

CS 515: Programming Languages and Compiler II

Type Checking

Micro-OCaml Expression Grammar

$$e ::= x \mid n \mid e + e \mid \text{let } x = e \text{ in } e$$

► e , x , n are *meta-variables* that stand for categories of syntax

- x is any identifier (like z , y , foo)
- n is any numeral (like 1 , 0 , 10 , -25)
- e is any expression (here defined, recursively!)

► *Concrete syntax* of actual expressions in **black**

- Such as let , $+$, z , foo , in , ...

• $::=$ and $|$ are *meta-syntax* used to define the syntax of a language (part of “Backus-Naur form,” or BNF)

Micro-OCaml Expression Grammar

$$e ::= x \mid n \mid e + e \mid \text{let } x = e \text{ in } e$$

Examples

- 1 is a numeral n which is an expression e
- $1+z$ is an expression e because
 - 1 is an expression e ,
 - z is an identifier x , which is an expression e , and
 - $e + e$ is an expression e
- $\text{let } z = 1 \text{ in } 1+z$ is an expression e because
 - z is an identifier x ,
 - 1 is an expression e ,
 - $1+z$ is an expression e , and
 - $\text{let } x = e \text{ in } e$ is an expression e

Abstract Syntax = Structure

- ▶ Here, the grammar for ***e*** is describing its **abstract syntax tree (AST)**, i.e., ***e***'s structure

e* ::= *x* | *n* | *e* + *e* | let *x* = *e* in *e

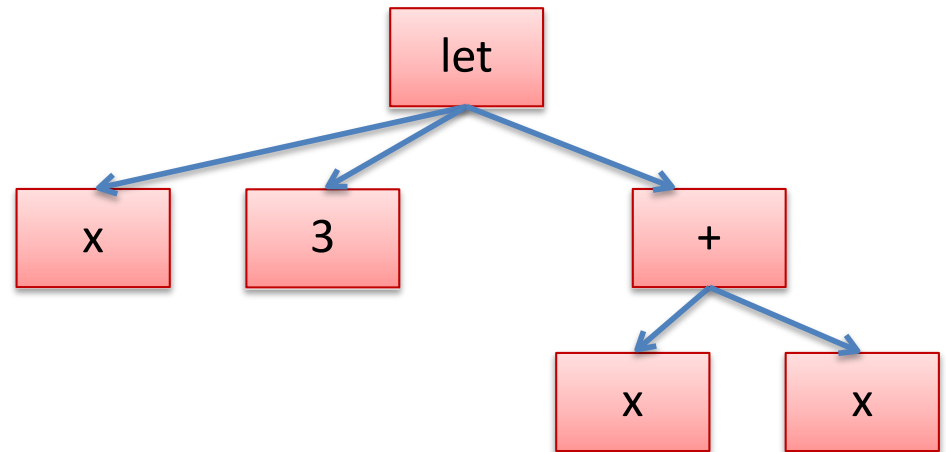
corresponds to (in definitional interpreter)

```
type id = string
type num = int
type exp =
  | Ident of id           (* x *)
  | Num of num            (* n *)
  | Plus of exp * exp     (* e+e *)
  | Let of id * exp * exp (* let x=e in e *)
```

Representing Syntax

- ▶ Program syntax is a complicated tree-like data structure

let x = 3 in
x + x



Representing Syntax

We can represent the OCaml program:

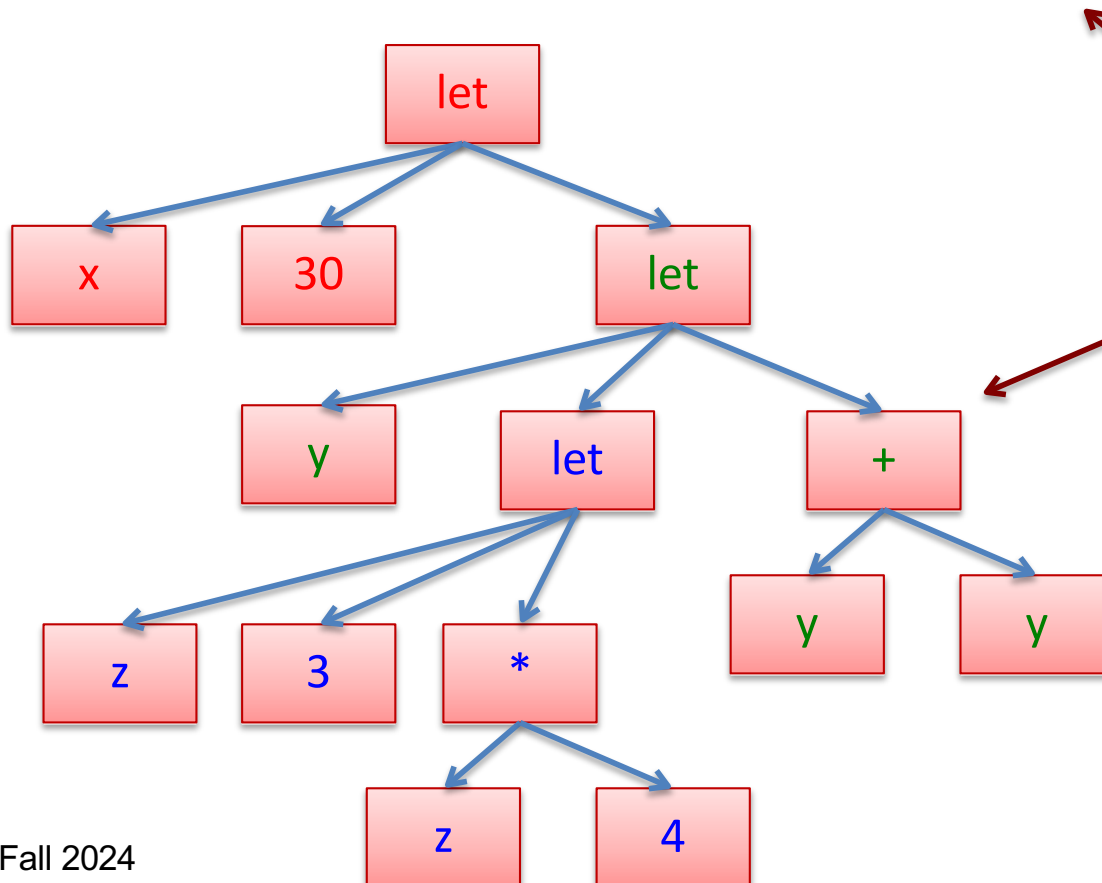
```
let x = 30 in
  let y =
    (let z = 3 in
      z+4)
  in
  y+y
```

as an exp value:

```
Let("x", Num 30,
    Let("y",
        Let("z", Num 3,
            Plus(Ident "z", Num 4)),
        Plus(Ident "y", Ident "y"))
```

Representing Syntax

```
Let("x", Num 30,  
    Let("y", Let("z", Num 3,  
                  Plus(Ident "z", Num 4)),  
        Plus(Ident "y", Ident "y"))
```



Notice how the
OCaml expression
can be drawn as a tree

Values

- ▶ An expression's final result is a **value**. What can values be?

$v ::= n$

- ▶ Just numerals for now
 - In terms of an interpreter's representation:
`type value = int`
 - In a full language, values **v** will also include booleans (**`true`**, **`false`**), strings, functions, ...

Interpreter

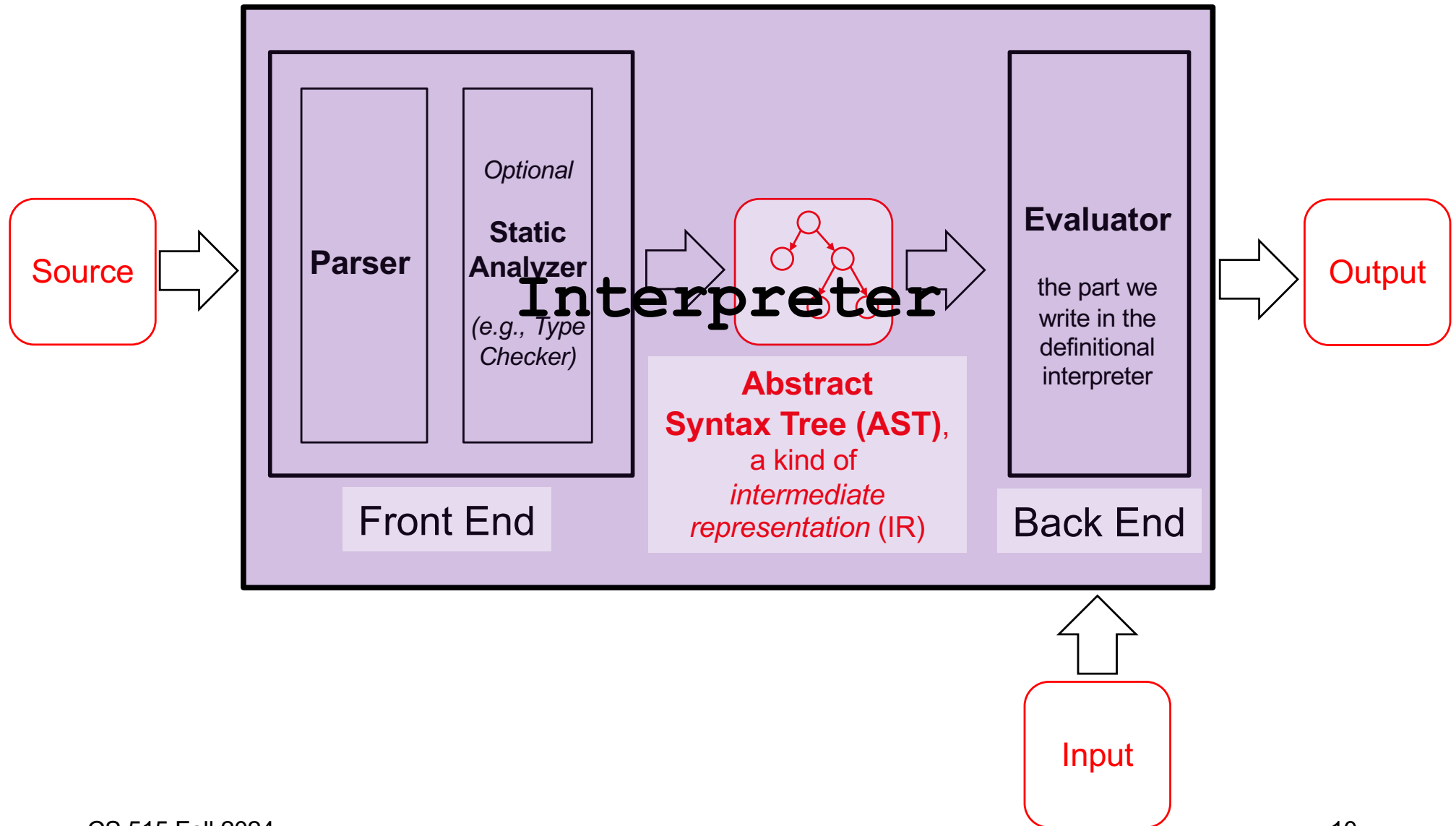
The semantics is represented as a function

eval: exp -> value

```
type id = string
type num = int
type exp =
  | Ident of id           (* x *)
  | Num of num            (* n *)
  | Plus of exp * exp     (* e+e *)
  | Let of id * exp * exp (* let x=e in e *)
```

type value = int

Aside: Real Interpreters



Example: Real Interpreters

text file containing program
as a sequence of characters

```
let x = 3 in  
x + x
```

Parsing

Type Checking

```
Let ("x",  
    Num 3,  
    Plus(Ident "x", Ident "x"))
```

data structure representing
result of evaluation

```
Num 6
```

Evaluation

data structure representing
program

Pretty
Printing

```
6
```

text file/stdout
containing formatted output

Quick Look: Type Checking

- ▶ Inference rules to specify a program's **static semantics**
 - I.e., the rules for type checking
- ▶ Types $t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$
- ▶ Judgment $\vdash e : t$ says e has type t
 - We define inference rules for this judgment

Rules of Inference

- ▶ We can use a more compact notation for the rules we just presented: **rules of inference**

- Has the following format

$$\frac{H_1 \quad \dots \quad H_n}{C}$$

- Says: if the conditions $H_1 \quad \dots \quad H_n$ (“hypotheses”) are true, then the condition C (“conclusion”) is true
- If $n=0$ (no hypotheses) then the conclusion automatically holds; this is called an axiom

Some Type Checking Rules

- ▶ Boolean constants have type **bool**

$$\vdash \text{true} : \text{bool}$$
$$\vdash \text{false} : \text{bool}$$

- ▶ Equality checking has type **bool** too
 - Assuming its target expression has type **int**

$$\vdash e : \text{int}$$
$$\vdash \text{eq0 } e : \text{bool}$$

- ▶ Conditionals

$$\vdash e1 : \text{bool} \quad \vdash e2 : t \quad \vdash e3 : t$$
$$\vdash \text{if } e1 \text{ then } e2 \text{ else } e3 : t$$

Handling Binding

- ▶ What about the types of variables?
 - Taking inspiration from the environment-style operational semantics, what could you do?
- ▶ Change judgment to be $G \vdash e : t$ which says *e has type t under type environment G*
 - G is a map from variables x to types t
 - It maps vars to types
- ▶ What would be the rules for `let`, and variables?

Type Checking with Binding

- ▶ Variable lookup

$$G(\mathbf{x}) = \mathbf{t}$$

$$G \vdash \mathbf{x} : \mathbf{t}$$

- ▶ Let binding

$$G \vdash \mathbf{e1} : \mathbf{t1} \quad G, \mathbf{x} : \mathbf{t1} \vdash \mathbf{e2} : \mathbf{t2}$$

$$G \vdash \mathbf{let\ x = e1\ in\ e2} : \mathbf{t2}$$

Type Checking Rules

```
t ::= bool | int | t->t
```

```
e ::=
```

```
  x
```

```
  | n
```

```
  | true
```

```
  | false
```

```
  | e o e
```

```
  | let x = e in e
```

```
  | if e then e else e
```

```
  | fun x:t -> e
```

```
  | e e
```

```
o ::= + | - | <
```

Goal: Give rules that define the relation " $G \vdash e : t$ ".

To do that, we are going to give one rule for every sort of expression.

(We can turn each rule into a case of a recursive function that implements it pretty directly.)

Type Checking Rules

$t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$

$e ::=$

x

n

true

false

$e \circ e$

$\text{let } x = e \text{ in } e$

$\text{if } e \text{ then } e \text{ else } e$

$\text{fun } x:t \rightarrow e$

$e \ e$

$\circ ::= + \mid - \mid <$

Rule for constant integers:

$G \vdash n : \text{int}$

English:

“integer constants n *always* have type int , no matter what the context G is”

Type Checking Rules

$t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$

$e ::=$

x

n

true

false

$e \circ e$

$\text{let } x = e \text{ in } e$

$\text{if } e \text{ then } e \text{ else } e$

$\text{fun } x:t \rightarrow e$

$e \ e$

$\circ ::= + \mid - \mid <$

Rule for constant booleans:

$G \vdash \text{true} : \text{bool}$

$G \vdash \text{false} : \text{bool}$

English:

“boolean constants b *always* have type `bool`, no matter what the context G is”

Type Checking Rules

$t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$

$e ::=$

x

| n

| true

| false

| $e \circ e$

| $\text{let } x = e \text{ in } e$

| $\text{if } e \text{ then } e \text{ else } e$

| $\text{fun } x:t \rightarrow e$

| $e \ e$

$\circ ::= + \mid - \mid <$

Rule for operators:

$$\frac{G \vdash e1 : t1 \quad G \vdash e2 : t2 \quad \text{optype}(o) = (t1, t2, t3)}{G \vdash e1 \circ e2 : t3}$$

where

$\text{optype}(+) = (\text{int}, \text{int}, \text{int})$

$\text{optype}(-) = (\text{int}, \text{int}, \text{int})$

$\text{optype}(<) = (\text{int}, \text{int}, \text{bool})$

English:

" $e1 \circ e2$ has type $t3$, if $e1$ has type $t1$, $e2$ has type $t2$ and \circ is an operator that takes arguments of type $t1$ and $t2$ and returns a value of type $t3$ "

Type Checking Rules

$t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$

$e ::=$

x

$| n$

$| \text{true}$

$| \text{false}$

$| e \circ e$

$| \text{let } x = e \text{ in } e$

$| \text{if } e \text{ then } e \text{ else } e$

$| \text{fun } x:t \rightarrow e$

$| e \ e$

$\circ ::= + \mid - \mid <$

Rule for variables:

look up x in
context G

$G \vdash x : G(x)$

English:

"variable x has the type given by the context"

Note: this rule explains (part) of why the context needs to provide types for all of the free variables in an expression

Type Checking Rules

$t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$

$e ::=$

x

$| n$

$| \text{true}$

$| \text{false}$

$| e \circ e$

$| \text{let } x = e \text{ in } e$

$| \text{if } e \text{ then } e \text{ else } e$

$| \text{fun } x:t \rightarrow e$

$| e \ e$

$\circ ::= + \mid - \mid <$

Rule for if:

$$\frac{G \vdash e1 : \text{bool} \quad G \vdash e2 : t \quad G \vdash e3 : t}{G \vdash \text{if } e1 \text{ then } e2 \text{ else } e3 : t}$$

English:

"if $e1$ has type `bool`
and $e2$ has type `t`
and $e3$ has (the same) type `t`
then `if e1 then e2 else e3` has type `t`"

Type Checking Rules

$t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$

$e ::=$

x

n

true

false

$e \circ e$

$\text{let } x = e \text{ in } e$

$\text{if } e \text{ then } e \text{ else } e$

$\text{fun } x:t \rightarrow e$

$e \ e$

$\circ ::= + \mid - \mid <$

Rule for functions:

$$\frac{G, x:t \vdash e : t_2}{G \vdash (\text{fun } x:t \rightarrow e) : t \rightarrow t_2}$$

English:

"if G extended with $x:t$ proves e has type t_2 then $\text{fun } x:t \rightarrow e$ has type $t \rightarrow t_2$ "

To know how to extend the context G , we need the typing annotation on the argument

Type Checking Rules

$t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$

$e ::=$

x

$| n$

$| \text{true}$

$| \text{false}$

$| e \circ e$

$| \text{let } x = e \text{ in } e$

$| \text{if } e \text{ then } e \text{ else } e$

$| \text{fun } x:t \rightarrow e$

$| e \ e$

$\circ ::= + \mid - \mid <$

Rule for function call:

$$\frac{G \vdash e1 : t1 \rightarrow t2 \quad G \vdash e2 : t1}{G \vdash e1 \ e2 : t2}$$

English:

"if $e1$ has type $t1 \rightarrow t2$ and $e2$ has type $t1$ then $e1 \ e2$ has type $t2$ "

Type Checking Rules

$t ::= \text{bool} \mid \text{int} \mid t \rightarrow t$

$e ::=$

x

n

true

false

$e \circ e$

$\text{let } x = e \text{ in } e$

$\text{if } e \text{ then } e \text{ else } e$

$\text{fun } x:t \rightarrow e$

$e \ e$

$\circ ::= + \mid - \mid <$

Rule for let:

$$\frac{G \vdash e1 : t1 \quad G, x:t1 \vdash e2 : t2}{G \vdash \text{let } x = e1 \text{ in } e2 : t2}$$

English:

"if $e1$ has type $t1$
and G extended with $x:t1$ proves $e2$ has
type $t2$ then $\text{let } x = e1 \text{ in } e2$ has type $t2$ "

Key Properties

- ▶ Good type systems are sound.
 - ie, well-typed programs have "well-defined" evaluation
 - An interpreter should not raise an exception part-way through because it doesn't know how to continue evaluation
- ▶ Examples of OCaml expressions that go wrong:
 - `true + 3` (addition of booleans not defined)
 - `let (x,y) = 17 in ...` (can't extract fields of int)
 - `true (17)` (can't use a bool as if it is a function)

Soundness = Progress + Preservation

- ▶ Sound type systems *accurately* predict run time behavior
 - if $e : \text{int}$ and e terminates then e evaluates to an integer
- ▶ Progress Theorem:
 - If $\vdash e : t$ then either:
 - (1) e is a value, or (2) $e \rightarrow e'$
- ▶ Preservation Theorem:
 - If $\vdash e : t$ and $e \rightarrow e'$ then $\vdash e' : t$

Type Checking

- ▶ The typing rules also define an algorithm for type checking:
 - If you view G and e as inputs,
 - the rules for “ $G \vdash e : t$ ” tell you how to compute t

The Syntax

```
type t = IntT           (* type int *)
      | BoolT           (* type bool *)
      | ArrT of t * t   (* type t -> t *)

type o = Plus | Minus | LessThan (* operators *)

type e =                (* expressions *)
  Int of int
  | Bool of bool
  | Fun of string * t * e  (* t gives type of argument *)
  | Ident of string
  | Op of e * o * e
  | If of e * e * e
  | Let of string * e * e
  | App of e * e
```

Context Operation

```
(* abstract type of contexts *)
```

```
type ctx = (id * t) list
```

```
(* update ctx x t: updates context ctx by binding variable x to type t *)
```

```
let extend ctx x ty = (x,ty)::ctx
```

```
(* look ctx x: retrieves the type t associated with x in ctx
```

```
*          raises NotFound if x does not appear in ctx *)
```

```
let rec lookup ctx x =
```

```
  match ctx with
```

```
    [] -> raise NotFound
```

```
  | (y,ty)::ctx' ->
```

```
    if x = y then ty
```

```
    else lookup ctx' x
```

Built-in Functions

- ▶ The types for library functions must be provided.

```
(* op o = (t1, t2, t3) when o has type t1 -> t2 -> t3 *)  
let op (o : o) : t =  
  match o with  
  | Plus -> (IntT, IntT, IntT)  
  | Minus -> (IntT, IntT, IntT)  
  | LessThan -> (IntT, IntT, Bool)
```

Simple Rules

```
(* type check expression e in ctx, producing t *)
let rec check (ctx : ctx) (e : e) : t =
  match e with
  | Int v -> IntT
  | Bool v -> BoolT

  | Op (e1, o, e2) ->
    let (t1, t2, t) = op o in  (* op : t1 -> t2 -> t3 *)
    let t1' = check ctx e1 in
    let t2' = check ctx e2 in
    if (t1 = t1') && (t2 = t2') then t3
    else
      failwith "bad argument to operator"
```

$$G \vdash \text{true} : \text{bool}$$

$$G \vdash \text{false} : \text{bool}$$

$$G \vdash n : \text{int}$$
$$\text{optype}(o) = (t1, t2, t3)$$
$$G \vdash e1 : t1$$

$$G \vdash e2 : t2$$
$$G \vdash e1 \text{ o } e2 : t3$$

Simple Rules

```
(* type check expression e in ctx, producing t *)  
let rec check (ctx : ctx) (e : e) : t =  
  match e with  
  
  | Ident x ->  
    (try look ctx x with  
     NotFound -> failwith ("free variable: " ^ x))
```

$$\frac{}{G \vdash x : G(x)}$$

Function Typing

(* type check expression e in ctx, producing t *)

let rec check (ctx : ctx) (e : e) : t =

match e with

| Fun (x,t,e) ->

check (update ctx x t) e

$$\frac{G, x:t \vdash e : t_2}{G \vdash (\text{fun } x:t \rightarrow e) : t \rightarrow t_2}$$

Notice that if we did not have the type t as a typing annotation we would not be able to make progress in our type checker at this point. We need to have a type for the variable x in our context in order to recursively check the expression e

Function Typing

(* type check expression e in ctx, producing t *)

let rec check (**ctx** : ctx) (**e** : e) : t =

match e with

| Fun (x,t,e) ->

check (update ctx x t) e

$$\frac{G, x:t \vdash e : t_2}{G \vdash (\text{fun } x:t \rightarrow e) : t \rightarrow t_2}$$

let f =

fun (x:int) -> x + 1

in

f 10

ctx = {}

Function Typing

(* type check expression e in ctx, producing t *)

let rec check (ctx : ctx) (e : e) : t =

match e with

| Fun (x,t,e) ->

check (update ctx x t) e

$$\frac{G, x:t \vdash e : t_2}{G \vdash (\text{fun } x:t \rightarrow e) : t \rightarrow t_2}$$

let f =

fun (x:int) -> x + 1

in

f 10

ctx = {x:int}

Function Typing

(* type check expression e in ctx, producing t *)

let rec check (**ctx** : ctx) (**e** : e) : t =

match e with

| Fun (x,t,e) ->

check (update ctx x t) e

$$\frac{G, x:t \vdash e : t_2}{G \vdash (\text{fun } x:t \rightarrow e) : t \rightarrow t_2}$$

let f =

fun (x:int) -> **x** + **1**

in

f 10

ctx = {x:int}

Function Typing

(* type check expression e in ctx, producing t *)

let rec check (ctx : ctx) (e : e) : t =

match e with

| App (e1, e2) ->

begin

let t1 = check ctx e1 in

match t1 with

| ArrT (targ, tresult) ->

let t2 = check ctx e2 in

if targ = t2 then tresult

else failwith "bad argument to function"

| _ -> failwith "not a function in call site"

end

$$G \vdash e1 : \text{targ} \rightarrow \text{tresult}$$
$$G \vdash e2 : \text{targ}$$
$$G \vdash e1 \ e2 : \text{tresult}$$

Function Typing

(* type check expression e in ctx, producing t *)

let rec check (ctx : ctx) (e : e) : t =

match e with

| App (e1, e2) ->

begin

let t1 = check ctx e1 in

match t1 with

| ArrT (targ, tresult) ->

let t2 = check ctx e2 in

if targ = t2 then tresult

else failwith "bad argument to function"

| _ -> failwith "not a function in call site"

end

$$\frac{G \vdash e1 : \text{targ} \rightarrow \text{tresult}}{G \vdash e1 e2 : \text{tresult}}$$

let f =

fun (x:int) -> x + 1

in

f 10

ctx = {f:int->int}

Function Typing

(* type check expression e in ctx, producing t *)

let rec check (**ctx** : ctx) (**e** : e) : t =

match e with

| App (e1, e2) ->

begin

let t1 = **check ctx e1** in

match t1 with

| ArrT (targ, tresult) ->

let t2 = check ctx e2 in

if targ = t2 then tresult

else failwith "bad argument to function"

| _ -> failwith "not a function in call site"

end

$$\frac{G \vdash e1 : \text{targ} \rightarrow \text{tresult}}{G \vdash e1 e2 : \text{tresult}}$$

let f =

fun (x:int) -> x + 1

in

f 10

ctx = {f:int->int}

Function Typing

(* type check expression e in ctx, producing t *)

let rec check (ctx : ctx) (e : e) : t =

match e with

| App (e1, e2) ->

begin

let t1 = check ctx e1 in

match t1 with

| ArrT (targ, tresult) ->

let t2 = check ctx e2 in

if targ = t2 then tresult

else failwith "bad argument to function"

| _ -> failwith "not a function in call site"

end

$$\frac{G \vdash e1 : \text{targ} \rightarrow \text{tresult}}{G \vdash e1 e2 : \text{tresult}}$$

let f =

fun (x:int) -> x + 1

in

f 10

ctx = {f:int->int}

Function Typing

```
(* type check expression e in ctx, producing t *)
```

```
let rec check (ctx : ctx) (e : e) : t =
```

```
  match e with
```

```
  | App (e1, e2) ->
```

```
    begin
```

```
      let t1 = check ctx e1 in
```

```
      match t1 with
```

```
      | ArrT (targ, tresult) ->
```

```
        let t2 = check ctx e2 in
```

```
        if targ = t2 then tresult
```

```
        else failwith "bad argument to function"
```

```
      | _ -> failwith "not a function in call site"
```

```
    end
```

$$\frac{G \vdash e1 : \text{targ} \rightarrow \text{tresult} \quad G \vdash e2 : \text{targ}}{G \vdash e1 \ e2 : \text{tresult}}$$

```
let f =
```

```
  fun (x:int) -> x + 1
```

```
in
```

```
f 10
```

```
ctx = {f:int->int}
```

Exercise: Other Rules

(* type check expression e in ctx, producing t *)

let rec check (ctx : ctx) (e : e) : t =

match e with

| If (e1, e2, e3) -> ...

| Let (x, e1, e2) -> ...

Review: Type Checking

A function `check : context -> exp -> type`

- requires function arguments to be annotated with types
- specified using formal rules. eg, the rule for function call:

```
let f =  
  fun (x:int) -> x + 1 in  
f 10
```

$$\frac{G \vdash e1 : t1 \rightarrow t2 \quad G \vdash e2 : t1}{G \vdash e1 \ e2 : t2}$$

Type Schemes

A *type scheme* contains type variables that may be filled in during type inference

$$s ::= a \mid \text{int} \mid \text{bool} \mid s \rightarrow s$$

A *term scheme* is a term that contains type schemes rather than proper types. eg, for functions:

$$\text{fun } (x:s) \rightarrow e$$
$$\text{let rec } f (x:s) : s = e$$

Main Algorithm

- ▶ Add distinct variables in all places type schemes are needed
- ▶ Generate constraint (equations between types) that must be satisfied in order for an expression to type check
- ▶ Solve the equations, generating substitutions of types for the variables.

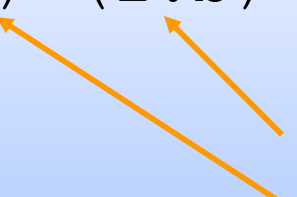
Example: Inferring types for map

```
let rec map f l =  
  match l with  
    [] -> []  
  | hd::tl -> f hd :: map f tl
```

Step 1: Annotate

constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | hd::tl -> f hd :: map f tl
```



type schemes
on functions

Step 2: Generate Constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | (hd:b') :: (tl:b' list) ->  
    f hd :: map f tl
```

b = b' list



constraints
b = b' list

Step 2: Generate Constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | (hd:b') :: (tl:b' list) ->  
    f hd :: map f tl
```

b = b' list

constraints
b = b' list
a = a
b = b' list

a = a b = b' list

Step 2: Generate Constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | (hd:b') :: (tl:b' list) ->  
    (f (hd:b')) :a' :: map f tl
```

b = b' list

constraints
b = b' list
a = a
b = b' list
a = b' -> a'

a = b' -> a'

a = a b = b' list

Step 2: Generate Constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | (hd:b') :: (tl:b' list) ->  
    ((f (hd:b')) :a' :: (map f tl) :c) :c' list
```

b = b' list

constraints
b = b' list
a = a
b = b' list
a = b' -> a'
c = c' list
a' = c'

a = b' -> a'

a = a b = b' list

c = c' list
a' = c'

Step 2: Generate Constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
  [] -> [] :d list  
  | (hd:b') :: (tl:b' list) ->  
    ((f (hd:b')) :a' :: (map f tl):c) :c' list
```

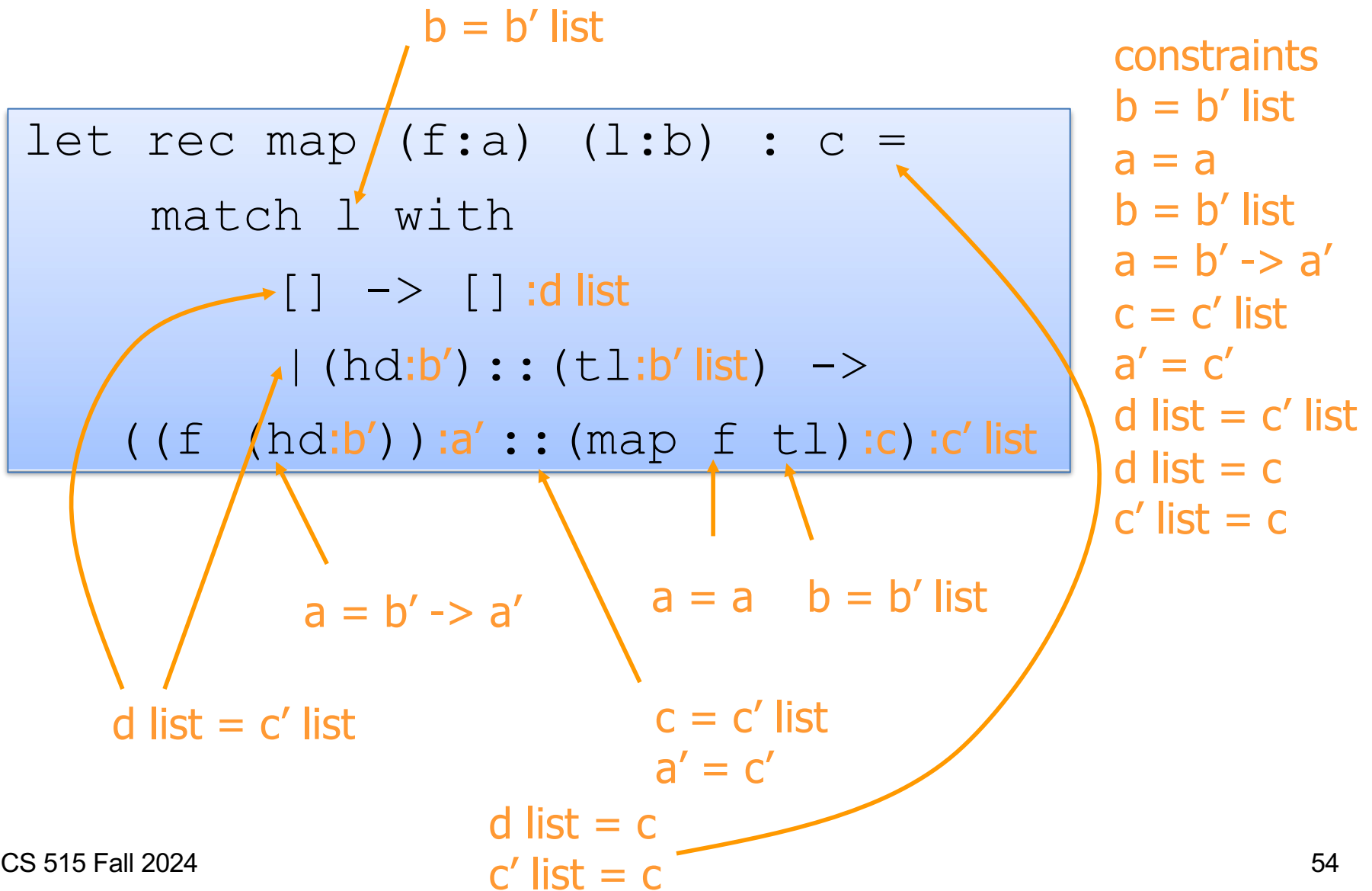
Annotations:

- $b = b' \text{ list}$ (points to `l:b`)
- $a = b' \rightarrow a'$ (points to `hd:b'`)
- $d \text{ list} = c' \text{ list}$ (points to `[] :d list`)
- $a = a$ (points to `f (hd:b')`)
- $b = b' \text{ list}$ (points to `tl:b' list`)
- $c = c' \text{ list}$ (points to `map f tl`)
- $a' = c'$ (points to `:c`)

constraints

- $b = b' \text{ list}$
- $a = a$
- $b = b' \text{ list}$
- $a = b' \rightarrow a'$
- $c = c' \text{ list}$
- $a' = c'$
- $d \text{ list} = c' \text{ list}$

Step 2: Generate Constraints



Step 3: Solve Constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | hd::tl -> f hd :: map f tl
```

constraints

$b = b' \text{ list}$

$a = a$

$b = b' \text{ list}$

$a = b' \rightarrow a'$

$c = c' \text{ list}$

$a' = c'$

$d \text{ list} = c' \text{ list}$

$d \text{ list} = c$

$c' \text{ list} = c$



solution

$[b' \rightarrow c'/a]$

$[b' \text{ list}/b]$

$[c' \text{ list}/c]$

Step 3: Solve Constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | hd::tl -> f hd :: map f tl
```

final solution:

```
[b' -> c'/a]  
[b' list/b]  
[c' list/c]
```

```
let rec map (f:b' -> c') (l:b' list) : c' list =  
  match l with  
    [] -> []  
  | hd::tl -> f hd :: map f tl
```


Type Inference Details

- ▶ Type constraints are sets of equations between type schemes
 - $q ::= \{s_{11} = s_{12}, \dots, s_{n1} = s_{n2}\}$
 - e.g.: $\{b = b' \text{ list}, a = (b \rightarrow c)\}$

Constraint Generation

- ▶ Syntax-directed constraint generation
 - our algorithm crawls over abstract syntax of untyped expressions and generates
 - a term scheme
 - a set of constraints

Constraint Generation

- ▶ Algorithm defined as set of inference rules:

$$\bullet \text{ } G \vdash u \Rightarrow e : t, q$$

constraints that must be solved

annotated
expression

unannotated
expression

inputs

outputs

in OCaml:

```
gen : ctxt -> exp ->  
      ann_exp * scheme * constraints
```

Constraint Generation

► Simple rules:

- $G \vdash x \implies x : s, \{ \}$ (if $G(x) = s$)
- $G \vdash n \implies n : \text{int}, \{ \}$
- $G \vdash \text{true} \implies \text{true} : \text{bool}, \{ \}$
- $G \vdash \text{false} \implies \text{false} : \text{bool}, \{ \}$

Operators

$$\begin{array}{c} G \vdash u1 ==> e1 : t1, q1 \qquad G \vdash u2 ==> e2 : t2, q2 \\ \hline G \vdash u1 + u2 ==> e1 + e2 : \text{int}, q1 \cup q2 \cup \{t1 = \text{int}, t2 = \text{int}\} \end{array}$$
$$\begin{array}{c} G \vdash u1 ==> e1 : t1, q1 \qquad G \vdash u2 ==> e2 : t2, q2 \\ \hline G \vdash u1 < u2 ==> e1 < e2 : \text{bool}, q1 \cup q2 \cup \{t1 = \text{int}, t2 = \text{int}\} \end{array}$$

If Expressions

$G \vdash u1 ==> e1 : t1, q1$

$G \vdash u2 ==> e2 : t2, q2$

$G \vdash u3 ==> e3 : t3, q3$

-----.


$G \vdash \text{if } u1 \text{ then } u2 \text{ else } u3 ==> \text{if } e1 \text{ then } e2 \text{ else } e3$

$: t2, \quad q1 \cup q2 \cup q3 \cup \{t1 = \text{bool}, t2 = t3\}$

Function Application

$$\frac{\begin{array}{l} G \vdash u1 ==> e1 : t1, q1 \\ G \vdash u2 ==> e2 : t2, q2 \end{array} \quad \text{(for fresh } a\text{)}}{G \vdash u1 \ u2 ==> e1 \ e2 \quad : \quad a, \quad q1 \ U \ q2 \ U \ \{t1 = t2 \rightarrow a\}}$$

Example

 $b = b' \text{ list}$

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | (hd:b') :: (tl:b' list) ->  
    (f (hd:b')) :a' :: map f tl
```

 $a = b' \rightarrow a'$

Function Definition

$$\frac{G, x : a \vdash u \Rightarrow e : t, q \quad (\text{for fresh } a, b)}{G \vdash (\text{fun } x \rightarrow u) \Rightarrow (\text{fun } (x \rightarrow e) : a \rightarrow b, q \cup \{t = b\})}$$

Example

```
let rec map (f:a) (l:b) : c =  
  match l with  
    [] -> []  
  | hd::tl -> f hd :: map f tl
```

type schemes
on functions

Step 2: Generate Constraints

```
let rec map (f:a) (l:b) : c =  
  match l with  
  [] -> [] :d list  
  | (hd:b') :: (tl:b' list) ->  
    ((f (hd:b')) :a' :: (map f tl):c) :c' list
```

$b = b' \text{ list}$

$d \text{ list} = c' \text{ list}$

$d \text{ list} = c$
 $c' \text{ list} = c$

Function Definition

$$\frac{G, f : a \rightarrow b, x : a \vdash u \Rightarrow e : t, q \quad (\text{for fresh } a, b)}{G \vdash (\text{rec } f(x) = u) \Rightarrow (\text{rec } f (x : a) : b = e) : a \rightarrow b, q \cup \{t = b\}}$$

Summary: The type inference system

$$\frac{G \vdash u1 ==> e1 : t1, q1 \quad G \vdash u2 ==> e2 : t2, q2}{G \vdash u1 + u2 ==> e1 + e2 : \text{int}, q1 \cup q2 \cup \{t1 = \text{int}, t2 = \text{int}\}}$$

$$\frac{G \vdash u1 ==> e1 : t1, q1 \quad G \vdash u2 ==> e2 : t2, q2 \quad G \vdash u3 ==> e3 : t3, q3}{G \vdash \text{if } u1 \text{ then } u2 \text{ else } u3 ==> \text{if } e1 \text{ then } e2 \text{ else } e3 : t2, q1 \cup q2 \cup q3 \cup \{t1 = \text{bool}, t2 = t3\}}$$

$$G \vdash x ==> x : s, \{ \} \quad (\text{if } G(x) = s)$$

$$G \vdash n ==> n : \text{int}, \{ \}$$

$$\frac{G \vdash u1 ==> e1 : t1, q1 \quad G \vdash u2 ==> e2 : t2, q2 \quad (\text{for fresh } a)}{G \vdash u1 \ u2 ==> e1 \ e2 : a, q1 \cup q2 \cup \{t1 = t2 \rightarrow a\}}$$

$$\frac{G, x : a \vdash u ==> e : t, q \quad (\text{for fresh } a)}{G \vdash \text{fun } x \rightarrow u ==> \text{fun } (x : a) \rightarrow e : a \rightarrow t, q}$$

$$\frac{G, f : a \rightarrow b, x : a \vdash u ==> e : t, q \quad (\text{for fresh } a, b)}{G \vdash \text{rec } f(x) = u ==> \text{rec } f(x : a) : b = e : a \rightarrow b, q \cup \{t = b\}}$$

Solving Constraints

- ▶ A solution to a system of type constraints is a ***substitution S***
 - a function from type variables to types
 - assume substitutions are defined on all type variables:
 - $S(a) = a$ (for almost all variables a)
 - $S(a) = s$ (for some type scheme s)

We can also apply a substitution S to a full type scheme s .

apply: [int/a , $\text{int} \rightarrow \text{bool}/b$]

to: $b \rightarrow a \rightarrow b$

returns: $(\text{int} \rightarrow \text{bool}) \rightarrow \text{int} \rightarrow (\text{int} \rightarrow \text{bool})$

Substitutions

- ▶ When is a substitution S a solution to a set of constraints?
- ▶ Constraints: $\{ s1 = s2, s3 = s4, s5 = s6, \dots \}$
- ▶ When the substitution makes both sides of all equations the same.

constraints:

```
a = b -> c  
c = int -> bool
```

solution:

```
b -> (int -> bool)/a  
int -> bool/c  
b/b
```

Substitutions

- ▶ When is a substitution S a solution to a set of constraints?
- ▶ Constraints: $\{ s1 = s2, s3 = s4, s5 = s6, \dots \}$
- ▶ When the substitution makes both sides of all equations the same.

constraints:

```
a = b -> c  
c = int -> bool
```

solution:

```
b -> (int -> bool)/a  
int -> bool/c  
b/b
```

constraints with solution applied:

```
b -> (int -> bool)    =    b -> (int -> bool)  
int -> bool          =    int -> bool
```


Substitutions

- ▶ When is a substitution S a solution to a set of constraints?
- ▶ Constraints: $\{ s1 = s2, s3 = s4, s5 = s6, \dots \}$
- ▶ When the substitution makes both sides of all equations the same.

constraints:

```
a = b -> c  
c = int -> bool
```

solution:

```
b -> (int -> bool)/a  
int -> bool/c  
b/b
```

solution 2:

```
int->(int->bool) / a  
int->bool / c  
int / b
```

Substitutions

- ▶ When is one solution better than another to a set of constraints?

constraints:

```
a = b -> c  
c = int -> bool
```

solution 1:

```
b -> (int -> bool) / a  
int -> bool / c  
b / b
```

type $b \rightarrow c$ with solution applied:

```
b -> (int -> bool)
```

solution 2:

```
int -> (int -> bool) / a  
int -> bool / c  
int / b
```

type $b \rightarrow c$ with solution applied:

```
int -> (int -> bool)
```

Substitutions

solution 1:

```
b -> (int -> bool) / a  
int -> bool / c  
b / b
```

type $b \rightarrow c$ with solution applied:

```
b -> (int -> bool)
```

solution 2:

```
int -> (int -> bool) / a  
int -> bool / c  
int / b
```

type $b \rightarrow c$ with solution applied:

```
int -> (int -> bool)
```

Solution 1 is "more general" – there is more flex.

Solution 2 is "more concrete"

We prefer solution 1.

Substitutions

solution 1:

```
b -> (int -> bool) / a  
int -> bool / c  
b / b
```

type $b \rightarrow c$ with solution applied:

```
b -> (int -> bool)
```

solution 2:

```
int -> (int -> bool) / a  
int -> bool / c  
int / b
```

type $b \rightarrow c$ with solution applied:

```
int -> (int -> bool)
```

Solution 1 is "more general" – there is more flex.

Solution 2 is "more concrete"

We prefer the more general (less concrete) solution 1.

Technically, we prefer T to S if there exists another substitution U and for all types t , $S(t) = U(T(t))$

Substitutions

solution 1:

```
b -> (int -> bool) / a  
int -> bool / c  
b / b
```

type $b \rightarrow c$ with solution applied:

```
b -> (int -> bool)
```

solution 2:

```
int -> (int -> bool) / a  
int -> bool / c  
int / b
```

type $b \rightarrow c$ with solution applied:

```
int -> (int -> bool)
```

There is always a *best* solution, which we can call a *principal solution*.
The best solution is (at least as) preferred as any other solution.

Examples

Example 1

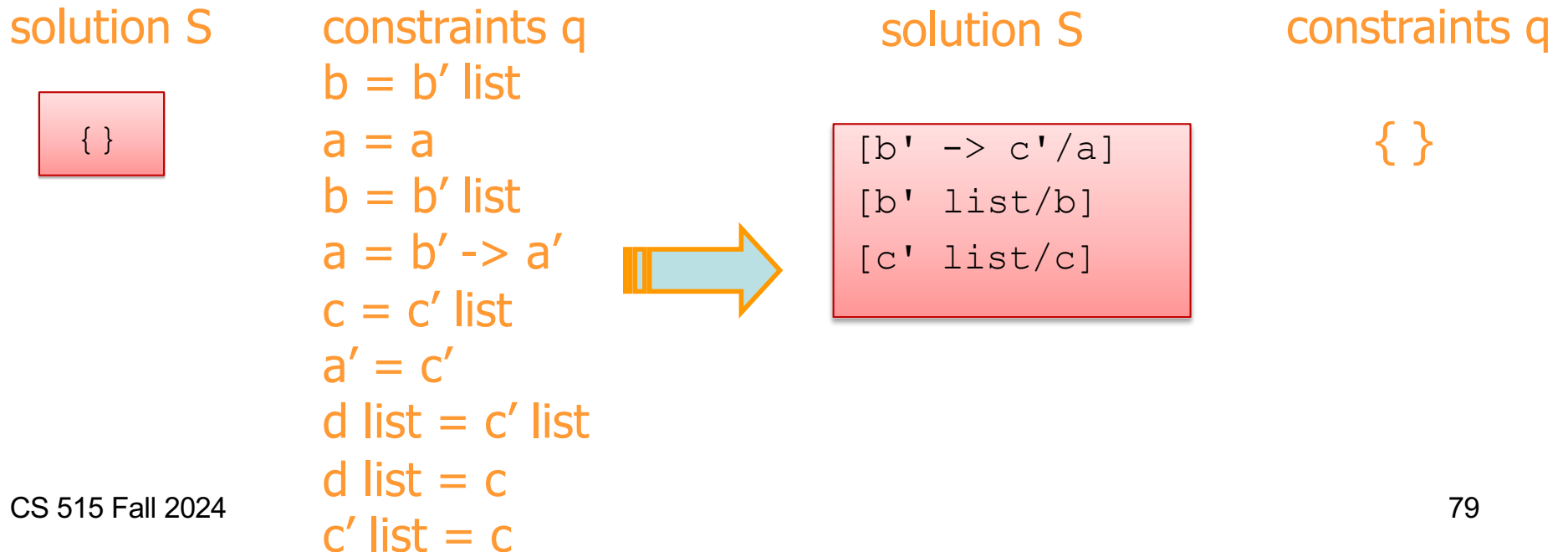
- $q = \{a=\text{int}, b=a\}$
- principal solution S :
 - $S(a) = S(b) = \text{int}$
 - $S(c) = c$ (for all c other than a, b)

Example 2

- $q = \{a=\text{int}, b=a, b=\text{bool}\}$
- principal solution S :
 - does not exist (there is no solution to q)

Unification

- ▶ **Unification**: An algorithm that provides the **principal solution** to a set of constraints (if one exists)
 - Unification systematically simplifies a set of constraints, yielding a substitution
 - Starting state of unification process: $(\{\}, q)$
 - Final state of unification process: $(S, \{\})$



Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

```
unify : substitution -> constraints  
      -> substitution
```

```
let rec unify S q =  
  match q with  
  | { } -> S  
  | {bool=bool} U q' -> unify q'  
  | {int = int} U q' -> unify q'
```


Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

unify : substitution \rightarrow constraints
 \rightarrow substitution

```
let rec unify S q =  
  match q with  
  | { } -> S  
  | {bool=bool} U q' -> unify q'  
  | {int = int} U q' -> unify q'  
  | {a = a} U q' -> unify q'
```

Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

unify : substitution \rightarrow constraints
 \rightarrow substitution

```
let rec unify S q =  
  match q with  
  | ...  
  | {A  $\rightarrow$  B = C  $\rightarrow$  D} U q'  $\rightarrow$   
    unify S ({A = C, B = D} U q')
```

Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

unify : substitution \rightarrow constraints
 \rightarrow substitution

```
let rec unify S q =  
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    unify S ({A = C, B = D} U q')
```

Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

unify : substitution \rightarrow constraints
 \rightarrow substitution

```
let rec unify S q =  
  match q with  
  | ...  
  | {s=a} U q' ??  
  | {a=s} U q'  $\rightarrow$   
    unify ([s/a] U S) q'
```

Unification

Ideal solution :

int / p
int / q
int / r

`unify {} { (p → p → q = q → r → int) }`

`unify {} { (p = q) , (p = r) , (q = int) }`

`unify { [q/p] } { (p = r) , (q = int) }`

`unify { [q/p] , [r/p] } { (q = int) }`

`unify { [q/p] , [r/p] , [int/q] } { }`

Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

unify : substitution \rightarrow constraints
 \rightarrow substitution

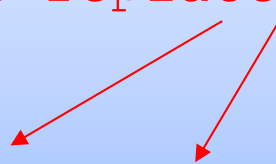
```
let rec unify S q =  
  match q with  
  | ...  
  | {s=a} U q'   
  | {a=s} U q' ->  
    unify ([s/a] U S) q'
```

Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

unify : substitution \rightarrow constraints
 \rightarrow substitution

```
let rec unify S q = Any occurrence of type variable  
  match q with a in types within S and q must  
    | ... be replaced by s  
    | {s=a} U q'   
    | {a=s} U q' ->  
      unify ([s/a] U [s/a]S) [s/a]q'
```



Unification

let rec unify S q = match q with

| {a=s} U q' -> unify ([s/a] ∪ [s/a]S) [s/a]q'

unify {} { (p → p → q = q → r → int) }

unify {} { (p = q) , (p = r) , (q = int) }

Ideal solution :

int / p
int / q
int / r

Unification

let rec unify S q = match q with

| {a=s} U q' -> unify ([s/a] ∪ [s/a]S) [s/a]q'

unify {} { (p → p → q = q → r → int) }

unify {} { (p = q) , (p = r) , (q = int) }

unify { [q/p] } { (q = r) , (q = int) }

Ideal solution :

int / p
int / q
int / r

Unification

let rec unify S q = match q with

| {a=s} U q' -> unify ([s/a] ∪ [s/a]S) [s/a]q'

unify {} { (p → p → q = q → r → int) }

unify {} { (p = q) , (p = r) , (q = int) }

unify { [q/p] } { (q = r) , (q = int) }

Ideal solution :

int / p
int / q
int / r

Unification

let rec unify S q = match q with

| {a=s} U q' -> unify ([s/a] U [s/a]S) [s/a]q'

unify {} { (p → p → q = q → r → int) }

unify {} { (p = q) , (p = r) , (q = int) }

unify { [q/p] } { (q = r) , (q = int) }

unify { [r/p] , [r/q] } { (r = int) }

Ideal solution :

int / p
int / q
int / r

Unification

let rec unify S q = match q with

| {a=s} U q' -> unify ([s/a] U [s/a]S) [s/a]q'

unify {} { (p → p → q = q → r → int) }

unify {} { (p = q) , (p = r) , (q = int) }

unify { [q/p] } { (q = r) , (q = int) }

unify { [r/p] , [r/q] } { (r = int) }

Ideal solution :

int / p
int / q
int / r

Unification

let rec unify S q = match q with

| {a=s} U q' -> unify ([s/a] U [s/a]S) [s/a]q'

unify {} { (p → p → q = q → r → int) }

unify {} { (p = q) , (p = r) , (q = int) }

unify { [q/p] } { (q = r) , (q = int) }

unify { [r/p] , [r/q] } { (r = int) }

unify { [int/p] , [int/p] , [int/r] } {}

Ideal solution :

int / p
int / q
int / r

Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

unify : substitution \rightarrow constraints
 \rightarrow substitution

```
let rec unify S q =  
  match q with  
  | ...  
  | {s=a} U q' ->  
  | {a=s} U q' ->  
    unify ([s/a]  $\cup$  [s/a]S) [s/a]q'
```

Occurs Check

$$\frac{\begin{array}{l} G \vdash u1 ==> e1 : t1, q1 \\ G \vdash u2 ==> e2 : t2, q2 \end{array} \quad \text{(for fresh a)}}{G \vdash u1 u2 ==> e1 e2 : a, q1 \cup q2 \cup \{t1 = t2 \rightarrow a\}}$$

► Consider a program:

- `fun x -> x x`

```
# fun x -> x x ;;
```

Line 1, characters 11-12:

Error: This expression has type 'a -> 'b but an expression was expected of type 'a
The type variable 'a occurs inside 'a -> 'b

- `fun (x:'a) -> ((x x):'b)`

Occurs Check

$$\frac{\begin{array}{l} G \vdash u1 ==> e1 : t1, q1 \\ G \vdash u2 ==> e2 : t2, q2 \end{array} \quad \text{(for fresh a)}}{G \vdash u1 u2 ==> e1 e2 : a, q1 \cup q2 \cup \{t1 = t2 \rightarrow a\}}$$

► Consider a program:

- `fun x -> x x`

```
# fun x -> x x ;;
```

Line 1, characters 11-12:

Error: This expression has type 'a -> 'b but an expression was expected of type 'a
The type variable 'a occurs inside 'a -> 'b

- `fun (x:'a) -> ((x x):'b)`

- It generates the constraints: `'a = 'a > 'b`
- What is the solution to `{'a = 'a > 'b}`?

Occurs Check

- ▶ Consider a program:
 - `fun x -> x x`
- ▶ It generates the constraints: `'a = 'a > 'b`
- ▶ What is the solution to `{'a = 'a > 'b}`?
- ▶ There is none!

For a constraint `{a = s}`, whenever `a` appears in `TypeVars(s)` and `s` is not just `a`, there is no solution to the system of constraints.

Occurs Check

- ▶ Consider a program:
 - `fun x -> x x`
- ▶ It generates the constraints: `'a = 'a > 'b`
- ▶ What is the solution to `{'a = 'a > 'b}`?
- ▶ There is none!

`"when a is not in TypeVars(s)"` is known as the `"occurs check"`

Unification

- Unification simplifies equations step-by-step until
 - there are no equations left to simplify, or
 - we find basic equations are inconsistent and we fail

unify : substitution \rightarrow constraints
 \rightarrow substitution

```
let rec unify S q =  
  match q with  
  | ...  
  | {a=s} U q' ->  
    unify ([s/a]  $\cup$  [s/a]S) [s/a]q'  
  when a is not in TypeVars(s)
```

Summary: Unification Engine

$$(S, \{\text{bool}=\text{bool}\} \cup q) \rightarrow (S, q)$$

$$(S, \{\text{int}=\text{int}\} \cup q) \rightarrow (S, q)$$

$$(S, \{a=a\} \cup q) \rightarrow (S, q)$$

$$(S, \{A \rightarrow B = C \rightarrow D\} \cup q) \rightarrow (S, \{A = C\} \cup \{B = D\} \cup q)$$

$$(S, \{a=s\} \cup q) \rightarrow ([s/a] \cup [s/a]S, [s/a]q) \quad \text{when } a \text{ is not in TypeVars}(s)$$

Irreducible States

- ▶ Recall unification simplifies equations step-by-step until
 - There are no equations left to simplify
 - Or we find basic equations that are inconsistent:
 - $\text{int} = \text{bool}$
 - $s1 \rightarrow s2 = \text{int}$
 - $s1 \rightarrow s2 = \text{bool}$
 - $a = s$ (s is a function type and s contains a)

or is symmetric to one of the above

In the latter case, the program does not type check.

Polymorphism

The type for map looks like this:

```
map : ('a -> 'b) -> 'a list -> 'b list
```

This type includes an implicit quantifier at the outermost level.
So really, map's type is this one:

```
map : forall 'a, 'b. ('a -> 'b) -> 'a list -> 'b list
```

To use a value with type **forall 'a, 'b. t**, we first substitute types for parameters 'a, 'b. eg:

```
map (fun x -> x + 1) [2;3;4]
```



here, we substitute [int/'a][int/'b]
in map's type and then use map at type
(int -> int) -> int list -> int list

Generalization

- ▶ OCaml has universal types on the outside (“prenex quantification”)

```
forall 'a,'b. ( ('a -> 'b) -> 'a list -> 'b list )
```

- ▶ It does not have types like this:

```
( forall 'a.'a -> int ) -> int -> bool
```



Argument type has its
own polymorphic quantifier

Generalization

- ▶ Consider this program:

```
let f g = (g true, g 3)
```

- Notice that parameter *g* is used inside *f* as if:
 - 1. Its argument can have type *bool*, *And*
 - 2. its argument can have type *int*
- Does this type work?

```
f: ('a -> int) -> int * int
```


Generalization

- ▶ Consider this program:

```
let f g = (g true, g 3)
```

- Notice that parameter *g* is used inside *f* as if:
 - 1. Its argument can have type *bool*, *And*
 - 2. its argument can have type *int*
- Does this type work?

```
f: ('a -> int) -> int * int
```

- No: this type say *g*'s argument can be of any type
- Consider the program: *f* (fun *x* -> *x* + 2)

Generalization

- ▶ Consider this program again:

```
let f g = (g true, g 3)
```

- ▶ We may want to give it this type:

```
f : (forall a.a->a) -> bool * int
```

- ▶ Notice that the universal quantifier appears left of ->

Generalization

- ▶ This is **System F** type system.
- ▶ **System F** is a lot like OCaml, except that it allows universal quantifiers in any position. It could type check f.

```
let f g = (g true, g 3)
```

```
f : (forall a.a->a) -> bool * int
```

Generalization

- ▶ This is **System F** type system.
- ▶ **System F** is a lot like OCaml, except that it allows universal quantifiers in any position. It could type check f.

```
let f g = (g true, g 3)
```

```
f : (forall a.a->a) -> bool * int
```

- ▶ Unfortunately, type inference in System F is undecidable.

Generalization

- ▶ Where do we introduce polymorphic values?

Consider:

```
g (fun x -> 3)
```

- ▶ It is tempting to do something like this:

```
(fun x -> 3) : forall a. a -> int
```

```
g : (forall a. a -> int) -> int
```

- ▶ But we may run into decidability issues

Generalization

- ▶ Where do we introduce polymorphic values?
- ▶ In OCaml: only when values bound in “let declarations”

```
g (fun x -> 3)
```

No polymorphism for fun x -> 3!

```
let f : forall a. a -> a = fun x -> 3 in  
g f
```

Yes polymorphism for f!

Let Polymorphism

- ▶ Where do we introduce polymorphic values?

let $x = v$

- ▶ Rule:
 - If v is a value
 - and v has type scheme s
 - and s has type variables a, b, c, \dots
 - and a, b, c, \dots do not appear in the type of other values in the context
 - Then x can have type for all $a, b, c. s$

Unsound Generalization Example

- ▶ Consider this function f - a fancy identity function:

```
let f = fun x -> let y = x in y
```

- ▶ A sensible type for f would be:

```
f : forall a. a -> a
```


Unsound Generalization Example

- ▶ Consider this function f - a fancy identity function:

```
let f = fun x -> let y = x in y
```

- ▶ A bad (unsound) type for f would be:

```
f : forall a, b. a -> b
```

Unsound Generalization Example

- ▶ Consider this function f - a fancy identity function:

```
let f = fun x -> let y = x in y
```

- ▶ A bad (unsound) type for f would be:

```
f : forall a, b. a -> b
```

```
(f true) + 7
```

goes wrong! but if f can have the bad type, it all type checks. This *counterexample* to soundness shows that f can't possibly be given the bad type safely

Unsound Generalization Example

- Now, consider doing type inference:

let f = fun x -> let y = x in y

$x : a$

then we
can use y
as if it has
any type,
such as $y : b$

suppose we generalize and allow $y : \text{forall } a.a$

but now we have inferred that $(\text{fun } x \rightarrow \dots) : a \rightarrow b$
and if we generalize again,
 $f : \text{forall } a,b. a \rightarrow b$

That's the bad type!

Unsound Generalization Example

- ▶ Now, consider doing type inference:

let f = fun x -> let y = x in y

x : a

suppose we generalize and allow y : forall a.a

this was the bad step – y can't really have any type at all. Its type has got to be the same as whatever the argument x is.

x was in the context when we tried to generalize y!

Let Generalization *Only!*

- ▶ In OCaml: only when values bound in “let declarations”

```
g (fun x -> 3)
```

No polymorphism for fun x -> 3!

```
let f : forall a. a -> a = fun x -> 3 in  
g f
```

Yes polymorphism for f!

```
# (fun x -> x) (fun x -> 3);;  
- : 'weak1 -> int = <fun>
```

A “weak” type variable cannot be generalized. Means “I don’t know what type this is but it can only be one particular type”

Let Generalization *Only!*

- ▶ In OCaml: only when values bound in “let declarations”

`g (fun x -> 3)`

No polymorphism for `fun x -> 3`!

`let f : forall a. a -> a = fun x -> 3 in
g f`

Yes polymorphism for `f`!

```
# let g = (fun x -> x) (fun x -> 3);;  
val g : '_weak2 -> int = <fun>  
# let a = g 1 in g true;;
```

Error: This expression has type `bool` but an expression was expected of type `int`

Let Generalization *Only!*

- ▶ In OCaml: only when values bound in “let declarations”

`g (fun x -> 3)`

No polymorphism for `fun x -> 3`!

`let f : forall a. a -> a = fun x -> 3 in
g f`

Yes polymorphism for `f`!

```
# let g = fun x -> 3;;  
val g : 'a -> int = <fun>  
# let _ = g 1 in g true;;  
- : int = 3
```

Polymorphic Type Inference Algorithm

$t ::= a \mid \text{int} \mid t \rightarrow t$

$\sigma ::= \mathbf{t} \mid \forall a. \sigma$

$e ::=$

x

$| \ n$

$| \ \text{let } x = e \text{ in } e$

$| \ \text{fun } x \rightarrow e$

$| \ e \ e$

- ▶ Types
- ▶ Type Schemes
- ▶ Expressions

Polymorphic Type Inference Algorithm

$$\sigma = \forall a_1, \dots, a_n. t$$

- ▶ Type scheme σ can be instantiated to a type t' by substituting types for the bound variables of σ , i.e.,
 - $t' = S \sigma$. For some S s.t. $\text{Dom}(S) \subseteq \text{BV}(\sigma)$
 - t' is said to be an instance of σ ($\sigma > t'$)
 - t' is said to be a generic instance of σ when S maps variables to new variables.
- ▶ Example:
 - $\sigma = \forall a_1. a_1 \rightarrow a_2$
 - $a_3 \rightarrow a_2$ is a generic instance of σ , $\text{int} \rightarrow a_2$ is not.

Polymorphic Type Inference Algorithm

$\text{Gen}(G, t) = \forall a_1, \dots, a_n. t$ where $\{a_1, \dots, a_n\} = \text{TyVar}(t) - \text{TyVar}(G)$

- ▶ Generalization introduces polymorphism
- ▶ Quantify type variables that are free in t but not free in the type environment G .
- ▶ Captures the notion of new type variables of t .

Polymorphic Type Inference Algorithm

(App)

$G \vdash e1: t \rightarrow t' \quad G \vdash e2: t$

 $G \vdash (e1 \ e2): t'$

(Abs)

$G; x:t \vdash e: t'$

 $G \vdash (\text{fun } x:t \rightarrow e): t \rightarrow t'$

(Var)

$(x:\sigma) \in G \quad \sigma \geq t$

 $G \vdash x: t$ ←

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(Const)

 $G \vdash n:\text{int}$

x has a different type in e1 than in e2. In e1, x is not a polymorphic type, but in e2 it generalized into one

(Let)

$G; x:t \vdash e1: t \quad G; \{x: \text{Gen}(G, t)\} \vdash e2: t'$

 $G \vdash (\text{let } x = e1 \text{ in } e2): t'$

x can be considered of type t as long as its type as specified in the environment can be specialized to t

Polymorphic Type Inference Algorithm

```
let W(G, e) = match e with
| c -> ({}, int)
| x -> if x ∈ Dom(G) let  $\forall a_1, \dots, a_n. t = G(x)$  in ( $\{\}$ ,  $[u_i/a_i]t$ )
      else Fail
| (fun x -> e) -> let (S1, t1) = W(G; {x:u}, e) in
                  (S1, S1(u) -> t1)
| (e1 e2) -> let (S1, t1) = W(G, e1) in
              let (S2, t2) = W(S1(G), e2) in
              let S3 = unify(S2(t1), t2 -> u) in
              (S3 U S2 U S1, S3(u))
| let x = e1 in e2 ->
  let (S1, t1) = W(G; {x:u}, e1) in
  let S2 = unify(S1(u), t1) in
  let  $\sigma$  = Gen(S2S1(G), S2(t1)) in
  let (S3, t2) = W(S2S1(G); {x: $\sigma$ }, e2) in
  in (S3 U S2 U S1, t2)
```

u's
represent
new type
variables

Polymorphic Type Inference Algorithm

$\lambda x.$	<table border="1"><tr><td>$let\ f = \lambda y.x$</td><td>B</td></tr><tr><td>$in\ (f\ 1,\ f\ True)$</td><td></td></tr></table>	$let\ f = \lambda y.x$	B	$in\ (f\ 1,\ f\ True)$		A
$let\ f = \lambda y.x$	B					
$in\ (f\ 1,\ f\ True)$						

$W(\emptyset, A) =$

$W(\{x:u1\}, B) =$

$W(\{x:u1, f:u2\}, (\text{fun } y \rightarrow x)) = ([], u3 \rightarrow u1)$

$W(\{x:u1, f:u2, y:u3\}, x) = ([], u1)$

Polymorphic Type Inference Algorithm

$\lambda x. \text{let } f = \lambda y. x$	B	A
$\text{in } (f \ 1, \ f \ \text{True})$		

$W(\emptyset, A) =$

$W(\{x:u1\}, B) =$

$W(\{x:u1, f:u2\}, (\text{fun } y \rightarrow x)) = ([], u3 \rightarrow u1)$

$W(\{x:u1, f:u2, y:u3\}, x) = ([], u1)$

$\text{unify}(u2, u3 \rightarrow u1) = [(u3 \rightarrow u1)/u2]$

$\text{Gen}(\{x:u1\}, u3 \rightarrow u1) = \forall u3. u3 \rightarrow u1$

Polymorphic Type Inference Algorithm

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$\text{unify}(u2, u3 \rightarrow u1) = [(u3 \rightarrow u1)/u2]$

$\text{Gen}(\{x:u1\}, u3 \rightarrow u1) = \forall u3. u3 \rightarrow u1$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, (f\ 1)) =$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, f) = ([], u4 \rightarrow u1)$

Polymorphic Type Inference Algorithm

$\lambda x. \text{let } f = \lambda y. x$	B	A
$\text{in } (f \ 1, \ f \ \text{True})$		

$W(\emptyset, A) =$

$W(\{x:u1\}, B) =$

$W(\{x:u1, f:u2\}, (\text{fun } y \rightarrow x)) = ([], u3 \rightarrow u1)$

$W(\{x:u1, f:u2, y:u3\}, x) = ([], u1)$

$\text{unify}(u2, u3 \rightarrow u1) = [(u3 \rightarrow u1)/u2]$

$\text{Gen}(\{x:u1\}, u3 \rightarrow u1) = \forall u3. u3 \rightarrow u1$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, (f \ 1)) =$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, f) = ([], u4 \rightarrow u1)$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, 1) = ([], \text{int})$

$\text{unify}(u4 \rightarrow u1, \text{Int} \rightarrow u5) = [\text{int}/u4, u1/u5]$

Polymorphic Type Inference Algorithm

$\lambda x. \text{let } f = \lambda y. x$	B
$\text{in } (f \ 1, \ f \ \text{True})$	A

$W(\emptyset, A) =$

$W(\{x:u1\}, B) =$

$W(\{x:u1, f:u2\}, (\text{fun } y \rightarrow x)) = ([], u3 \rightarrow u1)$

$W(\{x:u1, f:u2, y:u3\}, x) = ([], u1)$

$\text{unify}(u2, u3 \rightarrow u1) = [(u3 \rightarrow u1)/u2]$

$\text{Gen}(\{x:u1\}, u3 \rightarrow u1) = \forall u3. u3 \rightarrow u1$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, (f \ 1)) = (... , u1)$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, f) = ([], u4 \rightarrow u1)$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, 1) = ([], \text{int})$

$\text{unify}(u4 \rightarrow u1, \text{Int} \rightarrow u5) = [\text{int}/u4, u1/u5]$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, (f \ \text{true})) = (... , u1)$

Polymorphic Type Inference Algorithm

$\lambda x. \text{let } f = \lambda y. x$	B
$\text{in } (f \ 1, \ f \ \text{True})$	A

$W(\emptyset, A) = (\dots, (u1 \rightarrow (u1, u1)))$

$W(\{x:u1\}, B) = (\dots, (u1, u1))$

$W(\{x:u1, f:u2\}, (\text{fun } y \rightarrow x)) = ([], u3 \rightarrow u1)$

$W(\{x:u1, f:u2, y:u3\}, x) = ([], u1)$

$\text{unify}(u2, u3 \rightarrow u1) = [(u3 \rightarrow u1)/u2]$

$\text{Gen}(\{x:u1\}, u3 \rightarrow u1) = \forall u3. u3 \rightarrow u1$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, (f \ 1)) = (\dots, u1)$

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$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, 1) = ([], \text{int})$

$\text{unify}(u4 \rightarrow u1, \text{Int} \rightarrow u5) = [\text{int}/u4, u1/u5]$

$W(\{x:u1, f:\forall u3. u3 \rightarrow u1\}, (f \ \text{true})) = (\dots, u1)$

Polymorphic Type Inference Algorithm

- ▶ It is sound with respect to the type system.
 - An inferred type is verifiable.
- ▶ It generates most general types of expressions.
 - Any verifiable type is inferred.
- ▶ Complexity
 - PSPACE-Hard