

# MATH7350 Topics in Homological Algebra

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# Introduction

This is a graduate course in homological algebra, which is a continuation of the course MATH6350 Homological algebra in Spring 2018. There are several notes from previous years, which are also notes for courses taught by Professor Yuri Berest in recent years.

The outline for this course are as follows. The first two parts are determined with certainty, but the rest may be changed according to audience's preferences.

## Part.I Classical Homological Algebra

### 1. Dold-Kan Correspondence.

This correspondence builds between homological algebra and homotopical algebra. More explicitly, the (simplicial) Dold-Kan functor

$$\mathcal{N} : \mathbf{sMod}(R) \xrightarrow{\cong} \mathbf{Com}_+(R)$$

gives an equivalence between the category of simplicial  $R$ -modules and nonnegatively graded chain complexes of  $R$ -modules. The left hand side has a generalization to simplicial model category  $\mathbf{sC}$ .

There is a dual version of the Dold-Kan correspondence. We have a cosimplicial Dold-Kan functor

$$\mathcal{N} : \mathbf{csMod}(R) \xrightarrow{\cong} \mathbf{Com}^+(R)$$

which gives an equivalence between the category of cosimplicial  $R$ -modules and nonnegatively graded cochain complexes.

### 2. Variations.

(a) “Differential form” version of DK correspondence. See [MK97] for details.

(b) “Dupical” categories version. See Dwyer-Kan’s DK normalization.

(c) Monoidal versions.

- i. Let  $R = k$  be a commutative ring. We have a Quillen equivalence  $\mathbf{sAlg}_k \simeq_Q \mathbf{DGA}_k$  which, however, is not derived from the above functor. See [SS03] for more details.
- ii. Beilinson’s Theorem from number theory.

In general, the cosimplicial functor does not restricts to commutative algebras, but if we revise

a little to a “small” version, we have

$$\mathcal{N} : \mathbf{csCommAlg}_k^{\text{small}} \xrightarrow{\sim} (\mathbf{CDGA}_k^+)^{\text{small}}$$

where the second “small” can be explained very explicitly: the differential graded commutative algebras are generated by  $A^0$  and  $A^1$ , the degree 0 and degree 1 components.

**Application:** Beilinson’s conjecture on regulator maps for arbitrary schemes, which generalizes Borel’s regulator map at one point (up to a factor  $\frac{1}{2}$ ). See [BG02] for details.

iii. Categorical versions

$$\mathbf{sMod}(k)\text{-Cat} \simeq_Q \mathbf{DGCat}_k^+$$

where  $\mathbf{DGCat}_+(k)$  contains the small additive categories enriched over  $\mathbf{Com}_+(k)$ , and  $\mathbf{sMod}(k)\text{-Cat}$  contains the small categories enriched in simplicial modules. In Dwyer-Kan’s model structure, the weak equivalences are quasi-isomorphisms.

**Application:** Rational homotopy theory.  $f : X_* \rightarrow Y_*$  is called a rational homotopy equivalence of simply connected spaces if  $\pi_i f : \pi_i(X, *) \otimes_{\mathbb{Z}} \mathbb{Q} \xrightarrow{\sim} \pi_i(Y, *) \otimes_{\mathbb{Z}} \mathbb{Q}$  as  $\mathbb{Q}$ -vector spaces for any  $i > 0$ .

$$\begin{array}{c} \text{rational homotopy types of simply connected spaces} \\ \uparrow \text{Quillen '69} \quad 1-1 \\ \text{homotopy types of reduced DG Lie algebra over } \mathbb{Q} \\ \uparrow \text{Sullivan '71} \quad \text{Koszul duality} \\ \text{homotopy types of } \mathbf{CDGA}_{\mathbb{Q}}^+ \end{array}$$

$\mathbb{Q}$  can be replaced by any field  $k$  of characteristic 0.

**Example.** Let  $X$  be a 1-connected real  $C^\infty$ -manifold, then  $\Omega^\bullet(X) \in H_0(\mathbf{CDGA}_{\mathbb{R}}^+)$ .

**Problem.** What algebraic objects can be taken as algebraic models for general spaces?

**Solution 1.** Look at “closed tensor” differential graded categories, or “commutative” differential graded categories. See Katzarkov–Pantev–Toën 2008 [KPT08], Pridham 2008 [P08], Moriya 2012 [Mor12].

## Part.II Simplicial Methods

### 1. Simplicial groups $\mathbf{sGr}$ .

**Theorem.** [Kan’s Loop Group Theorem] *There is a classical Quillen equivalence between the category*

of pointed connected topological spaces and the category of reduced simplicial sets. Furthermore, the Kan loop functor gives a Quillen equivalence between the category of reduced simplicial sets and the category of simplicial groups.

$$\mathbf{Top}_{0,*} \xrightleftharpoons[|-|]{\simeq_Q} \mathbf{sSet}_0 \xrightleftharpoons[W]{G} \mathbf{sGr}$$

This theorem is a generalization of the classifying space  $B\Gamma$  of a group  $\Gamma$ .

$$H_0(\mathbf{Top}_{0,*}) \xrightarrow{\cong} H_0(\mathbf{sGr}) \longleftrightarrow \mathbf{Gr}$$

$$B\Gamma \longleftarrow \Gamma$$

**Game:** Take your favorite group theoretic construction (or property of a group) and extend it (up to homotopy) to all spaces.

- Homology of spaces is an extension of abelianization of groups.
- Homotopy normal maps is an extension of normal maps  $N \hookrightarrow G$  where  $N \triangleleft G$ .
- Representation homology is an extension of representation variety of groups.

2. Crossed simplicial groups. (cyclic theory and cyclic homology)

3. Simplicial Chern-Weil theory

**Part.III** A Survey in Simplicial Presheaves in Derived Algebraic Geometry (DAG) and Homotopical Algebraic Geometry (HAG)

## Part I

# Simplicial and Cosimplicial Objects

## 1 Simplicial Objects

In this section, we will discuss about

- definitions and examples of simplicial objects
- formal (categorical) properties: simplicial adjunctions

### 1.1 The (Co)simplicial Category $\Delta$

**Definition 1.1.**  $\Delta$  is the category whose objects are the finite totally ordered sets  $[n] = \{0 \leq 1 \leq 2 \leq \dots \leq n\}$ ,  $n \geq 0$ , and the morphisms are order-preserving maps

$$\mathrm{Hom}_{\Delta}([m], [n]) := \{f \in \mathrm{Hom}_{\mathbf{Set}}([m], [n]) \mid f(i) \leq f(j), \forall 0 \leq i \leq j \leq m\}$$

*Remark 1.1.* (trivial observation)

1.  $\mathrm{Aut}_{\Delta}([n]) = \{\mathrm{Id}\}$ ,  $\forall n \geq 0$ .
2.  $\Delta$  has terminal object  $[0]$  but no initial object.

There are two classes of morphisms in  $\Delta$ .

- the *coface* maps  $d^i : [n-1] \hookrightarrow [n]$ ,  $0 \leq i \leq n$ ,  $n \geq 1$  defined by the property that  $d^i$  is injective and contains no “ $i$ ” in its image, i.e.

$$d^i(k) = \begin{cases} k & k < i \\ k-1 & k \geq i \end{cases}$$

- the *codegeneracy* maps  $s^j : [n+1] \twoheadrightarrow [n]$ ,  $0 \leq j \leq n$ ,  $n \geq 0$  defined by the property that  $s^j$  is surjective and takes the value “ $j$ ” twice, i.e.

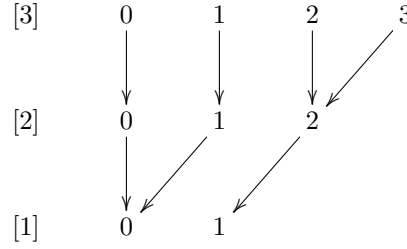
$$s^j(k) = \begin{cases} k & k \leq j \\ k-1 & k > j \end{cases}$$

**Convention.** We will write  $f : [m] \rightarrow [n]$  for cosimplicial case and  $f : [n] \rightarrow [m]$  for simplicial ones.

**Lemma 1.1.** *Every  $f \in \text{Hom}_\Delta([n], [m])$  can be decomposed (in a unique way) into the composition  $f = d^{i_1} d^{i_2} \dots d^{i_r} s^{j_1} s^{j_2} \dots s^{j_s}$  where  $m = n + r - s$ ,  $i_1 < i_2 < \dots < i_r$  and  $j_1 < j_2 < \dots < j_s$ .*

*Proof.* See [GZ67] Lemma 2.2. □

**Example 1.1.** Consider the unique morphism  $f : [3] \rightarrow [1]$



$f$  can be factored as  $f = s^0 \circ s^2$ .

The morphisms  $d^i$  and  $s^j$  satisfy the cosimplicial relation

$$\left\{ \begin{array}{ll} d^j d^i = d^i d^{j-1} & i < j \\ s^j s^i = s^i s^{j+1} & i \leq j \\ s^j d^i = \begin{cases} d^i s^{j-1} & i < j \\ \text{Id} & i = j, j+1 \\ d^{i-1} s^j & i > j+1 \end{cases} & \end{array} \right. \quad (1)$$

*Remark 1.2.*  $\Delta$  can be defined abstractly as the category with objects  $\{[n]\}_{n \geq 0}$  and morphisms generated by  $d^i : [n-1] \rightarrow [n]$  and  $s^j : [n+1] \rightarrow [n]$  satisfying the cosimplicial relation 1.

### (Co)simplicial Objects

**Definition 1.2.** Let  $\mathcal{C}$  be a category.

1. a *cosimplicial object* in  $\mathcal{C}$  is a functor  $X^* : \Delta \rightarrow \mathcal{C}, [n] \mapsto X^n := X^*[n]$ .
2. a *simplicial object* in  $\mathcal{C}$  is a functor  $X_* : \Delta^{op} \rightarrow \mathcal{C}, [n] \mapsto X_n := X_*[n]$ .

The category of simplicial and cosimplicial objects in  $\mathcal{C}$  are denoted  $\mathbf{s}\mathcal{C} = \mathcal{C}^{\Delta^{op}} = \mathbf{Fun}(\Delta^{op}, \mathcal{C}) = \mathcal{C}_\Delta$  and  $\mathbf{cs}\mathcal{C} = \mathcal{C}^\Delta = \mathbf{Fun}(\Delta, \mathcal{C})$ . In both cases, morphisms are natural transformations of functors.

**Example 1.2.**  $\mathcal{C} = \mathbf{Set}$ ,  $\mathbf{s}\mathcal{C} = \mathbf{sSet}$  is the category of simplicial sets.



The dual category  $\Delta^{op}$  has the presentation  $Ob(\Delta^{op}) = Ob(\Delta) = \{[n], n \geq 0\}$ , and morphisms are generated by the *face* maps  $d_i : [n] \rightarrow [n-1]$  and the *degeneracy* maps  $s_j : [n] \rightarrow [n+1]$  satisfying simplicial relations.

*Remark 1.3.* It's convenient to think of simplicial objects in  $\mathcal{C}$  as right “modules” over  $\Delta$  and cosimplicial objects as left “modules” over  $\Delta$ .

Any simplicial object  $X_*$  can be written explicitly in the following manner.

$$X_* = \left[ X_0 \begin{array}{c} \xleftarrow{d_0} \\ \cdots \xleftarrow{s_0} \cdots \xrightarrow{s_1} \cdots \xrightarrow{d_1} \\ \xrightarrow{d_1} \end{array} X_1 \begin{array}{c} \xleftarrow{\cdots} \\ \xleftarrow{\cdots} \xrightarrow{\cdots} \xleftarrow{\cdots} \cdots \\ \xrightarrow{\cdots} \end{array} \cdots \right]$$

where  $X_n := X_*[n]$  and  $d_i := X_*(d^i)$ ,  $s_j := X_*(s^j)$ .

## 1.2 More Terminology

Let  $\mathcal{C}$  be a category. If  $X_* \in Ob(\mathbf{sSet})$ , then  $X_n := X_*[n]$  is called the set of  $n$ -simplices. An  $n$ -simplex  $x \in X_n$  is called degenerate if  $x \in \text{Im}(s_j)$  for some  $j$ . Denote

$$X_n^{\text{deg}} := \bigcup_{j=1}^{n-1} s_j(X_{n-1})$$

the set of degenerate simplices in  $X_n$ .

*Remark 1.4.* Here we abuse the notation  $s_j$  by taking it as  $X_*(s_j)$  in the category  $\mathcal{C}$ . We'll do this a lot in the future, and the proper elements should be considered when used.

**Exercise 1.1.** Using simplicial identities we can express

$$X_n^{\text{deg}} = \bigcup_{f:[n] \rightarrow [k], f \neq \text{Id}} X(f)(X_k) \cong \text{colim} X(f)(X_k) \quad (2)$$

*Remark 1.5.* Formula 2 makes sense for more general categories so we can define degenerate objects for any simplicial objects.

## 2 Basic Examples

1. Geometric
2. Combinatorial
3. Categorical

### 2.1 Geometric Examples of Simplicial Sets

#### Geometric Simplices

This is the motivation for simplicial homotopy theory.

The *geometric  $n$ -simplex*  $\Delta^n$  is the topological space defined by

$$\Delta^n = \left\{ (x_0, \dots, x_n) \in \mathbb{R}^{n+1} \mid \sum_{i=0}^n x_i = 1, x_i \geq 0, \forall 0 \leq i \leq n \right\},$$

i.e. it is the convex hull of unit vectors  $\{e_i = (0, \dots, 1, \dots, 0)\}_{i=0}^n$  in  $\mathbb{R}^{n+1}$ .

Given a morphism  $f : [n] \rightarrow [m]$  in  $\Delta$ , we define

$$\begin{aligned} \Delta^*(f) : \Delta^n \subseteq \mathbb{R}^{n+1} &\longrightarrow \Delta^m \subseteq \mathbb{R}^{m+1} \\ e_i &\longmapsto e_{f(i)} \end{aligned}$$

which defines a functor

$$\begin{aligned} \Delta^* : \Delta &\longrightarrow \mathbf{Top} \\ [n] &\longmapsto \Delta^n \end{aligned}$$

i.e. a cosimplicial space.

Let's compute the coface and codegeneracy maps in  $\Delta^n$ .

Recall that

$$d^i(e_k) = \begin{cases} e_k, & k < i \\ e_{k+1}, & k \geq i \end{cases}$$

so it extends to  $\sum_{k=0}^{n-1} x_k e_k \mapsto \sum_{k=0}^{i-1} x_k e_k + \sum_{k=i}^{n-1} x_k e_{k+1}$ , and we have  $d^i : \Delta^{n-1} \rightarrow \Delta^n, (x_0, \dots, x_{n-1}) \mapsto (x_0, \dots, x_{i-1}, 0, x_i, \dots, x_n)$ . Geometrically, in  $\Delta^n$  we define  $i$ -th  $(n-1)$ -dimensional face to be the one opposite to  $e_i$ . Then  $d^i : \Delta^{n-1} \hookrightarrow \Delta^n$  is the inclusion of  $i$ -th face into  $\Delta^n$ .

Dually,  $s^j : \Delta^{n+1} \rightarrow \Delta^n$  is given by  $(x_0, \dots, x_{n+1}) \mapsto (x_0, \dots, x_{j-1}, x_j + x_{j+1}, \dots, x_{n+1})$ . Geometrically,  $s^j$  collapse the differential graded between  $j$ -th and  $(j+1)$ -th vertices in  $\Delta^{n+1}$  to a point.

Given any subset  $\emptyset \neq \sigma \subset [n]$ , we define  $\Delta_\sigma$  to be the convex hull of  $\{e_i\}_{i \in \sigma} \subseteq \Delta^n$ , called the  $\sigma$ -face of  $\Delta$ . Thus we have a bijection

$$\{\text{nonempty subset of } [n]\} \longleftrightarrow \{\text{faces in } \Delta^n\}.$$

**Definition 2.1.** A finite *polyhedron*  $X$  is a topological space homeomorphic to a union of faces in  $\Delta^n$ , i.e.  $X \cong \bigcup_{i=1}^r \Delta_{\sigma_i} \subseteq \Delta^n$ . The choice of such homeomorphism is called *triangulation* on  $X$ .

### (Abstract) Simplicial Complexes

**Definition 2.2.** A *simplicial complex*  $X$  on a set  $V$  is a collection of nonempty finite subsets in  $V$  which is closed under taking subsets, i.e.

$$\forall \sigma \in X, \emptyset \neq \tau \subset \sigma \implies \tau \in X.$$

*Remark 2.1.* We do not assume that  $V$  is finite and that  $V = \bigcup_{\sigma \in X} \sigma$ .

To associate to  $(X, V)$  a space, we consider the  $\mathbb{R}$ -vector space  $\mathbb{V} := \text{span}_{\mathbb{R}}(V)$  and define for  $\sigma \in X$ ,  $\Delta_\sigma \subseteq \mathbb{V}$  to be the convex hull of  $\sigma \subset V \subseteq \mathbb{V}$ .  $\Delta_\sigma$  is a topological space equipped with topology induced from  $\mathbb{V}$ .

**Definition 2.3.** The *geometric realization* of a simplicial set  $X$  is

$$|X| := \bigcup_{\sigma \in X} \Delta_\sigma \subseteq \mathbb{V}$$

**Definition 2.4.** A *polyhedron* is a topological space homeomorphic to  $|X|$  for some simplicial complex.

*Remark 2.2.* Simplicial complexes have “bad” functorial properties. For instance, if  $Y \subseteq X$  is a simplicial subcomplex, the quotient  $X/Y$  is not a simplicial complex.

Simplicial sets can be viewed as generalization of simplicial complexes.

To an (ordered) simplicial complex  $(X, V)$  we can define the simplicial set  $SS_*(X)$  as follows,

$$SS_n(X) := \{(v_0, \dots, v_n) \in \mathbb{V}^{n+1} \mid \{v_0, \dots, v_n\} \in X\}.$$

*Remark 2.3.* We allow  $v_i = v_j$  for some  $i \neq j$ , and by  $\{\}$  we mean the underlying set.

For  $f : [n] \rightarrow [m]$  in  $\Delta$ , we define

$$\begin{aligned} SS_*(f) : SS_m(X) &\longrightarrow SS_n(X) \\ (v_0, \dots, v_m) &\longmapsto (v_{f(0)}, \dots, v_{f(n)}) \end{aligned}$$

Explicitly,

$$\begin{aligned} d_i : SS_n(X) &\longrightarrow SS_{n-1}(X) \\ (v_0, \dots, v_n) &\longmapsto (v_0, \dots, v_{i-1}, v_{i+1}, \dots, v_n) \\ s_j : SS_n(X) &\longrightarrow SS_{n+1}(X) \\ (v_0, \dots, v_n) &\longmapsto (v_0, \dots, v_{j-1}, v_j, v_j, \dots, v_n) \end{aligned}$$

**Exercise 2.1.** Show that

1.  $X$  can be recovered from  $SS_*(X)$ . More precisely,  $SS_*(X)^{\text{nondeg}} \cong X$ . This implies (see later)
2. for any (ordered) simplicial complex  $X$ ,  $|X| \cong |SS_*(X)|$  where  $|X|$  is the realization of  $X$  as a simplex, and  $|SS_*(X)|$  is the geometric realization of simplicial set.

*Proof.* Nondegenerate  $n$ -simplices in  $SS_*(X)$  corresponds to distinguished  $n$ -tuples in  $X$ , i.e. subsets of  $V$  of size  $n$ . □

### Simplicial Sets of a Space

**Definition 2.5.** Given a topological space  $X$ , we define the simplicial set  $S_*(X)$  by

$$S_n(X) := \text{Hom}_{\mathbf{Top}}(\Delta^n, X), n \geq 0$$

and for any  $f : [n] \rightarrow [m]$ ,

$$\begin{aligned} S_*(f) : S_m(X) &\longrightarrow S_n(X) \\ \varphi &\longmapsto \varphi \circ \Delta^*(f) \end{aligned}$$

This defines a functor  $S_* : \mathbf{Top} \rightarrow \mathbf{sSet}$ , called *singular functor*.

## 2.2 Combinatorial Examples of Simplicial Sets

### Discrete (constant) Simplicial Set

$$\begin{aligned} \mathbf{Set} &\longrightarrow \mathbf{sSet} \\ X &\longmapsto \{X_k = X, d_i = s_j = \text{Id}_X\}_{k \geq 0} \end{aligned}$$

### Standard Simplices

Recall that for any locally small category  $\mathcal{C}$ , we define the category  $\hat{\mathcal{C}} = \mathbf{Fun}(\mathcal{C}^{op}, \mathbf{Set})$  the presheaves on  $\mathcal{C}$ , or  $\mathcal{C}$ -sets.

There is a canonical (Yoneda) functor

$$\begin{aligned} h : \quad \mathcal{C} &\longrightarrow \hat{\mathcal{C}} \\ c &\longmapsto h_c := \mathrm{Hom}_{\mathcal{C}}(-, c) \\ (c_1 \xrightarrow{f} c_2) &\longmapsto \left( h_f : \begin{array}{ccc} h_{c_1} & \rightarrow & h_{c_2} \\ (- \rightarrow c_1) & & (- \rightarrow c_1 \xrightarrow{f} c_2) \end{array} \right) \end{aligned}$$

**Lemma 2.1.** *The Yoneda functor  $h$  is fully faithful. More generally, for any  $c \in \mathrm{Ob}(\mathcal{C})$  and any  $X \in \mathrm{Ob}(\hat{\mathcal{C}})$*

$$\begin{aligned} \mathrm{Hom}_{\hat{\mathcal{C}}}(h_c, X) &\xrightarrow{\cong} X(c) \\ \varphi = \{\varphi_d : h_c(d) \rightarrow X(d)\}_{d \in \mathrm{Ob}(\mathcal{C})} &\mapsto \varphi_c(Id_c) \end{aligned}$$

**Definition 2.6.** A functor  $F \in \mathrm{Ob}(\hat{\mathcal{C}})$  is called *representable* if there exists  $c \in \mathrm{Ob}(\mathcal{C})$  such that  $F \simeq h_c$ .

By definition,  $\mathbf{sSet} = \mathbf{Fun}(\Delta^{op}, \mathbf{Set})$  is the category of presheaves on  $\Delta$ .

**Question.** What simplicial sets are representable?

**Definition 2.7.** A *standard simplex*  $\Delta[n]_*$  is the simplicial set of the form  $h_{[n]}$  for fixed  $n \geq 0$ .

$$\begin{aligned} \Delta[n]_* : \Delta &\rightarrow \mathbf{Set} \\ [k] &\mapsto [n]_k := \mathrm{Hom}_{\Delta}([k], [n]) \end{aligned}$$

Explicitly,

$$\Delta[n]_k = \{(j_0, \dots, j_k) \mid 0 \leq j_0 \leq j_1 \leq \dots \leq j_k \leq n\}.$$

Consider in  $\Delta$  the special maps

$$\begin{cases} d^i : [k-1] \rightarrow [k] & 1 \leq i \leq k, k \geq 1 \\ s^l : [k+1] \rightarrow [k] & 0 \leq l \leq k, k \geq 0 \end{cases}$$

These maps under  $h_{[n]}$  become

$$\begin{aligned} d_i : \quad \Delta[n]_k &\rightarrow \Delta[n]_{k-1} \\ (j_0, \dots, j_k) &\mapsto (j_0, \dots, \hat{j}_i, \dots, j_k) \\ s_l : \quad \Delta[n]_j &\rightarrow \Delta[n]_{j+1} \\ (j_0, \dots, j_k) &\mapsto (j_0, \dots, j_l, j_l, \dots, j_k) \end{aligned}$$

**Inspection.** If we think of  $\Delta^n$  as an abstract simplicial complex, then  $\Delta[n]_*$  is the associated simplicial set,  $\Delta[n]_* = SS_*(\Delta^n)$ . This immediately implies that  $|\Delta[n]_*| = \Delta^n$ .

**Lemma 2.2.**  $\Delta[n]_*$  corepresents the functor

$$\begin{aligned} \mathbf{sSet} &\longrightarrow \mathbf{Set} \\ X_* &\longmapsto X_n \end{aligned}$$

*Proof.* This follows from Yoneda Lemma,

$$\mathrm{Hom}_{\hat{\Delta}}(\Delta[n]_*, X_*) = \mathrm{Hom}_{\hat{\Delta}}(h_{[n]}, X_*) \cong X_*[n] = X_n.$$

□

Explicitly, to each simplex  $x \in X_n$  we can associate a unique simplicial map  $\hat{x} : \Delta[n]_* \rightarrow X_*$  such that  $\hat{x}(\mathrm{Id}_{[n]}) = x$ . The Yoneda functor (in this case) is

$$\begin{aligned} \Delta &\longrightarrow \hat{\Delta} = \mathbf{sSet} \\ [n] &\longmapsto h_{[n]} = \Delta[n]_* \end{aligned}$$

The assignment defines a cosimplicial set  $\Delta[\bullet]_* \in \mathbf{csSet}$ , where  $\mathbf{csSet} = \mathbf{Fun}(\Delta, \mathbf{Fun}(\Delta^{op}, \mathbf{Set})) \cong \mathbf{Fun}(\Delta \times \Delta^{op}, \mathbf{Set})$ .

We think of objects in  $\mathbf{csSet}$  as graded-bimodules over  $\Delta$ , i.e. functor  $\Delta \times \Delta^{op} \rightarrow \mathbf{Set}$ . This allows us to define two very important simplicial objects in  $\Delta[n]_*$ .

$$\partial\Delta[n]_* = \bigcup_{0 \leq i \leq n} d^i(\Delta[n-1]_*) \subseteq \Delta[n]_*$$

where  $d^i : \Delta[n-1]_* \rightarrow \Delta[n]_*$  is the morphism of simplicial sets.  $\partial\Delta[n]_*$  is called the *boundary* of  $\Delta[n]_*$ .

**Example 2.1.**  $\Delta[0]_* = \{0, 00, 000, \dots\}$  contains only one nondegenerate simplex  $0 \in \Delta[0]_0$ .

**Example 2.2.**  $\Delta[1]_k = \{(j_0, \dots, j_k) \mid 0 \leq j_0 \leq \dots \leq j_k \leq 1\} = \left\{ \left( \underbrace{0, \dots, 0}_i, \underbrace{1, \dots, 1}_{k+1-i} \right), 0 \leq i \leq k+1 \right\}.$

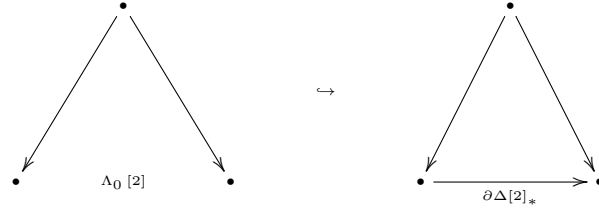
$$\begin{aligned} d^0 : \quad \Delta[0]_* &\rightarrow \Delta[1]_* \\ (0, \dots, 0) &\mapsto (0, \dots, 0) \\ d^1 : \quad \Delta[0]_* &\rightarrow \Delta[1]_* \\ (0, \dots, 0) &\mapsto (1, \dots, 1) \end{aligned}$$

So  $\partial\Delta[1]_* = \{0, 1, 00, 11, 000, 111, \dots\}$ . The nondegenerate simplices are  $0 \in \Delta[1]_0$  and  $(0, 1) \in \Delta[1]_1$ .

Geometrically,  $\partial\Delta[n]_*$  is the smallest simplicial subcomplex of  $\Delta[n]_*$  generated by its  $(n-1)$ -dimensional faces.

**Definition 2.8.** The  $k$ -th horn of  $\Delta[n]_*$  is defined as  $\Lambda_k[n]_* := \bigcup_{0 \leq i \leq n, i \neq k} d^i(\Delta[n-1]_*) \subseteq \partial\Delta[n]_* \subseteq \Delta[n]_*$ .

This is a corn with vertex  $k$ .



### Simplicial Spheres $\mathbb{S}_*^n$

**Definition 2.9.** The *simplicial  $n$ -sphere*  $\mathbb{S}_*^n$  is defined as the quotient simplicial set

$$\mathbb{S}_*^n := \Delta[n]_* / \partial\Delta[n]_*$$

or equivalently, as the pushout

$$\begin{array}{ccc} \partial\Delta[n]_* & \hookrightarrow & \Delta[n]_* \\ \downarrow & & \downarrow \\ \bullet & \longrightarrow & \mathbb{S}_*^n \end{array}$$

### Simplicial Circle $\mathbb{S}_*^1$

Let  $n = 1$ .

$$\Delta[1]_k = \{(j_0, \dots, j_k) \mid 0 \leq j_0 \leq \dots \leq j_k \leq 1\} = \left\{ \left( \underbrace{0, \dots, 0}_i, \underbrace{1, \dots, 1}_{k+1-i} \right), 0 \leq i \leq k+1 \right\}.$$

$$\partial\Delta[1]_k = \{(0, \dots, 0), (1, \dots, 1)\}.$$

$\mathbb{S}_k^1 = \left\{ *, \left( \underbrace{0, \dots, 0}_i, \underbrace{1, \dots, 1}_{k+1-i} \right), 1 \leq i \leq k \right\}$  has  $(k+1)$  elements, where  $* = (0, \dots, 0) \sim (1, \dots, 1)$ .  
 $\{*, t = (0, 1)\}$  are the only nondegenerate elements.

$$\mathbb{S}_0^1 = \{*\}.$$

$$\mathbb{S}_1^1 = \{s_0(*), t = (0, 1)\}.$$

$$\mathbb{S}_2^1 = \{s_0^2(*), s_0(t) = (0, 0, 1), s_1(t) = (0, 1, 1)\}.$$

...

$$\mathbb{S}_k^1 = \{s_0^k(*), s_{k-1}s_{k-2} \cdots \hat{s}_i \cdots s_0(t) \mid 0 \leq i \leq k-1\}.$$

Observe that there is a natural bijection  $\mathbb{S}_k^1 \xrightarrow{\cong} \mathbb{Z}_{k+1} = \mathbb{Z}/(k+1)\mathbb{Z}$  given by

$$s_0^k(*) \mapsto 0, s_{k-1}s_{k-2} \cdots \hat{s}_i \cdots s_0(t) \mapsto i+1 \pmod{k+1}.$$

Then we can explicitly write

$$\mathbb{S}_*^1 = \left\{ 0 \begin{array}{c} \xleftarrow{\cdots} \\ \xrightarrow{\cdots} \end{array} \mathbb{Z}_2 \begin{array}{c} \xleftarrow{\cdots} \\ \xrightarrow{\cdots} \end{array} \mathbb{Z}_3 \cdots \right\}$$

However, the face and degeneracy maps are not simplicial group homomorphisms, so this is not a simplicial group. They actually satisfy the crossed relation, i.e.

$$f(gg') = f(g)f^g(g')$$

This is an example of a crossed simplicial group.

To explain this observation, we introduce a new category.

### Cyclic Category and Cyclic Objects

**Definition 2.10.** The *cyclic (or Connes') category*  $\Delta\mathbf{C}$  is defined by

**Objects:**  $\{[n]\}_{n \geq 0}$ .

**Morphisms:** generated by

$$\begin{array}{lll} d^i : [n-1] \rightarrow [n] & 1 \leq i \leq n, n \geq 1 & \text{coface} \\ s^j : [n+1] \rightarrow [n] & 0 \leq j \leq n, n \geq 0 & \text{codegeneracy} \\ \tau_n : [n] \rightarrow [n] & n \geq 0 & \text{cocyclic} \end{array}$$

satisfying

1. the usual cosimplicial relations (as in  $\Delta$ ) for  $d^i$ 's and  $s^j$ 's.



2.  $\tau_n d^i = d^{i-1} \tau_{n-1}$ ,  $1 \leq i \leq n$ , and  $\tau_n d^0 = d^n$ .  
 $\tau_n s^j = s^{j-1} \tau_{n+1}$ ,  $1 \leq j \leq n$ , and  $\tau_n s^0 = s^n \tau_{n+1}^2$ .
3.  $\tau_n^{n+1} = \text{Id}_{[n]}$ ,  $n \geq 0$ .

*Remark 2.4.*

1. Although  $\Delta \mathbf{C}$  has the “same” objects as  $\Delta$ , we do not regard  $[n]$  as sets, because morphisms cannot be viewed as set maps. For example,  $[0]$  is not the terminal object in  $\Delta \mathbf{C}$  (see Remark 2.5). In fact,  $\Delta \mathbf{C}$  has neither initial nor terminal object. We have Connes duality  $\Delta \mathbf{C}^{op} \cong \Delta \mathbf{C}$ .
2. The two relations  $\tau_n d^0 = d^n$  and  $\tau_n s^0 = s^n \tau_{n+1}^2$  are redundant and can be omitted. Indeed,

$$\begin{aligned} d^n &= \tau_n^{n+1} d^n = \tau_n^n (\tau_n d^n) = \tau_n^n (d^{n-1} \tau_{n-1}) = \cdots = \tau_n d^0 \tau_{n-1}^n = \tau_n d^0 \\ s^n \tau_{n+1}^2 &= \tau_n^{n+1} s^n \tau_{n+1}^2 = \tau_n^n (\tau_n s^n) \tau_{n+1}^2 = \tau_n^n (s^{n-1} \tau_{n+1}) \tau_{n+1}^2 = \cdots = \tau_n s^0 \tau_{n+1}^{n+2} = \tau_n s^0 \end{aligned}$$

The structure of  $\Delta \mathbf{C}$  is described by

**Theorem 2.1.**  $\Delta \mathbf{C}$  contains  $\Delta$  as a subcategory (but not full) and

1.  $\text{Aut}_{\Delta \mathbf{C}}([n]) \cong \mathbb{Z}/(n+1)\mathbb{Z}$ ,  $\forall n \geq 0$ .
2. every morphism  $f \in \text{Hom}_{\Delta \mathbf{C}}([n], [m])$  can be uniquely factored as  $f = \varphi \circ \gamma$  where  $\gamma \in \text{Aut}_{\Delta \mathbf{C}}([n])$  and  $\varphi \in \text{Hom}_{\Delta}([n], [m])$ .

We will prove this in general for any crossed simplicial group.

*Remark 2.5.*  $[0]$  is not the terminal object in  $\Delta \mathbf{C}$  because for any  $n \geq 1$ , and  $f \in \text{Hom}_{\Delta \mathbf{C}}([n], [0])$ ,  $\text{Id} \neq g \in \text{Aut}_{\Delta \mathbf{C}}([n])$ , there exists a unique factorization

$$\begin{array}{ccc} [n] & \xrightarrow{g} & [n] \\ \varphi \downarrow & & \downarrow f \\ [0] & \xrightarrow{\text{Id}_{[0]}} & [0] \end{array}$$

i.e.  $\varphi = f \circ g \neq f$ . Hence there exists more than one element in  $\text{Hom}_{\Delta \mathbf{C}}([n], [0])$  for any  $n \geq 1$ .

**Definition 2.11.** A *cyclic object* in a category  $\mathcal{C}$  is a functor  $X : \Delta \mathbf{C}^{op} \rightarrow \mathcal{C}$ .

In  $\Delta \mathbf{C}^{op}$  the objects are the same  $\{[n]\}_{n \geq 0}$  and morphisms are

$$\begin{aligned} d_i &:= (d^i)^o : [n] \rightarrow [n-1] & 1 \leq i \leq n, n \geq 1 & \text{face} \\ s_j &:= (s^j)^o : [n] \rightarrow [n+1] & 0 \leq j \leq n, n \geq 0 & \text{degeneracy} \\ t_n &:= (\tau_n)^o : [n] \rightarrow [n] & n \geq 0 & \text{cyclic} \end{aligned}$$

**Cyclic set**  $C_*$ .

We define a functor  $C_* : \Delta \mathbf{C}^{op} \rightarrow \mathbf{Set}$  as follows.

**Objects:**  $[n] \mapsto C_n : \text{Aut}_{\Delta \mathbf{C}^{op}}([n]) \cong \mathbb{Z}/(n+1)\mathbb{Z}$ .

**Morphisms:** any  $f \in \text{Hom}_{\Delta \mathbf{C}}([n], [m])$  can be uniquely factored as  $f = \varphi \circ \gamma$  where  $\gamma \in \text{Aut}_{\Delta \mathbf{C}}([n])$  and  $\varphi \in \text{Hom}_{\Delta}([n], [m])$ . Take any  $g \in \text{Aut}_{\Delta \mathbf{C}}([m])$  and any  $a \in \text{Hom}_{\Delta \mathbf{C}}([n], [m])$  and consider  $f = g \circ a$ , by unique factorization, there exists a unique  $\varphi = g^*(a) \in \text{Hom}_{\Delta}([n], [m])$  and a unique  $\gamma = a_*(g) \in \text{Aut}_{\Delta \mathbf{C}}([n])$  such that  $g \circ a = \varphi \circ \gamma = g^*(a) \circ a_*(g)$ .

Thus for fixed  $g \in \text{Aut}_{\Delta \mathbf{C}}([m])$ , we define a map

$$\begin{array}{ccc} g^* : \text{Hom}_{\Delta \mathbf{C}}([n], [m]) & \rightarrow & \text{Hom}_{\Delta}([n], [m]) \\ a & \mapsto & g^*(a) \end{array}$$

and for fixed  $a \in \text{Hom}_{\Delta \mathbf{C}}([n], [m])$ , we define a map

$$\begin{array}{ccc} a_* : \text{Aut}_{\Delta \mathbf{C}}([m]) & \rightarrow & \text{Aut}_{\Delta \mathbf{C}}([n]) \\ g & \mapsto & a_*(g) \end{array}$$

Dualize everything we get

$$\begin{array}{ccc} g^* : \text{Hom}_{\Delta \mathbf{C}^{op}}([m], [n]) & \rightarrow & \text{Hom}_{\Delta^{op}}([m], [n]) \\ a & \mapsto & g^*(a) \\ a_* : \text{Aut}_{\Delta \mathbf{C}^{op}}([m]) & \rightarrow & \text{Aut}_{\Delta \mathbf{C}^{op}}([n]) \\ g & \mapsto & a_*(g) \end{array}$$

such that  $a \circ g = a_*(g) \circ g^*(a)$ .

The second is associative, i.e.

$$(a' \circ a)_*(g) = (a')_*(a_*(g)), \forall a \in \text{Hom}_{\Delta \mathbf{C}^{op}}([m], [n]), a' \in \text{Hom}_{\Delta \mathbf{C}^{op}}([n], [n'])$$

which follows from the following commutative diagram

$$\begin{array}{ccc}
 [m] & \xrightarrow{g} & [m] \\
 g^*(a) \downarrow & & \downarrow a \\
 [n] & \xrightarrow{a_*(g)} & [n] \\
 (a_*(g))_*(a') \downarrow & & \downarrow a' \\
 [n'] & \xrightarrow{(a')_*(a_*(g))} & [n']
 \end{array}$$

and unique factorization property.

Furthermore,  $a_*(\text{Id}_{[m]}) = \text{Id}_{[n]}$  by unique factorization property.

Thus we can define the functor

$$\begin{aligned}
 C_* : \quad \Delta \mathbf{C}^{op} &\longrightarrow \mathbf{Set} \\
 [n] &\longmapsto C_n \\
 (a : [m] \rightarrow [n]) &\longmapsto (a_* : C_m \rightarrow C_n)
 \end{aligned}$$

Explicitly, by the formula  $a \circ g = a_*(g) \circ g^*(a)$ , we have

$$d_i \circ t_n = (d_i)_*(t_n) \circ (t_n)^*(d_i).$$

On the other hand, by the relation in  $\Delta \mathbf{C}^{op}$ , we have

$$d_i \circ t_n = t_{n-1} \circ d_{i-1}, \quad 1 \leq i \leq n.$$

Hence by unique factorization, we have

$$\begin{aligned}
 d_i^c(t_n) &:= t_{n-1}, \quad 1 \leq i \leq n \\
 d_0^c(t_n) &:= \text{Id} \\
 s_j^c(t_n) &:= t_{n+1}, \quad 1 \leq j \leq n \\
 s_0^c(t_n) &:= t_{n+1}^2
 \end{aligned}$$

**Lemma 2.3.** *We have the isomorphism of simplicial sets*

$$\begin{aligned}
 \mathbb{S}_*^1 &\xrightarrow{\cong} C_* \\
 s_0^n(*) &\mapsto (t_n)^0 = 1 \\
 s_{n-1} \cdots \hat{s}_i \cdots s_0(t) &\mapsto t_n^{i+1}
 \end{aligned}$$

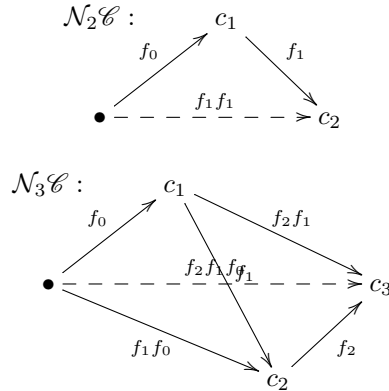
*Remark 2.6.* [A. Connes, J. L. Loday] The geometric realization of simplicial circle is  $|\mathbb{S}_*^1| = |B_*\mathbb{Z}| = \mathbb{S}^1$  the topological group, which is not a coincidence.

## 2.3 Categorical Examples

### Nerve of a category

Recall a category  $\mathcal{C}$  is small if its objects form a (proper) set. Associate to such a category a simplicial set  $\mathcal{N}_*\mathcal{C}$  (or  $\mathcal{B}_*\mathcal{C}$ ) defined by

$$\begin{aligned} \mathcal{N}_0\mathcal{C} &= \{\text{objects in } \mathcal{C}\} = \text{Ob}(\mathcal{C}) \\ \mathcal{N}_1\mathcal{C} &= \{\text{morphisms in } \mathcal{C}\} = \text{Mor}(\mathcal{C}) \\ \mathcal{N}_2\mathcal{C} &= \left\{ \text{composable morphisms } c_0 \xrightarrow{f_0} c_1 \xrightarrow{f_1} c_2 \text{ in } \mathcal{C} \right\} \\ &\dots \\ \mathcal{N}_n\mathcal{C} &= \left\{ n\text{-composable morphisms } c_0 \xrightarrow{f_0} c_1 \xrightarrow{f_1} \dots \xrightarrow{f_{n-1}} c_n \text{ in } \mathcal{C} \right\} \end{aligned}$$



This suggests that

$$\begin{aligned} d_i : \quad \mathcal{N}_n\mathcal{C} &\longrightarrow \mathcal{N}_{n-1}\mathcal{C} \\ \left( c_0 \xrightarrow{f_0} c_1 \xrightarrow{f_1} \dots \xrightarrow{f_{n-1}} c_n \right) &\longmapsto \left( c_0 \xrightarrow{f_0} \dots \xrightarrow{f_{i-2}} c_{i-1} \xrightarrow{f_{i-1}} \hat{c}_i \xrightarrow{f_i} c_{i+1} \xrightarrow{f_{i+1}} \dots \xrightarrow{f_{n-1}} c_n \right) \\ (f_{n-1}, \dots, f_0) &\longmapsto \begin{cases} (f_{n-1}, \dots, f_1) & i = 0 \\ (f_{n-1}, \dots, f_i f_{i-1}, \dots, f_0) & 1 \leq i \leq n-1 \\ (f_{n-2}, \dots, f_0) & i = n \end{cases} \end{aligned}$$

$$\begin{array}{ccc}
s_j : & \mathcal{N}_n \mathcal{C} & \longrightarrow \mathcal{N}_{n+1} \mathcal{C} \\
& \left( c_0 \xrightarrow{f_0} c_1 \xrightarrow{f_1} \cdots \xrightarrow{f_{n-1}} c_n \right) & \longmapsto \left( c_0 \xrightarrow{f_0} \cdots \xrightarrow{f_{i-1}} c_i \xrightarrow{\text{Id}} c_i \xrightarrow{f_i} c_{i+1} \xrightarrow{f_{i+1}} \cdots \xrightarrow{f_{n-1}} c_n \right) \\
& (f_{n-1}, \dots, f_0) & \longmapsto (f_{n-1}, \dots, f_i, f_i, \dots, f_0)
\end{array}$$

Another way to view this construction is the following. Let

- $s, t : \text{Mor}(\mathcal{C}) \rightarrow \text{Ob}(\mathcal{C})$  the source and target maps.
- $i : \text{Ob}(\mathcal{C}) \rightarrow \text{Mor}(\mathcal{C})$  the identity morphism map.
- $\circ : \text{Mor}(\mathcal{C}) \times_{\text{Ob}(\mathcal{C})} \text{Mor}(\mathcal{C}) \rightarrow \text{Mor}(\mathcal{C})$  where  $\text{Mor}(\mathcal{C}) \times_{\text{Ob}(\mathcal{C})} \text{Mor}(\mathcal{C})$  is the fibred product

$$\begin{array}{ccc}
\text{Mor}(\mathcal{C}) \times_{\text{Ob}(\mathcal{C})} \text{Mor}(\mathcal{C}) & \xrightarrow{\text{pr}_1} & \text{Mor}(\mathcal{C}) \\
\text{pr}_2 \downarrow & & \downarrow t \\
\text{Mor}(\mathcal{C}) & \xrightarrow{s} & \text{Ob}(\mathcal{C})
\end{array}$$

Notice that the structure of  $\mathcal{C}$  gives us

$$\text{Ob}(\mathcal{C}) \begin{array}{c} \xleftarrow{i} \\ \xrightarrow{s} \\ \xleftarrow{t} \end{array} \text{Mor}(\mathcal{C}) \begin{array}{c} \xleftarrow{-} \\ \xrightarrow{-} \\ \xleftarrow{-} \end{array} \text{Mor}(\mathcal{C}) \times_{\text{Ob}(\mathcal{C})} \text{Mor}(\mathcal{C}) .$$

The new construction can be viewed as an “extension” of a category to a “full” simplicial set

$$\mathcal{N}_0(\mathcal{C}) \begin{array}{c} \xleftarrow{i} \\ \xrightarrow{s} \\ \xleftarrow{t} \end{array} \mathcal{N}_1(\mathcal{C}) \begin{array}{c} \xleftarrow{-} \\ \xrightarrow{-} \\ \xleftarrow{-} \end{array} \mathcal{N}_2(\mathcal{C}) \cdots$$

where

$$\mathcal{N}_n(\mathcal{C}) = \underbrace{\text{Mor}(\mathcal{C}) \times_{\text{Ob}(\mathcal{C})} \text{Mor}(\mathcal{C}) \times_{\text{Ob}(\mathcal{C})} \cdots \times_{\text{Ob}(\mathcal{C})} \text{Mor}(\mathcal{C})}_n =: \text{Mor}_n(\mathcal{C})$$

The maps  $d'_i$ 's and  $s_j$ 's are the structure maps of iterated fibred products.

**Question.**  $\mathcal{N}_* \mathcal{C}$  (as a simplicial set up to isomorphism) determines  $\mathcal{C}$  uniquely up to isomorphism. What kind of simplicial sets do we obtain this way? This gives us a proper way to relax the definition of category, and therefore we get  $\infty$ -category or quasi-category.

### Čech nerve of a covering

Let  $X$  be a topological space with a covering  $\mathcal{U} = \{U_i\}_{i \in I}$ , where  $I$  is not ordered. We can define a category  $\mathcal{C} = X_{\mathcal{U}}$  by

**Objects:** the disjoint union of all  $U_i$ 's,  $\bigsqcup_{i \in I} U_i = \{(x, U_i) \mid x \in U_i \in \mathcal{U}\}$

**Morphisms:** Disjoint union of all intersections of  $U_i$ 's and  $U_j$ 's  $\bigsqcup_{(i,j) \in I^2} U_i \cap U_j$ ,

$$\mathrm{Hom}_{\mathcal{C}}((x, U_i), (y, U_j)) = \begin{cases} x \rightarrow y, & x = y \in U_i \cap U_j \\ \emptyset, & \text{o. w.} \end{cases}$$

$\mathcal{N}_* X_{\mathcal{U}}$  is called the Čech nerve of the covering  $\mathcal{U}$ . This is a simplicial space.

*Remark 2.7.* (Classical Fact) If  $\mathcal{U}$  is contractible and “good”, i.e. all  $U_i$ 's are contractible spaces and all finite intersection  $U_{i_1} \cap \cdots \cap U_{i_r}$  are either empty or contractible, then the geometric realization of the Čech nerve determines  $X$  up to homotopy

$$X \simeq |\mathcal{N}_* X_{\mathcal{U}}|.$$

This allows us to define homotopy types for various objects (e.g. étale homotopy types).

**Question.** Given an affine variety  $X = \mathrm{Spec} A$  over  $\mathbb{C}$  (with topology induced from the topology on  $\mathbb{C}$ ) we can consider its (Čech nerve) Grothendieck homotopy type defined in terms of covering. Dually, we can assign homotopy type to  $A$  by viewing it as an object (resolution of  $A$ ) in  $\mathbf{sCommAlg}_k$  (there is a model structure on it). What is the relation between the two ways of defining these homotopy types?

**The nerve of a group** If  $G$  is a discrete group, there are two ways to view as a category.

1.  $\mathcal{C} = \underline{G}$  is the category with single object  $\{*\}$  and morphisms are the elements in  $G$ .

$\mathcal{N}_* \underline{G} = \mathcal{B}_* G$  is the nerve of  $G$ .

$$\mathcal{B}_n G = G^n, n \geq 0.$$

$$\begin{aligned} d_i : \quad G^n &\rightarrow G^{n-1} \\ (g_1, \dots, g_n) &\rightarrow \begin{cases} (g_2, \dots, g_n) & i = 0 \\ (g_1, \dots, g_i g_{i+1}, \dots, g_n) & 1 \leq i \leq n-1 \\ (g_1, \dots, g_{n-1}) & i = n \end{cases} \\ s_j : \quad G^n &\rightarrow G^{n+1} \\ (g_1, \dots, g_n) &\mapsto (g_1, \dots, g_{i-1}, 1, g_i, \dots, g_n) \end{aligned}$$

2. The category  $EG$  has  $G$  as its objects and

$$\mathrm{Hom}_{EG}(g_1, g_2) = \{h \in G | hg_1 = g_2\} = \{g_2 g_1^{-1}\}$$

The nerve of  $EG$  is  $\mathcal{E}_*G = \mathcal{N}_*EG$  given by  $\mathcal{E}_0G = G, \mathcal{E}_1G = G^2, \dots, \mathcal{E}_nG = G^{n+1}$  and

$$\begin{aligned} d_i : \quad \mathcal{E}_nG &\rightarrow \mathcal{E}_{n-1}G \\ (g_0, \dots, g_n) &\mapsto (g_0, \dots, \hat{g}_i, \dots, g_n) \\ s_j : \quad \mathcal{E}_nG &\rightarrow \mathcal{E}_{n+1}G \\ (g_0, \dots, g_n) &\mapsto (g_0, \dots, g_j, g_j, \dots, g_n) \end{aligned}$$

*Remark 2.8.* Note that we don't use group action, so this also makes sense for any set  $X$ . We can define  $E_*X = \{E_nX = X^{n+1}\}$  with  $d'_i$ 's and  $s_j$ 's as above.

### Relation between $B_*G$ and $E_*G$

1. There is a natural projection of simplicial sets

$$\begin{aligned} p : \quad E_*G &\longrightarrow B_*G \\ (g_0, \dots, g_n) &\longmapsto (g_0g_1^{-1}, g_1g_2^{-1}, \dots, g_{n-1}g_n^{-1}) \end{aligned}$$

2. There is a right  $G$ -action of  $E_*G$

$$\begin{aligned} E_*G \times G &\longrightarrow E_*G \\ (g_0, \dots, g_n) \times g &\longmapsto (g_0g, \dots, g_ng) \end{aligned}$$

such that

$$\begin{array}{ccc} E_*G & \xrightarrow{p} & B_*G \\ & \searrow & \nearrow \\ & E_*G/G & \cong \end{array}$$

This gives an example of simplicial principal  $G$ -bundle.

3. Note that  $E_*G$  is a simplicial group which acts on the left on  $B_*G$

$$\begin{aligned} E_*G \times B_*G &\longrightarrow B_*G \\ (g_0, \dots, g_n) \times (h_1, \dots, h_n) &\longmapsto (g_0h_1g_1^{-1}, g_1h_2g_2^{-1}, \dots, g_{n-1}h_ng_n^{-1}) \end{aligned}$$

*Remark 2.9.* (Twisted nerve)  $B_*G$  is actually a cyclic set,

$$B_*G : \begin{array}{ccc} \Delta^{op} & \longrightarrow & \mathbf{Set} \\ \downarrow & \nearrow & \\ \Delta \mathbf{C}^{op} & & \end{array}$$

called twisted nerve.

More generally, for  $z \in \mathcal{Z}(G) \subseteq G$

$$\begin{aligned} t_n : \quad G^n &\rightarrow G^n \\ (g_1, \dots, g_n) &\mapsto (z(g_1 \cdots g_n)^{-1}, g_2, \dots, g_n) \end{aligned}$$

then  $t_n^{n+1}(g_1, \dots, g_n) = (zg_1z^{-1}, \dots, zg_nz^{-1}) = (g_1, \dots, g_n)$ , so  $t_n^{n+1} = \text{Id}$ .

For  $z = 1$ ,  $B_*(G, 1) = B_*G$  is the canonical structure on  $B$ .

### Borel construction

Let  $X$  be a set with a group  $G$  action,

$$\begin{aligned} G \times X &\rightarrow X \\ (g, x) &\mapsto g \cdot x \end{aligned}$$

Define a category  $\mathcal{C} = G \ltimes X$  called *action groupoid* by

**Objects:**  $x \in X$ .

**Morphisms:**  $\text{Hom}(x, y) = \{g \in G \mid gx = y\}$ .

The nerve  $\mathcal{B}_*(G \ltimes X) := \mathcal{N}_*(G \ltimes X)$  is given by

$$\mathcal{B}_0(G \ltimes X) = X.$$

$$\mathcal{B}_1(G \ltimes X) = G \times X.$$

...

$$\mathcal{B}_n(G \ltimes X) = G^n \times X, n \geq 0.$$

$$\begin{aligned} d_i : \quad \mathcal{B}_n(G \ltimes X) &\rightarrow \mathcal{B}_{n-1}(G \ltimes X) \\ (g_1, \dots, g_n, x) &\mapsto \begin{cases} (g_2, \dots, g_n, x), & i = 0 \\ (g_1, \dots, g_i g_{i+1}, \dots, g_n, x) & 1 \leq i \leq n-1 \\ (g_1, \dots, g_{n-1}, x) & i = n \end{cases} \end{aligned}$$



$$\begin{aligned} s_j : \mathcal{B}_n(G \ltimes X) &\rightarrow \mathcal{B}_{n+1}(G \ltimes X) \\ (g_1, \dots, g_n, x) &\mapsto (g_1, \dots, g_{j-1}, 1, g_j, \dots, g_n, x) \end{aligned}$$

Notice that if  $X = \{*\}$ , then  $\mathcal{B}_*(G \ltimes \{*\}) = \mathcal{B}_*G$ , and if  $X = G$ , then  $\mathcal{B}_*(G \ltimes G) = \mathcal{E}_*G$ .

In general,

$$\mathcal{B}_*(G \ltimes X) \cong \mathcal{E}_*G \times_G X := \{\mathcal{E}_n G \times X / \sim\}_{n \geq 0}$$

where

$$(g_1, \dots, g_n, x) \sim (g_1, \dots, g_{j-1}, 1, g_j, \dots, g_n, x).$$

**Exercise 2.2.** (Homotopy normal maps) How to extend the notion of a normal subgroup with the inclusion  $N \hookrightarrow G$ ? The idea of normal group comes from the quotient group  $G/N$ . It turns out that Borel construction is what we want. We can also extend to normal morphisms in model categories.

### Bousfield-Kan construction

If we think of  $G$  as a category  $\underline{G}$ , then the action of  $G$  on  $X$  is a functor

$$\begin{aligned} X : \underline{G} &\rightarrow \mathbf{Set} \\ * &\mapsto X \end{aligned}$$

Given any small diagram  $X : \mathcal{C} \rightarrow \mathbf{Set}$  (or  $\mathbf{Top}$ ), define the BK category  $\mathcal{C}_X := \mathcal{C} \ltimes X$  (or  $\mathcal{C} \int X$ ) by

**Objects:**  $\{(c, x) \mid c \in \text{Ob}(\mathcal{C}), x \in X(c)\}$

**Morphisms:**  $\text{Hom}((c, x), (c', x')) = \{f \in \text{Hom}_{\mathcal{C}}(c, c') \mid X(f)x = x'\}.$

**Definition 2.12.**  $\mathcal{B}_*(\mathcal{C}_X) := \mathcal{N}_*(\mathcal{C}_X)$  is called the *Bousfield-Kan construction*.

*Remark 2.10.* Notice that

1. if

$$\begin{aligned} X : \mathcal{C} &\rightarrow \mathbf{Set} \\ x &\mapsto * \end{aligned}$$

then  $\mathcal{B}_*(\mathcal{C}_*) = \mathcal{B}_*\mathcal{C}$ .

2. if  $X : \underline{G} \rightarrow \mathbf{Set}$  then  $\mathcal{B}_*(\underline{G}_X) = \mathcal{B}_*(G \ltimes X)$  is the Borel construction.

**Example 2.3.** Take  $\mathcal{C} = \{0 \rightarrow 1\}$  then  $X : \mathcal{C} \rightarrow \mathbf{Set}$  is just a map  $f : X_0 \rightarrow X_1$  in  $\mathbf{Set}$ .  $\mathcal{C}_X$  is given by

**Objects:**  $X_0 \sqcup X_1 = \{(0, x_0) \mid x_0 \in X_0\} \cup \{(1, x_1) \mid x_1 \in X_1\}$

**Morphisms:**  $Mor(\mathcal{C}_X) \cong X_0 \sqcup X_0 \sqcup X_1$  since

$$\text{Hom}_{\mathcal{C}_X}((i, x_i), (j, x_j)) = \begin{cases} \text{Id}_{x_i}, & i = j, x_i = x_j \\ f & i = 0, j = 1, f(x_0) = x_1 \\ \emptyset & \text{o.w.} \end{cases}$$

Hence the BK construction is given by

$$\mathcal{N}_0 \mathcal{C}_X = X_0 \sqcup X_1.$$

$$\mathcal{N}_1 \mathcal{C}_X = X_0 \sqcup X_0 \sqcup X_1 \cong \bigsqcup_{x_0 \in X_0} \text{Hom}(x_0, f(x_0)) \sqcup \{\text{Id}_{x_0}\}_{x_0 \in X_0} \sqcup \{\text{Id}_{x_1}\}_{x_1 \in X_1}.$$

**Exercise 2.3.** Show that the only non-degenerate simplices are  $(\mathcal{N}_* \mathcal{C}_X)^{\text{nondeg}} = (X_0 \sqcup_{\dim 0} X_1) \sqcup_{\dim 1} X_0$ .

*Proof.* The only  $n$ -composable morphisms in  $\mathcal{C}_X$  are either of the form  $\text{Id}_{x_i}^n = s_0^{n-1}(\text{Id}_{x_i})$  or  $\text{Id}_{x_1} \circ \dots \circ \text{Id}_{x_1} \circ f \circ \text{Id}_{x_0} \circ \dots \circ \text{Id}_{x_0} = s_{i+1}^{n-i-1} s_0^i(f)$ .  $\square$

This will imply that the geometric realization of  $\mathcal{N}_* \mathcal{C}_X$  is the mapping cylinder of  $f$ ,  $|\mathcal{N}_* \mathcal{C}_X| = \text{Cyl}(f) := X_0 \sqcup (X_0 \times \Delta^1) \sqcup X_1 / \sim$  where  $x_0 \sim (x_0, 0)$  and  $(x_0, 1) \sim f(x_0)$ .

**Exercise 2.4.** Let  $\mathcal{C} = \{1 \leftarrow 0 \rightarrow 2\}$ , then  $\mathcal{C}_X$  gives the double cylinder.

### Grothendieck construction

Let **Cat** be the category of all small categories. Notice that we have a natural inclusion **Set**  $\hookrightarrow$  **Cat** as discrete categories. It is natural to consider diagrams of categories  $X : \mathcal{C} \rightarrow \mathbf{Cat}$ .

Define the category  $\mathcal{C} \int X$  (or  $\mathcal{C} \ltimes X$ ) by

**Objects:**  $\{(c, x) \mid c \in \mathcal{C}, x \in \text{Ob}(X(c))\}$ .

**Morphisms:**  $\text{Hom}((c, x), (c', x')) = \{(f, \varphi) \mid f \in \text{Hom}(c, c'), \varphi \in \text{Hom}_{X(c')}(X(f)x, x')\}$ .

Composition is defined by  $(f', \varphi') \circ (f, \varphi) = (f' \circ f, \varphi' \circ X(f')\varphi)$

$$(c, x) \xrightarrow{(f, \varphi)} (c', x') \xrightarrow{(f', \varphi')} (c'', x'')$$

This is well-defined. The identity is given by  $(\text{Id}_c, \text{Id}_{X(c)})$ . And the composition is  $c \xrightarrow{f} c' \xrightarrow{f'} c''$  and  $X(f'f)(x) = X(f')X(f)(x) \xrightarrow{X(f')\varphi} X(f')(x') \xrightarrow{\varphi'} x''$ .

Composition is associative because the composition  $c_0 \xrightarrow{f_1} c_1 \xrightarrow{f_2} c_2 \xrightarrow{f_3} c_3$  in  $\mathcal{C}$  is associative and  $X$  is functor,

$$X(f_3 f_2 f_1)(x_0) \xrightarrow{X(f_3 f_2)\varphi_1 = X(f_3)X(f_2)\varphi_1} X(f_3 f_2)(x_1) \xrightarrow{X(f_3)\varphi_2} X(f_3)(x_2) \xrightarrow{\varphi_3} x_3.$$

If  $\xi : X \Rightarrow X'$  is a morphism of diagrams  $\{\xi_c : X(c) \rightarrow X'(c)\}_{c \in \text{Ob}(\mathcal{C})}$ , we define the functor  $\mathcal{C} \int \xi : \mathcal{C} \int X \rightarrow \mathcal{C} \int X'$  on

**Objects:**  $(c, x) \mapsto (c, \xi_c(x))$

**Morphisms:**  $(f, \varphi) \mapsto (f, \xi_{c'}(\varphi))$

This gives a functor

$$\begin{array}{ccc} \mathcal{C} \int - : \mathbf{Fun}(\mathcal{C}, \mathbf{Cat}) & \longrightarrow & \mathbf{Cat} \\ X & \longmapsto & \mathcal{C} \int X \\ \xi & \longmapsto & \mathcal{C} \int \xi \end{array}$$

**Theorem 2.2.** [Thomson's Theorem] We have the composition

$$\mathcal{C} \xrightarrow{X} \mathbf{Cat} \xrightarrow{\mathcal{N}} \mathbf{sSet} \xrightarrow{|\cdot|} \mathbf{Top}$$

and

$$\left| \text{hocolim}_{\mathcal{C}} (\mathcal{N}_* X) \right| \simeq \left| \mathcal{N}_* \left( \mathcal{C} \int X \right) \right|$$

the nerve of  $\mathcal{C} \int X$  is a simplicial model of  $\text{hocolim}_{\mathcal{C}} (\mathcal{N}_* X)$ .

This can be restated and proved in terms of simplicial sets (combinatorial).

## 2.4 Quasi-categories

**Question:** given a simplicial set  $X_*$ , does there exists a small category  $\mathcal{C}$  such that  $X_* \simeq \mathcal{N}_* \mathcal{C}$ ?

We want to characterize the image of  $\mathcal{N}_* : \mathbf{Cat} \rightarrow \mathbf{sSet}, \mathcal{C} \mapsto \mathcal{N}_* \mathcal{C}$ . And we can generalize the definition of categories in this way.

### Terminology

**Definition 2.13.** If  $\mathcal{C}$  is a category,  $\mathcal{M} \subseteq \text{Mor}(\mathcal{C})$  is a class of morphisms, we say that a morphism  $f : A \rightarrow B$  has a *right lifting property* with respect to  $\mathcal{M}$  ( $f \in \text{RLP}(\mathcal{M})$ ) if for any commutative diagram

$$\begin{array}{ccc} C & \xrightarrow{h} & A \\ M \ni g \downarrow & \nearrow \tilde{k} & \downarrow f \\ D & \xrightarrow{k} & B \end{array}$$

there exists  $\tilde{k} : D \rightarrow A$  such that  $f\tilde{k} = k$  and  $\tilde{k}g = h$ .

Dually, a morphism  $f : A \rightarrow B$  has a *left lifting property* with respect to  $\mathcal{M}$  ( $f \in LLP(\mathcal{M})$ ) if for any commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{h} & C \\ f \downarrow & \nearrow \tilde{k} & \downarrow g \in M \\ B & \xrightarrow{k} & D \end{array}$$

there exists  $\tilde{k} : B \rightarrow C$  such that  $g\tilde{k} = k$  and  $\tilde{k}f = h$ .

Consider the inner horn inclusions

$$\{i_n^k : \Lambda_k[n]_* \hookrightarrow \Delta[n]_*\}_{0 < k < n, n \geq 2} \subseteq Mor(\mathbf{sSet})$$

**Definition 2.14.** (Joyal, J. Lurie) A small *quasi-category* is a simplicial set  $X$  such that the canonical projection  $X \rightarrow \bullet$  (where  $\bullet$  is the discrete simplicial one-element set) has the RLP with respect to the inner horn inclusion.

$$\begin{array}{ccc} \Lambda_k[n]_* & \longrightarrow & X_* \\ i_n^k \downarrow & \nearrow \tilde{p}_n & \downarrow \\ \Delta[n]_* & \xrightarrow{p_n} & \bullet \end{array}$$

Note that  $i_n^k : \Lambda_k[n]_* \rightarrow \Delta[n]_*$  gives

$$(i_n^k)^* : \text{Hom}_{\mathbf{sSet}}(\Delta[n]_*, X_*) \rightarrow \text{Hom}_{\mathbf{sSet}}(\Lambda_k[n]_*, X_*)$$

where  $X_n := X_*([n]) \cong \text{Hom}_{\mathbf{sSet}}(\Delta[n]_*, X_*)$  by Yoneda lemma, and we denote  $\Lambda_k^n(X) := \text{Hom}_{\mathbf{sSet}}(\Lambda_k[n]_*, X_*)$ .

Hence the definition can be restated as  $(i_n^k)^* : X_n \rightarrow \Lambda_k^n(X)$  is surjective for any  $0 < k < n, n \geq 2$ . We say that each  $k$ -horn in  $X$  can be filled to an  $n$ -simplex.

**Theorem 2.3.** (Characterization of  $\mathcal{N}_*\mathcal{C}$ ) A simplicial set  $X$  is isomorphic to the nerve of a small category  $\mathcal{C}$ . (necessarily unique up to isomorphism) if and only if  $(i_n^k)^* : X_n \rightarrow \Lambda_k^n(X)$  is a bijection for any  $0 < k < n, n \geq 2$ .

Thus quasi-categories are generalization of categories.

*Remark 2.11.* The condition  $(i_n^k)^* : X_n \rightarrow \Lambda_k^n(X)$  is surjective for any  $0 < k < n, n \geq 2$  is called weak Kan condition, so quasi-categories are weak Kan complexes.

The usual (strong) Kan condition is that  $(i_n^k)^* : X_n \rightarrow \Lambda_k^n(X)$  is surjective for any  $0 \leq k < n, n \geq 1$ .

**Examples:** simplicial groups (Moore Theorem); simplicial complex of a topological space  $\text{Sing}_*(X) :=$

$$\{\text{Hom}_{\mathbf{Top}}(\Delta^n, X)\}_{n \geq 0}.$$

**How does a quasi-category look like?**

- $X_0$  = the set of objects
- $X_1$  = the set of morphisms
- $d_0, d_1$  are the source and target maps respectively,

$$X_0 \begin{array}{c} \xleftarrow{d_0} \\ \xleftarrow{d_1} \end{array} X_1$$

- composable  $f, g \in X_1$ , i.e.  $d_0g = d_1f$ ,

$$\begin{array}{ccc} & y & \\ f \nearrow & & \searrow g \\ x & \text{---} h \text{---} & z \end{array}$$

gives an element  $\sigma_0 \in \Lambda_1^2(X)$ . The weak Kan condition implies that there exists  $\sigma \in X_2$  “filling”  $\sigma_0$ , so  $h = ”g \circ f”$  is defined by taking face of  $\sigma$ .  $h$  is well-defined “up to homotopy” specified by weak Kan condition. Associativity holds only up to homotopy.

It turns out that basic constructions of category theory can be extended to quasi-categories (thanks to Lurie).

**Theorem 2.4.** [J01] *There is a model structure on the full subcategory of quasi-categories in  $\mathbf{sSet}$  (and thus is one of the models for  $(\infty, 1)$ -categories.*

**Definition 2.15.** A  $(\infty, 1)$ -category is a higher category with all  $k$ -morphisms ( $k \geq 2$ ) being invertible.

**Motivation.** To give  $\mathrm{Sing}_*(X)$  a category-like structure.

Quasi-categories =  $\infty$ -categories = topological spaces (from the point of view of homotopy theory).

## Part II

## Review of Some Categorical Constructions

## 3 Categorical Constructions

## 3.1 Adjoint Functors

**Fact 3.1.** (Categorical) If  $F : \mathcal{C} \xrightleftharpoons{\quad} \mathcal{D} : G$  are adjoint functors, then we have natural isomorphisms

$$\Psi_{A,B} : \text{Hom}_{\mathcal{D}}(FA, B) \xrightarrow{\cong} \text{Hom}_{\mathcal{C}}(A, GB) \quad (3)$$

together with adjunction morphisms

$$\begin{cases} \eta : \text{Id}_{\mathcal{C}} \Rightarrow GF & \text{unit} \\ \varepsilon : FG \Rightarrow \text{Id}_{\mathcal{D}} & \text{counit} \end{cases} \quad (4)$$

such that the compositions  $\varepsilon F \circ F\eta$  and  $G\varepsilon \circ \eta G$  are identities.

$$\begin{aligned} (F \xRightarrow{F\eta} FGF \xRightarrow{\varepsilon F} F) &= \text{Id}_F \\ (G \xRightarrow{\eta G} GFG \xRightarrow{G\varepsilon} G) &= \text{Id}_G \end{aligned} \quad (5)$$

**Lemma 3.1.** If  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  is a pair of functors given with morphisms 4 satisfying 5, then  $F : \mathcal{C} \xrightleftharpoons{\quad} \mathcal{D} : G$  are adjoint functors, with 3 given by

$$\Psi_{A,B}(f : FA \rightarrow B) = \left( A \xrightarrow{\eta_A} GFA \xrightarrow{Gf} GB \right).$$

*Proof.* The inverse  $\Psi_{A,B}^{-1}$  is given by

$$\Psi_{A,B}^{-1}(g : A \rightarrow GB) = \left( FA \xrightarrow{Fg} FGB \xrightarrow{\varepsilon_B} B \right).$$

□

**Proposition 3.1.** *If  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  are adjoint functors. Take any category  $\mathcal{A}$  and consider*

$$\begin{aligned} G^* : \quad \mathbf{Fun}(\mathcal{C}, \mathcal{A}) &\rightleftharpoons \mathbf{Fun}(\mathcal{D}, \mathcal{A}) : F^* \\ \left( \mathcal{C} \xrightarrow{H} \mathcal{A} \right) &\longmapsto \left( \mathcal{D} \xrightarrow{G} \mathcal{C} \xrightarrow{H} \mathcal{A} \right) \\ \left( \mathcal{C} \xrightarrow{F} \mathcal{D} \xrightarrow{K} \mathcal{A} \right) &\longleftarrow \left( \mathcal{D} \xrightarrow{K} \mathcal{A} \right) \end{aligned}$$

$$\begin{aligned} F_* : \quad \mathbf{Fun}(\mathcal{A}, \mathcal{C}) &\rightleftharpoons \mathbf{Fun}(\mathcal{A}, \mathcal{D}) : G_* \\ \left( \mathcal{A} \xrightarrow{H} \mathcal{C} \right) &\longmapsto \left( \mathcal{A} \xrightarrow{H} \mathcal{C} \xrightarrow{F} \mathcal{D} \right) \\ \left( \mathcal{A} \xrightarrow{K} \mathcal{D} \xrightarrow{G} \mathcal{C} \right) &\longleftarrow \left( \mathcal{A} \xrightarrow{K} \mathcal{D} \right) \end{aligned}$$

then  $(G^*, F^*)$  and  $(F_*, G_*)$  are adjoint pairs.

*Proof.* Given  $\eta, \varepsilon$ , for  $H : \mathcal{C} \rightarrow \mathcal{A}$  define  $H\eta : H \Rightarrow HGF$  by

$$H\eta = \{H(\eta_A) : H(A) \rightarrow HGF(A)\}_{A \in \text{Ob}(\mathcal{C})}$$

Varying  $H \in \mathbf{Fun}(\mathcal{C}, \mathcal{A})$  we get  $\eta^* : \text{Id}_{\mathbf{Fun}(\mathcal{C}, \mathcal{A})} \Rightarrow F^*G^*$ . Similarly we have  $\varepsilon^* : G^*F^* \Rightarrow \text{Id}_{\mathbf{Fun}(\mathcal{D}, \mathcal{A})}$  and they satisfy 5, so  $(G^*, F^*)$  is an adjoint pair.

In a similar manner we can get  $\eta_* : \text{Id}_{\mathbf{Fun}(\mathcal{A}, \mathcal{C})} \Rightarrow G_*F_*$  and  $\varepsilon_* : F_*G_* \Rightarrow \text{Id}_{\mathcal{D}}$  and they satisfy 5, so  $(F_*, G_*)$  is an adjoint pair.  $\square$

In the rest of this section, all categories  $\mathcal{C}$  are locally small, i.e.  $\text{Hom}(x, y)$  is a set for any  $x, y \in \text{Ob}(\mathcal{C})$ , unless stated otherwise.

### 3.2 (Co)limits

(Co)limits can be generalized by “local” UMP (objects) or “global” UMP (diagrams).

Let  $\mathcal{C}, \mathcal{D}$  be two categories and  $d \in \text{Ob}(\mathcal{D})$ . Define a constant at  $d$  to be the functor

$$\begin{aligned} \text{const}_d : \mathcal{C} &\longrightarrow \mathcal{D} \\ c &\mapsto d \\ f &\mapsto \text{Id}_d \end{aligned}$$

**Definition 3.1.** (Local) Given any functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ , the colimit  $\text{colim}_{\mathcal{C}}(F)$  is the morphism

$$\begin{array}{ccc} F & \xRightarrow{\quad} & \text{const}_d \\ & \searrow \eta & \nearrow \text{const}_f \\ & \text{const}_{\text{colim}_{\mathcal{C}}(F)} & \end{array}$$

which is universal among all morphisms from  $F$  to constants in  $\mathcal{D}$  for some unique  $f : \text{colim}_{\mathcal{C}}(F) \rightarrow d$ .

**Definition 3.2.** (Global) Define a functor

$$\begin{aligned} \text{const} : \mathcal{D} &\rightarrow \mathcal{D}^{\mathcal{C}} \\ d &\mapsto \text{const}_d \\ f &\mapsto \text{const}_f \end{aligned}$$

then colimit and limit can be defined as left and right adjoint to  $\text{const}$ .

$$\begin{array}{ccc} & \mathcal{D} & \\ \text{colim} \nearrow & \downarrow \text{const} & \nwarrow \text{lim} \\ & \mathcal{D}^{\mathcal{C}} & \end{array}$$

Thus we have natural isomorphisms

$$\begin{aligned} \text{Hom}_{\mathcal{D}}(\text{colim}_{\mathcal{C}}(F), d) &\cong \text{Hom}_{\mathcal{D}^{\mathcal{C}}}(F, \text{const}_d) \\ \text{Hom}_{\mathcal{D}}(d, \text{lim}_{\mathcal{C}}(F)) &\cong \text{Hom}_{\mathcal{D}^{\mathcal{C}}}(\text{const}_d, F) \end{aligned}$$

*Remark 3.1.* If the category  $\mathcal{D}$  is (co)complete, the two definitions agree. For ordinary (co)limits, these two definitions are essentially equivalent, but once we pass to homotopy (co)limits, there is an important (and very confusing) difference between these two approaches.

### (Co)product

Let  $\mathcal{C}$  be a discrete category.

$$\text{Ob}(\mathcal{C}) = I = \{i\}_{i \in I}.$$

$$\text{Mor}(\mathcal{C}) = \{\text{Id}_i\}_{i \in I}.$$

A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is given by  $F = \{X_i\}_{i \in I}$  then  $\text{colim}_{\mathcal{C}}(F) = \coprod_{i \in I} X_i$  and  $\text{lim}_{\mathcal{C}}(F) = \prod_{i \in I} X_i$ .



**(Co)equalizer**

Let  $\mathcal{C} = \{ \bullet \rightrightarrows \bullet \}$ . A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is given by  $\left\{ X_0 \begin{smallmatrix} \xrightarrow{f} \\ \xrightarrow{g} \end{smallmatrix} X_1 \right\}$ .

$\text{colim}_{\mathcal{C}}(F) = \text{coeq} \left\{ X_0 \begin{smallmatrix} \xrightarrow{f} \\ \xrightarrow{g} \end{smallmatrix} X_1 \right\}$  is universal in the following diagram

$$X_0 \begin{smallmatrix} \xrightarrow{f} \\ \xrightarrow{g} \end{smallmatrix} X_1 \xrightarrow{\pi} X$$

$\text{lim}_{\mathcal{C}}(F) = \text{eq} \left\{ X_0 \begin{smallmatrix} \xrightarrow{f} \\ \xrightarrow{g} \end{smallmatrix} X_1 \right\}$  is universal in the following diagram

$$X \xrightarrow{i} X_0 \begin{smallmatrix} \xrightarrow{f} \\ \xrightarrow{g} \end{smallmatrix} X_1$$

**Exercise 3.1.** If  $\mathcal{D}$  is additive, then  $\text{colim}_{\mathcal{C}}(F) = \text{Coker}(f - g)$ .

**Fact 3.2.** Any colimit (respectively. limit) can be constructed using coproducts and coequalizers. But this is not true for homotopy colimits.

**3.3 (Co)ends**

(Co)ends are special (co)limits.

Let  $\mathcal{C}$  be a small category,  $\mathcal{D}$  a locally small, cocomplete (all small colimits exist) category.

**Definition 3.3.** For a bifunctor  $S : \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathcal{D}$ , the coend of  $S$  is defined as

$$\int_{c \in \text{Ob}(\mathcal{C})} S(c, c) := \text{coeq} \left\{ \coprod_{\text{Mor}(\mathcal{C}) \ni f : c_0 \rightarrow c_1} S(c_1, c_0) \begin{smallmatrix} \xrightarrow{f^*} \\ \xrightarrow{f_*} \end{smallmatrix} \coprod_{c \in \text{Ob}(\mathcal{C})} S(c, c) \right\}$$

where

$$f^* = S(f, 1) : S(c_1, c_0) \rightarrow S(c_0, c_0)$$

$$f_* = S(1, f) : S(c_1, c_0) \rightarrow S(c_1, c_1)$$

### The Universal Mapping Property (UMP) of Coend

The coend  $d := \int_{c \in \text{Ob}(\mathcal{C})} S(c, c) \in \text{Ob}(\mathcal{D})$  with  $\{\varphi_c : S(c, c) \rightarrow d\}_{c \in \text{Ob}(\mathcal{C})}$  such that for any morphism  $f : c_0 \rightarrow c_1$  in  $\mathcal{C}$ , we have a diagram

$$\begin{array}{ccc}
 S(c_1, c_0) & \xrightarrow{f^*} & S(c_0, c_0) \\
 f_* \downarrow & & \downarrow \varphi_{c_0} \\
 S(c_1, c_1) & \xrightarrow{\varphi_{c_1}} & d \\
 & \searrow \text{---} & \downarrow \exists! \\
 & & d'
 \end{array}$$

which is universal with respect to this property.

### Examples

#### Colimit.

Given  $F : \mathcal{C} \rightarrow \mathcal{D}$ , define

$$\begin{aligned}
 S : \mathcal{C}^{op} \times \mathcal{C} &\rightarrow \mathcal{D} \\
 (c', c) &\mapsto F(c)
 \end{aligned}$$

then

$$\int_{c \in \text{Ob}(\mathcal{C})} S(c, c) = \text{coeq} \left\{ \coprod_{\text{Mor}(\mathcal{C}) \ni f : c_0 \rightarrow c_1} S(c_1, c_0) \begin{array}{c} \xrightarrow{f^* = \text{Id}} \\ \xrightarrow{f_*} \end{array} \coprod_{c \in \text{Ob}(\mathcal{C})} S(c, c) \right\} = \text{colim}_{\mathcal{C}} (F).$$

#### Additive functor tensor product.

Let  $R$  be a (not necessary commutative) ring and  $\mathcal{C}$  be a small category.

Let  $F : \mathcal{C}^{op} \rightarrow \mathbf{Mod}\text{-}R$  and  $G : \mathcal{C} \rightarrow R\text{-}\mathbf{Mod}$  be two additive functors. From this we can define a additive bifunctor

$$\begin{aligned}
 S := F \boxtimes_R G : \mathcal{C}^{op} \times \mathcal{C} &\longrightarrow \mathbf{Ab} \\
 (c', c) &\longmapsto F(c') \otimes_R G(c) \\
 (f', f) &\longmapsto \left( \begin{array}{ccc} F(f') \otimes_R G(f) : F(d') \otimes_R G(c) & \rightarrow & F(c') \otimes_R G(d) \\ x' \otimes y & \mapsto & F(f') x' \otimes G(f) y \end{array} \right)
 \end{aligned}$$

**Definition 3.4.** Define  $F \bigotimes_R G := \int_{c \in \text{Ob}(\mathcal{C})} F(c) \otimes_R G(c)$ . Explicitly, we have

$$F \bigotimes_R G \cong \bigoplus_{c \in \text{Ob}(\mathcal{C})} F(c) \otimes_R G(c) / \langle F(f) x' \otimes y - x' \otimes G(f) y \mid (f : c \rightarrow c'), x' \in F(c'), y \in G(c) \rangle.$$

**Exercise 3.2.** Fix  $c \in \text{Ob}(\mathcal{C})$ , consider

$$R^{op}(h_c) = R^{op}[\text{Hom}_{\mathcal{C}}(-, c)] : \mathcal{C}^{op} \rightarrow \mathbf{Mod}\text{-}R$$

which sends  $d \in \text{Ob}(\mathcal{C})$  to the free right  $R$ -module generated by  $\text{Hom}_{\mathcal{C}}(d, c)$ . Similarly we have

$$R(h^c) = R[\text{Hom}_{\mathcal{C}}(c, -)] : \mathcal{C} \rightarrow R\text{-}\mathbf{Mod}.$$

Check that

$$R^{op}(h_c) \otimes_{\mathcal{C}, R} G \cong G(c)$$

$$F \otimes_{\mathcal{C}, R} R(h^c) \cong F(c)$$

*Proof.* By construction

$$\begin{aligned} R^{op}(h_c) \otimes_{\mathcal{C}, R} G &\cong \bigoplus_{d \in \text{Ob}(\mathcal{C})} R^{op}[\text{Hom}_{\mathcal{C}}(d, c)] \otimes_R G(d) / \langle (g' \circ f) \otimes y - g' \otimes G(f)y \rangle \\ &\cong \bigoplus_{d \in \text{Ob}(\mathcal{C})} \bigoplus_{f: d \rightarrow c} R^{op}[f] \otimes G(d)_f / \langle f \otimes y - 1 \otimes G(f)y \mid y \in G(c) \rangle \\ &\cong G(c) \end{aligned}$$

And similarly we have  $F \otimes_{\mathcal{C}, R} R(h^c) \cong F(c)$ . □

**Exercise 3.3.** Let  $\underline{R} := \text{const}_{R_R} : \mathcal{C}^{op} \rightarrow \mathbf{Mod}\text{-}R, c \mapsto R_R$ , then  $\underline{R} \otimes_{\mathcal{C}, R} G \cong \text{colim}_{\mathcal{C}}(G)$ .

*Proof.* By construction  $\underline{R} \otimes_{\mathcal{C}, R} G \cong \bigoplus_{c \in \text{Ob}(\mathcal{C})} R_R \otimes_R G(c) / \langle r \otimes y - r \otimes G(f)y \mid f: c \rightarrow c', y \in G(c) \rangle \cong \text{colim}_{\mathcal{C}}(G)$ .

□

## 4 Kan Extensions

### 4.1 Definitions

**Problem.** Given two functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{C} \rightarrow \mathcal{E}$ , we want to extend  $F$  along  $G$ . Namely, we want to find a functor  $H : \mathcal{E} \rightarrow \mathcal{D}$  so that the diagram

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ G \downarrow & \nearrow H & \\ \mathcal{E} & & \end{array}$$

“commutes” up to isomorphism, that is  $F \cong H \circ G$ .

In general, such  $H$  does not exist for several reasons. For instance, let  $\mathcal{C}, \mathcal{D}, \mathcal{E}$  be discrete categories. It may happen that there exists some  $c' \neq c \in \text{Ob}(\mathcal{C})$  such that  $F(c) \neq F(c')$  but  $G(c) = G(c')$ . In this case it's impossible to find such  $H$ .

**Idea.** To find the “closest” universal approximation to the equivalence  $F \cong H \circ G$ , i.e. construct the universal morphisms  $\eta : F \Rightarrow H \circ G$  (left Kan extension) and  $\varepsilon : H \circ G \Rightarrow F$  (right Kan extension).

**Definition 4.1.** (Local) A *left Kan extension* of  $F : \mathcal{C} \rightarrow \mathcal{D}$  along  $G : \mathcal{C} \rightarrow \mathcal{E}$  is a functor  $L_G F : \mathcal{E} \rightarrow \mathcal{D}$  together with a morphism  $\eta_{\text{un}} : F \Rightarrow L_G F \circ G$

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ G \downarrow & \Downarrow \eta_{\text{un}} & \uparrow \\ \mathcal{E} & \xrightarrow{L_G F} & \mathcal{D} \end{array}$$

which is universal (initial) among all pairs  $(H : \mathcal{E} \rightarrow \mathcal{D}, \eta : F \Rightarrow H \circ G)$  in the sense that there exists a unique  $\varphi : L_G F \Rightarrow H$  such that  $\eta = (\varphi G) \circ \eta_{\text{un}}$ ,

$$\begin{array}{ccc} F & \xRightarrow{\eta} & H \circ G \\ \eta_{\text{un}} \searrow & & \nearrow \varphi G \\ & L_G F \circ G & \end{array}$$

where  $\varphi G$  is the horizontal composition

$$\mathcal{C} \xrightarrow{G} \mathcal{E} \begin{array}{c} \xrightarrow{L_G F} \mathcal{D} \\ \Downarrow \varphi \\ \xrightarrow{H} \mathcal{D} \end{array}$$

given by  $\varphi G = \{\varphi_{GX} : L_G F(GX) \rightarrow H(GX)\}_{X \in \text{Ob}(\mathcal{C})}$ .

**Definition 4.2.** (Local) A *right Kan extension* of  $F : \mathcal{C} \rightarrow \mathcal{D}$  along  $G : \mathcal{C} \rightarrow \mathcal{E}$  is a functor  $R_G F : \mathcal{E} \rightarrow \mathcal{D}$  together with a morphism  $\varepsilon_{\text{un}} : R_G F \circ G \Rightarrow F$

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ G \downarrow & \uparrow \varepsilon_{\text{un}} & \uparrow \\ \mathcal{E} & \xrightarrow{R_G F} & \mathcal{D} \end{array}$$

which is universal (terminal) among all pairs  $(K : \mathcal{E} \rightarrow \mathcal{D}, \varepsilon : K \circ G \Rightarrow F)$  in the sense that there exists a unique  $\varphi : K \Rightarrow R_G F$  such that  $\varepsilon_{\text{un}} \circ \varphi G = \varepsilon$ ,

$$\begin{array}{ccc} K \circ G & \xRightarrow{\varepsilon} & F \\ \varphi G \searrow & & \nearrow \varepsilon_{\text{un}} \\ & R_G F \circ G & \end{array}$$

where  $\varphi G$  is the horizontal composition

$$\mathcal{C} \xrightarrow{G} \mathcal{E} \begin{array}{c} \xrightarrow{R_G F} \mathcal{D} \\ \uparrow \varphi \\ \xrightarrow{K} \mathcal{D} \end{array}$$

given by  $\varphi G = \{\varphi_{GX} : K(GX) \rightarrow R_G F(GX)\}_{X \in \text{Ob}(\mathcal{C})}$ .

*Remark 4.1.* Given functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{C} \rightarrow \mathcal{E}$ , define

$$\text{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{D})}(F, - \circ G) : \mathbf{Fun}(\mathcal{E}, \mathcal{D}) \longrightarrow \mathbf{Set}$$

by  $H \mapsto \text{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{D})}(F, H \circ G)$  on objects. The Left Kan extension  $L_G F \in \text{Ob}(\mathbf{Fun}(\mathcal{E}, \mathcal{D}))$  is precisely the object representing this functor since the UMP precisely gives the isomorphism

$$\text{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{D})}(F, H \circ G) \cong \text{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{D})}(L_G F, H)$$

for all  $H \in \text{Ob}(\mathbf{Fun}(\mathcal{E}, \mathcal{D}))$ .

**Definition 4.3.** (Global) Fix a functor  $G : \mathcal{C} \rightarrow \mathcal{E}$  and consider the restriction functor

$$\begin{aligned} G_* := (-) \circ G : \mathbf{Fun}(\mathcal{E}, \mathcal{D}) &\longrightarrow \mathbf{Fun}(\mathcal{C}, \mathcal{D}) \\ H &\longmapsto H \circ G \\ \varphi &\longmapsto \varphi G \end{aligned}$$

We define the left and right Kan extensions as the left and right adjoints of this functor

$$\begin{array}{ccc} & \mathbf{Fun}(\mathcal{E}, \mathcal{D}) & \\ L_G(-) \swarrow & \downarrow G_* & \searrow R_G(-) \\ & \mathbf{Fun}(\mathcal{C}, \mathcal{D}) & \end{array}$$

i.e. we have natural isomorphisms

$$\mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{D})}(L_G F, H) \cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{D})}(F, H \circ G)$$

$$\mathrm{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{D})}(K \circ G, F) \cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{D})}(K, R_G F)$$

## 4.2 Basic Examples

### Group representations

Let  $G$  be a discrete group and  $k$  a field. Let  $H \leq G$  be a subgroup. We can think of  $G$  as a category  $\underline{G}$  with one object  $\{*\}$  and  $\mathrm{Hom}_{\underline{G}}(*, *) = G$ . There is an inclusion  $i : \underline{H} \hookrightarrow \underline{G}$ .

Let  $\mathrm{Rep}_k(G)$  be the category of  $k$ -linear representations of  $G$  and  $G$ -equivariant linear maps. Then  $\mathrm{Rep}_k(G)$  can be identified with the category  $\mathbf{Fun}(\underline{G}, \mathbf{Vect}_k)$ .

A linear representation of  $H$  in  $\mathbf{Vect}_k$  given by  $\rho : H \rightarrow \mathrm{End}_k(V)$  can be interpreted as a functor

$$\begin{aligned} \rho : \underline{H} &\rightarrow \mathbf{Vect}_k \\ * &\mapsto V \\ g &\mapsto \rho(g) \end{aligned}$$

There are classical functors relating  $\mathrm{Rep}_k(H)$  and  $\mathrm{Rep}_k(G)$ :  $\mathrm{Res}_H^G$  which is precisely post-composition

with the inclusion functor  $i : \underline{H} \hookrightarrow \underline{G}$ . Define

$$\mathrm{Ind}_H^G := k[G] \otimes_{k[H]} -$$

and

$$\mathrm{Coind}_H^G := \mathrm{Hom}_{k[H]}(k[G], -).$$

For all  $V \in \mathrm{Rep}_k(H)$  and all  $W \in \mathrm{Rep}_k(G)$ , the Hom-Tensor adjunction gives

$$\mathrm{Hom}_G(\mathrm{Ind}_H^G(V), W) \cong \mathrm{Hom}_G(k[G] \otimes_{k[H]} V, W) \cong \mathrm{Hom}_H(V, \mathrm{Hom}_{k[G]}(k[G], W)) \cong \mathrm{Hom}_H(V, W)$$

where the last isomorphism arises from the isomorphism  $\mathrm{Hom}_{k[G]}(k[G], W) \cong \mathrm{Res}_H^G(W) \cong W$  of  $H$ -representations. In this way,  $\mathrm{Ind}_H^G$  is realized as a left adjoint to  $\mathrm{Res}_H^G$ .

Dually, the isomorphism  $\mathrm{Res}_H^G(W) \cong k[G] \otimes_{k[H]} W$  together with the Hom-Tensor adjunction gives

$$\mathrm{Hom}_H(W \otimes_{k[H]} k[G], V) \cong \mathrm{Hom}_G(W, \mathrm{Hom}_{k[H]}(k[G], V)) \cong \mathrm{Hom}_G(W, \mathrm{Coind}_H^G(V))$$

which realizes  $\mathrm{Coind}_H^G$  as a right adjoint to  $\mathrm{Res}_H^G$ .

Identifying  $\mathrm{Rep}_k(G)$  and  $\mathrm{Rep}_k(H)$  with the functor categories  $\mathbf{Fun}(\mathbf{G}, \mathbf{Vect}_k)$  and  $\mathbf{Fun}(\mathbf{H}, \mathbf{Vect}_k)$  respectively, then  $\mathrm{Res}_H^G = - \circ i =: i_*$  and we obtain the adjunction

$$\begin{array}{ccc} & \mathbf{Fun}(\mathbf{G}, \mathbf{Vect}_k) & \\ L_i(-) \swarrow & \downarrow i_* & \searrow R_i(-) \\ & \mathbf{Fun}(\mathbf{H}, \mathbf{Vect}_k) & \end{array}$$

where  $L_i = \mathrm{Ind}_H^G$  and  $R_i = \mathrm{Coind}_H^G$ .

*Remark 4.2.* If  $i$  is not injective, we can consider homotopy Kan extension (derived version).

### (Co)limits

Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor and  $\mathcal{E} = \bullet$ ,

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ G \downarrow & \nearrow H & \\ \bullet & & \end{array}$$

then  $H$  is determined by the choice of one object  $\in Ob(\mathcal{D})$ , so  $H \circ G$  is a constant functor and any natural transformation  $\eta : F \Rightarrow H \circ G$  is equivalent to a natural transformation  $\eta : F \Rightarrow \text{const}_d$ . Thus

$$L_G F \cong \text{colim}_{\mathcal{C}} F$$

$$R_G F \cong \text{lim}_{\mathcal{C}} F$$

### Adjunctions

Given an adjoint pair  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$ , then we have the natural isomorphism

$$\text{Hom}_{\mathcal{D}}(F(c), d) \cong \text{Hom}_{\mathcal{C}}(c, G(d)), \forall c \in Ob(\mathcal{C}), d \in Ob(\mathcal{D}).$$

Take  $d = F(c)$ , we get the unit  $\eta : \text{Id}_{\mathcal{C}} \Rightarrow GF$

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\text{Id}} & \mathcal{C} \\ F \downarrow & \nearrow & \\ \mathcal{D} & & \end{array}$$

and it gives  $(L_F(\text{Id}_{\mathcal{C}}), \eta_{\text{un}}) \cong (G, \eta)$ .

take  $c = G(d)$  we get the counit  $\varepsilon : FG \Rightarrow \text{Id}_{\mathcal{D}}$

$$\begin{array}{ccc} \mathcal{D} & \xrightarrow{\text{Id}} & \mathcal{D} \\ G \downarrow & \nearrow & \\ \mathcal{C} & & \end{array}$$

and it gives  $(R_G(\text{Id}_{\mathcal{D}}), \varepsilon_{\text{un}}) \cong (F, \varepsilon)$ .

### 4.3 Properties

Consider the following situation.

$$\begin{array}{ccccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} & \xrightarrow{H} & \mathcal{F} \\ G \downarrow & \nearrow & \nearrow & \nearrow & \\ \mathcal{D} & & L_G(F) & & \\ & \searrow & \searrow & \searrow & \\ & & L_G(H \circ F) & & \end{array}$$

By UMP of left Kan extension, there exists a natural morphism  $\xi : L_G(H \circ F) \Rightarrow H \circ L_G(F)$ .

**Definition 4.4.** The functor  $H$  preserves left Kan extension of  $F$  along  $G$  if both  $L_G(F)$  and  $L_G(H \circ F)$  exists and  $\xi$  is an isomorphism.



**Definition 4.5.** A left Kan extension  $L_G(F)$  is called *absolute* if it is preserved by all functors  $H$ .

**Nonexample.** Consider  $i : \underline{H} \hookrightarrow \underline{G}$  and take  $U : \mathbf{Vect}_k \rightarrow \mathbf{Set}$  the forgetful functor.

$$\begin{array}{ccccc} \mathcal{C} & \xrightarrow{\rho} & \mathcal{D} & \xrightarrow{H} & \mathcal{F} \\ \downarrow i & \nearrow & \nearrow & \nearrow & \\ \mathcal{D} & & & & \end{array}$$

Then  $UL_i(\rho) = U(k[G] \otimes_{k[H]} V)$  and  $L_i(U\rho) = G \times_H U(V)$  are very different.

Note that  $U$  has no right adjoint, so  $U$  is not a left adjoint.

**Exercise 4.1.** Left adjoints always preserves left Kan extensions.

*Proof.* Let  $F : \mathcal{D} \rightleftarrows \mathcal{F} : G$  be an adjoint pair. We have

$$\begin{array}{ccccc} \mathcal{C} & \xrightarrow{H} & \mathcal{D} & \xrightarrow{F} & \mathcal{F} \\ \downarrow K & \nearrow & \nearrow & \nearrow & \\ \mathcal{E} & & & & \end{array}$$

$$\begin{aligned} \mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{F})}(L_K(F \circ H), N) &\cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{F})}(F \circ H, N \circ K) \\ &\cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{D})}(H, G \circ N \circ K) \\ &\cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{D})}(L_K(H), G \circ N) \\ &\cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{F})}(F \circ L_K(H), N) \end{aligned}$$

and by Yoneda lemma,  $L_K(F \circ H) \cong F \circ L_K(H)$ . □

**Example 4.1.** (Total derived functor) Let  $\mathcal{C}$  be a model category and  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  be a Quillen pair.

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ \downarrow \gamma & \nearrow & \\ \mathrm{Ho}(\mathcal{C}) = \mathcal{C}[\mathrm{We}^{-1}] & & \end{array}$$

The total left functor is  $\mathbb{L}F = R_\gamma(F)$  and total right functor  $\mathbb{R}F = L_\gamma(F)$ .

**Theorem 4.1.** *[[Mal07], 2007] Derived functors of Quillen functors are absolute Kan-extensions.*

#### 4.4 Pointwise Kan Extensions

**Definition 4.6.** A right Kan extension is called *pointwise* if it is preserved by all (covariant) representable functors  $h^d = \text{Hom}(d, -) : \mathcal{D} \rightarrow \mathbf{Set}, d \in \text{Ob}(\mathcal{D})$ .

$$\begin{array}{ccccc}
 \mathcal{C} & \xrightarrow{F} & \mathcal{D} & \xrightarrow{h^d} & \mathbf{Set} \\
 \downarrow G & \nearrow \uparrow & \nearrow L_G(F) & \nearrow & \\
 \mathcal{D} & & & \nearrow L_G(h^d \circ F) & 
 \end{array}$$

**Definition 4.7.** A left Kan extension is called *pointwise* if it is mapped to a right Kan extension by all (contravariant) representable functors  $h_d = \text{Hom}(-, d) : \mathcal{D}^{op} \rightarrow \mathbf{Set}, d \in \text{Ob}(\mathcal{D})$ .

$$\begin{array}{ccccc}
 \mathcal{C} & \xrightarrow{F} & \mathcal{D} & \xrightarrow{h_d} & \mathbf{Set}^{op} \\
 \downarrow G & \nearrow \downarrow & \nearrow R_G(F) & \nearrow & \\
 \mathcal{D} & & & \nearrow L_G(h_d \circ F) & 
 \end{array}$$

*Remark 4.3.* This is very similar to the property of limits and colimits.

We have the following:

Absolute Kan extensions  $\subsetneq$  pointwise Kan extensions  $\subsetneq$  Kan extensions.

We will give a characterization (formula) for pointwise Kan extensions.

#### Comma Category

**Definition 4.8.** Given a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  and an object  $d \in \text{Ob}(\mathcal{D})$ , we can define the *comma category*  $F/d$  (or  $F \downarrow d$ ) as follows.

**Objects:**  $\text{Ob}(F/d) = \{(c, f) \mid c \in \text{Ob}(\mathcal{C}), f \in \text{Hom}_{\mathcal{D}}(Fc, d)\}$ .

**Morphisms:**  $\text{Hom}_{F/d}((c, f), (c', f')) = \{\varphi \in \text{Hom}_{\mathcal{C}}(c, c') \mid f' \circ F\varphi = f\}$ , i.e. morphisms are those  $\varphi : c \rightarrow c'$  such that the following diagram

$$\begin{array}{ccc}
 Fc & \xrightarrow{F\varphi} & Fc' \\
 & \searrow f & \swarrow f' \\
 & d & 
 \end{array}$$

commutes.

Dually we can define cocomma category  $d \backslash F$  (or  $d \downarrow F$ ).

Note that there is a forgetful functor  $U : F/d \rightarrow \mathcal{C}$  which can be thought as a fibre functor.

**Example 4.2.** Let  $F = \text{Id}_{\mathcal{D}} : \mathcal{D} \rightarrow \mathcal{D}$ , then  $F/d$  is the category over  $d$  and  $d \backslash F$  is the category under  $d$ .

**Example 4.3.** (Category of simplicial sets) Take

$$\begin{aligned} F = h_* : \quad \Delta &\rightarrow \mathbf{sSet} \\ [n] &\mapsto \Delta[n]_* \end{aligned}$$

For any  $X \in \text{Ob}(\mathbf{sSet})$  we call the category  $\Delta X := h_*/X$  the category of simplices of  $X$ , which is given by

**Objects:**  $\text{Ob}(\Delta X) = \{([n], x) : [n] \in \Delta, x \in \text{Hom}_{\mathbf{sSet}}(\Delta[n]_*, X) = X_n\} = \coprod_{n \geq 0} X_n.$

**Morphisms:**  $\text{Hom}([n], x), ([m], y) = \{f : [n] \rightarrow [m] \mid X(f)y = y \circ h_*(f) = x\}.$

Another way to define  $\Delta X$  is to consider  $X : \Delta^{op} \rightarrow \mathbf{Set}$  and take the Grothendieck construction  $\Delta_X^{op} = \Delta^{op} \int X$  where

**Objects:**  $\text{Ob}(\Delta_X^{op}) = \{([m], y) \mid [m] \in \text{Ob}(\Delta^{op}) = \text{Ob}(\Delta), y \in X([m]) = X_m\}.$

**Morphisms:**  $\text{Hom}([n], x), ([m], y) = \{f \in \text{Hom}_{\Delta^{op}}([n], [m]) \mid X(f)x = y\}.$

Hence  $\Delta_X^{op} \cong (\Delta X)^{op}.$

**Example 4.4.** Let  $\mathcal{C}$  be a small category and take

$$\begin{aligned} \Delta^* : \quad \Delta &\hookrightarrow \mathbf{Cat} \\ [n] &\mapsto \vec{n} = \{0 \rightarrow 1 \rightarrow \cdots \rightarrow n\} \end{aligned}$$

This is a fully faithful functor. Then  $\Delta^*/\mathcal{C} :=$  the simplicial complex over  $\mathcal{C}$  defined by

**Objects:**  $\text{Ob}(\Delta^*/\mathcal{C}) = \{([n], f) \mid [n] \in \Delta, f : \vec{n} \rightarrow \mathcal{C}\} \cong \coprod_{n \geq 0} \mathcal{N}_n \mathcal{C}.$

**Morphisms:**  $\text{Hom}([n], f), ([m], g) = \{\varphi : \vec{n} \rightarrow \vec{m} \mid g \circ \Delta^*(\varphi) = f\}.$

Therefore  $\Delta^*/\mathcal{C} \cong \Delta \mathcal{N} \mathcal{C}.$

*Remark 4.4.*  $\Delta X$  and  $(\Delta X)^{op}$  are examples of Reedy categories (with fibrant or cofibrant, respectively).

### Computing Kan extension via (co)limits

**Theorem 4.2.** A left Kan extension is pointwise if and only if it can be computed by the formula

$$L_G(F)(e) = \text{colim}_{G/e} \left( G/e \xrightarrow{U} \mathcal{C} \xrightarrow{F} \mathcal{D} \right).$$

**Theorem 4.3.** *(Dual version) A right Kan extension is pointwise if and only if it can be computed by the formula*

$$R_G(F)(e) = \lim_{G/e} \left( e \backslash G \xrightarrow{U} \mathcal{C} \xrightarrow{F} \mathcal{D} \right).$$

*Proof.* It suffices (and more convenient) to prove the dual version. Indeed,  $L_G(F)$  is characterized by

$$\begin{array}{ccc} \mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{D})}(L_G(F), H) & \cong & \mathrm{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{D})}(F, G_*H) \\ \cong \downarrow & & \downarrow \cong \\ \mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{D})^{op}}(H, L_G(F)) & & \mathrm{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{D})^{op}}(G_*H, F) \end{array}$$

Note that  $\mathbf{Fun}(\mathcal{C}, \mathcal{D})^{op} = \mathbf{Fun}(\mathcal{C}^{op}, \mathcal{D})$ , so  $R_{G^0}(F^0) \cong L_G(F)$ .

Since limits commutes with representable functors, i.e. given  $F : \mathcal{J} \rightarrow \mathcal{D}$ ,

$$\mathrm{Hom}_{\mathcal{D}} \left( d, \lim_{\mathcal{J}} F \right) \cong \lim_{\mathcal{J}} (\mathrm{Hom}_{\mathcal{D}}(d, F(-)))$$

so if  $R_G(F)$  is given by such a formula, then it automatically commutes with representable functors  $h^d = \mathrm{Hom}_{\mathcal{D}}(d, -)$ ,  $\forall d \in \mathcal{D}$ .

Assume that  $R_G(F)$  is pointwise, then for any  $d \in \mathrm{Ob}(\mathcal{D})$  and any  $e \in \mathrm{Ob}(\mathcal{E})$ ,

$$\begin{aligned} \mathrm{Hom}_{\mathcal{D}}(d, R_GF(e)) &= h^d(R_GF(e)) = (h^d \circ R_GF)(e) \\ &= \mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{D})}(h^e, h^d \circ R_GF) \\ &\cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{E}, \mathcal{D})}(h^e, R_G(h^d \circ F)) \\ &\cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathbf{Set})}(h^e \circ G, h^d \circ F) \\ &\cong \mathrm{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathbf{Set})}(\mathrm{Hom}_{\mathcal{E}}(e, G(-)), \mathrm{Hom}_{\mathbf{Fun}(\mathcal{D}, \mathbf{Set})}(d, F(-))) \\ &\cong \text{the set of cones under } d \text{ of the functor } FU \\ &= \mathrm{Hom}_{\mathbf{Fun}(e \backslash G, \mathcal{D})}(\mathrm{const}_d, FU) \\ &\cong \mathrm{Hom}_{\mathcal{D}}(d, \lim_{e \backslash G} FU) \end{aligned}$$

By Yoneda lemma,  $R_GF(e) \cong \lim_{e \backslash G}(FU)$ . □

**Corollary 4.1.** *If  $\mathcal{D}$  is cocomplete then every left Kan extension of  $F : \mathcal{C} \rightarrow \mathcal{D}$  exists and is pointwise. If  $\mathcal{D}$  is complete then every right Kan extension of  $F : \mathcal{C} \rightarrow \mathcal{D}$  exists and is pointwise.*

**Corollary 4.2.** *If  $\mathcal{D}$  is cocomplete and  $G$  is fully faithful, then  $\eta_{un}$  is an isomorphism of functors.*

*Proof.* Take  $c \in \mathrm{Ob}(\mathcal{C})$  and consider  $G/G(c)$ , then  $G$  is fully faithful implies that  $G/G(c)$  has terminal object. Indeed,  $\mathrm{Ob}(G/G(c)) = \{(c', f') \mid c' \in \mathrm{Ob}(\mathcal{C}), f' : Gc' \rightarrow Gc\}$ . Then  $(c, \mathrm{Id}_{G(c)})$  is terminal in  $G/G(c)$

because

$$\mathrm{Hom}((c', f'), (c, \mathrm{Id}_{G(c)})) = \{h : c' \rightarrow c \mid G(h) \circ \mathrm{Id}_{G(c)} = f'\} = G^{-1}(f')$$

contains only one element.

Recall, by UMP of colimits, if  $\mathcal{J}$  has terminal object  $*$ , then for any  $F : \mathcal{J} \rightarrow \mathcal{D}$ ,  $\mathrm{colim}_{\mathcal{J}}(F) = F(*)$ . Now for any  $c \in \mathrm{Ob}(\mathcal{C})$ , take  $e = G(c)$  and apply formula

$$L_G(F)(e) = \mathrm{colim}_{G/G(e)}(FU) \cong FU(c, \mathrm{Id}_{G(c)}) = F(c)$$

So  $L_GF \circ G \cong F$ . □

**Example 4.5.** (Co-Yoneda lemma) Simplest version. Consider

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ \mathrm{Id}_{\mathcal{C}} \downarrow & \nearrow L_{\mathrm{Id}_{\mathcal{C}}} F \cong F & \\ \mathcal{C} & & \end{array}$$

then we have  $L_{\mathrm{Id}_{\mathcal{C}}} F \cong F$  and  $F(c) \cong \mathrm{colim}_{\mathcal{C}/c}(\mathcal{C}/c \xrightarrow{U} \mathcal{C} \xrightarrow{F} \mathcal{D})$ .

**Example 4.6.** Take  $\hat{\mathcal{C}} = \mathbf{Fun}(\mathcal{C}^{op}, \mathbf{Set})$  and Yoneda functor  $h_* : \mathcal{C} \hookrightarrow \hat{\mathcal{C}}$

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{h_*} & \hat{\mathcal{C}} \\ h_* \downarrow & \nearrow L_h(h) \cong \mathrm{Id}_{\hat{\mathcal{C}}} & \\ \hat{\mathcal{C}} & & \end{array}$$

Every presheaf on a small category  $\mathcal{C}$  is canonically a colimit of representable presheaf. For any  $X \in \mathrm{Ob}(\hat{\mathcal{C}})$ ,

$$X \cong \mathrm{colim}_{h_*/X} (h_*/X \xrightarrow{U} \mathcal{C} \xrightarrow{h_*} \hat{\mathcal{C}}).$$

**Example 4.7.** Take  $\mathcal{C} = \Delta$ , then  $\hat{\mathcal{C}} = \mathbf{sSet}$  and  $h/X = \Delta X$  is the category of simplices over  $X$ .

$\mathrm{Ob}(\Delta X) = \coprod_{n \geq 0} X_n$ . Note  $X_n \cong \mathrm{Hom}_{\mathbf{sSet}}(\Delta[n]_*, X)$ .

$\mathrm{Hom}_{\Delta X}([n], x), ([m], y) = \{f : [n] \rightarrow [m] \mid X(f)y = x\}$ .

$$\begin{array}{ccc} \Delta & \xrightarrow{h_*} & \hat{\Delta} = \mathbf{sSet} \\ h_* \downarrow & \nearrow L_h(h) \cong \mathrm{Id}_{\mathbf{sSet}} & \\ \mathbf{sSet} & & \end{array}$$

So we have  $X = \operatorname{colim}_{\Delta X} (\Delta X \xrightarrow{U} \Delta \xrightarrow{h} \mathbf{sSet}) = \operatorname{colim}_{\Delta[n]_* \rightarrow X} \Delta[n]_*$ .

### Computing Kan extension via coends

**Proposition 4.1.** *If  $\mathcal{C}$  is small,  $\mathcal{D}$  is cocomplete and  $\mathcal{E}$  is locally small, then*

$$L_G F(e) = \int^{c \in \operatorname{Ob}(\mathcal{C})} \operatorname{Hom}_{\mathcal{E}}(Gc, e) \bullet F(c).$$

**Decoding.**  $\bullet$  is the bifunctor

$$\begin{aligned} \bullet : \quad \mathbf{Set} \times \mathcal{D} &\longrightarrow \mathcal{D} \\ (X, d) &\longmapsto \coprod_{x \in X} d \\ \left( X \xrightarrow{f} Y, d \xrightarrow{g} d' \right) &\longmapsto \coprod_{x \in X} g : \coprod_{x \in X} d \rightarrow \coprod_{y \in Y} d' \end{aligned}$$

taking  $(X, d)$  to the coproduct of copies of  $d$  indexed by  $x \in X$ . ( $\mathcal{D}$  is said to be tensored over  $\mathbf{Set}$ .)

This  $\int$  is the coend of the bifunctor

$$\begin{aligned} S_e : \quad \mathcal{C}^{op} \times \mathcal{C} &\longrightarrow \mathcal{D} \\ (c', c) &\longmapsto \operatorname{Hom}_{\mathcal{E}}(Gc', e) \bullet F(c) = \coprod_{h: Gc' \rightarrow e} F(c) \\ \left( c' \xrightarrow{f'} d', c \xrightarrow{f} d \right) &\longmapsto S_e(f', f) : \coprod_{h: Gc' \rightarrow e} F(c) \rightarrow \coprod_{h: Gd' \rightarrow e} F(d) \end{aligned}$$

for fixed  $e \in \operatorname{Ob}(\mathcal{E})$ .

**Exercise 4.2.** Test it on group representations. Deduce  $L_i(\rho) = \operatorname{Ind}_H^G(\rho) \cong k[G] \otimes_{k[H]} V$  from coend formula.

$$\begin{array}{ccc} \underline{H} & \xrightarrow{\rho} & \mathbf{Vect}_k \\ \downarrow i & \eta \Downarrow & \nearrow L_i(\rho) \\ \underline{G} & & \end{array}$$

*Proof.* By the formula,

$$L_i \rho(*) = \int^{* \in \operatorname{Ob}(\underline{H})} \operatorname{Hom}_{\underline{G}}(*, *) \bullet \rho(*) = \int^{* \in \operatorname{Ob}(\underline{H})} G \bullet V \cong \coprod_{g \in G} V / \langle w - hv \rangle_{h \in H, w \in V_{gh}, v \in V_g} \cong k[G] \otimes_{k[H]} V.$$

□

**Exercise 4.3.** Compute  $L_i(X)$  for

$$\begin{array}{ccc} \Delta^{op} & \xrightarrow{\quad} & \mathbf{Set} \\ \downarrow i & \nearrow & \\ \Delta \mathbf{C}^{op} & & \end{array}$$

or equivalently, the left adjoint of

$$\begin{array}{ccc} & \mathbf{Set}^{\Delta \mathbf{C}^{op}} & \\ L_i \uparrow & \downarrow U & \\ & \mathbf{sSet} & \end{array}$$

*Proof.* We can use the coend formula to get

$$L_i X([n]) = \int^{m \geq 0} \mathrm{Hom}_{\Delta \mathbf{C}^{op}}([k], [l]) \bullet X_l = \coprod_{h: [m] \rightarrow [n] \in \mathrm{Mor}(\Delta \mathbf{C})} X_m / \langle X(f) y - x \rangle_{f: [m] \rightarrow [k] \in \mathrm{Mor}(\Delta), x \in (X_k)_{hf}, y \in (X_m)_h}.$$

□

## 4.5 More Examples of Kan Extensions

### Induction from Grothendieck construction

Let  $\mathcal{C}$  be a small category and  $X : \mathcal{C} \rightarrow \mathbf{Set}$  be a diagram of shape  $\mathcal{C}$  in  $\mathbf{Set}$ . Then we define  $\mathcal{C}_X (= \mathcal{C} \int X)$  be the category with

$$\mathrm{Ob}(\mathcal{C}_X) = \{(c, x) \mid c \in \mathrm{Ob}(\mathcal{C}), x \in X(c)\}.$$

$$\mathrm{Hom}_{\mathcal{C}_X}((c, x), (c', x')) = \{\varphi \in \mathrm{Hom}(c, c') \mid X\varphi(x) = x'\}.$$

There is an obvious functor

$$\begin{aligned} F : \mathcal{C}_X &\rightarrow \mathcal{C} \\ (c, x) &\mapsto c \\ \varphi &\mapsto \varphi \end{aligned}$$

Take any abelian category  $\mathcal{A}$  (e.g.  $\mathbf{Vect}_k$  or  $R\text{-}\mathbf{Mod}$ ). Assume that  $\mathcal{A}$  is complete and cocomplete. Write  $\mathbf{Mod}_{\mathcal{A}}^{\mathcal{C}} := \mathbf{Fun}(\mathcal{C}, \mathcal{A})$  and we have  $F^* : \mathbf{Mod}_{\mathcal{A}}^{\mathcal{C}} \rightarrow \mathbf{Mod}_{\mathcal{A}}^{\mathcal{C}_X}$ . Since  $\mathcal{A}$  is complete and cocomplete, the functor  $F^*$  has both left and right adjoints.

$$\begin{array}{ccc} & \mathbf{Mod}_{\mathcal{A}}^{\mathcal{C}} & \\ F_* \uparrow & \downarrow F^* & \downarrow F_! \\ & \mathbf{Mod}_{\mathcal{A}}^{\mathcal{C}_X} & \end{array}$$

We want to compute  $F_*$  explicitly.

*Claim 4.1.*  $F_*$  is given by, for any  $M : \mathcal{C}_X \rightarrow \mathcal{A}$ ,

$$\begin{aligned} F_*(M) : \mathcal{C} &\rightarrow \mathcal{A} \\ d &\mapsto \bigoplus_{x \in X(d)} M(d, x) \end{aligned}$$

Consider the diagram

$$\begin{array}{ccc} \mathcal{C}_X & \xrightarrow{M} & \mathcal{A} \\ F \downarrow & \nearrow L_F(M) =: F_* M & \\ \mathcal{C} & & \end{array}$$

For  $d \in \text{Ob}(\mathcal{C})$ , the category  $F/d$  is defined as

$$\text{Ob}(F/d) = \{(c, x, f) \mid c \in \text{Ob}(\mathcal{C}), x \in X(c), f : c \rightarrow d\}.$$

$$\text{Hom}((c, x, f), (c', x', f')) = \{\varphi \in \text{Hom}_{\mathcal{C}}(c, c') \mid X\varphi(x) = x', f' \circ \varphi = f\}.$$

By definition, we have  $L_F M(d) = \text{colim}_{F/d} (F/d \xrightarrow{U} \mathcal{C}_X \xrightarrow{M} \mathcal{A}) =: A \in \text{Ob}(\mathcal{A})$  with universal  $\eta^{\text{un}} : M \circ U \Rightarrow \text{const}_A$ . We claim that  $A = \bigoplus_{y \in X(d)} M(d, y)$  with

$$\eta^{\text{un}} = \left\{ \eta_{(c, x, f)}^{\text{un}} : M(c, x) \xrightarrow{\varphi_f} \bigoplus_{y \in X(d)} M(d, y) \right\}_{(c, x, f) \in \text{Ob}(F/d)}$$

where  $f : c \rightarrow d$  induces  $X(f) : X(c) \rightarrow X(d), x \mapsto X(f)x =: y$  and  $\varphi_f := f \in \text{Hom}_{F/d}((c, x, f), (d, y, \text{Id}))$ .

This makes sense because  $X(f)x = y$  and  $\text{Id} \circ f = f$ . So  $M(\varphi_f) = M(f) : M(c, x) \rightarrow M(d, y) \hookrightarrow A$ .

We need to check that  $\eta^{\text{un}}$  is a morphism of functors. Given  $\varphi : (c, x, f) \rightarrow (c', x', f')$  we have

1. a commutative diagram

$$\begin{array}{ccc} MU(c, x, f) & \xrightarrow{\eta_{(c, x, f)}^{\text{un}}} & A \\ \downarrow M(\varphi) & & \parallel \\ MU(c', x', f') & \xrightarrow{\eta_{(c', x', f')}^{\text{un}}} & A \end{array} \quad \Leftrightarrow \quad \begin{array}{ccc} M(c, x) & \xrightarrow{M(\varphi_f)} & A \\ \downarrow M(\varphi) & & \parallel \\ M(c', x') & \xrightarrow{M(\varphi_{f'})} & A \end{array}$$

given by

$$\begin{array}{ccc} m & \xrightarrow{\quad} & M(f)m \\ \downarrow & & \parallel \\ M(\varphi)m & \xrightarrow{\quad} & M(f')(M(\varphi)m) = M(f' \circ \varphi)(m) = M(f)m \end{array}$$



2.  $\eta^{\text{un}}$  is universal.

Given  $B \in \text{Ob}(\mathcal{A})$  and  $\eta : MU \Rightarrow \text{const}_B$ ,  $\eta = \{\eta_{(c,x,f)} : M(c,x) \rightarrow B\}_{(c,x,f) \in \text{Ob}(F/d)}$ , we can define

$$\xi : A = \bigoplus_{y \in X(d)} M(d,y) \rightarrow B$$

by UMP of direct sum. Then  $\eta(d,y, \text{Id}) = \xi|_{M(d,y)} : M(d,y) \rightarrow B$  and we have a commutative diagram

$$\begin{array}{ccc} MU & \xRightarrow{\eta} & \text{const}_B \\ \searrow \eta^{\text{un}} & & \nearrow \text{const}_f \\ & \text{const}_A & \end{array}$$

**Example 4.8.** Let  $\mathcal{A} = \mathbf{Vect}_k$  and

$$\begin{aligned} M : \mathcal{C}_X &\rightarrow \mathcal{A} \\ (c,x) &\mapsto k \\ \varphi &\mapsto \text{Id}_k \end{aligned}$$

the trivial module, then  $F_*(k) = k[X]$  since

$$\begin{aligned} F_*(k) : \mathcal{C} &\rightarrow \mathbf{Vect}_k \\ d &\mapsto k[X(d)] \end{aligned}$$

**Exercise 4.4.** We have natural isomorphisms

$$\text{ToR}_i^{\mathcal{C}}(F_*M, N) \cong \text{ToR}_i^{\mathcal{C}^X}(M, F^*(N)), \forall i \geq 0.$$

where  $k$  is a commutative ring,  $M \in \mathbf{Mod}_k^{\mathcal{C}^X}$ ,  $\text{ToR}^{\mathcal{C}}$  are derived tensor products  $\left(- \bigotimes_{\mathcal{C}, k} -\right)$ .

### Skeleton and coskeleton

For a fixed  $n \geq 0$ , define  $\Delta_{\geq n}$  to be the full subcategory of  $\Delta$  with objects  $\{[0], [1], \dots, [n]\}$ . Then  $i_n : \Delta_{\leq n} \hookrightarrow \Delta$  is an inclusion.

Given a category  $\mathcal{C}$ , define  $\mathbf{s}\mathcal{C} := \mathbf{Fun}(\Delta, \mathcal{C})$  and  $\mathbf{s}_n\mathcal{C} := \mathbf{Fun}(\Delta_{\leq n}, \mathcal{C})$ . Then the restriction  $i_n^*$  has left

and right adjoints if  $\mathcal{C}$  is complete and cocomplete.

$$\begin{array}{ccc} & \mathbf{s}\mathcal{C} & \\ L_{i_n} \nearrow & \downarrow i_n^* & \searrow R_{i_n} \\ & \mathbf{s}_n\mathcal{C} & \end{array}$$

**Definition 4.9.** The  $n$ -th skeleton of  $X \in Ob(\mathbf{s}\mathcal{C})$  is  $sk_n(X) := L_{i_n}(i_n^*X) \in Ob(\mathbf{s}\mathcal{C})$ .

**Definition 4.10.** The  $n$ -th coskeleton of  $X \in Ob(\mathbf{s}\mathcal{C})$  is  $cosk_n(X) := R_{i_n}(i_n^*X) \in Ob(\mathbf{s}\mathcal{C})$ .

By our theorem,  $sk_n(X)_m \cong \operatorname{colim}_{\varphi:[m] \rightarrow [k]} \varphi^*(X_k), k \leq n$ . Since  $\varphi$  can be factored uniquely in  $\Delta$  as surjections followed by injections, this is equivalent to  $sk_n(X)_m \cong \operatorname{colim}_{\varphi:[m] \twoheadrightarrow [k]} \varphi^*(X_k), k \leq n$ .

This implies that when  $\mathcal{C} = \mathbf{Set}$ ,  $sk_n(X)_m = X_m$  if  $m \leq n$ .  $sk_n(X)$  is the simplicial subset of  $X$  generated by nondegenerating simplices of degree at most  $n$ .

Notice that we have a filtration  $sk_n(X) \hookrightarrow sk_{n+1}(X) \hookrightarrow \dots \hookrightarrow X$ , so  $\operatorname{colim}_{n \rightarrow \infty} sk_n(X) \cong X$ .

*Remark 4.5.* If we have a map  $X \rightarrow Y$  between simplicial sets, we can define  $sk_n^X Y := X \bigcup_{sk_n X} sk_n Y$  by the push-out

$$\begin{array}{ccc} sk_n(X) & \xrightarrow{i_n^*} & X \\ \downarrow sk_n(f) & & \downarrow \\ sk_n(Y) & \xrightarrow{\quad} & sk_n^X Y \end{array}$$

### Augmented simplicial object

The category  $\Delta$  has terminal object  $[0]$  but no initial object. Define the augmented simplicial set  $\Delta_+$  as

$$Ob(\Delta_+) = Ob(\Delta) \cup \{[-1]\}.$$

$$\operatorname{Hom}_{\Delta_+}([n], [m]) = \begin{cases} \operatorname{Hom}_{\Delta}([n], [m]) & n, m \geq 0 \\ [-1] \rightarrow [m] & n = -1 \\ \emptyset & m = -1, n \geq 0 \end{cases}$$

**Definition 4.11.** For any category  $\mathcal{C}$  we define the *augmented simplicial object* as a functor  $X : \Delta_+ \rightarrow \mathcal{C}$ .

Denote  $\mathbf{s}_+\mathcal{C} = \mathbf{Fun}(\Delta_+, \mathcal{C})$ .

Explicitly, each  $X \in Ob(\mathbf{s}_+\mathcal{C})$  is given by  $X \in Ob(\mathbf{s}\mathcal{C})$  together with  $X_{-1} \in Ob(\mathcal{C})$  and  $\varepsilon : X_0 \rightarrow X_{-1}$  in  $Mor(\mathcal{C})$  such that

$$X_{-1} \xleftarrow{\varepsilon} X_0 \xleftarrow[d_0]{d_1} X_1 \cdots$$

agrees in the sense that  $\varepsilon d_1 = \varepsilon d_0$ . We can denote  $\varepsilon = d_0$  and extend  $d_i d_j = d_{j-1} d_i, i < j$  for  $n = 0$ .

Equivalently we can denote  $\varepsilon = d_0$  as a constant simplicial object and then augmentation is a morphism  $\varepsilon_* : X_* \rightarrow X_{-1}$  in  $\mathbf{sC}$ .

Note that  $i_+ : \Delta \hookrightarrow \Delta_+$  implies  $(i_+)^* : \mathbf{s}_+\mathcal{C} \rightarrow \mathbf{sC}$ . If  $\mathcal{C}$  is complete and cocomplete, this has both left and right adjoints.

Take  $\mathcal{C} = \mathbf{Set}$ , we have

$$\begin{array}{ccc} \Delta & \xrightarrow{X} & \mathbf{Set} \\ i_+ \downarrow & \searrow \eta & \nearrow L_i X \\ \Delta_+ & & \end{array} \quad \begin{array}{ccc} \mathbf{s}_+\mathbf{Set} & & \\ L \swarrow & \downarrow (i_+)^* & \searrow R(\text{trivial}) \\ \mathbf{sSet} & & \end{array}$$

where  $L$  is given by left Kan extension  $L_{i_+}(X)$ ,

$$L_{i_+}(X)_n = L_{i_+}(X)[(n)] = \operatorname{colim}_{\Delta^{op}/[n]} \left( \Delta^{op}/[n] \xrightarrow{U} \Delta^{op} \xrightarrow{X} \mathbf{Set} \right).$$

If  $n \geq 0$ ,  $Ob(\Delta^{op}/[n]) = \{([m], f : [m] \rightarrow [n]) \mid f \in Mor(\Delta_+^{op}), [m] \in Ob(\Delta^{op})\}$ . This category has a terminal object  $([n], \operatorname{Id}_{[n]})$  so

$$L_{i_+}(X)_n = XU([n], \operatorname{Id}_{[n]}) = X_n.$$

If  $n = -1$ ,  $Ob(\Delta^{op}/[n]) = \{([m], f : [m] \rightarrow [-1]) \mid f \in Mor(\Delta_+^{op}), [m] \in Ob(\Delta^{op})\}$ , and  $\Delta^{op}/[-1] \cong \Delta^{op}$ , then

$$L_{i_+}(X)_{-1} = \operatorname{colim}_{\Delta^{op}} X = \operatorname{coeq} \left\{ X_0 \xrightarrow{d_1} X_1 \atop \xrightarrow{d_0} \right\} =: \pi_0(X).$$

The right Kan extension is given by

$$R_{i_+}(X)_n = R_{i_+}(X)([n]) = \lim_{[n] \setminus \Delta^{op}} \left( [n] \setminus \Delta^{op} \xrightarrow{U} \Delta^{op} \xrightarrow{X} \mathbf{Set} \right).$$

If  $n \geq 0$ ,  $Ob([n] \setminus \Delta^{op}) = \{([m], f) \mid f : [n] \rightarrow [m] \in Mor(\Delta_+^{op}), [m] \in \Delta^{op}\}$ . This category has an initial object  $([n], \operatorname{Id}_{[n]})$  so

$$R_{i_+}([n]) = XU([n], \operatorname{Id}_{[n]}) = X_n.$$

If  $n = -1$ ,  $Ob([n] \setminus \Delta^{op}) = \{([m], f) \mid f : [-1] \rightarrow [m] \in Mor(\Delta_+^{op}), [m] \in Ob(\Delta^{op})\} = \emptyset$ , so  $R_{i_+}(X)_{-1} = \emptyset$ . Hence  $R_{i_+}(X) = X$ .

### Simplicial and cyclic sets

Recall the category  $\Delta\mathbf{C}$  has the same object as  $\Delta$ ,  $Ob(\Delta\mathbf{C}) = Ob(\Delta)$ , and morphisms are determined by, for any  $f \in \text{Hom}_{\Delta\mathbf{C}}([n], [m])$ , there exists a unique  $\gamma \in \text{Aut}_{\Delta\mathbf{C}}([n])$  and  $\varphi \in \text{Hom}_{\Delta}([n], [m])$  such that  $f = \varphi \circ \gamma$ .

We have an inclusion  $i : \Delta \hookrightarrow \Delta\mathbf{C}$ , thus we can consider the left (right) Kan extension

$$\begin{array}{ccc} \Delta & \xrightarrow{X} & \mathbf{Set} \\ i \downarrow & \nearrow L_i(X) & \\ \Delta\mathbf{C} & & \end{array}$$

which is given by the left (right) adjoint of  $U = i^* : \mathbf{Set}^{\Delta\mathbf{C}^{op}} \rightarrow \mathbf{Set}^{\Delta^{op}}$ ,

$$\begin{array}{ccc} & \mathbf{Set}^{\Delta\mathbf{C}^{op}} & \\ F \nearrow & \downarrow U & \nwarrow R \\ & \mathbf{Set}^{\Delta^{op}} & \end{array}$$

Recall the trick that for any  $g \in \text{Aut}_{\Delta\mathbf{C}}([m])$ ,  $a \in \text{Hom}_{\Delta\mathbf{C}}([n], [m])$  and define  $f = g \circ a$ . By unique factorization, there exists a unique  $\varphi = g^*(a) \in \text{Hom}_{\Delta}([n], [m])$  and a unique  $\gamma = a_*(g) \in \text{Aut}_{\Delta\mathbf{C}}([n])$  such that  $g \circ a = \varphi \circ \gamma = g^*(a) \circ a_*(g)$ .

This is functorial. For fixed  $g \in \text{Aut}_{\Delta\mathbf{C}}([m])$ , we have

$$\begin{array}{ccc} g^* : \text{Hom}_{\Delta\mathbf{C}}([n], [m]) & \rightarrow & \text{Hom}_{\Delta}([n], [m]) \\ a & \mapsto & g^*(a) \end{array}$$

and for fixed  $a \in \text{Hom}_{\Delta\mathbf{C}}([n], [m])$ , we have

$$\begin{array}{ccc} a_* : \text{Aut}_{\Delta\mathbf{C}}([m]) & \rightarrow & \text{Aut}_{\Delta\mathbf{C}}([n]) \\ g & \mapsto & a_*(g) \end{array}$$

Dually in  $\Delta\mathbf{C}^{op}$  we have

$$\begin{array}{ccc} g^* : \text{Hom}_{\Delta\mathbf{C}^{op}}([m], [n]) & \rightarrow & \text{Hom}_{\Delta^{op}}([m], [n]) \\ a & \mapsto & g^*(a) \\ a_* : \text{Aut}_{\Delta\mathbf{C}^{op}}([m]) & \rightarrow & \text{Aut}_{\Delta\mathbf{C}^{op}}([n]) \\ g & \mapsto & a_*(g) \end{array}$$

such that  $a \circ g = a_*(g) \circ g^*(a)$ .

Recall we have a functor

$$\begin{aligned} C_* : \quad \Delta \mathbf{C}^{op} &\longrightarrow \mathbf{Set} \\ [n] &\longmapsto C_n \\ (a : [m] \rightarrow [n]) &\longmapsto (a_* : C_m \rightarrow C_n) \end{aligned}$$

where  $C_n = \text{Aut}_{\Delta \mathbf{C}^{op}}([n])$ .

*Claim 4.2.* The left adjoint of  $U : \mathbf{Set}^{\Delta \mathbf{C}^{op}} \rightarrow \mathbf{Set}^{\Delta^{op}}$  is  $F : \mathbf{Set}^{\Delta^{op}} \rightarrow \mathbf{Set}^{\Delta \mathbf{C}^{op}}$  given by

On objects:  $Y_* \mapsto F(Y_*) = \{C_n \times Y_n\}_{n \geq 0}$ . And for any  $a \in \text{Hom}_{\Delta \mathbf{C}^{op}}([m], [n])$

$$\begin{aligned} F(Y)(a) : C_m \times Y_m &\rightarrow C_n \times Y_n \\ (g, y) &\mapsto (a_*(g), Y(g^*(a))y) \end{aligned}$$

On Morphisms: for any  $\alpha : X_* \rightarrow Y_*$ ,

$$\begin{aligned} (F\alpha)_n : C_n \times X_n &\rightarrow C_n \times Y_n \\ (g, x) &\mapsto (g, \alpha(x)) \end{aligned}$$

The counit of this adjunction  $\varepsilon = \text{ev}_* : FU \Rightarrow \text{Id}_{\mathbf{Set}^{\Delta \mathbf{C}^{op}}}$  is given by

$$\text{ev}_*(X) = \left\{ \begin{array}{ccc} \text{ev}_n(X) : C_n \times X_n & \rightarrow & X_n \\ (g, x) & \mapsto & X(g)x \end{array} \right\}.$$

The unit  $\eta : \text{Id}_{\mathbf{Set}^{\Delta^{op}}} \Rightarrow UF$  is given by

$$\eta(Y) = \left\{ \begin{array}{ccc} \eta(Y)_n : Y_n & \rightarrow & C_n \times Y_n \\ y & \mapsto & (1, y) \end{array} \right\}.$$

For simplicity, we write  $X(g)(x) = g_*(x)$ .

*Proof.* First we need to check that  $\text{ev}_*$  is a morphism of cyclic sets.

For any  $a \in \text{Hom}_{\Delta \mathbf{C}^{op}}([m], [n])$ , we have a commutative diagram

$$\begin{array}{ccc} C_m \times X_m & \xrightarrow{\text{ev}_m} & X_m \\ \downarrow FX(a) & & \downarrow a_* \\ C_n \times X_n & \xrightarrow{\text{ev}_n} & X_n \end{array}$$

because

$$\begin{aligned}
 (\mathrm{ev}_n \circ FX(a))(g, x) &= \mathrm{ev}_n(a_*(g), g^*(a)_*(x)) \\
 &= a_*(g)_* g^*(a)_*(x) \\
 &= (a_*(g) \circ g^*(a))_*(x) \\
 &= (a \circ g)_*(x) \\
 &= a_*(g_*(x)) \\
 &= a_*(\mathrm{ev}_m(g, x))
 \end{aligned}$$

Next we need to show that

$$\begin{aligned}
 \Phi : \quad \mathrm{Hom}_{\mathbf{Set}^{\Delta \mathcal{C}^{op}}}(FY, X) &\xrightarrow{\cong} \mathrm{Hom}_{\mathbf{Set}^{\Delta^{op}}}(Y, UX) & : \Psi \\
 (FY \xrightarrow{\alpha} X) &\mapsto (Y \xrightarrow{\eta} UFY \xrightarrow{U\alpha} UX) \\
 (FY \xrightarrow{F\beta} FUX \xrightarrow{\mathrm{ev}} X) &\mapsto (Y \xrightarrow{\eta} UX)
 \end{aligned}$$

are inverse to each other.

$$\begin{aligned}
 \Psi \circ \Phi(\alpha)_n : \quad FY_n = C_n \times Y_n &\xrightarrow{F\eta} (UFY)_n = C_n \times C_n \times Y_n &\xrightarrow{FU\alpha} (FUX)_n = C_n \times X_n &\xrightarrow{\mathrm{ev}} X_n \\
 (g, y) &\mapsto (1, g, y) &\mapsto (1, \alpha(g, y)) &\mapsto \alpha(g, y)
 \end{aligned}$$

$$\begin{aligned}
 \Phi \circ \Psi(\beta)_n : \quad Y_n &\xrightarrow{\eta} (UFY)_n = C_n \times Y_n &\xrightarrow{UF\beta} (UFUX)_n = C_n \times X_n &\xrightarrow{U\mathrm{ev}} (UX)_n = X_n \\
 y &\mapsto (1, y) &\mapsto (1, \alpha(y)) &\mapsto \alpha(y)
 \end{aligned}$$

□

**Exercise 4.5.** Compute  $F$  using colimit.

## 5 Fundamental Constructions

Given two locally small categories  $\mathcal{C}$  and  $\mathcal{D}$ , define  $\mathbf{Adj}(\mathcal{C}, \mathcal{D})$  to be the category of adjunctions as

**Objects:**  $\left\{ (L, R, \varphi) \mid L : \mathcal{C} \rightleftarrows \mathcal{D} : R, \varphi : \mathrm{Hom}_{\mathcal{D}}(F(-), -) \xrightarrow{\cong} \mathrm{Hom}_{\mathcal{C}}(-, G(-)) \right\}$  where  $\varphi$  is an isomorphism of bifunctors  $\mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathbf{Set}$ .

**Morphisms:**  $\mathrm{Hom}_{\mathbf{Adj}(\mathcal{C}, \mathcal{D})}((L, R, \varphi), (L', R', \varphi')) = \{(\alpha, \beta) \mid \alpha : L \Rightarrow L', \beta : R' \Rightarrow R, \varphi' = \beta_* \circ \varphi \circ \alpha^*\}$ .

Explicitly, for each  $c \in \mathrm{Ob}(\mathcal{C}), d \in \mathrm{Ob}(\mathcal{D})$ , we have a commutative (factorization) diagram

$$\begin{array}{ccc} \mathrm{Hom}_{\mathcal{D}}(L'(c), d) & \xrightarrow{(\alpha_c)^*} & \mathrm{Hom}_{\mathcal{D}}(L(c), d) \\ \varphi_{c,d} \downarrow & & \downarrow \varphi_{c,d} \\ \mathrm{Hom}_{\mathcal{C}}(c, R'(d)) & \xleftarrow{(\beta_d)_*} & \mathrm{Hom}_{\mathcal{C}}(c, R(d)) \end{array}$$

**Proposition 5.1.** *Let  $\mathcal{C}$  be a small category, and  $\mathcal{D}$  a locally small, cocomplete, then there exists a natural equivalence of categories*

$$\Phi : \mathcal{D}^{\mathcal{C}} \xrightarrow[\cong]{\quad} \mathbf{Adj}(\hat{\mathcal{C}}, \mathcal{D}) : \Psi$$

where  $\Psi$  is defined by restriction

$$\begin{aligned} \Psi \left( L : \hat{\mathcal{C}} \rightleftarrows \mathcal{D} : R, \varphi \right) &= \left( h^*(L) = L \circ h : \mathcal{C} \xrightarrow{h} \hat{\mathcal{C}} \xrightarrow{L} \mathcal{D} \right) \\ \Psi(\alpha, \beta) &= h^*(\alpha) = \alpha \circ h \end{aligned}$$

*Proof.* Construction of  $\Phi$ . Given  $F \in \mathrm{Ob}(\mathcal{D}^{\mathcal{C}})$ , define

$$\Phi(F) : \left( L(F) : \hat{\mathcal{C}} \rightleftarrows \mathcal{D} : R(F), \varphi \right)$$

where  $L(F) = L_h(F)$  and

$$\begin{aligned} R(F) : \mathcal{D} &\rightarrow \hat{\mathcal{C}} \\ d &\mapsto \mathrm{Hom}_{\mathcal{D}}(F(-), d) = h_d \circ F : \mathcal{C}^{op} \rightarrow \mathbf{Set} \end{aligned}$$

Take any  $c \in \mathrm{Ob}(\mathcal{C}), d \in \mathrm{Ob}(\mathcal{D})$  and consider  $h_c \in \mathrm{Ob}(\hat{\mathcal{C}})$ ,

$$\mathrm{Hom}_{\hat{\mathcal{C}}}(h_c, RF(d)) \stackrel{\text{Yoneda}}{\cong} RF(d)(c) = \mathrm{Hom}_{\mathcal{D}}(Fc, d) = \mathrm{Hom}_{\mathcal{D}}(L(F))h_c, d)$$

where the last equality follows from lemma on left Kan extension along fully faithful functors.

Hence  $R(F)$  is right adjoint to  $L(F)$  on the representable functors.

To extend it to all presheaves  $X : \mathcal{C}^{op} \rightarrow \mathbf{Set}$ , we need the following facts

1. Co-Yonada lemma.

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{h} & \hat{\mathcal{C}} \\ \downarrow h & \nearrow \text{Id}_{\mathcal{C}} & \\ \hat{\mathcal{C}} & & \end{array}$$

Every  $X$  is canonically a colimit to  $h_c$ 's.

$$X \cong \text{colim}_{h/X} \left( h/X \xrightarrow{U} \mathcal{C} \xrightarrow{h} \hat{\mathcal{C}} \right) \cong \text{colim}_{h/X} (h).$$

2. Left Kan extension is given by

$$L(F)X = L_h F(X) := \text{colim}_{h/X} \left( h/X \xrightarrow{U} \mathcal{C} \xrightarrow{F} \mathcal{D} \right) = \text{colim}_{h/X} (F).$$

Since colimit commutes with hom,

$$\begin{aligned} \text{Hom}_{\hat{\mathcal{C}}}(X, RF(d)) &\cong \text{Hom}_{\hat{\mathcal{C}}}(\text{colim}_{h/X}(h_c), R(F)(d)) \\ &\cong \lim_{h/X} \text{Hom}_{\hat{\mathcal{C}}}(h_c, R(F)(d)) \\ &\cong \lim_{h/X} (\text{Hom}_{\mathcal{D}}(LF(h_c), d)) \\ &\cong \text{Hom}_{\mathcal{D}}(\text{colim}_{h/X} LF(h_c), d) \\ &\cong \text{Hom}_{\mathcal{D}}(LF(\text{colim}_{h/X} h_c), d) \\ &\cong \text{Hom}_{\mathcal{D}}(LFX, d) \end{aligned}$$

We have  $\Phi \circ \Psi \left( L : \hat{\mathcal{C}} \xrightarrow{\quad} \mathcal{D} : R, \varphi \right) = \left( L : \hat{\mathcal{C}} \xrightarrow{\quad} \mathcal{D} : R, \varphi \right)$  and a natural transformation  $F \Rightarrow \Psi \circ \Phi(F) = h \circ L_h F$  by the universal property of left Kan extension.

Check that  $\Phi$  and  $\Psi$  are inverse to each other. □

## 5.1 Main Application to Simplicial Sets

**Corollary 5.1.** *Let  $\mathcal{D}$  be as in Proposition,  $\mathcal{C} = \Delta$ , then we have a natural equivalence  $\mathcal{D}^{\Delta} \cong \mathbf{Adj}(\mathbf{sSet}, \mathcal{D})$ . In particular, every left adjoint functor on simplicial set with values in  $\mathcal{D}$  comes from a (co)simplicial object in  $\mathcal{D}$ .*



Given  $(\Delta^\bullet : \Delta \rightarrow \mathcal{D}) \in \text{Ob}(\mathcal{D}^\Delta)$

$$\begin{array}{ccc} \Delta & \xrightarrow{\Delta^\bullet} & \mathcal{D} \\ h \downarrow & \nearrow L_h(\Delta) & \\ \mathbf{sSet} & & \end{array}$$

we have

$$L : \mathbf{sSet} \rightleftarrows \mathcal{D} : R$$

where

$$\begin{aligned} L(X) &= L_h(\Delta^\bullet)(X) = \text{colim}_{\Delta X} \left( \Delta X \xrightarrow{U} \Delta \xrightarrow{\Delta^\bullet} \mathcal{D} \right), \\ R(d) &= \{R(d)_n = \text{Hom}_{\mathcal{D}}(\Delta^n, d)\}_{n \geq 0}, \Delta^n = \Delta^\bullet[n]. \end{aligned}$$

Note  $h/X = \Delta X$  is the category of simplices over  $X$  with  $\text{Ob}(\Delta X) = \coprod_{n \geq 0} X_n$ .

### Geometric realization and singular set

Let  $\mathcal{D} = \mathbf{Top}$ , we have

$$\begin{aligned} \Delta^\bullet : \Delta &\hookrightarrow \mathbf{Top} \\ [n] &\mapsto \Delta^n = \{(x_0, \dots, x_n) \in \mathbb{R}^{n+1} \mid \sum x_i = 1, x_i \geq 0\} \end{aligned}$$

and adjunction

$$|-| : \mathbf{sSet} \rightleftarrows \mathbf{Top} : \mathcal{S}$$

where  $|X| = \text{colim}_{\Delta X}(\Delta^\bullet)$  is the geometric realization of  $X$ , and  $\mathcal{S}(Y) = \{\mathcal{S}(Y)_n = \text{Hom}_{\mathbf{Top}}(\Delta^n, Y)\}_{n \geq 0}$  is the singular set functor.

### Cyclic realization

The standard cocyclic space  $\Delta^\bullet : \Delta \rightarrow \mathbf{Top}$  is defined by letting

$$\begin{aligned} \tau_n : \Delta^n &\rightarrow \Delta^n \\ e_0 &\mapsto e_n \\ e_i &\mapsto e_{i-1}, i \geq 1 \end{aligned}$$

In barycentric coordinates,

$$\tau_n(x_0, \dots, x_n) = \tau_n\left(\sum_{i=0}^n x_i e_i\right) = \sum_{i=0}^n x_i \tau_n(e_i) = x_0 e_n + \sum_{i=1}^n x_i e_{i-1} = (x_1, \dots, x_n, x_0).$$

Let's check the cyclic identities. It's obvious that  $\tau_n^{n+1} = \text{Id}$ . Note

$$\begin{aligned} d^i : \quad \Delta^{n-1} &\longrightarrow \Delta^n \\ (x_0, \dots, x_{n-1}) &\mapsto (x_0, \dots, x_{i-1}, 0, x_i, \dots, x_n) \end{aligned}$$

so we have

$$\begin{aligned} \tau_n d^i (x_0, \dots, x_{n-1}) &= \begin{cases} (x_1, \dots, x_{i-1}, 0, x_i, \dots, x_n, x_0) & i \neq 0, \\ (x_1, \dots, x_{n-1}, 0) & i = 0 \end{cases} \\ d^{i-1} \tau_{n-1} (x_0, \dots, x_{n-1}) &= (x_1, \dots, x_{i-1}, 0, \dots, x_{n-1}, x_0) \end{aligned}$$

thus  $\tau_n d^i = d^{i-1} \tau_{n-1}$ ,  $1 \leq i \leq n$  and  $\tau_n d^0 = d^n$ . Similarly we have

$$\begin{aligned} s^j : \quad \Delta^{n+1} &\longrightarrow \Delta^n \\ (x_0, \dots, x_{n+1}) &\mapsto (x_0, \dots, x_{j-1}, x_j + x_{j+1}, \dots, x_{n+1}) \end{aligned}$$

and

$$\begin{aligned} \tau_n s^j (x_0, \dots, x_{n+1}) &= \begin{cases} (x_1, \dots, x_j + x_{j+1}, \dots, x_{n+1}, x_0) & i \neq 0 \\ (x_2, \dots, x_{n+1}, x_0 + x_1) & i = 0 \end{cases} \\ s^{j-1} \tau_{n+1} (x_0, \dots, x_{n+1}) &= (x_1, \dots, x_j + x_{j+1}, \dots, x_{n+1}, x_0) \\ s^n \tau_{n+1}^2 (x_0, \dots, x_{n+1}) &= (x_2, \dots, x_{n+1}, x_0 + x_1) \end{aligned}$$

so we have  $\tau_n s^j = s^{j-1} \tau_{n+1}$ ,  $1 \leq j \leq n$  and  $\tau_n s^0 = s^n \tau_{n+1}^2$ .

This yields a cyclic realization of a cyclic set

$$\begin{aligned} |-|^{\text{cy}} : \quad \mathbf{Set}^{\Delta \mathbf{C}^{op}} &\longrightarrow \mathbf{Top} \\ X &\mapsto |X|^{\text{cy}} = \text{colim}_{h^{\text{cy}}/X} (\Delta^\bullet) \end{aligned}$$

*Remark 5.1.* There are at least two other constructions of cyclic realization.

1. A cyclic set is in one-to-one correspondence to an  $\mathbb{S}^1$ -space  $|X|$  via geometric realization, so we can define  $|X|^{\text{cy}} := E\mathbb{S}^1 \times_{\mathbb{S}^1} |X|$ .
2. The “flat” cyclic realization  $\|X\|^{\text{cy}} := \text{hocolim}_{\Delta \mathbf{C}^{op}} (X) \cong |\mathcal{N} \Delta \mathbf{C}_X^{op}|$  via the BK construction for  $X : \Delta \mathbf{C}^{op} \rightarrow \mathbf{Set}$ .

**Nerve construction**

Let  $\mathcal{D} = \mathbf{Cat}$ , we have

$$\begin{aligned} \Delta^\bullet : \Delta &\hookrightarrow \mathbf{Cat} \\ [n] &\mapsto \vec{n} = \{0 \rightarrow 1 \rightarrow \dots \rightarrow n\} \end{aligned}$$

and adjunction

$$\mathrm{ho} : \mathbf{sSet} \rightleftarrows \mathbf{Cat} : \mathcal{N}$$

where

$$\begin{aligned} \mathrm{ho}(X) &= L_h(\Delta^\bullet)(X) = \mathrm{colim}_{\Delta X} \left( \Delta X \xrightarrow{U} \Delta \xrightarrow{\Delta^\bullet} \mathbf{Cat} \right) \\ \mathcal{N}(\mathcal{C}) &= \left\{ \mathcal{N}_n \mathcal{C} = \mathrm{Hom}_{\mathbf{Cat}}(\vec{n}, \mathcal{C}) = \left\{ c_0 \xrightarrow{f_0} c_1 \rightarrow \dots \xrightarrow{f_{n-1}} c_n \right\} \right\}_{n \geq 0} \end{aligned}$$

$\mathrm{ho}(X)$  is the category freely generated by the graph

$$X_0 \begin{array}{c} \xleftarrow{d_0} \\ \xleftarrow{d_1} \end{array} X_1$$

module the relations

$$X_0 \xrightarrow{s_0} X_1 \begin{array}{c} \xleftarrow{d_0} \\ \xleftarrow{d_1} \\ \xleftarrow{d_2} \end{array} X_2$$

$$1. \ d_0(x) \circ d_2(x) = d_1(x), \forall x \in X_2.$$

$$2. \ s_0(x) = \mathrm{Id}_x, \forall x \in X_0.$$

Equivalently, if  $\mathcal{C} = \mathrm{ho}(X)$ , we have

$$\begin{array}{ccccc} \mathrm{Ob}(\mathcal{C}) & \begin{array}{c} \xleftarrow{d_0} \\ \xleftarrow{s_0} \\ \xleftarrow{d_1} \end{array} & \mathrm{Mor}(\mathcal{C}) & \begin{array}{c} \xleftarrow{d_0} \\ \xleftarrow{d_1} \\ \xleftarrow{d_2} \end{array} & \mathrm{Mor}(\mathcal{C}) \times_{\mathrm{Ob}(\mathcal{C})} \mathrm{Mor}(\mathcal{C}) \end{array}$$

satisfying for any  $f : c \rightarrow d$  and  $g : d \rightarrow e$  in  $\mathrm{Mor}(\mathcal{C})$ ,

$$\begin{aligned} d_0(f) &= c, d_1(f) = d \\ d_0(f, g) &= g, d_1(f, g) = g \circ f, d_2(f, g) = f \\ s_0(c) &= \mathrm{Id}_c \end{aligned}$$

*Remark 5.2.* Note

1.  $\mathrm{ho} \circ \mathcal{N} \xrightarrow{\cong} \mathrm{Id}_{\mathbf{Cat}}$  is an isomorphism, so  $\mathcal{N}$  is fully faithful and we can embed  $\mathbf{Cat} \hookrightarrow \mathbf{sSet}$ . Small categories can be viewed as simplicial sets.

2.  $\text{ho}(X)$  is uniquely determined by  $X_0, X_1$  and  $X_2$ , and the morphisms between them.

**Question:** Can we extend this construction to a “higher fashion”?

**Answer:** Via simplicial categories.

### Function spaces of simplicial sets

Take  $\mathcal{D} = \mathbf{sSet}$ . Fix  $Y \in \text{Ob}(\mathbf{sSet})$ , we can define a functor

$$\begin{aligned} \Delta^\bullet : \Delta &\longrightarrow \mathbf{sSet} \\ [n] &\mapsto \Delta[n] \times Y \end{aligned}$$

where “ $\times$ ” is the Cartesian product in  $\mathbf{sSet}$ ,  $X \times Y = \{X_n \times Y_n\}_{n \geq 0}$ . Then we have adjoint pairs

$$L : \mathbf{sSet} \rightleftarrows \mathbf{sSet} : R$$

where

$$\begin{aligned} R(Z) &= \mathbf{Hom}(Y, Z) := \{\text{Hom}_{\mathbf{sSet}}(\Delta[n] \times Y, Z)\}_{n \geq 0} \\ L(X) &= \text{colim}_{\Delta X}(\Delta^\bullet) \cong \text{colim}_{\Delta X}(\Delta[-]) \times Y \cong X \times Y \end{aligned}$$

$\mathbf{Hom}(Y, Z)$  is called the function space of simplicial sets.

Thus we have natural isomorphism

$$\text{Hom}_{\mathbf{sSet}}(X \times Y, Z) \cong \text{Hom}_{\mathbf{sSet}}(X, \mathbf{Hom}(Y, Z)) \quad (6)$$

where  $\mathbf{Hom}$  plays the role of internal hom in the category of simplicial sets.

*Remark 5.3.* Note

1. Yoneda lemma immediately tells us that, taking  $X = \Delta[n]$ , we must have

$$\mathbf{Hom}(Y, Z)_n = \text{Hom}_{\mathbf{sSet}}(\Delta[n] \times Y, Z).$$

2. The equation 6 is the degree zero part of the following isomorphism of simplicial sets:

$$\mathbf{Hom}(X \times Y, Z) \cong \mathbf{Hom}(X, \mathbf{Hom}(Y, Z)).$$

### Fundamental groupoids of a simplicial set

Let **Grd** be the category of small groupoids. We have a left adjoint of the inclusion functor  $i : \mathbf{Grd} \hookrightarrow \mathbf{Cat}$ ,

$$\begin{aligned} \tau_n : \mathbf{Cat} &\longrightarrow \mathbf{Grd} \\ \mathcal{C} &\longmapsto \mathcal{C} [Mor(\mathcal{C})^{-1}] \end{aligned}$$

Take the composition  $\pi = \tau \circ \text{ho}$ , we have

$$\begin{array}{ccc} & \mathbf{Grd} & \\ \pi \swarrow & \tau & \searrow i \\ & \mathbf{Cat} & \\ \text{ho} \swarrow & \mathcal{N} & \searrow \mathcal{N} \\ & \mathbf{sSet} & \end{array}$$

### Representation functor

Let  $G$  be an affine algebraic group over a field  $k$  of characteristic 0, e.g.  $GL_n$  or  $SL_n$ . Then we have a functor

$$\begin{aligned} G : \mathbf{CommAlg}_k &\longrightarrow \mathbf{Set} \\ A &\longmapsto G(A) \end{aligned}$$

where the representative of  $G$  is denoted by  $\mathcal{O}(G)$ ,

$$\text{Hom}_{\mathbf{CommAlg}_k}(\mathcal{O}(G), A) \cong G(A).$$

The multiplication, inverse and unit in  $G(A)$

$$\begin{cases} m_A : G(A) \times G(A) \rightarrow G(A) \\ i_A : G(A) \rightarrow G(A) \\ e_A : * \rightarrow G(A) \end{cases}$$

are natural transformations, so they give morphisms

$$\begin{cases} \Delta : \mathcal{O}(G) \rightarrow \mathcal{O}(G) \times \mathcal{O}(G) \\ S : \mathcal{O}(G) \rightarrow \mathcal{O}(G) \\ \varepsilon : \mathcal{O}(G) \rightarrow k \end{cases}$$

which makes  $\mathcal{O}(G)$  a commutative Hopf algebra.

We have an (anti-)equivalence of categories

$$\begin{array}{ccc} \mathbf{AffShm}_k & \rightleftharpoons & \mathbf{CommHopfAlg}_k \\ G & \mapsto & \mathcal{O}(G) \\ \mathrm{Spec}(A) & \leftarrow & A \end{array}$$

**Example 5.1.** Additive group

$$\begin{array}{ccc} G_a : \mathbf{CommAlg}_k & \rightarrow & \mathbf{Gr} \\ A & \mapsto & (A, +, 0) \end{array}$$

**Example 5.2.** Multiplicative group

$$\begin{array}{ccc} G_m : \mathbf{CommAlg}_k & \rightarrow & \mathbf{Gr} \\ A & \mapsto & (A^*, \times, 1) \end{array}$$

**Example 5.3.** For  $n \geq 1$ ,

$$\begin{array}{ccc} GL_n : \mathbf{CommAlg}_k & \rightarrow & \mathbf{Gr} \\ A & \mapsto & GL_n(A) = M_n(A)^* \end{array}$$

and  $\mathcal{O}(GL_n) = k[x_{ij}]_{1 \leq i, j \leq n} [\det(x_{ij})^{-1}]$ .

$$\begin{array}{ccc} SL_n : \mathbf{CommAlg}_k & \rightarrow & \mathbf{Gr} \\ A & \mapsto & SL_n(A) = \{M \in M_n(A) \mid \det(M) = 1\} \end{array}$$

and  $\mathcal{O}(SL_n) = k[x_{ij}]_{1 \leq i, j \leq n} / (\det(x_{ij}) - 1)$ .

Fix  $G$  and define a functor

$$\begin{array}{ccc} B_*G : \mathbf{CommAlg}_k & \longrightarrow & \mathbf{sSet} \\ A & \mapsto & \mathcal{N}_*(GA) \end{array}$$

where we regard the group  $GA$  as a category with a single object. Then

$$B_*G \in \mathbf{Fun}(\mathbf{CommAlg}_k, \mathbf{sSet}) \cong \mathbf{Fun}(\Delta^{op}, \mathbf{Fun}(\mathbf{CommAlg}_k, \mathbf{Set}))$$

Explicitly,

$$\begin{aligned} B_*G : \Delta^{op} &\longrightarrow \mathbf{Fun}(\mathbf{CommAlg}_k, \mathbf{Set}) & \xleftarrow{\text{Yoneda}} & \mathbf{CommAlg}_k^{op} \\ [n] &\longmapsto & (A \mapsto B_n(GA)) \end{aligned}$$

Then it induces

$$\begin{aligned} \mathcal{O}(B_*G) : \Delta &\longrightarrow \mathbf{CommAlg}_k \\ [n] &\longmapsto \mathcal{O}(B_n(G)) \cong \mathcal{O}(G)^{\otimes n} \cong \mathcal{O}(G^n) \end{aligned}$$

and  $\mathcal{O}(B_*G) = \{\mathcal{O}(G^n)\}_{n \geq 0}$  is a simplicial commutative algebra. Explicitly,  $\mathcal{O}(B_*G)$  is given by

$$k \begin{array}{c} \xleftarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathcal{O}(G) \begin{array}{c} \xleftarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathcal{O}(G)^{\otimes 2} \dots$$

$$\begin{aligned} d^i : \mathcal{O}(G^{n-1}) &\longrightarrow \mathcal{O}(G^n) \\ f(g_1, \dots, g_{n-1}) &\mapsto d^i f(g_1, \dots, g_n) = \begin{cases} f(g_2, \dots, g_n) & i = 0 \\ f(g_1, \dots, g_i g_{i+1}, \dots, g_n) & 1 \leq i \leq n-1 \\ f(g_1, \dots, g_{n-1}) & i = n \end{cases} \\ s^j : \mathcal{O}(G^{n+1}) &\longrightarrow \mathcal{O}(G^n) \\ f(g_1, \dots, g_{n+1}) &\mapsto s^j f(g_1, \dots, g_n) = f(g_1, \dots, e, \dots, g_n) \end{aligned}$$

By general principle we have

$$L : \mathbf{sSet} \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} \mathbf{CommAlg}_k : R$$

where  $\forall A \in \mathbf{CommAlg}_k$ ,

$$R(A) = \left\{ R(A)_n := \mathrm{Hom}_{\mathbf{CommAlg}_k}(\mathcal{O}(B_n(G)), A) \cong \mathrm{Hom}_{\mathbf{CommAlg}_k}(\mathcal{O}(G)^{\otimes n}, A) \cong G(A)^n = B_n(GA) \right\}$$

so

$$\begin{aligned} R : \mathbf{CommAlg}_k &\longrightarrow \mathbf{sSet} \\ A &\longmapsto B_*(GA) \end{aligned}$$

and  $\forall X \in \mathbf{sSet}$ ,

$$L(X) = \mathrm{colim}_{\Delta X} (\mathcal{O}(B_*G)) = \mathrm{colim}_{\Delta[n] \rightarrow X} (\mathcal{O}(G)^{\otimes n}).$$

In particular,  $L(\Delta[n]) = \mathcal{O}(G)^{\otimes n}$ ,  $\forall n \geq 0$ . The realization of  $\Delta$  in  $\mathbf{CommAlg}_k$  depends on  $G$ . This is similar to the usual geometric realization.

Take  $\Gamma$  a discrete group,  $X = B_*\Gamma \in \text{Ob}(\mathbf{sSet})$ ,

$$\begin{aligned} \text{Hom}_{\mathbf{CommAlg}_k}(L(B_*\Gamma), A) &\cong \text{Hom}_{\mathbf{sSet}}(B_*\Gamma, B_*(GA)) \cong \text{Hom}_{\mathbf{sSet}}(\mathcal{N}_*\Gamma, \mathcal{N}_*(GA)) \\ &\cong \text{Hom}_{\mathbf{Cat}}(\Gamma, GA) \cong \text{Hom}_{\mathbf{Grp}}(\Gamma, GA) = \text{Rep}_G(\Gamma)(A). \end{aligned}$$

Note that the nerve functor is fully faithful. By Yoneda lemma,  $L(B_*\Gamma) = \mathcal{O}(\text{Rep}_G(\Gamma))$ .

More generally, if  $X$  is reduced, i.e.  $X_0 = \{*\}$ , then  $|X|$  is a pointed connected space. In this case,  $L(X) \cong \mathcal{O}(\text{Rep}_G(\pi_1(|X|, *)))$  which does not depend on higher homotopies.

**Exercise 5.1.** Calculate (explicit formula) for any  $X$ .

*Proof.*

□



## Part III

# Homotopy Theory

## 6 Homotopy Coherent Nerves

Every adjoint pair  $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$  comes from a cosimplicial object in  $\mathcal{D}$ .

**Ore basic example.** We have the adjoint pair

$$\mathbf{ho} : \mathbf{sSet} \rightleftarrows \mathbf{Cat} : \mathcal{N}$$

which comes from

$$\begin{aligned} \Delta : \Delta &\rightarrow \mathbf{Cat} \\ [n] &\mapsto \vec{n} \end{aligned}$$

We want to refine this to

$$\mathfrak{C} : \mathbf{sSet} \rightleftarrows \mathbf{sCat}_0 : \mathfrak{N}$$

where  $\mathbf{sCat}_0$  is the category of (small) simplicial categories. We need to specify a cosimplicial object in  $\mathbf{sCat}_0$ .

To start with we will introduce the definition of simplicial categories.

### 6.1 Simplicial Categories

Let  $\mathbf{Cat}$  be the category of small categories.

**Definition 6.1.** A *simplicial object* in  $\mathbf{Cat}$  is a functor  $C_* : \Delta^{op} \rightarrow \mathbf{Cat}$  which consists of  $C_* = \{C_n\}_{n \geq 0}$  and morphisms  $d_i : C_n \rightarrow C_{n-1}, s_j : C_n \rightarrow C_{n+1}$ .

**Definition 6.2.** A *simplicial category*  $\underline{\mathcal{C}}$  is a simplicial object  $\mathcal{C}_*$  in  $\mathbf{Cat}$  such that  $d_i$  and  $s_j$  are identity maps on objects. Thus  $Ob(\mathcal{C}_0) = \dots = Ob(\mathcal{C}_n) = \dots, \forall n \geq 0$ . Define  $Ob(\underline{\mathcal{C}}) = Ob(\mathcal{C}_0)$ . On morphisms, for any  $c_1, c_2 \in Ob(\underline{\mathcal{C}})$ ,  $\mathcal{C}_*(c_1, c_2) := \text{Hom}_{\underline{\mathcal{C}}}(c_1, c_2) = \{\text{Hom}_{\mathcal{C}_n}(c_1, c_2)\}_{n \geq 0} \in Ob(\mathbf{sSet})$  with composition  $\circ : \mathcal{C}_*(c_1, c_2) \times \mathcal{C}_*(c_0, c_1) \rightarrow \mathcal{C}_*(c_0, c_2)$

Equivalently, simplicial categories are categories enriched in  $\mathbf{sSet}$ .

If  $\underline{\mathcal{C}}$  is a simplicial category then  $\mathcal{C}_0$  is called the *underlying category* of  $\underline{\mathcal{C}}$ .

**Intuition.**  $\text{Hom}_{\mathcal{C}_0}(c_1, c_2)$  are morphisms in  $\mathcal{C}$  and  $\text{Hom}_{\mathcal{C}_n}(c_1, c_2)$  are higher homotopies between the morphisms.

*Remark 6.1.* We can define such enrichment for “large” categories.  $\mathbf{sSet}$  is given by  $Ob(\mathbf{sSet}) = Ob(\mathbf{Set})$  and

$$\text{Hom}_{\mathbf{sSet}}(X, Y) = \mathbf{Hom}(X, Y) = \{\text{Hom}_{\mathbf{sSet}}(\Delta[n] \times X, Y)\}_{n \geq 0}$$

which is a simplicial set.

**Definition 6.3.** A *simplicial functor*  $F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$  consists of two morphisms

$$\begin{aligned} F : \quad Ob(\underline{\mathcal{C}}) &\longrightarrow Ob(\underline{\mathcal{D}}) && \text{map of objects} \\ \mathcal{C}_*(c_1, c_2) &\rightarrow \mathcal{C}_*(Fc_1, Fc_2) && \text{map of simplicial sets} \end{aligned}$$

or equivalently,  $F = \{F_n : \mathcal{C}_n \rightarrow \mathcal{D}_n\}_{n \geq 0}$ .

**Definition 6.4.** Given simplicial functors  $F, G : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$ , a simplicial natural transformation  $\xi : F \Rightarrow G$  consists of the data

1.  $\xi_c : F_0 \Rightarrow G_0$  natural transformation of functors between  $F_0, G_0 : \mathcal{C}_0 \Rightarrow \mathcal{D}_0$ .
2.  $s_0(\xi_c) : F_1 \Rightarrow G_1, \dots, s_0^n(\xi_c) : F_n \Rightarrow G_n, \dots$

## 6.2 Barr-Beck Construction (canonical simplicial resolutions)

**Definition 6.5.** A *monad (triple)* on a category  $\mathcal{C}$  is given by an endofunctor  $T : \mathcal{C} \Rightarrow \mathcal{C}$  with two morphisms  $\eta : \text{Id}_{\mathcal{C}} \Rightarrow T$  and  $\mu : T \circ T \Rightarrow T$  satisfying

1. associativity

$$\begin{array}{ccc} T \circ T \circ T & \xrightarrow{T\mu} & T \circ T \\ \mu T \downarrow & & \downarrow \mu \\ T \circ T & \xrightarrow{\mu} & T \end{array}$$

2. unitality

$$\begin{array}{ccc} T & \xrightarrow{\eta T} & T \circ T \\ T\eta \downarrow & & \downarrow \mu \\ T \circ T & \xrightarrow{\mu} & T \end{array}$$

*Remark 6.2.* This can be regarded as a “generalized” associative, unital algebras.

**Definition 6.6.** A *comonad (cotriple)* on a category  $\mathcal{C}$  is given by an endofunctor  $\perp : \mathcal{C} \Rightarrow \mathcal{C}$  with two morphisms  $\varepsilon : \perp \Rightarrow \text{Id}_{\mathcal{C}}$  and  $\delta : \perp \Rightarrow \perp \circ \perp$  satisfying coassociative and counital diagrams.

### Main application

Given a pair of adjoint functors  $F : \mathcal{C} \rightleftarrows \mathcal{D} : U$  with unit  $\eta : \text{Id}_{\mathcal{C}} \Rightarrow UF$  and counit  $\varepsilon : FU \Rightarrow \text{Id}_{\mathcal{D}}$ , we can define

$$\begin{aligned} T = UF : \mathcal{C} &\rightarrow \mathcal{C} & \mu : T \circ T &\Rightarrow T, UFUF \xrightarrow{U\varepsilon F} UF \\ \perp = FU : \mathcal{D} &\rightarrow \mathcal{D} & \delta : \perp \rightarrow \perp \circ \perp, &FU \xrightarrow{F\eta U} FUFU \end{aligned}$$

*Claim 6.1.*  $(T = UF, \eta, \mu)$  is a monad on  $\mathcal{C}$  and  $(\perp = FU, \varepsilon, \delta)$  is a comonad in  $\mathcal{D}$ .

*Proof.* Use identities 5 for adjunction morphisms. Then we have

$$\begin{aligned} (FU \xrightarrow{F\eta U} FUFU \xrightarrow{\varepsilon FU} FU) &= \text{Id}_{FU} \\ (UF \xrightarrow{\eta UF} UFUF \xrightarrow{U\varepsilon F} UF) &= \text{Id}_{UF} \end{aligned}$$

which gives the unitality diagrams. The associativity diagram follows from naturality of the unit and counit functors, i.e. we have

$$\begin{array}{ccc} UFUFUFx & \xrightarrow{UFU\varepsilon_{Fx}} & UFUFx \\ \downarrow U\varepsilon_{FUFx} & & \downarrow U\varepsilon_{Fx} \\ UFUFx & \xrightarrow{U\varepsilon_{Fx}} & UFx \end{array}$$

and

$$\begin{array}{ccc} FUy & \xrightarrow{F\eta_{Uy}} & FUFUy \\ \downarrow F\eta_{Uy} & & \downarrow FUF\eta_{Uy} \\ FUFUy & \xrightarrow{F\eta_{UFUy}} & FUFUFUy \end{array}$$

□

**Example 6.1.** We have an adjoint pair  $F : \mathbf{Set} \rightleftarrows \mathbf{R}\text{-}\mathbf{Mod} : U$  where  $F(X) = R[X]$  is the free  $R$ -module generated over the set  $X$ . Then

$$\begin{aligned} \perp = FU : \mathbf{R}\text{-}\mathbf{Mod} &\rightarrow \mathbf{R}\text{-}\mathbf{Mod} \\ M &\mapsto R[M] \end{aligned}$$

where  $R[M]$  is the free module on the underlying set of  $M$ .

*Claim 6.2.* Every monad in  $\mathcal{C}$  gives a functor  $\mathcal{C} \rightarrow \mathbf{c}\mathcal{C}$  and every comonad gives a functor  $\mathcal{D} \rightarrow \mathbf{s}\mathcal{D}$ .

*Proof.* Given  $(\perp, \varepsilon, \delta)$  on  $\mathcal{D}$ , and  $A \in \text{Ob}(\mathcal{D})$ , we define

$$\begin{aligned} \perp_* : \mathcal{D} &\rightarrow \mathbf{s}\mathcal{D} \\ A &\mapsto \perp_* A = \{\perp_n A\}_{n \geq 0} \end{aligned}$$

where  $\perp_n A = \perp^{n+1} A$  and

$$\begin{aligned} d_i &= \perp^i \cdot \varepsilon \cdot \perp^{n-i} : \perp^{n+1} A \rightarrow \perp^n A \\ s_j &= \perp^j \cdot \delta \cdot \perp^{n-j} : \perp^{n+1} A \rightarrow \perp^{n+2} A \end{aligned}$$

which is similar to the bar construction. Explicitly,  $\perp_* A$  can be expressed as follows

$$\perp A \begin{array}{c} \longleftarrow \\ \longleftarrow \end{array} \perp^2 A \begin{array}{c} \longleftarrow \\ \longleftarrow \end{array} \perp^3 A \dots$$

The simplicial identities are satisfied because of the functoriality of units and counits and the identity for adjunction morphisms. In particular,  $d_i s_j = \text{Id}, i = j, j + 1$  follows from

$$\begin{aligned} (FU \xrightarrow{F\eta U} FU FU \xrightarrow{\varepsilon FU} FU) &= \text{Id}_{FU} \\ (FU \xrightarrow{F\eta U} FU FU \xrightarrow{FU \varepsilon} FU) &= \text{Id}_{FU} \end{aligned}$$

□

**Example 6.2.** [Dold-Kan] The adjoint pair

$$\mathcal{N} : \mathbf{Ab}^{\Delta^{op}} \begin{array}{c} \longrightarrow \\ \longleftarrow \end{array} \mathbf{Ch}_{\geq 0}(\mathbf{Ab}) : \Gamma$$

comes from a cosimplicial object

$$\begin{array}{ccccccc} \Delta & \xrightarrow{\Delta^*} & \mathbf{sSet} & \xrightarrow{\mathbb{Z}[-]} & \mathbf{sAb} & \xrightarrow{\mathcal{N}} & \mathbf{Ch}_{\geq 0}(\mathbf{Ab}) \\ [n] & \mapsto & \Delta[n] & \mapsto & \mathbb{Z}[\Delta[n]] & \mapsto & \mathcal{N}_*(\mathbb{Z}[\Delta[n]]) \end{array}$$

We apply this to the adjunction

$$F : \mathbf{Quiver} \begin{array}{c} \longrightarrow \\ \longleftarrow \end{array} \mathbf{Cat} : U$$

where **Quiver** is the category of small reflexive directed graphs. A graph is called reflexive if for any vertex  $v \in V(\Gamma)$  there is a specified loop (differential graded)  $v \xrightarrow{\text{ev}} v$  called the identity differential graded on  $v$ .  $U$  is the functor forgetting the composition law in a category but remembers objects, codomains, domains of morphisms and identities.

For a quiver,  $F(Q)$  is the free category generated by  $Q$ , with objects the vertices in  $Q$ ,  $Ob(F(Q)) = V(Q)$ , and (non-identity) morphisms are the paths of non-identity differential graded in  $Q$ , and composition  $\circ$  is the concatenation of paths.

**Example 6.3.** If  $k$  is a field,  $k\langle Q \rangle = k[F(Q)]$  is the algebra generated by the path category. If  $Q$  is finite, then  $k\langle Q \rangle$  gives the path algebra of  $Q$  with unit  $1 = \sum_{v \in V} e_v$ .

The adjunction  $F : \mathbf{Quiver} \rightleftarrows \mathbf{Cat} : U$  gives a functor  $\perp = FU : \mathbf{Cat} \rightarrow \mathbf{Cat}$  which consists of a comonad (cotriple)  $(\perp, \varepsilon, \delta)$  on  $\mathbf{Cat}$ , inducing a functor

$$Q : \mathbf{Cat} \rightarrow \mathbf{sCat}_0$$

called the simplicial thickening of a category. Hence we can compose this with the functor  $\Delta^* : \Delta \rightarrow \mathbf{Cat}$  to get a functor

$$\begin{aligned} \mathfrak{C}\Delta^* : \Delta &\rightarrow \mathbf{sCat}_0 \\ [n] &\mapsto Q\vec{n} := \perp_* \vec{n} \end{aligned}$$

and it follows that we have an adjoint pair

$$\mathfrak{C} : \mathbf{sSet} \rightleftarrows \mathbf{sCat}_0 : \mathfrak{N}$$

where

$$\begin{aligned} \mathfrak{C}X &:= \mathcal{L}_h(\mathfrak{C}\Delta^*)(X) \cong \operatorname{colim}_{\Delta X}(\mathfrak{C}\Delta^*) \\ \mathfrak{N}_n(\underline{\mathcal{C}}) &:= \mathbf{Fun}(\mathfrak{C}\Delta^n, \underline{\mathcal{C}}) \end{aligned}$$

**Theorem 6.1.** [DS11] *Proposition 3.8. For each  $n \geq 0$ ,  $\mathfrak{C}\Delta^n$  is a simplicial category with objects  $Ob(\mathfrak{C}\Delta^n) = Ob(\vec{n}) = \{0, \dots, n\}$  and  $\operatorname{Hom}_{\mathfrak{C}\Delta^n}(i, j) \cong \mathcal{N}_*(P_{ij})$  the ordinary nerve where  $P_{ij}$  is the poset defined by all nonempty subsets in the interval  $\{k : i \leq k \leq j\} \subseteq \{0 < 1 < \dots < n\}$ .*

*Remark 6.3.* Note

1. If  $i > j$  then  $P_{ij} = \emptyset$  and  $\operatorname{Hom}(i, j) = \emptyset$ .
2. If  $j > i$ ,  $P_{ij}$  is the product of  $j - i - 1$  copies of  $[1] = \{0 < 1\}$

$$3. \operatorname{Hom}_{\mathfrak{C}\Delta^n}(i, j) = \begin{cases} \Delta_*[1]^{j-i+1} & j > i \\ \Delta_*[0] & j = i \\ \emptyset & j < i \end{cases}$$

**Vista.** The adjoint pair  $\mathfrak{C} : \mathbf{sSet} \rightleftarrows \mathbf{sCat}_0 : \mathfrak{N}$  has natural model structures on both  $\mathbf{sSet}$  and  $\mathbf{sCat}_0$ .

1.  $\mathbf{sCat}_0$  has Dwyer-Kan model structure.  $F : \underline{\mathcal{C}} \rightarrow \underline{\mathcal{D}}$  is a weak equivalence if

$$(a) \ F : \mathbf{Hom}_{\underline{\mathcal{C}}} \xrightarrow{\sim} \mathbf{Hom}_{\underline{\mathcal{D}}} \text{ is a weak equivalence.}$$

- (b)  $\pi_0(F) : \pi_0\mathcal{C} \rightarrow \pi_0\mathcal{D}$  is an equivalence of categories.
- 2. **sSet** has model structure induced from the one on **sCat**<sub>0</sub>, which is very different from the standard ones.
- 3. Fibrant objects in **sSet** are exactly quasi-categories.
- 4.  $\mathfrak{C} : \mathbf{sSet} \rightleftarrows \mathbf{sCat}_0 : \mathfrak{N}$  is a Quillen pair. Quasi-categories and simplicial categories gives two equivalent models of  $(\infty, 1)$  categories.

**Question.** An object  $\mathcal{C} \in Ob(\mathbf{Cat})$  induces two simplicial categories,  $\perp_*\mathcal{C}$  and  $\mathfrak{C}(\mathcal{N}_*\mathcal{C})$ , what is the relation between them?

**Theorem 6.2.** [Riehl]  $\perp_*\mathcal{C} \cong \mathfrak{C}(\mathcal{N}_*\mathcal{C})$  for any  $\mathcal{C} \in Ob(\mathbf{Cat})$ .

## 7 Homotopy Colimits

The references for this part are [MS09] and [DHKS04].

### 7.1 Symmetric Monoidal Categories

**Definition 7.1.** A category  $\mathcal{S}$  is called *symmetric monoidal* if

1. there is a bifunctor  $\otimes : \mathcal{S} \times \mathcal{S} \rightarrow \mathcal{S}$  called *tensor product*, and
2. there exists an object  $\mathbf{1} \in \text{Ob}(\mathcal{S})$  called the *unit object* such that for any  $a, b, c \in \text{Ob}(\mathcal{S})$ , there are isomorphisms

$$\begin{aligned} a \otimes b &\cong b \otimes a \\ (a \otimes b) \otimes c &\xrightarrow[\cong]{\alpha_{a,b,c}} a \otimes (b \otimes c) \\ \mathbf{1} \otimes a &\xrightarrow[\cong]{\lambda_a} a \xrightarrow[\cong]{\rho_a} a \otimes \mathbf{1} \end{aligned}$$

which are natural in  $a, b, c$  and compatible in the sense that 2 axioms *triangle*

$$\begin{array}{ccc} (a \otimes \mathbf{1}) \otimes b & \xrightarrow{\alpha_{a,1,b}} & a \otimes (\mathbf{1} \otimes b) \\ & \searrow \rho_a^{-1} \otimes \text{Id} \quad \swarrow \text{Id} \otimes \lambda_b & \\ & a \otimes b & \end{array}$$

and *pentagon*

$$\begin{array}{ccccc} & & (a \otimes (b \otimes c)) \otimes d & & \\ & \nearrow \alpha_{a,b,c} \otimes \text{Id} & & \searrow \alpha_{a,b \otimes c,d} & \\ ((a \otimes b) \otimes c) \otimes d & & & & a \otimes ((b \otimes c) \otimes d) \\ & \searrow \alpha_{a \otimes b,c,d} & & \swarrow \text{Id} \otimes \alpha_{b,c,d} & \\ & (a \otimes b) \otimes (c \otimes d) & \xrightarrow{\alpha_{a,b,c \otimes d}} & a \otimes (b \otimes (c \otimes d)) & \end{array}$$

holds.

**Definition 7.2.**  $\mathcal{S}$  is called *closed* if there exists a bifunctor

$$\mathbf{Hom}_{\mathcal{S}}(-, -) : \mathcal{S}^{op} \times \mathcal{S} \rightarrow \mathcal{S}$$

such that

$$\mathbf{Hom}_{\mathcal{S}}(a \otimes b, c) \cong \mathbf{Hom}_{\mathcal{S}}(a, \mathbf{Hom}_{\mathcal{S}}(b, c)), \forall a, b, c \in \text{Ob}(\mathcal{S}).$$

Thus there exists an (enriched) adjunction

$$- \otimes b : \mathcal{S} \rightleftarrows \mathcal{S} : \mathbf{Hom}_{\mathcal{S}}(b, -) \quad (7)$$

Note that there is a natural map (composition law)

$$\circ : \mathbf{Hom}_{\mathcal{S}}(b, c) \otimes \mathbf{Hom}_{\mathcal{S}}(a, b) \longrightarrow \mathbf{Hom}_{\mathcal{S}}(a, c)$$

which is adjoint (under 7) to the composite map

$$\begin{array}{ccc} \mathbf{Hom}_{\mathcal{S}}(b, c) \otimes \mathbf{Hom}_{\mathcal{S}}(a, b) \otimes a & \xrightarrow{\text{Id} \otimes \varepsilon} & \mathbf{Hom}_{\mathcal{S}}(b, c) \otimes b \\ & \searrow & \downarrow \varepsilon \\ & & c \end{array}$$

via the adjunction map

$$\mathbf{Hom}_{\mathcal{S}}(\mathbf{Hom}_{\mathcal{S}}(b, c) \otimes \mathbf{Hom}_{\mathcal{S}}(a, b) \otimes a, c) \xrightarrow{\cong} \mathbf{Hom}_{\mathcal{S}}(\mathbf{Hom}_{\mathcal{S}}(b, c) \otimes \mathbf{Hom}_{\mathcal{S}}(a, b), \mathbf{Hom}(a, c)).$$

**Definition 7.3.**  $\mathcal{S}$  is called *Cartesian closed* if  $\otimes = \times$  and  $\mathbf{1} = *$  the terminal object in  $\mathcal{S}$ .

We will often abuse notation and write  $(\mathcal{S}, \times, *)$  in general.

## 7.2 Main Example

**The category of simplicial set**  $(\mathbf{sSet}, \times, *)$

In  $\mathcal{S} = \mathbf{sSet}$ , the tensor product is given by

$$\begin{array}{ccc} \otimes = \times : \mathbf{sSet} \times \mathbf{sSet} & \rightarrow & \mathbf{sSet} \\ (X, Y) & \mapsto & X \times Y = \{X_n \times Y_n\}_{n \geq 0} \end{array}$$

and internal hom is given by

$$\begin{array}{ccc} \mathbf{Hom} : \mathbf{sSet}^{op} \times \mathbf{sSet} & \rightarrow & \mathbf{sSet} \\ (Y, Z) & \mapsto & \mathbf{Hom}(Y, Z) = \{\mathbf{Hom}_{\mathbf{sSet}}(Y \times \Delta[n], Z)\} \end{array}$$

we have

$$\mathbf{Hom}(X \times Y, Z) \cong \mathbf{Hom}(X, \mathbf{Hom}(Y, Z)).$$



The composition  $\circ : \mathbf{Hom}(Y, Z) \times \mathbf{Hom}(X, Y) \rightarrow \mathbf{Hom}(X, Z)$  is given explicitly by

$$\left( (Y \times \Delta[n] \xrightarrow{f} Z), (X \times \Delta[n] \xrightarrow{g} Y) \right) \mapsto \left( X \times \Delta[n] \xrightarrow{\text{Id} \times \text{diag}} X \times \Delta[n] \times \Delta[n] \xrightarrow{g \times \text{Id}} Y \times \Delta[n] \xrightarrow{f} Z \right).$$

### Construction (smash product)

Let  $(\mathcal{S}, \times, \bullet)$  be a complete and cocomplete Cartesian closed symmetric monoidal category. Define  $\mathcal{S}_\bullet = \bullet \downarrow \mathcal{S}$  with objects  $\left\{ \bullet \xrightarrow{f} v \right\}_{v \in \text{Ob}(\mathcal{S})}$ . There is a canonical way to make this a symmetric monoidal category.

Given  $\tau_v : \bullet \rightarrow v$  and  $\tau_w : \bullet \rightarrow w$  in  $\mathcal{S}_\bullet$  we define

$$\begin{aligned} f_v : v &\rightarrow v \times \bullet \xrightarrow{\text{Id}_v \times \tau_w} v \times w \\ f_w : w &\rightarrow \bullet \times w \xrightarrow{\tau_v \times \text{Id}_w} v \times w \end{aligned}$$

The smash product of  $v$  and  $w$  is given by the pushout

$$v \wedge w := \text{cofib} \left( f_v \amalg f_w \right) = \text{colim} \left( \bullet \leftarrow v \amalg w \xrightarrow{f_v \amalg f_w} v \times w \right).$$

$$\begin{array}{ccc} v \amalg w & \longrightarrow & v \times w \\ \downarrow & & \downarrow \\ \bullet & \longrightarrow & v \wedge w \end{array}$$

Dually we define the internal hom by the pullback

$$\begin{array}{ccc} \mathbf{Hom}_{\mathcal{S}_\bullet}(v, w) & \longrightarrow & \mathbf{Hom}_{\mathcal{S}}(v, w) \\ \downarrow & & \downarrow (\tau_v)^* \\ \mathbf{Hom}_{\mathcal{S}}(\bullet, \bullet) & \xrightarrow{(\tau_w)^*} & \mathbf{Hom}_{\mathcal{S}}(\bullet, w) \end{array}$$

Then  $(\mathcal{S}_\bullet, \wedge, \mathbf{1}_\bullet, \mathbf{Hom}_{\mathcal{S}_\bullet})$  is a closed symmetric monoidal category, called the category of based objects in  $\mathcal{S}$ .

There is an adjoint pair of functors

$$(-)_+ : \mathcal{S} \rightleftarrows \mathcal{S}_\bullet : U$$

where  $(-)_+$  is strictly monoidal,  $\mathbf{1}_\bullet \cong (\bullet)_+$  and  $(v_+ \wedge w_+) \cong (v \times w)_+$ .

### Example 7.1.

1.  $(\mathbf{Top}, \times, \bullet) \Rightarrow (\mathbf{Top}_\bullet, \wedge, \mathbf{1}_\bullet)$ .

2.  $(\mathbf{sSet}, \times, \bullet) \implies (\mathbf{sSet}_+, \wedge, \mathbf{1}_\bullet)$ .

Let  $(\mathcal{S}, \times, \bullet)$  be a closed symmetric monoidal category.

**Definition 7.4.** An  $\mathcal{S}$ -category is a category  $\mathcal{M}$  enriched over  $\mathcal{S}$ , thus

(S1) For any objects  $X, Y$  in  $\mathcal{M}$ , there exists an object  $\mathbf{Hom}_{\mathcal{M}}(X, Y)$  in  $\mathcal{S}$

(S2) For any objects  $X, Y, Z$  in  $\mathcal{M}$ , there exists a morphism

$$c_{X,Y,Z} : \mathbf{Hom}_{\mathcal{M}}(Y, Z) \times \mathbf{Hom}_{\mathcal{M}}(X, Y) \rightarrow \mathbf{Hom}_{\mathcal{M}}(X, Z)$$

in  $\mathcal{S}$  called *composition law*.

(S3) For any object  $X$  in  $\mathcal{M}$ , there is a morphism  $i_X : \bullet \rightarrow \mathbf{Hom}_{\mathcal{M}}(X, X)$  called *unit*.

(S4) There is an isomorphism

$$\mathbf{Hom}_{\mathcal{S}}(\bullet, \mathbf{Hom}_{\mathcal{M}}(X, Y)) \cong \mathbf{Hom}_{\mathcal{M}}(X, Y).$$

Thus the usual hom can be recovered from internal hom.

These data satisfy the compatibility axioms (*triangle* and *pentagon*) similar to the ones in  $\mathcal{S}$ .

**Example 7.2.** For  $\mathcal{S} = (\mathbf{sSet}, \times, \bullet)$ , the simplicial category  $\mathcal{M} \in \mathbf{Ob}(\mathbf{sCat}_0)$  is  $\mathcal{S}$ -enriched.

**Definition 7.5.** An  $\mathcal{S}$ -enriched category is called

1. *tensoried over  $\mathcal{S}$*  if there exists a bifunctor

$$\boxtimes : \mathcal{S} \times \mathcal{M} \rightarrow \mathcal{M}$$

viewed as an action of  $\mathcal{S}$  on  $\mathcal{M}$ , such that

$$\mathbf{Hom}_{\mathcal{M}}(v \boxtimes x, y) \cong \mathbf{Hom}_{\mathcal{M}}(v, \mathbf{Hom}_{\mathcal{M}}(x, y)).$$

2. *cotensoried over  $\mathcal{S}$*  if there exists a bifunctor

$$(-)^- : \mathcal{S}^{op} \times \mathcal{M} \rightarrow \mathcal{M}$$

such that

$$\mathbf{Hom}_{\mathcal{M}}(v, \mathbf{Hom}_{\mathcal{M}}(x, y)) \cong \mathbf{Hom}_{\mathcal{M}}(x, y^v).$$

**Example 7.3.** Any cocomplete, complete, locally small category  $\mathcal{M}$  is tensored and cotensored over  $\mathcal{S} = (\mathbf{Set}, \times, \bullet)$ .

$$\begin{aligned} \boxtimes : \mathbf{Set} \times \mathcal{M} &\rightarrow \mathcal{M} & (-)^- : \mathbf{Set}^{op} \times \mathcal{M} &\rightarrow \mathcal{M} \\ (K, X) &\mapsto \coprod_{k \in K} X & (K, Y) &\mapsto \prod_{k \in K} Y \end{aligned}$$

### Main application

Let  $\mathcal{S} = (\mathbf{sSet}, \times, \bullet)$  and  $\mathcal{M} = \mathbf{sC} = \mathbf{Fun}(\Delta^{op}, \mathcal{C})$ , then  $\mathcal{M}$  has a canonical structure of simplicial category tensored and cotensored over  $\mathcal{S}$ .

- Tensor

$$\begin{aligned} \boxtimes : \mathbf{sSet} \times \mathbf{sC} &\rightarrow \mathbf{sC} \\ (K, X) &\mapsto K \boxtimes X = \left\{ \coprod_{K_n} X_n \right\}_{n \geq 0} \end{aligned}$$

- Internal hom

$$\mathbf{Hom}_{\mathbf{sC}}(X, Y) = \{\mathbf{Hom}_{\mathbf{sC}}(\Delta[n] \boxtimes X, Y)\}_{n \geq 0}$$

Note that  $(K \boxtimes L) \boxtimes X \cong K \boxtimes (L \boxtimes X)$  and  $\Delta[0] \boxtimes X \cong X \cong * \boxtimes X$ .

*Remark 7.1.* If  $\mathcal{M}$  is tensored over  $\mathbf{sSet}$ , then for any set  $K$  viewed as a discrete simplicial set and  $X \in \mathbf{Ob}(\mathbf{sC})$ , we have  $K \boxtimes X \cong \coprod_K X$  because

$$K \boxtimes X \cong (K \boxtimes \Delta[0]) \boxtimes X \cong K \boxtimes (\Delta[0] \boxtimes X) = \left( \coprod_K \Delta[0] \right) \boxtimes X \cong \coprod_K (\Delta[0] \boxtimes X) \cong \coprod_K X.$$

- Fix  $K \in \mathbf{Ob}(\mathbf{sSet})$ , consider  $K \boxtimes - : \mathbf{sC} \rightarrow \mathbf{sC}$ . Since  $\mathcal{C}$  is cocomplete, so is  $\mathbf{sC}$ , hence  $K \boxtimes -$  has right adjoint defined by left Kan extension

$$\begin{array}{ccc} \mathbf{sC} & \xrightarrow{\text{Id}} & \mathbf{sC} \\ K \boxtimes - \downarrow & \nearrow L_{K \boxtimes -}(\text{Id}_{\mathbf{sC}}) & \\ \mathbf{sC} & & \end{array}$$

Denote

$$Y^K := L_{K \boxtimes -}(\text{Id}_{\mathbf{sC}})(Y), \forall Y \in \mathbf{Ob}(\mathbf{sC}),$$

then by general properties of Kan extensions, we have

$$\mathbf{Hom}_{\mathbf{sC}}(K \boxtimes X, Y) \cong \mathbf{Hom}_{\mathbf{sC}}(X, Y^K).$$

This implies for any  $n \geq 0$ ,

$$\begin{aligned} \mathbf{Hom}_{\mathbf{sC}}(K \boxtimes X, Y)_n &= \mathbf{Hom}_{\mathbf{sC}}(\Delta[n] \boxtimes K \boxtimes X, Y) \cong \mathbf{Hom}_{\mathbf{sC}}(K \boxtimes \Delta[n] \boxtimes X, Y) \\ &\cong \mathbf{Hom}_{\mathbf{sC}}(\Delta[n] \boxtimes X, Y^K) =: \mathbf{Hom}_{\mathbf{sC}}(X, Y^K)_n. \end{aligned}$$

### Special cases

1. Let  $\mathcal{C} = \mathbf{Set}$ ,  $\mathcal{M} = \mathbf{sSet}$ .

$$K \boxtimes X := \left\{ \coprod_{K_n} X_n \right\}_{n \geq 0} = \{K_n \times X_n\}_{n \geq 0} = K \times X$$

$Y^K$  is defined by the formula

$$\mathbf{Hom}_{\mathbf{sSet}}(K \boxtimes X, Y) \cong \mathbf{Hom}_{\mathbf{sSet}}(X, Y^K).$$

Put  $X = \Delta[n]$ , we have

$$(Y^K)_n = \mathbf{Hom}_{\mathbf{sSet}}(\Delta[n], Y^K) \cong \mathbf{Hom}_{\mathbf{sSet}}(K \boxtimes \Delta[n], Y) = \mathbf{Hom}(K, Y)_n$$

so  $Y^K = \mathbf{Hom}(K, Y)$ .

2. Let  $\mathcal{C} = \mathbf{Mod}(R)$  where  $R$  is a unital (commutative) associative ring. Then  $\coprod = \bigoplus$ . Let  $\mathcal{M} = \mathbf{sMod}(R) \cong \mathbf{Com}_{\geq 0}(R)$ . The tensor product is given by

$$\begin{aligned} \oplus : \mathbf{sSet} \times \mathbf{sMod}(R) &\rightarrow \mathbf{sMod}(R) \\ (K, X) &\mapsto \left\{ \bigoplus_{K_n} X_n \right\}_{n \geq 0} = \{R[K_n] \otimes_R X_n\}_{n \geq 0} \end{aligned}$$

where  $R[K_n]$  is the free bimodule based on  $K_n$ . We need to check that this agrees with simplicial operations. Internal hom is defined by

$$\mathbf{Hom}_{\mathbf{sMod}(R)}(X, Y) := \{\mathbf{Hom}_{\mathbf{sMod}(R)}(R[\Delta[n]] \otimes_R X, Y)\}_{n \geq 0}.$$

And

$$Y^K := \mathbf{Hom}_{\mathbf{sSet}}(X, Y)$$

where the  $R$ -module structure comes from the target.

3. Let  $\mathcal{C} = \mathbf{CommAlg}_k$  with  $k$  a commutative ring.  $\mathcal{M} = \mathbf{sCommAlg}_k$ , then  $\coprod = \bigotimes_k$ . The tensor product is given by

$$K \boxtimes A \cong \left\{ \bigotimes_{K_n} A \right\}_{n \geq 0}.$$

And internal hom is defined as

$$\mathbf{Hom}_{\mathbf{sCommAlg}_k}(A, B) = \left\{ \mathbf{Hom}_{\mathbf{sCommAlg}_k} \left( \bigotimes_{\Delta[n]} A, B \right) \right\}_{n \geq 0}.$$

4.  $\mathcal{C}$  can be any algebraic category, e.g.  $\mathbf{Gr}, \mathbf{Alg}_k, \mathbf{Lie}$ .
5. Let  $\mathcal{M} = \mathbf{Top}$  the category of compactly generated weak Hausdorff spaces, then we have

$$\begin{aligned} \boxtimes : \mathbf{sSet} \times \mathbf{Top} &\rightarrow \mathbf{Top} \\ (K, X) &\mapsto |K| \times X \end{aligned}$$

and

$$\begin{aligned} (-)^- : \mathbf{sSet}^{op} \times \mathbf{Top} &\rightarrow \mathbf{Top} \\ (K, Y) &\mapsto Y^K := \mathbf{Map}(|K|, Y) \end{aligned}$$

Usually,  $\mathbf{Top}$  is viewed as a topological category, but via the adjunction

$$|-| : \mathbf{sSet} \rightleftarrows \mathbf{Top} : S$$

we can convert topological categories to simplicial ones. One key observation is that geometric realization preserves product

$$|X \times Y| \cong |X| \times |Y|.$$

### 7.3 Functor Tensor Product

Let  $\mathcal{S} = (\mathcal{S}, \otimes, 1)$  be a closed symmetric monoidal category and  $\mathcal{C}$  be a small category.  $\mathcal{M}$  is a cocomplete  $\mathcal{S}$ -category (tensored over  $\mathcal{S}$ ).

$$\boxtimes : \mathcal{S} \times \mathcal{M} \rightarrow \mathcal{M}$$

**Definition 7.6.** Given two functors  $G : \mathcal{C}^{op} \rightarrow \mathcal{S}$  and  $F : \mathcal{C} \rightarrow \mathcal{M}$ , define

$$G \boxtimes_{\mathcal{C}} F := \int^{c \in \text{Ob}(\mathcal{C})} G(c) \boxtimes F(c) = \text{coeq} \left\{ \coprod_{f: c \rightarrow c'} G(c') \boxtimes F(c) \begin{array}{c} \xrightarrow{f^*} \\ \xrightarrow{f_*} \end{array} \coprod_{c \in \text{Ob}(\mathcal{C})} G(c) \boxtimes F(c) \right\}$$

where

$$\begin{aligned} f^* : G(c') \boxtimes F(c) &\xrightarrow{G(f) \boxtimes \text{Id}} G(c) \boxtimes F(c) \hookrightarrow \coprod_{c \in \text{Ob}(\mathcal{C})} G(c) \boxtimes F(c) \\ f_* : G(c') \boxtimes F(c) &\xrightarrow{\text{Id} \boxtimes F(f)} G(c') \boxtimes F(c') \hookrightarrow \coprod_{c \in \text{Ob}(\mathcal{C})} G(c) \boxtimes F(c) \end{aligned}$$

**Example 7.4.** Let  $\mathcal{S} = (\mathbf{Ab}, \otimes_{\mathbb{Z}}, \mathbb{Z})$  and  $\mathcal{M} = \mathcal{S}$  with  $\mathbf{Hom}_{\mathcal{S}} = \text{Hom}_{\mathbf{Ab}} = \text{Hom}_{\mathbb{Z}}$ .  $\mathcal{S}$  is enriched and tensored over itself with  $\boxtimes = \otimes_{\mathbb{Z}}$ .

Take a unital associative ring  $R$ , we can think of  $\underline{R}$  as the category with one object  $\{*\}$  enriched over  $\mathcal{S}$ .

A left module over  $R$  is an  $\mathcal{S}$ -functor

$$\begin{aligned} \underline{F} : \underline{R} &\rightarrow \mathbf{Ab} \\ * &\mapsto M \\ R &\mapsto \text{End}(M) \end{aligned}$$

A right module over  $R$  is an  $\mathcal{S}$ -functor

$$\begin{aligned} \underline{G} : \underline{R}^{op} &\rightarrow \mathbf{Ab} \\ * &\mapsto N \\ R^{op} &\mapsto \text{End}(N) \end{aligned}$$

Let's think of  $R$  as a monoid and take the underlying (unenriched) functors

$$\begin{aligned} F : R &\rightarrow \mathbf{Ab} \\ G : R^{op} &\rightarrow \mathbf{Ab} \end{aligned}$$

Then

$$G \boxtimes_R F = \int^R N \bigotimes_{\mathbb{Z}} M \cong \frac{N \otimes_{\mathbb{Z}} M}{\langle nr \otimes m - n \otimes rm \rangle} \cong N \bigotimes_R M$$

is the usual tensor product of left and right modules.

**Example 7.5.** Let  $\mathcal{S} = (\mathbf{sSet}, \times, *)$  and  $\mathcal{C}$  be a small category.  $\mathcal{M}$  is a simplicial category tensored over  $\mathcal{S}$ . Let  $F : \mathcal{C} \rightarrow \mathcal{M}$  be any functor, and  $G : \mathcal{C}^{op} \rightarrow \mathcal{S}, c \mapsto *$  be the constant functor at terminal object in  $\mathcal{S}$ . Then  $* \boxtimes_{\mathcal{C}} F \cong \text{colim}_{\mathcal{C}} F$ . This follows from two facts.

1. If  $S : \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathcal{D}$  is constant at first argument, then  $\text{coend}(S) \cong \text{colim}(S)$ .
2.  $*$  acts as an identity on  $\mathcal{M}$ .

**Intuition.** In general for any  $G : \mathcal{C}^{op} \rightarrow \mathbf{sSet}$  and  $F : \mathcal{C} \rightarrow \mathcal{M}$ ,  $G \boxtimes_{\mathcal{C}} F$  can be thought as a colimit of  $F$  “fattened up” by  $G$ .



For  $X \in \text{Ob}(\mathbf{sSet})$ ,  $\mathcal{L}_h(\Delta^\bullet)(X) \cong \text{colim}_{\Delta X}(\Delta^\bullet)$ . Then

$$\mathcal{L}_h(\Delta^\bullet)(X) \cong \text{Hom}_{\mathbf{sSet}}(\Delta[\cdot], X) \boxtimes_{\Delta} \Delta^\bullet \cong X \boxtimes_{\Delta} \Delta^\bullet = \coprod_{n \geq 0} X_n \times \Delta^n / \langle (x, f_*(u)) \sim (f^*(x), u) \rangle_{f \in \text{Mor}(\Delta)}$$

where  $X_n$  is given the discrete topology.

In particular, if  $X : \Delta^{op} \rightarrow \mathbf{Space}$  is a simplicial space (**Top** or **sSet**) then  $|-| : \mathbf{Space}^{\Delta^{op}} \rightarrow \mathbf{Space}$  is given by

$$|X| := X \boxtimes_{\Delta} \Delta^\bullet = \coprod_{n \geq 0} X_n \times \Delta^n / \sim$$

where  $X_n \times \Delta^n$  is given the product topology.

### Internal geometric realization

Let  $\mathcal{M}$  be a simplicial category enriched over  $\mathcal{S} = \mathbf{sSet}$ , we can define

$$\begin{aligned} |-| : \mathbf{sM} &\rightarrow \mathcal{M} \\ X &\mapsto \Delta[-] \boxtimes_{\Delta^{op}} X \end{aligned}$$

where  $\Delta[-] : \Delta \rightarrow \mathbf{sSet}$  is a left  $\Delta$ -module, or a right  $\Delta^{op}$ -module.

**Example 7.8.** Let  $\mathcal{M} = \mathbf{Top}$  and  $\mathcal{S} = \mathbf{sSet}$ , then we have

$$\begin{aligned} \mathbf{sSet} \times \mathbf{Top} &\rightarrow \mathbf{Top} \\ (K, Z) &\mapsto |K| \times Z \end{aligned}$$

is the usual geometric realization.

**Example 7.9.** Let  $\mathcal{M} = \mathbf{sSet}$ ,  $X : \Delta^{op} \rightarrow \mathbf{sSet}$  is a bisimplicial category.  $X = \{X_{nm}\}_{n,m \geq 0}$  with  $s_j^h, d_i^h, s_j^v, d_i^v$  in the following diagram

$$\begin{array}{ccccc} X_{01} & \xleftarrow{\quad} & X_{11} & \xleftarrow{\quad} & X_{21} \\ \Downarrow & & \Downarrow & & \Downarrow \\ X_{00} & \xleftarrow{\quad} & X_{10} & \xleftarrow{\quad} & X_{20} \end{array}$$

We have a functor

$$\begin{aligned} \text{diag} : \mathbf{sSet}^{\Delta^{op}} &\rightarrow \mathbf{sSet} \\ X_{**} &\mapsto \{X_{nn}, d_i^v d_i^h, s_j^v s_j^h\}_{n \geq 0} \end{aligned}$$

then  $|X| \cong \text{diag}(X)$ .



## 7.5 Homotopy Colimits

### Motivation

**Question:** Why do we need them?

These come from topology. Usually colimits are used to build complicated spaces from simpler ones by “gluing”.

**Example 7.10.**  $\operatorname{colim} \left( \mathbb{D}^n \xleftarrow{i} \mathbb{S}^{n-1} \xrightarrow{i} \mathbb{D}^n \right) \cong \mathbb{S}^n$ .

Problem arises if we want to glue homotopy types.

$$\begin{array}{ccccccc} \operatorname{colim} & (\mathbb{D}^n & \xleftarrow{i} & \mathbb{S}^{n-1} & \xrightarrow{i} & \mathbb{D}^n) & = \mathbb{S}^n \\ & \cong \downarrow & & \parallel & & \downarrow \cong & \Downarrow \\ \operatorname{colim} & (* & \xleftarrow{i} & \mathbb{S}^{n-1} & \xrightarrow{i} & *) & = * \end{array}$$

**Moral.** The objects (spaces) defined by colimits of diagrams, which are defined only up to homotopy, are not well-defined, even up to homotopy type.

Homotopy colimits are replacements of usual colimits when we glue objects (spaces) together with homotopies between gluing maps.

There is another use of homotopy colimits, they provide a natural way to deformation (“quantization”) of objects.

**Idea.** If we want to deform an object (space), decompose (in a natural way) into a homotopy colimit and then, instead of deforming the object itself, we deform the underlying diagram of homotopy colimits.

### Example

Which diagrams do appear in practice?

**Pushout diagram**  $\mathcal{C} = \{ \bullet \longleftarrow \bullet \longrightarrow \bullet \}$

#### 1. Mapping Tori.

If  $f : X \rightarrow X$  is a map of unpointed spaces, the mapping torus is defined by

$$T(X, f) := \operatorname{hocolim} \left\{ X \xleftarrow{(\operatorname{Id}, f)} X \amalg X \xrightarrow{(\operatorname{Id}, \operatorname{Id})} X \right\} \cong X \times I / (x, 0) \sim (f(x), 1)$$

This comes with projection onto  $\mathbb{S}^1$ :

$$T(\bullet, 1) := \operatorname{hocolim} \left\{ \bullet \xleftarrow{(\operatorname{Id}, f)} \bullet \amalg \bullet \xrightarrow{(\operatorname{Id}, \operatorname{Id})} \bullet \right\} \cong \mathbb{S}^1.$$

The commutative diagram

$$\begin{array}{ccccc} X & \xleftarrow{(\operatorname{Id}, f)} & X & \amalg & X & \xrightarrow{(\operatorname{Id}, \operatorname{Id})} & X \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \bullet & \xleftarrow{(\operatorname{Id}, f)} & \bullet & \amalg & \bullet & \xrightarrow{(\operatorname{Id}, \operatorname{Id})} & \bullet \end{array}$$

induces (by functoriality) the map of homotopy colimits  $T(X, f) \rightarrow T(\bullet, 1) = \mathbb{S}^1$ .

If  $X$  is a closed  $n$ -dimensional manifold,  $f : X \xrightarrow{\sim} X$  is a smooth automorphism, then  $T(X, f) \rightarrow \mathbb{S}^1$  is  $(n+1)$ -dimensional manifold fibred over  $\mathbb{S}^1$ .

**Example 7.1.1.** Let  $\Sigma = \Sigma_g$  be a closed oriented surface of genus  $g \geq 1$ .

$$MCG^+(\Sigma) = \left\{ \varphi : \Sigma \xrightarrow{\sim} \Sigma \text{ orientation preserving homeomorphism} \right\} / \text{isotopy}$$

for instance,  $MCG^+(\Sigma_1) = SL_2(\mathbb{Z})$ .

**Theorem 7.1.** *Any orientable  $\Sigma$ -bundle over  $\mathbb{S}^1$  has the form*

$$M_\varphi(\Sigma) = T(\Sigma, \varphi) \rightarrow \mathbb{S}^1 \tag{8}$$

for some  $\varphi \in MCG^+(\Sigma)$ .

*Remark 7.2.* Any  $\Sigma$ -bundle over  $\mathbb{S}^1$  of the form 8 induces a short exact sequence

$$1 \rightarrow \pi_1(\Sigma) \rightarrow \pi_1(M_\varphi(\Sigma)) \rightarrow \pi_1(\mathbb{S}^1) = \mathbb{Z} \rightarrow 0$$

thus

$$\pi_1(M_\varphi(\Sigma)) \cong \pi_1(\Sigma) \rtimes \mathbb{Z} \hookleftarrow \pi_1(\Sigma).$$

Corresponding to  $\pi_1(\Sigma) \subseteq \pi_1(M_\varphi(\Sigma))$  is an infinite cyclic covering

$$\begin{aligned} \Sigma \times \mathbb{R} &\rightarrow M_\varphi(\Sigma) \\ (x, \lambda) &\mapsto [(x, \lambda)] \end{aligned}$$

with

$$\begin{aligned} t : \Sigma \times \mathbb{R} &\rightarrow \Sigma \times \mathbb{R} \\ (x, \lambda) &\mapsto (\varphi(x), \lambda + 1) \end{aligned}$$

then

$$M_\varphi(\Sigma) \cong \Sigma \times \mathbb{R} / (x, \lambda) \sim (\varphi(x), \lambda + 1).$$

## 2. Suspension (unreduced)

$$\Sigma X = \text{hocolim} \left\{ \bullet \longleftarrow X \longrightarrow \bullet \right\} = \frac{X \times I}{((x, 0) \sim (x', 0), (x, 1) \sim (x', 1))}$$

## 3. Join operation

$$X * Y = \text{hocolim} \left\{ X \xleftarrow{p_1} X \times Y \xrightarrow{p_2} Y \right\} = \frac{X \amalg (X \times I \times Y) \amalg Y}{((x, 0, y) \sim x, (x, 1, y) \sim y)}$$

### Group Actions

If  $G$  is a discrete (or topological) group acting on a space  $X$ , then we have a natural diagram  $X : \underline{G} \rightarrow \mathbf{Space}$  and  $\text{colim}_{\underline{G}}(X) \cong X/G$ .

The homotopy quotient (homotopy orbit space) is  $X/_h G = X_{hG} := \text{hocolim}_{\underline{G}}(X) = EG \times_G X$ .

**Example 7.12.** Let  $G$  be a discrete group.

1.  $G$  acts on  $\{*\}$  trivially

$$\begin{aligned} * : \underline{G} &\longrightarrow \mathbf{Set} \hookrightarrow \mathbf{sSet} \\ * &\longmapsto * \\ g &\longmapsto \text{Id}_* \end{aligned}$$

then  $*/_h G \cong \text{hocolim}_{\underline{G}}(*) \cong BG$ .

2.  $G$  acts on  $G$  by left translation

$$\begin{aligned} G : \underline{G} &\longrightarrow \mathbf{Set} \hookrightarrow \mathbf{sSet} \\ * &\longmapsto G \\ g &\longmapsto \left( \begin{array}{ccc} L_g : G &\rightarrow & G \\ &h &\mapsto gh \end{array} \right) \end{aligned}$$

then  $G/_h G \cong \text{hocolim}_{\underline{G}}(G) \cong EG$ .

3.  $G$  acts on  $G$  by adjoint action

$$\begin{aligned} \text{Ad} : \underline{G} &\longrightarrow \mathbf{Set} \hookrightarrow \mathbf{sSet} \\ * &\longmapsto G \\ g &\longmapsto \left( \begin{array}{ccc} \text{Ad}_g : G &\rightarrow & G \\ &h \mapsto & ghg^{-1} \end{array} \right) \end{aligned}$$

then  $\text{Ad}/_h G \cong \text{hocolim}_{\underline{G}}(\text{Ad}) \cong \mathcal{L}BG$  the free loop space over  $BG$ .

### Simplicial, cyclic colimits

Given a simplicial object  $X : \Delta^{op} \rightarrow \mathbf{Set} \hookrightarrow \mathbf{sSet}$ ,  $\|X\| = \text{hocolim}_{\Delta^{op}}(X) \simeq |X|$  (Bousfield-Kan Theorem).

Given a cyclic object  $X : \Delta \mathbf{C}^{op} \rightarrow \mathbf{Set} \hookrightarrow \mathbf{sSet}$ ,  $\|X\|^{cy} = \text{hocolim}_{\Delta \mathbf{C}^{op}}(X) \simeq |X|^{cy} = E\mathbb{S}^1 \times_{\mathbb{S}^1} X$  ([FL91]).

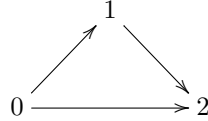
### Poset diagrams

Let  $\mathcal{C}$  be a category associated to a poset. Many interesting spaces decompose into homotopy colimits of poset diagrams.

**Example 7.13.** Let  $B_n$  be the poset of all nonempty faces in  $n$ -simplex, ordered by inclusion.

$n = 1$ , the 1-simplex  $0 \rightarrow 1$  gives  $B_1 = \{0, 1, 01\}$ ,  $|B_1| = 2^2 - 1 = 3$ .

$n = 2$ , the 2-simplex



gives  $B_2 = \{0, 1, 2, 01, 02, 12, 012\}$ ,  $|B_2| = 2^3 - 1 = 7$ .

**Theorem 7.2.** [Z83] Given  $\mathcal{X} = \{X_i\}_{i=0}^n$   $n+1$  spaces, define

$$\begin{aligned} \mathcal{D}_{\mathcal{X}} : \quad B_n &\longrightarrow \mathbf{Space} \\ A &\longmapsto \prod_{i \in A} X_i \\ A \supseteq B &\longmapsto \left( p_{AB} : \prod_{i \in A} X_i \rightarrow \prod_{i \in B} X_i \right) \end{aligned}$$

where  $p_{AB} : \prod_{i \in A} X_i \rightarrow \prod_{i \in B} X_i$  is the canonical projection. Then  $\text{hocolim}_{B_n}(\mathcal{X}) \cong X_0 * \cdots * X_n$ .

Assume  $X_i = \mathbb{S}^1$ ,  $\forall 0 \leq i \leq n$ , we can modify  $\overline{\mathcal{D}_{\mathcal{X}}}(A) = (\mathbb{S}^1)^{|A|} /_{\text{diag}} \mathbb{S}^1$ , then  $\text{hocolim}_{B_n}(\overline{\mathcal{D}_{\mathcal{X}}}) \cong \mathbb{CP}^n$ .

More generally, any toric variety can be decomposed in this way.

**Example 7.14.**  $n = 1$ .  $\mathcal{X} = \{X_0, X_1\}$  and  $\mathcal{D}_{\mathcal{X}} = \left\{ X_0 \xleftarrow{p_0} X_0 \times X_1 \xrightarrow{p_1} X_1 \right\}$ .

## 7.6 Homotopical Categories

**Definition 7.7.** A homotopical category  $\mathcal{M}$  is a category equipped with a class of morphisms  $\mathcal{W} \subseteq \text{Mor}(\mathcal{M})$  called weak equivalences, such that

(W1) all identities are in  $\mathcal{W}$ ,  $\forall x \in \text{Ob}(\mathcal{M}), \text{Id}_x \in \mathcal{W}$ .

(W2) 2-of-6 property holds: given a composable triple  $(f, g, h)$  in  $\text{Mor}(\mathcal{M})$ , if  $gf, hg \in \mathcal{W}$ , then  $f, g, h, hgf \in \mathcal{W}$ .

$$\begin{array}{ccccc} X & \xrightarrow{f} & Y & & \\ & \searrow & \downarrow g & \searrow & \\ & gf & Z & \xrightarrow{h} & W \end{array}$$

*Remark 7.3.*

1. Axioms 7.6 and 7.6 implies that all isomorphisms are in  $\mathcal{W}$ .

If  $gf = \text{Id}_X$  and  $fg = \text{Id}_Y$  then we have

$$\begin{array}{ccccc} X & \xrightarrow{f} & Y & & \\ & \searrow & \downarrow g & \searrow & \\ & \text{Id}_X & X & \xrightarrow{f} & Y \end{array}$$

thus  $f, g \in \mathcal{W}$ .

2. Axiom 7.6 implies the usual 2-of-3 property.

If  $f, g \in \mathcal{W}$ , then the diagram

$$\begin{array}{ccccc} X & \xrightarrow{f} & Y & & \\ & \searrow & \downarrow \text{Id}_Y & \searrow & \\ & f & Y & \xrightarrow{g} & Z \end{array}$$

shows that  $gf \in \mathcal{W}$ .

If  $f, gf \in \mathcal{W}$ , then the diagram

$$\begin{array}{ccccc} X & \xrightarrow{\text{Id}_X} & X & & \\ & \searrow & \downarrow f & \searrow & \\ & f & Y & \xrightarrow{g} & Z \end{array}$$

shows that  $g \in \mathcal{W}$ .

If  $g, gf \in \mathcal{W}$ , then the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow gf & \downarrow g \\ & & Z \end{array} \quad \begin{array}{ccc} & & \nearrow g \\ & & \downarrow \text{Id} \\ & & Z \end{array}$$

shows that  $f \in \mathcal{W}$ .

This allows us to view  $\mathcal{W}$  as a subcategory of  $\mathcal{M}$ , which is wide in the sense that it contains all objects in  $\mathcal{M}$ .

**Example 7.15.** *Minimal homotopical category.* Any category  $\mathcal{M}$  can be viewed as homotopical if we take  $\mathcal{W} = \text{Iso}(\mathcal{M})$  the class of all isomorphisms. Indeed,  $\text{Iso}(\mathcal{M})$  satisfies 2-of-6 property.

Given  $f, g, h \in \text{Mor}(\mathcal{M})$  such that  $hg, gf \in \text{Iso}(\mathcal{M})$ , then  $\gamma = f(gf)^{-1}$  is a right inverse of  $g$ ,  $g\gamma = \text{Id}$ . Note that  $g$  is monic (since if  $gf_1 = gf_2$ , then  $hg f_1 = hg f_2$ , so  $f_1 = f_2$ ), hence  $\gamma$  is also a left inverse of  $g$  ( $g\gamma g = g$  implies  $\gamma g = \text{Id}$ ). Thus  $g$  is an isomorphism, and so are the others.

*Remark 7.4.* The fact that isomorphisms in any category satisfy 2-of-6 property is used to prove that homotopy equivalences of spaces are weak homotopy equivalences. If there exists a pair of morphisms

$$f : X \rightrightarrows Y : g$$

such that  $gf \simeq \text{Id}_X$  and  $fg \simeq \text{Id}_Y$ , then  $f_* : \pi_n(X, x) \rightarrow \pi_n(Y, f(x))$  is an isomorphism for any  $n \geq 0$ . Since  $\pi_n$  is homotopy invariant,  $f_*, g_*$  are isomorphisms of groups.

$$\begin{array}{ccccc} \pi_n(X, x) & \xrightarrow{f_*} & \pi_n(Y, f(x)) & & \\ & \searrow \cong & \downarrow g_* & \searrow \cong & \\ & & \pi_n(X, gf(x)) & \xrightarrow{f_*} & \pi_n(Y, fg f(x)) \end{array}$$

**Example 7.16.** Any model category  $\mathcal{M}$  is homotopical (or has the underlying homotopical category) with the same class of weak equivalences. By Quillen axioms, the class of weak equivalences  $\mathcal{W}$  in a model category  $\mathcal{M}$  satisfies 2-of-3 property. But in fact,  $\mathcal{W}$  satisfies 2-of-6.

**Example 7.17.** *Diagrams in homotopical categories.* If  $\mathcal{M}$  is a homotopical category, and  $\mathcal{C}$  is a small category, then  $\mathcal{M}^{\mathcal{C}} := \mathbf{Fun}(\mathcal{C}, \mathcal{M})$  is a homotopical category with  $\mathcal{W}(\mathcal{M}^{\mathcal{C}})$  defined objectwise.

$$(\alpha : F \Rightarrow F') \in \mathcal{W}(\mathcal{M}^{\mathcal{C}}) \Leftrightarrow \forall c \in \text{Ob}(\mathcal{C}), (\alpha_c : F(c) \rightarrow F'(c)) \in \mathcal{W}.$$

## 7.7 Homotopy Category

**Definition 7.8.** Let  $(\mathcal{M}, \mathcal{W})$  be a homotopical category, then *homotopy category* of  $\mathcal{M}$  is  $\mathrm{Ho}(\mathcal{M}) := \mathcal{M}[\mathcal{W}^{-1}]$  the formal localization of  $\mathcal{M}$  at  $\mathcal{W}$ .

It comes with a localization functor  $\gamma : \mathcal{M} \rightarrow \mathrm{Ho}(\mathcal{M})$  which is initial among all functors  $\gamma' : \mathcal{M} \rightarrow \mathcal{N}$  such that  $\gamma'(f) \in \mathrm{Iso}(\mathcal{N}), \forall f \in \mathcal{W}$ .

**Convention.**  $(\mathcal{M}, \mathcal{W})$  is called *saturated* if

$$\gamma(f) \in \mathrm{Iso}(\mathrm{Ho}(\mathcal{M})) \implies f \in \mathcal{W}. \quad (9)$$

**Theorem 7.3.** [Quillen] Any model category is saturated.

**Lemma 7.1.** If  $(\mathcal{M}, \mathcal{W})$  is a category with a class of morphisms such that for any  $X \in \mathrm{Ob}(\mathcal{M})$ ,  $\mathrm{Id}_X \in \mathcal{W}$  and the property 9 holds, then  $(\mathcal{M}, \mathcal{W})$  satisfies 2-of-6, and thus is homotopical.

*Proof.* By 9,  $\mathcal{W} = \{f \in \mathrm{Mor}(\mathcal{M}) \mid \gamma(f) \in \mathrm{Iso}(\mathrm{Ho}(\mathcal{M}))\}$ . Given  $f, g, h \in \mathrm{Mor}(\mathcal{M})$ , if  $gf, hg \in \mathcal{W}$ , then  $\gamma(gf), \gamma(hg) \in \mathrm{Iso}(\mathrm{Ho}(\mathcal{M}))$ , so  $\gamma(f), \gamma(g), \gamma(hgf) \in \mathrm{Iso}(\mathrm{Ho}(\mathcal{M}))$ , hence  $f, g, hgf \in \mathcal{W}$ .  $\square$

**Corollary 7.1.** [Q67] Any model category is homotopical.

**Question.** Given a saturated homotopical category, does it come from a model category?

**Example: Noncommutative Poisson structure (not yet from model structure)**

Let  $k$  be a field of characteristic zero. If  $A$  is a commutative algebra over  $k$ , then a Poisson structure on  $A$  is a bracket

$$\begin{aligned} \{-, -\} : A \times A &\rightarrow A \\ (a, b) &\mapsto \{a, b\} \end{aligned}$$

such that

1.  $(A, \{-, -\})$  is a Lie algebra.
2.  $\{-, -\}$  satisfies Leibniz rule,  $\{a, bc\} = \{a, b\}c + b\{a, c\}, \forall a, b, c \in A$ .

**Question:** how to extend this definition to NC algebras?

Naive definition (forgetting  $A$  is commutative) as above is very restrictive.

**Theorem 7.4.** [FL98] If  $A$  is a noncommutative Noetherian domain, then any Poisson structure  $\{-, -\}$  on  $A$  is a (scalar) multiple of  $[-, -] : A \times A \rightarrow A, (a, b) \mapsto ab - ba$ .

Let  $\mathbf{DGA}_k$  be the category of differential graded associative  $k$ -algebras. For  $A \in \text{Ob}(\mathbf{DGA}_k)$ , we have

$$A = \bigoplus_{i \in \mathbb{Z}} A_i, A_i \cdot A_j \subseteq A_{i+j}$$

equipped with differential  $d : A \rightarrow A, d^2 = 0, |d| = -1$ , satisfying

$$d(ab) = d(a) \cdot b + (-1)^{|a|} a \cdot db, \forall a, b \in A.$$

**Goal.** define a Poisson structure on  $A$ .

**Idea.** instead of  $A$ , we put a differential graded Lie algebra structure on  $A_{\natural} := A/[A, A]$  where  $[A, A] = \text{Span}_k \{[a, b] = ab - (-1)^{|a||b|} ba, a, b \in A\}$ .

Although  $A_{\natural}$  is not an algebra, it is naturally a chain complex with differential induced from the differential on  $A$ . Indeed,

$$\begin{aligned} d([a, b]) &= d(ab) - (-1)^{|a||b|} d(ba) \\ &= d(a) \cdot b + (-1)^{|a|} a \cdot d(b) - (-1)^{|a||b|} (d(b) \cdot a + (-1)^{|b|} b \cdot d(a)) \\ &= [d(a) \cdot b + (-1)^{(|a|-1)|b|} b \cdot d(a)] + (-1)^{|a|} [a \cdot d(b) + (-1)^{(|b|-1)|a|} d(b) \cdot a] \\ &= [d(a), b] + (-1)^{|a|} [a, d(b)] \in [A, A] \end{aligned}$$

Hence  $d : A_{\natural} \rightarrow A_{\natural}, \bar{a} \mapsto \overline{d(a)}$  is well-defined.

If  $(V, d_V)$  is any complex, then the graded endomorphism ring is

$$\mathbf{End}(V) = \bigoplus_{n \in \mathbb{Z}} \text{End}(V)_n, \text{End}(V)_n = \{f : V \rightarrow V | f(V_i) \subseteq V_{i+n}, \forall i \in \mathbb{Z}\} = \prod_{i \in \mathbb{Z}} \text{Hom}_k(V_i, V_{i+n}).$$

The degree of an endomorphism  $|f| = n$  if  $f \in \text{End}(V)_n$ .

Define  $d : \mathbf{End}(V) \rightarrow \mathbf{End}(V)$  by  $d(f) = [d_V, f] = d_V \circ f - (-1)^{|f|} f \circ d_V$ , then

$$d^2(f) = [d_V, [d_V, f]] = [d_V, d_V \circ f - (-1)^{|f|} f \circ d_V] = d_V^2 \circ f - (-1)^{|f|-1} d_V \circ f \circ d_V - (-1)^{|f|} d_V \circ f \circ d_V - f \circ d_V^2 = 0$$

since  $d_V^2 = 0$ , so  $d$  is a differential. This makes  $\mathbf{End}(V)$  a differential graded algebra with unit  $\text{Id}_V$  and hence a differential graded Lie algebra with bracket

$$[f, g] = f \circ g - (-1)^{|f||g|} g \circ f, \forall f, g \in \mathbf{End}(V).$$



If  $V = A$  is a differential graded algebra, then  $\mathbf{End}(V)$  contains a canonical differential graded Lie subalgebra  $\mathbf{Der}(A)$  consisting all graded  $k$ -linear derivations of  $A$ . Recall that a derivation of degree  $r$  on  $A$  is a  $k$ -linear map  $\delta : A \rightarrow A$  such that  $\delta \in \mathbf{End}(A)_r$  and satisfies  $\delta(ab) = \delta(a) \cdot b + (-1)^{|a| \cdot r} a \cdot \delta(b)$ . Note that  $\mathbf{Der}(A)$  acts on  $A$  naturally so that  $A$  becomes a differential graded Lie module over  $\mathbf{Der}(A)$ .

Consider  $\mathbf{Der}(A)^{\natural} = \{\delta \in \mathbf{Der}(A) \mid \delta(A) \in [A, A]\}$ . This is a differential graded Lie ideal in  $\mathbf{Der}(A)$  since for any  $\delta \in \mathbf{Der}(A)$ ,  $d \in \mathbf{Der}(A)^{\natural}$

$$[\delta, d](A) = \delta(d(A)) - (-1)^{|\delta||d|} d(\delta(A)) \subseteq \delta([A, A]) - (-1)^{|\delta||d|} d(A) \subseteq [A, A].$$

Define  $\mathbf{Der}(A)_{\natural} := \mathbf{Der}(A) / \mathbf{Der}(A)^{\natural}$  be the quotient algebra. The action of  $\mathbf{Der}(A)$  on  $A$  induces a Lie action of  $\mathbf{Der}(A)_{\natural}$  on  $A_{\natural}$  so that

$$\begin{aligned} \rho : \quad \mathbf{Der}(A)_{\natural} &\longrightarrow \mathbf{End}(A_{\natural}) \\ \delta \left( \text{mod } \mathbf{Der}(A)^{\natural} \right) &\longmapsto \left( \begin{array}{ccc} \rho(\delta) : & A_{\natural} & \rightarrow & A_{\natural} \\ & \bar{a} & \mapsto & \overline{\delta(a)} \end{array} \right) \end{aligned}$$

is Lie algebra homomorphism.

**Definition 7.9.** A *noncommutative Poisson structure* on  $A$  is given by a differential graded Lie algebra structure on  $A_{\natural}$

$$\{-, -\} : A_{\natural} \times A_{\natural} \rightarrow A_{\natural}$$

such that the corresponding adjoint representation

$$\begin{aligned} \text{ad} : A_{\natural} &\longrightarrow \mathbf{End}(A_{\natural}) \\ \bar{a} &\longmapsto (\{\bar{a}, -\} : \bar{b} \mapsto \{\bar{a}, \bar{b}\}) \end{aligned}$$

factor through  $\rho$ , i.e.

$$\begin{array}{ccc} A_{\natural} & \xrightarrow{\text{ad}} & \mathbf{End}(A_{\natural}) \\ & \searrow \alpha & \nearrow \rho \\ & \mathbf{Der}(A)_{\natural} & \end{array}$$

where  $\alpha : A_{\natural} \rightarrow \mathbf{Der}(A)_{\natural}$  is a Lie algebra homomorphism.

**Exercise 7.1.** If  $A$  is commutative, this definition agrees with the classical one.

*Proof.* If  $A$  is commutative,  $A_{\natural} = A$ , the adjoint representation is in fact a derivation on  $A$ , i.e. the bracket  $\{-, -\}$  satisfies Leibniz rule.  $\square$

**Exercise 7.2.** Let  $k$  be a field of characteristic zero. Repeat this construction for simplicial algebras  $\mathbf{sAlg}_k$  so that  $\mathcal{N} : \mathbf{sAlg}_k \rightarrow \mathbf{DGA}_k$ .

**Example: Kontsevich's Bracket**

Let  $A = k \langle x_1, \dots, x_n \rangle$  be a free algebra of rank  $n$ .

$$A = \bigoplus_{l \geq 0} A^{(l)}, A^{(l)} = \text{Span}_k (\text{noncommutative monomials of length } l \text{ in } x_1, \dots, x_n)$$

Note for each  $i \geq 0$ , there exists a cyclic operation

$$\begin{aligned} \tau_l : A^{(l)} &\rightarrow A^{(l)} \\ w = v_1 \cdots v_l &\mapsto v_l v_1 \cdots v_{l-1} \end{aligned}$$

**Example 7.18.**  $n = 3$ ,  $w = x_1^2 x_3^3 x_2$ ,  $\tau_6(w) = x_2 x_1^2 x_3^3$ .

Consider  $\mathcal{N}_l = 1 + \tau_l + \cdots + \tau_l^{l-1} : A^{(l)} \rightarrow A^{(l)}$ .

**Definition 7.10.** A *cyclic word* in  $A$  of length  $l$  is  $a \in A^{(l)}$  such that  $\mathcal{N}_l(a) = a$ . Define

$$A^{cyc} := \bigoplus_{l \geq 0} A^{cyc(l)} \subseteq A$$

the subspace spanned by all cyclic words.

**Lemma 7.2.** The natural map  $A^{cyc} \hookrightarrow A \twoheadrightarrow A_{\hbar}$  is an isomorphism of graded vector spaces.

Fix  $x = x_i, i = 1, \dots, n$  and define the *cyclic derivative*

$$\begin{aligned} \frac{\partial}{\partial x} : A &\rightarrow A \\ w = v_1 \cdots v_l &\mapsto \sum_{v_m = x} v_{m+1} \cdots v_l v_1 \cdots v_{m-1} \end{aligned}$$

**Example 7.19.**  $A = k \langle x_1, x_2, x_3 \rangle$  and  $x = x_1$ , then

$$\frac{\partial}{\partial x} (x_1^2 x_2 x_1 x_3) = x_1 x_2 x_1 x_3 + x_2 x_1 x_3 x_1 + x_3 x_1^2 x_2.$$

This induces a well-defined map  $\frac{\partial}{\partial x_i} : A_{\hbar} \rightarrow A_{\hbar}$ . Now let  $A = k \langle x_1, x_2 \rangle$  and define

$$\begin{aligned} \{-, -\}_{\hbar} : A_{\hbar} \times A_{\hbar} &\rightarrow A_{\hbar} \\ (\bar{a}, \bar{b}) &\mapsto \left( \frac{\partial a}{\partial x_1} \frac{\partial b}{\partial x_2} - \frac{\partial a}{\partial x_2} \frac{\partial b}{\partial x_1} \right) \mod [A, A] \end{aligned}$$

**Theorem 7.5.** *This defines a Poisson structure on  $A = k\langle x_1, x_2 \rangle$ .*

*Proof.* We need to check  $(A_{\mathfrak{h}}, \{-, -\}_{\mathfrak{h}})$  is a Lie algebra, and the adjoint representation factors through  $\mathbf{Der}(A)_{\mathfrak{h}}$ .

Bilinearity and Alternativity are obvious. Jacobi identity

$$\begin{aligned} \left\{ \bar{a}, \{\bar{b}, \bar{c}\}_{\mathfrak{h}} \right\}_{\mathfrak{h}} + \left\{ \bar{c}, \{\bar{a}, \bar{b}\}_{\mathfrak{h}} \right\}_{\mathfrak{h}} + \left\{ \bar{b}, \{\bar{c}, \bar{a}\}_{\mathfrak{h}} \right\}_{\mathfrak{h}} &= \frac{\partial \bar{a}}{\partial x_1} \frac{\partial}{\partial x_2} \left( \frac{\partial \bar{b}}{\partial x_1} \frac{\partial \bar{c}}{\partial x_2} - \frac{\partial \bar{b}}{\partial x_2} \frac{\partial \bar{c}}{\partial x_1} \right) - \frac{\partial \bar{a}}{\partial x_2} \frac{\partial}{\partial x_1} \left( \frac{\partial \bar{b}}{\partial x_1} \frac{\partial \bar{c}}{\partial x_2} - \frac{\partial \bar{b}}{\partial x_2} \frac{\partial \bar{c}}{\partial x_1} \right) + \\ &\quad \frac{\partial \bar{c}}{\partial x_1} \frac{\partial}{\partial x_2} \left( \frac{\partial \bar{a}}{\partial x_1} \frac{\partial \bar{b}}{\partial x_2} - \frac{\partial \bar{a}}{\partial x_2} \frac{\partial \bar{b}}{\partial x_1} \right) - \frac{\partial \bar{c}}{\partial x_2} \frac{\partial}{\partial x_1} \left( \frac{\partial \bar{a}}{\partial x_1} \frac{\partial \bar{b}}{\partial x_2} - \frac{\partial \bar{a}}{\partial x_2} \frac{\partial \bar{b}}{\partial x_1} \right) + \\ &\quad \frac{\partial \bar{b}}{\partial x_1} \frac{\partial}{\partial x_2} \left( \frac{\partial \bar{c}}{\partial x_1} \frac{\partial \bar{a}}{\partial x_2} - \frac{\partial \bar{c}}{\partial x_2} \frac{\partial \bar{a}}{\partial x_1} \right) - \frac{\partial \bar{b}}{\partial x_2} \frac{\partial}{\partial x_1} \left( \frac{\partial \bar{c}}{\partial x_1} \frac{\partial \bar{a}}{\partial x_2} - \frac{\partial \bar{c}}{\partial x_2} \frac{\partial \bar{a}}{\partial x_1} \right) \\ &= 0 \end{aligned}$$

The adjoint action  $\delta_a = \{\bar{a}, -\}_{\mathfrak{h}}$  satisfies that  $\delta_a(\bar{b}\bar{c}) = \delta_a(\bar{b}) \cdot \bar{c} + \bar{b} \cdot \delta_a(\bar{c})$ .  $\square$

**Question.** where does it come from?

For a finite dimensional vector space  $V$ ,

$$\begin{aligned} |-\rangle_V : \mathbf{Alg}_k &\rightarrow \mathbf{CommAlg}_k \\ A &\mapsto \mathcal{O}(\mathrm{Rep}_V(A)) \end{aligned}$$

we can think of this functor as a realization (like geometric realization of simplicial sets), then a NC Poisson structure induces a unique classical Poisson structure on  $|A|_V$  for any  $V$  and in a sense, this is the weakest structure on  $A$  that does this.

### Derived Poisson Algebras

**Definition 7.11.** A *differential graded Poisson algebra* is a differential graded algebra  $A$  equipped with a NC Poisson structure. A morphism of differential graded Poisson algebra is a morphism  $f : A \rightarrow B$  of differential graded algebras such that  $f_{\mathfrak{h}} : A_{\mathfrak{h}} \rightarrow B_{\mathfrak{h}}$  is a morphism of differential graded Lie algebras. Write  $\mathbf{DGPA}_k$  for the category of differential graded Poisson algebras.

$\mathbf{DGA}_k$  and  $\mathbf{DGLA}_k$  are both (cofibrantly generated) model categories with weak equivalences being quasi-isomorphisms and fibrations being degreewise surjective maps. Note that there are two forgetful functors

$$\begin{array}{ccc} & \mathbf{DGPA}_k & \\ U \swarrow & & \searrow (-)_{\mathfrak{h}} \\ \mathbf{DGA}_k & & \mathbf{DGLA}_k \end{array}$$

**Definition 7.12.**  $f$  is a weak equivalence in  $\mathbf{DGPA}_k$  if both  $U(f)$  and  $(f)_{\natural}$  are weak equivalences in  $\mathbf{DGA}_k$  and  $\mathbf{DGLA}_k$  respectively.

**Proposition 7.1.**  $\mathbf{DGPA}_k$  is a saturated homotopical category.

*Proof.* We need to show  $\mathcal{W}$  the class of weak equivalences in  $\mathbf{DGPA}_k$  is saturated, i.e.  $\gamma(f)$  is an isomorphism in  $\mathrm{Ho}(\mathbf{DGPA}_k) := \mathbf{DGPA}_k[\mathcal{W}^{-1}]$  if and only if  $f \in \mathcal{W}$ .

Take  $f$  such that  $\gamma(f)$  is an isomorphism in  $\mathrm{Ho}(\mathbf{DGPA}_k)$ . Since  $U, (-)_{\natural}$  preserves weak equivalences, they induce

$$\begin{array}{ccc} & \mathrm{Ho}(\mathbf{DGPA}_k) & \\ \gamma U \swarrow & & \searrow \gamma(-)_{\natural} \\ \mathrm{Ho}(\mathbf{DGA}_k) & & \mathrm{Ho}(\mathbf{DGLA}_k) \end{array}$$

so  $\gamma(Uf)$  and  $\gamma(f)_{\natural}$  are isomorphisms in  $\mathrm{Ho}(\mathbf{DGA}_k)$  and  $\mathrm{Ho}(\mathbf{DGLA}_k)$  respectively. By Quillen's theorem,  $U(f)$  and  $(f)_{\natural}$  are weak equivalences, so  $f$  is a weak equivalence.  $\square$

**Conjecture 7.1.**

1.  $\mathbf{DGPA}_k$  has a natural (cofibrantly generated) model structure with weak equivalences being  $\mathcal{W}$ .
2. This model structure should be “minimal” in the sense that there is a functor

$$\mathbf{DGPA}_k \rightarrow \mathbf{DGA}_k \times_{\mathbf{CDGA}_k}^h \mathbf{CDGPA}_k$$

where  $\mathbf{CDGPA}_k$  is the category of commutative differential graded Poisson algebras and  $\times^h$  is the homotopy fibre product (in the sense of Töen[2006] such that the induced map between homotopical categories is an isomorphism

$$\mathrm{Ho}(\mathbf{DGPA}_k) \xrightarrow{\sim} \mathrm{Ho}\left(\mathbf{DGA}_k \times_{\mathbf{CDGA}_k}^h \mathbf{CDGPA}_k\right).$$

*Remark 7.5. Töen's construction.* Given

$$\begin{array}{ccc} & \mathcal{M}_2 & \\ & \downarrow F_2 & \\ \mathcal{M}_1 & \xrightarrow{F_1} & \mathcal{M}_3 \end{array}$$

where  $\mathcal{M}_1, \mathcal{M}_2$  are model categories,  $F_1, F_2$  are (Quillen) functors, define the category  $\mathcal{M}_1 \times_{\mathcal{M}_3}^h \mathcal{M}_2$  to be the category with

**Objects:**  $\left\{ (A_1, A_2, A_3, u_1, u_2), A_i \in \mathrm{Ob}(\mathcal{M}_i), F_1(A_1) \xrightarrow{u_1} A_3 \xleftarrow{u_2} F_2(A_2) \right\}.$

**Morphisms:**  $f = (f_1, f_2, f_3) \in \mathbf{Mor}(A_1) \times \mathbf{Mor}(A_2) \times \mathbf{Mor}(A)$  such that

$$\begin{array}{ccccc} F_1(A_1) & \xrightarrow{u_1} & A_3 & \xleftarrow{u_2} & F_2(A_2) \\ F(f_1) \downarrow & & \downarrow f_3 & & \downarrow F_2(f_2) \\ F_1(B_1) & \xrightarrow{v_1} & B_3 & \xleftarrow{v_2} & F_2(B_2) \end{array}$$

commutes.

**Theorem 7.6.** [Töen]

1.  $\mathcal{M}_1 \times_{\mathcal{M}_3}^h \mathcal{M}_2$  is a model category with levelwise weak equivalences.
2. For any finite dimensional vector space  $V$ , ( $V = k^n, n \geq 0$ ), there is a left Quillen functor

$$\begin{aligned} (-)_V : \mathbf{DGA}_k &\longrightarrow \mathbf{CDGA}_k \\ A &\longmapsto \mathcal{O}(\mathrm{Rep}_V(A)) \end{aligned}$$

This induces a homotopy fibred category

$$\begin{array}{ccc} \mathbf{DGA}_k \times_{\mathbf{CDGA}_k}^h \mathbf{CDGPA}_k & \longrightarrow & \mathbf{CDGPA}_k \\ \downarrow & & \downarrow U \\ \mathbf{DGA}_k & \xrightarrow{(-)_V} & \mathbf{CDGA}_k \end{array}$$

**Definition 7.13.** A derived Poisson algebra is an object  $A \in \mathrm{Ob}(\mathrm{Ho}(\mathbf{DGPA}_k))$  such that  $A_{\natural} = A/[A, A]$  is equipped with  $\{-, -\}_{\natural} : A_{\natural} \times A_{\natural} \rightarrow A_{\natural}$  compatible with differential graded algebra structure.

**Proposition 7.2.** The reduced cyclic homology  $\overline{HC}_*(A)$  of any derived Poisson algebra carries a natural graded Lie algebra structure.

*Proof.* See later. □

**Theorem 7.7.** If  $A$  is any derived Poisson algebra, then for any  $V$ , the representation homology  $HR_*(A, V)^{GL(V)}$  carries a unique graded Poisson structure such that the derived character map

$$\mathrm{Tr}_V(A) : \overline{HC}_*(A) \rightarrow HR_*(A, V)^{GL(V)}$$

is a Lie algebra homomorphism.

**Example: Poisson algebra**

Take  $A = C^\infty(\mathbb{R}^2)$  or  $A = k[x, y]$  ( $k \supseteq \mathbb{Q}$ ).  $\mathbb{R}^2$  is a symplectic manifold with  $\omega = dx \wedge dy$ .  $A$  is a commutative Poisson algebra with

$$\begin{aligned} \{-, -\} : A \times A &\rightarrow A \\ (f, g) &\mapsto \frac{\partial f}{\partial x} \frac{\partial g}{\partial y} - \frac{\partial g}{\partial x} \frac{\partial f}{\partial y} \end{aligned}$$

To define NC Poisson structure, we need to replace  $A = k[x, y]$  with cofibrant (or free) resolution.

Consider  $R = k\langle x, y, t \rangle$  with  $dt = [x, y]$ ,  $|x| = |y| = 0$ ,  $|t| = 1$ , then we have a cofibrant resolution

$$\begin{aligned} R &\twoheadrightarrow A \\ x, y &\mapsto x, y \\ t &\mapsto 0 \end{aligned}$$

Note  $R_0 = k\langle x, y \rangle$ , by earlier example,  $(R_0)_\natural$  carries a Lie algebra bracket defined in terms of cyclic derivatives (Kontsevich bracket). It turns out that  $\{-, -\}_\natural$  can be extended to (homologically) graded setting:

$$\{-, -\}_\natural : R_\natural \times R_\natural \rightarrow R_\natural$$

so that  $\{-, -\}_\natural|_0$  is the Kontsevich bracket.

This makes  $R$  a derived Poisson algebra.

**Question:** what does this induce on  $\overline{HC}_*(A) = \overline{HC}_*(R)$ ?

By HKR theorem, since  $A$  is smooth of dimension 2,

$$\overline{HC}_*(A) = \overline{HC}_0(A) \oplus \overline{HC}_1(A)$$

where  $\overline{HC}_0(A) = \overline{A} = A/k \cdot 1$  and  $\overline{HC}_1(A) = \Omega^1(A)/d\Omega^0(A) = \Omega^1(A)/dA$ .

$\Omega^\bullet(A)$  is the de Rham algebra with  $\Omega^1(A) = \{f dx + g dy, f, g \in A\}$ ,  $\Omega^0(A) = A$ .

$\overline{HC}_*(A) = \overline{A} \oplus \Omega^1(A)/dA$  is a graded Lie algebra where

$$\{-, -\}_\natural : \overline{HC}_*(A) \times \overline{HC}_*(A) \rightarrow \overline{HC}_*(A)$$

has only two components

$$\begin{aligned} \{-, -\}_{\natural}|_0 : \overline{HC}_0(A) \times \overline{HC}_0(A) &\rightarrow \overline{HC}_0(A) \\ (\bar{f}, \bar{g}) &\mapsto \overline{\{f, g\}} \end{aligned}$$

the classical Poisson bracket, and

$$\begin{aligned} \{-, -\}_{\natural}|_1 : \overline{HC}_0(A) \times \overline{HC}_1(A) &\rightarrow \overline{HC}_1(A) \\ (\bar{f}, \bar{\omega}) &\mapsto \mathcal{L}_{\theta_f}(\omega) \end{aligned}$$

where  $\bar{f} = f \pmod{k}$  in  $\overline{A}$ , and  $\bar{\omega} = f dx + g dy \pmod{dA}$  1-form,  $\theta_f = \{f, -\} = \frac{\partial f}{\partial x} \frac{\partial}{\partial y} - \frac{\partial f}{\partial y} \frac{\partial}{\partial x}$ .

**Example: Quillen's rational homotopy theory.** (*String topology*).

Let  $\mathbf{Top}^1$  be the category of 1-connected topological spaces of finite rational type (i.e.  $\dim_{\mathbb{Q}} H_i(X, \mathbb{Q}) < \infty, \forall i$ ). Define the rational homotopy

$$\mathrm{Ho}(\mathbf{Top}^1)_{\mathbb{Q}} := \mathbf{Top}^1[\mathcal{W}_{\mathbb{Q}}^{-1}]$$

where

$$f : X \rightarrow Y \in \mathcal{W}_{\mathbb{Q}} \iff f_i : \pi_i(X) \bigotimes_{\mathbb{Z}} \mathbb{Q} \xrightarrow{\sim} \pi_i(Y) \bigotimes_{\mathbb{Z}} \mathbb{Q}, \forall i \geq 0$$

as  $\mathbb{Q}$ -vector spaces.

**Theorem 7.8.**  $\mathrm{Ho}(\mathbf{Top}^1)_{\mathbb{Q}} \cong \mathrm{Ho}(\mathbf{DGLA}_{\mathbb{Q}}^{\mathrm{red}})$  where  $\mathbf{DGLA}_{\mathbb{Q}}^{\mathrm{red}}$  is the category of reduced differential graded Lie algebras  $\mathfrak{a} = \bigoplus_{i>0} \mathfrak{a}_i, \mathfrak{a}_0 = 0$ .

For any 1-connected topological space  $X$ , there exists a reduced differential graded Lie algebra  $\mathfrak{a}_X$  which is a complete homotopy invariant.

**Example 7.20.** Let  $X = \mathbb{S}^n, n \geq 0$ ,  $\mathfrak{a}_{\mathbb{S}^n}$  = the free graded Lie algebra  $\mathcal{L}(x)$  with single generator of degree  $|x| = n - 1$  with trivial differential  $d = 0$ . There is a Whitehead product

$$H_*(\mathfrak{a}_{\mathbb{S}^n}) \cong \pi_*(\Omega X) \bigotimes_{\mathbb{Z}} \mathbb{Q} \cong \pi_{*+1}(X) \bigotimes_{\mathbb{Z}} \mathbb{Q}.$$

**Theorem 7.9.** [Jones, 1985] The reduced cyclic homology of the universal enveloping algebra of  $\mathfrak{a}_X$  is weak equivalent to the  $\mathbb{S}^1$ -equivariant reduced homology of the free loop space  $\mathcal{L}X = \mathbf{Map}(\mathbb{S}^1, X)$  with coefficients in  $\mathbb{Q}$ ,

$$\overline{HC}(\mathcal{U}\mathfrak{a}_X) \simeq \overline{H}_*^{\mathbb{S}^1}(\mathcal{L}X, \mathbb{Q}) = H_*\left(E\mathbb{S}^1_{\mathbb{S}^1} \times \mathcal{L}X\right).$$

There is a natural fibration

$$X \simeq E\mathbb{S}^1 \times \mathcal{L}X \rightarrow E\mathbb{S}^1 \times_{\mathbb{S}^1} \mathcal{L}X \xrightarrow{\pi} E\mathbb{S}^1 \times_{\mathbb{S}^1} \{*\} = B\mathbb{S}^1,$$

so  $\overline{H}_*^{\mathbb{S}^1}(\mathcal{L}X) = \text{Ker}(\pi_*)$ .

**Theorem 7.10.** *If  $X$  is a closed oriented 1-connected manifold of dimension  $d \geq 2$ , then  $\mathcal{U}\mathfrak{a}_X \in \text{Ob}(\text{Ho}(\mathbf{DGA}_k))$  has a natural derived Poisson structure of degree  $2 - d$ .*

*Proof.* (Sketch) A theorem of Lambrechts–Stanley (2008) says that there is a finite dimensional cochain commutative differential graded algebra  $A$  over  $\mathbb{Q}$  such that  $C^*(X, \mathbb{Q}) \simeq A$ , where  $C^*(X, \mathbb{Q})$  is the differential graded algebra of singular cochains in  $X$  with the usual cup product. It comes with a cyclic pairing from Poincaré duality:

$$\langle -, - \rangle : A \otimes A \rightarrow A$$

of cohomological degree  $n = -d$ . “Cyclic” means  $\langle a, bc \rangle = \pm \langle ca, b \rangle$  where  $\pm$  is the Koszul sign.

Take  $C = A^* = \text{Hom}_{\mathbb{Q}}(A, \mathbb{Q})$ . Since  $A$  is finite dimensional, this is a cocommutative coassociative differential graded coalgebra with coproduct dual to the product on  $A$ , such that  $C_*(X, \mathbb{Q}) \simeq C$  equipped with cyclic pairing  $C \otimes C \rightarrow C$  of homological degree  $n = -d$ . Then

$$\mathcal{U}\mathfrak{a}_X \cong \Omega(C) = (T_k C[-1], d) =: (R, d)$$

is the algebraic cobar construction on  $C$ , with  $d$  coming from  $\Delta : C \rightarrow C \otimes C$  and  $d_C$ .

The construction with cyclic derivatives can be generalized to the graded free algebra  $R = C[-1]$ , depending on  $\langle -, - \rangle$  on  $C$ , and compatible with  $d_R$ . This gives a natural Poisson bracket on  $R_{\natural}$ , making  $\mathcal{U}\mathfrak{a}_X$  a derived Poisson algebra.  $\square$

**Theorem 7.11.** *Under Jone’s isomorphism,  $\overline{HC}_*(\mathcal{U}\mathfrak{a}_X) \cong \overline{H}_*^{\mathbb{S}^1}(\mathcal{L}X, \mathbb{Q})$ , a graded Lie algebra structure on  $\overline{HC}_*(\mathcal{U}\mathfrak{a}_X)$  corresponds exactly to the Chas–Sullivan (string topology) Lie algebra structure on  $\overline{H}_*^{\mathbb{S}^1}(\mathcal{L}X, \mathbb{Q})$ .*

*Remark 7.6.* The Chas–Sullivan bracket was originally defined geometrically in terms of transversal intersection product on chains on  $\mathcal{L}X$ .

The theorem gives a (more) algebraic way to define Chas–Sullivan structure.

**Problem 7.1.** There is a Hodge decomposition on  $\overline{HC}_*(\mathcal{U}\mathfrak{a}_X)$  which gives

$$\overline{H}_*^{\mathbb{S}^1}(\mathcal{L}X, \mathbb{Q}) \cong \bigoplus_{p \geq 1} \overline{H}_*^{\mathbb{S}^1, (p-1)}(\mathcal{L}X, \mathbb{Q})$$



where  $\overline{H}_*^{\mathbb{S}^1, (p-1)}(\mathcal{L}X, \mathbb{Q})$  are the eigenspaces of endomorphisms coming from  $\mathbb{S}^1 \rightarrow \mathbb{S}^1, e^{i\varphi} \mapsto e^{in\varphi}, n = p-1$ . Probe that the Chas-Sullivan bracket preserves Hodge decomposition.

*Proof.* See [BRZ]. □

## 7.8 Derived Functors

Let  $(\mathcal{M}, \mathcal{W}_{\mathcal{M}}), (\mathcal{N}, \mathcal{W}_{\mathcal{N}})$  be homotopical categories,.

**Definition 7.14.** A functor  $F : \mathcal{M} \rightarrow \mathcal{N}$  is *homotopical* if  $F(\mathcal{W}_{\mathcal{M}}) \subseteq \mathcal{W}_{\mathcal{N}}$ .

By universal property of localization, it induces a commutative diagram

$$\begin{array}{ccc} \mathcal{M} & \xrightarrow{F} & \mathcal{N} \\ \gamma \downarrow & & \downarrow \delta \\ \mathrm{Ho}(\mathcal{M}) & \xrightarrow{\overline{F}} & \mathrm{Ho}(\mathcal{N}) \end{array}$$

Note that  $F$  is homotopical if and only if  $\delta F$  is homotopical (if  $\mathrm{Ho}(\mathcal{N})$  is viewed as a homotopical category with  $\mathcal{W}_{\mathrm{Ho}(\mathcal{N})} = \mathrm{Iso}(\mathrm{Ho}(\mathcal{N}))$ ).

**Example 7.21.** Let  $\mathcal{A}, \mathcal{B}$  be abelian categories (e.g.  $\mathcal{A} = \mathcal{B} = \mathbf{Mod}(\mathbb{Z})$ ) and  $\mathcal{M} = \mathbf{Com}^+(\mathcal{A}), \mathcal{N} = \mathbf{Com}^+(\mathcal{B})$ . Take an additive functor  $F : \mathcal{A} \rightarrow \mathcal{B}$ , we can extend it to  $F_{\bullet} : \mathcal{M} \rightarrow \mathcal{N}$ .

If we take weak equivalences to be chain homotopy equivalences ( $f : X_{\bullet} \rightarrow Y_{\bullet}$  such that there exists  $g : Y_{\bullet} \rightarrow X_{\bullet}$ ,  $fg \simeq \mathrm{Id}_Y$  and  $gf \simeq \mathrm{Id}_X$ ), then  $F_{\bullet}$  is homotopical.

If we choose weak equivalences to be quasi-isomorphisms, then  $F_{\bullet}$  is usually not homotopical (unless it is exact).

**Example 7.22.** Let  $\mathcal{M}$  be a homotopical category and  $\mathcal{C}$  a small category, then  $\mathcal{M}^{\mathcal{C}}$  is a homotopical category with objectwise weak equivalences,

$$\mathcal{W}_{\mathcal{M}^{\mathcal{C}}} := \{\alpha : F \Rightarrow F' \mid \forall c \in \mathrm{Ob}(\mathcal{C}), (\alpha_c : F(c) \rightarrow F'(c)) \in \mathcal{W}_{\mathcal{M}}\}.$$

In general,  $\mathrm{colim}_{\mathcal{C}} : \mathcal{M}^{\mathcal{C}} \rightarrow \mathcal{M}$  is not homotopical.

Take  $\mathcal{M} = \mathbf{Top}_1$  and  $\mathcal{C} = \{\bullet \leftarrow \bullet \rightarrow \bullet\}$ ,

$$\begin{array}{ccccc} F : & D^n & \xleftarrow{\quad} & \mathbb{S}^{n-1} & \xrightarrow{\quad} & D^n \\ \alpha \parallel & \downarrow \simeq & & \parallel & & \downarrow \simeq \\ F' : & \bullet & \xleftarrow{\quad} & \mathbb{S}^{n-1} & \xrightarrow{\quad} & \bullet \end{array}$$

then

$$\mathrm{colim}_{\mathcal{C}}(\alpha) : \mathrm{colim}_{\mathcal{C}}(F) \cong \mathbb{S}^n \xrightarrow{\neq} \mathrm{colim}_{\mathcal{C}}(F') \cong \bullet$$

is not a weak equivalence.

It is a philosophy of homological algebra to replace non-homotopical functors with universal homotopical approximation.

**Definition 7.15.** [Quillen] A *total left derived functor* of  $F : \mathcal{M} \rightarrow \mathcal{N}$  is defined by the right Kan extension

$$\begin{array}{ccccc} \mathcal{M} & \xrightarrow{F} & \mathcal{N} & \xrightarrow{\delta} & \mathrm{Ho}(\mathcal{N}) \\ \downarrow \gamma & \nearrow \eta & & \nearrow & \\ \mathrm{Ho}(\mathcal{M}) & & \mathbb{L}F := R_{\gamma}(\delta F) & & \end{array}$$

which comes together with a natural transformation  $\eta : \mathbb{L}F \circ \gamma \Rightarrow \delta F$  (left approximation).

**Definition 7.16.** [DHKS04] A *left derived functor* of  $F : \mathcal{M} \rightarrow \mathcal{N}$  is a functor  $\mathbf{L}F : \mathcal{M} \rightarrow \mathrm{Ho}(\mathcal{N})$  together with composition morphism  $\eta : \mathbf{L}F \Rightarrow \delta F$  such that

1.  $\mathbf{L}F$  is homotopical.
2.  $\eta$  is terminal among all homotopical functors  $G : \mathcal{M} \rightarrow \mathrm{Ho}(\mathcal{N})$  with  $\tilde{\eta} : G \Rightarrow \delta F$ .

$$\begin{array}{ccc} G & \xrightleftharpoons{\tilde{\eta}} & \delta F \\ \searrow \exists! & & \nearrow \eta \\ & \mathbf{L}F & \end{array} \tag{10}$$

Note by universal property of localization  $\gamma : \mathcal{M} \rightarrow \mathrm{Ho}(\mathcal{M})$ , giving  $\mathbf{L}F$  is equivalent to giving  $\mathbb{L}F$ , both are defined uniquely up to unique isomorphism.

It's convenient-although not always possible-to lift  $\mathbb{L}F$  to the level of homotopical categories.

**Definition 7.17.** A *pointwise left derived functor* of  $F : \mathcal{M} \rightarrow \mathcal{N}$  is a functor  $\mathcal{L}F : \mathcal{M} \rightarrow \mathcal{N}$  given together with  $\mathcal{L}F \Rightarrow F$  such that  $\eta = \mathbb{L}F := \delta \mathcal{L}F \Rightarrow \delta F$  is a derived functor in the sense of Definition 7.16.

$$\begin{array}{ccc} \mathcal{M} & \xrightarrow{\mathcal{L}F} & \mathcal{N} \\ \downarrow & & \downarrow \\ \mathcal{M} & \xrightarrow{F} & \mathcal{N} \end{array} \xrightarrow{\delta} \mathrm{Ho}(\mathcal{N})$$

Note  $\mathcal{L}F$  may or may not exist, and if it does, it is defined only up to homotopy.

### Derived Functors Via Deformations

**Question.** How to construct derived functors?

**Idea.** If  $F : \mathcal{M} \rightarrow \mathcal{N}$  is not homotopical, we can restrict  $F$  to a subcategory  $\mathcal{M}_Q$  of  $\mathcal{M}$  consisting of “good” objects, “adjusted” for  $F$  in the sense that  $F : \mathcal{M}_Q \hookrightarrow \mathcal{M} \rightarrow \mathcal{N}$  becomes homotopical.

**Definition 7.18.** Let  $\mathcal{M}$  be a homotopical category. A *left deformation* of  $\mathcal{M}$  is a pair  $(Q, q)$  where  $Q : \mathcal{M} \rightarrow \mathcal{M}$  is an endofunctor on  $\mathcal{M}$  and  $q : Q \Rightarrow \text{Id}_{\mathcal{M}}$  which is a natural weak equivalence, i.e. for any  $X \in \text{Ob}(\mathcal{M})$ ,  $(q_X : QX \rightarrow X) \in \mathcal{W}_{\mathcal{M}}$ .

Note that  $Q$  is automatically homotopical. Indeed, for any  $f : X \rightarrow Y$  in  $\mathcal{M}$ , there is a commutative diagram

$$\begin{array}{ccc} QX & \xrightarrow{Qf} & QY \\ q_X \downarrow \wr & & \downarrow \wr q_Y \\ X & \xrightarrow{f} & Y \end{array}$$

If  $f \in \mathcal{W}_{\mathcal{M}}$ , then  $Qf \in \mathcal{W}_{\mathcal{M}}$  by 2-of-3.

**Definition 7.19.** Given a left deformation  $(Q, q)$  of  $\mathcal{M}$ , call any full subcategory  $\mathcal{M}_Q \subseteq \mathcal{M}$  such that  $\text{Im}(Q) \subseteq \mathcal{M}_Q$  to be a *left deformation retract* of  $\mathcal{M}$  with respect to  $(Q, q)$ .

**Lemma 7.3.** If  $\mathcal{M}_Q$  is any left deformation of  $\mathcal{M}$ , then  $i : \mathcal{M}_Q \rightarrow \mathcal{M}$  induces an equivalence of categories

$$i : \text{Ho}(\mathcal{M}_Q) \xrightarrow{\sim} \text{Ho}(\mathcal{M}).$$

*Proof.* We have two opposite functors

$$i : \mathcal{M}_Q \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} \mathcal{M} : Q$$

which are both homotopical, and hence induce

$$i : \text{Ho}(\mathcal{M}_Q) \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} \text{Ho}(\mathcal{M}) : Q$$

which are inverse equivalences. □

**Example 7.23.** If  $\mathcal{M}$  is a cofibrantly generated model category, then Quillen’s small object argument implies that cofibration/trivial fibration factorization (MC5) can be made functorial: there exists  $Q : \mathcal{M} \rightarrow \mathcal{M}$  with  $q : Q \Rightarrow \text{Id}_{\mathcal{M}}$  for any  $X \in \text{Ob}(\mathcal{M})$ . We have the functorial cofibrant replacement

$$\emptyset \hookrightarrow QX \xrightarrow[\sim]{q_X} X.$$

Hence  $(Q, q)$  is a left deformation of  $\mathcal{M}$ .  $\mathcal{M}_Q$  is the full subcategory of cofibrant objects.

**Definition 7.20.** Given  $F : \mathcal{M} \rightarrow \mathcal{N}$ , a *left  $F$ -deformation* is a left deformation  $(Q, q)$  such that  $F|_{\mathcal{M}_Q} : \mathcal{M}_Q \hookrightarrow \mathcal{M} \rightarrow \mathcal{N}$  is homotopical. If such a left deformation exists for  $F$ ,  $F$  is called *left deformable*.

**Exercise 7.3.** A left deformation  $(Q, q)$  is an  $F$ -deformation if and only if

1.  $FQ$  is homotopical,
2.  $FqQ : FQ^2 \Rightarrow FQ$  is a natural equivalence of functors.

*Proof.* □

**Exercise 7.4.** Any left deformable  $F$  has a maximal subcategory  $\mathcal{M}_Q \subseteq \mathcal{M}$  such that  $F|_{\mathcal{M}_Q}$  is homotopical.

*Proof.* □

**Theorem 7.12.** [DHKS04] If  $F : \mathcal{M} \rightarrow \mathcal{N}$  admits a left deformation  $(Q, q)$  then  $\mathbf{L}F := \delta FQ : \mathcal{M} \xrightarrow{Q} \mathcal{M} \xrightarrow{F} \mathcal{N} \xrightarrow{\gamma} \mathbf{Ho}(\mathcal{N})$  together with  $\eta := \delta Fq : \mathbf{L}F \Rightarrow \delta F$  define the left derived functor of  $F$ .

*Proof.* We need to show 2 things.

1.  $\mathbf{L}F$  is homotopical.

$\mathbf{L}F$  can be factored as

$$\begin{array}{ccccccc} \mathcal{M} & \xrightarrow{Q} & \mathcal{M} & \xrightarrow{F} & \mathcal{N} & \xrightarrow{\gamma} & \mathbf{Ho}(\mathcal{N}) \\ & \searrow Q & \uparrow i & \nearrow F|_{\mathcal{M}_Q} & & & \\ & & \mathcal{M}_Q & & & & \end{array}$$

where each component is homotopical, so  $\mathbf{L}F$  is homotopical.

2. For any  $\tilde{\eta} : G \Rightarrow \delta F$  with  $G$  homotopical, the unique factorization 10 exists.

Since  $G$  is homotopical and  $q$  is a natural weak equivalence,  $Gq : GQ \Rightarrow G$  is an isomorphism of functors. Indeed, for any  $X \in \mathbf{Ob}(\mathcal{M})$ ,  $(q_X : QX \xrightarrow{\sim} X) \in \mathcal{W}_{\mathcal{M}}$ , and since  $G$  is homotopical,  $(Gq_X : GQX \xrightarrow{\sim} GX) \in \mathcal{W}_{\mathbf{Ho}(\mathcal{N})} = \mathbf{Iso}(\mathbf{Ho}(\mathcal{N}))$ , so  $Gq : GQ \Rightarrow G$  is an isomorphism of functors. Thus there exists  $(Gq)^{-1} : G \Rightarrow GQ$ , and the commutative diagram

$$\begin{array}{ccc} G & \xRightarrow{\tilde{\eta}} & \delta F \\ \uparrow Gq \cong & & \uparrow \eta = \delta Fq \\ GQ & \xRightarrow{\tilde{\eta}Q} & \delta FQ = \mathbf{L}F \end{array}$$

give us the factorization of

$$\tilde{\eta} : G \xRightarrow{(Gq)^{-1}} GQ \xRightarrow{\tilde{\eta}Q} \delta FQ = \mathbf{L}F \xRightarrow{\eta} \delta F$$

as  $\tilde{\eta} = \eta \circ \zeta$  with  $\zeta := \tilde{\eta}Q \circ (Gq)^{-1}$ .

It remains to show the uniqueness of  $\zeta$ . Take any factorization  $\tilde{\zeta}$ ,

$$\begin{array}{ccc} G & \xRightarrow{\tilde{\zeta}} & \delta FQ \\ & \searrow \tilde{\eta} & \downarrow \eta = \delta Fq \\ & & \delta F \end{array}$$

Note this yields

$$\begin{array}{ccc} GQ & \xRightarrow{\tilde{\zeta}Q} & \delta FQ^2 \\ & \searrow \tilde{\eta}Q & \downarrow \eta Q = \delta FqQ \\ & & \delta FQ \end{array}$$

Since  $F|_{\mathcal{M}_Q}$  is homotopical,  $\eta Q$  is a natural weak equivalence and hence isomorphism of functors (because  $\text{Ho}(\mathcal{N})$  is minimal). This means that  $\eta Q$  is invertible, so  $\tilde{\zeta}Q$  is uniquely determined by  $\tilde{\eta}$ .

By naturality, we have

$$\begin{array}{ccc} GQ & \xRightarrow{\tilde{\zeta}Q} & \delta FQ^2 \\ Gq \downarrow \cong & & \cong \downarrow \eta Q \\ G & \xRightarrow{\tilde{\zeta}} & \delta FQ \end{array}$$

in which both vertical arrows are isomorphisms, so  $\tilde{\zeta}$  is uniquely determined by  $\tilde{\zeta}Q$  and hence  $\tilde{\eta}$ .

□

*Remark 7.7.* The argument shows that  $\mathbf{L}F := FQ$  is a pointwise left derived functor.

Consider the 2-category  $\mathbf{HomCat}^L$  with

**Objects(0-cells):** 4-tuples  $(\mathcal{M}, \mathcal{M}_Q, Q, q)$

**HorizontalMorphisms(1-cells):** deformable functors  $F : \mathcal{M} \rightarrow \mathcal{M}'$ .

**VerticalMoprhisms(2-cells):** any natural transformation

$$\begin{array}{ccc} \mathcal{M} & \xrightarrow{F} & \mathcal{M}' \\ & \Downarrow \alpha & \\ \mathcal{M} & \xrightarrow{F'} & \mathcal{M}' \end{array}$$

There is a pseudo-functor

$$\begin{array}{ccc}
\mathbb{L} : & \mathbf{HomCat}^L & \longrightarrow & \mathbf{Cat} \\
& \mathcal{M} & \longmapsto & \mathbf{Ho}(\mathcal{M}) \\
(F : \mathcal{M} \rightarrow \mathcal{M}') & \longmapsto & (\mathbb{L}F : \mathbf{Ho}(\mathcal{M}) \rightarrow \mathbf{Ho}(\mathcal{M}')) \\
(\alpha : F \Rightarrow F') & & (\mathbb{L}\alpha : \mathbb{L}F \Rightarrow \mathbb{L}F')
\end{array}$$

Proof is similar to Hovey's Theorem 1.3.7.9 for left Quillen functors in case of model categories.

The important point is, if  $F : \mathcal{M} \rightarrow \mathcal{M}'$ ,  $G : \mathcal{M}' \rightarrow \mathcal{M}''$  such that

$$\begin{array}{ccc}
\mathcal{M} & \xrightarrow{F} & \mathcal{M}' \\
\uparrow & & \uparrow \\
\mathcal{M}_Q & \longrightarrow & \mathcal{M}'_{Q'}
\end{array}
\quad
\begin{array}{ccc}
\mathcal{M}' & \xrightarrow{G} & \mathcal{M}'' \\
\uparrow & & \uparrow \\
\mathcal{M}'_{Q'} & \longrightarrow & \mathcal{M}''_{Q''}
\end{array}$$

i.e.  $F$  maps  $\mathcal{M}_Q$  to  $\mathcal{M}'_{Q'}$  on which  $G$  is homotopical. Then  $\mathbb{L}F := FQ$  and  $\mathbb{L}G := GQ'$  compose to

$$\mathbb{L}G \circ \mathbb{L}F = (GQ') \circ (FQ) \xrightarrow[\simeq]{G'FQ} GFQ =: \mathbb{L}(GF).$$

So  $(\mathbb{L}G) \circ (\mathbb{L}F) \cong \mathbb{L}(GF)$ , but this is in general, not true.

**Proposition 7.3.** *If  $F$  is left deformable, then  $\mathbb{L}F : \mathbf{Ho}(\mathcal{M}) \rightarrow \mathbf{Ho}(\mathcal{N})$  is pointwise right Kan extension,*

$$\begin{array}{ccccc}
\mathcal{M} & \xrightarrow{F} & \mathcal{N} & \xrightarrow{\delta} & \mathbf{Ho}(\mathcal{N}) \\
\downarrow \gamma & & \nearrow \mathbb{L}F := R_\gamma F & & \\
\mathbf{Ho}(\mathcal{M}) & & & & 
\end{array}$$

i.e.  $\mathbb{L}F$  can be computed as a limit in  $\mathbf{Ho}(\mathcal{N})$

$$R_\gamma(\delta F) \cong \lim_{\gamma X \setminus \gamma} \left( \gamma X \setminus \gamma \xrightarrow{U} \mathcal{M} \xrightarrow{\delta F} \mathbf{Ho}(\mathcal{N}) \right),$$

even though  $\mathbf{Ho}(\mathcal{N})$  is not complete.

We can consider right deformation  $R : \mathcal{M} \rightarrow \mathcal{M}$  and  $r : \mathrm{Id}_{\mathcal{M}} \Rightarrow R$  such that

**Definition 7.21.**  $F : \mathcal{M} \xrightarrow[\longleftarrow]{} \mathcal{N} : G$  is deformable if  $F$  is left deformable and  $G$  is right deformable.

**Theorem 7.13.** [DHKS04] *If  $F : \mathcal{M} \xrightarrow[\longleftarrow]{} \mathcal{N} : G$  is deformable, then  $\mathbb{L}F$  and  $\mathbb{R}G$  exist and the adjunction*

*descends to homotopical categories*

$$\mathbb{L}F : Ho(\mathcal{M}) \xrightleftharpoons{\quad} Ho(\mathcal{N}) : \mathbb{R}G.$$

**Example 7.24.** Quillen pairs between model categories.

**Example 7.25.** Representation functor  $(-)_G : \mathbf{sGr} \rightarrow \mathbf{sCommAlg}_k$  is not left Quillen but left deformable. We will study this example in detail in the next part.

## Examples of Derived Functors

### Classical derived functors

Let  $A$  be an associative unital ring or  $k$ -algebra. Let  $\mathcal{M} = \mathbf{Com}^+(A)$  be the category of chain complexes of left  $A$ -modules and  $\mathcal{W}_{\mathcal{M}}$  be the class of all quasi-isomorphisms in  $\mathcal{M}$ .  $(\mathcal{M}, \mathcal{W}_{\mathcal{M}})$  is a homotopical category.

We want to construct a left deformation  $Q : \mathcal{M} \rightarrow \mathcal{M}$  with  $q : Q \Rightarrow \text{Id}_{\mathcal{M}}$  which is adjusted to any additive functor on  $\mathcal{M}$ . This is given by the classical (2-sided) bar construction. We recall (Quillen's approach to) this construction.

Let's start with a map of  $A$ -bimodules  $\varepsilon : E \rightarrow A$  and define a differential graded algebra as follows.

Take  $T_A E = \bigoplus_{n \geq 0} \left( \underbrace{E \otimes_A E \otimes_A \cdots \otimes_A E}_n \right)$  with differential  $d : T_A E \rightarrow T_A E$  of degree  $-1$  extending  $\varepsilon$ , for any  $(z_1, \dots, z_n) \in E \otimes_A E \otimes_A \cdots \otimes_A E$ ,

$$d(z_1, \dots, z_n) = \sum_{i=1}^n (-1)^{i-1} (z_1, \dots, z_{i-1} \varepsilon(z_i), z_{i+1}, \dots, z_n)$$

where we use the natural isomorphism

$$\begin{aligned} E \otimes_A A \otimes_A E &\cong E \otimes_A E \\ (z_1, a, z_2) &\mapsto (z_1 z, z_2) = (z_1, a z_2) \end{aligned}$$

Then

$$\begin{aligned}
d^2(z_1, \dots, z_n) &= \sum_{i=1}^n (-1)^{i-1} d(z_1, \dots, z_{i-1} \varepsilon(z_i), z_{i+1}, \dots, z_n) \\
&= \sum_{i=1}^n \sum_{j=1}^{i-2} (-1)^{j-1} (-1)^{i-1} (z_1, \dots, z_{j-1} \varepsilon(z_j), z_{j+1}, \dots, z_{i-1} \varepsilon(z_i), z_{i+1}, \dots, z_n) + \\
&\quad \sum_{i=2}^n (-1)^{i-2} (-1)^{i-1} (z_1, \dots, z_{i-2} \varepsilon(z_{i-1}) \varepsilon(z_i), z_{i+1}, \dots, z_n) + \\
&\quad \sum_{i=1}^{n-1} (-1)^{i-1} (-1)^{i-1} (z_1, \dots, z_{i-1} \varepsilon(z_i) \varepsilon(z_{i+1}), z_{i+2}, \dots, z_n) + \\
&\quad \sum_{i=1}^n \sum_{j=i+1}^n (-1)^{j-2} (-1)^{i-1} (z_1, \dots, z_{i-1} \varepsilon(z_i), z_{i+1}, \dots, z_{j-1} \varepsilon(z_j), z_{j+1}, \dots, z_n) \\
&= 0
\end{aligned}$$

so  $(T_A E, d)$  is a unital differential graded algebra.

**Lemma 7.4.** (Quillen) *If  $\varepsilon$  is surjective, then  $(T_A E, d)$  is acyclic,  $H_*(T_A E, d) = 0$ .*

*Proof.* Fix  $z \in E \subseteq T_A E$  of degree 1 such that  $dz = \varepsilon(z) = 1$ , then for any  $a \in T_A E$ ,

$$d(za) = d(z) \cdot a + (-1)^{|z|} z \cdot da = a - z \cdot da.$$

So  $a = d(za) + z \cdot da$ , hence the map  $a \mapsto za$  is a contracting homotopy on  $T_A E$  compatible with its right  $A$ -module structure.

$$\begin{array}{ccc}
& a & \xrightarrow{\quad} da \\
& \downarrow \text{Id} & \swarrow \\
za & \xrightarrow{\quad} a = d(za) + z \cdot da & 
\end{array}$$

Similarly,  $d(az) = d(a) \cdot z + (-1)^{|a|} a$ , so  $a \mapsto (-1)^{|a|} az$  is a contracting homotopy on  $T_A E$  compatible with its left  $A$ -module structure.

Thus the homology of  $(T_A E, d)$  is trivial. □

**Corollary 7.2.** *Any projective  $A$ -bimodule  $E$  with surjective  $A$ -bimodule map  $\varepsilon : E \rightarrow A$  gives a projective resolution  $(T_A E)^+ \xrightarrow{\varepsilon} A$  where  $(T_A E)^+$  is the positive degree part of  $T_A E$ .*

**Example 7.26.**  $E = A \otimes A$ ,  $\varepsilon = m : A \otimes A \rightarrow A$  is the multiplication on  $A$ . Then

$$BA_\bullet = (T_A(A \otimes A), d = b)$$



is the classical bar construction, with

$$\begin{aligned} BA_0 &= A \\ BA_n &= A^{\otimes(n+1)} \end{aligned}$$

and differential  $b$  given by

$$b(a_0, \dots, a_n) = \sum_{i=0}^{n-1} (-1)^i (a_0, \dots, a_{i-1}, a_i a_{i+1}, \dots, a_n).$$

*Remark 7.8.* Quillen's main observation was to build cyclic homology theory for algebras, we can start with projective resolution of  $A$  of the form  $(T_A E, d)$  associated with  $\varepsilon : E \twoheadrightarrow A$ .

*Remark 7.9.* Notice that  $(T_A E, d) \cong \text{hocolim} \left\{ A \xleftarrow{(1, \varepsilon)} T_A E \xrightarrow{(1, 0)} A \right\}$  where  $(1, \varepsilon)$  is the homomorphism coming from the universal property of the tensor algebra. We think of  $\varepsilon$  as a deformation parameter.

To give a map  $f : T_A E \rightarrow B$  of algebras is equivalent to give

1.  $f_0 : A \rightarrow B$  a map of algebras.
2.  $f_1 : E \rightarrow B$  a map of  $A$ -bimodules, where  $B$  is viewed as an  $A$ -bimodule via

$$a_1 \cdot b \cdot a_2 = f(a_1) b f(a_2).$$

Then we have

$$\begin{array}{ccc} T_A : \mathbf{Bimod}(A) & \rightleftharpoons & A \downarrow \mathbf{Alg} : U \\ f B_f & \hookleftarrow & \left( A \xrightarrow{f} B \right) \\ E & \mapsto & (A \rightarrow T_A E) \end{array}$$

**Example 7.27.** Let  $A = \mathcal{O}(X) \cong k[q]$  where  $X = \mathbb{A}_k^1$ , then  $\mathcal{O}(T^*X) \cong k[p, q]$ .

$$\mathcal{O}(T^*X) = \text{hocolim} \left\{ A \xleftarrow{(1, 0)} T_A E \xrightarrow{(1, 0)} A \right\}$$

for  $E = \mathbb{R}\text{Hom}_{A^e}(A, A^e) = A^!$ . The homomorphism  $\varepsilon : E \rightarrow A$  is in  $\mathcal{D}^b(A^e)$ ,

$$(\varepsilon : E \rightarrow A) \in \text{Hom}_{\mathcal{D}^b(A^e)}(A^!, A) \cong H^0(\mathbb{R}\text{Hom}_{A^e}(A, A^e), A) = H_0\left(A^! \overset{\mathbb{L}}{\otimes}_{A^e} A\right) \cong HH_0(A) \cong A.$$

So the deformation parameters  $\varepsilon$  are exactly elements of the 0-th Hochschild homology, which in this case is just  $A$  itself.

We have deformation

$$\text{hocolim} \left\{ A \xleftarrow{(1, \hbar)} T_A A^! \xrightarrow{(1, 0)} A \right\} \cong \mathcal{D}_\hbar(X).$$

*Claim 7.1.* The functor  $Q := BA \otimes_A - : \mathbf{Com}^+(A) \rightarrow \mathbf{Com}^+(A)$  with  $q = \left\{ q_M : BA \otimes_A M \xrightarrow{\varepsilon_*} A \otimes_A M \cong M \right\}_{M \in \text{Ob}(\mathbf{Com}^+(A))}$  is a left deformation of  $\mathcal{M} = \mathbf{Com}^+(A)$ , adjusted to any additive functor.

*Proof.* This is essentially an classical result from homological algebra.

1.  $q_M$  is a natural quasi-isomorphism because  $T_A(A \otimes A) \otimes_A M$  is acyclic.
2.  $QM = BA \otimes_A M$  is a complex of free left  $A$ -modules and hence projective. Take  $\mathcal{M}_Q$  to be the chain complexes with projective terms, then  $\mathcal{M}_Q \supseteq \text{Im}(Q)$ .
3. Given another additive functor  $F : \mathbf{Mod}(A) \rightarrow \mathbf{Mod}(A)$ , the additive functor

$$F_\bullet : \mathbf{Com}^+(A) \rightarrow \mathbf{Com}^+(A)$$

preserves homotopy equivalences, so since any quasi-isomorphism between (nonnegatively graded) projective complexes is a homotopy equivalence,  $F_\bullet|_{\mathcal{M}_Q}$  is a homotopical functor.

□

## Simplicial groups and spaces

### Twisted Cartesian products and principal bundles

Let  $\mathbf{sGr} = \mathbf{Fun}(\Delta^{op}, \mathbf{Gr})$  be the category of simplicial groups.

Let  $G_* = \{G_n\}_{n \geq 0} \in \text{Ob}(\mathbf{sGr})$  and  $X_* \in \text{Ob}(\mathbf{sSet})$ .

**Definition 7.22.** A *twisting function*  $\tau : X_* \rightarrow G_{*-1}$  is a family of maps  $\{\tau_n : X_n \rightarrow G_{n-1}\}_{n \geq 1}$  such that

$$\begin{aligned} d_0(\tau(x)) &= \tau(d_0x)^{-1} \tau(d_1x) \\ d_i(\tau(x)) &= \tau(d_{i+1}x) & i \geq 1 \\ s_j(\tau(x)) &= \tau(s_{j+1}x) & j \geq 0 \\ \tau(s_0(x)) &= 1_{G_n} & \forall x \in G_n \end{aligned}$$

**Definition 7.23.** A *(principal) twisted Cartesian product* with fibre  $G_*$  and base  $X_*$ , and twisting function  $\tau : X_* \rightarrow G_{*-1}$  is a simplicial set  $E_* = G_* \times_\tau X_*$  with

$$E_n := G_n \times X_n, n \geq 0$$

and

$$\begin{aligned} d_i(g, x) &= \begin{cases} (\tau(x) \cdot d_0 g, d_0 x) & i = 0, \\ (d_i g, d_i x) & i > 0. \end{cases} \\ s_j(g, x) &= (s_j g, s_j x) \quad j \geq 0. \end{aligned}$$

**Proposition 7.4.** *Any principal  $G_*$ -fibration  $p_* : E_* \rightarrow X_*$  with right  $G_*$  action on  $E_*$  with local cross section  $\sigma_* : X_* \rightarrow E_*$  (i.e.  $\sigma_n : X_n \rightarrow E_n$  such that  $p_n \sigma_n = \text{Id}_{X_n}$  and  $d_i \sigma = \sigma d_i, \forall i > 0, s_j \sigma = \sigma s_j, \forall j \geq 0$ ) can be identified with  $G \times_\tau X \rightarrow X$  where  $\tau : X_* \rightarrow G_{*-1}$  is determined by  $d_0 \sigma(x) = \sigma(d_0 x) \cdot \tau(x)$ .*

### The classifying space of a simplicial group $\overline{\mathcal{W}}$

Given  $G_* \in \text{Ob}(\mathbf{sGr})$ , define a reduced simplicial set  $\overline{\mathcal{W}}(G_*)$  by

$$\overline{\mathcal{W}}_0(G) := \{*\}, \overline{\mathcal{W}}_n(G) := G_{n-1} \times G_{n-2} \times \cdots \times G_n, n \geq 0$$

with

$$\begin{aligned} s_0 : \overline{\mathcal{W}}_0(G) &\rightarrow \overline{\mathcal{W}}_1(G) \\ * &\mapsto 1_{G_0} \\ d_0 = d_1 : \overline{\mathcal{W}}_1(G) &\rightarrow \overline{\mathcal{W}}_0(G) \\ g &\mapsto * \end{aligned}$$

and for  $n \geq 1$ ,

$$\begin{aligned} d_0(g_{n-1}, \dots, g_0) &= (g_{n-2}, \dots, g_0) \\ d_{i+1}(g_{n-1}, \dots, g_0) &= (d_i g_{n-1}, \dots, d_1 g_{n-i}, g_{n-i-2} \cdot d_0 g_{n-i-1}, g_{n-i-3}, \dots, g_0) \\ s_0(g_{n-1}, \dots, g_0) &= (1, g_{n-1}, \dots, g_0) \\ s_{j+1}(g_{n-1}, \dots, g_0) &= (s_j g_{n-2}, \dots, s_0 g_{n-j-1}, 1, g_{n-i-2}, \dots, g_0) \end{aligned}$$

This is a simplicial set with a twisting function

$$\begin{aligned} \tau_n(G) : \overline{\mathcal{W}}_n(G) &\rightarrow G_{n-1} \\ (g_{n-1}, \dots, g_0) &\mapsto g_{n-1} \end{aligned}$$

**Lemma 7.5.**  $\tau(G)$  is a universal twisting function in the sense that any principal twisted product  $G \times_\tau X$  can be induced from  $G_* \times_{\tau(G)} X_*$  by a unique classifying map  $X_* \mapsto \overline{\mathcal{W}}(G_*)$  given by

$$x \in X_n \mapsto (\tau(x), \tau(d_0 x), \dots, \tau(d_0^{n-1} x)) \in \overline{\mathcal{W}}_n(G_*).$$

**Example 7.28.** If  $G_* = \{G_n\}_{n \geq 0}$  is a discrete simplicial group,  $\overline{W}(G) = B_*G$  the simplicial nerve of  $G$ , then

$$\begin{aligned} G \times_{\tau(G)} \overline{W}(G) &\cong E_*G \\ G^{n+1} &\longleftrightarrow E_n(G) \\ (g_0, g_0 g_1, \dots, g_0 \cdots g_n) &\longleftrightarrow (g_0, \dots, g_n) \end{aligned}$$

**The Kan loop group of simplicial sets** Conversely, given  $X_* \in Ob(\mathbf{sSet}_0)$  a reduced simplicial set, define the Kan loop group of  $X$ ,  $\mathbb{G}(X)_* \in Ob(\mathbf{sGr})$  by

$$\mathbb{G}_n(X) := \mathbb{F}\langle X_{n+1} \rangle / (s_0(x) = 1, \forall x \in X_n)$$

induced by

$$B_n = X_{n+1} \setminus s_0(X_n) \hookrightarrow X_{n+1}$$

(but  $\{B_n\}$  do not form a simplicial set), with

$$\begin{aligned} d_i^{\mathbb{G}}(x) &= \begin{cases} d_1(x) d_0(x)^{-1} & i = 0, \\ d_{i+1}x & i > 0. \end{cases} \\ s_j^{\mathbb{G}}(x) &= s_{j+1}x \quad j \geq 0. \end{aligned}$$

Define

$$\tau(X) : X_* \rightarrow \mathbb{G}(X)_{*-1}$$

by

$$\tau_n(X) : X_n \hookrightarrow \mathbb{F}\langle X_n \rangle \twoheadrightarrow \mathbb{G}X_{n-1}.$$

Given  $X_* \in Ob(\mathbf{sSet})$  and  $G_* \in Ob(\mathbf{sGr})$  define

$$\text{Tw}(X_*, G_*) := \{\text{twisting functions } \tau : X_* \rightarrow G_*\}.$$

**Theorem 7.14.** *There are natural bijections*

$$\begin{aligned} \text{Hom}_{\mathbf{sGr}}(\mathbb{G}X_*, G_*) &\xrightarrow{\sim} \text{Tw}(X_*, G_*) \xleftarrow{\sim} \text{Hom}_{\mathbf{sSet}}(X_*, \overline{W}G_*) \\ f &\mapsto f \circ \tau(X) \\ \tau(G) \circ g &\mapsto g \end{aligned}$$

Hence we have adjunction

$$\mathbb{G} : \mathbf{sSet}_0 \rightleftarrows \mathbf{sGr} : \overline{\mathcal{W}}.$$

Theorem 7.14, proposition 7.4 and lemma 7.5 implies

**Corollary 7.3.** *For fixed  $G_* \in \text{Ob}(\mathbf{sGr})$ ,  $X \in \text{Ob}(\mathbf{sSet})$ , there is a natural bijection between the set of twisting function  $\text{Tw}(X_*, G_*)$  and the isomorphism classes of pairs  $(E_*, G_*)$  where  $E_*$  is a principal  $G_*$ -bundle over  $X_*$  with local section  $\sigma : X_* \rightarrow E_*$ . The bijection is given by*

$$\tau \mapsto (G \times_\tau X, \sigma)$$

where  $d_0 \sigma_n(x) = \sigma_{n-1}(d_0 x) \tau(x)$ .

Our main theorem is the following.

**Theorem 7.15.** (*Kan*)

1. For  $X_* \in \text{Ob}(\mathbf{sSet}_0)$  a reduced simplicial set and  $G_* \in \text{Ob}(\mathbf{sGr})$ , there are weak homotopy equivalences of spaces

$$\begin{aligned} |\mathbb{G}(X_*)| &\simeq \Omega |X_*| \\ |\overline{\mathcal{W}}(G_*)| &\simeq B |G_*| \end{aligned}$$

This shows that the homotopy type of  $|\mathbb{G}(X_*)|$  is the loop space of  $|X|$ , and the homotopy type of  $|\overline{\mathcal{W}}(G_*)|$  is the classifying space of  $|G_*|$ , which is the reason for the name of the two functors.

2. The adjoint functors  $(\mathbb{G}, \overline{\mathcal{W}})$  give Quillen pair of model categories

$$\mathbb{G} : \mathbf{sSet}_0 \rightleftarrows \mathbf{sGr} : \overline{\mathcal{W}}$$

and

$$\text{Ho}(\mathbf{sSet}_0) \cong \text{Ho}(\mathbf{sGr}).$$

### Relation to spaces

Recall the singular complex

$$\begin{aligned} \mathcal{S} : \mathbf{Top} &\longrightarrow \mathbf{sSet} \\ X &\longmapsto \mathcal{S}(X)_* = \{\text{Hom}_{\mathbf{Top}}(\Delta^n, X)\}_{n \geq 0} \end{aligned}$$

and the Eilenberg subcomplex

$$\begin{aligned} \mathcal{ES} : \mathbf{Top}_* &\longrightarrow \mathbf{sSet} \\ (X, *) &\longmapsto \mathcal{ES}(X)_* = \{f \in \mathrm{Hom}_{\mathbf{Top}}(\Delta^n, X) \mid f(e_i) = *, \forall 0 \leq i \leq n\}_{n \geq 0} \end{aligned}$$

**Lemma 7.6.** (Eilenberg) *If  $(X, *)$  is connected, then  $\mathcal{ES}(X)_* \hookrightarrow \mathcal{S}(X)_*$  is a weak equivalence of simplicial sets, i.e.*

$$|\mathcal{ES}(X)_*| \simeq |\mathcal{S}(X)_*| \simeq X.$$

**Corollary 7.4.** *Let  $\mathbf{Top}_{0,*}$  be the category of pointed connected spaces, then*

$$|-| : \mathbf{sSet}_0 \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} \mathbf{Top}_{0,*} : \mathcal{ES}$$

*is an adjoint pair which is Quillen equivalence,*

$$Ho(\mathbf{sSet}_0) \cong Ho(\mathbf{Top}_{0,*}).$$

A consequence is

$$\begin{array}{ccccc} Ho(\mathbf{sGr}) & \cong & Ho(\mathbf{sSet}_0) & \cong & Ho(\mathbf{Top}_{0,*}) \\ \mathbb{G}(\mathcal{ES}(X)_*) & \hookleftarrow & \mathcal{ES}(X)_* & \hookleftarrow & X \end{array}$$

For reduced CW complexes, we can construct much smaller simplicial group models.

## 7.9 Free Diagrams

Let  $\mathcal{I}$  be a small (indexing) category,  $\mathcal{C}$  be any category with all small colimits (hence products).

$\mathcal{C}^{\mathcal{I}} = \mathbf{Fun}(\mathcal{I}, \mathcal{C})$  is the category of  $\mathcal{I}$ -diagrams in  $\mathcal{C}$ , which can be thought of as  $\mathcal{I}$ -modules.

**Question.** What are free  $\mathcal{I}$ -diagrams (i.e. analogue of free modules)?

Let  $\mathcal{I}^S$  be the category  $\mathcal{I}$  “made discrete”, with

**Objects:**  $Ob(\mathcal{I}^S) = Ob(\mathcal{I})$ .

$$\mathbf{Morphisms:} \quad \mathrm{Hom}_{\mathcal{I}^S}(i, j) = \begin{cases} \mathrm{Id}_i & i = j, \\ \emptyset & i \neq j. \end{cases}$$

**Definition 7.24.** An  $\mathcal{I}$ -diagram  $X : \mathcal{I} \rightarrow \mathcal{C}$  is *free* if it is the left Kan extension

$$\begin{array}{ccc} \mathcal{I}^S & \xrightarrow{Y} & \mathcal{C} \\ \text{in} \downarrow & \nearrow \mathcal{L}_{\text{in}} Y \cong X & \\ \mathcal{I} & & \end{array}$$

i.e. for any  $i \in \text{Ob}(\mathcal{I})$ ,

$$X(i) \cong \text{colim}_{(f:j \rightarrow i) \in \text{Ob}(\mathcal{I}/i)} Y(j) \cong \coprod_{f:j \rightarrow i} Y(j)$$

the coproduct of  $Y(j)$  in  $\mathcal{C}$  indexed by  $f : j \rightarrow i$  in  $\mathcal{I}$ .

**Example 7.29.** Let  $\mathcal{C} = \mathbf{Set}$  and  $\mathcal{I}$  be any small category.  $X : \mathcal{I} \rightarrow \mathbf{Set}$  is free if there exists a sequence of objects  $\mathcal{S} \subseteq \text{Ob}(\mathcal{I})$  such that

$$X \cong \coprod_{s \in \mathcal{S}} h^s$$

where  $h^s := \text{Hom}_{\mathcal{I}}(s, -) : \mathcal{I} \rightarrow \mathbf{Set}$  consists of the elementary free diagrams.

For example, let  $\mathcal{I} = \{1 \leftarrow 0 \rightarrow 2\}$ . the  $\mathcal{I}$ -diagrams in  $\mathbf{Set}$  are

$$X = \left\{ X_1 \xleftarrow{f_1} X_0 \xrightarrow{f_2} X_2 \right\}.$$

When is it free (in terms of  $f_1, f_2$ )?

First, let's take a look at the elementary diagrams.

$$\begin{aligned} h^0 &= \left\{ \bullet \xleftarrow{\quad} \emptyset \xrightarrow{\quad} \emptyset \right\} \\ h^1 &= \left\{ \bullet \xleftarrow{\quad} \bullet \xrightarrow{\quad} \bullet \right\} \\ h^2 &= \left\{ \emptyset \xleftarrow{\quad} \emptyset \xrightarrow{\quad} \bullet \right\} \end{aligned}$$

Hence  $X$  is free if and only if both  $f_1, f_2$  are injective.

**Example 7.30.** Let  $\mathcal{C} = \mathbf{sSet}$  and  $\mathcal{I}$  be any small category. For

$$\begin{aligned} X_* : \mathcal{I} &\rightarrow \mathbf{sSet} \\ i &\mapsto X_*(i) = \{X_n(i)\}_{n \geq 0} \end{aligned}$$

or equivalently,

$$X_* = \{X_n : \mathcal{I} \rightarrow \mathbf{Set}\}_{n \geq 0}$$

$X_*$  is free if and only if  $X_n$  is free in  $\mathbf{Set}$ ,  $\forall n \geq 0$ .

**Example 7.31.** Let  $\mathcal{C} = \mathbf{Cat}$  and  $\mathcal{I}$  be any small category, for any functor

$$\begin{aligned} X : \mathcal{I} &\rightarrow \mathbf{Cat} \\ i &\mapsto X(i) \end{aligned}$$

it consists of the following data,

$$\begin{aligned} Ob(X) : \mathcal{I} &\rightarrow \mathbf{Set} \\ i &\mapsto Ob(X(i)) \\ Mor(X) : \mathcal{I} &\rightarrow \mathbf{Set} \\ i &\mapsto Mor(X(i)) \end{aligned}$$

Thus  $X$  is free if and only if both  $Ob(X)$  and  $Mor(X)$  are free in  $\mathbf{Set}$ .

**Example 7.32.** Let  $\mathcal{C} = \mathbf{Grp} \subset \mathbf{Cat}$  be the category of small groupoids.  $X : \mathcal{I} \rightarrow \mathbf{Grp}$  is free if and only if  $Ob(X) : \mathcal{I} \rightarrow \mathbf{Set}$  is free.

A free diagram of groupoids need not to be free as a diagram of categories.

### Simplicial Diagrams

Let  $\Delta_+ \subseteq \Delta$  be the subset of surjective maps in  $\Delta$  (i.e.  $Mor(\Delta_+)$  are generated by codegeneracy maps  $s^j : [n+1] \rightarrow [n], 0 \leq j \leq n, n \geq 0$ ).

**Definition 7.25.** A simplicial object  $X : \Delta^{op} \rightarrow \mathcal{C}$  is called *semifree* if

$$X|_{\Delta_+^{op}} : \Delta_+^{op} \rightarrow \mathbf{Set}$$

is a free diagram.

Assume that  $\mathcal{C}$  has adjunction to sets,

$$F : \mathbf{Set} \rightleftarrows \mathcal{C} : U$$

then we can make this definition explicitly.



$X : \Delta^{op} \rightarrow \mathcal{C}$  is semifree if and only if  $X|_{\Delta_+^{op}} : \Delta_+^{op} \rightarrow \mathbf{Set}$  factors as

$$\begin{array}{ccc} X|_{\Delta_+^{op}} : \Delta_+^{op} & \xrightarrow{\quad} & \mathcal{C} \\ & \searrow \exists B & \nearrow F \\ & \mathbf{Set} & \end{array}$$

**Example 7.33.** Let  $\Gamma_* : \Delta^{op} \rightarrow \mathbf{Gr}$  be a simplicial group, then  $\Gamma_*$  is semifree if and only if there exists a subset  $B_n \subseteq \Gamma_n, \forall n \geq 0$  such that

1.  $\Gamma_n = \mathbb{F}\langle B_n \rangle$ .
2.  $B = \bigcup_{n \geq 0} B_n$  is closed under  $s_j : \Gamma_n \rightarrow \Gamma_{n+1}$ , i.e.  $s_j(B_n) \subseteq B_{n+1}, \forall 0 \leq j \leq n, n \geq 0$ .

**Example 7.34.** The Kan loop group of a reduced simplicial set  $X \in Ob(\mathbf{sSet})$  is semifree.

$$\mathbb{G}(X)_n = \mathbb{F}\langle X_{n+1} \rangle / (s_0 x = 1, \forall x \in X_n) \cong \mathbb{F}\langle B_n \rangle$$

where  $B_n = X_{n+1} \setminus s_0(X_n)$ .

**Definition 7.26.** If  $\Gamma_*$  is semifree, then we can define

$$\overline{B}_n := B_n \setminus \bigcup_{j=0}^{n-1} s_j(B_{n-1})$$

the set of nondegenerate generators of  $\Gamma$  in degree  $n$ , and

$$\overline{B} := \bigcup_{n \geq 0} \overline{B}_n.$$

Note that this gives a semifree simplicial group  $\Gamma_*$ , we need to specify the set  $\overline{B}$  and the values of face maps  $d_i : \Gamma_n \rightarrow \Gamma_{n-1}$  on  $\overline{B}_n$ ,

$$\{d_i(x) \in \Gamma_{n-1}, x \in \overline{B}_n\}_{n \geq 1}.$$

**Definition 7.27.** (Kan) A set of nondegenerate generators of semifree  $\Gamma_*$  is called *CW basis* if  $d_i(x) = 1 \in \Gamma_{n-1}, \forall x \in \overline{B}_n, 0 \leq i \leq n-1$  (not including  $n$ ).

Recall we have

$$\begin{array}{ccccc} \mathrm{Ho}(\mathbf{sGr}) & \cong & \mathrm{Ho}(\mathbf{sSet}_0) & \cong & \mathrm{Ho}(\mathbf{Top}_{0,*}) \\ \mathbb{G}(\mathcal{ES}(X)_*) & \leftarrow & \mathcal{ES}(X)_* & \leftarrow & X \end{array}$$

$\Gamma_*$  is called a simplicial group model of  $X$  if its homotopy type corresponds to  $X$  under the above functor.

For any  $X$  we have a “big” functorial model given by

$$\Gamma_*^{\text{big}}(X) := \mathbb{G}(\mathcal{ES}(X)_*).$$

One of the main observation of Kan is the following theorem.

**Theorem 7.16.** *Let  $X$  be a reduced CW complex,  $(sk_0(X) = \{*\}, sk_n(X) = X, \forall n \gg 0)$ . There exists  $\Gamma_*^{\text{small}}(X)$  a semifree simplicial group model of  $X$  such that*

1.  $|\Gamma_*^{\text{small}}(X)| \simeq \Omega X$ .
2.  $\Gamma_*^{\text{small}}(X)$  has a CW basis  $\overline{B} = \bigcup_{n \geq 0} \overline{B}_n$  such that there is a one-to-one correspondence

$$\overline{B}_{n-1} \longleftrightarrow \{n\text{-dimensional cells in } X\}$$

for any  $n \geq 1$ .

The corresponding attaching element  $d_n(x), x \in \overline{B}_{n-1}$  depends only on the homotopy class of attaching maps  $[f] \in \pi_{n-1}(sk_{n-1}X)$  of  $X$ .

**Example 7.35.** Let  $X = \mathbb{S}^1$ , then  $\Gamma_*(X) = \{\mathbb{F}_1\}_{n \geq 0}$ .

## 7.10 Homotopy 2-types

### Moore Complexes

A simplicial group  $\Gamma_*$  induces a chain complex of nonabelian groups

$$N_n \Gamma = \bigcap_{i=1}^n \text{Ker}(d_i : \Gamma_n \rightarrow \Gamma_{n-1}), i \neq 0$$

in the following way.

If  $x \in N_n \Gamma$ , then  $d_i(x) = 1, \forall i > 0$ , and thus  $d_k d_0 x = d_0 d_{k+1} x, \forall k > 0$ . Hence  $d_0 x \in N_{n-1} \Gamma$ , and  $d_0^2 x = d_1 d_0 x = 1$ . Therefore we have a chain complex

$$\mathbf{N}_* \Gamma = \left\{ N_0 \Gamma \xleftarrow{d} N_1 \Gamma \xleftarrow{d} N_2 \Gamma \cdots \right\}$$

where  $d = d_0|_{N_n \Gamma}, n \geq 0$ .

**Theorem 7.17.** (*J. C. Moore*) *There are natural isomorphisms  $\pi_* \Gamma := \pi_*(|\Gamma|) \cong H_*(\mathbf{N}_* \Gamma, d)$ .*

*Remark 7.10.*  $|\Gamma|$  is a topological group.

**Corollary 7.5.** *If  $X$  is pointed connected space, let  $\Gamma_* = \Gamma_*(X)$  be a simplicial group model of  $X$ , then*

$$\pi_i(X) \cong \pi_{i-1}(\Gamma_*(X)) \cong H_{i-1}(\mathbf{N}_*\Gamma, d), \forall i \geq 1.$$

*Proof.* By Kan's theorem,  $\Omega X \simeq |\Gamma_*(X)|$ , then

$$\pi_i(X) \cong \pi_{i-1}(\Omega X) \cong \pi_{i-1}(|\Gamma_*(X)|), \forall i \geq 1.$$

□

### Homotopy 2-types

**Definition 7.28.** A connected space  $X$  is called *homotopy  $n$ -type* ( $n$ -coconnected) if  $\pi_i(X) = 0, \forall i \geq n+1$ . Write  $\text{Ho}(\mathbf{Top}_{0,*}^{\leq n})$  the homotopy subcategory of  $n$ -types.

Since  $\text{Ho}(\mathbf{Top}_{0,*}) \cong \text{Ho}(\mathbf{sGr})$  a natural question is to characterize the image of  $\text{Ho}(\mathbf{Top}_{0,*}^{\leq n})$  in  $\text{Ho}(\mathbf{sGr})$ .

For  $n = 1$ , we have aspherical spaces with

$$\begin{array}{ccc} \text{Ho}(\mathbf{Top}_{0,*}^{\leq 1}) & \cong & \mathbf{Gr} \\ X & \mapsto & \pi_1(X) \\ B\Gamma & \hookleftarrow & \Gamma \end{array}$$

so  $\text{Ho}(\mathbf{Top}_{0,*}^{\leq 1})$  can be identified with discrete simplicial groups  $\Gamma_* = \{\Gamma_n\}_{n \geq 0}$ .

If  $\Gamma_8$  is a discrete simplicial group, then

$$N_n\Gamma = \begin{cases} \Gamma & n = 0, \\ 1 & n \neq 0. \end{cases}$$

and the Moore complex is

$$\mathbf{N}_*\Gamma = \left\{ 1 \longleftarrow \Gamma \longleftarrow 1 \longleftarrow 1 \cdots \right\}.$$

We want a similar characterization for  $\text{Ho}(\mathbf{Top}_{0,*}^{\leq 2})$ . This is given in terms of crossed modules of groups.

### 7.11 Crossed Modules

Recall if  $A, G$  are fixed groups with  $A$  being abelian, then it is well-known that the equivalence classes of extensions

$$\xi := \left[ \begin{array}{ccccccc} 0 & \longrightarrow & A & \xrightarrow{i} & E & \xrightarrow[\pi]{s} & G \longrightarrow 0 \end{array} \right]$$

are in bijection with cohomology classes in  $H^2(G, A)$ .

To give a class in  $H^2(G, A)$ , choose a section  $G \xrightarrow{s} E$  such that  $\pi s = \text{Id}_G$  and  $s(1) = 1$ , and define

$$\begin{aligned} G &\rightarrow \text{Aut}(A) \\ g &\mapsto \left( \text{Ad}_g : a \mapsto s(g) a s(g)^{-1} \right) \end{aligned}$$

and

$$\begin{aligned} c : G \times G &\rightarrow A \\ (g, h) &\mapsto s(gh) s(g)^{-1} s(h)^{-1} \end{aligned}$$

then  $c$  is a 2-cocycle, hence  $[c] \in H^2(G, A)$ .

We want a similar interpretation of  $H^3(G, A)$ .

**Definition 7.29.** [Whitehead] A *crossed module* is a group homomorphism  $\mu : M \rightarrow N$  given together with a left action

$$\begin{aligned} \rho : N \times M &\rightarrow M \\ (n, m) &\mapsto {}^n m \end{aligned}$$

or equivalently

$$\begin{aligned} \rho : N &\rightarrow \text{Aut}(M) \\ n &\mapsto (m \mapsto {}^n m) \end{aligned}$$

satisfying

1.  $\mu({}^n m) = n \cdot \mu(m) \cdot n^{-1}$  in  $N$ .
2. (Peiffer relation)  $\mu({}^{\mu(m)} m') = m \cdot m' \cdot m^{-1}$  in  $M$ .

*Remark 7.11.* The following lifting property is equivalent to axioms 1 and 2.

Given any group homomorphism  $\mu : M \rightarrow N$  there is a natural commutative diagram

$$\begin{array}{ccc} M & \xrightarrow{\text{Ad}} & \text{Aut}(M) \\ \mu \downarrow & \nearrow \rho & \downarrow \\ N & \xrightarrow{\text{Ad}} & \text{Aut}(N) \end{array} \qquad \begin{array}{ccc} m & \xrightarrow{\quad} & \text{Ad}_m \\ \downarrow & & \downarrow \\ \mu(m) & \xrightarrow{\quad} & \text{Ad}_{\mu(m)} \end{array}$$

then  $\mu$  is a crossed module if and only if there is a lift  $\rho : N \rightarrow \text{Aut}(M)$  making the diagram commutes.

**Example 7.36.** (Algebra) Let  $M \trianglelefteq N$  be a normal subgroup, then  $i : M \hookrightarrow N$  is a crossed module with  ${}^n m = n \cdot m \cdot n^{-1}$ .

**Example 7.37.** [Whitehead] (Topology) A Serre fibration

$$F \xrightarrow{i} E \xrightarrow{\pi} B$$

yields the natural crossed module

$$\mu = \pi_1(i) : \pi_1(F) \rightarrow \pi_1(E).$$

**Example 7.38.** (classical algebraic K-theory) If  $R$  is a unital ring, recall for  $n \geq 3$  we can define the  $n$ -th Steinberg group

$$\text{St}_n(R) = \frac{\langle x_{ij}(r), r \in R, 1 \leq i \neq j \leq n \rangle}{\left( \begin{array}{l} x_{ij}(r) \cdot x_{ij}(s) = x_{ij}(r+s) \\ [x_{ij}(r), x_{kl}(s)] = \begin{cases} 1 & j \neq k, i \neq l \\ x_{il}(rs) & j = k, i \neq l \\ x_{kj}(-rs) & j \neq k, i = l \end{cases} \end{array} \right)}$$

Note that the elementary matrices satisfy these relations.

So  $\mu_n : \text{St}_n(R) \rightarrow E_n(R) = [GL_n(R), GL_n(R)] \hookrightarrow GL_n(R)$  is a well-defined group homomorphism.

We have a natural commutative diagram

$$\begin{array}{ccc} \text{St}_n(R) & \xrightarrow{\mu_n} & GL_n(R) \\ \downarrow \text{in} & & \downarrow \text{in} \\ \text{St}_{n+1}(R) & \xrightarrow{\mu_{n+1}} & GL_{n+1}(R) \end{array}$$

which induces

$$\mu : \text{St}(R) \rightarrow GL(R)$$

where  $\text{St}(R) = \varinjlim_n \text{St}_n(R)$  and  $GL(R) = \varinjlim_n GL_n(R)$ .

This is naturally a crossed module with respect to the natural conjugation action of  $GL(R)$ . This follows from

**Lemma 7.7.** (Whitehead)  $\text{Im}(\mu) = E(R) = [GL(R), GL(R)] \triangleleft GL(R)$ .

**Theorem 7.18.** (Kervaire–Steinberg)

1.  $St(R)$  is universal central extension of  $E(R)$ .
2. There is an exact sequence

$$0 \longrightarrow K_2(R) \longrightarrow St(R) \longrightarrow E(R) \longrightarrow K_1(R) \longrightarrow 0$$

where  $K_i(R), i = 1, 2$  are the first and second algebraic  $K$ -theory groups of  $R$ .

**Example 7.39.** Any group object in  $\mathbf{Cat}$  naturally determines a crossed module (i.e.  $\text{Hom}_{\mathbf{Cat}}(-, \mathcal{G}) : \mathbf{Cat} \rightarrow \mathbf{Set}$  factors through groups

$$\begin{array}{ccc} \mathbf{Cat} & \xrightarrow{\text{Hom}_{\mathbf{Cat}}(-, \mathcal{G})} & \mathbf{Set} \\ & \searrow & \nearrow \\ & \mathbf{Gr} & \end{array}$$

Note that  $\mathcal{N} : \mathbf{Cat} \rightarrow \mathbf{sSet}$  the usual nerve functor is right adjoint, hence it maps group objects in  $\mathbf{Cat}$  to group objects in  $\mathbf{sSet}$ , i.e. simplicial groups.  $\mathcal{N}_*(\mathcal{G}) \in \text{Ob}(\mathbf{sGr})$ . Take the Moore complex of  $\mathcal{N}_*\mathcal{G}$ ,

$$N(\mathcal{N}_*\mathcal{G}) = \left\{ N_0 \xleftarrow{d} N_1 \xleftarrow{d} N_2 \xleftarrow{\quad} \cdots \right\}$$

then  $d : N_1 \rightarrow N_0$  is a crossed module.

### The Relation of Crossed Module with $H^3(G, A)$

**Lemma 7.8.** Given a crossed module  $(M \xrightarrow{\mu} N, \rho)$ , define  $A := \text{Ker}(\mu)$  and  $G = \text{Coker}(\mu)$ . Then

1.  $G$  and  $A$  are groups with  $A$  being an abelian central subgroup of  $M$ .
2. the action  $\rho : N \times M \rightarrow M$  induces a well-defined action  $\bar{\rho} : G \times A \rightarrow A$  making  $A$  a  $G$ -module.

*Proof.* By axiom 1  $n \cdot \mu(m) \cdot n^{-1} \in \text{Im}(\mu)$  for any  $m \in M, n \in N$ , so  $\text{Im}(\mu) \trianglelefteq N$ , thus  $G = N/\text{Im}(\mu)$  is a group.

By axiom 2, for any  $a \in A, m \in M$ , we have  $m = {}^{\mu(a)}m = a \cdot m \cdot a^{-1}$ , so  $[a, m] = 1$ , thus  $A$  is a central subgroup of  $M$ .

The action  $\rho$  restricts to  $A$  since for any  $a \in A, n \in N$ ,  $\mu({}^na) = n \cdot \mu(a) \cdot n^{-1} = 1 \in N$ , so  ${}^na \in A$ . Furthermore, for any  $m \in M$ ,  ${}^{\mu(m)}a = m \cdot a \cdot m^{-1} = a$ , so  $\text{Im}(\mu)$  acts trivially on  $A$ , thus the action descends to  $\bar{\rho} : G \times A \rightarrow A$  making  $A$  a  $G$ -module.  $\square$

**Example 7.40.** If we take the Steinberg crossed module  $St(R) \xrightarrow{\mu} GL(R)$ , in this case  $G = K_1(R)$  and  $A = K_2(R)$ .

Fix a group  $G$  and an abelian group  $A$  with a  $G$ -module structure, consider the set  $\mathbf{CrMod}(G, A)$  of all crossed modules  $(M \xrightarrow{\mu} N, \rho)$  with  $G = \text{Coker}(\mu)$  and  $A = \text{Ker}(\mu)$ , and the induced action  $\bar{\rho}$  being the one given.

We say that two such crossed modules  $(M \xrightarrow{\mu} N, \rho)$  and  $(M' \xrightarrow{\mu'} N', \rho')$  are related if there exist group homomorphisms  $\alpha : M \rightarrow M'$  and  $\beta : N \rightarrow N'$  such that

$$\begin{array}{ccccccccc} 0 & \longrightarrow & A & \longrightarrow & M & \longrightarrow & N & \longrightarrow & G & \longrightarrow & 0 \\ & & \parallel & & \downarrow \alpha & & \downarrow \beta & & \parallel & & \\ 0 & \longrightarrow & A & \longrightarrow & M' & \longrightarrow & N' & \longrightarrow & G & \longrightarrow & 0 \end{array}$$

commutes.

Let  $\mathbf{CrMod}(G, A) / \sim$  be the set of equivalence of classes of crossed modules in  $\mathbf{CrMod}(G, A)$  modulo the equivalence generated by the above relation.

**Proposition 7.5.** (*Mac Lane*) *There is a natural bijection*

$$\mathbf{CrMod}(G, A) / \sim \cong H^3(G, A).$$

The cohomology class  $k(\mu, \rho) \in H^3(G, A)$  corresponds to  $(M \xrightarrow{\mu} N, \rho)$  is called its Mac Lane invariant.

**Topological interpretation.** We need the notion of a classifying space for crossed modules.

Given a crossed module  $(M \xrightarrow{\mu} N, \rho)$ , define a (small) category  $\mathcal{C} = \mathcal{C}(\mu, \rho)$  as follows:

**Objects:**  $Ob(\mathcal{C}) := N$

**Morphisms:**  $Mor(\mathcal{C}) := M \rtimes N$  where  $M \rtimes N \cong M \times N$  as a set and composition is given by  $(m, n) \cdot (m', n') = (m \cdot^n m', nn')$ .

The source and target maps are

$$\begin{aligned} s : Mor(\mathcal{C}) &\longrightarrow Ob(\mathcal{C}) \\ (m, n) &\longmapsto n \\ t : Mor(\mathcal{C}) &\longrightarrow Ob(\mathcal{C}) \\ (m, n) &\longmapsto \mu(m)n \end{aligned}$$

so  $(m, n) \in M \rtimes N$  corresponds to the arrow

$$n \xrightarrow{(m, n)} \mu(m)n.$$

The composition is given by

$$\left( \mu(m)n \xrightarrow{(m', \mu(m)n)} \mu(m') \mu(m)n \right) \circ \left( n \xrightarrow{(m,n)} \mu(m)n \right) = \left( n \xrightarrow{(m'm,n)} \mu(m'm)n \right)$$

The nerve  $\mathcal{N}_*\mathcal{C}$  of the category looks as follows

$$\mathcal{N}_0\mathcal{C} = N.$$

$$\mathcal{N}_1\mathcal{C} = M \rtimes N.$$

$$\dots,$$

$$\mathcal{N}_n\mathcal{C} = M \rtimes (M \rtimes (\dots \rtimes (M \rtimes N))) \cong M^n \times N \text{ as a set.}$$

All face and degeneracy maps  $d_i, s_j$  are group homomorphisms, so  $\mathcal{N}_*\mathcal{C}$  is a simplicial group. We denote this simplicial group by  $N//M \in \text{Ob}(\mathbf{sGr})$ .

**Definition 7.30.** (Mac Lane) The *classifying space of the crossed module*  $(M \xrightarrow{\mu} N, \rho)$  is

$$X(\mu, \rho) := B|N//M|$$

the usual classifying space of a topological space  $|N//M|$ .

We want to understand the homotopy type of  $X(\mu, \rho)$ .

We will use the Kan loop group adjunction

$$\mathbb{G} : \mathbf{sSet}_0 \rightleftarrows \mathbf{sGr} : \overline{W}.$$

In these terms, we can identify  $B|N//M| \simeq |\overline{W}(N//M)|$ , then use Kan's theorem. for any  $i \geq 1$ ,

$$\pi_i(B|N//M|) \cong \pi_i(|\overline{W}(N//M)|) \cong \pi_{i-1}(\Omega|\overline{W}(N//M)|) \cong \pi_{i-1}(|\mathbb{G}\overline{W}(N//M)|) \cong \pi_{i-1}(|N//M|) \cong H_{i-1}(\mathbf{N}_\bullet(N//M)),$$

where the second isomorphism follows from the fact that the unit of Kan loop adjunction is a weak equivalence.

We need to see what is the Moore complex of  $N//M$ . By definition,  $N//M$  looks as follows

$$\begin{array}{c} \xleftarrow{d_1=s} \\ N \xrightarrow{s_0} M \rtimes N \\ \xleftarrow{d_0=t} \end{array}$$

where  $s_0 : N \rightarrow M \rtimes N$  is the canonical inclusion so that  $d_0 s_0 = d_1 s_0 = \text{Id}_N$ . Thus  $d_0|_N = d_1|_N = \text{Id}_N$ .



A straightforward calculation shows that  $\mathbf{N}_\bullet(N//M, d)$  has the form

$$N \xleftarrow{d=\mu} \text{Ker}(d_1) \cong M \longleftarrow 1 \longleftarrow 1 \longleftarrow \dots$$

where  $d = d_0|_{\text{Ker}(d_1)} = \mu$ .

*Claim 7.2.* This is exactly the crossed module we start with.

**Lemma 7.9.** *If  $\Gamma_*$  is a simplicial group such that its Moore complex has length 1, then the following relation holds.*

$$\Gamma_* = \left[ \begin{array}{c} \Gamma_0 \begin{array}{c} \xleftarrow{d_1} \\ \xrightarrow{s_0} \\ \xleftarrow{d_0} \end{array} \Gamma_1 \begin{array}{c} \xleftarrow{\quad} \\ \xleftarrow{\quad} \\ \xleftarrow{\quad} \end{array} \dots \end{array} \right]$$

and  $[\text{Ker}(d_0), \text{Ker}(d_1)] = 1$  in  $\Gamma_1$ .

*Proof.* (Sketch).

For  $x \in \text{Ker}(d_0)$  and  $y \in \text{Ker}(d_1)$ , we consider  $[s_0(x) s_1(x)^{-1}, s_0(y)] \in \Gamma_2$  then

$$d_0 \left( [s_0(x) s_1(x)^{-1}, s_0(y)] \right) = [d_0 s_0(x) d_0 (s_1(x)^{-1}), d_0 s_0(y)] = [x (s_0 d_0 x)^{-1}, y] = [x, y] = 1$$

and

$$d_1 \left( [s_0(x) s_1(x)^{-1}, s_0(y)] \right) = [d_1 s_0(x) d_1 (s_1(x)^{-1}), d_1 s_0(y)] = [1, y] = 1$$

so by assumption

$$[s_0(x) s_1(x)^{-1}, s_0(y)] \in \text{Ker}(d_0) \cap \text{Ker}(d_1) = 1$$

Note

$$1 = d_0 \left( [s_0(x) s_1(x)^{-1}, s_0(y)] \right) = [x, y].$$

□

**Lemma 7.10.** *In the case of simplicial group  $N//M$ , the commutator relation  $[\text{Ker}(d_0), \text{Ker}(d_1)] = 1$  is equivalent to the axiom 2 of crossed module.*

**Corollary 7.6.** *We have*

$$\begin{aligned} \pi_1(B|N//M|) &\cong H_0(\mathbf{N}_\bullet|N//M|, d) \cong G \\ \pi_2(B|N//M|) &\cong H_1(\mathbf{N}_\bullet|N//M|, d) \cong A \\ \pi_i(B|N//M|) &= 0, i \geq 3. \end{aligned}$$

Moreover, the action of  $\pi_1$  on  $\pi_2$  agrees with the  $G$ -module structure on  $A$ , and

$$\begin{aligned} H^3(B\pi_1; \pi_2) &\cong H^3(G; A) \\ \text{Postnikov's } k^3\text{-invariant} &\leftrightarrow k(\mu, \rho) \end{aligned}$$

Recall for any connected CW complex  $X$ , the Postnikov decomposition is given by

$$\text{cosk}_1(X) \llcorner \text{cosk}_2(X) \llcorner \text{cosk}_3(X) \llcorner \dots \llcorner X$$

such that

$$1. \pi_i(X) \cong \pi_i(\text{cosk}_n(X)), i \leq n.$$

$$\pi_i(\text{cosk}_n(X)) = 0, i > n.$$

2. For  $n \geq 2$ , there is a fibration

$$\text{cosk}_{n-1}(X) \llcorner \text{cosk}_n(X) \llcorner K(\pi_n(X), n)$$

with characteristic classes

$$k^{n+1}(X) \in H^{n+1}(\text{cosk}_{n-1}(X); \pi_n(X))$$

called the  $(n+1)$ -th Postnikov invariants.

In our case, if  $X = X(\mu, \rho) = B|N/M|$ , then we have

$$B\pi_1(X) = \text{cosk}_1(X) \llcorner \text{cosk}_2(X) \llcorner \text{cosk}_3(X) \llcorner \dots \llcorner X$$

the Postnikov invariant  $k^3 \in H^3(B\pi_1 X, \pi_2 X) \cong H^3(\pi_1 X, \pi_2 X) \cong H^3(G, A)$  coincide with Mac Lane's invariant.

As a summary, we have

**Theorem 7.19.** (Loday) *The following are equivalent:*

1. crossed modules of groups  $(M \xrightarrow{\mu} N, \rho)$
2. group objects in **Cat**
3. simplicial groups  $\Gamma_*$  with Moore complex of length 1

*Proof.* We have construct the proof of  $1 \implies 2 \implies 3 \implies 1$  as follows.

Given a crossed module of groups  $(M \xrightarrow{\mu} N, \rho)$ , we can define a group object  $\mathcal{C}(\mu, \rho)$ .

For a group object  $\mathcal{C}$ , the nerve  $\mathcal{N}_*\mathcal{C}$  is a simplicial group with Moore complex of length 1.

The Moore complex of  $\Gamma_*$

$$\left[ N = \Gamma_0 \xleftarrow{\mu} M = \text{Ker}(d_1) \xleftarrow{\quad} 1 \xleftarrow{\quad} \dots \right]$$

gives a crossed module  $\mu : M \rightarrow N$ . Note the axiom 2 of crossed module is equivalent to  $[\text{Ker}(d_0), \text{Ker}(d_1)] = 1$  in  $\Gamma_1$ .  $\square$

## 7.12 Homotopy Normal Maps

The references for this part are [FS10, FH11].

There is another view on this based on the notion of homotopy normal maps.

**Motivation:** recall an injective group homomorphism  $\mu : M \hookrightarrow N$  is normal if it is the kernel of some group homomorphism  $N \rightarrow \Gamma$ . Up to homotopy, and group homomorphism can be viewed as an inclusion, so it's natural to ask how to extend this notion to an arbitrary homomorphism.

**Definition 7.31.** A group homomorphism  $\mu : M \rightarrow N$  is *homotopy normal* if the corresponding map of spaces  $B\mu : BM \rightarrow BN$  is the map of a homotopy fibre of some fibration

$$BM \xrightarrow{B\mu} BN \xrightarrow{\nu} X$$

where  $X$  is a pointed connected space. The homotopy class of  $\nu$  is called the *normal structure* on  $\mu$ .

Note if  $M \trianglelefteq N$  is a normal subgroup in the normal sense, we have a canonical normal structure on  $\mu : M \hookrightarrow N$ , i.e.  $BN \xrightarrow{p} B(N/M)$  where  $N \xrightarrow{p} N/M$ .

**Theorem 7.20.** [FS10] A group homomorphism  $\mu : M \rightarrow N$  is homotopy normal if and only if there is an action  $\rho : N \rightarrow \text{Aut}(M)$  making  $\mu : M \rightarrow N$  a crossed module. The corresponding space for which  $BM \rightarrow BN$  is the homotopy fiber map is  $B|N/M|$ , the classifying space of  $(M \xrightarrow{\mu} N, \rho)$ .

Alternative way to state this is in terms of homotopy colimits.

Given  $\mu : M \rightarrow N$ , we can consider the diagram

$$\begin{array}{ccc} \mu : \underline{M} & \longrightarrow & \mathbf{Cat} & \hookrightarrow & \mathbf{sSet} \\ * & \longmapsto & N & & \\ m & \longmapsto & \left( \begin{array}{ccc} l_m : N & \rightarrow & N \\ n & \mapsto & \mu(m)n \end{array} \right) \end{array}$$

and take the homotopy quotient (Borel construction) to get a simplicial set

$$N//M = \operatorname{hocolim}_{\underline{M}} (\mu) = E_* M \times_M N$$

with

$$\begin{aligned} (N//M)_0 &= M \times_M N \cong N \\ (N//M)_n &= \underbrace{M \times_M M \times_M \cdots \times_M M}_{n+1} \times_M N \cong M^n \times N \end{aligned}$$

where  $N = (N//M)_0$  acts by right multiplication on  $(N//M)_n$ , so we get an  $N$ -simplicial set.

Similarly, for any simplicial group  $\Gamma_*$ ,  $\Gamma_0$  acts on the right via the degeneracy maps  $s_0$  on all  $\Gamma_n$ , so  $\Gamma_*$  is a  $\Gamma_0$ -simplicial set.

**Theorem 7.21.**  $\mu : M \rightarrow N$  is homotopy normal if and only if there is a simplicial group  $\Gamma_*$  such that  $\Gamma_0 \cong N$  which extends to an isomorphism of  $\Gamma_0$ -simplicial sets  $\Gamma_* \xrightarrow{\cong} N//M$ .

*Remark 7.12.* This extends to other monoidal model categories.

**Example 7.41.** A map  $\mu : M_* \rightarrow N_*$  between simplicial groups is homotopy normal if there exists homotopy fibration of simplicial sets

$$\overline{W}(M_*) \xrightarrow{\overline{W}\mu} \overline{W}(N_*) \xrightarrow{\mu} X_*.$$

### Example of Crossed modules

If  $G$  is a group,  $z \in \mathcal{Z}(G)$  is a central element, then the twisted nerve of  $G$  is a cyclic set

$$\begin{aligned} B_*(G, z) : \Delta \mathbf{C}^{op} &\longrightarrow \mathbf{Set} \\ [n] &\longmapsto G^n \end{aligned}$$

such that  $B_*(G, z)|_{\Delta^{op}} = B_*G$ . The cyclic action is

$$t_n(g_1, \dots, g_n) = (z(g_1 \cdots g_n)^{-1}, g_2, \dots, g_n).$$

The cyclic realization of  $B_*(G, z)$  is

$$X(G, z) = |B_*(G, z)|^{cy} := E\mathbb{S}^1 \times_{\mathbb{S}^1} |B_*(G, z)|.$$

**Question.** what is the homotopy type of  $B_*(G, z)$ ?

**Aside.** Let  $C_* = \{\mathbb{Z}/(n+1)\}_{n \geq 0}$  and  $X_*$  be a cyclic set. We have a canonical map

$$C_* \times X_* \rightarrow X_*$$

via the action of  $\text{Aut}_{\Delta C^{op}}([n]) \cong \mathbb{Z}/(n+1)$  on  $X_*$ , which is not a map of simplicial sets. So we need to replace it by  $FUX_n \rightarrow X_n$  where

$$F : \mathbf{Set}^{\Delta^{op}} \rightrightarrows \mathbf{Set}^{\Delta C^{op}} : U.$$

Consider the crossed module

$$\begin{array}{ccc} \gamma : \mathbb{Z} & \longrightarrow & G \\ n & \mapsto & z^n \end{array}$$

with the trivial  $G$ -action on  $\mathbb{Z}$ .

$$X(G, z) \cong B(G/\langle \mathbb{Z} \rangle).$$

Thus

$$\begin{aligned} \pi_i(X(G, z)) &= 0 & i \geq 3 \\ \pi_1(X(G, z)) &= \text{Coker } \gamma \\ \pi_2(X(G, z)) &= \text{Ker } \gamma \end{aligned}$$

If  $z$  is of finite order,

$$X(G, z) \cong B(G/\langle z \rangle).$$

### Quillen's + Construction

We will work with pointed connected CW complexes. Given such a complex  $X$ , denote  $\pi := \pi_1(X, *)$ .

**Theorem 7.22.** *Let  $N \trianglelefteq \pi$  be a normal perfect (i.e.  $[N, N] = N$ ) subgroup of  $\pi$ , then there exists a CW complex  $X_N^+$  with a map  $j : X \rightarrow X_N^+$  such that*

1.  $\pi_1(j) : \pi \rightarrow \pi_1(X_N^+)$  is surjective with  $\text{Ker } \pi_1(j) = N$ .
2.  $j_* : H_*(X, \mathbb{Z}) \xrightarrow{\sim} H_*(X_N^+, \mathbb{Z})$  is an isomorphism.

**Remark 7.13.** The second condition can be restated as, for any  $\pi_1(X_N^+)$ -module  $A$  (local system on  $X_N^+$ ),  $j^* : H^*(X_N^+, A) \xrightarrow{\sim} H^*(X, A)$ .

**Idea.** Add 2-cells to “kill”  $N \subseteq \pi$  and add 3-cells to neutralize the effect of 2-cells on homology groups.

**Remark 7.14.** There is an abstract analogue of this construction for various model categories (e.g. differential graded Lie algebras) where “perfect” makes sense.

**Analogy.**

1.  $\pi_*$  for spaces  $\longleftrightarrow H_*$  of differential graded objects.
2.  $H_*$  for spaces  $\longleftrightarrow$  Quillen’s homology of differential graded objects.

### Basic properties of $+$ construction

**(P1)**  $(X_N^+, j)$  is universal in  $\text{Ho}(\mathbf{Top}_{0,*})$  among all pairs of  $(Y, f : X \rightarrow Y)$  such that  $\pi_1(f)(N) = 1$  in  $\pi_1(Y)$ , in the sense

$$\begin{array}{ccc} X & \xrightarrow{\quad} & Y \\ & \searrow j & \nearrow \tilde{f} \\ & X_N^+ & \end{array}$$

where  $\tilde{f}$  is unique up to homotopy.

**(P2)** If  $G$  is a group such that  $N = [G, G]$  is perfect, i.e.  $[[G, G], [G, G]] = [G, G]$ , then  $i : N \hookrightarrow G$  induces  $Bi : BN \rightarrow BG$  which gives a universal covering  $\alpha = (Bi)^+ : (BN)_N^+ \rightarrow (BG)_N^+$

$$\begin{array}{ccc} BN & \xrightarrow{Bi} & BG \\ j \downarrow & & \downarrow j \\ (BN)_N^+ & \xrightarrow{\alpha} & (BG)_N^+ \end{array}$$

**Application.** If  $R$  is a unital associative ring, define  $GL(R) := \varinjlim_n GL_n(R)$  and  $E(R) := \varinjlim_n E_n(R) \trianglelefteq GL(R)$  the elementary subgroup generated by elementary matrices.

**Lemma 7.11.** (Whitehead) *The derived group of the stable general linear group is the group generated by elementary matrices.*

$$E(R) = [GL(R), GL(R)].$$

**Definition 7.32.** (Quillen) For  $n \geq 1$ , the higher algebraic K-theory

$$K_n(R) := \pi_n(BGL(R)^+)$$

where the  $+$  construction is taken with respect to  $E(R)$ .

Applying property 7.12 to  $E_n(R) \leq GL(R)$ , we get  $BE(R)^+ \rightarrow BGL(R)^+$  which gives a universal covering

$$\pi_n(BE(R)^+) \longrightarrow K_n(R), n \geq 2.$$

### The Bousfield-Kan Completion of A Space

The Bousfield-Kan completion is a natural extension of pronilpotent completion of groups to spaces.

Recall, if  $F$  is a (discrete group), then the lower central series is given by

$$\Gamma = \Gamma_1 \supseteq \Gamma_2 \supseteq \cdots \supseteq \Gamma_n \supseteq \cdots$$

where  $\Gamma_1 = \Gamma, \Gamma_2 = [\Gamma_1, \Gamma], \cdots, \Gamma_n = [\Gamma_{n-1}, \Gamma], n \geq 0$ .

$\Gamma$  is abelian if and only if  $\Gamma_2 = \{1\}$ .

$\Gamma$  is nilpotent if and only if there exists  $n \geq 2$  such that  $\Gamma_n = \{1\}$ .

**Definition 7.33.** The pronilpotent completion of  $\Gamma$  is

$$\widehat{\Gamma} = C(\Gamma) := \varprojlim_n \Gamma/\Gamma_n$$

with completion map

$$\begin{aligned} p: \Gamma &\rightarrow C\Gamma \\ \gamma &\mapsto (\gamma\Gamma_1, \gamma\Gamma_2, \cdots) \end{aligned}$$

where

$$\cdots \longrightarrow \Gamma/\Gamma_3 \xrightarrow{p_2} \Gamma/\Gamma_2 \xrightarrow{p_1} \Gamma/\Gamma_1 = 1.$$

Explicitly,

$$C\Gamma = \left\{ (\bar{\gamma}_1, \bar{\gamma}_2, \cdots) \in \prod_{n \geq 1} \Gamma/\Gamma_n \mid p_n(\bar{\gamma}_{n+1}) = \gamma_n, \forall n \geq 1 \right\}.$$

The completion map  $p$  is universal among all maps  $\Gamma \rightarrow N$ .

*Remark 7.15.* In categorical terms, this can be defined as the right Kan extension of the inclusion of nilpotent groups  $\mathbf{NGr} \xrightarrow{i} \mathbf{Gr}$  along itself.

$$\begin{array}{ccc} \mathbf{NGr} & \hookrightarrow & \mathbf{Gr} \\ i \downarrow & \nearrow R_i(i)=C & \\ \mathbf{Gr} & & \end{array}$$

and

$$C(\Gamma) = \lim_{\Gamma \downarrow \mathbf{NGr}} (i).$$

Next, recall the Kan loop group construction

$$\mathbb{G} : \mathbf{sSet}_0 \rightleftarrows \mathbf{sGr} : \overline{W}.$$

Note

- $\pi_i(\mathbb{G}X) = \pi_i(\Omega|X|) = \pi_{i+1}(|X|), \forall i \geq 0.$
- $X \xrightarrow{\sim} \overline{W}\mathbb{G}X$  is a weak equivalence for any reduced simplicial set  $X$ .
- $\mathbb{G}\overline{W}\Gamma \xrightarrow{\sim} \Gamma$  is a weak equivalence for any simplicial group  $\Gamma$ .

**Definition 7.34.** The Bousfield-Kan(integral) completion of a reduced simplicial set  $X$  is defined by

$$\mathbb{Z}_\infty(X) := \overline{W}C\mathbb{G}(X)$$

where  $C : \mathbf{sGr} \rightarrow \mathbf{sGr}$  is the degreewise pronilpotent completion. If  $X \in Ob(\mathbf{Top}_{0,*})$ , then

$$\mathbb{Z}_\infty(X) := |\mathbb{Z}_\infty(\mathcal{ES}_*(X))|.$$

**Basic properties** [BK]

**(I1)** [Lemma I 5.5, p25] For a map  $f : X \rightarrow Y$  in  $\mathbf{sSet}_0$ ,  $\mathbb{Z}_\infty(f) : \mathbb{Z}_\infty(X) \rightarrow \mathbb{Z}_\infty(Y)$  is a homotopy equivalence if and only if  $f_* : H_*(X, \mathbb{Z}) \rightarrow H_*(Y, \mathbb{Z})$  is an isomorphism.

**(I2)** [Prop V 3.4, P34] For any reduced simplicial set  $X$ , the canonical map  $i : X \rightarrow \mathbb{Z}_\infty(X)$  is a weak equivalence if and only if  $X$  is nilpotent, i.e.  $\pi_1(X)$  is nilpotent and  $\pi_1(X)$  acts nilpotently on higher homotopy groups  $\pi_i(X), i \geq 2$ .

**Proposition 7.6.** [D. Farjoun] Let  $R$  be a unital associative ring, then there exists a natural homotopy group equivalence

1.  $|\mathbb{Z}_\infty \overline{W}E(R)| \cong BE(R)^+.$
2.  $|\mathbb{Z}_\infty \overline{W}GL(R)| \cong BGL(R)^+.$

**Moral.**  $\mathbb{Z}_\infty$  can be viewed as a simplicial realization of  $+$  construction.



**Corollary 7.7.**  $K_n(R) = \pi_n |\mathbb{Z}_\infty \overline{W}GL(R)|, n \geq 1.$

**Corollary 7.8.** *The functor  $C : \mathbf{sGr} \rightarrow \mathbf{sGr}$  has left deformation  $Q = \mathbb{G}\overline{W} : \mathbf{sGr} \rightarrow \mathbf{sGr}$  and therefore it has derived functor*

$$\mathbb{L}C : Ho(\mathbf{sGr}) \rightarrow Ho(\mathbf{sGr})$$

*such that*

$$\pi_n \mathbb{L}C(GL(R)) \cong K_{n+1}(R), n \geq 0.$$

*Remark 7.16.* This applies to many functors on groups.

## Part IV

## Derived Algebraic Geometry

## 8 Simplicial Presheaves

**Motivation.** In classical algebraic geometry, basic objects are schemes.

Fix a commutative ring  $k$  (base ring). Consider  $\mathbf{Sch}_k$  the category of schemes over  $k$  and the Yoneda embedding

$$\begin{array}{ccc} \mathbf{Sch}_k & \longrightarrow & \mathbf{Fun}(\mathbf{Sch}_k^{op}, \mathbf{Set}) \\ X & \longmapsto & h_X \end{array}$$

where  $h_X$  is called the *functor of points* of  $X$ .  $X$  is determined by  $h_X$  up to unique isomorphism.

We can refine Yoneda lemma in the following way.

Recall affine schemes over  $k$  are schemes of the form  $X = \mathrm{Spec}(A)$  where  $A$  is a commutative  $k$ -algebra, thus we have a functor

$$\mathrm{Spec} : \mathbf{CommAlg}_k \longrightarrow \mathbf{Sch}_k$$

with images being affine schemes.

Note we have

$$\begin{array}{ccccc} \mathbf{Sch}_k & \xrightarrow{\quad h \quad} & \mathbf{Fun}(\mathbf{Sch}_k^{op}, \mathbf{Set}) & \xrightarrow{\quad \mathrm{Res} \quad} & \mathbf{Fun}(\mathbf{AffSch}_k^{op}, \mathbf{Set}) \\ & \searrow \text{---} & & & \downarrow \cong \\ & & & & \mathbf{Fun}(\mathbf{CommAlg}_k, \mathbf{Set}) \\ & & \text{---} \xrightarrow{\quad \bar{h} = \mathrm{Res} \circ h \quad} & & \end{array}$$

where  $\bar{h} = \mathrm{Res} \circ h$  is the composition of Yoneda functor and restriction functor.

**(Enhanced) Yoneda lemma.** the restriction of the functor of points to commutative algebras

$$\bar{h} : \mathbf{Sch}_k \longrightarrow \mathbf{Fun}(\mathbf{CommAlg}_k, \mathbf{Set})$$

remains fully faithful. Thus the category of schemes over  $k$  can be identified with a certain subcategory of functors  $F : \mathbf{CommAlg}_k \longrightarrow \mathbf{Set}$ .

**Question.** How to characterize this subcategory?

**Example 8.1.** Every (co)representable functor

$$\begin{aligned} h^A : \mathbf{CommAlg}_k &\longrightarrow \mathbf{Set} \\ B &\longmapsto \mathrm{Hom}_{\mathbf{CommAlg}_k}(A, B) \end{aligned}$$

is a scheme functor (such functors are exactly affine schemes).

For example, take  $X$  to be the elliptic curve defined by  $y^2 = x^3 + x^2 + 1$ , then

$$\begin{aligned} \bar{h} : \mathbf{CommAlg}_k &\longrightarrow \mathbf{Set} \\ B &\longmapsto \{(x, y) \in B \times B \mid y^2 = x^3 + x^2 + 1\} \end{aligned}$$

However, not only representable functors may occur.

**Example 8.2.** Fix  $0 < d < n, n \geq 1$  and consider the functor

$$\begin{aligned} \mathrm{Gr}(d, n) : \mathbf{CommAlg}_k &\longrightarrow \mathbf{Set} \\ B &\longmapsto \{\text{rank } d \text{ summands of } B^{\oplus n}\} \\ \left( B_1 \xrightarrow{f} B_2 \right) &\longmapsto \left( \begin{array}{ccc} \mathrm{Gr}(d, n)(B_1) & \rightarrow & \mathrm{Gr}(d, n)(B_2) \\ Q & \mapsto & B_2 \otimes_{B_1} Q \end{array} \right) \end{aligned}$$

This is the functor of points that represents the classical Grassmannian.

However, this is not a representable functor.

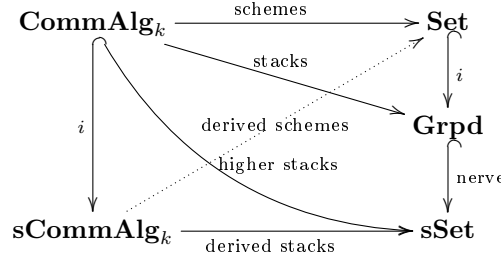
**Theorem 8.1.** [Grothendieck] A functor  $F : \mathbf{CommAlg}_k \longrightarrow \mathbf{Set}$  has the form  $h_X$  for some  $X \in \mathrm{Ob}(\mathbf{Sch}_k)$  if and only if

1.  $F$  is a sheaf in the Zariski (Grothendieck) topology in  $\mathbf{AffSch}_k \cong \mathbf{CommAlg}_k^{\mathrm{op}}$ .
2. There are commutative  $k$ -algebras  $A_i \in \mathrm{Ob}(\mathbf{CommAlg}_k)$  and natural transformations  $\alpha_i \in F(A_i) \cong \mathrm{Hom}_{\mathbf{Fun}}(h^{A_i}, F)$  such that for any field  $K \supseteq k$ ,

$$F(K) = \bigcup_{i \in I} \alpha_i(h^{A_i}(k))$$

i.e.  $F(K)$  is covered by  $h^{A_i}(K)$  via  $\alpha_i$ .

**Derived algebraic geometry.** Generalize classical schemes (viewed as functors  $\mathbf{CommAlg}_k \longrightarrow \mathbf{Set}$ ) in the following ways.



**Goal.** Need a homotopy theory on simplicial presheaves, local homotopy theory [Joyal & Jardine].

## 8.1 Grothendieck Topology

**Motivation.** If  $X$  is a topological space, we define and then replace  $X$  by the category  $\mathcal{C} = \mathcal{O}(X)$  of open sets in  $X$ .

**Objects:** open sets in  $X$

$$\mathbf{Morphisms:} \quad \mathrm{Hom}_{\mathcal{C}}(U, V) = \begin{cases} U \hookrightarrow V & U \subseteq V \\ \emptyset & \text{o.w.} \end{cases}$$

Note

1.  $X$  is the terminal object in  $\mathcal{C}$ .
2. For any finite index set  $I$ ,  $|I| < \infty$ ,

$$\bigcap_{i \in I} U_i = \prod_{i \in I} U_i.$$

3. For any index set  $I$ ,

$$\bigcup_{i \in I} U_i = \coprod_{i \in I} U_i.$$

4. A *presheaf* on  $X$  is a functor  $F : \mathcal{C}^{op} \rightarrow \mathbf{Set}$ .
5. A *sheaf* on  $X$  is a presheaf  $F : \mathcal{C}^{op} \rightarrow \mathbf{Set}$  such that for any  $U \subseteq X$  open and open covering  $\{U_i\}_{i \in I}$  of  $U$ , we have

$$F(U) \cong \mathrm{eq} \left\{ \prod_{i \in I} F(U_i) \rightrightarrows \prod_{i,j} F(U_i \cap U_j) \right\}.$$

Grothendieck's generalization of this notion of topology consists of replacing a space  $X$  (or the corresponding category  $\mathcal{O}(X)$ ) by an abelian category  $\mathcal{C}$  in which we specify a system of covering for each object  $U \in \mathrm{Ob}(\mathcal{C})$ ,

i.e. the data

$$\text{cov}(U) = \{ \{U_i \rightarrow U\}_{i \in I} \subseteq \text{Mor}(\mathcal{C}) \}$$

satisfying the following 3 axioms

**T1.** For any  $U \in \text{Ob}(\mathcal{C})$ ,  $\{U \xrightarrow{\text{Id}} U\} \in \text{cov}(U)$ .

**T2.** For any  $f : V \rightarrow U$ , and  $\{U_i \rightarrow U\}_{i \in I} \in \text{cov}(U)$ , all fibre products  $\{U_i \times_U V \rightarrow V\}_{i \in I} \in \text{cov}(V)$ , i.e. pulling back of coverings are still coverings.

**T3.** For any  $\{U_i \rightarrow U\}_{i \in I} \in \text{cov}(U)$  and  $\{U_{ij} \rightarrow U_i\}_{j \in J} \in \text{cov}(U_i)$ , we have  $\{U_{ij} \rightarrow U\}_{(i,j) \in I \times J} \in \text{cov}(U)$ .

**Modification.**

$$\begin{aligned} \mathcal{C} &\longrightarrow \hat{\mathcal{C}} = \mathbf{Fun}(\mathcal{C}^{op}, \mathbf{Set}) \\ U &\longmapsto h_U \end{aligned}$$

we can define covering in terms of subfunctors of  $h_U$ , called sieves.

### Simplicial Presheaves

Let  $\mathcal{C}$  be a category. Denote  $\mathbf{Pr}(\mathcal{C}) := \mathbf{Fun}(\mathcal{C}^{op}, \mathbf{Set})$  the category of presheaves on  $\mathcal{C}$ . For  $X \in \text{Ob}(\mathcal{C})$ ,  $h_X := \text{Hom}_{\mathcal{C}}(-, X) : \mathcal{C}^{op} \rightarrow \mathbf{Set}$  is a presheaf on  $X$ . The assignment  $X \mapsto h_X$  gives a functor  $h : \mathcal{C} \hookrightarrow \mathbf{Pr}(\mathcal{C})$ .

**Goal.** Define derived stacks as functors  $\mathbf{sCommAlg}_k \rightarrow \mathbf{sSet}$ .

We approach this in two steps:

1. define nonderived (higher) stacks as functor  $\mathbf{CommAlg}_k \rightarrow \mathbf{sSet}$
2. extend this to  $\mathbf{sCommAlg}_k$ .

**Definition 8.1.** A *sieve* over  $X \in \text{Ob}(\mathcal{C})$  is a presheaf  $u \in \mathbf{Pr}(\mathcal{C})$  which comes with  $u \hookrightarrow h_X$  (subfunctor of  $h_X$ , i.e.  $u(Y) \subseteq h_X(Y)$ ,  $\forall Y \in \text{Ob}(\mathcal{C})$ , and for all arrows  $f : Y' \rightarrow Y$  of  $\mathcal{C}$ ,  $u(f)$  is the restriction of  $h_f$  to  $u(Y)$ ).

Note  $u$  may or may not be representable,

**Definition 8.2.** A *Grothendieck topology*  $\mathcal{T}$  on  $\mathcal{C}$  consist of the data, for any  $X \in \text{Ob}(\mathcal{C})$ , there is a family of sieves  $\text{cov}(X)$  over  $X$  (covering sieves) satisfying

1.  $h_X \in \text{cov}(X)$ .

2. For any  $f : Y \rightarrow X$  and  $u \in \text{cov}(X)$ ,  $f^*(u) = h_Y \times_{h_X} u \in \text{cov}(Y)$ .

$$\begin{array}{ccc} f^*(u) & \longrightarrow & u \\ \downarrow & & \downarrow \\ h_Y & \xrightarrow{h_f} & h_X \end{array}$$

3. For any  $u \in \text{cov}(X)$ , and any sieve  $v \subset h_X$  over  $X$ , if for any  $Y \in \text{Ob}(\mathcal{C})$  and any  $f \in u(Y) \subset h_X(Y) = \text{Hom}_{\mathcal{C}}(Y, X)$ ,  $f^*(v) \in \text{cov}(Y)$ , then  $v \in \text{cov}(X)$ .

*Remark 8.1.* The reason to use presheaves instead of objects in  $\mathcal{C}$  to define covering is that  $\mathcal{C}$  is not cocomplete (even coproducts may not exist). For example, let  $X$  be a topological space and  $\mathcal{C} = \mathcal{O}(X)$  the category of open sets in  $X$ . If  $u_1, u_2 \in \text{Ob}(\mathcal{C})$ , then  $u_1 \coprod u_2 \notin \text{Ob}(\mathcal{C})$ ,  $\{u_1, u_2\}$  is a covering of  $u$  if  $u_1 \cup u_2 = u$ .

**Question.** How to associate to  $\{u_1, u_2\}$  a subfunctor  $v \subset h_u$ ?

$$v = \text{coeq} \left\{ \begin{array}{ccc} h_{u_1 \cap u_2} & \xrightarrow{i_1} & h_{u_1} \coprod h_{u_2} \\ & \xrightarrow{i_2} & \end{array} \right\} \hookrightarrow h_u$$

is a covering sieve.

**Definition 8.3.** A *sheaf* on a Grothendieck site  $(\mathcal{C}, \mathcal{T})$  is a presheaf  $F : \mathcal{C}^{op} \rightarrow \mathbf{Set}$  satisfying the sheaf axiom: for any  $X \in \text{Ob}(\mathcal{C})$  and  $u \in \text{cov}(X)$ , the inclusion  $u \hookrightarrow h_X$  induces a bijection of sets

$$F(X) \cong \text{Hom}_{\mathbf{Pr}(\mathcal{C})}(h_X, F) \xrightarrow{\sim} \text{Hom}_{\mathcal{C}}(u, F).$$

**Fact 8.1.** The inclusion functor  $i : \mathbf{Sh}(\mathcal{C}) \hookrightarrow \mathbf{Pr}(\mathcal{C})$  has a left adjoint the sheafification functor  $a : \mathbf{Pr}(\mathcal{C}) \rightarrow \mathbf{Sh}(\mathcal{C})$  which is exact in the sense that it preserves all finite limits. For  $F \in \text{Ob}(\mathbf{Pr}(\mathcal{C}))$ ,  $aF$  is called the associated sheaf of  $F$ .

**Notation.** Let  $\mathbf{sPr}(\mathcal{C})$  be the category of simplicial presheaves, i.e. simplicial objects in  $\mathbf{Pr}(\mathcal{C})$ .

$$\begin{aligned} \mathbf{sPr}(\mathcal{C}) &= \mathbf{Fun}(\Delta^{op}, \mathbf{Pr}(\mathcal{C})) \\ &\cong \mathbf{Fun}(\Delta^{op}, \mathbf{Fun}(\mathcal{C}^{op}, \mathbf{Set})) \\ &\cong \mathbf{Fun}(\Delta^{op} \times \mathcal{C}^{op}, \mathbf{Set}) \\ &\cong \mathbf{Fun}(\mathcal{C}^{op}, \mathbf{Fun}(\Delta^{op}, \mathbf{Set})) \\ &= \mathbf{Fun}(\mathcal{C}^{op}, \mathbf{sSet}) \end{aligned}$$

Thus any object  $F \in \text{Ob}(\mathbf{sPr}(\mathcal{C}))$  can be viewed as a functor  $F : \mathcal{C}^{op} \rightarrow \mathbf{sSet}$ .

**Definition 8.4.** Let  $f : F \Rightarrow G$  be a morphism of presheaves in  $\mathbf{sPr}(\mathcal{C})$ . We say that

- $f$  is a *global weak equivalence* if for any  $X \in \text{Ob}(\mathcal{C})$ ,  $(f_X : FX \rightarrow GX) \in \text{WE}(\mathbf{sSet})$ .
- $f$  is a *global fibration* if for any  $X \in \text{Ob}(\mathcal{C})$ ,  $(f_X : FX \rightarrow GX) \in \text{Fib}(\mathbf{sSet})$ .
- $f$  is a *global cofibration* if it has LLP with respect to all acyclic fibration.

This makes  $\mathbf{sPr}(\mathcal{C})$  a proper cofibrantly generated model category.

It does not depend on  $\mathcal{T}$  at all. We want to refine this such that it depends on  $\mathcal{T}$ . For this, we need to introduce homotopy sheaves of  $F \in \text{Ob}(\mathbf{sPr}(\mathcal{C}))$ .

Given  $F : \mathcal{C}^{op} \rightarrow \mathbf{sSet}$ , define the presheaf  $\tilde{\pi}_0(F) \in \mathbf{Pr}(\mathcal{C})$  by

$$\begin{array}{ccccc} \tilde{\pi}_0(F) : \mathcal{C}^{op} & \xrightarrow{F} & \mathbf{sSet} & \xrightarrow{\pi_0} & \mathbf{Set} \\ & & \searrow |-\cdot| & & \nearrow \pi_0 \\ & & \mathbf{Top} & & \end{array}$$

For  $X \in \text{Ob}(\mathcal{C})$  choose  $p \in F(X)_0$  a 0-simplex in  $F(X)$  and for  $i \geq 1$ , define

$$\begin{aligned} \tilde{\pi}_i(F, p) : (\mathcal{C}/X)^{op} &\longrightarrow \mathbf{Gr}(\subseteq \mathbf{Set}) \\ (Y \xrightarrow{f} X) &\longmapsto \pi_i(|F(Y)|, f^*(p)) \end{aligned}$$

where

$$\begin{aligned} f^* : F(X) &\rightarrow F(Y) \\ p &\mapsto f^*(p) \end{aligned}$$

Denote  $\pi_0(F) := a\tilde{\pi}_0(F)$ ,  $\pi_i(F) := a\tilde{\pi}_i(F, p)$  and refine to these as homotopy sheaves of  $F$ .

**Definition 8.5.** (local model structure on  $\mathbf{sPr}(F)$ ) Given  $f : F \Rightarrow G$  in  $\mathbf{sPr}(\mathcal{C})$  we say

- $f$  is a *local weak equivalence* if
  1.  $\pi_0(f) : \pi_0(F) \rightarrow \pi_0(G)$  is an isomorphism of sheaves, and
  2. For any  $X \in \text{Ob}(\mathcal{C})$ ,  $p \in F(X)_0$ ,  $i \geq 1$ , and  $f$  induces isomorphisms  $\pi_i(F, p) \xrightarrow{\sim} \pi_i(G, f^*(p))$ .
- $f$  is a *local cofibration* if it is a global cofibration.
- $f$  is a *local fibration* if it has RLP with respect to all local cofibrations.

**Theorem 8.2.** [R. Jardine, A. Joyal]  $\mathbf{sPr}(\mathcal{C})$  equipped with local weak equivalences, local cofibrations, local fibrations, is a model category. We call it the local model structure on  $\mathbf{sPr}(\mathcal{C})$ .

## Hypercovering

**Question.** What are fibrant objects?

The key is the notion of hypercovering [Verdier].

**Definition 8.6.** A *hypercovering* of  $X \in \text{Ob}(\mathcal{C})$  is a simplicial presheaf  $H \in \text{Ob}(\mathbf{sPr}(\mathcal{C}))$  with morphisms  $p : H \rightarrow h_X$  (covering map) such that

1. For any  $n \geq 0$ , the presheaf  $H_n : \mathcal{C}^{op} \xrightarrow{H} \mathbf{sSet} \xrightarrow{(-)_n} \mathbf{Set}$  is a disjoint union of representable presheaves, i.e. there exists  $X_{n,i} \in \text{Ob}(\mathcal{C})$  such that  $H_n = \coprod_i h_{X_{n,i}}$ .
2. For any  $n \geq 0$ , the map of presheaves

$$H_n \cong \text{Hom}(\Delta[n], H) \longrightarrow \text{Hom}(\partial\Delta[n], H) \times_{\text{Hom}(\partial\Delta[n], h_X)} \text{Hom}(\Delta[n], h_X)$$

induces an epimorphism of associated sheaves.

*Remark 8.2.*  $\Delta[n]$  is the standard  $n$ -simplex

$$\begin{aligned} \Delta[n] : \Delta^{op} &\rightarrow \mathbf{Set} \\ [k] &\mapsto \text{Hom}_{\Delta}([k], [n]) \end{aligned}$$

and

$$\begin{aligned} \text{Hom}(\Delta[n], H) : \mathcal{C}^{op} &\rightarrow \mathbf{Set} \\ X &\mapsto \text{Hom}(\Delta[n], H(X)) \end{aligned}$$

*Remark 8.3.* Condition 2 can be equivalently restated as local lifting property: For any  $Y \in \text{Ob}(\mathcal{C})$ , and any commutative diagram

$$\begin{array}{ccc} \partial\Delta[n] & \xrightarrow{\quad} & H(Y) \\ \downarrow & & \downarrow p \\ \Delta[n] & \xrightarrow{\quad} & h_X(Y) = \text{Hom}(Y, X) \end{array}$$

there is a covering sieve  $u \in \text{cov}(Y)$  such that for any  $(f : U \rightarrow Y) \in u(Y) \subset \text{Hom}(Y, X)$  there is a morphism  $\Delta[n] \rightarrow H(u)$  such that

$$\begin{array}{ccc} \partial\Delta[n] & \xrightarrow{\quad} & H(u) \\ \downarrow & \nearrow \text{dotted} & \downarrow \\ \Delta[n] & \xrightarrow{\quad} & h_X(Y) \end{array}$$

This is a local analogue of the lifting property characterizing acyclic fibration of  $\mathbf{sSet}$ . In particular,  $p : H \rightarrow h_X$  is a local weak equivalence.



*Remark 8.4.* If  $n \geq 2$ , condition 2 can be restated as:  $H_n \rightarrow \text{Hom}(\partial\Delta[n], H)$  induces an epimorphism of associated sheaves. Note this statement is independent of  $X$  nor covering map.

Let  $F \in \text{Ob}(\mathbf{sPr}(\mathcal{C}))$ . For any  $X \in \text{Ob}(\mathcal{C})$  and hypercovering  $p : H \rightarrow h_X$ , define an coaugmented cosimplicial diagram of simplicial sets

$$\begin{aligned} F(H_*) : \quad \Delta &\rightarrow \mathbf{Set} \\ [n] &\mapsto F(H_n) \end{aligned}$$

as follows.

For  $n \geq 0$ ,  $(p : H \rightarrow h_X) \iff \{p_n : H_n \rightarrow h_X\}$  where  $h_X \in \mathbf{Pr}(\mathcal{C}) \hookrightarrow \mathbf{sPr}(\mathcal{C})$ .

Applying  $\text{Hom}(-, F)$  and use condition (1)

$$F(X) \cong \text{Hom}(h_X, F) \xrightarrow{p_n^*(X)} \text{Hom}(H_n, F) = \text{Hom}\left(\coprod_{F,i} h_{X_{n,i}}, F\right) \cong \prod_{F,i} \text{Hom}(h_{X_{n,i}}, F) \cong \prod F(X_{n,i}) =: F(H_n).$$

Thus, we have a map of cosimplicial diagram in  $\mathbf{sSet}$

$$F(X) \rightarrow F(H_*)$$

so we have

$$\alpha : F \Rightarrow \text{hocolim}_{[n] \in \Delta} F(H_n). \quad (11)$$

**Theorem 8.3.** *[DHI] An object  $F \in \text{Ob}(\mathbf{sPr}(\mathcal{C}))$  is fibrant if and only if*

1. *For any  $X \in \text{Ob}(\mathcal{C})$ ,  $F(X)$  is fibrant in  $\mathbf{sSet}$ , i.e. Kan complex.*
2. *For any  $X \in \text{Ob}(\mathcal{C})$  and any hypercovering  $p : H \rightarrow h_X$  over  $X$ , 11 is a weak equivalence of simplicial sets.*

**Definition 8.7.** A simplicial presheaf  $F \in \text{Ob}(\mathbf{sPr}(\mathcal{C}))$  is called a *stack* if it satisfies 2.

By theorem, the fibrant objects of  $\mathbf{sPr}(\mathcal{C})$  are all stacks.

**Notation/Terminology.**

1.  $\text{Ho}(\mathbf{sPr}(\mathcal{C}))$  is the category of stacks (because every object is isomorphic to a fibrant one). Stack, morphisms, isomorphisms of stacks are all referred to  $\text{Ho}(\mathbf{sPr}(\mathcal{C}))$ .
2. For any  $F, F' \in \text{Ho}(\mathbf{sPr}(\mathcal{C}))$ ,  $[F, F'] := \text{Hom}_{\text{Ho}}(F, F')$ .

**Proposition 8.1.** *A presheaf  $F : \mathcal{C}^{op} \rightarrow \mathbf{Set}$  (viewed as a constant simplicial presheaf) is a stack if and only if it is a sheaf (in the usual sense).*

*Proof.* This is because homotopy limits becomes limits and condition 2 becomes the usual sheaf axiom.  $\square$

**Moral.** Condition 2 is homotopy (analogue of) sheaf axiom.

Put differently, a presheaf is fibrant in  $\mathbf{sPr}(\mathcal{C})$  if and only if it is a sheaf. Thus homotopy theory of  $\mathbf{sPr}(\mathcal{C})$  knows about the relation between sheaves and presheaves.

**Corollary 8.1.** *Let  $F$  be an object in  $\mathbf{Pr}(\mathcal{C}) \hookrightarrow \mathbf{sPr}(\mathcal{C})$ , and  $aF \in \mathbf{Sh}(\mathcal{C})$  is the associated sheaf, then for any  $G \in \mathbf{Ob}(\mathbf{sPr}(\mathcal{C}))$ ,*

1.  $[G, F] \cong [G, aF] \cong \mathrm{Hom}_{\mathbf{sPr}(\mathcal{C})}(F, aF)$ .
2. Moreover, if  $G$  is an object in  $\mathbf{Pr}(\mathcal{C}) \hookrightarrow \mathbf{sPr}(\mathcal{C})$ , then

$$[G, F] \cong [aG, aF] \cong \mathrm{Hom}_{\mathbf{sPr}(\mathcal{C})}(aG, aF) \cong \mathrm{Hom}_{\mathbf{Pr}(\mathcal{C})}(G, F)$$

where the last isomorphism follows from the fact that  $\mathbf{Sh}(\mathcal{C})$  is a full subcategory of  $\mathbf{Pr}(\mathcal{C})$ .

**Corollary 8.2.** *The natural functor*

$$\mathbf{Sh}(\mathcal{C}) \hookrightarrow \mathbf{Pr}(\mathcal{C}) \hookrightarrow \mathbf{sPr}(\mathcal{C}) \xrightarrow{\mathrm{loc}} \mathrm{Ho}(\mathbf{sPr}(\mathcal{C}))$$

is full embedding. Moreover, it has left adjoint

$$\begin{array}{ccccc} \pi_0 : & \mathrm{Ho}(\mathbf{sPr}(\mathcal{C})) & \rightarrow & \mathbf{Pr}(\mathcal{C}) & \xrightarrow{a} & \mathbf{Sh}(\mathcal{C}) \\ & F & \mapsto & \tilde{\pi}_0(F) & \mapsto & a\tilde{\pi}_0(F) \end{array}$$

so the category of stacks is just extension of the category of usual sheaves.

**Warning.** In general, sheaves of sets are exactly stacks, sheaves of simplicial sets are far from being stacks.

**Example 8.3.** Take a sheaf of groups  $H : \mathcal{C}^{op} \rightarrow \mathbf{Gr}$  then

$$\begin{array}{ccc} BG : & \mathcal{C}^{op} & \rightarrow & \mathbf{sSet} \\ & X & \mapsto & N_*G(X) = \{G(X)^n\}_{n \geq 0} \end{array}$$

is a sheaf of simplicial sets, but not a stack.

Stacks are generalization of sheaves.

## 8.2 Example: Generalized Manifolds

We will consider simplicial presheaves on smooth manifolds following [FH13] and Joyce 2011.

Let  $\mathcal{C} = \mathbf{Man}$  be the category of finite dimensional smooth manifolds over  $\mathbb{R}$  with smooth maps.  $\mathcal{C}$  has a natural Grothendieck topology, where coverings are usual open coverings of manifolds, i.e. a covering of  $X$  is a collection  $\mathcal{U} = \{U_i\}_{i \in I}$  of open subsets such that  $\bigcup_{i \in I} U_i = X$ . Associated to  $\mathcal{U}$  a sieve

$$u = \text{eq} \left\{ \coprod_{i,j} h_{U_i \cap U_j} \rightrightarrows \coprod_{i \in I} h_{U_i} \right\}.$$

We identify  $X$  as representable sheaves

$$\begin{aligned} h_X : \mathbf{Man}^{op} &\rightarrow \mathbf{Set} \\ Y &\mapsto h_X(Y) = \text{Hom}(Y, X) \end{aligned}$$

so  $\mathbf{Pr}(\mathbf{Man})$  is the category of generalized manifolds in the sense that every  $F : \mathbf{Man}^{op} \rightarrow \mathbf{Set}$  is determined by its action on test objects (manifolds)  $X \mapsto F(X)$ . The point is to extend differential geometric constructions from usual to generalized manifolds.

### 1. Differential forms on generalized manifolds.

For  $p \geq 0$ , and fix a manifold  $X$ , consider the set  $\Omega^p(X)$  of smooth  $p$ -forms on  $X$ . In local coordinates,  $\Omega^p(X) \ni \omega = \sum f_{i_1, \dots, i_p}(x) dx_{i_1} \wedge \dots \wedge dx_{i_p}$ . Since for any map  $f : X \rightarrow Y$  between manifolds, the pull-back map gives  $f^p : \Omega^p(Y) \rightarrow \Omega^p(X)$ ,  $\Omega^p : \mathbf{Man}^{op} \rightarrow \mathbf{Set}$  is a presheaf on  $\mathbf{Man}$  (generalized manifold).

For  $p = 0$ ,  $\Omega^0(X) = C^\infty(X)$  is a sheaf of sets, so is  $\Omega^p(X)$ ,  $\forall p \geq 1$ . Thus  $\Omega^p : \mathbf{Man}^{op} \rightarrow \mathbf{Set}$  is a stack on  $\mathbf{Man}$ .

### 2. $G$ -connection

Let  $G$  be a Lie group, a group object in  $\mathbf{Man}$ .

Let  $\pi : P \rightarrow X$  be a principal  $G$ -bundle on  $X$ . Recall  $\pi$  is a locally trivial fibre bundle such that  $P$  is equipped with a right  $G$ -action

$$P \times G \rightarrow P$$

such that each fibre  $\pi^{-1}(x), x \in X$  is preserved under the action and the restriction of the  $G$ -action to

$\pi^{-1}(x)$  is free and transitive, i.e. for any  $p \in \pi^{-1}(x)$ , the action map

$$\begin{aligned} G &\rightarrow \pi^{-1}(x) \\ g &\mapsto p \cdot g \end{aligned}$$

is a diffeomorphism.

A *connection* in  $P$  is a natural way to relate different fibres. More precisely, a  $G$ -connection is a direct sum decomposition of the tangent space  $T_p P$  for each  $p \in P$ :  $T_p P \cong H_p \oplus V_p$  (which is invariant under the action of  $G$ ) where

$$V_p = T_p \pi^{-1}(\pi(p)) \hookrightarrow T_p P$$

is the subspace of *vertical vectors*, contained canonically in  $T_p P$ . Thus a connection is determined by the choice of subspace  $H_p$  (*horizontal vectors*) for each  $p \in P$ , i.e.  $p \mapsto H_p$ .

**Definition 8.8.** [Ehresmann] A  $G$ -connection a distribution on the total space (i.e. a subbundle of  $T_* P$ ) which is  $G$ -invariant and transverse to fibers of  $\pi$ .

Note for each  $p \in P$ , the differential (at  $e \in G$ ) of the natural map

$$\begin{aligned} i_p : G &\rightarrow \pi^{-1}(\pi(p)) \\ g &\mapsto p \cdot g \end{aligned}$$

identifies

$$\mathfrak{g} = T_e G \cong T_p \pi^{-1}(\pi(p)) =: V_p$$

A  $G$ -connection is thus determined by the projection

$$\Theta_p : T_p P \rightarrow V_p \cong \mathfrak{g}$$

such that  $\Theta_p \circ d_p i_p = \text{Id}$ , i.e.  $\Theta_p \in \Omega^1(P) \otimes \mathfrak{g} =: \Omega^1(P, \mathfrak{g})$  is a  $\mathfrak{g}$ -valued 1-form (connection form) on  $P$ .

Formally,  $\Theta$  is characterized by 2 properties.

1.  $\Theta$  is  $G$ -invariant form, i.e.  $\Theta \in \Omega^1(P, \mathfrak{g})^G$ , i.e. for  $g \in \mathfrak{g}$ ,  $R_g^*(\Theta) = \text{Ad}_{g^{-1}}(\Theta)$  where  $R_g^*$  is induced by the right  $G$ -action

$$\begin{aligned} R_g : P &\rightarrow P \\ p &\mapsto p \cdot g \end{aligned}$$

2. For any  $p \in P$ ,  $i_p^*(\Theta) = \Theta_{MC}$  where  $i_p^*$  is induced by  $i_p : G \rightarrow \pi^{-1}(\pi(p)) \hookrightarrow P$  and  $\Theta_{MC}$  is the

Maurer-Cartan form.

(If  $G$  is a matrix group, then  $\Theta_{MC} = g^{-1}dg$ .)

**Goal.** To classify principal  $G$ -bundles with  $G$ -connections.

**Example 8.4.** Fix a Lie group  $G$ . Define

$$\begin{aligned} F : \mathbf{Man}^{op} &\rightarrow \mathbf{Set} \\ X &\mapsto F(X) \end{aligned}$$

by

$$F(X) = \{\text{equivalence classes of } G\text{-connections on } X\} = \{(\pi : P \rightarrow X, \Theta)\} / \sim$$

where  $(\pi, \Theta) \sim (\pi', \Theta')$  if there exists isomorphism  $\varphi : P \rightarrow P'$  such that

$$\begin{array}{ccc} P & \xrightarrow[\sim]{\varphi} & P' \\ \pi \searrow & & \swarrow \pi' \\ & X & \end{array}$$

such that  $\Theta = \varphi^*(\Theta')$ .

$F$  is a presheaf but not a sheaf. Indeed, consider  $X = \mathbb{S}^1$  and take covering  $\{U_1 = \mathbb{S}^1 \setminus \{i\}, U_2 = \mathbb{S}^1 \setminus \{-i\}\}$ , then  $U_1 \cap U_2 = I_1 \cup I_2$  a disjoint union of two open intervals. If  $F$  is a sheaf, then we have a Cartesian diagram

$$\begin{array}{ccc} F(\mathbb{S}^1) & \longrightarrow & F(U_1) \\ \downarrow & & \downarrow \\ F(U_2) & \longrightarrow & F(I_1 \cup I_2) \end{array}$$

Since (flat)  $G$ -bundles are determined by equivalence classes of holonomy representations  $\rho : \pi_1(X) \rightarrow G$  under the adjoint action. The equivalence classes of holonomy representations in this case are

$$\begin{array}{ccc} G/G & \longrightarrow & \{\bullet\} \\ \downarrow & & \downarrow \\ \{\bullet\} & \longrightarrow & \{\bullet, \bullet\} \end{array}$$

which is not Cartesian.

**Stack  $G$ -connection**

**Idea.** Instead of classifying connections up to isomorphisms we will classify connections together with isomorphisms.

Define a presheaf of groupoids  $B_{\nabla}G$  and  $E_{\nabla}G$  by constructing two categories for each  $X \in \text{Ob}(\mathbf{Man})$

$\text{Ob}(B_{\nabla}G(X))$ :  $(\pi, \Theta)$  where  $\pi : P \rightarrow X$  is a principal  $G$ -bundle,  $\Theta \in \Omega^1(P) \otimes \mathfrak{g}$  is a  $G$ -connection.

$\text{Mor}(B_{\nabla}G(X))$ : commutative diagram

$$\begin{array}{ccc} P & \xrightarrow[\sim]{\varphi} & P' \\ \pi \searrow & & \swarrow \pi' \\ & X & \end{array}$$

such that  $\Theta = \varphi^*(\Theta')$ .

$\text{Ob}(E_{\nabla}G(X))$ :  $(\pi, \Theta, s : X \rightarrow P)$  where  $s : X \rightarrow P$  is a global section (trivialization),  $\pi s = \text{Id}$ .

$\text{Mor}(E_{\nabla}G(X))$ : commutative diagram

$$\begin{array}{ccccc} & & X & & \\ & s \swarrow & & \searrow s' & \\ P & & & & P' \\ & \pi \searrow & \xrightarrow[\sim]{\varphi} & \swarrow \pi' & \\ & & X & & \end{array}$$

such that  $\Theta = \varphi^*(\Theta')$ .

**Definition 8.9.** The simplicial presheaves  $B_{\nabla}G$  and  $E_{\nabla}G$  are defined by

$$\begin{aligned} B_{\nabla}G : \mathbf{Man}^{op} &\longrightarrow \mathbf{sSet} \\ X &\longmapsto \mathcal{N}_*(B_{\nabla}G(X)) \end{aligned}$$

and

$$\begin{aligned} E_{\nabla}G : \mathbf{Man}^{op} &\longrightarrow \mathbf{sSet} \\ X &\longmapsto \mathcal{N}_*(E_{\nabla}G(X)) \end{aligned}$$

Note that there is a natural map of simplicial presheaves

$$p : E_{\nabla}G \rightarrow B_{\nabla}G$$

induced by the forgetful functor of presheaves of groupoids  $(\pi, \Theta, s) \mapsto (\pi, \Theta)$ .

**Theorem 8.4.** [FH13]

1. Both  $B_{\nabla}G$  and  $E_{\nabla}G$  are stacks (i.e. satisfying homotopy sheaf axiom).
2.  $E_{\nabla}G$  is weak equivalent to the sheaf  $\Omega^1 \otimes \mathfrak{g}$ , viewed as a discrete simplicial presheaf

$$E_{\nabla}G \simeq \Omega^1 \otimes \mathfrak{g}.$$

*Proof.* For (2), the weak equivalence is induced (at the level of groupoids) by the two functors

$$\alpha : E_{\nabla}G \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} \Omega^1 \otimes \mathfrak{g} : \beta$$

defined by

$$\begin{aligned} \alpha_X : E_{\nabla}G(X) &\longrightarrow \Omega^1(X) \otimes \mathfrak{g} \\ (\pi, \Theta, s) &\longmapsto s^*(\Theta) \end{aligned}$$

and

$$\begin{aligned} \beta_X : \Omega^1(X) \otimes \mathfrak{g} &\longrightarrow E_{\nabla}G(X) \\ \omega &\longmapsto (\pi_{\omega}, \Theta_{\omega}, s_{\omega}) \end{aligned}$$

where  $\pi_{\omega} = \pi_X : X \times G \rightarrow X$  the canonical projection gives a trivial  $G$ -bundle and

$$\begin{aligned} s_{\omega} : X &\longrightarrow X \times G \\ x &\longmapsto (x, e) \end{aligned}$$

and  $\Theta_{\omega} = \pi_G^* \omega + \pi_G^* \Theta_{MC}$  where  $\Theta_{MC}$  is the Maurer-Cartan 1-form on  $G$ .

Note  $\alpha_X \cdot \beta_X = \text{Id}_X$  and

$$\begin{aligned} \beta_X \cdot \alpha_X : E_{\nabla}G(X) &\rightarrow \Omega^1(X) \otimes \mathfrak{g} \rightarrow E_{\nabla}G(X) \\ (\pi, \Theta, s) &\mapsto \omega = s^*(\Theta) \mapsto (\pi_{\omega}, \Theta_{\omega}, s_{\omega}) \end{aligned}$$

we have a commutative diagram

$$\begin{array}{ccc} E_{\nabla}G(X) & \xrightarrow{\beta_X \cdot \alpha_X} & E_{\nabla}G(X) \\ \wr \downarrow & & \wr \downarrow \varphi \\ E_{\nabla}G(X) & \xrightarrow{\text{Id}} & E_{\nabla}G(X) \end{array} \qquad \begin{array}{ccc} (\pi, \Theta, s) & \longmapsto & (\pi_{\omega}, \Theta_{\omega}, s_{\omega}) \\ \downarrow & & \downarrow \\ (\pi, \Theta, s) & \longmapsto & (\pi, \Theta, s) \end{array}$$

where

$$\begin{array}{ccc} X \times G & \xrightarrow[\sim]{\varphi} & P \\ & \searrow \pi_\omega \quad \swarrow \pi & \\ & X & \end{array}$$

Thus  $E_{\nabla} G \simeq \Omega^1 \otimes \mathfrak{g}$ . □

### Differential Forms on Stacks

**Idea.** If we want to extend a natural geometric construction on a manifold  $X$ , we have to express this construction in terms of  $h_X$  and replace  $h_X$  by arbitrary  $F$ .

Note if we identify a smooth manifold  $X$  with its corresponding presheaf  $h_X : \mathbf{Man}^{op} \rightarrow \mathbf{Set}$ , then by Yoneda lemma,

$$\mathrm{Hom}_{\mathbf{Pr}(\mathbf{Man})}(h_X, \Omega^p) \cong \Omega^p(X).$$

This suggests that for any  $F : \mathbf{Man}^{op} \rightarrow \mathbf{Set}$ , we may define

$$\Omega^\bullet(F) := \mathrm{Hom}_{\mathbf{Pr}(\mathbf{Man})}(F, \Omega^\bullet).$$

For example, take  $F = \Omega^q$  for fixed  $q \geq 0$ , and look at  $\Omega^p(\Omega^q) = \mathrm{Hom}_{\mathbf{Pr}(\mathbf{Man})}(\Omega^q, \Omega^p)$  differential  $p$ -forms on differential  $q$ -forms.

Explicitly, an element,  $\tau \in \Omega^p(\Omega^q)$  is a natural transformation of from  $q$ -forms to  $p$ -forms on manifolds, in the sense, for any  $f : X \rightarrow Y$ ,

$$\begin{array}{ccc} \Omega^q(Y) & \xrightarrow{\tau_Y} & \Omega^p(Y) \\ \Omega^q(f) \downarrow & & \downarrow \Omega^p(f) \\ \Omega^q(X) & \xrightarrow{\tau_X} & \Omega^p(X) \end{array}$$

**Example 8.5.** (Topology) Take  $p = q$  and consider  $\tau = \omega^p : \Omega^p \Rightarrow \Omega^p$  given by  $\omega_X^p = \mathrm{Id}_{\Omega^p(X)}$ , then  $\tau \in \Omega^p(\Omega^p)$ .

Take  $q = 1$  and consider  $\Omega^p(\Omega^1)$ .

**Theorem 8.5.** [FH13]

$$1. \text{ The space } \Omega^p(\Omega^1) = \begin{cases} \mathrm{span}_{\mathbb{R}} \left\{ \overbrace{d\omega^1 \wedge \cdots \wedge d\omega^1}^{p/2} \right\}, & p \text{ even,} \\ \mathrm{span}_{\mathbb{R}} \left\{ \omega^1 \underbrace{d\omega^1 \wedge \cdots \wedge d\omega^1}_{(p-1)/2} \right\}, & p \text{ odd.} \end{cases}$$



2. The de Rham complex of  $\Omega^1$  looks as

$$\Omega^\bullet(\Omega^1) = \left[ \mathbb{R}^1 \xrightarrow{0} \mathbb{R}^1 \xrightarrow{1} \mathbb{R}^1 \xrightarrow{0} \mathbb{R}^1 \xrightarrow{1} \dots \right]$$

so

$$H_{DR}^p(\Omega^1, \mathbb{R}) = \begin{cases} \mathbb{R}^1, & p = 0, \\ 0, & p \neq 0. \end{cases}$$

**Definition 8.10.** For  $\mathcal{F} \in \text{Ho}(\mathbf{sPr}(\mathbf{Man}))$  any simplicial presheaf, define de Rham complex

$$\Omega^\bullet(\mathcal{F}) := [\mathcal{F}, \Omega^\bullet] = \left\{ [\mathcal{F}, \Omega^0] \xrightarrow{d} [\mathcal{F}, \Omega^1] \xrightarrow{d} \dots \right\}$$

where  $[-, -] := \text{Hom}_{\text{Ho}(\mathbf{sPr}(\mathbf{Man}))}(-, -)$ .

Since each  $\Omega^p$  is a sheaf, hence a fibrant object in  $\mathbf{sPr}(\mathbf{Man})$ , by corollary 8.1,

$$\begin{aligned} \Omega^\bullet(\mathcal{F}) &= [\mathcal{F}, \Omega^\bullet] \\ &= \text{Hom}_{\mathbf{sPr}(\mathbf{Man})}(\mathcal{F}, \Omega^\bullet) \\ &\cong \text{eq} \left\{ \text{Hom}_{\mathbf{Pr}(\mathbf{Man})}(\mathcal{F}_0, \Omega^\bullet) \xrightleftharpoons[d_1^*]{d_0^*} \text{Hom}_{\mathbf{Pr}(\mathbf{Man})}(\mathcal{F}_1, \Omega^\bullet) \right\} \\ &= \text{Ker} [(d_1^* - d_0^*) : \Omega^\bullet(\mathcal{F}_0) \rightarrow \Omega^\bullet(\mathcal{F}_1)] \end{aligned}$$

where

$$\mathcal{F} := \left\{ \mathcal{F}_0 \xrightleftharpoons[d_1]{d_0} \mathcal{F}_1 \xrightleftharpoons{\quad} \mathcal{F}_2 \dots \right\}$$

and

$$\Omega^\bullet := \left\{ \Omega^\bullet \xrightleftharpoons{\quad} \Omega^\bullet \xrightleftharpoons{\quad} \Omega^\bullet \dots \right\}$$

From this definition it's immediate that  $\Omega^\bullet(\mathcal{F})$  is a homotopy invariant construction (while we cannot see this from the usual definition).

### Universal $G$ -connection

Let  $F : \mathbf{Man}^{op} \rightarrow \mathbf{Set}$  be a presheaf on  $\mathbf{Man}$  and  $G$  be a Lie group.

A (left)  $G$ -action on  $F$  is defined by

$$a : G \times F \longrightarrow F$$

which on test manifold

$$a_X : \text{Hom}(X, G) \times F(X) \longrightarrow F(X)$$

is the action of the over group  $\text{Hom}(X, G)$  on  $F(X)$ .

Associated to a  $G$ -action of  $F$  is a presheaf of action groupoid  $G \ltimes F$  defined objectwise by the nerve of the category associated to a manifold  $X$  with

**Objects:**  $Ob(G \ltimes F(X)) := F(X)$

**Morphisms:**  $Mor(G \ltimes F(X)) = \text{Hom}(X, G) \times F(X)$  with

$$\begin{array}{llll} s : Mor(G \ltimes F(X)) & \longrightarrow & Ob(G \ltimes F(X)) & t : Mor(G \ltimes F(X)) \longrightarrow Ob(G \ltimes F(X)) \\ (g, \xi) & \longmapsto & \xi & (g, \xi) \longmapsto a_X(g, \xi) = g \cdot \xi \end{array}$$

Thus

$$\begin{array}{ll} G \ltimes F : \mathbf{Man}^{op} & \longrightarrow \mathbf{sSet} \\ X & \longmapsto \mathcal{N}_*(G \ltimes F(X)) \end{array}$$

Apply this to  $F := \Omega^1 \otimes \mathfrak{g}$ , this has the obvious right  $G$ -action

$$a : (\Omega^1 \otimes \mathfrak{g}) \times G \longrightarrow (\Omega^1 \otimes \mathfrak{g})$$

defined objectwise by

$$\begin{array}{ll} a_X : (\Omega^1(X) \otimes \mathfrak{g}) \times \text{Hom}(X, G) & \longrightarrow (\Omega^1(X) \otimes \mathfrak{g}) \\ (\omega, g) & \longmapsto g^*(\Theta_{MC}) + \text{Ad}_{g^{-1}}(\omega) \end{array}$$

Thus

$$B_{\nabla}^{\text{triv}} G := G \ltimes (\Omega^1 \otimes \mathfrak{g}) \in Ob(\mathbf{sPr}(\mathbf{Man})).$$

There is a natural map of presheaves of groupoids

$$\psi : B_{\nabla}^{\text{triv}} G \rightarrow B_{\nabla} G$$

defined on objects as

$$\begin{array}{ll} \psi_X : \Omega^1(X) \otimes \mathfrak{g} & \longrightarrow \{(\pi, \Theta)\} \\ \omega & \longmapsto (\pi_X : X \times G \rightarrow X, \Theta_\omega = \pi_G^*(\omega) + \pi_G^*(\Theta_{MC})) \end{array}$$

**Proposition 8.2.**  $\psi$  is a weak equivalence.

Then we have a commutative diagram

$$\begin{array}{ccc} \Omega^1 \otimes \mathfrak{g} & \xrightarrow{\text{can}} & B_{\nabla}^{\text{triv}} G \\ \beta \downarrow \simeq & & \downarrow \simeq \psi \\ E_{\nabla} G & \xrightarrow{p} & B_{\nabla} G \end{array}$$

Hence  $E_{\nabla} G \xrightarrow{p} B_{\nabla} G$  is a generalized principal  $G$ -bundle.

Note  $\beta$  is  $G$ -equivariant and  $G$  acts on  $E_{\nabla} G$  freely.

Recall any map

$$\begin{aligned} \alpha : E_{\nabla} G &\longrightarrow \Omega^1 \otimes \mathfrak{g} \\ (\pi, \Theta, s) &\longmapsto s^*(\Theta) \end{aligned}$$

is equivalent to

$$\alpha \in [E_{\nabla} G, \Omega^1 \otimes \mathfrak{g}] \cong [E_{\nabla} G, \Omega^1] \otimes \mathfrak{g} \cong \Omega^1(E_{\nabla} G) \otimes \mathfrak{g},$$

so  $\Theta^{\text{un}} := \alpha$  can be viewed as a  $G$ -connection on  $E_{\nabla} G \xrightarrow{p} B_{\nabla} G$ .

**Theorem 8.6.**  $\Theta^{\text{un}}$  is the universal  $G$ -connection in the strong sense: given any  $(\pi, \Theta)$ , there is a unique classifying map  $(f, \bar{f})$  such that

$$\begin{array}{ccc} P & \xrightarrow{f} & E_{\nabla} G \\ \pi \downarrow & & \downarrow p \\ X & \xrightarrow{\bar{f}} & B_{\nabla} G \end{array}$$

and  $\Theta = f^*(\Theta^{\text{un}})$ .

*Remark 8.5.* This is to be compared with classical classification of principal  $G$ -bundles (without connection).

For a Lie group  $G$ , there exists two infinite-dimensional spaces  $EG$  and  $BG$  defined (up to homotopy)

$$\begin{array}{ccc} P \cong f^*(EG) & \longrightarrow & EG \\ \downarrow & & \downarrow p \\ X & \xrightarrow{f} & BG \end{array}$$

where  $f$  is also defined up to homotopy.

**One point in common:** classifying spaces are not usual manifolds. (infinite-dimensional/generalized).

**Theorem 8.7.**

1.  $\Omega^\bullet(E_\nabla G) \cong \mathbb{W}(\mathfrak{g}) = \Lambda(\mathfrak{g}^*) \otimes \text{Sym}(\mathfrak{g}^*)$ .
2.  $\Omega^\bullet(B_\nabla G) \cong \text{Sym}(\mathfrak{g}^*)^G$ .
3. There is a canonical map  $\text{Sym}(\mathfrak{g}^*)^G \hookrightarrow \Lambda(\mathfrak{g}^*) \otimes \text{Sym}(\mathfrak{g})$  called the universal Chern-Weil map.

**Problem 8.1.** The representation homology can be defined in smooth category, then it has a new argument (“coefficients”) given by simplicial presheaves on **Man**.

Given  $X \in \text{Ob}(\mathbf{Top}_{0,*})$ ,  $G \in \text{Ob}(\mathbf{LieGr})$ ,  $\mathcal{F} \in \mathbf{sPr}(\mathbf{Man})$ , define  $HR_*(X, G, \mathcal{F})$  such that when  $\mathcal{F} = \Omega^0 = \mathcal{O}(G)$ , then  $HR_*(X, G, \mathcal{O}) = HR_*(X, G)$ .

$HR_*(X, G, \mathcal{F})$  is a cochain differential graded algebra.

**Solution.**  $HR_*(X, G, \mathcal{F}) := B(\mathbb{G}(X, \mathcal{G}, \mathcal{F}G))$  where  $B$  is the May bar construction and  $\mathbb{G}(X) : \mathcal{G}^{op} \rightarrow \mathbf{sSet}$ ,

$$\mathcal{F}G : \mathcal{G} \xrightarrow{\mathbb{G}} \mathbf{Man}^{op} \xrightarrow{\mathcal{F}} \mathbf{sSet} .$$

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