrow X'' \in RS_r(X, S))$.

Definition 19 [no cyclic relationship] Let X be an object, S be a global state, and r be a relationship of objects, then we say X is in no cyclic relationship in S w.r.t. r , denoted $\underline{noCycle_r(X, S)}$, iff X itself is not included in $\underline{RS_r(X, S)}$.

Lemma 9 Let C is a class, X be an object of C , S be a global state, and r be a relationship of objects. If $DDS_c(X, S)$ is a subset of $DRS_r(X, S)$ for all X in S , then $noCycle_r(X, S)$ implies $noCycle_c(X, S)$ for all X , i.e.

$$\forall X : DDS_c(X, S) \subseteq DRS_r(X, S) \rightarrow \forall X : (noCycle_r(X, S) \rightarrow noCycle_c(X, S))$$

Proof: $DDS_c(X, S) \subseteq DRS_r(X, S)$ means that $DS_c(X, S) \subseteq RS_r(X, S)$. Thereby, if $noCycle_c(X, S)$ does not holds, then $X \in DS_c(X, S)$ and $X \in RS_r(X, S)$, which is a contradiction. \square

This lemma allows the user of the framework to define DDSC implementing some simpler relationship r instead of the true DDS_c and use *noCycle* using the DDSC instead of the true *noCycle_c*. For example, when we adopt the constraint of no cyclic chains of links in the Cloud-Formation example case, DDSC can be simply defined as follows:

```

var RS : Resource
var SetRS : SetOfResource
var SetPR : SetOfProperty
eq DDSC(RS,< SetRS,SetPR >)
  = getRRSsOfPRs(SetRS,getPRsOfRS(SetPR,RS)) .

```

However `noCycle` defined by using the simpler DDSC above is not the true *noCycle_C*, we can use the Cyclic Dependency Lemma if we can prove the `noCycle` is an invariant.

Chapter 6

Verification Procedure of Leads-to Properties

The framework provides an overall verification procedure for a kind of liveness properties, *leads-to* properties of UNITY logic [3]. The procedure assists the users of the framework to systematically think and develop proof scores for verification of cloud orchestration.

A typical property of an automated system setup operation, which we want to verify, is that the operation surely brings a cloud system to a global state where all of its resources are started. We say “surely” to mean that the system always reaches some final state from any initial state. This kind of reachability is one of the most important properties of practical automation of cloud systems.

Definition 20 [*p leads-to q*] Let $TS = (St, Tr, In)$ be a state machine, p and q be predicates of St , $St^R \subseteq St$ be the set of reachable states of TS , and Θ be the set of transition sequences of TS , then *p leads-to q* defined as follows:

$$\begin{aligned} \forall S \alpha \in \Theta : (S \in St^R \wedge p(S) \wedge \forall S' \in S \alpha : \neg q(S')) \\ \rightarrow \exists \beta T \in \Theta : (q(T) \wedge S \alpha \beta T \in \Theta)) \end{aligned}$$

Lemma 10 (sufficient conditions for leads-to) Let $TS = (St, Tr, In)$ be a state machine, p and q be predicates of St , inv be an invariant of TS , and m be a natural number function of St , then the following four conditions are sufficient for (*p leads-to q*) to hold [6].

$$\begin{aligned} \forall (S, S') \in Tr : & ((inv(S) \wedge p(S) \wedge \neg q(S)) \rightarrow (p(S') \vee q(S'))) \\ \forall (S, S') \in Tr : & ((inv(S) \wedge p(S) \wedge \neg q(S)) \rightarrow (m(S) > m(S'))) \\ \forall S \in St : & ((inv(S) \wedge p(S) \wedge \neg q(S)) \rightarrow \exists S' \in St : (S, S') \in Tr) \\ \forall S \in St : & ((inv(S) \wedge (p(S) \vee q(S)) \wedge (m(S) = 0)) \rightarrow q(S)) \end{aligned}$$

Lemma 11 Let p_0 , p , and q be predicates of St : $(p_0 \rightarrow p) \wedge (p \text{ leads-to } q) \rightarrow p_0 \text{ leads-to } q$.

Let the automation of a setup operation be modeled as a state machine $TS = (St, Tr, In)$ specified by sort `State` and a set of transition rules, Θ be the set of transition sequences of TS , and $Fn \subseteq St$ be a set of expected final states, reachability mentioned above is formalized as (*init leads-to final*) where *init* and *final* are predicates for a given global state S such that *init*(S) holds iff $S \in In$ and *final*(S) holds iff $S \in Fn$. This means that any transition sequence

from any initial state always reaches some final state no matter what possible transition sequence is taken; i.e. for any transition sequence $S\alpha$ if $init(S)$ holds, then there exists a transition sequence $\beta S'$ such that $S\alpha\beta S' \in \Theta$ and $final(S')$ holds.

The lemma above ensures that what we should do is to find a state predicate p such that $(init \rightarrow p)$ and p satisfies the sufficient conditions for $(p \text{ leads-to } final)$. However such p is specific to the individual problem, one of the most typical and general ones is that $p(S)$ means S has a next state, i.e. S will transit. When a state machine has such general p , it always continues to transit until it reaches a final state.

Definition 21 [continuous predicate] The continuous predicate, $cont$, is the predicate which holds iff there exists some next state of a given state. Let $TS = (St, Tr, In)$ be a state machine, then $\forall S \in St : cont(S)$ iff $\exists S' \in St : (S, S') \in Tr$.

Lemma 12 (sufficient conditions for $init$ leads-to $final$) Let $TS = (St, Tr, In)$ be a state machine, inv be a conjunction of some state predicates and m be a natural number function of St , thne the following six conditions are sufficient for $(init \text{ leads-to } final)$ to hold.

$$\forall S \in St : (init(S) \rightarrow cont(S)) \quad (1)$$

$$\forall (S, S') \in Tr : ((inv(S) \wedge \neg final(S)) \rightarrow (cont(S') \vee final(S'))) \quad (2)$$

$$\forall (S, S') \in Tr : ((inv(S) \wedge \neg final(S)) \rightarrow (m(S) > m(S'))) \quad (3)$$

$$\forall S \in St : ((inv(S) \wedge (cont(S) \vee final(S)) \wedge (m(S) = 0)) \rightarrow final(S)) \quad (4)$$

$$\forall S \in St : (init(S) \rightarrow inv(S)) \quad (5)$$

$$\forall (S, S') \in Tr : (inv(S) \rightarrow inv(S')) \quad (6)$$

Proof: Let p in the “sufficient conditions for leads-to” lemma be $cont$, then $\forall (S, S') \in Tr : p(S) = true$ holds and $\forall S \in St : (p(S) \rightarrow \exists S' \in St : (S, S') \in Tr)$. \square

Condition (1) means an initial state should be a continuing state, i.e. it should start transitions. Condition (2) means transitions continue until $final(S')$ holds. Condition (3) implies that $m(S)$ keeps to decrease properly while $final(S)$ does not hold. Since $m(S)$ is a natural number, it should stop to decrease in finite steps and the state machine should get to state S' such that $((cont(S') \vee final(S')) \wedge (m(S') = 0))$. Condition (4) then ensures $final(S')$. Here, m is called a *state measuring function*¹. When condition (5) and (6) hold, each state predicate included in inv is called an invariant.

The rest of this chapter explains the verification procedure for six sufficient conditions above using the CloudFormation example case and the case of TOSCA topologies will be explained in Chapter 7.

6.1 Procedure: Definition of Predicates

Step 0-1: Define $init$ and $final$.

In the CloudFormation example case, predicates $init(S)$ and $final(S)$ can be represented by CafeOBJ as follows:

¹Researches on verification of liveness properties often assume fairness constraints to make state machines always reach desired states, whereas our lemma requires a properly decreasing function, m , which is strong enough for such reachability. Since cloud orchestration intentionally brings a cloud system to desired states, the specification usually designs straight forward behavior which typically results in existence of some state measuring function m .

```

var SetRS : SetOfResource
var SetPR : SetOfProperty
var S : State

pred init : State
eq init(< SetRS,SetPR >)
  = wfs(< SetRS,SetPR >) and
    allRSInStates(SetRS,initial) and
    allPRInStates(SetPR,notready) .

pred final : State
eq final(< SetRS,SetPR >)
  = allRSInStates(SetRS,started) .

pred wfs : State
eq wfs(S)
  = wfs-atLeastOneRS(S) and
    wfs-uniqRS(S) and wfs-uniqPR(S) and
    wfs-allPRHaveRS(S) and wfs-allPRHaveRRS(S) and
    wfs-noRSCycle(S) .

pred wfs-atLeastOneRS : State
eq wfs-atLeastOneRS(< SetRS,SetPR >) = not (SetRS = empRS) .

pred wfs-uniqRS : State
eq wfs-uniqRS(< SetRS,SetPR >) = uniqRS(SetRS) .

pred wfs-uniqPR : State
eq wfs-uniqPR(< SetRS,SetPR >) = uniqPR(SetPR) .

pred wfs-allPRHaveRS : State
eq wfs-allPRHaveRS(< SetRS,SetPR >) = allPRHaveRS(SetPR,SetRS) .

pred wfs-allPRHaveRRS : State
eq wfs-allPRHaveRRS(< SetRS,SetPR >) = allPRHaveRRS(SetPR,SetRS) .

pred wfs-noRSCycle : State
eq wfs-noRSCycle(S) = noRSCycle(S) .

```

Among conditions composing $init(S)$, one without referring any local states of objects is called a *wfs* (*well-formed state*) and we usually gather them and define predicate *wfs* as a conjunction of them. It is because representing a global state as a tuple of sets of objects is too general to represent structural constraints, such as identifiers should be unique, there is no dangling link, and so on. Each structural constraint is typically represented as a *wfs* and should be an invariant. In addition, do not forget to define *noCycle* as a *wfs* and to include it in the *init* predicate when using the Cyclic Dependency Lemma.

Step 0-2: Define *cont*.

Since $cont(S)$ means that state S has at least one next state, it can be specified as follows using the search predicate of CafeOBJ :

```
vars S SS : State
eq cont(S) = (S =(*,1)=>+ SS) .
```

Step 0-3: Define m .

We should find a natural number function that properly decreases in transitions. If we can model a cloud system as a state machine where every transition rule changes at least one local state of an object and there is no loop transition, then the measuring function, m , can be easily defined as the weighted sum of counting local states of all classes of objects. Suppose that local states of class C are $st_C^0, st_C^1, \dots, st_C^{n_c}$ and they are straightforward, that is, there is no backward transition, then m can be $\sum_C \sum_{0 \leq k \leq n_c} \#st_C^k \times (n_c - k)$ where $\#st_C^k$ is the number of objects of class C whose local state is st_C^k . For the CloudFormation example case, m can be defined as follows:

```
var SetRS : SetOfResource
var SetPR : SetOfProperty
op m : State -> Nat
eq m(< SetRS, SetPR >)
  = (#ResourceInStates(initial, SetRS) * 1)
  + (#ResourceInStates(started, SetRS) * 0)
  + (#PropertyInStates(notready, SetPR) * 1)
  + (#PropertyInStates(ready, SetPR) * 0) .
```

When a rule makes an object of class C transit from state s_c^k to st_C^{k+1} , $\#st_C^k$ decreases by 1 and $\#st_C^{k+1}$ increases by 1 so that $m(S') = m(S) - (n_c - k) + (n_c - k - 1) = m(S) - 1$ holds.

When the state machine has a rule without changing any local state of objects, m should include an additional term that decreases when the rule is applied. But, instead, we recommend introducing some local state representing whether the rule is already applied or not yet.

When there is a loop transition, m should include an additional term that properly decreases whenever a loop occurs. The simplest approach is to introduce an object whose local state is a loop counter.

Step 0-4: Define inv .

Invariants other than wfs predicates are usually recognized to be necessary in the course of proving conditions (1) to (6) above and are introduced by the users of the framework. For example, the CloudFormation example case requires an invariant `inv-ifRSSStartedThenPRReady` as explained in Section 5.3.

Predicate inv is a conjunction of all the invariants, however, the straightforward representation is not so efficient. `CafeOBJ` needs to internally maintain long ANDed terms and to spend much processing time. There is more efficient representation. Since the sufficient conditions (2), (3), (4), and (6) include inv in their antecedent parts, it is enough to know whether each invariant does or does not reduce to false. Thereby, we can define inv such that it reduces to false when one of invariants reduces to false as follows:

```
var S : State

pred inv : State

-- wfs-*:
ceq inv(S) = false if not wfs-atLeastOneRS(S) .
ceq inv(S) = false if not wfs-allPRHaveRS(S) .
ceq inv(S) = false if not wfs-allPRHaveRRS(S) .
```

```
-- inv-*:
ceq inv(S) = false if not inv-ifRSStartedThenPRReady(S) .
```

Note that only three of six wfs predicates are used to define *inv*, since they directly take some roles in proofs.

As to sufficient conditions (5) and (6), *inv* is also included in their consequent parts, which case will be explained in Section 6.6.

Step 0-5: Prepare for using the Cyclic Dependency Lemma.

When using the Cyclic Dependency Lemma, we firstly introduce an object which is in one of the pre-transit local states of a transition rule and then we claim that DDS_C of the object includes no object in the pre-transit local states.

CITP method used a `:init` command to introduce a lemma on the way of proofs. The lemma should be defined in the non-execute mode and be labeled in advance. The `:init` command is used to name the label and specify the appropriate substitution of variables.

In the CloudFormation example case, we will introduce an *initial* resource and claim that every resource in DDS_C of the resource is not *initial*. The following conditional equation is defined in advance and means that there is a contradiction when DDS_C of the specified resource includes any *initial* resource.

```
-- The Cyclic Dependency Lemma ensures
-- an initial resource whose DDSC includes no initial resources.
var T : RSType
var IDRS : RSID
var S : State
ceq [Cycle :nonexec]:
  true = false
  if someRSInStates(DDSC(res(T, IDRS, initial),S),initial) .
```

`Cycle` is the label of this lemma and `:nonexec` means that this lemma is executed only when it is introduced by a `:init` command and variables `T`, `IDRS`, and `S` are substituted. The usage of an `:init` command will be described in the next section.

Step 0-6: Prepare arbitrary constants.

Proof scores for the sufficient conditions requires many arbitrary constants. In order to make the proof score be easy to understand, those constants are consistently named and defined. The following shows the definitions of constants for the CloudFormation example case:

```
ops idRS idRS' idRS1 idRS2 idRS3 : -> RSIDLt
ops idRRS idRRS' idRRS1 idRRS2 idRRS3 : -> RSIDLt
ops idPR idPR' idPR1 idPR2 idPR3 : -> PRIDLt
ops sRS sRS' sRS'' sRS''' : -> SetOfResource
ops sPR sPR' sPR'' sPR''' : -> SetOfProperty
ops trs trs' trs'' trs''' : -> RSType
ops tpr tpr' tpr'' tpr''' : -> PRType
ops srs srs' srs'' srs''' : -> RSState
ops spr spr' spr'' spr''' : -> PRState
op stRS : -> SetOfRSState
op stPR : -> SetOfPRState
```


6.2 Procedure: Proof of Condition (1)

The verification procedure is basically a process to repeat three actions; (1) pick up an unproved case which is referred to as the *current case*, (2) split the current case into cases which collectively cover the current case, (3) try to reduce the split cases to true.

Step 1-0: Define a predicate to be proved.

Predicate `initcont` to represent condition (1) can be defined as follows:

```
var S : State
pred initcont : State .
eq initcont(S) = init(S) implies cont(S) .
```

Step 1-1: Begin with the most general case.

In the most general case for proof of condition (1), the global state consists of arbitrary constants every of which represents an arbitrary set of objects of each class. For the CloudFormation example case, the most general case is as follows where `sRS` and `sPR` are arbitrary constants for a set of resources and properties respectively:

```
:goal {eq initcont(< sRS, sPR >) = true .}
```

This case is too general to judge whether the condition does or does not hold. Thereby, no reduction occurs.

Step 1-2: Think which rule is applied to the global state in the current case. The rule is referred to as the *current rule*.

One of the main benefits of interactive proof development is that thinking through meaning of the specification leads to deep understanding of it. If the developer of proofs cannot find the first applied rule, it means insufficient understanding of the specification. For the CloudFormation example case, the first rule is `R01`.

Step 1-3: Split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.

Since LHS of rule `R01` requires the global state to have at least one `initial` resource, the case is split into three more cases; no resource, at least one `initial` or `started` resource. In the following proof score, `trs`, `idRS`, and `sRS'` are arbitrary constants for a type of the resource, an identifier of the resource, and a set of resources respectively.

```
:csp {
  eq sRS = empRS .
  eq sRS = (res(trs,idRS,srs) sRS') .
}
-- Case 1: When there is no resource:
:apply (rd) -- 1
-- Case 2: When there is a resource:
-- The state of the resource is initial or started.
:csp {
  eq srs = initial .
  eq srs = started .
}
-- Case 2-1: When the resource is initial:
... -- More case splitting needed.
```

```
-- Case 2-2: When the resource idRS is started:
:apply (rd) -- 2-2
```

Note that `res(trs,idRS,srs)` represents an arbitrary resource. The goal of Case 1 is proved because `wfs-atLeastOneRS(S)` does not hold and thus `init(S)` does not hold. The goal of Case 2-2 is also proved because `allRSInStates(SetRS,initial)` does not hold. Only Case 2-1 remains too general.

Step 1-4: Split the current rule case into cases where the condition of the rule does or does not hold.

Since the condition of rule `R01` requires all properties of the `initial` resource are `ready`, Case 2-1 is split into two more cases; all properties are or are not `ready`. As explained in Section 5.3, the Set Lemma ensures that these cases are represented as follows where `tpr`, `idPR`, `idRRS`, and `sPR'` are arbitrary constants for a type of the property, an identifier of the property, an identifier of a resource referred by the property, and a set of properties respectively:

```
-- Case 2-1: When the resource is initial:
-- The condition of R01 is allPROfRSInStates(sPR,idRS,ready) .
:csp {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
  eq sPR = (prop(tpr,idPR,notready,idRS,idRRS) sPR') .
}
-- Case 2-1-1: When all of properties of the resource are ready.
:apply (rd) -- 2-1-1
-- Case 2-1-2: When there is a not-ready property of the resource:
... -- More case splitting needed.
```

Note that `prop(tpr,idPR,notready,idRS,idRRS)` represents an arbitrary `notready` property whose parent is `idRS`. In Case 2-1-1, rule `R01` can be applied, which means `cont(S)` holds. Only Case 2-1-2 remains too general.

Step 1-5: When there is a dangling link, split the current case into cases where the linked object does or does not exist.

In Case 2-1-2, a property has a link to a resource with identifier `idRRS`. Thereby, it is split into three more cases; a resource with identifier `idRRS` does not exist, does exist and it is `initial` or `started`. The nonexistence can be represented as predefined predicate `existObj` (renamed to `existRS` in this case) does not hold. Case 2-1-2 is split into the following three cases.

```
-- Case 2-1-2: When there is a not-ready property of the resource:
-- The resource referred by the property does or does not exist.
:csp {
  eq existRS(sRS',idRRS) = false .
  eq sRS' = (res(trs',idRRS,srs') sRS'') .
}
-- Case 2-1-2-1: When the referred resource does not exist:
:apply (rd) -- 2-1-2-1
-- Case 2-1-2-2: When the referred resource exists:
-- The state of the resource is initial or started.
:csp {
  eq srs' = initial .
```

```

    eq srs' = started .
}
-- Case 2-1-2-2-1: When the resource idRRS is initial:
... -- More consideration needed.
-- Case 2-1-2-2-2: When the resource idRRS is started:
:apply (rd) -- 2-1-2-2-2

```

The goal of Case 2-1-2-1 is proved because $wfs_allPRHaveRRS(S)$ does not holds and the goal of Case 2-1-2-2 is proved because $allRSInStates(SetRS,initial)$ does not holds. Only Case 2-1-2-2-1 remains.

Step 1-6: When falling in a cyclic situation, use the Cyclic Dependency Lemma.

Since $noRSCycle$ is included in the $init$ condition and the resource $idRS$ is $initial$, the Cyclic Dependency Lemma ensures there exists some $initial$ resource RS such that no resource in $DDSC(RS,S)$ is $initial$. Recalling that we chose $idRS$ as an arbitrary $initial$ resource in Step 1-3, we can assume that itself is such RS and can claim that there is a contradiction when its $DDSC$ includes any $initial$ resource using a $:init$ command as follows:

```

-- Case 2-1-2-2-1: When the resource idRRS is initial:
-- The Cyclic Dependency Lemma rejects this case.
:init [Cycle] by {
  T:RSType <- trs;
  IDRS:RSID <- idRS;
  S:State <- < sRS, sPR >;
}
:apply (rd) -- 2-1-2-2-1

```

Variable RS is substituted by the resource $idRS$ and S is substitute by the current state. Since $DDSC$ of the resource includes resource $res(trs',idRRS,initial)$, there is a contradiction and the goal of this case is proved.

The following is the result of a “show proof” command, which shows that goals of all split cases are proved and thus condition (1) is proved.

```

root*
[csp] 1*
[csp] 2*
[csp] 2-1*
[csp] 2-1-1*
[csp] 2-1-2*
[csp] 2-1-2-1*
[csp] 2-1-2-2*
[csp] 2-1-2-2-1*
[csp] 2-1-2-2-2*
[csp] 2-2*

```

Figure 6.1 summarizes the procedure.

6.3 Procedure: Proof of Condition (2)

Step 2-0: Define a predicate to be proved.

Using the double negation idiom in Section 3.3, predicate $contcont$ for condition (2) can be defined as follows:

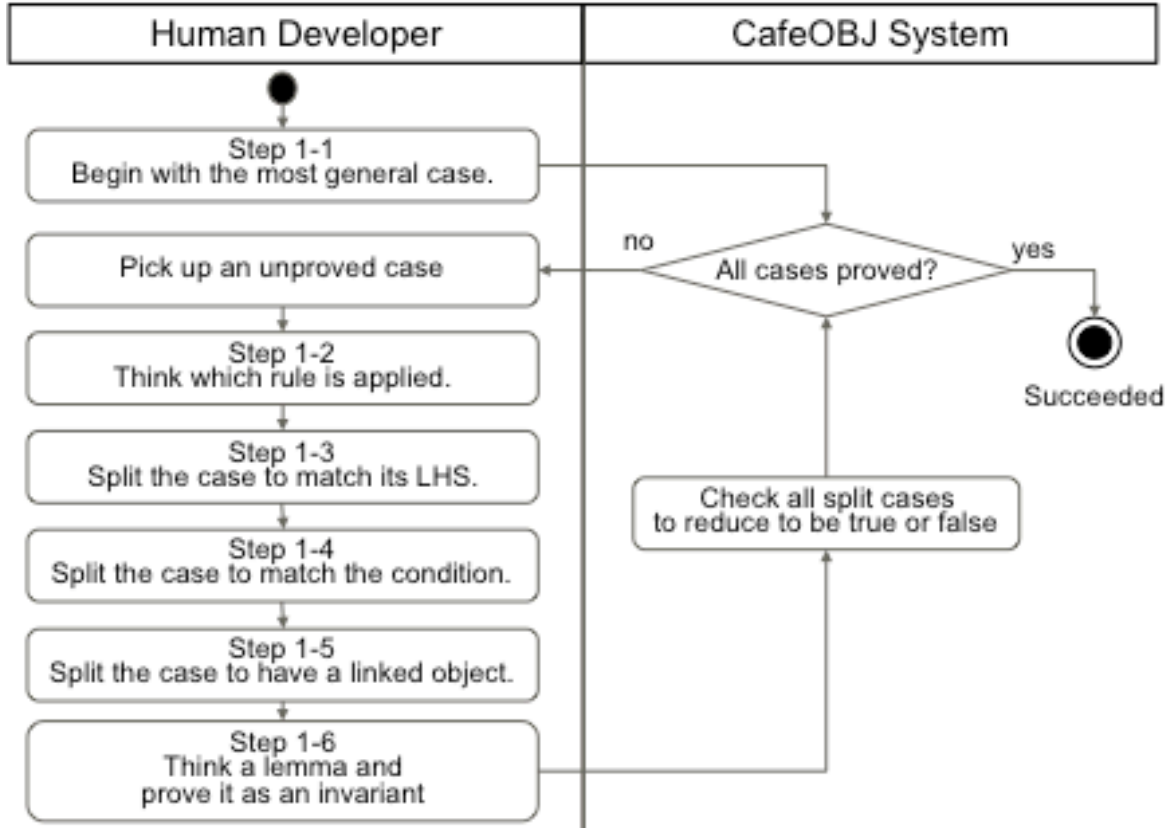


Figure 6.1: Verification Procedure for Condition (1)

```

vars S SS : State
var CC : Bool

pred ccont : State State
eq ccont(S,SS)
  = inv(S) and not final(S) implies cont(SS) or final(SS) .

pred contcont : State
eq contcont(S)
  = not (S =(*,1)=>+ SS if CC suchThat
    not ((CC implies ccont(S,SS)) == true)
    { ture }) .

```

Step 2-1: Begin with the cases each of which matches to LHS of each rule.

Since condition (2) checks every possible next state of a given state S , we only need to prove the cases each of which matches to each rule. For the CloudFormation example case, we can begin with two cases for two rules as follows, which are too general:

```

-- Goal of Condition (2) for rule R01
:goal {
  eq contcont(< (res(trs,idRS,initial) sRS), sPR >) = true .
}

-- Goal of Condition (2) for rule R02
:goal {

```

```

    eq contcont(< (res(trs,idRRS,started) sRS),
                (prop(tpr,idPR,notready,idRS,idRRS) sPR) >) = true .
}

```

The rest of this section describes the procedure for condition (2) using the case of rule R01 as an example. The case of rule R02 will be explained in Section 6.7.

Step 2-2: Split the current case for a rule into cases where the condition of the rule does or does not hold.

Since the condition of rule R01 requires all properties of the initial resource are ready, the root case is split into two cases; all properties are or are not ready.

```

-- The condition of R01 does or does not hold for the resource of idRS.
:ctf {
    eq allPROfRSInStates(sPR,idRS,ready) = true .
}
-- Case 1: When the condition of R01 holds:
... -- More case splitting needed
-- Case 2: When the condition of R01 does not hold:
:apply (rd) -- 2

```

Remember that in Step 1-4 explained above, we used a `:csp` command for case splitting based on the condition of rule R01 because we need more consideration for the negative case. In Step 2-2, we can simply use a `:ctf` command, since Case 2 has no next state and its goal can be proved. Thereby, only Case 1 remains too general.

Step 2-3: Split the rule applied case into cases where predicate *final* does or does not hold in the next state.

In Case 1, rule R01 makes an initial resource transit to `started` and the next state becomes a final state if all other resources included the set of resources `sRS` are already `started`. Otherwise there is at least one other initial resource. Using the Set Lemma, we can split the case as follows where `trs'`, `idRS'`, and `sRS'` are arbitrary constants for a type of the resource, an identifier of the resource, and a set of resources respectively:

```

-- Case 1: When the condition of R01 holds for the resource of idRS:
-- All of the other resources are or are not started.
:csp {
    eq allRSInStates(sRS,started) = true .
    eq sRS = (res(trs',idRS',initial) sRS') .
}
-- Case 1-1: When all of the other resources are started:
:apply (rd) -- 1-1
-- Case 1-2: When there is an initial resource:
... -- More case splitting needed

```

The goal of Case 1-1 is proved because the next state is final. Case 1-2 remains too general.

Step 2-4: Similarly as Step 1-2, think which rule can be applied to the next state. The rule is referred to as the *current rule*.

Since the next state in Case 1-2 includes an initial resource with identifier `idRS'`, rule R01

can be applied to it.

Step 2-5: Similarly as Step 1-3, split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.

In this example, the case already matches to LHS of rule R01.

Step 2-6: Similarly as Step 1-4, split the current case into cases where the condition of the current rule does or does not hold.

Again the Set lemma can be used similarly as Step 1-4 as follows:

```
-- Case 1-2: When there is an initial resource:
:csp {
  eq allPROfRSInStates(sPR,idRS',ready) = true .
  eq sPR = (prop(tpR,idPR,notready,idRS',idRRS) sPR') .
}
-- Case 1-2-1: When all of properties of the resource idRS' are ready.
:apply (rd) -- 1-2-1
-- Case 1-2-2: When at least one of properties is not-ready.
-- Because sPR is redefined,
-- allPROfRSInStates(sPR,idRS,ready) should be claimed again.
:set(normalize-init,on)
:init ( ceq B1:Bool = true if not B2:Bool . ) by {
  B1:Bool <- allPROfRSInStates(sPR,idRS,ready) ;
  B2:Bool <- allPROfRSInStates(sPR,idRS,ready) == true ;
}
:set(normalize-init,off)
... -- More consideration needed.
```

The goal of Case 1-2-1 is proved. Case 1-2-2 remains and this is somewhat troublesome for CafeOBJ system.

Remember that in Step 2-2 we already introduced an equation which claims that every property of the resource idRS in the set of properties sPR is ready. Here in Case 1-2-2, we need to define that sPR has a notready property idPR (consequently its parent should not be the resource idRS) and the rest of properties are included in the set sPR'. This breaks the confluence property of equations; when reducing the term allPROfRSInStates(sPR,idRS,ready), it reduces to true if CafeOBJ firstly uses the equation introduced in Step 2-2. But if CafeOBJ firstly uses the equation introduced here, it reduces to allPROfRSInStates(sPR',idRS,ready) and what we hope is the former. However we should not break the confluence property, it is a trade-off between the ideal and the consistent case splitting manner. What is more important is to keep proof scores independent from the reduction strategy of CafeOBJ system. To do so, we have to write the proof score such as it does nothing when allPROfRSInStates(sPR,idRS,ready) reduces to true but otherwise it claims that the term reduces to true, which is the work of the :init command above.

The command :set(normalize-init,on) means that substituted variables should be reduced to normal forms when the equation is introduced by the :init command; its default option is off. When the variable B1 reduces to true, B2 also reduces to true and the equation to be introduced becomes “ceq true = true if not true .” which has no meaning because the condition part never holds. When B1 reduces to allPROfRSInStates(sPR',idRS,ready), B2 reduces to false and thus the equation to be introduced becomes as follows, which we want to claim:

```
ceq allPROfRSInStates(sPR',idRS,ready) = true if not false .
```

Step 2-7: Similarly as Step 1-5, when there is a dangling link, split the current case into cases where the linked object does or does not exist.

In Case 1-2-2, a property has a link to a resource with identifier `idRRS`. Thereby, it is split into three more cases; a resource with identifier `idRRS` does not exist, does exist and it is `initial` or `started`. The nonexistence can be represented as predefined predicate `existRS` does not hold. Case 1-2-2 is split into the following three cases:

```
-- Case 1-2-2: When at least one of properties is not-ready.
... -- Consideration above needed.
-- The resource referred by the property does or does not exist.
:csp {
  eq existRS(sRS',idRRS) = false .
  eq sRS' = (res(trs'',idRRS,srs'') sRS'') .
}
-- Case 1-2-2-1: When the referred resource does not exist:
:apply (rd) -- 1-2-2-1
-- Case 1-2-2-2: When the referred resource exists:
-- The state of the resource is initial or started.
:csp {
  eq srs'' = initial .
  eq srs'' = started .
}
-- Case 1-2-2-2-1: When the resource idRRS is initial:
... -- More consideration needed.
-- Case 1-2-2-2-2: When the resource idRRS is started:
:apply (rd) -- 1-2-2-2-2
```

The goal of Case 1-2-2-1 is proved because `wfs-allPRHaveRRS(S)` does not holds and then `inv(S)` does not hold described in Section 6.1. The goal of Case 1-2-2-2-2 is also proved because the `notready` property `idPR` refers the `started` resource and so rule `R02` is applicable. Only Case 1-2-2-2-1 remains.

Step 2-8: Similarly as Step 1-6, when falling in a cyclic situation, use the Cyclic Dependency Lemma

Assuming `noRSCycle` is proved to be an invariant, we can use the Cyclic Dependency Lemma in any reachable state. In Case 1-2-2-2-1, there is a `initial` resource `idRS'` and so the lemma ensures there exists some `initial` resource `RS` such that no resource in `DDSC(RS,S)` is `initial`. Recalling that we chose `idRS'` as an arbitrary `initial` resource in Step 2-3, we can assume that itself is such `RS` and can claim that there is a contradiction when its `DDSC` includes any `initial` resource using a `:init` command as follows:

```
-- The Cyclic Dependency Lemma rejects this case.
:init [Cycle] by {
  T:RSType <- trs';
  IDRS:RSID <- idRS';
  S:State <- < (res(trs,idRS,initial) sRS), sPR >;
}
:apply (rd) -- 1-2-2-2-1
```

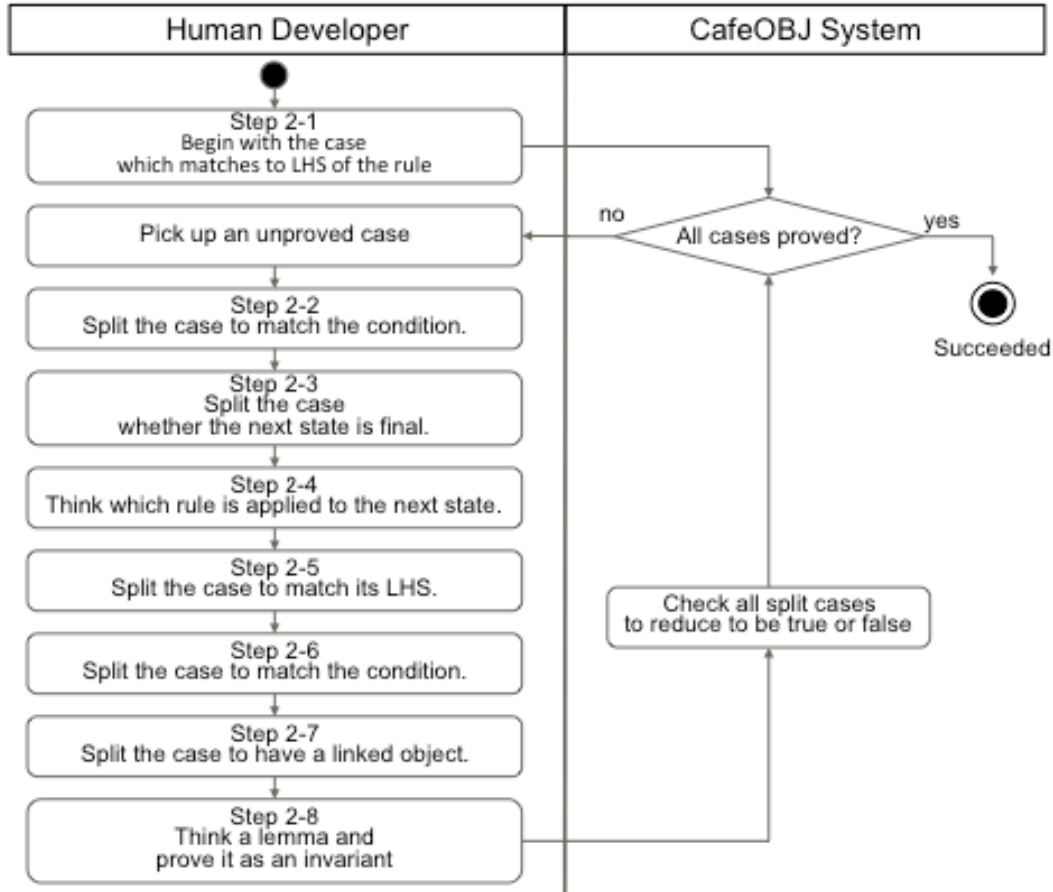


Figure 6.2: Verification Procedure for Condition (2) for each rule

The goal of this case is proved by the contradiction.

The following is the result of a “show proof” command, which shows that goals of all split cases are proved and thus condition (1) is proved.

```

root*
[ctf] 1*
[csp] 1-1*
[csp] 1-2*
[csp] 1-2-1*
[csp] 1-2-2*
[csp] 1-2-2-1*
[csp] 1-2-2-2*
[csp] 1-2-2-2-1*
[csp] 1-2-2-2-2*
[ctf] 2*
  
```

Figure 6.2 summarizes the procedure for each transition rule.

6.4 Procedure: Proof of Condition (3)

Since the antecedent part of condition (3) is equivalent to (2), the proof procedure of (3) is almost the same as of (2).

Step 3-0: Define a predicate to be proved.

Using the double negation idiom in Section 3.3, predicate `mesmes` for condition (3) can be defined as follows:

```
vars S SS : State
var CC : Bool

pred mmes : State State .
eq mmes(S,SS)
  = inv(S) and not final(S) implies m(S) > m(SS) .

pred mesmes : State .
eq mesmes(S)
  = not (S =(*,1)=>+ SS if CC suchThat
        not ((CC implies mmes(S,SS)) = true)
        { true }) .
```

Step 3-1: Begin with the cases each of which matches to LHS of each rule.

Step 3-2: Split the current case for a rule into cases where the condition of the rule does or does not hold.

For the CloudFormation example case, we can begin with two cases for two rules. Since rule `R01` is conditional, the general case should be split into two cases according to Step 3-2. Then, the goals of totally three cases can be proved and thus condition (3) is proved as follows:

```
-- Goal of Condition (3) for rule R01
:goal {
  eq mesmes(< (res(trs,idRS,initial) sRS), sPR >) = true .
}
-- The condition of R01 does or does not hold for S.
:ctf {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
}
-- Case 1: When the condition of R01 holds:
:apply (rd) -- 1
-- Case 1: When the condition of R01 does not hold:
:apply (rd) -- 2

-- Goal of Condition (3) for rule R02
:goal {
  eq mesmes(< (res(trs,idRRS,started) sRS),
            (prop(tpr,idPR,notready,idRS,idRRS) sPR) >) = true .
}
:apply (rd) -- goal
```

6.5 Procedure: Proof of Condition (4)

Generally speaking, when the antecedent part of a goal includes disjunctions, it requires much consideration for case splitting in order to collectively cover the general case. Fortunately, we

can use the following equivalent condition instead of condition (4) which includes no disjunction in its antecedent part.

$$\forall S \in St : ((inv(S) \wedge cont(S) \wedge (m(S) = 0)) \rightarrow final(S)) \quad (4')$$

Step 4-0: Define a predicate to be proved.

Predicate `mesfinal` for condition (4') can be defined as follows:

```
var S : State
pred mesfinal : State .
eq mesfinal(S)
  = inv(S) and cont(S) and m(S) = 0 implies final(S) .
```

Step 4-1: Use natural number axioms.

Since the measuring function m is defined as the sum of natural numbers, $m(S) = 0$ means each of the numbers is also zero. Thereby, when either `#ResourceInStates(initial, sRS)` or `#PropertyInStates(notready, sPR)` is not zero, $m(S) = 0$ does not hold and the goal is proved. In order to perform such inference, several natural number axioms are required as follows:

```
vars N1 N2 : Nat
var Nz : NzNat
eq (N1 + N2 = 0) = (N1 = 0) and (N2 = 0) .
eq (Nz = 0) = false .
```

Note that `Nz` is a subsort of `Nat` which does not include `0`.

Step 4-2: Begin with the cases each of which matches to LHS of each rule.

Step 4-3: Split the current case for a rule into cases where the condition of the rule does or does not hold.

For the CloudFormation example case, we can begin with two cases for two rules. Since rule `R01` is conditional, the general case should be split into two cases according to Step 4-3. Then, the goals of totally three cases can be proved and thus condition (4') is proved as follows:

```
-- Goal of Condition (4)' for rule R01
:goal {
  eq mesfinal(< (res(trs,idRS,initial) sRS), sPR >) = true .
}
-- The condition of R01 does or does not hold for S.
:ctf {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
}
-- Case 1: When the condition of R01 holds:
:apply (rd) -- 1
-- Case 2: When the condition of R01 does not hold:
:apply (rd) -- 2

-- Goal of Condition (4)' for rule R02
:goal {
  eq mesfinal(< (res(trs,idRRS,started) sRS),
    (prop(tpr,idPR,notready,idRS,idRRS) sPR) >) = true .
}
:apply (rd) -- goal
```

6.6 Procedure: Proof of Condition (5) & (6)

Since *inv* is a conjunction of typically many predicates, it is better to prove each of them separately. Suppose $inv(S) = inv_1(S) \wedge inv_2(S) \wedge \dots \wedge inv_n(S)$, then we can separately prove each $inv_k(S)$ as an invariant since the followings hold:

$$\forall S \in St : (\forall k : init(S) \rightarrow inv_k(S)) \rightarrow (init(S) \rightarrow inv(S))$$

$$\forall (S, S') \in Tr : (\forall k : inv(S) \rightarrow inv_k(S')) \rightarrow (inv(S) \rightarrow inv(S'))$$

The rest of this section describes the proof procedure for three typical kinds of invariants in the CloudFormation example case.

Proof of Invariants for Local State Constraints

The most typical kind of invariants other than well-formed state predicates is for constraints about local states of objects. For example, `inv-ifRSStartedThenPRReady` says that every started parent resource has ready properties only. It is defined by using a predefined predicate `ifXInStatesThenZInStates` (renamed as `ifRSInStatesThenPRInStates`). Since the framework provides many lemmas for predefined predicates, it is easy to prove such a predicate as an invariant.

Step 5-0: Define a predicate to be proved.

Predicate `initinv` for condition (5) can be defined as follows:

```
vars S : State

pred invK : State
pred initinv : State
eq initinv(S) = init(S) implies invK(S) .

eq invK(S) = inv-ifRSStartedThenPRReady(S) .
```

Step 5-1: Instantiate proved lemmas for predefined predicates.

Since every resource is `initial` in an initial state, `init(S)` intuitively implies `inv-ifRSStartedThenPRReady(S)`. The proved lemma `m2o-lemma07` can be instantiated and used for proof of condition (5) as follows:

```
var SetRS : SetOfResource
var SetPR : SetOfProperty
-- Instantiating m2o-lemma07:
-- eq m2o-lemma07(S_X, SX, St_X, S_Z, St_Z)
--   = allObjInStates(S_X, SX) implies
--     ifXInStatesThenZInStates(S_X, St_X, S_Z, St_Z)
--   when not (SX \in St_X) .
eq [m2o-lemma07]:
  (allRSInStates(SetRS, initial) and
   ifRSInStatesThenPRInStates(SetRS, started, SetPR, ready))
= allRSInStates(SetRS, initial) .
```

Step 5-2: Begin with the most general case.

The most general case is as follows where *sRS* and *sPR* are arbitrary constants for a set of resources and properties respectively:

```
:goal {
  eq initinv(< sRS,sPR >) = true .
}
:apply (rd) -- goal
```

The instantiated proved lemma is effective enough to prove the most general goal.

Step 6-0: Define a predicate to be proved.

Using the double negation idiom, predicate *invinv* for condition (6) can be defined as follows:

```
vars S SS : State
var CC : Bool

pred iinv : State State .
eq iinv(S,SS) = inv(S) and invK(S) implies invK(SS) .

pred invinv : State
eq invinv(S)
  = not (S =(*,1)=>+ SS if CC suchThat
        not ((CC implies iinv(S,SS)) == true)
        { true }) .

eq invK(S) = inv-ifRSStartedThenPRReady(S) .
```

However *inv(S)* includes *inv_k(S)*, the antecedent part of *iinv(S)* doubly specifies *invK(S)*. This is because *inv(S)* is defined only to reduce to false when one of *invK(S)* reduces to false as described in Section 6.1.

Step 6-1: Instantiate proved lemmas for predefined predicates.

Rule R02 increases ready properties which has intuitively no effect on *inv-ifRSStartedThenPRReady*. As explained in Section 5.3, the proved lemma *m2o-lemma11* ensures it and can be used for proof of condition (6) as follows:

```
vars IDRS IDRRS : RSID
var IDPR : PRID
var TPR : PRTYPE
var SetRS : SetOfResource
var SetPR : SetOfProperty
-- Instantiating m2o-lemma11:
-- eq m2o-lemma11(Z,Z',S_X,St_X,S_Z,St_Z)
--   = ifXInStatesThenZInStates(S_X,St_X,(Z S_Z),St_Z)
--     implies ifXInStatesThenZInStates(S_X,St_X,(Z' S_Z),St_Z)
--   when (state(Z') \in St_Z) and changeObjState(Z,Z') .
eq [m2o-lemma11]:
  (ifRSInStatesThenPRInStates
   (SetRS,started,(prop(TPR,IDPR,notready,IDRS,IDRRS) SetPR),ready)
   and
   ifRSInStatesThenPRInStates
```

```

(SetRS,started,(prop(TPR,IDPR,    ready,IDRS,IDRRS) SetPR),ready))
=
ifRSInStatesThenPRInStates
(SetRS,started,(prop(TPR,IDPR,notready,IDRS,IDRRS) SetPR),ready) .

```

Step 6-2: Begin with the cases each of which matches to LHS of each rule.

Step 6-3: Split the current case for a rule into cases where the condition of the rule does or does not hold.

We can begin with two cases for two rules. Since rule R01 is conditional, the general case should be split into two cases according to Step 6-3. Then, the goals of totally three cases can be proved and thus condition (6) is proved as follows:

```

-- Goal of Condition (6) for rule R01
:goal {
  eq invinv(< (res(trs,idRS,initial) sRS), sPR >) = true .
}
:ctf {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
}
-- Case 1: When the condition of R01 holds:
:ctf {
  eq sPR = empPR .
}
-- Case 1-1: sPR is empty.
:apply (rd) -- 1-1
-- Case 1-2: sPR is not empty.
:apply (rd) -- 1-2
-- Case 2: When the condition of R01 does not hold:
:apply (rd) -- 2

-- Goal of Condition (6) for rule R02
:goal {
  eq invinv(< (res(trs,idRRS,started) sRS),
              (prop(tpr,idPR,notready,idRS,idRRS) sPR) >) = true .
}
:apply (rd) -- goal

```

Proof of Invariants for Structural Constraints

We should prove all wfs predicates as invariants, however, they are included in *init* and so we should only prove condition (6) for each of them. Most of them check some structural constraints of the cloud systems, which should usually keep to hold when some transition rule changes a local state of some object. When a wfs predicate is defined using predefined predicates, it is easy to prove the wfs as an invariant because the framework provides many lemmas for the predefined predicates.

Here we use *wfs-allPRHaveRS* as an example to show the procedure.

Step 6-0: Define a predicate to be proved.

```

var S : State
eq invK(S) = wfs-allPRHaveRS(S) .

```

Step 6-1: Instantiate proved lemmas for predefined predicates.

Since `wfs-allPRHaveRS` uses the predefined predicate `allZHaveX`, the proved lemma `m2o-lemma05` can be instantiated and used for proof of condition (6) as follows:

```
var IDRS : RSID
var TPR : PRType
var SetRS : SetOfResource
var SetPR : SetOfProperty
-- Instantiating m2o-lemma05:
-- eq m2o-lemma05(X,X',S_Z,S_X)
--   = allZHaveX(S_Z,(X S_X)) implies allZHaveX(S_Z,(X' S_X))
--   when id(X) = id(X') .
eq [m2o-lemma05]:
  (allPRHaveRS(SetPR,(res(TRS,IDRS,initial) SetRS))
   and allPRHaveRS(SetPR,(res(TRS,IDRS,started) SetRS)))
  = allPRHaveRS(SetPR,(res(TRS,IDRS,initial) SetRS)) .
```

Step 6-2: Begin with the cases each of which matches to LHS of each rule.

Step 6-3: Split the current case for a rule into cases where the condition of the rule does or does not hold.

```
-- Goal of Condition (6) for rule R01
:goal {
  eq invinv(< (res(trs,idRS,initial) sRS), sPR >) = true .
}
:ctf {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
}
-- Case 1: When the condition of R01 holds:
:apply (rd) -- 1
-- Case 2: When the condition of R01 does not hold:
:apply (rd) -- 2

-- Goal of Condition (6) for rule R02
:goal {
  eq invinv(< (res(trs,idRRS,started) sRS),
              (prop(tpr,idPR,notready,idRS,idRRS) sPR) >) = true .
}
:apply (rd) -- goal
```

Proof of *noCycle* as an Invariant

We should prove $noCycle_C(S)$ as an invariants in order to use the Cyclic Dependency Lemma, however, it is included in *init* and thus we should only prove condition (6) for *noCycle*. The Depending Subset Lemma described in Section 5.3 ensures that we should prove that $\forall(S, S') \in Tr, \forall X \in C : DDS_C(X, S') \subseteq DDS_C(X, S)$ instead of condition (6).

Step 6-0: Define a predicate to be proved.

```
vars S SS : State
var CC : Bool
```

```

var RS : Resource
-- When subset(DDSC(RS,SS),DDSC(RS,S)) holds for all RS,
-- noRSCycle(S) implies noRSCycle(SS),
pred invnoRSCycle : Resource State
eq invnoRSCycle(RS,S)
  = not (S =(*,1)=>+ SS if CC suchThat
    not ((CC implies subset(DDSC(RS,SS),DDSC(RS,S))) == true)
    { S => SS !! CC ! subset(DDSC(RS,SS),DDSC(RS,S)) }) .

```

Step 6-1: Instantiate proved lemmas for predefined predicates.

As described in Section 5.3, the proved lemma `m2o-lemma24` and `set-lemma12` can be instantiated and used for proof as follows:

```

var S : State
vars RS RS' : Resource
var SetRS : SetOfResource
var SetSRS : SetOfRSState
var SetPR : SetOfProperty

-- Instantiating set-lemma12:
-- eq set-lemma12(S) = subset(S,S) .
eq [set-lemma12]:
  subset(SetRS,SetRS) = true .

-- Instantiating m2o-lemma24:
-- eq m2o-lemma24(X,X',S_X,S_Z,St_X)
--   = subset(getXsOfZsInStates((X' S_X),S_Z,St_X),
--             getXsOfZsInStates((X S_X),S_Z,St_X))
--   when id(X) = id(X') and not state(X') \in St_X .
ceq [m2o-lemma24]:
  subset(getRRSsOfPRsInStates((RS' SetRS),SetPR,SetSRS),
        getRRSsOfPRsInStates((RS SetRS),SetPR,SetSRS))
  = true
  if id(RS) = id(RS') and not state(RS') \in SetSRS .

```

Step 6-2: Begin with the cases each of which matches to LHS of each rule.

Step 6-3: Split the current case for a rule into cases where the condition of the rule does or does not hold.

The following is a proof score for $DDS_C(X, S') \subseteq DDS_C(X, S)$ for rule R01:

```

:goal {
  eq invnoRSCycle(x,< (res(trs,idRS,initial) sRS), sPR >) = true .
}
:ctf {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
}
-- Case 1: When the condition of R01 holds:
:ctf {

```

```

    eq x = res(trs,idRS,initial) .
}
-- Case 1-1: X is the resource with identifier idRS.
:apply (rd) -- 1-1
-- Case 1-2: X is not the resource with identifier idRS.
:ctf {
    eq state(x) = initial .
}
-- Case 1-2-1: The resource is initial.
:apply (rd) -- 1-2-1
-- Case 1-2-2: The resource is not initial.
:apply (rd) -- 1-2-2
-- Case 2: When the condition of R01 does not hold:
:apply (rd) -- 2

```

Additionally two `:ctf` commands are required to split Case 1 into three more cases since the considering global state explicitly includes a resource with identifier `idRS`. The three cases are where the considering resource `x` is the resource `idRS` (Case 1-1), is not `idRS` and is `initial` (Case 1-2-1), and is neither `idRS` nor `initial` (Case 1-2-2).

The following is a proof score for $DDS_C(X, S') \subseteq DDS_C(X, S)$ for rule R02:

```

:goal {
    eq invnoRSCycle(x,
        < (res(trs,idRRS,started) sRS),
        (prop(tptr,idPR,notready,idRS,idRRS) sPR) >) = true .
}
:ctf {
    eq x = res(trs,idRRS,started) .
}
-- Case 1: X is the resource with identifier idRRS.
:apply (rd) -- 1
-- Case 2: X is not the resource with identifier idRRS.
:ctf {
    eq state(x) = initial .
}
-- Case 2-1: The resource is initial.
:ctf {
    eq id(x) = idRS .
}
-- Case 2-1-1: The identifier of X is idRS.
:apply (rd) -- 2-1-1
-- Case 2-1-2: The identifier of X is not idRS.
:apply (rd) -- 2-1-2
-- Case 2-2: The resource is not initial.
:apply (rd) -- 2-2

```

Similarly, additional case splitting is required since the considering global state includes two identifiers of resources. We need to consider cases where `x` is or is not `idRS` or `idRRS`.

6.7 A Lemma for Using Cyclic Dependency Lemma

Let us return to proof of condition (2) for rule R02.

Step 2-1: Begin with the cases each of which matches to LHS of each rule.

```
-- Goal of Condition (2) for rule R02
:goal {
  eq contcont(< (res(trs,idRRS,started) sRS),
              (prop(tptr,idPR,notready,idRS,idRRS) sPR) >) = true .
}
```

Step 2-2: Split the current case for a rule into cases where the condition of the rule does or does not hold.

Rule R02 is unconditional.

Step 2-3: Split the rule applied case into cases where predicate *final* does or does not hold in the next state.

If all of the other resources are started, the next state is final. But it is not the case because we know a notready property has an initial parent resource.

Step 2-7: When there is a dangling link, split the current case into cases where the linked object does or does not exist.

```
-- The parent resource of the property does or does not exist.
:csp {
  eq existRS(sRS,idRS) = false .
  eq sRS = (res(trs',idRS,srs') sRS') .
}
-- Case 1: When the parent resource of the property does not exist:
:apply (rd) -- 1
-- Case 2: When the parent resource of the property exists:
-- The parent resource is initial or started.
:csp {
  eq srs' = initial .
  eq srs' = started .
}
-- Case 2-1: When the parent resource is initial:
... -- More consideration needed.
-- Case 2-2: When the parent resource is started:
:apply (rd) -- 2-2
```

Case 2-1 for rule R02 is the same situation as Case 1-2 for R01 where there is an initial resource in the next state and the Cyclic Dependency Lemma ensures there exists some initial resource RS such that no resource in DDSC(RS,S) is initial. Thus, here we need to write almost the same proof score as of Case 1-2 for R01. In addition, since we choose an arbitrary initial resource in Case 1-2 for R01, we can assume itself is the resource RS which the Cyclic Dependency Lemma ensures to exist. However in this case, the initial resource we have is a parent of the property which rule R02 make transit. It means that we should consider two similar cases where the resource RS is the parent resource or another arbitrary resource. We might have to repeat almost the same proof totally three times.

Thereby, it is wise to define a lemma and use it in the similar cases. The lemma claims that if there is an initial resource in a global state then there exists a transition rule applicable to the global state. It can be proved very similar to the proof of condition 1 as follows.

Step 1-0: Define a predicate to be proved.

```
vars B1 B2 : Bool

pred (_when _) : Bool Bool { prec: 64 r-assoc }
eq (B1 when B2)
  = B2 implies B1 .

var S: State

pred invcont : State
eq invcont(S)
  = cont(S) = true
  when inv(S) .
```

Step 1-1: Begin with the most general case.

```
:goal {eq invcont(< (res(trs, idRS, initial) sRS), sPR >) = true .}
```

Step 1-2: Think which rule is applied to the global state in the current case. The rule is referred to as the *current rule*.

The applicable transition may be R01 because the global state includes an initial resource.

Step 1-3: Split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.

The global state already matches to LHS of R01.

Step 1-4: Split the current case into cases where the condition of the rule does or does not hold.

```
:csp {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
  eq sPR = (prop(tpR,idPR,notready,idRS,idRRS) sPR') .
}
-- Case 1: When all of or properties of the resource idRS are ready:
:apply (rd) -- 1
```

Step 1-5: When there is a dangling link, split the current case into cases where the linked object does or does not exist.

```
-- Case 2: When at least one of properties of the resource idRS is notready.
-- The resource referred by the property does or does not exist.
:csp {
  eq existRS(sRS,idRRS) = false .
  eq sRS = (res(trs',idRRS,srs) sRS') .
}
-- Case 2-1: When the resource referred by the property does not exist:
:apply (rd) -- 2-1
-- Case 2-2: When the resource referred by the property exists:
```

Step 1-2: Think which rule is applied to the global state in the current case.

In this case, the transition rule to be applied may be R02 because the global state includes a property.

Step 1-3: Split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.

```
-- The state of the resource is initial or started.
:csp {
  eq srs = initial .
  eq srs = started .
}
```

Step 1-6: When falling in a cyclic situation, use the Cyclic Dependency Lemma.

```
-- Case 2-2-1: When the resource idRRS is initial:
-- The Cyclic Dependency Lemma rejects this case.
:init [Cycle] by {
  T:RSType <- trs;
  IDRS:RSID <- idRS;
  S:State <- < (res(trs,idRS,initial) sRS), sPR >;
}
:apply (rd) -- 2-2-1
-- Case 2-2-2: When the resource idRRS is started:
:apply (rd) -- 2-2-2
```

Thus, all cases are successfully proved and we can assume that $cont(S)$ holds for any global state S which include an initial resource.

Assuming that inv holds, this lemma can be used as follows:

```
var IDRS : RSID
var TRS : RSType
var SetRS : SetOfResource
var SetPR : SetOfProperty
eq cont(< (res(TRS,IDRS,initial) SetRS), SetPR >) true .
```

Then, the proof of the sufficient condition (2) for rule R02 becomes very simple as follow:

```
-- Goal of Condition (2) for rule R02
:goal {
  eq contcont(< (res(trs,idRRS,started) sRS),
              (prop(tpr,idPR,notready,idRS,idRRS) sPR) >) = true .
}
-- The parent resource of the property does or does not exist.
:csp {
  eq existRS(sRS,idRS) = false .
  eq sRS = (res(trs',idRS,srs') sRS') .
}
-- Case 1: When the parent resource of the property does not exist:
:apply (rd) -- 1
-- Case 2: When the parent resource of the property exists:
-- The parent resource is initial or started.
:csp {
  eq srs' = initial .
  eq srs' = started .
}
```

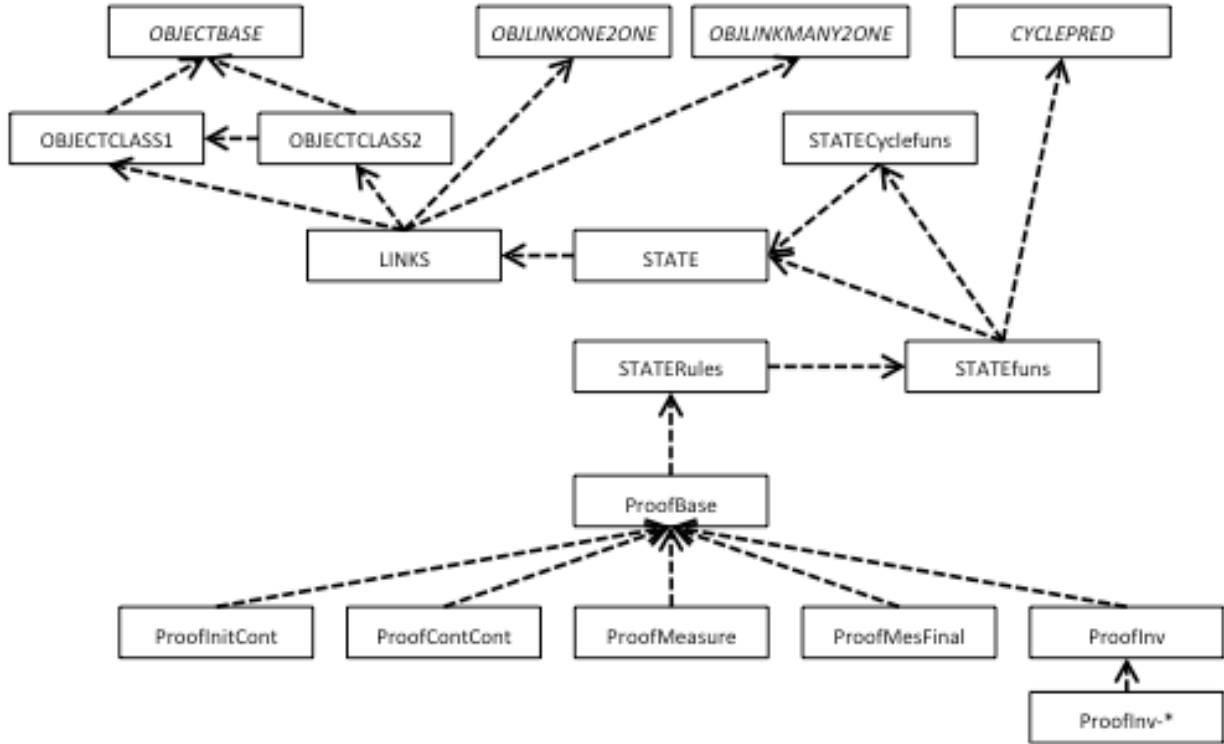


Figure 6.3: Recommended Module Structure

```

-- Case 2-1: When the parent resource is initial:
:apply (rd) -- 2-1
-- Case 2-2: When the parent resource is started:
:apply (rd) -- 2-2

```

6.8 Recommended Module Structure

The framework provides a recommended module structure which the user can adopt when developing proof scores for verifying the property (*init* leads-to *final*). Using the recommended structure results in proof scores which are consistent and easier to understand. Figure 6.3 depicts the recommended module structure whereas each box represents a module and each dashed arrow represents a “protecting” or “extending” import of another module. An italic name means a template module.

The following list describes the role and content of each module:

- **OBJECTCLASS_{*n*}**

Module for each class of objects. This class should be named as representing the class appropriately. The name usually consists of upper case letters because the same name will be capitalized and used for the sort of the class. The contents of this module is as follows:

1. Protecting import the modules of other classes which this class links.
2. Extending import the template module OBJECTBASE and rename predefined sorts and operators for the class.

3. Define the constructor of the class.
 4. Define literals of the type and local state of the class.
 5. Define the selectors of the class.
 6. Define operators specific to the class if any.
- **LINKS**
Module for links between objects.
 1. Protecting import the modules of classes of links.
 2. Extending import the template modules OBJLINKONE2ONE and OBJLINKMANY2ONE, and rename predefined sorts and operators for links between objects.
 - **STATE**
Module for global states.
 1. Protecting import LINKS.
 2. Define sort `State` for representing global states. A global state is usually represented as a tuple of sets of objects, each of the sets is a finite subset of a class.
 - **STATECyclefuns**
Module for preparing to use the Cyclic Dependency Lemma.
 1. Protecting import STATE.
 2. Define operator `getAllObjInState`.
 3. Define operator `DDSC`.
 - **STATEfuns**
Module for defining many kinds of operators for global states.
 1. Protecting import STATE.
 2. Extending import the template module CYCLEPRED with STATECyclefuns as a parameter module, and rename predefined operator `noCycle`.
 3. Define wfs predicates.
 4. Define predicates `init`, `final`, and `wfs`.
 5. Define operators required to implement standard predicates above if any.
 - **STATERules**
Module for transition rules.
 1. Protecting import STATEfuns.
 2. Define transition rules.
 - **ProofBase**
Module for common definitions to prove six sufficient conditions.
 1. Protecting import STATERules.
 2. Define invariant predicates.

3. Define predicate `cont`.
 4. Define operator `m`.
 5. Define predicate `inv` such that it reduces to false when one of invariants reduces to false.
 6. Define problem specific lemmas if any.
 7. Prepare arbitrary constants to use in the verification.
- **ProofInitCont**
Module for proving sufficient condition (1).
 1. Protecting import `ProofBase`.
 2. Define predicate `initcont`.
 3. Define problem specific lemmas if any.
 - **ProofContCont**
Module for proving sufficient condition (2).
 1. Protecting import `ProofBase`.
 2. Define predicate `contcont`.
 3. Define problem specific lemmas if any.
 - **ProofMeasure**
Module for proving sufficient condition (3).
 1. Protecting import `ProofBase`.
 2. Define predicate `mesmes`.
 3. Define axioms of `Nat`.
 4. Define problem specific lemmas if any.
 - **ProofMesFinal**
Module for proving sufficient condition (4).
 1. Protecting import `ProofBase`.
 2. Define predicate `mesfinal`.
 3. Define axioms of `Nat`.
 4. Define problem specific lemmas if any.
 - **ProofInv**
Module for common definitions for proving sufficient condition (5) and (6).
 1. Protecting import `ProofBase`.
 2. Define predicates `invK`, `initinv`, and `invinv`.
 - **Proofinv-* Proofwfs-***
Module for proving each invariant. The name of this module is usually `Proof+ name_of_invariant`.

1. Protecting import `ProofInv`.
2. Define predicates `invK` to be the invariant.
3. Define lemmas if necessary.

Chapter 7

Applying the Framework to TOSCA Specifications

This chapter describes how to use our framework to define behavior of TOSCA types and to verify that a specified topology can correctly automate to set up the cloud system.

7.1 Structure Model of TOSCA Templates

A TOSCA topology of a cloud system is modeled as it mainly consists of four classes of objects corresponding to the four main kinds of elements of a topology; nodes, relationships, capabilities, and requirements. There is an additional object, a message pool, to represent messaging between resources inside of different VMs because they cannot communicate directly. The message pool is simply a bag of messages, which abstracts implementations of messaging.

There are several domain specific constraints of the structure:

1. A node should be hosted on at most one other node.
2. A relationship should not be between a capability and a requirement of the same node.
3. A local relationship should be between a capability and a requirement of the nodes hosted on the same virtual machine.
4. A remote relationship should be between a capability and a requirement of the nodes hosted on the different virtual machines.
5. We assume that types of capabilities and requirements are the same as relationships that link them in this paper for the sake of simplicity.

7.1.1 Representation of the Example Structure Model

Let us use a typical example where four node types and three relationship types in Fig. 2.3 participate in automation of a setup operation. There are nine nodes of four types, nine capabilities, nine requirements, and nine relationships of three types. An initial global state may be represented in CafeOBJ as the following ground term:

```
< ( node(VM, VMApache, initial)
    node(OS, OSApache, initial)
```



```

node(MW, ApacheWebServer, initial)
node(SC, CRMApp, initial)
node(SC, PhpModule, initial)
node(VM, VMySQL, initial)
node(OS, OMySQL, initial)
node(MW, MySQL, initial)
node(SC, CRMDB, initial) ),
( cap(hostedOn, VMApacheOS, closed, VMApache)
  cap(hostedOn, OSApacheSoftware, closed, OSApache)
  cap(hostedOn, ApacheWebServerWebapps, closed, ApacheWebServer)
  cap(hostedOn, ApacheWebServerModules, closed, ApacheWebServer)
  cap(dependsOn, PhpModulePhpApps, closed, PhpModule)
  cap(hostedOn, VMySQLOS, closed, VMySQL)
  cap(hostedOn, OMySQLSoftware, closed, OMySQL)
  cap(hostedOn, MySQLDatabases, closed, MySQL)
  cap(connectsTo, CRMDBClients, closed, CRMDB) ),
( req(hostedOn, OSApacheContainer, unbound, OSApache)
  req(hostedOn, ApacheWebServerContainer, unbound, ApacheWebServer)
  req(dependsOn, CRMAppPhpRuntime, unbound, CRMApp)
  req(connectsTo, CRMAppDatabase, unbound, CRMApp)
  req(hostedOn, CRMAppContainer, unbound, CRMApp)
  req(hostedOn, PhpModuleContainer, unbound, PhpModule)
  req(hostedOn, OMySQLContainer, unbound, OMySQL)
  req(hostedOn, MySQLContainer, unbound, MySQL)
  req(hostedOn, CRMDBContainer, unbound, CRMDB) ),
( rel(hostedOn, OSApacheHostedOnVMApache,
      VMApacheOS, OSApacheContainer)
  rel(hostedOn, ApacheHostedOnOSApache,
      OSApacheSoftware, ApacheWebServerContainer)
  rel(hostedOn, CRMAppHostedOnApache,
      ApacheWebServerWebapps, CRMAppContainer)
  rel(hostedOn, PhpModuleHostedOnApache,
      ApacheWebServerModules, PhpModuleContainer)
  rel(dependsOn, CRMAppDependsOnPhpModule,
      PhpModulePhpApps, CRMAppPhpRuntime)
  rel(hostedOn, OMySQLHostedOnVMySQL,
      VMySQLOS, OMySQLContainer)
  rel(hostedOn, MySQLHostedOnOSMySQL,
      OMySQLSoftware, MySQLContainer)
  rel(hostedOn, CRMDBHostedOnMySQL,
      MySQLDatabases, CRMDBContainer)
  rel(connectsTo, CRMAppConnectsToCRMDB,
      CRMDBClients, CRMAppDatabase) ),
empMsg >

```

The constructor name represents the class of the object (node, cap, req, rel), the first argument is its type (VM, hostedOn, and so on), the second is its identifier (VMApache, VMApacheOS, and so on), and the third is its local state. The fourth argument of the capability or requirement object represents a link to its parent. The fourth and fifth arguments of the relationship object represent links to its corresponding capability and requirement respectively. The last term,

empMsg, represents an empty message pool.

The representation of these four classes can be easily defined using the template module OBJECTBASE provided by the framework. Module NODE for the node class is as follows:

```
module! NODE {
  -- Instantiation of Template
  extending(OBJECTBASE
    * {sort ObjIDLt -> NDIDLt,
      sort ObjID -> NDID,
      sort ObjTypeLt -> NDTypeLt,
      sort ObjType -> NDType,
      sort ObjStateLt -> NDStateLt,
      sort ObjState -> NDState,
      sort Object -> Node,
      sort SetOfObject -> SetOfNode,
      sort SetOfObjState -> SetOfNDState,
      op empObj -> empND,
      op empState -> empSND,
      op existObj -> existND,
      op existObjInStates -> existNDInStates,
      op uniqObj -> uniqND,
      op #ObjInStates -> #NodeInStates,
      op getObject -> getNode,
      op allObjInStates -> allNDInStates,
      op allObjOfTypeInStates -> allNDOfTypeInStates,
      op allObjNotInStates -> allNDNotInStates,
      op someObjInStates -> someNDInStates})

  -- Constructor
  -- node(NDType, NDID, NDState) is a Node.
  op node : NDType NDID NDState -> Node {constr}

  -- There are four typical node types.
  ops VM OS MW SC : -> NDTypeLt {constr}

  -- Variables
  var TND : NDType
  var IDND : NDID
  var SND : NDState

  -- Selectors
  eq type(node(TND, IDND, SND)) = TND .
  eq id(node(TND, IDND, SND)) = IDND .
  eq state(node(TND, IDND, SND)) = SND .

  -- Local States
  ops initial created started : -> NDStateLt {constr}
  -- Predicate for Local States
  pred isCreated : NDState
  eq isCreated(initial) = false .
  eq isCreated(created) = true .
```

```

    eq isCreated(started) = true .
}

```

The types of nodes are VM (virtual machine), OS (operating system), MW (middleware), and SC (software component). The local states of nodes are *initial*, *created*, and *started*. Among them, *created* and *started* are *isCreated*.

In addition to the predefined predicates/operators explained in Section 5.2, module *NODE* instantiates a predicate concerning the node types, *allObjOfTypeInStates*, described as follows whereas argument *seto* is a set of linking objects, *setls* is a set of local states of linking objects, and *ty* is a type of an object:

- *allObjOfTypeInStates* (renamed as *allNDOftypeInStates*)
 Predicate used as *allObjOfTypeInStates(seto, ty, setls)* which holds iff every object of type *ty* in *seto* is in one of local states of *setls*;
 $\forall o \in seto : type(o) = ty \rightarrow state(o) \in setls.$

Since a capability links to its parent node, module *CAPABILITY* for its class protecting includes *NODE* as follows:

```

module! CAPABILITY {
  protecting(NODE)

  -- Instantiation of Template
  extending(OBJECTBASE
    * {sort ObjIDLt -> CPIDLt,
      sort ObjID -> CPID,
      sort ObjTypeLt -> CPTYPELt,
      sort ObjType -> CPTYPE,
      sort ObjStateLt -> CPStateLt,
      sort ObjState -> CPState,
      sort Object -> Capability
      sort SetOfObject -> SetOfCapability,
      sort SetOfObjState -> SetOfCPState,
      op empObj -> empCP,
      op empState -> empSCP,
      op existObj -> existCP,
      op existObjInStates -> existCPInStates,
      op uniqObj -> uniqCP,
      op #ObjInStates -> #CapabilityInStates,
      op getObject -> getCapability,
      op allObjInStates -> allCPInStates,
      op allObjOfTypeInStates -> allCPOfTypeInStates,
      op allObjNotInStates -> allCPNotInStates,
      op someObjInStates -> someCPInStates})

  -- Constructor
  -- cap(CPTYPE, CPID, CPState, NDID) is a Capability of a Node
  op cap : CPTYPE CPID CPState NDID -> Capability {constr}

  -- Variables
  var TCP : CPTYPE

```

```

var IDCP : CPID
var SCP : CPState
var IDND : NDID

-- Selectors
op node : Capability -> NDID
eq type(cap(TCP,IDCP,SCP,IDND)) = TCP .
eq id(cap(TCP,IDCP,SCP,IDND)) = IDCP .
eq state(cap(TCP,IDCP,SCP,IDND)) = SCP .
eq node(cap(TCP,IDCP,SCP,IDND)) = IDND .

-- Local States
ops closed open available : -> CPStateLt {constr}
-- Predicate for Local States
pred isActivated : CPState
eq isActivated(closed) = false .
eq isActivated(open) = true .
eq isActivated(available) = true .
}

```

Note that node is a selector for a link to the parent node of the capability. The local states of capabilities are closed, open, and available. Among them, open and available are isActivated.

Since a requirement also links to its parent node, module REQUIREMENT for its class protecting includes NODE as follows:

```

module! REQUIREMENT {
  protecting(NODE)

  -- Instantiation of Template
  extending(OBJECTBASE
    * {sort ObjIDLt -> RQIDLt,
      sort ObjID -> RQID,
      sort ObjTypeLt -> RQTypeLt,
      sort ObjType -> RQType,
      sort ObjStateLt -> RQStateLt,
      sort ObjState -> RQState,
      sort Object -> Requirement,
      sort SetOfObject -> SetOfRequirement,
      sort SetOfObjState -> SetOfRQState,
      op empObj -> empRQ,
      op empState -> empSRQ,
      op existObj -> existRQ,
      op existObjInStates -> existRQInStates,
      op uniqObj -> uniqRQ,
      op #ObjInStates -> #RequirementInStates,
      op getObject -> getRequirement,
      op allObjInStates -> allRQInStates,
      op allObjOfTypeInStates -> allRQOfTypeInStates,
      op allObjNotInStates -> allRQNotInStates,
      op someObjInStates -> someRQInStates})
  }

```

```

-- Constructor
-- req(RQType, RQID, RQState, NDID) is a Requirement of a Node
op req : RQType RQID RQState NDID -> Requirement {constr}

-- Variables
var TRQ : RQType
var IDRQ : RQID
var IDND : NDID
var SRQ : RQState

-- Selectors
op node : Requirement -> NDID
eq type(req(TRQ,IDRQ,SRQ,IDND)) = TRQ .
eq id(req(TRQ,IDRQ,SRQ,IDND)) = IDRQ .
eq state(req(TRQ,IDRQ,SRQ,IDND)) = SRQ .
eq node(req(TRQ,IDRQ,SRQ,IDND)) = IDND .

-- Local States
ops unbound waiting ready : -> RQStateLt {constr}
}

```

Note that node is a selector for a link to the parent node of the requirement. The local states of requirements are unbound, waiting, and ready.

Since a relationship links to its corresponding capability and requirement, module RELATIONSHIP for its class protecting includes CAPABILITY and REQUIREMENT as follows:

```

module! RELATIONSHIP {
  protecting(CAPABILITY + REQUIREMENT)

  -- Instantiation of Template
  extending(OBJECTBASE
    * {sort ObjIDLt -> RLIDLt,
      sort ObjID -> RLID,
      sort ObjTypeLt -> RLTypeLt,
      sort ObjType -> RLType,
      sort ObjStateLt -> RLStateLt,
      sort ObjState -> RLState,
      sort Object -> Relationship,
      sort SetOfObject -> SetOfRelationship,
      sort SetOfObjState -> SetOfRLState,
      op empObj -> empRL,
      op existObj -> existRL,
      op uniqObj -> uniqRL})

  -- Constructor
  -- rel(RLType, RLID, CPID, RQID) is a Relationship
  op rel : RLType RLID CPID RQID -> Relationship {constr}

  -- There are three typical relationship types.
  ops hostedOn dependsOn connectsTo : -> RLTypeLt {constr}
}

```

```

-- Types of capabilities and requirements are the same as relationships
[RLType < CPTYPE RQType]

-- Variables
var TRL : RLType
var IDRL : RLID
var IDCP : CPID
var IDRQ : RQID

-- Selectors
op cap : Relationship -> CPID
op req : Relationship -> RQID
eq type(rel(TRL,IDRL,IDCP,IDRQ)) = TRL .
eq id(rel(TRL,IDRL,IDCP,IDRQ)) = IDRL .
eq cap(rel(TRL,IDRL,IDCP,IDRQ)) = IDCP .
eq req(rel(TRL,IDRL,IDCP,IDRQ)) = IDRQ .

-- Predicate for Locality
pred isLocalRL : Relationship
eq isLocalRL(rel(hostedOn,IDRL,IDCP,IDRQ)) = true .
eq isLocalRL(rel(dependsOn,IDRL,IDCP,IDRQ)) = true .
eq isLocalRL(rel(connectsTo,IDRL,IDCP,IDRQ)) = false .
}

```

The types of relationships are `hostedOn`, `dependsOn`, and `connectsTo`. Among them, `hostedOn` and `dependsOn` are `isLocal`. Note that `cap` and `req` are selectors for links to the corresponding capability and requirement respectively of the relationship.

Predefined predicates and operators for links between objects also can be easily instantiated using the template modules `OBJLINKMANY2ONE` and `OBJLINKONE2ONE` as follows:

```

module! LINKS {
  protecting(NODE + CAPABILITY + REQUIREMENT + RELATIONSHIP)

  -- Instantiation of Template
  -- A many-to-one link from a capability to its parent node
  extending(OBJLINKMANY2ONE(
    CAPABILITY {sort Object -> Capability,
      sort ObjID -> CPID,
      sort ObjType -> CPTYPE,
      sort ObjState -> CPState,
      sort SetOfObject -> SetOfCapability,
      sort SetOfObjState -> SetOfCPState,
      sort LObject -> Node,
      sort LObjID -> NDID,
      sort LObjState -> NDState,
      sort SetOfLObject -> SetOfNode,
      sort SetOfLObjState -> SetOfNDState,
      op getLObject -> getNode,
      op existLObject -> existND,
      op empLObject -> empND,
      op link -> node,

```

```

        op existLObjInStates -> existNDInStates}))
* {op getXOfZ -> getNDOfCP,
    op getZsOfX -> getCPsOfND,
    op getZsOfTypeOfX -> getCPsOfTypeOfND,
    op getZsOfXInStates -> getCPsOfNDInStates,
    op getZsOfTypeOfXInStates -> getCPsOfTypeOfNDInStates,
    op getXsOfZs -> getNDsOfCPs,
    op getXsOfZsInStates -> getNDsOfCPsInStates,
    op getZsOfXs -> getCPsOfNDs,
    op getZsOfXsInStates -> getCPsOfNDsInStates,
    op getZsOfTypeOfXsInStates -> getCPsOfTypeOfNDsInStates,
    op allZHaveX -> allCPHaveND,
    op allZOfXInStates -> allCPOfNDInStates,
    op allZOfTypeOfXInStates -> allCPOfTypeOfNDInStates,
    op ifXInStatesThenZInStates -> ifNDInStatesThenCPInStates,
    op ifXInStatesThenZOfTypeInStates
    -> ifNDInStatesThenCPOfTypeInStates}
)

-- Instantiation of Template
-- A many-to-one link from a requirement to its parent node
extending(OBJLINKMANY2ONE(
    REQUIREMENT {sort Object -> Requirement,
        sort ObjID -> RQID,
        sort ObjType -> RQType,
        sort ObjState -> RQState,
        sort SetOfObject -> SetOfRequirement,
        sort SetOfObjState -> SetOfRQState,
        sort LObject -> Node,
        sort LObjID -> NDID,
        sort LObjState -> NDState,
        sort SetOfLObject -> SetOfNode,
        sort SetOfLObjState -> SetOfNDState,
        op getLObject -> getNode,
        op existLObj -> existND,
        op empLObj -> empND,
        op link -> node,
        op existLObjInStates -> existNDInStates}))
* {op getXOfZ -> getNDOfRQ,
    op getXsOfZs -> getNDsOfRQs,
    op getXsOfZsInStates -> getNDsOfRQsInStates,
    op getZsOfX -> getRQsOfND,
    op getZsOfTypeOfX -> getRQsOfTypeOfND,
    op getZsOfXInStates -> getRQsOfNDInStates,
    op getZsOfTypeOfXInStates -> getRQsOfTypeOfNDInStates,
    op getZsOfXs -> getRQsOfNDs,
    op getZsOfXsInStates -> getRQsOfNDsInStates,
    op getZsOfTypeOfXsInStates -> getRQsOfTypeOfNDsInStates,
    op allZHaveX -> allRQHaveND,
    op allZOfXInStates -> allRQOfNDInStates,

```

```

        op allZOfTypeOfXInStates -> allRQOfTypeOfNDInStates,
        op ifXInStatesThenZInStates -> ifNDInStatesThenRQInStates,
        op ifXInStatesThenZOfTypeInStates
          -> ifNDInStatesThenRQOfTypeInStates}
    )

-- Instantiation of Template
-- A one-to-one link from a relationship to its capability
extending(OBJLINKONE2ONE(
    RELATIONSHIP {sort Object -> Relationship,
                  sort ObjID -> RLID,
                  sort ObjType -> RLType,
                  sort ObjState -> RLState,
                  sort SetOfObject -> SetOfRelationship,
                  sort SetOfObjState -> SetOfRLState,
                  sort LObject -> Capability,
                  sort LObjID -> CPID,
                  sort LObjState -> CPState,
                  sort SetOfLObject -> SetOfCapability,
                  sort SetOfLObjState -> SetOfCPState,
                  op getLObject -> getCapability,
                  op existLObject -> existCP,
                  op empLObject -> empCP,
                  op link -> cap,
                  op existLObjectInStates -> existCPInStates})
    * {op existX -> existCP,
       op getXOfY -> getCPOfRL,
       op getXsOfYs -> getCPsOfRLs,
       op getXsOfYsInStates -> getCPsOfRLsInStates,
       op getYOfX -> getRLOfCP,
       op getYsOfXs -> getRLsOfCPs,
       op getYsOfXsInStates -> getRLsOfCPsInStates,
       op uniqX -> uniqCP,
       op YOfXInStates -> RLOfCPInStates,
       op ifXInStatesThenYInStates -> ifCPInStatesThenRLInStates,
       op ifYInStatesThenXInStates -> ifRLInStatesThenCPInStates,
       op allYHaveX -> allRLHaveCP,
       op allXHaveY -> allCPHaveRL,
       op onlyOneYOfX -> onlyOneRLOfCP}
    )

-- Instantiation of Template
-- A one-to-one link from a relationship to its relationship
extending(OBJLINKONE2ONE(
    RELATIONSHIP {sort Object -> Relationship,
                  sort ObjID -> RLID,
                  sort ObjType -> RLType,
                  sort ObjState -> RLState,
                  sort SetOfObject -> SetOfRelationship,
                  sort SetOfObjState -> SetOfRLState,

```



```

        sort LObject -> Requirement,
        sort LObjID -> RQID,
        sort LObjState -> RQState,
        sort SetOfLObject -> SetOfRequirement,
        sort SetOfLObjectState -> SetOfRQState,
        op getLObject -> getRequirement,
        op existLObj -> existRQ,
        op empLObj -> empRQ,
        op link -> req,
        op existLObjInStates -> existRQInStates})
* {op existX -> existRQ,
   op getXOfY -> getRQOfRL,
   op getXsOfYs -> getRQsOfRLs,
   op getXsOfYsInStates -> getRQsOfRLsInStates,
   op getYOfX -> getRLOfRQ,
   op getYsOfXs -> getRLsOfRQs,
   op getYsOfXsInStates -> getRLsOfRQsInStates,
   op uniqX -> uniqRQ,
   op YOfXInStates -> RLOfRQInStates,
   op ifXInStatesThenYInStates -> ifRQInStatesThenRLInStates,
   op ifYInStatesThenXInStates -> ifRLInStatesThenRQInStates,
   op allYHaveX -> allRLHaveRQ,
   op allXHaveY -> allRQHaveRL,
   op onlyOneYOfX -> onlyOneRLOfRQ}
)
}

```

Links from capabilities to their parents node and from requirements to there parents are many-to-one, whereas links from relationships to their corresponding capabilities and requirements are one-to-one.

In addition to the predefined predicates/operators explained in Section 5.2, module LINKS uses OBJLINKMANY2ONE to instantiate several ones concerning object types. The following is the list of them whereas argument *seto* is a set of linking objects, *setls* is a set of local states of linking objects, *lobj* is a linked object, *lid* is an identifier of a linked object, *setlo* is a set of linked objects, *setlls* is a set of local states of linked objects, and *ty* is a type of an object:

- **allZOfTypeOfXInStates**
(renamed as **allCPOfTypeOfFNDInStates** and **allRQOfTypeOfFNDInStates**)
Predicate used as **allZOfTypeOfXInStates(*seto*, *ty*, *lid*, *setls*)** which holds iff every object included in *seto* whose type is *ty* and whose link is *lid* is in one of locals state in *setls*;
 $\forall o \in seto : (type(o) = ty \wedge link(o) = lid \rightarrow state(o) \in setls).$
- **getZsOfTypeOfX** (as **getCPsOfTypeOfFND** and **getRQsOfTypeOfFND**)
Operator used as **getZsOfTypeOfX(*seto*, *ty*, *lobj*)** which returns a subset *seto* each of whose element object is of type *ty* and links to *lobj*.
- **getZsOfTypeOfXInStates**
(as **getCPsOfTypeOfFNDInStates** and **getRQsOfTypeOfFNDInStates**)
Operator used as **getZsOfTypeOfXInStates(*seto*, *tylobj*, *setls*)** which returns a subset of *seto* each of whose element object is of type *ty*, links to *lobj*, and is in one of local states of *setls*.

- **getZsOfTypeOfXsInStates**
(as **getCPsOfTypeOfNDsInStates** and **getRQsOfTypeOfNDsInStates**)
Operator used as **getZsOfTypeOfXsInStates**(*seto*, *ty*, *setlo*, *setlls*) which returns a subset of *seto* each of whose element object is of type *ty*, links to some object included in *setlo*, and is in one of local states of *setlls*.
- **ifXInStatesThenZOfTypeInStates**
(as **ifNDInStatesThenCPOfTypeInStates** and **ifNDInStatesThenRQOfTypeInStates**)
Predicate used as **ifXInStatesThenZOfTypeInStates**(*setlo*, *ty*, *setlls*, *seto*, *setlls*) which holds iff every object included in *setlo* whose type *m* is *ty* and whose local state is included in *setlls* is linked by objects included in *seto* each of which is in one of local states in *setlls*;

$$\forall lo \in setlo : (\text{type}(lo) = ty \wedge \text{state}(lo) \in setlls \rightarrow$$

$$\forall o \in seto : (\text{link}(o) = id(lo) \rightarrow \text{state}(o) \in setlls)).$$

Module LINKS also uses OBJLINKONEZONE to instantiate many predicates/operators. The following is the list of them whereas argument *obj* is a linking object, *seto* is a set of linking objects, *setlls* is a set of local states of linking objects, *lobj* is a linked object, *lid* is an identifier of a linked object, *setlo* is a set of linked objects, and *setlls* is a set of local states of linked objects:

- **existX** (renamed as **existCP** and **existRQ**)
Predicate used as **existX**(*seto*, *lid*) which holds iff some object whose link is *lid* is included in *seto*;

$$\exists o \in seto : \text{link}(o) = lid.$$
- **getXOfY** (as **getCPOfFRL** and **getRQOfFRL**)
Operator used as **getXOfY**(*setlo*, *obj*) which returns an object linked by *obj* and included in *setlo*.
- **getXsOfYs** (as **getCPsOfFRLs** and **getRQsOfFRLs**)
Operator used as **getXsOfYs**(*setlo*, *seto*) which returns a subset of *setlo* each of whose element object is linked by some object included in *seto*.
- **getXsOfYsInStates** (as **getCPsOfFRLsInStates** and **getRQsOfFRLsInStates**)
Operator used as **getXsOfYsInStates**(*setlo*, *seto*, *setlls*) which returns a subset of *setlo* each of whose element object is linked by some object included in *seto* and is in one of local states of *setlls*.
- **getYOfX** (as **getRLOfCP** and **getRLOfRQ**)
Operator used as **getYOfX**(*seto*, *lobj*) which returns an object which included in *seto* and whose link is *lobj*.
- **getYsOfXs** (as **getRLsOfCPs** and **getRLsOfRQs**)
Operator used as **getYsOfXs**(*seto*, *setlo*) which returns a subset of *seto* each of whose element object links to some object included in *setlo*.
- **getYsOfXsInStates** (as **getRLsOfCPsInStates** and **getRLsOfRQsInStates**)
Operator used as **getYsOfXsInStates**(*seto*, *setlo*, *setlls*) which returns a subset of *seto* each of whose element object links to some object included in *setlo* and is in one of local states of *setlls*.

- **uniqX** (as **uniqCP** and **uniqRQ**)
 Predicate used as **uniqX(seto)** which holds iff the link of each object is unique in *seto*;
 $\forall o, o' \in seto : (o \neq o' \rightarrow \text{link}(o) \neq \text{link}(o'))$.
- **YOfXInStates** (as **RLOfCPInStates** and **RLOfRQInStates**)
 Predicate used as **YOfXInStates(seto, lid, setls)** which holds iff an object included in *seto* whose link is *lid* is in one of locals state in *setls*;
 $\exists o \in seto : (\text{link}(o) = lid \wedge \text{state}(o) \in setls)$.
- **ifXInStatesThenYInStates**
 (as **ifCPInStatesThenRLInStates** and **ifRQInStatesThenRLInStates**)
 Predicate used as **ifXInStatesThenYInStates(setlo, setls, seto, setls)** which holds iff every object included in *setlo* whose local state is included in *setls* is linked by an object included in *seto* which is in one of local states in *setls*;
 $\forall lo \in setlo : (\text{state}(lo) \in setls \rightarrow \exists o \in seto : (\text{link}(o) = \text{id}(lo) \wedge \text{state}(o) \in setls))$.
- **ifYInStatesThenXInStates**
 (as **ifRLInStatesThenCPInStates** and **ifRLInStatesThenRQInStates**)
 Predicate used as **ifYInStatesThenXInStates(seto, setls, setlo, setls)** which holds iff every object included in *seto* whose local state is included in *setls* links to an object included in *setlo* which is in one of local states in *setls*;
 $\forall o \in seto : (\text{state}(o) \in setls \rightarrow \exists lo \in setlo : (\text{link}(o) = \text{id}(lo) \wedge \text{state}(lo) \in setls))$.
- **allYHaveX** (as **allRLHaveCP** and **allRLHaveRQ**)
 Predicate used as **allYHaveX(seto, setlo)** which holds iff every object included in *seto* has an object linked by it which is included in *setlo*;
 $\forall o \in seto, \exists lo \in setlo : \text{id}(lo) = \text{link}(o)$.
- **allXHaveY** (as **allCPHaveRL** and **allRQHaveRL**)
 Predicate used as **allXHaveY(setlo, seto)** which holds iff every object included in *setlo* has an object which links to it and is included in *seto*;
 $\forall lo \in setlo, \exists o \in seto : \text{id}(lo) = \text{link}(o)$.
- **OnlyOneYOfX** (renamed as **onlyOneRLOfCP** and **onlyOneRLOfRQ**)
 Predicate used as **OnlyOneYOfX(seto, lid)** which holds iff only one object whose link is *lid* is included in *seto*;
 $\exists o \in seto : \text{link}(o) = lid \wedge (\forall o' \in seto : o \neq o' \rightarrow \text{link}(o) \neq \text{link}(o'))$.

A global state of the TOSCA structure models includes one additional object, a message pool. There are two kinds of messages, open messages and available messages, which will be explained in the next section. The representation of the messages is defined as follows:

```

module! MSG {
  protecting(LINKS)
  [Msg]
  -- An open message
  op opMsg : CPID -> Msg {constr}
  -- An available message
  op avMsg : CPID -> Msg {constr}

  vars IDCP1 IDCP2 : CPID

```

```

eq (opMsg(IDCP1) = opMsg(IDCP2))
  = (IDCP1 = IDCP2) .
eq (avMsg(IDCP1) = avMsg(IDCP2))
  = (IDCP1 = IDCP2) .
eq (opMsg(IDCP1) = avMsg(IDCP2))
  = false .
}

```

An open message (and also an available message) has an argument of the identifier of a capability. Open messages are equal to each other iff they have the same capability identifier, which is similar to available messages. An open message and an available message are never equal to each other.

The representation of a global state is defined by sort **State** as a tuple consisting of a set of nodes, a set of capabilities, a set of requirements, a set of relationships, and a message pool as follows whereas parameterized module **BAG** defines generic bags similarly to module **SET** explained in Section 3.1:

```

module! STATE {
  protecting(LINKS)
  protecting(BAG(MSG {sort Elt -> Msg}))
    * {sort Bag -> PoolOfMsg,
      op empty -> empMsg})

  [State]
  op <_,_,_,_,> : SetOfNode SetOfCapability SetOfRequirement
                SetOfRelationship PoolOfMsg -> State {constr}
}

```

In addition to the operators instantiated from the predefined ones, it requires to define several problem specific operators in module **STATEfuns**. There are three kinds of them; (1) to represent problem specific constraints, (2) to represent invariants for the consistency between messages and local states of objects, and (3) to represent invariants for the consistency between capabilities and requirements connected by relationships. All these operators can be easily implemented by combining predefined operators.

The following is the list of them whereas argument *setCP* is a set of capabilities, *setRQ* is a set of requirements, *setRL* is a set of relationships, *node* is a node, *cap* is a capability, *req* is a requirement, *rel* is a relationship, *setlCP* is a set of local states of capabilities, *setlRQ* is a set of local states of requirements, and *pool* is a message pool¹:

- **allHostedOnCPInStates** (categorized as (1) above)
 Predicate used as **allHostedOnCPInStates**(*setCP*, *setlCP*) which holds iff every capability included in *setCP* whose type is **hostedOn** is in one of locals state in *setlCP*;
 $\forall cap \in setCP : (type(cap) = hostedOn \rightarrow state(cap) \in setlCP).$
- **allHostedOnRQInStates** (categorized as (1))
 Predicate used as **allHostedOnRQInStates**(*setRQ*, *setlRQ*) which holds iff every requirement included in *setRQ* whose type is **hostedOn** is in one of locals state in *setlRQ*;
 $\forall req \in setRQ : (type(req) = hostedOn \rightarrow state(req) \in setlRQ).$

¹Note that here we do not distinguish between an object and its identifier for the sake of brevity.

- **allHostedOnRQOfNDInStates** (categorized as (1))
 Predicate used as $\text{allHostedOnRQOfNDInStates}(\text{setRQ}, \text{node}, \text{setlRQ})$ which holds iff every requirement included in setRQ whose type is **hostedOn** and whose parent is node is in one of locals state in setlRQ ;

$$\forall req \in \text{setRQ} : (\text{type}(req) = \text{hostedOn} \wedge \text{node}(req) = \text{node} \rightarrow \text{state}(req) \in \text{setlRQ}).$$
- **getCPOfRQ** (categorized as (3))
 Operator used as $\text{getCPOfRQ}(\text{setCP}, \text{setRL}, req)$ which returns the corresponding capability of req by firstly finding the corresponding relationship of req in setRL and then finding the corresponding capability of the relationship in setCP .
- **getRQOfCP** (categorized as (3))
 Operator used as $\text{getRQOfCP}(\text{setRQ}, \text{setRL}, cap)$ which returns the corresponding requirement of cap by firstly finding the corresponding relationship of cap in setRL and then finding the corresponding requirement of the relationship in setRQ .
- **allRLHaveSameTypeCPRQ** (categorized as (1))
 Predicate used as $\text{allRLHaveSameTypeCPRQ}(\text{setRL}, \text{setCP}, \text{setRQ})$ which holds iff every relationship included in setRL has the corresponding capability included in setCP and the corresponding requirement included in setRQ and those three objects has the same type;

$$\forall rel \in \text{setRL} : \\
 (\forall cap \in \text{setCP} : \text{cap}(rel) = cap \rightarrow \text{type}(rel) = \text{type}(cap)) \wedge \\
 (\forall req \in \text{setRQ} : \text{req}(rel) = req \rightarrow \text{type}(rel) = \text{type}(req)).$$
- **allRLNotInSameND** (categorized as (1))
 Predicate used as $\text{allRLNotInSameND}(\text{setRL}, \text{setCP}, \text{setRQ})$ which holds iff every relationship included in setRL has the corresponding capability included in setCP and the corresponding requirement included in setRQ and their parent nodes are not the same;

$$\forall rel \in \text{setRL}, \exists cap \in \text{setCP}, \exists req \in \text{setRQ} : \\
 \text{cap}(rel) = cap \wedge \text{req}(rel) = req \wedge \text{node}(cap) \neq \text{node}(req).$$
- **getHostedOnRQOfND** (categorized as (1))
 Operator used as $\text{getHostedOnRQOfND}(\text{setRQ}, \text{node})$ which returns the **hostedOn** requirement in setRQ whose parent is node .
- **getHostedOnRQOfNDInStates** (categorized as (1))
 Operator used as $\text{getHostedOnRQOfNDInStates}(\text{setRQ}, \text{node}, \text{setlRQ})$ which returns the **hostedOn** requirement in setRQ whose parent is node and whose local state is in setlRQ .
- **VMOfND** (categorized as (1))
 Operator used as $\text{VMOfND}(\text{node}, \text{setND}, \text{setCP}, \text{setRQ}, \text{setRL})$ which returns the VM node which hosts node ; precisely, the operator recursively traverses **hostedOn** requirements, relationships, and capabilities starting from node and returns the first found VM node including node itself.
- **VMOfCP** (categorized as (1))
 Operator used as $\text{VMOfCP}(cap, \text{setND}, \text{setCP}, \text{setRQ}, \text{setRL})$ which returns the VM node which hosts the parent node of cap .

- **VMOfRQ** (categorized as (1))
Operator used as $\text{VMOfRQ}(req, setND, setCP, setRQ, setRL)$ which returns the VM node which hosts the parent node of req .
- **allRLHoldLocality** (categorized as (1))
Predicate used as $\text{allRLHoldLocality}(setRL, setND, setCP, setRQ)$ which holds iff every relationship included in $setRL$ satisfies the locality constraint, which means that if the type of a relationship is local, it should be between a capability and a requirement of the nodes hosted on the same virtual machine, while if the type is not local (i.e. remote), it should be between a capability and a requirement of the nodes hosted on the different virtual machines.
- **allNDHaveAtMostOneHost** (categorized as (1))
Predicate used as $\text{allNDHaveAtMostOneHost}(setND, setRQ)$ which holds iff every node included in $setND$ has 0 or 1 `hostedOn` requirement included in $setRQ$.
- **ifOpenMsgThenCPIInStates** (categorized as (2))
Predicate used as $\text{ifOpenMsgThenCPIInStates}(pool, setCP, setlCP)$ which holds iff every open message included in $pool$ has the corresponding capability which is included in $setCP$ and whose local state is in $setlCP$;

$$\forall msg \in pool : (isOpen(msg) \rightarrow (\exists cap \in setCP : cap(msg) = cap \wedge state(cap) \in setlCP)).$$
- **ifAvailableMsgThenCPIInStates** (categorized as (2))
Predicate used as $\text{ifAvailableMsgThenCPIInStates}(pool, setCP, setlCP)$ which holds iff every available message included in $pool$ has the corresponding capability which is included in $setCP$ and whose local state is in $setlCP$;

$$\forall msg \in pool : (isAvail(msg) \rightarrow (\exists cap \in setCP : cap(msg) = cap \wedge state(cap) \in setlCP)).$$
- **ifCPIInStatesThenRQInStates** (categorized as (3))
Predicate used as $\text{ifCPIInStatesThenRQInStates}(setCP, setlCP, setRQ, setlRQ, setRL)$ which holds iff every capability included in $setCP$ whose local state is included in $setlCP$ has the corresponding requirement included in $setRQ$ whose local state is in $setlRQ$;

$$\forall cap \in setCP : (state(cap) \in setlCP \rightarrow \exists rel \in setRL, req \in setRQ : (cap(rel) = cap \wedge req(rel) = req \wedge state(req) \in setlRQ)).$$
- **ifConnectsToCPIInStatesThenRQInStatesOrOpenMsg** (categorized as (2) (3))
Predicate used as $\text{ifConnectsToCPIInStatesThenRQInStatesOrOpenMsg}(setCP, setlCP, setRQ, setlRQ, setRL, pool)$ which holds iff every `connectsTo` capability included in $setCP$ whose local state is included in $setlCP$ has the corresponding requirement included in $setRQ$ whose local state is in $setlRQ$ or has the corresponding open message included in $pool$;

$$\forall cap \in setCP : (type(cap) = hostedOn \wedge state(cap) \in setlCP \rightarrow (\exists rel \in setRL, req \in setRQ : (cap(rel) = cap \wedge req(rel) = req \wedge state(req) \in setlRQ)) \vee \exists msg \in pool : cap(msg) = cap \wedge isOpen(msg)).$$

- `ifConnectsToCPInStatesThenRQInStatesOrAvailableMsg` (categorized as (2) (3))
 Predicate used as `ifConnectsToCPInStatesThenRQInStatesOrAvailableMsg(setCP, setlCP, setRQ, setlRQ, setRL, pool)` which holds iff every `connectsTo` capability included in `setCP` whose local state is included in `setlCP` has the corresponding requirement included in `setRQ` whose local state is in `setlRQ` or has the corresponding available message included in `pool`;

$$\forall cap \in setCP : (type(cap) = hostedOn \wedge state(cap) \in setlCP \rightarrow$$

$$(\exists rel \in setRL, req \in setRQ : (cap(rel) = cap \wedge req(rel) = req \wedge state(req) \in setlRQ)) \vee$$

$$\exists msg \in pool : cap(msg) = cap \wedge isAvail(msg)).$$

7.2 Behavior Model of TOSCA Templates

A type of nodes defines invocation rules of its operations. Each rule specifies when an operation can be invoked and how it changes the state of the node. A type of relationships also defines invocation rules of its operations.

As described in Section 2.4, type operations and their invocation rules should be defined by type architects. When an application architect defines a topology, the set of all type operations and the set of all invocation rules of referred node/relationship types collectively define behavior of the topology.

In the example of Fig. 2.3, we assume that behavior of four node types is the same focusing on when a node is created and started because they are the most essential for setup operations.

On the other hand, behavior of relationship types usually varies according to their nature; they may be in the IaaS layer or in the inside of VM layer, “local” or “remote”, “immediate” or “await”. Three relationship types of this example typically cover the variation. A `HostedOn` relationship is one between resources in the IaaS layer. It is “immediate”, i.e. it can be established as soon as the target node is created. Each of `DependsOn` and `ConnectsTo` relationships is between resources inside of VMs and is “await”, i.e. it should wait for the target node to be started. A `DependsOn` relationship is “local” in the same VM, while a `ConnectsTo` is “remote” to a different VM and should use some messages to notice the states of its capability to its requirement. We assume that a state of a relationship is a pair of the states of its capability and requirement in this paper for the sake of simplicity. Thereby, an operation of a relationship type changes the state of its capability or requirement.

Behavior of these types is depicted in Fig. 7.1. A solid arrow represents a state transition of each object and a dashed arrow represents an invocation of a type operation or a message sending.

There are twelve invocation rules; two of them are for node operations, two are for operations of `HostedOn` relationship, four are for `DependsOn`, and four are for `ConnectsTo`. The followings are detailed definitions of them in English:

Initial States: Every node is initially in a local state named as *initial*, every capability of the node is *closed*, and every requirement is *unbound*.

Invocation Rule of Node Type Operations:

- *CREATE* operation can be invoked if all of the `HostedOn` requirements of the node become *ready* and changes the state from *initial* to *created*.

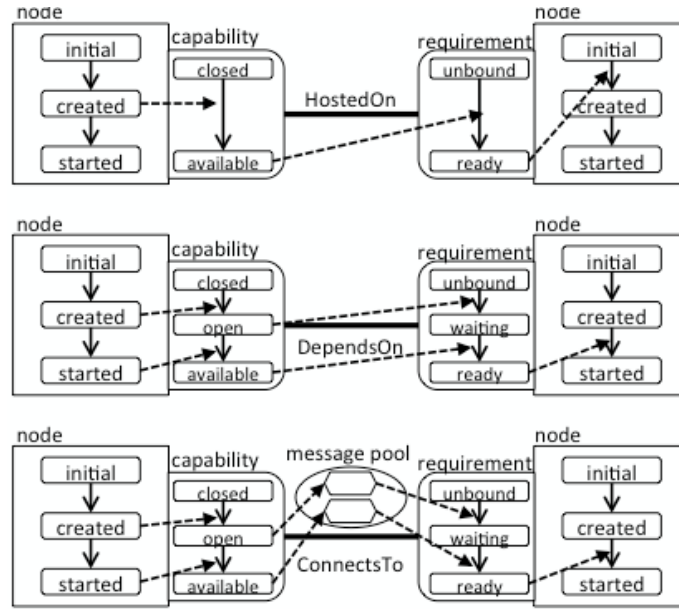


Figure 7.1: Typical Behavior of Relationship Types

- *START* operation can be invoked if all of the requirements become *ready* and changes the state from *created* to *started*.

Invocation Rule of Operations of HostedOn Relationship Type:

- *CAPAVAILABLE* operation can be invoked if the target node is already created, i.e. *created* or *started* and changes the state of its capability from *closed* to *available*.
- *REQREADY* operation can be invoked if its capability is *available* and changes the state of the requirement from *unbound* to *ready*.

Invocation Rule of Operations DependsOn Relationship Type:

- *CAOPEN* operation can be invoked if the target node is already created and changes the state of its capability from *closed* to *open*.
- *CAPAVAILABLE* operation can be invoked if the target node is *started* and changes the state of its capability from *open* to *available*.
- *REQWAITING* operation can be invoked if its capability is already activated, i.e. *open* or *available*, and the source node is *created*. It changes the state of its requirement from *unbound* to *waiting*.
- *REQREADY* operation can be invoked if its capability is *available* and changes the state of its requirement from *waiting* to *ready*.

Invocation Rule Operations of ConnectsTo Relationship Type:

- *CAOPEN* operation can be invoked if the target node is already created. It changes the state of its capability from *closed* to *open* and also issues an open message of the capability to the message pool.

- *CAPAVAILABLE* operation can be invoked if the target node is *started*. It changes the state of its capability from *open* to *available* and also issues an available message of the capability to the message pool.
- *REQWAITING* operation can be invoked if it finds an open message of its capability and the source node is *created*. It changes the state of its requirement from *unbound* to *waiting*.
- *REQREADY* operation can be invoked if it finds an available message of its capability and changes the state of its requirement from *waiting* to *ready*.

7.2.1 Representation of the Example Behavior Model

Each of twelve rules explained in English above is more formally represented by a transition rule of CafeOBJ as follows:

```

module! STATERules {
  protecting(STATEfuncs)

  -- Variables
  var TND : NDType
  vars IDND IDND1 IDND2 : NDID
  var IDCP : CPID
  var IDRQ : RQID
  var IDRL : RLID
  var SetND : SetOfNode
  var SetCP : SetOfCapability
  var SetRQ : SetOfRequirement
  var SetRL : SetOfRelationship
  var SCP : CPState
  var MP : PoolOfMsg

  -- CREATE Operation for Node Type
  ctrans [R01]:
    < (node(TND,IDND,initial) SetND), SetCP, SetRQ, SetRL, MP >
  => < (node(TND,IDND,created) SetND), SetCP, SetRQ, SetRL, MP >
  if allHostedOnRQOfNDInStates(SetRQ,IDND,ready) .

  -- START Operation for Node Type
  ctrans [R02]:
    < (node(TND,IDND,created) SetND), SetCP, SetRQ, SetRL, MP >
  => < (node(TND,IDND,started) SetND), SetCP, SetRQ, SetRL, MP >
  if allRQOfNDInStates(SetRQ,IDND,ready) .

  -- CAPAVAILABLE Operation for HostedOn Relationship Type
  ctrans [R03]:
    < SetND, (cap(hostedOn,IDCP,closed, IDND) SetCP), SetRQ, SetRL, MP >
  => < SetND, (cap(hostedOn,IDCP,available,IDND) SetCP), SetRQ, SetRL, MP >
  if isCreated(state(getNode(SetND,IDND))) .

  -- REQREADY Operation for HostedOn Relationship Type

```

```

trans [R04]:
    < SetND, (cap(hostedOn,IDCP,available,IDND1) SetCP),
              (req(hostedOn,IDRQ,unbound,IDND2) SetRQ),
              (rel(hostedOn,IDRL,IDCP,IDRQ) SetRL), MP >
=> < SetND, (cap(hostedOn,IDCP,available,IDND1) SetCP),
              (req(hostedOn,IDRQ,ready, IDND2) SetRQ),
              (rel(hostedOn,IDRL,IDCP,IDRQ) SetRL), MP > .

-- CAPOPEN Operation for DependsOn Relationship Type
ctrans [R05]:
    < SetND, (cap(dependsOn,IDCP,closed,IDND) SetCP), SetRQ, SetRL, MP >
=> < SetND, (cap(dependsOn,IDCP,open, IDND) SetCP), SetRQ, SetRL, MP >
if isCreated(state(getNode(SetND,IDND))) .

-- CAPAVAILABLE Operation for DependsOn Relationship Type
ctrans [R06]:
    < SetND, (cap(dependsOn,IDCP,open, IDND) SetCP), SetRQ, SetRL, MP >
=> < SetND, (cap(dependsOn,IDCP,available,IDND) SetCP), SetRQ, SetRL, MP >
if state(getNode(SetND,IDND)) = started .

-- REQWAITING Operation for DependsOn Relationship Type
ctrans [R07]:
    < SetND, (cap(dependsOn,IDCP,SCP,IDND1) SetCP),
              (req(dependsOn,IDRQ,unbound,IDND2) SetRQ),
              (rel(dependsOn,IDRL,IDCP,IDRQ) SetRL), MP >
=> < SetND, (cap(dependsOn,IDCP,SCP,IDND1) SetCP),
              (req(dependsOn,IDRQ,waiting,IDND2) SetRQ),
              (rel(dependsOn,IDRL,IDCP,IDRQ) SetRL), MP >
if state(getNode(SetND,IDND2)) = created and isActivated(SCP) .

-- REQREADY Operation for DependsOn Relationship Type
trans [R08]:
    < SetND, (cap(dependsOn,IDCP,available,IDND1) SetCP),
              (req(dependsOn,IDRQ,waiting,IDND2) SetRQ),
              (rel(dependsOn,IDRL,IDCP,IDRQ) SetRL), MP >
=> < SetND, (cap(dependsOn,IDCP,available,IDND1) SetCP),
              (req(dependsOn,IDRQ,ready, IDND2) SetRQ),
              (rel(dependsOn,IDRL,IDCP,IDRQ) SetRL), MP > .

-- CAPOPEN Operation for ConnectsTo Relationship Type
ctrans [R09]:
    < SetND, (cap(connectsTo,IDCP,closed,IDND) SetCP),
              SetRQ, SetRL, MP >
=> < SetND, (cap(connectsTo,IDCP,open, IDND) SetCP),
              SetRQ, SetRL, (opMsg(IDCP) MP) >
if isCreated(state(getNode(SetND,IDND))) .

-- CAPAVAILABLE Operation for ConnectsTo Relationship Type
ctrans [R10]:
    < SetND, (cap(connectsTo,IDCP,open, IDND) SetCP),

```

```

        SetRQ, SetRL, MP >
=> < SetND, (cap(connectsTo,IDCP,available,IDND) SetCP),
        SetRQ, SetRL, (avMsg(IDCP) MP) >
if state(getNode(SetND,IDND)) = started .

-- REQWAITING Operation for ConnectsTo Relationship Type
ctrans [R11]:
    < SetND, SetCP,
        (req(connectsTo,IDRQ,unbound,IDND) SetRQ),
        (rel(connectsTo,IDRL,IDCP,IDRQ) SetRL),
        (opMsg(IDCP) MP) >
=> < SetND, SetCP,
        (req(connectsTo,IDRQ,waiting,IDND) SetRQ),
        (rel(connectsTo,IDRL,IDCP,IDRQ) SetRL), MP >
if state(getNode(SetND,IDND)) = created .

-- REQREADY Operation for ConnectsTo Relationship Type
trans [R12]:
    < SetND, SetCP,
        (req(connectsTo,IDRQ,waiting,IDND) SetRQ),
        (rel(connectsTo,IDRL,IDCP,IDRQ) SetRL),
        (avMsg(IDCP) MP) >
=> < SetND, SetCP,
        (req(connectsTo,IDRQ,ready, IDND) SetRQ),
        (rel(connectsTo,IDRL,IDCP,IDRQ) SetRL), MP > .
}

```

7.3 Verification of TOSCA Templates

This section presents the verification of the liveness property of setup operations of the TOSCA models. As described in Chapter 6, reachability of setup operations of cloud systems is formalized as (*init* leads-to *final*) and there are six sufficient conditions for it.

7.3.1 Definition of Predicates

Step 0-1: Define *init* and *final*.

The initial and final states of the TOSCA models are represented in CafeOBJ as follows:

```

module! STATEfuns {
  protecting(STATE)
  ...
  -- Many operator definitions explained in Section 7.1.1
  ..
  var SetND : SetOfNode
  var SetCP : SetOfCapability
  var SetRQ : SetOfRequirement
  var SetRL : SetOfRelationship
  var MP : PoolOfMsg
  var S : State

```

```

pred init : State
eq init(< SetND,SetCP,SetRQ,SetRL,MP >)
  = not (SetND = empND) and (MP = empMsg) and
    wfs(< SetND,SetCP,SetRQ,SetRL,MP >) and
    allNDInStates(SetND,initial) and
    allCPInStates(SetCP,closed) and
    allRQInStates(SetRQ,unbound) .

pred final : State
eq final(< SetND,SetCP,SetRQ,SetRL,MP >)
  = allNDInStates(SetND,started) .

pred wfs : State
eq wfs(S)
  = wfs-uniqND(S) and wfs-uniqCP(S) and
    wfs-uniqRQ(S) and wfs-uniqRL(S) and
    wfs-allCPHaveND(S) and wfs-allRQHaveND(S) and
    wfs-allCPHaveRL(S) and wfs-allRQHaveRL(S) and
    wfs-allRLHaveCP(S) and wfs-allRLHaveRQ(S) and
    wfs-allRLHaveSameTypeCPRQ(S) and
    wfs-allRLNotInSameND(S) and
    wfs-allRLHoldLocality(S) and
    wfs-allNDHaveAtMostOneHost(S) and
    wfs-noNDCycle(S) .

pred wfs-uniqND : State
eq wfs-uniqND(< SetND,SetCP,SetRQ,SetRL,MP >)
  = uniqND(SetND) .
...
-- Similar fourteen definitions of wfs-*.
...
}

```

As described in Section 5.3.3, we need to define operators DDSC and `getAllObjInState` in order to use the Cyclic Dependency Lemma in the verification. Section 5.3.3 also describes two techniques to prove that $noCycle(X, S)$ is an invariant. One is to design each transition rule to decrease dependencies between objects when it is applied. Section 6.6 shows example proofs using this technique.

Another technique used in this chapter is to design the system having a simpler constraint where some relationship between objects have no cyclic chains. Recalling Lemma 9, we can define DDSC to implement some simpler relationship r instead of the true DDS_C and use `noCycle` defined by r instead of the true $noCycle_c$. Module `STATECyclefuns` defines an example of such DDSC:

```

module! STATECyclefuns {
  protecting(UtilFuns)

  var ND : Node
  var SetND : SetOfNode
  var SetCP : SetOfCapability

```

```

var SetRQ : SetOfRequirement
var SetRL : SetOfRelationship
var MP : PoolOfMsg

op getAllNDInState : State -> SetOfNode
eq getAllNDInState(< SetND,SetCP,SetRQ,SetRL,MP >) = SetND .

op DDSC : Node State -> SetOfNode
eq DDSC(ND,< SetND,SetCP,SetRQ,SetRL,MP >)
eq DDSC(ND,< SetND,SetCP,SetRQ,SetRL,MP >)
  = getNDsOfCPs(SetND,
                getCPsOfRLs(SetCP,
                             getRLsOfRQs(SetRL,
                                             getRQsOfND(SetRQ,ND)))) .
}

```

Since this DDSC firstly finds the corresponding requirements of the given node, then finds the corresponding capabilities of the requirements, and finally finds and returns the parents of the capabilities, the true DDS_C is obviously a subset of this DDSC. Moreover this DDSC does not refer local states of objects and twelve transition rules of the example behavior model never change links of objects. It means that $DDSC(X, S)$ for any reachable global state S from an initial state S_0 is the same as $DDS(X, S_0)$ and `noCycle` defined using DDSC is an invariant. `noCycle` can be defined using template module CYCLEPRED as follows:

```

module! STATEfuns {
  protecting(STATE)
  ...
  -- Other definitions explained above.
  ...
  extending(CYCLEPRED(
    STATECyclefuns {sort Object -> Node,
                    sort SetOfObject -> SetOfNode,
                    op empObj -> empND,
                    op getAllObjInState -> getAllNDInState})
    * {op noCycle -> noNDCycle}
  )
}

```

Step 0-2: Define *cont*. **Step 0-3:** Define *m*.

```

module! ProofBase {
  protecting(STATERules)
  vars S SS : State
  eq cont(S) = (S =(*,1)=>+ SS) .
}

```

Step 0-3: Define *m*.

```

var SetND : SetOfNode
var SetCP : SetOfCapability
var SetRQ : SetOfRequirement
var SetRL : SetOfRelationship

```

```

var MP : PoolOfMsg
op m : State -> Nat
eq m(< SetND,SetCP,SetRQ,SetRL,MP >)
  = (#NodeInStates(initial,SetND) * 2)
  + (#NodeInStates(created,SetND) * 1)
  + (#NodeInStates(started,SetND) * 0)
  + (#CapabilityInStates(closed, SetCP) * 2)
  + (#CapabilityInStates(open, SetCP) * 1)
  + (#CapabilityInStates(available,SetCP) * 0)
  + (#RequirementInStates(unbound,SetRQ) * 2)
  + (#RequirementInStates(waiting,SetRQ) * 1)
  + (#RequirementInStates(ready, SetRQ) * 0) .

```

Step 0-4: Define *inv*.

```

var SetND : SetOfNode
var SetCP : SetOfCapability
var SetRQ : SetOfRequirement
var SetRL : SetOfRelationship
var MP : PoolOfMsg
var S : State

pred inv-ifNDInitialThenRQUnboundReady : State
eq inv-ifNDInitialThenRQUnboundReady(< SetND,SetCP,SetRQ,SetRL,MP >)
  = ifNDInStatesThenRQInStates(SetND,initial,SetRQ,(unbound ready)) .
...
-- Many similar definitions of invariants.
-- 3 invariants are defined using predefined predicates.
-- 9 invariants are defined using problem specific predicates.
...

pred inv : State

-- wfs-*:
ceq inv(S) = false if not wfs-uniqND(S) .
...
-- Similar fourteen definitions for wfs-*.
...

-- inv-*:
ceq inv(S) = false if not inv-ifNDInitialThenRQUnboundReady(S) .
...
-- Similar eleven definitions for inv-*.
...

```

Step 0-5: Prepare for using the Cyclic Dependency Lemma.

For the CloudFormation example, the Cyclic Dependency Lemma is required to use for only one transition rule; R01. However, for the TOSCA example, there are two transition rules, R01 and R02 which cause cyclic situations in the verification. Thus, we need to define two lemmas in advance. One of them means that there is a contradiction when DDS_C of the specified initial

resource includes any *initial* resource. Another means that there is a contradiction when DDS_C of the specified created resource includes any created resource. They are defined as the following two conditional equations:

```
ceq [CycleR01 :nonexec]:
  true = false
  if someNDInStates(DDSC(node(T:NDType,I:NDID,initial),S:State),initial) .

ceq [CycleR02 :nonexec]:
  true = false
  if someNDInStates(DDSC(node(T:NDType,I:NDID,created),S:State),created) .
```

Step 0-6: Prepare arbitrary constants.

```
ops idND idND' idND1 idND2 idND3 : -> NDIDLt
ops idCP idCP' idCP1 idCP2 idCP3 : -> CPIDLt
ops idRQ idRQ' idRQ1 idRQ2 idRQ3 : -> RQIDLt
ops idRL idRL' idRL1 idRL2 idRL3 : -> RLIDLt
ops snd snd' snd'' snd''' : -> SetOfNode
ops scp scp' scp'' scp''' : -> SetOfCapability
ops srq srq' srq'' srq''' : -> SetOfRequirement
ops srl srl' srl'' srl''' : -> SetOfRelationship
ops tnd tnd' tnd'' tnd''' : -> NDType
ops trl trl' trl'' trl''' : -> RLType
ops snd snd' snd'' : -> NDState
ops scp scp' scp'' : -> CPState
ops srq srq' srq'' : -> RQState
op stND : -> SetOfNDState
op stCP : -> SetOfCPState
op stRQ : -> SetOfRQState
ops mp mp' : -> PoolOfMsg
op msg : -> Msg
}
```

7.3.2 Lemmas for Using Cyclic Dependency Lemma

As described in Section 6.7, it is wise to define lemmas for using the Cyclic Dependency Lemma and use them in the similar cases. For this TOSCA example, two similar lemmas are required. One lemma claims that if there is an *initial* node in a reachable global state then there exists a transition rule applicable to the global state. Here we refer to it as the *initial-cont* lemma. It can be proved as follows:

Step 1-0: Define a predicate to be proved.

Module `ProofInitialCont` defines the predicate as `invcont`. Note that we can only consider the case where `inv(S)` holds because `S` is a reachable global state.

```
module! ProofInitialCont {
  protecting(ProofBase)

  vars B1 B2 : Bool
```

```

pred (_when _) : Bool Bool { prec: 64 r-assoc }
eq (B1 when B2)
  = B2 implies B1 .

var S: State

pred invcont : State
eq invcont(S)
  = cont(S) = true
  when inv(S) .
}

```

Step 1-1: Begin with the most general case.

```

select ProofInitialCont .
:goal {
  eq invcont(< (node(tnd, idND, initial) sND), sCP, sRQ, sRL, mp >)
    = true .
}

```

Step 1-2: Think which rule is applied to the global state in the current case.
The applicable rule may be R01 because the global state includes an `initial` node.

Step 1-3: Split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.
The global state already matches to LHS of R01.

Step 1-4: Split the current case into cases where the condition of the current rule does or does not hold.

```

:csp {
  eq allHostedOnRQOfNDInStates(sRQ,idND,ready) = true .
  eq sRQ = (req(hostedOn,idRQ,unbound,idND) sRQ') .
  eq sRQ = (req(hostedOn,idRQ,waiting,idND) sRQ') .
}
-- Case 1: When all of the hostedOn requirements are ready:
:apply (rd) -- 1
-- Case 2: When there is an unbound hostedOn requirement of the node:

```

Step 1-2: Think which rule is applied to the global state in the current case.
The applicable rule may be R04 because the global state in Case 2 includes an `unbound hostedOn` requirement.

Step 1-5: When there is a dangling link, split the case into cases where the linked object does or does not exist.

```

:csp {
  eq onlyOneRLOfRQ(sRL,idRQ) = false .
  eq sRL = (rel(hostedOn,idRL,idCP,idRQ) sRL') .
}

```



```

-- Case 2-1: When the relationship of requirement idRQ does not exist:
:apply (rd) -- 2-1
-- Case 2-2: When the relationship of requirement idRQ exists:
:csp {
  eq existCP(sCP,idCP) = false .
  eq sCP = (cap(hostedOn,idCP,scp,idND') sCP') .
}
-- Case 2-2-1: When the capability of the relationship does not exist:
:apply (rd) -- 2-2-1
-- Case 2-2-2: When the capability of the relationship exists:
:ctf {
  eq idND' = idND .
}
-- Case 2-2-2-1: When the node of capability idCP is
--                      the same of requirement idRQ:
:apply (rd) -- 2-2-2-1
-- Case 2-2-2-2: When the node of capability idCP is not
--                      the same of requirement idRQ:

```

Step 1-3: Split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.

```

:csp {
  eq scp = closed .
  eq scp = open .
  eq scp = available .
}
-- Case 2-2-2-2-1: When the capability of idCP is closed:

```

Step 1-2: Think which rule is applied to the global state in the current case.

The applicable rule may be R03 because the global state in Case 2-2-2-2-1 includes an unbound hostedOn requirement.

Step 1-5: When there is a dangling link, split the case into cases where the linked object does or does not exist.

```

:csp {
  eq existND(sND,idND') = false .
  eq sND = (node(tnd',idND',snd') sND') .
}
-- Case 2-2-2-2-1-1: When the node of the capability of idCP does not exist:
:apply (rd) -- 2-2-2-2-1-1
-- Case 2-2-2-2-1-2: When the node of the capability of idCP exists:

```

Step 1-4: Split the current case into cases where the condition of the current rule does or does not hold.

```

:csp {
  eq snd' = initial .
  eq snd' = created .
  eq snd' = started .
}
-- Case 2-2-2-2-1-2-1: When the node of idND' is initial:

```

Step 1-6: When falling in a cyclic situation, use the Cyclic Dependency Lemma.

```

:init [CycleR01] by {
  T:NDType <- tnd;
  I:NDID    <- idND;
  S:State   <- < (node(tnd,idND,initial) sND) , sCP , sRQ , sRL , mp >;
}
:apply (rd) -- 2-2-2-2-1-2-1
-- Case 2-2-2-2-2: When the node of idND' is created:
:apply (rd) -- 2-2-2-2-1-2-2
-- Case 2-2-2-2-3: When the node of idND' is started:
:apply (rd) -- 2-2-2-2-1-2-3
-- Case 2-2-2-2-2: When the capability of idCP is open:
:apply (rd) -- 2-2-2-2-2
-- Case 2-2-2-2-2: When the capability of idCP is available:
:apply (rd) -- 2-2-2-2-3
-- Case 3: When there is a waiting hostedOn requirement of the node:
:apply (rd) -- 3
show proof

```

Thus, all cases are successfully proved and we can assume that $cont(S)$ holds for any reachable global state S which include an initial node.

Another similar lemma claims that if there is a created node in a global state then there exists a transition rule applicable to the global state. Here we refer to it as the *created-cont* lemma. It can be proved as follows:

Step 1-0: Define a predicate to be proved.

Module ProofCreatedCont imports predicate invcont from module ProofInitialCont and additionally introduces the initial-cont lemma proved just above because the proof of this lemma uses it. Note that the when clause is omitted from the initial-cont lemma because $inv(S)$ holds for any reachable global state S .

```

module! ProofCreatedCont {
  protecting(ProofInitialCont)

  var T : NDType
  var I : NDID
  var SetND : SetOfNode
  var SetCP : SetOfCapability
  var SetRQ : SetOfRequirement
  var SetRL : SetOfRelationship
  var M : PoolOfMsg
  -- This proof uses the initial-cont lemma.
  eq cont(< (node(T, I, initial) SetND),
          SetCP, SetRQ, SetRL, M >) = true .
}

```

Step 1-1: Begin with the most general case.

```

select ProofCreatedCont .
:goal {
  eq invcont(< (node(tnd, idND, created) sND), sCP, sRQ, sRL, mp >)

```

```

    = true .
}

```

Step 1-2: Think which rule is applied to the global state in the current case.
The applicable rule may be R02 because the global state includes a `created` node.

Step 1-3: Split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.
The global state already matches to LHS of R02.

Step 1-4: Split the current case into cases where the condition of the current rule does or does not hold.

```

:csp {
  eq allRQOfNDInStates(sRQ,idND,ready) = true .
  eq sRQ = (req(trl,idRQ,unbound,idND) sRQ') .
  eq sRQ = (req(trl,idRQ,waiting,idND) sRQ') .
}
-- Case 1: When all of the requirements are ready:
:apply (rd) -- 1
-- Case 2: When there is an unbound requirement of node idND:

```

Step 1-2: Think which rule is applied to the global state in the current case.
The applicable rule may be R04, R07, or R11 because the global state in Case 2 includes an unbound requirement and the applicable rule depends on its type.

Step 1-3: Split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.
Note that Case 2-1 is not a reachable global state because a node never becomes `created` when one of its `hostedOn` requirement is `unbound`, which makes `inv(S)` reduce to false.

```

:csp {
  eq trl = hostedOn .
  eq trl = dependsOn .
  eq trl = connectsTo .
}
-- Case 2-1: When the type of requirement idRQ is hostedOn:
:apply (rd) -- 2-1
-- Case 2-2: When the type of requirement idRQ is dependsOn:

```

Step 1-5: When there is a dangling link, split the case into cases where the linked object does or does not exist.

```

:csp {
  eq onlyOneRLOfRQ(sRL,idRQ) = false .
  eq sRL = (rel(dependsOn,idRL,idCP,idRQ) sRL') .
}
-- Case 2-2-1: When the relationship of requirement idRQ does not exist:
:apply (rd) -- 2-2-1
-- Case 2-2-2: When the relationship of requirement idRQ exists:
:csp {

```

```

    eq existCP(sCP,idCP) = false .
    eq sCP = (cap(dependsOn,idCP,scp,idND') sCP') .
}
-- Case 2-2-2-1: When the capability of the relationship does not exist:
:apply (rd) -- 2-2-2-1
-- Case 2-2-2-2: When the capability of the relationship exists:
:ctf {
    eq idND' = idND .
}
-- Case 2-2-2-2-1: When the node of capability idCP is
--                      the same of requiement idRQ:
:apply (rd) -- 2-2-2-2-1
-- Case 2-2-2-2-2: When the node of capability idCP is not
--                      the same of requiement idRQ:

```

Step 1-3: Split the current case into cases which collectively cover the current case and one of which matches to LHS of the current rule.

```

:csp {
    eq scp = closed .
    eq scp = open .
    eq scp = available .
}
-- Case 2-2-2-2-2-1: When capability idCP is closed:

```

Step 1-2: Think which rule is applied to the global state in the current case.

The applicable rule may be R05 because the global state in Case 2-2-2-2-2-1 includes an closed dependsOn capability.

Step 1-5: When there is a dangling link, split the case into cases where the linked object does or does not exist.

```

:csp {
    eq existND(sND,idND') = false .
    eq sND = (node(tnd',idND',snd') sND') .
}
-- Case 2-2-2-2-2-1-1: When the node of capability idCP does not exist:
:apply (rd) -- 2-2-2-2-2-1-1
-- Case 2-2-2-2-2-1-2: When the node of capability idCP exists:

```

Step 1-4: Split the current case into cases where the condition of the current rule does or does not hold.

```

:csp {
    eq snd' = initial .
    eq snd' = created .
    eq snd' = started .
}
-- Case 2-2-2-2-2-1-2-1: When node idND' is initial:
:apply (rd) -- 2-2-2-2-2-1-2-1
-- Case 2-2-2-2-2-1-2-2: When node idND' is created:
:apply (rd) -- 2-2-2-2-2-1-2-2

```

```

-- Case 2-2-2-2-2-1-2-3: When node idND' is started:
:apply (rd) -- 2-2-2-2-2-1-2-3
-- Case 2-2-2-2-2-2: When capability idCP is open:
:apply (rd) -- 2-2-2-2-2-2
-- Case 2-2-2-2-2-3: When capability idCP is available:
:apply (rd) -- 2-2-2-2-2-3
-- Case 2-3: When the type of requirement idRQ is connectsTo:
...
-- Proved similarly as Case 2-2-*
...
-- Case 3: When there is a waiting requirement of node idND:
...
-- Proved similarly as Case 2-*
...

```

Note that Case 2-2-2-2-2-1-2-1 is proved by the initial-cont lemma, Case 2-2-2-2-2-1-2-2 and 2-2-2-2-2-1-2-3 are proved because R05 is applicable, and Case 2-2-2-2-2-2 and 2-2-2-2-2-3 are proved because R07 is applicable. Then, Case 2-3 is split into totally 21 cases all of which are proved similarly as split cases of Case 2-2. Case 3 is also split into totally 33 cases all of which are proved similarly as split cases of Case 2. Thus, all cases are successfully proved and we can assume that $cont(S)$ holds for any reachable global state S which include a created node.

7.3.3 Proof of Condition (1)

Step 1-0: Define a predicate to be proved.

Predicate `initcont` to represent condition (1) can be defined as follows:

```

var S : State
pred initcont : State .
eq initcont(S) = init(S) implies cont(S) .

```

Step 1-1: Begin with the most general case.

In the most general case for proof of condition (1), the global state consists of arbitrary constants every of which represents an arbitrary set of objects of each class. For the CloudFormation example case, the most general case is as follows where `sRS` and `sPR` are arbitrary constants for a set of resources and properties respectively:

```
:goal {eq initcont(< sRS, sPR >) = true .}
```

This case is too general to judge whether the condition does or does not hold. Thereby, no reduction occurs.

Step 1-2: Think which rule is applied to the initial state in an unproved case.

One of the main benefits of interactive proof development is that thinking through meaning of the specification leads to deep understanding of it. If the developer of proofs cannot find the first applied rule, it means insufficient understanding of the specification. For the CloudFormation example case, the first rule is R01.

Step 1-3: Split the general case into cases which collectively cover the general case and one of which matches to LHS of the first rule.

Since LHS of rule R01 requires the global state to have at least one *initial* resource, the case is split into three more cases; no resource, at least one *initial* or *started* resource. In the following proof score, *trs*, *idRS*, and *sRS'* are arbitrary constants for a type of the resource, an identifier of the resource, and a set of resources respectively.

```
:csp {
  eq sRS = empRS .
  eq sRS = (res(trs,idRS,srs) sRS') .
}
-- Case 1: When there is no resource:
:apply (rd) -- 1
-- Case 2: When there is a resource:
-- The state of the resource is initial or started.
:csp {
  eq srs = initial .
  eq srs = started .
}
-- Case 2-1: When the resource is initial:
... -- More case splitting needed.
-- Case 2-2: When the resource idRS is started:
:apply (rd) -- 2-2
```

Note that *res(trs,idRS,srs)* represents an arbitrary resource. The goal of Case 1 is proved because *wfs-atLeastOneRS(S)* does not hold and thus *init(S)* does not hold. The goal of Case 2-2 is also proved because *allRSInStates(SetRS,initial)* does not hold. Only Case 2-1 remains too general.

Step 1-4: Split the first rule case into cases where the condition of the rule does or does not hold.

Since the condition of rule R01 requires all properties of the *initial* resource are *ready*, Case 2-1 is split into two more cases; all properties are or are not *ready*. As explained in Section 5.3, the Set Lemma ensures that these cases are represented as follows where *tpr*, *idPR*, *idRRS*, and *sPR'* are arbitrary constants for a type of the property, an identifier of the property, an identifier of a resource referred by the property, and a set of properties respectively:

```
-- Case 2-1: When the resource is initial:
-- The condition of R01 is allPROfRSInStates(sPR,idRS,ready) .
:csp {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
  eq sPR = (prop(tpr,idPR,notready,idRS,idRRS) sPR') .
}
-- Case 2-1-1: When all of properties of the resource are ready.
:apply (rd) -- 2-1-1
-- Case 2-1-2: When there is a not-ready property of the resource:
... -- More case splitting needed.
```

Note that *prop(tpr,idPR,notready,idRS,idRRS)* represents an arbitrary *notready* property whose parent is *idRS*. In Case 2-1-1, rule R01 can be applied, which means *cont(S)* holds. Only Case 2-1-2 remains too general.

Step 1-5: When there is a dangling link, split the case into cases where the linked object does or does not exist.

In Case 2-1-2, a property has a link to a resource with identifier `idRRS`. Thereby, it is split into three more cases; a resource with identifier `idRRS` does not exist, does exist and it is `initial` or `started`. The nonexistence can be represented as predefined predicate `existObj` (renamed to `existRS` in this case) does not hold. Case 2-1-2 is split into the following three cases.

```
-- Case 2-1-2: When there is a not-ready property of the resource:
-- The resource referred by the property does or does not exist.
:csp {
  eq existRS(sRS',idRRS) = false .
  eq sRS' = (res(trs',idRRS,srs') sRS') .
}
-- Case 2-1-2-1: When the referred resource does not exist:
:apply (rd) -- 2-1-2-1
-- Case 2-1-2-2: When the referred resource exists:
-- The state of the resource is initial or started.
:csp {
  eq srs' = initial .
  eq srs' = started .
}
-- Case 2-1-2-2-1: When the resource idRRS is initial:
... -- More consideration needed.
-- Case 2-1-2-2-2: When the resource idRRS is started:
:apply (rd) -- 2-1-2-2-2
```

The goal of Case 2-1-2-1 is proved because `wfs-allPRHaveRRS(S)` does not holds and the goal of Case 2-1-2-2-2 is proved because `allRSInStates(SetRS,initial)` does not holds. Only Case 2-1-2-2-1 remains.

Step 1-6: When falling in a cyclic situation, use the Cyclic Dependency Lemma.

Since `noRSCycle` is included in the `init` condition and the resource `idRS` is `initial`, the Cyclic Dependency Lemma ensures there exists some `initial` resource `RS` such that no resource in `DDSC(RS,S)` is `initial`. Recalling that we chose `idRS` as an arbitrary `initial` resource in Step 1-3, we can assume that itself is such `RS` and can claim that there is a contradiction when its $DDSC$ includes any `initial` resource using a `:init` command as follows:

```
-- Case 2-1-2-2-1: When the resource idRRS is initial:
-- The Cyclic Dependency Lemma rejects this case.
:init [Cycle] by {
  RS:Resource <- res(trs,idRS,initial);
  S:State <- < sRS, sPR >;
}
:apply (rd) -- 2-1-2-2-1
```

Variable `RS` is substituted by the resource `idRS` and `S` is substitute by the current state. Since $DDSC$ of the resource includes resource `res(trs',idRRS,initial)`, there is a contradiction and the goal of this case is proved.

The following is the result of a “`show proof`” command, which shows that goals of all split cases are proved and thus condition (1) is proved.

```

root*
[csp] 1*
[csp] 2*
[csp] 2-1*
[csp] 2-1-1*
[csp] 2-1-2*
[csp] 2-1-2-1*
[csp] 2-1-2-2*
[csp] 2-1-2-2-1*
[csp] 2-1-2-2-2*
[csp] 2-2*

```

7.3.4 Proof of Condition (2)

Step 2-0: Define a predicate to be proved.

Using the double negation idiom in Section 3.3, predicate `contcont` for condition (2) can be defined as follows:

```

vars S SS : State
var CC : Bool

pred ccont : State State
eq ccont(S,SS)
  = inv(S) and not final(S) implies cont(SS) or final(SS) .

pred contcont : State
eq contcont(S)
  = not (S =(*,1)=>+ SS if CC suchThat
    not ((CC implies ccont(S,SS)) == true)
    { ture }) .

```

Step 2-1: Begin with the cases each of which matches to LHS of each rule.

Since condition (2) checks every possible next state of a given state S , we only need to prove the cases each of which matches to each rule. For the CloudFormation example case, we can begin with two cases for two rules as follows, which are too general:

```

-- Goal of Condition (2) for rule R01
:goal {
  eq contcont(< (res(trs,idRS,initial) sRS), sPR >) = true .
}

-- Goal of Condition (2) for rule R02
:goal {
  eq contcont(< (res(trs,idRRS,started) sRS),
    (prop(tpr,idPR,notready,idRS,idRRS) sPR) >) = true .
}

```

The rest of this section describes the procedure for condition (2) using the case of rule R01 as an example. The case of rule R02 will be explained in Section 6.7.

Step 2-2: Split the most general case for a rule into cases where the condition of the rule does or does not hold.

Since the condition of rule R01 requires all properties of the initial resource are ready, the root case is split into two cases; all properties are or are not ready.

```
-- The condition of R01 does or does not hold for the resource of idRS.
:ctf {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
}
-- Case 1: When the condition of R01 holds:
... -- More case splitting needed
-- Case 2: When the condition of R01 does not hold:
:apply (rd) -- 2
```

Remember that in Step 1-4 explained above, we used a :csp command for case splitting based on the condition of rule R01 because we need more consideration for the negative case. In Step 2-2, we can simply use a :ctf command, since Case 2 has no next state and its goal can be proved. Thereby, only Case 1 remains too general.

Step 2-3: Split the rule applied case into cases where predicate *final* does or does not hold in the next state.

In Case 1, rule R01 makes an initial resource transit to started and the next state becomes a final state if all other resources included the set of resources sRS are already started. Otherwise there is at least one other initial resource. Using the Set Lemma, we can split the case as follows where trs', idRS', and sRS' are arbitrary constants for a type of the resource, an identifier of the resource, and a set of resources respectively:

```
-- Case 1: When the condition of R01 holds for the resource of idRS:
-- All of the other resources are or are not started.
:csp {
  eq allRSInStates(sRS,started) = true .
  eq sRS = (res(trs',idRS',initial) sRS') .
}
-- Case 1-1: When all of the other resources are started:
:apply (rd) -- 1-1
-- Case 1-2: When there is an initial resource:
... -- More case splitting needed
```

The goal of Case 1-1 is proved because the next state is final. Case 1-2 remains too general.

Step 2-4: Similarly as Step 1-2, think which rule can be applied to the next state.

Since the next state in Case 1-2 includes an initial resource with identifier idRS', rule R01 can be applied to it.

Step 2-5: Similarly as Step 1-3, split the general case into cases which collectively cover the general case and one of which matches to LHS of the applicable rule.

In this example, the case already matches to LHS of rule R01.

Step 2-6: Similarly as Step 1-4, split the general case into cases where the condition of the applicable rule does or does not hold.

Again the Set lemma can be used similarly as Step 1-4 as follows:

```
-- Case 1-2: When there is an initial resource:
```

```

:csp {
  eq allPROfRSInStates(sPR,idRS',ready) = true .
  eq sPR = (prop(tpR,idPR,notready,idRS',idRRS) sPR') .
}
-- Case 1-2-1: When all of properties of the resource idRS' are ready.
:apply (rd) -- 1-2-1
-- Case 1-2-2: When at least one of properties is not-ready.
-- Because sPR is redefined,
-- allPROfRSInStates(sPR,idRS,ready) should be claimed again.
:set(normalize-init,on)
:init ( ceq B1:Bool = true if not B2:Bool . ) by {
  B1:Bool <- allPROfRSInStates(sPR,idRS,ready) ;
  B2:Bool <- allPROfRSInStates(sPR,idRS,ready) == true ;
}
:set(normalize-init,off)
... -- More consideration needed.

```

The goal of Case 1-2-1 is proved. Case 1-2-2 remains and this is somewhat troublesome for CafeOBJ system.

Remember that in Step 2-2 we already introduced an equation which claims that every property of the resource idRS in the set of properties sPR is ready. Here in Case 1-2-2, we need to define that sPR has a notready property idPR (consequently its parent should not be the resource idRS) and the rest of properties are included in the set sPR'. This breaks the confluence property of equations; when reducing the term allPROfRSInStates(sPR,idRS,ready), it reduces to true if CafeOBJ firstly uses the equation introduced in Step 2-2. But if CafeOBJ firstly uses the equation introduced here, it reduces to allPROfRSInStates(sPR',idRS,ready) and what we hope is the former. However we should not break the confluence property, it is a trade-off between the ideal and the consistent case splitting manner. What is more important is to keep proof scores independent from the reduction strategy of CafeOBJ system. To do so, we have to write the proof score such as it does nothing when allPROfRSInStates(sPR,idRS,ready) reduces to true but otherwise it claims that the term reduces to true, which is the work of the :init command above.

The command :set(normalize-init,on) means that substituted variables should be reduced to normal forms when the equation is introduced by the :init command; its default option is off. When the variable B1 reduces to true, B2 also reduces to true and the equation to be introduced becomes “ceq true = true if not true .” which has no meaning because the condition part never holds. When B1 reduces to allPROfRSInStates(sPR',idRS,ready), B2 reduces to false and thus the equation to be introduced becomes as follows, which we want to claim:

```
ceq allPROfRSInStates(sPR',idRS,ready) = true if not false .
```

Step 2-7: Similarly as Step 1-5, when there is a dangling link, split the case into cases where the linked object does or does not exist.

In Case 1-2-2, a property has a link to a resource with identifier idRRS. Thereby, it is split into three more cases; a resource with identifier idRRS does not exist, does exist and it is initial or started. The nonexistence can be represented as predefined predicate existRS does not hold. Case 1-2-2 is split into the following three cases:

```
-- Case 1-2-2: When at least one of properties is not-ready.
```

```

... -- Consideration above needed.
-- The resource referred by the property does or does not exist.
:csp {
  eq existRS(sRS',idRRS) = false .
  eq sRS' = (res(trs'',idRRS,srs'') sRS'') .
}
-- Case 1-2-2-1: When the referred resource does not exist:
:apply (rd) -- 1-2-2-1
-- Case 1-2-2-2: When the referred resource exists:
-- The state of the resource is initial or started.
:csp {
  eq srs'' = initial .
  eq srs'' = started .
}
-- Case 1-2-2-2-1: When the resource idRRS is initial:
... -- More consideration needed.
-- Case 1-2-2-2-2: When the resource idRRS is started:
:apply (rd) -- 1-2-2-2-2

```

The goal of Case 1-2-2-1 is proved because `wfs-allPRHaveRRS(S)` does not holds and then `inv(S)` does not hold described in Section 6.1. The goal of Case 1-2-2-2-2 is also proved because the `notready` property `idPR` refers the `started` resource and so rule `R02` is applicable. Only Case 1-2-2-2-1 remains.

Step 2-8: Similarly as Step 1-6, when falling in a cyclic situation, use the Cyclic Dependency Lemma

Assuming `noRSCycle` is proved to be an invariant, we can use the Cyclic Dependency Lemma in any reachable state. In Case 1-2-2-2-1, there is a `initial` resource `idRS'` and so the lemma ensures there exists some `initial` resource `RS` such that no resource in `DDSC(RS,S)` is `initial`. Recalling that we chose `idRS'` as an arbitrary `initial` resource in Step 2-3, we can assume that itself is such `RS` and can claim that there is a contradiction when its `DDSC` includes any `initial` resource using a `:init` command as follows:

```

-- The Cyclic Dependency Lemma rejects this case.
:init [Cycle] by {
  RS:Resource <- res(trs',idRS',initial);
  S:State <- < (res(trs,idRS,initial) sRS), sPR >;
}
:apply (rd) -- 1-2-2-2-1

```

The goal of this case is proved by the contradiction.

The following is the result of a “`show proof`” command, which shows that goals of all split cases are proved and thus condition (1) is proved.

```

root*
[ctf] 1*
[csp] 1-1*
[csp] 1-2*
[csp] 1-2-1*
[csp] 1-2-2*
[csp] 1-2-2-1*

```

```

[csp] 1-2-2-2*
[csp] 1-2-2-2-1*
[csp] 1-2-2-2-2*
[ctf] 2*

```

7.3.5 Proof of Condition (3)

Since the antecedent part of condition (3) is equivalent to (2), the proof procedure of (3) is almost the same as of (2).

Step 3-0: Define a predicate to be proved.

Using the double negation idiom in Section 3.3, predicate `mesmes` for condition (3) can be defined as follows:

```

vars S SS : State
var CC : Bool

pred mmes : State State .
eq mmes(S,SS)
  = inv(S) and not final(S) implies m(S) > m(SS) .

pred mesmes : State .
eq mesmes(S)
  = not (S =(*,1)=>+ SS if CC suchThat
        not ((CC implies mmes(S,SS)) = true)
        { true }) .

```

Step 3-1: Begin with the cases each of which matches to LHS of each rule.

Step 3-2: Split the most general case for a rule into cases where the condition of the rule does or does not hold.

For the CloudFormation example case, we can begin with two cases for two rules. Since rule R01 is conditional, the general case should be split into two cases according to Step 3-2. Then, the goals of totally three cases can be proved and thus condition (3) is proved as follows:

```

-- Goal of Condition (3) for rule R01
:goal {
  eq mesmes(< (res(trs,idRS,initial) sRS), sPR >) = true .
}
-- The condition of R01 does or does not hold for S.
:ctf {
  eq allPROfRSInStates(sPR,idRS,ready) = true .
}
-- Case 1: When the condition of R01 holds:
:apply (rd) -- 1
-- Case 1: When the condition of R01 does not hold:
:apply (rd) -- 2

-- Goal of Condition (3) for rule R02
:goal {
  eq mesmes(< (res(trs,idRRS,started) sRS),

```

```

                (prop(tp, idPR, notready, idRS, idRRS) sPR) >) = true .
    }
    :apply (rd) -- goal

```

7.3.6 Proof of Condition (4)

Generally speaking, when the antecedent part of a goal includes disjunctions, it requires much consideration for case splitting in order to collectively cover the general case. Fortunately, we can use the following equivalent condition instead of condition (4) which includes no disjunction in its antecedent part.

$$\forall S \in St : ((inv(S) \wedge cont(S) \wedge (m(S) = 0)) \rightarrow final(S)) \quad (4')$$

Step 4-0: Define a predicate to be proved.

Predicate `mesfinal` for condition (4') can be defined as follows:

```

var S : State
pred mesfinal : State .
eq mesfinal(S)
    = inv(S) and cont(S) and m(S) = 0 implies final(S) .

```

Step 4-1: Use natural number axioms.

Since the measuring function m is defined as the sum of natural numbers, $m(S) = 0$ means each of the numbers is also zero. Thereby, when either `#ResourceInStates(initial, sRS)` or `#PropertyInStates(notready, sPR)` is not zero, $m(S) = 0$ does not hold and the goal is proved. In order to perform such inference, several natural number axioms are required as follows:

```

vars N1 N2 : Nat
var Nz : NzNat
eq (N1 + N2 = 0) = (N1 = 0) and (N2 = 0) .
eq (Nz = 0) = false .

```

Note that `Nz` is a subsort of `Nat` which does not include `0`.

Step 4-2: Begin with the cases each of which matches to LHS of each rule.

Step 4-3: Split the most general case for a rule into cases where the condition of the rule does or does not hold.

For the CloudFormation example case, we can begin with two cases for two rules. Since rule `R01` is conditional, the general case should be split into two cases according to Step 4-3. Then, the goals of totally three cases can be proved and thus condition (4') is proved as follows:

```

-- Goal of Condition (4)' for rule R01
:goal {
    eq mesfinal(< (res(trs, idRS, initial) sRS), sPR >) = true .
}
-- The condition of R01 does or does not hold for S.
:ctf {
    eq allPROfRSInStates(sPR, idRS, ready) = true .

```

```

}
-- Case 1: When the condition of R01 holds:
:apply (rd) -- 1
-- Case 2: When the condition of R01 does not hold:
:apply (rd) -- 2

-- Goal of Condition (4)' for rule R02
:goal {
  eq mesfinal(< (res(trs,idRRS,started) sRS),
              (prop(tpr,idPR,notready,idRS,idRRS) sPR) >) = true .
}
:apply (rd) -- goal

```

7.3.7 Proof of Condition (5) & (6)

Since *inv* is a conjunction of typically many predicates, it is better to prove each of them separately. Suppose $inv(S) = inv_1(S) \wedge inv_2(S) \wedge \dots \wedge inv_n(S)$, then we can separately prove each $inv_k(S)$ as an invariant since the followings hold:

$$\forall S \in St : (\forall k : init(S) \rightarrow inv_k(S)) \rightarrow (init(S) \rightarrow inv(S))$$

$$\forall (S, S') \in Tr : (\forall k : inv(S) \rightarrow inv_k(S')) \rightarrow (inv(S) \rightarrow inv(S'))$$

The rest of this section describes the proof procedure for three typical kinds of invariants in the CloudFormation example case.

Chapter 8

Related Work and Conclusion

8.1 Related Work

8.1.1 Formal Approach for Cloud Orchestration

Salaün, G., et al. [5, 16, 17] designed a system setup protocol and demonstrated to verify a liveness property of the protocol using their model checking method. They checked about 150 different models of system including from four to fifteen components in which from 1.4 thousand to 1.4 million transitions are generated and checked. They found a bug of their specification because checked models fortunately included error cases. The model checking method can verify correctness of checked models and so they should include all boundary cases. In our formalization, the specification itself is verified by interactive theorem proving in which all boundary cases are necessary in consideration in a systematic way. It achieves structural and deep understanding that is required to develop trusted systems.

8.1.2 Next Version of OASIS TOSCA

OASIS TOSCA TC currently discusses the next version (v1.1) to define a standard set of nodes, relationships, and operations [13]. It is planned to use state machines to describe behavior of the standard operations, which is a similar approach as ours. However, the usage is limited to clarify the descriptions of the standard and the way for type architects to define behavior of their own types is out of the scope of standardization. We provide a more general formalization for the domain of cloud orchestration and also provide a framework for developing specifications and their proofs.

8.2 Future Issues

While more than half of invariants and lemmas for the TOSCA specification can be easily defined using predefined predicates and lemmas, extension of our framework is desired to reduce problem specific coding and proving. The general formalization for messaging mechanism and type system is required.

CloudFormation provides a default roll back mechanism when an operation failure occurs but it requires manual operations when the roll back also fails. On the other hand, the current version of TOSCA does not manage operation failures and it focuses on declaratively defining expected configurations of cloud systems. A possible future extension of TOSCA may be

to define alternative configurations in failure cases, which we think we can easily extend our formalization to handle.

In this paper, we explain our framework using examples of system setup operations of cloud systems because cloud orchestration tools currently focus on them. However, TOSCA is designed to be used for any types of system operations such as scale-out and scale-in. One of the main difficulties to specify scale-in/out operations is that they dynamically change the structure of cloud systems, for which our framework should be enforced from two points of view. Firstly, some additional guidance is required to design state measuring functions, especially for the case of scale-out where the number of resources in the system will increase. Secondly, while the user of our framework is left responsible for showing that `noCycle(S)` is an invariant, it may be not a trivial work as to dynamic structure. Some constraint should be introduced in the cloud system structure to keep acyclicity of dependency. One possible solution is to assume a partial order of types of objects and to allow transition rules to produce dependency only in the descending order.

8.3 Conclusion

A general formalization of declarative cloud orchestration is proposed and a framework is provided for interactive developing proof scores. The framework provides a general model and a procedure for verifying leads-to properties of declarative cloud orchestration. The procedure systematically assists the verification process and makes its generic part be routine work whose efforts are reduced by the provided logic templates and predicate libraries. As a result, a verification engineer can concentrate on the work specific to the individual problem.

A related work applied their model checking method to a typical problem in the domain of cloud orchestration, in which many of finite-state systems were checked. Our framework is more general to be applied to different kinds of models in the domain and to be used for interactive theorem proving which can verify systems of arbitrary many number of states in a significantly systematic way.

All CafeOBJ codes of the framework and example proof scores can be downloaded at <https://github.com/yuki-yoshida/JAIST>.

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