



几何空间与 n 维向量空间疑难分析

电子科技大学

王转德 副教授



一. 向量组线性相关(无关)及线性表出的基本概念

1. 向量组 $\alpha_1, \alpha_2, \dots, \alpha_m$ 线性相关

\Leftrightarrow 存在不全为零的数 k_1, k_2, \dots, k_m , 使得 $k_1\alpha_1 + k_2\alpha_2 + \dots + k_m\alpha_m = 0$

2. 向量组 $\alpha_1, \alpha_2, \dots, \alpha_m$ 线性无关

\Leftrightarrow " $k_1\alpha_1 + k_2\alpha_2 + \dots + k_m\alpha_m = 0 \Rightarrow k_1 = k_2 = \dots = k_m = 0$ "

3. $A = (\alpha_1, \alpha_2, \dots, \alpha_n) \quad R\{\alpha_1, \alpha_2, \dots, \alpha_n\}$

(1) $\alpha_1, \alpha_2, \dots, \alpha_n (\in R^m)$ 线性相关 $\Leftrightarrow R(A) < n \Leftrightarrow Ax = 0$ 有非零解

(2) $\alpha_1, \alpha_2, \dots, \alpha_n (\in R^m)$ 线性无关 $\Leftrightarrow R(A) = n \Leftrightarrow Ax = 0$ 只有零解

4. β 可由 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性表出

\Leftrightarrow 存在 k_1, k_2, \dots, k_n , 使得 $\beta = k_1\alpha_1 + k_2\alpha_2 + \dots + k_n\alpha_n$

5. b 可由 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性表出 $\Leftrightarrow Ax = b$ 有解 $\Leftrightarrow R(A) = R(A|b)$



6. 向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性相关

\Leftrightarrow 存在一个向量可由其余的线性表出

7. 向量组(I): $\alpha_1, \alpha_2, \dots, \alpha_s$ 可由向量组(II): $\beta_1, \beta_2, \dots, \beta_t$ 线性表出

$$\Rightarrow R(I) \leq R(II).$$

8. 向量组(I): $\alpha_1, \alpha_2, \dots, \alpha_s$ 与向量组(II): $\beta_1, \beta_2, \dots, \beta_t$ 等价

$$\Rightarrow R(I) = R(II).$$



1. 设 $\alpha_1, \alpha_2, \dots, \alpha_r$ 可由 $\beta_1, \beta_2, \dots, \beta_s$ 线性表示, 则 r 与 s 的关系是()

- (A) $r \leq s$ (B) $r \geq s$ (C) $r < s$ (D) r 与 s 无关.

答案:(D).

注:

$\alpha_1, \alpha_2, \dots, \alpha_r$ 可由 $\beta_1, \beta_2, \dots, \beta_s$ 线性表出 $\begin{cases} (1) \alpha_1, \alpha_2, \dots, \alpha_r \text{ 线性无关} \Rightarrow r \leq s \\ (2) r > s \Rightarrow \alpha_1, \alpha_2, \dots, \alpha_r \text{ 线性相关} \end{cases}$

例:

(1): (I) $\begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \end{pmatrix};$ (II) $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$

(2): (I) $\begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix};$ (II) $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$

(3): (I) $\begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \end{pmatrix};$ (II) $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix}.$



2. 设 $\alpha_1, \alpha_2, \alpha_3$ 线性相关, $\alpha_2, \alpha_3, \alpha_4$ 线性无关, 证明:

(1). α_1 能由 α_2, α_3 线性表出;

(2). α_4 不能由 $\alpha_1, \alpha_2, \alpha_3$ 线性表出.

证明:

(1) $\alpha_2, \alpha_3, \alpha_4$ 线性无关 $\Rightarrow \alpha_2, \alpha_3$ 线性无关 $\Rightarrow \alpha_1$ 可由 α_2, α_3 线性表出

又 $\alpha_1, \alpha_2, \alpha_3$ 线性相关 $\Rightarrow \alpha_1 = l_2\alpha_2 + l_3\alpha_3$

(2) 若 α_4 可由 $\alpha_1, \alpha_2, \alpha_3$ 线性表出 $\Leftrightarrow \alpha_4 = k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3 \Rightarrow$

$\alpha_4 = k_1(l_2\alpha_2 + l_3\alpha_3) + k_2\alpha_2 + k_3\alpha_3 = (k_1l_2 + k_2)\alpha_2 + (k_1l_3 + k_3)\alpha_3$, 矛盾!



3. 已知 $\alpha_1, \alpha_2, \alpha_3$ 线性无关, 证明: $\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_1$ 线性无关.

证(法1): 令 $k_1(\alpha_1 + \alpha_2) + k_2(\alpha_2 + \alpha_3) + k_3(\alpha_3 + \alpha_1) = 0$

$$\Leftrightarrow (k_1 + k_3)\alpha_1 + (k_1 + k_2)\alpha_2 + (k_2 + k_3)\alpha_3 = 0$$

$\alpha_1, \alpha_2, \alpha_3$ 线性无关 \Updownarrow

$$\begin{cases} k_1 + k_3 = 0 \\ k_1 + k_2 = 0 \\ k_2 + k_3 = 0 \end{cases}, \left(\begin{vmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{vmatrix} = 2 \neq 0 \right) \Rightarrow k_1 = k_2 = k_3 = 0$$

$\Rightarrow \alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_1$ 线性无关.

(P₁₂₈:Ex9; P₁₃₁:Ex4)



3. 已知 $\alpha_1, \alpha_2, \alpha_3$ 线性无关, 证明: $\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_1$ 线性无关.

分析: 令 $\beta_1 = \alpha_1 + \alpha_2, \beta_2 = \alpha_2 + \alpha_3, \beta_3 = \alpha_3 + \alpha_1$

" $\beta_1, \beta_2, \beta_3$ 线性无关 $\Leftrightarrow B = (\beta_1, \beta_2, \beta_3), R(B) = 3$ "
(相关) ($<$)

证(法2): $B = (\beta_1, \beta_2, \beta_3) = (\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_1) = \underbrace{(\alpha_1, \alpha_2, \alpha_3)}_A \underbrace{\begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}}_P$

" $|P| = 2 \neq 0 \Leftrightarrow P$ 可逆" \Downarrow

$$R(B) = R(AP) = R(A)$$

$\alpha_1, \alpha_2, \alpha_3$ 线性无关 $\Leftrightarrow R(A) = 3 \Leftrightarrow R(B) = 3 \Leftrightarrow \beta_1, \beta_2, \beta_3$ 线性无关

$\alpha_1, \alpha_2, \alpha_3$ 线性无关 $\Leftrightarrow \beta_1, \beta_2, \beta_3$ 线性无关



4. 已知 $\alpha_1, \alpha_2, \dots, \alpha_m; \beta_1 = \alpha_1 + \alpha_2, \beta_2 = \alpha_2 + \alpha_3, \dots, \beta_m = \alpha_m + \alpha_1$.

证明:(1) $m=2k \Rightarrow \beta_1, \beta_2, \dots, \beta_m$ 线性相关;

(2) $m = 2k + 1$,

$\alpha_1, \alpha_2, \dots, \alpha_m$ 线性无关 $\Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$ 线性无关.

分析: " $\beta_1, \beta_2, \dots, \beta_m$ 线性无关 $\Leftrightarrow B = (\beta_1, \beta_2, \dots, \beta_m), R(B) = m$ "
(相关) ($<$)

证: $B = (\beta_1, \beta_2, \dots, \beta_m) = (\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \dots, \alpha_m + \alpha_1)$

$$= (\alpha_1, \alpha_2, \dots, \alpha_m) \begin{pmatrix} 1 & 0 & \dots & 0 & 1 \\ 1 & 1 & \ddots & & 0 \\ 0 & 1 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & 1 & 0 \\ 0 & \dots & 0 & 1 & 1 \end{pmatrix} = AP$$



$$|P| = \begin{vmatrix} 1 & 0 & \cdots & 0 & 1 \\ 1 & 1 & \ddots & & 0 \\ 0 & 1 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & 1 & 0 \\ 0 & \cdots & 0 & 1 & 1 \end{vmatrix} = 1 + (-1)^{m+1} = \begin{cases} 0, & m = 2k \\ 2, & m = 2k + 1 \end{cases}$$

(1) $m = 2k \Rightarrow P$ 不可逆 $\Leftrightarrow R(P) < m \Rightarrow R(B) = R(AP) \leq R(P) < m$

$\Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$ 线性相关

(2) $m = 2k + 1 \Rightarrow P$ 可逆 $\Rightarrow R(B) = R(AP) = R(A)$

$\Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$ 与 $\alpha_1, \alpha_2, \dots, \alpha_m$ 有相同的相关与无关性

注: $m = 2k + 1$ 时,

$\alpha_1, \alpha_2, \dots, \alpha_m$ 线性无关 $\Leftrightarrow R(A) = m \Leftrightarrow R(B) = m \Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$ 线性无关

$\alpha_1, \alpha_2, \dots, \alpha_m$ 线性相关 $\Leftrightarrow R(A) < m \Leftrightarrow R(B) < m \Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$ 线性相关



思考. 已知 $\alpha_1, \alpha_2, \dots, \alpha_m; \beta_1 = \alpha_1, \beta_2 = \alpha_1 + \alpha_2, \dots, \beta_m = \alpha_1 + \alpha_2 + \dots + \alpha_m$.

证明: $\alpha_1, \alpha_2, \dots, \alpha_m$ 线性无关 $\Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$ 线性无关.



5. 设向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 中 $\alpha_1 \neq 0$, 且每个 $\alpha_i (i = 1, 2, \dots, n)$ 都不能由它前面的 $i-1$ 个向量 $\alpha_1, \alpha_2, \dots, \alpha_{i-1}$ 线性表出, 求证: 向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性无关.

分析: $\alpha_1 \neq 0 \Leftrightarrow "k_1 \alpha_1 = 0 \Leftrightarrow k_1 = 0"$

证: 令 $k_1 \alpha_1 + k_2 \alpha_2 + \dots + k_n \alpha_n = 0$

$$\text{如果 } k_n \neq 0 \Rightarrow \alpha_n = -\frac{k_1}{k_n} \alpha_1 - \frac{k_2}{k_n} \alpha_2 - \dots - \frac{k_{n-1}}{k_n} \alpha_{n-1}$$

α_n 可由 $\alpha_1, \alpha_2, \dots, \alpha_{n-1}$ 线性表出, 与假设矛盾.

$$\text{因此 } k_n = 0 \Rightarrow k_1 \alpha_1 + k_2 \alpha_2 + \dots + k_{n-1} \alpha_{n-1} = 0$$

$$\text{同理 } k_{n-1} = 0, \text{ 以此类推 } k_{n-2} = k_{n-3} = \dots = k_2 = k_1 = 0$$

\Rightarrow 向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性无关



6. 设 A 是 n 阶方阵, 若存在正整数 k , 使 $A^k x = 0$ 有解向量 α , 且 $A^{k-1}\alpha \neq 0$,

证明: $\alpha, A\alpha, A^2\alpha, \dots, A^{k-1}\alpha$ 线性无关.

分析: (1) $A^k\alpha = 0 \Rightarrow A^m\alpha = 0 (m \geq k)$

(2) $A^{k-1}\alpha \neq 0 \Rightarrow "lA^{k-1}\alpha = 0 \Leftrightarrow l = 0"$

证: 令 $l_0\alpha + l_1A\alpha + l_2A^2\alpha + \dots + l_{k-1}A^{k-1}\alpha = 0$

$$\Rightarrow A^{k-1}(l_0\alpha + \underline{l_1A\alpha + l_2A^2\alpha + \dots + l_{k-1}A^{k-1}\alpha}) = 0 \Rightarrow l_0 = 0$$

$$\Rightarrow A^{k-2}(\underline{l_1A\alpha + l_2A^2\alpha + \dots + l_{k-1}A^{k-1}\alpha}) = 0 \Rightarrow l_1 = 0$$

$$\Rightarrow l_i = 0 (i = 0, 1, \dots, k-1)$$

$\Rightarrow \alpha, A\alpha, A^2\alpha, \dots, A^{k-1}\alpha$ 线性无关.



6'. 设 A 是 n 阶方阵, $\alpha_1, \alpha_2, \alpha_3$ 为 n 维向量组,其中 $\alpha_1 \neq 0$,且满足:
 $A\alpha_1=2\alpha_1, A\alpha_2=\alpha_1+2\alpha_2, A\alpha_3=\alpha_2+2\alpha_3$, **证明**: $\alpha_1, \alpha_2, \alpha_3$ 线性无关.

分析: $\alpha_1 \neq 0 \Leftrightarrow "l\alpha_1 = 0 \Leftrightarrow l = 0"$

$$\begin{cases} A\alpha_1=2\alpha_1 \Leftrightarrow (A-2I)\alpha_1=0; \\ A\alpha_2=\alpha_1+2\alpha_2 \Leftrightarrow (A-2I)\alpha_2=\alpha_1; \\ A\alpha_3=\alpha_2+2\alpha_3 \Leftrightarrow (A-2I)\alpha_3=\alpha_2. \end{cases}$$

$$\Rightarrow \begin{cases} (A-2I)^2\alpha_1=0 \\ (A-2I)^2\alpha_2=0 \\ (A-2I)^2\alpha_3=\alpha_1 \end{cases}$$

证: 令 $k_1\alpha_1+k_2\alpha_2+k_3\alpha_3=0$ (1), 则

$$(A-2I)(k_1\alpha_1+k_2\alpha_2+k_3\alpha_3)=0 \Rightarrow k_2\alpha_1+k_3\alpha_2=0$$
(2)

$$(A-2I)(k_2\alpha_1+k_3\alpha_2)=0 \Rightarrow k_3\alpha_1=0$$
(3)

$$\text{又 } \alpha_1 \neq 0 \Rightarrow k_3=0$$

将 $k_3=0$ 代入(2)、(1) $\Rightarrow k_1=k_2=k_3=0 \Rightarrow \alpha_1, \alpha_2, \alpha_3$ 线性无关.

思考: $A\alpha_1, A\alpha_2, A\alpha_3$ 线性属性如何?



7. 设 η^* 是非齐次线性方程组 $Ax = b$ 的一个解, $\xi_1, \xi_2, \dots, \xi_{n-r}$ 是对应齐次线性方程组的一个基础解系,

证明: (1) $\eta^*, \xi_1, \xi_2, \dots, \xi_{n-r}$ 线性无关;

(2) $\eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \dots, \eta^* + \xi_{n-r}$ 线性无关.

证: (1)(法1) $\xi_1, \xi_2, \dots, \xi_{n-r}$ 线性无关 } $\Rightarrow \eta^* = k_1 \xi_1 + k_2 \xi_2 + \dots + k_{n-r} \xi_{n-r}$
若 $\eta^*, \xi_1, \xi_2, \dots, \xi_{n-r}$ 线性相关 }
即 η^* 为 $Ax = 0$ 的解. 矛盾!
 $\Rightarrow \eta^*, \xi_1, \xi_2, \dots, \xi_{n-r}$ 线性无关.



证:(法2)

$$\text{令 } k_0\eta^* + k_1\xi_1 + k_2\xi_2 + \cdots + k_{n-r}\xi_{n-r} = 0$$

若 $k_0 \neq 0 \Rightarrow \eta^*$ 可由 $\xi_1, \xi_2, \dots, \xi_{n-r}$ 线性表出 $\Rightarrow \eta^*$ 为 $Ax = 0$ 的解. 矛盾!

故 $k_0 = 0 \Rightarrow k_1 = k_2 = \cdots = k_{n-r} = 0 \Rightarrow \eta^*, \xi_1, \xi_2, \dots, \xi_{n-r}$ 线性无关.

证:(法3)

$$A\eta^* = b, A\xi_i = 0 (i = 1, 2, \dots, n-r)$$

$$\text{令 } k_0\eta^* + k_1\xi_1 + k_2\xi_2 + \cdots + k_{n-r}\xi_{n-r} = 0$$

$$\begin{aligned} \Rightarrow A(k_0\eta^* + k_1\xi_1 + k_2\xi_2 + \cdots + k_{n-r}\xi_{n-r}) &= 0 \Rightarrow k_0b = 0 (b \neq 0) \\ &\Rightarrow k_0 = 0 \end{aligned}$$

$$\Rightarrow k_1 = k_2 = \cdots = k_{n-r} = 0 \Rightarrow \eta^*, \xi_1, \xi_2, \dots, \xi_{n-r} \text{ 线性无关.}$$



证:(2)(法1) $k_0\eta^* + k_1(\eta^* + \xi_1) + k_2(\eta^* + \xi_2) + \cdots + k_{n-r}(\eta^* + \xi_{n-r}) = 0$

$$\Rightarrow (k_0 + k_1 + \cdots + k_{n-r})\eta^* + k_1\xi_1 + k_2\xi_2 + \cdots + k_{n-r}\xi_{n-r} = 0$$

$$\Rightarrow k_0 + k_1 + \cdots + k_{n-r} = 0, \quad k_1 = k_2 = \cdots = k_{n-r} = 0$$

$$\Rightarrow k_0 = k_1 = k_2 = \cdots = k_{n-r} = 0$$

$\eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \cdots, \eta^* + \xi_{n-r}$ 线性无关

(法2)

$$B = (\eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \cdots, \eta^* + \xi_{n-r}) = (\eta^*, \xi_1, \xi_2, \cdots, \xi_{n-r}) \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix} = AP$$

P 可逆 $\Rightarrow R(B) = R(AP) = R(A) = n - r + 1 \Rightarrow \eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \cdots, \eta^* + \xi_{n-r}$ 线性无关.

注: $\eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \cdots, \eta^* + \xi_{n-r}$ 线性无关 $\Leftrightarrow \eta^*, \xi_1, \xi_2, \cdots, \xi_{n-r}$ 线性无关



8. 设 $\alpha_1, \alpha_2, \dots, \alpha_n \in R^n$, 证明:

$$\alpha_1, \alpha_2, \dots, \alpha_n \text{ 线性无关} \Leftrightarrow D = \begin{vmatrix} \alpha_1^T \alpha_1 & \alpha_1^T \alpha_2 & \cdots & \alpha_1^T \alpha_n \\ \alpha_2^T \alpha_1 & \alpha_2^T \alpha_2 & \cdots & \alpha_2^T \alpha_n \\ \vdots & \vdots & & \vdots \\ \alpha_n^T \alpha_1 & \alpha_n^T \alpha_2 & \cdots & \alpha_n^T \alpha_n \end{vmatrix} \neq 0.$$

证: $A = (\alpha_1, \alpha_2, \dots, \alpha_n)$, 则

$$"\alpha_1, \alpha_2, \dots, \alpha_n \text{ 线性无关} \Leftrightarrow \det A \neq 0"$$

$$A^T A = \begin{pmatrix} \alpha_1^T \\ \alpha_2^T \\ \vdots \\ \alpha_n^T \end{pmatrix} (\alpha_1, \alpha_2, \dots, \alpha_n) = \begin{pmatrix} \alpha_1^T \alpha_1 & \alpha_1^T \alpha_2 & \cdots & \alpha_1^T \alpha_n \\ \alpha_2^T \alpha_1 & \alpha_2^T \alpha_2 & \cdots & \alpha_2^T \alpha_n \\ \vdots & \vdots & & \vdots \\ \alpha_n^T \alpha_1 & \alpha_n^T \alpha_2 & \cdots & \alpha_n^T \alpha_n \end{pmatrix}$$

$$\alpha_1, \alpha_2, \dots, \alpha_n \text{ 线性无关} \Leftrightarrow \det A \neq 0 \Leftrightarrow 0 \neq (\det A)^2 = \det(A^T A) = D.$$



二. 关于向量组的秩及矩阵秩的结论

1. $A_{m \times n}, B_{m \times p}$, 证明: $\max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B)$.

2. $A_{m \times n}, B_{m \times n}$, 证明: $R(A + B) \leq R(A, B) \leq R(A) + R(B)$.

3. $A_{m \times n}, B_{n \times p}$, 证明: $R(AB) \leq \min\{R(A), R(B)\}$. (待续)

4. $A_{m \times n}, B_{n \times p}$ 且 $AB = O$, 证明: $R(A) + R(B) \leq n$.

5. 导出结论:

$$(1) R(A^*) = \begin{cases} n, & R(A) = n \\ 0, & R(A) < n - 1 \\ 1, & R(A) = n - 1 \end{cases}$$

(2) $A_{n \times n}$, 且 $A^2 = A \Rightarrow R(A) + R(A - I) = n$.

$A_{n \times n}$, 且 $A^2 + A = O \Rightarrow R(A) + R(A + I) = n$.

$A_{n \times n}, B_{n \times n}$, 且 $ABA = B^{-1} \Rightarrow R(AB + I) + R(AB - I) = n$.



1. proof: $A_{m \times n}, B_{m \times p}$, **证明:** $\max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B)$.

证(法1): 令 $A = (\alpha_1, \alpha_2, \dots, \alpha_n), B = (\beta_1, \beta_2, \dots, \beta_p)$, 则

$$(A, B) = (\underbrace{\alpha_1, \alpha_2, \dots, \alpha_n}_{\text{(I)}}, \underbrace{\beta_1, \beta_2, \dots, \beta_p}_{\text{(II)}})$$

记 $C = (\underbrace{\alpha_{i_1}, \alpha_{i_2}, \dots, \alpha_{i_s}}_{\text{(I)的极大无关组}}, \underbrace{\beta_{j_1}, \beta_{j_2}, \dots, \beta_{j_t}}_{\text{(II)的极大无关组}})$

则 (A, B) 的列向量组与 C 的列向量组等价.

$$\Rightarrow \begin{cases} R(A) \\ R(B) \end{cases} \leq R(A, B) = R(C) \leq s + t = R(A) + R(B)$$

$$\Rightarrow \max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B).$$



1. proof: $A_{m \times n}, B_{m \times p}$, **证明:** $\max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B)$.

证(法2): $(A, B) = (I_m, I_m) \begin{pmatrix} A \\ B \end{pmatrix} \Rightarrow R(A, B) \leq R \begin{pmatrix} A \\ B \end{pmatrix} = R(A) + R(B)$

$$\left\{ \begin{array}{l} A = (A, B) \begin{pmatrix} I_n \\ 0 \end{pmatrix} \Rightarrow R(A) \leq R(A, B) \\ B = (A, B) \begin{pmatrix} 0 \\ I_p \end{pmatrix} \Rightarrow R(B) \leq R(A, B) \end{array} \right\} \Rightarrow \max\{R(A), R(B)\} \leq R(A, B)$$

$$\Rightarrow \max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B).$$

注: $A_{m \times n}, B_{p \times n} \Rightarrow \max\{R(A), R(B)\} \leq R \begin{pmatrix} A \\ B \end{pmatrix} \leq R(A) + R(B).$



2. proof: $A_{m \times n}, B_{m \times n}$, 证明: $R(A+B) \leq R(A, B) \leq R(A) + R(B)$.

证(法1): 令 $A = (\alpha_1, \alpha_2, \dots, \alpha_n), B = (\beta_1, \beta_2, \dots, \beta_n)$, 则

$$A + B = (\alpha_1 + \beta_1, \alpha_2 + \beta_2, \dots, \alpha_n + \beta_n)$$

$$(A, B) = (\alpha_1, \alpha_2, \dots, \alpha_n, \beta_1, \beta_2, \dots, \beta_n)$$

$\alpha_1 + \beta_1, \alpha_2 + \beta_2, \dots, \alpha_n + \beta_n$ 可由 $\alpha_1, \alpha_2, \dots, \alpha_n$, $\beta_1, \beta_2, \dots, \beta_n$ 线性表出

$$\Rightarrow R\{\alpha_1 + \beta_1, \alpha_2 + \beta_2, \dots, \alpha_n + \beta_n\} \leq R\{\alpha_1, \alpha_2, \dots, \alpha_n, \beta_1, \beta_2, \dots, \beta_n\}$$

$$\Rightarrow R(A+B) \leq R(A, B) \quad (\leq R(A) + R(B))$$

$$\text{证(法2): } (A, B) \xrightarrow{C_2 + C_1} (A+B, B) \Rightarrow R(A+B) \leq R(A+B, B) = R(A, B) \\ (\leq R(A) + R(B))$$

$$\text{证(法3): } A+B = (A, B) \begin{pmatrix} I_n \\ I_n \end{pmatrix} \Rightarrow R(A+B) \leq R(A, B) \quad (\leq R(A) + R(B))$$



4. $A_{m \times n}, B_{n \times p}$ 且 $AB = O$, 证明: $R(A) + R(B) \leq n$.

($A_{n \times n}, B_{n \times n}$ 且 $AB = O$, 证明: $R(A) + R(B) \leq n$. (P₁₄₃:Ex4))

分析: $R(A) + R(B) \leq n \Leftrightarrow R(B) \leq n - R(A)$
 $\Downarrow \dim W \Leftarrow W = \{x \mid Ax = 0, \forall x \in R^n\}$

证: (1). 若 $B = O$, 则 $R(A) + R(B) = R(A) + 0 = R(A) \leq n$.

(2). 设 $B = (b_1, b_2, \dots, b_p) \neq O$, 则 $b_i \neq 0$

$$(Ab_1, Ab_2, \dots, Ab_p) = A(b_1, b_2, \dots, b_p) = AB = O = (0, 0, \dots, 0)$$

$$Ab_i = 0 (i = 1, 2, \dots, p) \quad \text{且} \quad Ab_i = 0 (b_i \neq 0)$$



$b_i (i = 1, 2, \dots, p)$ 为 $Ax = 0$ 的解 有基础解系 $\xi_1, \xi_2, \dots, \xi_{n-r} (r = R(A))$

$$R(B) = R\{b_1, b_2, \dots, b_p\} \leq R\{\xi_1, \xi_2, \dots, \xi_{n-r}\} = n - r = n - R(A)$$

$$\Leftrightarrow R(A) + R(B) \leq n.$$



(Sylvester公式): $A_{m \times n}, B_{n \times p} \Rightarrow R(A) + R(B) - n \leq R(AB)$

证: $R(A) = r \Rightarrow$ 存在 P, Q 可逆, 使得 $PAQ = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix}$

$$PAB = PAQ \underset{C}{Q^{-1}B} = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix} C = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} C_1 \\ C_2 \end{pmatrix} = \begin{pmatrix} C_1 \\ 0 \end{pmatrix}$$

\Rightarrow (1) $R(AB) = R(PAB) = R\begin{pmatrix} C_1 \\ 0 \end{pmatrix} = R(C_1),$

(2) $R(C_2) \leq n - r$

(3) $R(B) = R(C) = R\begin{pmatrix} C_1 \\ C_2 \end{pmatrix} \leq R(C_1) + R(C_2) \leq R(AB) + n - r = R(AB) + n - R(A)$

$$\Rightarrow R(B) + R(A) - n \leq R(AB)$$

Sylvester推论: $A_{m \times n}, B_{n \times p}$ 且 $AB = O \Rightarrow R(A) + R(B) \leq n$



问题1. 设 A, B 为 $AB = O$ 的任意两个非零矩阵, 则必有()

- (A) A 的列向量组线性相关, B 的行向量组线性相关.
- (B) A 的列向量组线性相关, B 的列向量组线性相关.
- (C) A 的行向量组线性相关, B 的行向量组线性相关.
- (D) A 的行向量组线性相关, B 的列向量组线性相关.

A 的列向量组线性相关

分析: $A_{m \times n}, B_{n \times s}$

$$(1) AB = O \Rightarrow R(A) + R(B) \leq n$$

$$(2) A, B \text{ 非零} \Rightarrow R(A) \geq 1, R(B) \geq 1$$

$$R(\alpha_1, \alpha_2, \dots, \alpha_n)$$

$$\Rightarrow 1 \leq R(A) < n, 1 \leq R(B) < n.$$

B 的行向量组线性相关 $\Leftarrow R$

$$\begin{pmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_n \end{pmatrix}$$



问题2. $A_{m \times n}$, $R(A) = m < n$, 则 ()

- (A) A 的任意 m 个列向量所成向量组线性无关.
- (B) A 的任意一个 m 阶子式不为零
- (C) 若 $BA = O$, 则 $B = O$
- (D) 通过行初等变换, 必可化为 (E_m, O)

解:(法1) $BA = O \Rightarrow R(B) + R(A) \leq m$
 $R(A) = m \left. \vphantom{BA = O} \right\} \Rightarrow R(B) \leq 0 \Rightarrow R(B) = 0 \Leftrightarrow B = O$

$$(\text{法2}) BA = O \Leftrightarrow \begin{pmatrix} b_{11} & \cdots & b_{1m} \\ b_{21} & \cdots & b_{2m} \\ \cdots & \cdots & \cdots \\ b_{r1} & \cdots & b_{rm} \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_m \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix} \Leftrightarrow \sum_{j=1}^m b_{ij} \alpha_j = 0 (\forall i)$$

$$R(A) = m \Leftrightarrow \alpha_1, \alpha_2, \cdots, \alpha_m \text{ 线性无关 } \Rightarrow b_{ij} = 0 (\forall i, j) \Leftrightarrow B = O$$



导出结论(2).proof : $A_{n \times n}$ 且 $A^2 = A \Rightarrow R(A) + R(A - I) = n$.

证: $A^2 = A \Leftrightarrow A(A - I) = O$

$$\Rightarrow (1) R(A) + R(A - I) \leq n.$$

$$(2) R(A) + R(A - I) = R(A) + R(I - A) \geq R(A + I - A) = R(I) = n.$$

$$\Rightarrow R(A) + R(A - I) = n.$$



三. 如何判断向量组线性表出关系?

(1) $Ax = b$ 有解 $\Leftrightarrow R(A) = R(A|b) \Leftrightarrow b$ 可由 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性表出

$\Leftrightarrow \alpha_1, \alpha_2, \dots, \alpha_n$ 与 $\alpha_1, \alpha_2, \dots, \alpha_n, b$ 等价

(2) $\begin{cases} \text{(I)}: \alpha_1, \alpha_2, \dots, \alpha_n; \text{(II)}: b_1, b_2, \dots, b_l \\ A = (\alpha_1, \alpha_2, \dots, \alpha_n); B = (b_1, b_2, \dots, b_l) \end{cases}$, (II) 可由 (I) 表出 $\Leftrightarrow R(A) = R(A|B)$

证: (II) 可由 (I) 表出 $\Leftrightarrow \forall b_j (j=1, 2, \dots, l), b_j$ 可由 $\alpha_1, \alpha_2, \dots, \alpha_n$ 表出 $\Leftrightarrow R(A) = R(A|b_j)$

$\Leftrightarrow R(A) = R(A|b_1) = R(A|b_2) = \dots = R(A|b_l) \Leftrightarrow R(A) = R(A|b_1, b_2, \dots, b_l) = R(A|B)$

(3) $\begin{cases} \text{(I)}: \alpha_1, \alpha_2, \dots, \alpha_n; \text{(II)}: b_1, b_2, \dots, b_l \\ A = (\alpha_1, \alpha_2, \dots, \alpha_n); B = (b_1, b_2, \dots, b_l) \end{cases}$, (II) 与 (I) 等价 $\Leftrightarrow R(A) = R(B) = R(A|B)$

证: (II) 与 (I) 等价 $\Leftrightarrow \begin{cases} \text{(II) 可由 (I) 表出} \Leftrightarrow R(A) = R(A|B) \\ \text{(I) 可由 (II) 表出} \Leftrightarrow R(B) = R(B|A) \end{cases} \Leftrightarrow R(A) = R(B) = R(A|B)$



1. 设向量组: $a_1 = (1, -1, 1, -1)^T$ 、 $a_2 = (3, 1, 1, 3)^T$;

向量组: $b_1 = (2, 0, 1, 1)^T$ 、 $b_2 = (1, 1, 0, 2)^T$ 、 $b_3 = (3, -1, 2, 0)^T$.

证明: 向量组 a_1 、 a_2 与 b_1 、 b_2 、 b_3 等价.

证: 令 $A = (a_1, a_2)$, $B = (b_1, b_2, b_3)$

两向量组等价 $\Leftrightarrow R(A) = R(B) = R(A | B)$

$$(A | B) = \left(\begin{array}{cc|cc} 1 & 3 & 2 & 1 & 3 \\ -1 & 1 & 0 & 1 & -1 \\ 1 & 1 & 1 & 0 & 2 \\ -1 & 3 & 1 & 2 & 0 \end{array} \right) \xrightarrow[r_1+r_2]{\substack{r_3+r_4 \\ r_2+r_3}} \left(\begin{array}{cc|cc} 1 & 3 & 2 & 1 & 3 \\ 0 & 4 & 2 & 2 & 2 \\ 0 & 2 & 1 & 1 & 1 \\ 0 & 4 & 2 & 2 & 2 \end{array} \right) \xrightarrow[-\frac{1}{2}r_2+r_3]{-r_2+r_4} \left(\begin{array}{cc|cc} 1 & 3 & 2 & 1 & 3 \\ 0 & 2 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right),$$

$$\Rightarrow \left\{ \begin{array}{l} \text{(1)} R(A) = R(A | B) = 2 \\ \text{(2)} \begin{vmatrix} 2 & 1 \\ 1 & 1 \end{vmatrix} = 1 \neq 0 \Rightarrow R(B) = 2 \end{array} \right\} \Rightarrow R(A) = R(B) = R(A | B) \\ \Leftrightarrow \text{两向量组等价}$$



四. 如何从向量组线性表出的观点认识两矩阵的乘积?

1. $A = (a_{ij})_{m \times n}$, $B = (b_{ij})_{n \times p}$ 且 $C = AB$, 则

(1) 矩阵 $C = AB$ 的列向量组能由 A 的列向量组线性表出.

(2) 矩阵 $C = AB$ 的行向量组能由 B 的行向量组线性表出.

证: (1) $A = (\alpha_1, \alpha_2, \dots, \alpha_n)$, $C = (c_1, c_2, \dots, c_p)$, 有

$$C = AB \Leftrightarrow (c_1, c_2, \dots, c_p) = (\alpha_1, \alpha_2, \dots, \alpha_n) \begin{pmatrix} b_{11} & b_{12} & \cdots & b_{1p} \\ b_{21} & b_{22} & \cdots & b_{2p} \\ \vdots & \vdots & & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{np} \end{pmatrix}$$
$$\Leftrightarrow c_j = \sum_{i=1}^n b_{ij} \alpha_i = b_{1j} \alpha_1 + \cdots + b_{nj} \alpha_n \quad (j = 1, 2, \dots, p)$$

\Leftrightarrow 矩阵 $C = AB$ 的列向量组能由 A 的列向量组线性表出.

\Rightarrow 矩阵 $C^T = B^T A^T$ 的列向量组能由 B^T 的列向量组线性表出.

(2) 矩阵 $C = AB$ 的行向量组能由 B 的行向量组线性表出.



1. 设 $A_{m \times n}, B_{n \times p}$, 证明: $R(AB) \leq \min\{R(A), R(B)\}$.

证(法1): 令 $C_{m \times p} = AB$

$\Rightarrow (c_1, \dots, c_p) = (\alpha_1, \dots, \alpha_n)$

$$\begin{pmatrix} b_{11} & \cdots & b_{1p} \\ b_{21} & \cdots & b_{2p} \\ \cdots & \cdots & \cdots \\ b_{n1} & \cdots & b_{np} \end{pmatrix}$$

$$\Rightarrow c_k = b_{1k}\alpha_1 + b_{2k}\alpha_2 + \cdots + b_{nk}\alpha_n, \quad (k = 1, \dots, p)$$

\Rightarrow 矩阵 $C = AB$ 的列向量组能由 A 的列向量组线性表出.

$$(1) R(AB) = R(C) = R\{c_1, \dots, c_p\} \leq R\{\alpha_1, \dots, \alpha_n\} = R(A)$$

$$(2) R(AB) = R(C) = R(C^T) = R(B^T A^T) \leq R(B^T) = R(B)$$

$$\Rightarrow R(AB) \leq \min\{R(A), R(B)\}.$$



1. 设 $A_{m \times n}, B_{n \times p}$, 证明: $R(AB) \leq \min\{R(A), R(B)\}$

证(法2): 设 $R(A) = r$, 则存在 P, Q 可逆, 使得 $PAQ = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix}$

$$\Rightarrow PAB = PAQ \underset{C}{Q^{-1}B} = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix} \underset{C}{\begin{pmatrix} C_1 \\ C_2 \end{pmatrix}} = \begin{pmatrix} C_1 \\ 0 \end{pmatrix}$$

$$\Rightarrow \begin{cases} (1) R(AB) = R(PAB) = R \begin{pmatrix} C_1 \\ 0 \end{pmatrix} \leq r = R(A) \\ (2) R(AB) = R((AB)^T) = R(B^T A^T) \leq R(B^T) = R(B) \end{cases}$$

$$\Rightarrow R(AB) \leq \min\{R(A), R(B)\}$$



2. $A_{n \times m}, B_{m \times n}$ (其中 $n < m$), $I_{n \times n}$. 若 $AB = I$, 证明: B 的列向量组线性无关.

证(法1): 设 $B = (\beta_1, \beta_2, \dots, \beta_n)$,

$$\text{令 } x_1\beta_1 + x_2\beta_2 + \dots + x_n\beta_n = 0, \text{ 即 } (\beta_1, \beta_2, \dots, \beta_n) \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = 0$$

$$\Leftrightarrow Bx = 0 \Rightarrow x = Ix = ABx = A0 = 0 \Rightarrow \beta_1, \beta_2, \dots, \beta_n \text{ 线性无关.}$$

证(法2): $\left\{ \begin{array}{l} (1) R(B) \leq n \\ (2) R(B) \geq R(AB) = R(I) = n \end{array} \right\} \begin{array}{l} R(\beta_1, \beta_2, \dots, \beta_n) \\ \Rightarrow R(B) = n \\ \Leftrightarrow \beta_1, \beta_2, \dots, \beta_n \text{ 线性无关.} \end{array}$



3. 设 A, B 为 n 阶矩阵, 则下列结论正确的是 ()

(A) $R(A, AB) = R(A)$;

(B) $R(A, BA) = R(A)$;

(C) $R(A, B) = \max\{R(A), R(B)\}$; (D) $R(A, B) = R(A^T, B^T)$.

注: (1). $(A, AB) = A(I, B) \Rightarrow R(A, AB) \leq R(A)$
 $\text{又 } R(A) \leq R(A, AB) \Rightarrow R(A, AB) = R(A)$

(另). 记 $A = (\alpha_1, \alpha_2, \dots, \alpha_n), C = AB = (\gamma_1, \gamma_2, \dots, \gamma_n) \Rightarrow$

$$R(A, AB) = R\{\alpha_1, \alpha_2, \dots, \alpha_n, \gamma_1, \gamma_2, \dots, \gamma_n\} = R\{\alpha_1, \alpha_2, \dots, \alpha_n\} = R(A)$$

(2). 令 $A = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}, B = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, 则 $BA = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} \Rightarrow (A, BA) = \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}$

$$\Rightarrow 1 = R(A) \neq R(A, BA) = 2$$

(3, 4). 令 $A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, B = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \Rightarrow \begin{cases} R(A, B) = R \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix} = 2 \\ R(A^T, B^T) = R \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} = 1 \end{cases}$
 $\max\{R(A), R(B)\} = 1$



五.“矩阵A与B等价”与“向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 与 $\beta_1, \beta_2, \dots, \beta_n$ 等价”的关系？

(1) 矩阵A与B等价 $\Leftrightarrow A_{m \times n} \xrightarrow{\text{有限次初等变换}} B_{m \times n}$

\Leftrightarrow 存在初等矩阵 $E_1, \dots, E_s, F_1, \dots, F_t$, 使得 $E_s \cdots E_1 A F_1 \cdots F_t = B$

\Leftrightarrow 存在可逆矩阵 P, Q , 使得 $PAQ = B \Leftrightarrow R(A) = R(B)$

(2) 矩阵A与B行等价 $\Leftrightarrow A \xrightarrow{\text{有限次行初等变换}} B$

\Leftrightarrow 存在可逆矩阵 P , 使得 $PA = B$

$\Leftrightarrow A = P^{-1}B = QB \Rightarrow A$ 与 B 的行向量组等价

(3) 矩阵A与B列等价 $\Leftrightarrow A \xrightarrow{\text{有限次列初等变换}} B$

\Leftrightarrow 存在可逆矩阵 Q , 使得 $AQ = B$

$\Leftrightarrow A = BQ^{-1} = BP \Rightarrow A$ 与 B 的列向量组等价



注: 1⁰. 矩阵 A 与 B 等价 $\Leftrightarrow A_{m \times n} \xrightarrow{\text{有限次初等变换}} B_{m \times n}$

$\Rightarrow A$ 与 B 的行向量组未必等价, A 与 B 的列向量组未必等价.

举例: (1) $A = \begin{pmatrix} 1 & 2 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}, B = \begin{pmatrix} 0 & 0 \\ 1 & 3 \end{pmatrix} = \begin{pmatrix} \beta_1 \\ \beta_2 \end{pmatrix}$

$\Rightarrow A$ 与 B 等价, 但 α_1, α_2 与 β_1, β_2 不等价.

(2) $A = \begin{pmatrix} 1 & 2 \\ 0 & 0 \end{pmatrix} = (\alpha_1, \alpha_2), B = \begin{pmatrix} 0 & 0 \\ 1 & 3 \end{pmatrix} = (\beta_1, \beta_2)$

$\Rightarrow A$ 与 B 等价, 但 α_1, α_2 与 β_1, β_2 不等价.

2⁰. 设两列向量组等价 (m 维), 若它们所含向量个数不相同, 则它们对应的两个矩阵不同型, 因而不等价; 若它们所含向量个数相同 (如都为 n 个) 那么它们对应的两个 $m \times n$ 矩阵列等价, 从而一定等价, 但不一定行等价.



六. 矩阵的行初等变换对列向量组和行向量组各有什么作用?

$$A_{m \times n} \xrightarrow{\text{行初等变换}} B_{m \times n} (\Leftrightarrow \text{矩阵} A \text{与} B \text{的行等价})$$

$$\Rightarrow \begin{cases} (1) \text{矩阵} A \text{与} B \text{的行向量组等价.} \\ (2) \text{矩阵} A \text{与} B \text{的列向量组有相同的线性(相关与无关)关系.} \end{cases}$$

$$\text{注: } A \xrightarrow{\text{行初等变换}} B (\text{行阶梯形或简化行阶梯形})$$

(求最大无关组及用最大无关组表出其它向量的理论基础)

$$(3) R(A) = A \text{的行秩} = A \text{的列秩.}$$



1. 求向量组:

$$\alpha_1 = (1, 2, 3, 4)^T, \alpha_2 = (2, 3, 4, 5)^T, \alpha_3 = (3, 4, 5, 6)^T, \alpha_4 = (4, 5, 6, 7)^T$$

的秩与一个最大无关组,并用所求最大无关组表示其余向量.

解: $(\alpha_1, \alpha_2, \alpha_3, \alpha_4) = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 2 & 3 & 4 & 5 \\ 3 & 4 & 5 & 6 \\ 4 & 5 & 6 & 7 \end{pmatrix} \xrightarrow{\substack{(-1)r_3+r_4 \\ (-1)r_2+r_3 \\ (-1)r_1+r_2}} \begin{pmatrix} 1 & 2 & 3 & 4 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{pmatrix}$

$$\xrightarrow{\substack{(-1)r_3+r_4 \\ (-1)r_2+r_3 \\ (-1)r_1+r_2}} \begin{pmatrix} 1 & 2 & 3 & 4 \\ 0 & -1 & -2 & -3 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \xrightarrow{\substack{2r_2+r_1 \\ (-1)r_2}} \begin{pmatrix} 1 & 0 & -1 & -2 \\ 0 & 1 & 2 & 3 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \Rightarrow R(A) = 2$$

α_1, α_2 为一个最大无关组;

$$\begin{cases} \alpha_3 = -\alpha_1 + 2\alpha_2 \\ \alpha_4 = -2\alpha_1 + 3\alpha_2 \end{cases}$$



$$\text{证: (I): } (\alpha_1, \alpha_2, \dots, \alpha_s) \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_s \end{pmatrix} = 0, \text{ (II): } (\gamma_1, \gamma_2, \dots, \gamma_s) \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_s \end{pmatrix} = 0 \Leftrightarrow \begin{pmatrix} \alpha_1, \alpha_2, \dots, \alpha_s \\ \beta_1, \beta_2, \dots, \beta_s \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_s \end{pmatrix} = 0$$

$$\Downarrow \text{令 } A = (\alpha_1, \alpha_2, \dots, \alpha_s) \quad \Downarrow \text{令 } B = (\beta_1, \beta_2, \dots, \beta_s)$$

$$\text{(I): } Ax = 0 \quad \text{(II): } \begin{pmatrix} A \\ B \end{pmatrix} x = 0 \Leftrightarrow \begin{cases} Ax = 0 \\ Bx = 0 \end{cases}$$

则(II)的前 r 个方程就是(I)的方程 \Rightarrow (II)的解必是(I)的解,即

$$\{0\} \subset \{(\text{II})\text{的解集}\} \subset \{(\text{I})\text{的解集}\}$$

(1) $\alpha_1, \alpha_2, \dots, \alpha_s$ 线性无关 \Leftrightarrow (I) 只有零解 \Rightarrow (II) 只有零解

$\Leftrightarrow \gamma_1, \gamma_2, \dots, \gamma_s$ 线性无关.

(2) $\gamma_1, \gamma_2, \dots, \gamma_s$ 线性相关 \Leftrightarrow (II) 有非零解 \Rightarrow (I) 有非零解

$\Leftrightarrow \alpha_1, \alpha_2, \dots, \alpha_s$ 线性相关.



1. 设有向量组 $\gamma_i = (a_i, a_i^2, \dots, a_i^n)^T, (i = 1, 2, \dots, m) (m \leq n)$, 试证: 向量组 $\gamma_1, \gamma_2, \dots, \gamma_m$ 线性无关, (其中: a_1, a_2, \dots, a_m 为 m 个互不相等且不为零的常数).

证: $A = (\gamma_1, \dots, \gamma_m) = \begin{pmatrix} a_1 & a_2 & \cdots & a_m \\ a_1^2 & a_2^2 & \cdots & a_m^2 \\ \vdots & \vdots & & \vdots \\ a_1^n & a_2^n & \cdots & a_m^n \end{pmatrix} (m \leq n)$, 由 A 的前 m 行与 m 列构成的子式

$$D = \begin{vmatrix} a_1 & a_2 & \cdots & a_m \\ a_1^2 & a_2^2 & \cdots & a_m^2 \\ \vdots & \vdots & & \vdots \\ a_1^m & a_2^m & \cdots & a_m^m \end{vmatrix} = a_1 a_2 \cdots a_m \begin{vmatrix} 1 & 1 & \cdots & 1 \\ a_1 & a_2 & \cdots & a_m \\ \vdots & \vdots & & \vdots \\ a_1^{m-1} & a_2^{m-1} & \cdots & a_m^{m-1} \end{vmatrix}$$

$$\beta_1 \quad \beta_2, \dots, \beta_m \in R^m = \left(\prod_{i=1}^m a_i \right) \left\{ \prod_{1 \leq i < j \leq m} (a_j - a_i) \right\} \neq 0,$$

令 $\beta_i = (a_i, a_i^2, \dots, a_i^m)^T \Rightarrow \beta_1, \beta_2, \dots, \beta_m$ 线性无关 $\Rightarrow \gamma_1, \gamma_2, \dots, \gamma_m$ 线性无关.



八. 线性方程组解的结构

1. 设 $R(A) = r < n$, 则 $Ax = 0$ 有基础解系且所含向量个数为 $n - r$,

即 $\dim W = n - r$, (其中 n 为方程组未知量的个数, $W = \{x \mid Ax = 0\}$).

2. 若 $R(A) = n$, 则 $Ax = 0$ 只有零解, 无基础解系.

3. $Ax = 0$ 的通解: 设 $\xi_1, \xi_2, \dots, \xi_{n-r}$ 为 $Ax = 0$ 一个基础解系, 则

$\forall \alpha (Ax = 0 \text{ 的解}),$

$$\alpha = k_1 \xi_1 + k_2 \xi_2 + \dots + k_{n-r} \xi_{n-r}, \forall k_1, k_2, \dots, k_{n-r} \in R.$$

4. $Ax = b$ 的通解: 设 η_0 为 $Ax = b$ 一个特解, $\xi_1, \xi_2, \dots, \xi_{n-r}$ 为其导出组的一个基础解系, 则

$\forall \alpha (Ax = b \text{ 的解}),$

$$\alpha = \eta_0 + k_1 \xi_1 + k_2 \xi_2 + \dots + k_{n-r} \xi_{n-r}, \forall k_1, k_2, \dots, k_{n-r} \in R.$$



1. 设矩阵 $A=(\alpha_1, \alpha_2, \alpha_3, \alpha_4)$, 其中 $\alpha_2, \alpha_3, \alpha_4$ 线性无关, $\alpha_1 = 2\alpha_2 - \alpha_3$.

如果 $\beta = \alpha_1 + \alpha_2 + \alpha_3 + \alpha_4$, 求非齐次线性方程组 $Ax = \beta$ 的通解.

解: (1) $\alpha_1 = 2\alpha_2 - \alpha_3 \Rightarrow \alpha_1, \alpha_2, \alpha_3$ 线性相关 $\Rightarrow \alpha_1, \alpha_2, \alpha_3, \alpha_4$ 线性相关
 $\Rightarrow Ax = 0$ 有非零解 $\Leftrightarrow Ax = 0$ 有基础解系.

(2) $\alpha_2, \alpha_3, \alpha_4$ 线性无关, $\alpha_1, \alpha_2, \alpha_3, \alpha_4$ 线性相关 $\Rightarrow R\{\alpha_1, \alpha_2, \alpha_3, \alpha_4\} = 3$
 $\Leftrightarrow R(A) = 3 \Rightarrow$ 基础解系含向量的个数为 $4 - R(A) = 4 - 3 = 1$.

(3) $\alpha_1 = 2\alpha_2 - \alpha_3 \Rightarrow 1\alpha_1 - 2\alpha_2 + 1\alpha_3 + 0\alpha_4 = 0 \Leftrightarrow (\alpha_1, \alpha_2, \alpha_3, \alpha_4) \begin{pmatrix} 1 \\ -2 \\ 1 \\ 0 \end{pmatrix} = 0$
 $\Rightarrow Ax = 0$ 的基础解系为 $(1, -2, 1, 0)^T$.

(4) $\beta = \alpha_1 + \alpha_2 + \alpha_3 + \alpha_4 = (\alpha_1, \alpha_2, \alpha_3, \alpha_4) \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} = A \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} \Rightarrow (1, 1, 1, 1)^T$ 为 $Ax = \beta$ 的特解.

$\Rightarrow Ax = \beta$ 的通解为: $(1, 1, 1, 1)^T + k(1, -2, 1, 0)^T, \forall k \in R$.



2.证明: $R(A^T A) = R(A)$.

分析: $A_{m \times n} \Rightarrow (A^T A)_{n \times n}$. $R(A^T A) = R(A) \Leftrightarrow n - R(A^T A) = n - R(A)$

$$\text{令 } W_1 = \{x \mid Ax = 0, x \in R^n\}, \quad \begin{matrix} \parallel \\ \dim W_2 \end{matrix} \quad \begin{matrix} \parallel \\ \dim W_1 \end{matrix}$$

$$W_2 = \{x \mid (A^T A)x = 0, x \in R^n\}$$

证:

$$(1) \forall x \in W_1, Ax = 0 \Rightarrow A^T(Ax) = A^T 0 = 0 \Rightarrow x \in W_2 \Rightarrow W_1 \subset W_2.$$

$$(2) \forall x \in W_2, A^T Ax = 0 \Rightarrow x^T A^T Ax = x^T 0 = 0 \Rightarrow Ax = 0 \Rightarrow x \in W_1 \Rightarrow W_2 \subset W_1.$$

$$\Rightarrow W_1 = W_2 \Rightarrow \dim W_1 = \dim W_2$$

$$\begin{matrix} \parallel & \parallel \\ n - R(A) & n - R(A^T A) \end{matrix} \Rightarrow R(A^T A) = R(A).$$

注:

$$R(A^T A) = R(A) = R(AA^T)$$



2'. 设 $A_{m \times p}, B_{p \times n}$, 证明: $R(AB) \leq \min\{R(A), R(B)\}$.

分析: $A_{m \times p}, B_{p \times n} \Rightarrow (AB)_{m \times n}$:

$$R(AB) \leq R(B) \Leftrightarrow n - R(AB) \geq n - R(B)$$

$$\text{令 } W_1 = \{x \mid Bx = 0, x \in R^n\}, \quad \begin{matrix} \parallel \\ \dim W_2 \end{matrix} \quad \begin{matrix} \parallel \\ \dim W_1 \end{matrix}$$

$$W_2 = \{x \mid (AB)x = 0, x \in R^n\}$$

$$\text{证: } \forall x \in W_1 \Rightarrow Bx = 0 \Rightarrow ABx = A0 = 0 \Rightarrow x \in W_2$$

$$\Rightarrow W_1 \subset W_2 \Rightarrow n - R(B) = \dim W_1 \leq \dim W_2 = n - R(AB)$$

$$\Leftrightarrow (1) \quad R(AB) \leq R(B)$$

$$\Rightarrow (2) \quad R(AB) = R[(AB)^T] = R(B^T A^T) \leq R(A^T) = R(A)$$

$$\Rightarrow R(AB) \leq \min\{R(A), R(B)\}.$$



2".证明: $A^T Ax = A^T b$ 有解.

分析: $A^T Ax = A^T b$ 有解 $\Leftrightarrow R(A^T A) = R(A^T A, A^T b)$

证: $A_{m \times n}, b \in R^m$

$$(A^T A, A^T b) = A^T (A, b) \Rightarrow (1) \quad R(A^T A, A^T b) = R[A^T (A, b)] \\ \leq R(A^T) = R(A) = R(A^T A)$$

$$(2) \quad R(A^T A) \leq R(A^T A, A^T b)$$

$$\Rightarrow R(A^T A) = R(A^T A, A^T b)$$

$$\Rightarrow A^T Ax = A^T b \text{ 有解.}$$



九. 几何空间

1. 设 $\alpha \times \beta + \beta \times \gamma + \gamma \times \alpha = 0$, 证明: α, β, γ 共面.

证: $\alpha \bullet (\alpha \times \beta + \beta \times \gamma + \gamma \times \alpha) = \alpha \bullet 0 = 0$

$$\alpha \bullet (\alpha \times \beta) + \alpha \bullet (\beta \times \gamma) + \alpha \bullet (\gamma \times \alpha) = \alpha \bullet 0 = 0$$

即: $[\alpha\beta\gamma] = \alpha \bullet (\beta \times \gamma) = 0 \Rightarrow \alpha, \beta, \gamma$ 共面



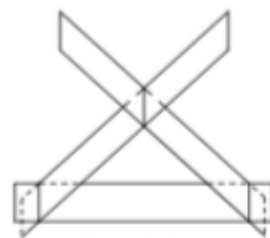
2.有3个平面两两相交且交线相互平行,它们的方程为:

$$a_{i1}x + a_{i2}y + a_{i3}z = d(i = 1, 2, 3)$$

组成的线性方程组的系数矩阵和增广矩阵分别为 A, \bar{A} ,则

(A) $R(A) = 2, R(\bar{A}) = 3$; (B) $R(A) = 2, R(\bar{A}) = 2$;

(C) $R(A) = 1, R(\bar{A}) = 2$; (D) $R(A) = 1, R(\bar{A}) = 1$.



注: 令 $A = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}, x = (x, y, z)^T, b = (d_1, d_2, d_3)^T$.

(1).3个平面无公共交点 $\Leftrightarrow Ax = b$ 无解 $\Leftrightarrow R(A) < R(\bar{A}) \leq 3$

(2).记 $n_i = (a_{i1}, a_{i2}, a_{i3})(i = 1, 2, 3) \Rightarrow A = \begin{pmatrix} n_1 \\ n_2 \\ n_3 \end{pmatrix}$



$$\left. \begin{aligned} \pi_i \cap \pi_j (j \neq i) &\Leftrightarrow n_i \not\sim n_j \Leftrightarrow n_i, n_j \text{ 线性无关} \Rightarrow R(A) \geq 2 \\ &\text{又 } R(A) < R(\bar{A}) \leq 3 \end{aligned} \right\}$$

$$\Rightarrow 2 \leq R(A) < R(\bar{A}) \leq 3 \Leftrightarrow 2 = R(A) < R(\bar{A}) = 3$$



3. 已知直线 $L_1: \frac{x-a_1}{a_1} = \frac{y-b_1}{b_1} = \frac{z-c_1}{c_1}$ 与 $L_2: \frac{x-a_2}{a_2} = \frac{y-b_2}{b_2} = \frac{z-c_2}{c_2}$

相交于一点, 记向量 $\alpha_i = (a_i, b_i, c_i), i = 1, 2, 3$, 则

- (A) α_1 可由 α_2, α_3 线性表出; (B) α_2 可由 α_1, α_3 线性表出;
 (C) α_3 可由 α_1, α_2 线性表出; (D) $\alpha_1, \alpha_2, \alpha_3$ 线性无关.

注: $\alpha_i = (a_i, b_i, c_i)$ 为 L_i 的方向向量 ($i = 1, 2$)
 L_1 与 L_2 相交 $\left. \vphantom{\begin{matrix} \alpha_i \\ L_i \end{matrix}} \right\} \Rightarrow \alpha_1, \alpha_2$ 线性无关

$P_1(a_1, b_1, c_1) \in L_1, P_2(a_2, b_2, c_2) \in L_2$
 L_1 与 L_2 相交 $\Rightarrow L_1, L_2$ 共面 $\left. \vphantom{\begin{matrix} P_1 \\ P_2 \end{matrix}} \right\} \Rightarrow \alpha_1, \alpha_2, \overrightarrow{P_1P_2}$ 共面
 $\Leftrightarrow [\alpha_1, \alpha_2, \overrightarrow{P_1P_2}] = 0$



$$\Leftrightarrow [\alpha_1, \alpha_2, \overrightarrow{P_1 P_2}] = \begin{vmatrix} a_1 & a_2 & a_3 - a_2 \\ b_1 & b_2 & b_3 - b_2 \\ c_1 & c_2 & c_3 - c_2 \end{vmatrix} = \begin{vmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{vmatrix} = 0$$

$\Leftrightarrow \left. \begin{array}{l} \alpha_1, \alpha_2, \alpha_3 \text{ 线性相关} \\ \text{又 } \alpha_1, \alpha_2 \text{ 线性无关} \end{array} \right\} \Rightarrow \alpha_3 \text{ 可由 } \alpha_1, \alpha_2 \text{ 线性表出.}$

