#### Project Sigma

# **Algebraic Geometry**

Reference & Exercise

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#### Chapter 1

### **Affine Algebraic Sets**

**Problem 1.0.1.** List all points in  $V = \mathcal{V}(\{Y - X^2, X - Y^2\})$ .

*Proof.* Since  $V = \{(x,y) : y = x^2, x = y^2\}$ , we have  $x = y^2 = (x^2)^2 = x^4$  if  $(x,y) \in V$ . By solving  $x^4 - x = 0$  we have that  $x \in \{0,1,w,w^2\}$  where  $w = e^{2\pi i/3}$ . If x = 0, then y = 0, if x = 1 then y = 1. We can easily verify that  $y = x^2$  and  $x = y^2$  in these cases. If x = w then  $y = x^2 = w^2$ , then we can verify  $x = w = w^4 = y^2$ . If  $x = w^2$ , then  $y = x^2 = w^4 = w$ , and we can verify  $x = w^2 = y^2$ . Therefore  $V = \{(0,0), (1,1), (w,w^2), (w^2,w)\}$ . □

**Problem 1.0.2.** Show that  $W = \{(t, t^2, t^3) : t \in \mathbb{C}\}$  is an algebraic set.

*Proof.* Consider  $V = \mathcal{V}(\{Y - X^2, Z - X^3\})$ . For  $(x, y, z) \in V$ , we have  $y - x^2 = 0$  and  $z - x^3 = 0$ , so  $y = x^2$  and  $z = x^3$ , therefore  $(x, y, z) = (x, x^2, x^3) \in W$ . Conversely, let  $(x, y, z) = (t, t^2, t^3) \in W$ , then  $y - x^2 = t^2 - t^2 = 0$  and  $z - x^3 = t^3 - t^3 = 0$ , hence  $(x, y, z) \in V$ . Thus V = W. □

**Problem 1.0.3.** Suppose that C is an affine plane curve and L is a line with  $L \not\subseteq C$ . Suppose that  $C = \mathcal{V}(\{F\})$  where  $F \in \mathbf{C}[X,Y]$  a polynomial of degree n. Show that  $L \cap C$  is a finite set of no more than n points.

*Proof.* Suppose that  $(x,y) \in L \cap C$ , since L is a line, we have y = mx + c for some m,c, therefore F(x,mx+c) = 0. We note that deg  $F(x,mx+c) \leq n$  since mx+c has degree 1. By the fundamental theorem of algebra, we have F(x,mx+c) = 0 has at most n solutions. Hence  $L \cap C$  is a finite set of no more than n points.

**Problem 1.0.4.** Show that  $\mathcal{V}((Y-X^2))$  is irreducible, and that  $\mathcal{I}(\mathcal{V}((Y-X^2)))=(Y-X^2)$ .

*Proof.* We will show that  $(Y - X^2)$  is prime. Consider  $\varphi : \mathbf{C}[X,Y] \to \mathbf{C}[X]$  given by  $X \mapsto X$  and  $Y \mapsto X^2$  extended to the whole ring, then  $\varphi$  is a homomorphism and  $\mathrm{Ker}(\varphi) = (Y - X^2)$ . Hence by the first isomorphism theorem, we have  $\mathbf{C}[X,Y]/(Y - X^2) \cong \mathbf{C}[X]$  is an integral domain, hence  $(Y - X^2)$  is prime. Since prime ideals are radical ideals, we have  $\mathcal{I}(\mathcal{V}((Y - X^2))) = (Y - X^2)$ .  $\square$ 

**Problem 1.0.6.** Show that  $V(F) \cong V(G)$  where  $F(X,Y) = X^2 + Y^2 - 1$  and  $G(X,Y) = X^2 - Y^2 - 1$ .

*Proof.* We let  $\varphi: \mathcal{V}(F) \to \mathcal{V}(G)$  be  $(x,y) \mapsto (x,iy)$  which is obviously a polynomial map with an inverse  $\varphi^{-1}: \mathcal{V}(G) \to \mathcal{V}(F)$  given by  $(x,y) \mapsto (x,-iy)$  which is also a polynomial map. We easily verify that  $\varphi(\varphi^{-1}(x,y)) = (x,y)$  and  $\varphi^{-1}(\varphi(x,y)) = (x,y)$ . We note that if  $(x,y) \in \mathcal{V}(F)$  then  $x^2 + y^2 - 1 = 0$ , and we have  $G(\varphi(x,y)) = x^2 - (iy)^2 - 1 = x^2 + y^2 - 1 = 0$ . And if  $(x,y) \in \mathcal{V}(G)$  then  $x^2 - y^2 - 1 = 0$  then  $F(\varphi^{-1}(x,y)) = x^2 + (-iy)^2 - 1 = x^2 - y^2 - 1 = 0$ . Therefore  $\varphi, \varphi^{-1}$  are well-defined. Therefore  $\mathcal{V}(F) \cong \mathcal{V}(G)$ .

**Problem 1.0.7.** Let  $V = \mathcal{V}(Y^2 - X^3)$  and let  $\phi : \mathbf{A}^1 \to V$  be  $\phi(t) = (t^2, t^3)$ , show that  $\phi$  is a bijective polynomial map which is not an isomorphism.

*Proof.* Assume  $s \neq t$  and  $(t^2, t^3) = (s^2, s^3)$  then we have  $s^2 = t^2$  and  $s^3 = t^3$ . Since  $s \neq t$  we have  $s - t \neq 0$ . Since  $s^2 = t^2$  we have  $s^2 - t^2 = (s + t)(s - t) = 0$ . Since  $s - t \neq 0$ , we have s + t = 0, thus s = -t, hence  $s^3 = (-t)^3 = -t^3$ . Since  $s^3 = t^3$  and  $s^3 = -t^3$ , we have  $t^3 = -t^3$ , so t = 0. Since t = 0 we have s = -t = 0 = t which contradicts the hypothesis that  $s \neq t$ . This shows that  $\phi$  is injective. Next, for each  $(x,y) \in \mathcal{V}(\{Y^2 - X^3\})$ , we have  $y^2 - x^3 = 0$  and thus  $y^2 = x^3$ . We know that x has square roots  $\alpha$  and  $-\alpha$  for some  $\alpha$ . We show that one of them is also a cube root of y. We have  $\alpha^6 = (\alpha^2)^3 = x^3 = y^2$ , therefore  $y = \alpha^3$  or  $y = -\alpha^3$ . Since  $y = \alpha^3$  or  $y = (-\alpha)^3$ , we have one of  $\pm \alpha$  is a cube root of y. Let  $t = \alpha$  if  $\alpha$  is a cube root of y and  $t = -\alpha$  otherwise. We then have  $\phi(t) = (t^2, t^3) = (x, y)$ . Thus  $\phi$  is surjective, hence bijective. Suppose for contradiction that there is a polynomial map inverse  $\phi^{-1}: V \to \mathbf{A}^1$  which can be represented by a polynomial  $f \in \mathbf{C}[X, Y]$ . Then have  $\phi^{-1}(\phi(t)) = t$ , so  $f(t^2, t^3) = t$ . We note that  $[t^1]f(t^2, t^3) = 0$ , since for each term  $aX^nY^m$ , substituding  $X = t^2$  and  $Y = t^3$  gives  $at^{2n+3m}$ , and there is no n, m with 2n + 3m = 1. This is a contradiction since  $[t^1]t = 1$ .

**Problem 1.0.8.** Let  $\phi: \mathbf{A}^1 \to V$  be  $\phi(t) = (t^2 - 1, t(t^2 - 1))$  where  $V = \mathcal{V}(\{Y^2 - X^2(X+1)\})$ . Show that  $\phi$  is one-to-one and onto except at  $\phi(\pm 1) = (0,0)$ .

*Proof.* Suppose that  $s \neq t$  and  $(s^2 - 1, s(s^2 - 1)) = (t^2 - 1, t(t^2 - 1))$ , we then have  $s^2 - 1 = t^2 - 1$  thus  $s^2 - t^2 = (s - t)(s + t) = 0$ . Since  $s \neq t$ , we have s = -t. Next, since  $s(s^2 - 1) = t(t^2 - 1)$  we have  $-t(t^2 - 1) = t(t^2 - 1)$ . Thus t = 0 or  $t^2 = 1$ . If t = 0 then s = -t = 0 = t which contradicts  $s \neq t$ , so  $t^2 = 1$ . Thus  $t = \pm 1$  and  $t = \pm 1$ . Thus  $t = \pm 1$  is injective except at  $t = \pm 1$ . Next, let t = t in t = t in t = t in t = t in t = t. Next, let t = t in t = t in

**Problem 1.0.9.** Let  $V = \mathcal{V}(\{X^2 - Y^3, Y^2 - Z^3\})$ , and let  $\overline{\alpha}: \Gamma(V) \to \mathbf{C}[T]$  be given by  $\overline{\alpha}(X) = T^9$ ,  $\overline{\alpha}(Y) = T^6$  and  $\overline{\alpha}(Z) = T^6$ . Then

- (a) What is the polynomial map  $f : \mathbf{A}^1 \to V$  with  $f^* = \overline{\alpha}$
- (b) Show that *f* is bijective but not an isomorphism *Proof.* 
  - (a) Define the polynomial map  $f: \mathbf{A}^1 \to V$  by  $f(t) = (t^9, t^6, t^4)$  as in the proof of Theorem 1.6. We can verify that this is well-defined since  $X^2 Y^3 = t^{18} t^{18} = 0$  and  $Y^2 Z^3 = t^{12} t^{12} = 0$ . We verify that the pullback  $f^*(X) = [(x, y, z) \mapsto x] \circ f = T^9$ ,  $f^*(Y) = [(x, y, z) \mapsto y] \circ f = T^6$ , and  $f^*(Z) = [(x, y, z) \mapsto z] \circ f = T^4$ . Thus  $f^* = \overline{\alpha}$ .
  - (b) We note that f(t) = (0,0,0) iff t = 0, so we can assume  $t \neq s$  are nonzero and  $(t^9, t^6, t^4) =$  $(s^9, s^6, s^4)$ . Since  $t^4 = s^4$ , we have  $t \in \{s\zeta_4, s\zeta_4^2, s\zeta_4^3\}$ . Since  $t^6 = s^6$ , we have  $t \in \{s\zeta_6, \dots, s\zeta_6^5\}$ . Since  $t^9 = s^9$ , we have  $t \in \{s\zeta_9, \dots, s\zeta_9^8\}$ . Since  $\gcd(9,6,4) = 1$ , this is a contradiction. To explain in simpler language,  $t^4 = s^4$  implies that the angle between t, s is  $90^\circ$ ,  $180^\circ$  or  $270^\circ$ ;  $t^6 = s^6$  implies that the angle between t,s is  $60^\circ$ ,  $120^\circ$ ,  $180^\circ$ ,  $240^\circ$  or  $300^\circ$ ;  $t^9 = s^9$  implies that the angle between t, s is  $40^{\circ}$ ,  $80^{\circ}$ ,  $120^{\circ}$ ,  $160^{\circ}$ ,  $200^{\circ}$ ,  $240^{\circ}$ ,  $280^{\circ}$  or  $320^{\circ}$ . There is no angle between t,s that satisfies our requirement. Thus f is injective. Next, let  $(x,y,z) \in V$ , we then have  $x^2 - y^3 = 0$  and  $y^2 - z^3 = 0$ , thus  $x^2 = y^3$  and  $y^2 = z^3$ . The 6-th roots of y are  $\{\alpha, \alpha\omega, \dots, \alpha\omega^5\}$  for some  $\alpha$  where  $\omega = e^{\frac{2\pi i}{6}}$ . Let s be a 6-th roots of y. Thus  $s^{18} =$  $(s^6)^3 = y^3 = x^2$ , so  $x = \pm s^9$ , so  $x \in \{s^9, s^9\omega^3\}$ . Similarly,  $s^{12} = (s^6)^2 = y^2 = z^3$ , therefore  $\{z, z\omega^2, z\omega^4\} = \{s^4, s^4\omega^2, s^4\omega^4\}$ , hence  $z \in \{s^4, s^4\omega^2, s^4\omega^4\}$ . Suppose that  $x = s^9\omega^{3n}$  for  $n \in \{0,1\}$  and  $z = s^4 \omega^{2m}$  for  $m \in \{0,1,2\}$ . Let  $t = s\omega^k$  then t is also a 6-th root of unity, so  $y=t^6$ . Also,  $x=t^9\omega^{3n-9k}$  and  $z=t^4\omega^{2m-4k}$ . I claim that we can always choose k such that  $3n \equiv 9k \pmod{6}$  and  $2m \equiv 4k \pmod{6}$ . Note that  $3n \equiv 9k \pmod{6}$  iff  $k \equiv n \pmod{2}$ , and note that  $2m \equiv 4k \pmod{6}$  iff  $k \equiv 2m \pmod{3}$ . By the Chinese remainder theorem, such kcan always be chosen. Hence we have  $x = t^9$ ,  $y = t^6$  and  $z = t^4$ . Thus f(t) = (x, y, z). Thus *f* is surjective, so *f* is bijective.

We see that f is not an isomorphism, since if so there is a polynomial map  $g: V \to \mathbf{A}^1$  which can be viewed as a polynomial  $g \in \mathbf{C}[X,Y,Z]$  which is the inverse of f, then by  $g \circ f = \mathrm{id}$ , we have  $g(t^9,t^6,t^4)=t$ . We note that  $[t^1]g(t^9,t^6,t^4)=0$  since if  $aX^pY^qZ^r$  is a term in g(X,Y,Z), then substituding  $X=t^9,Y=t^6,Z=t^4$  gives  $at^{9p+6q+4r}$ , and there is no p,q,r such that 9p+6q+4r=1. This contradicts the fact that  $[t^1]t=1$ .

**Problem 1.0.10.** If  $\phi: V \subseteq \mathbf{A}^n \to W \subseteq \mathbf{A}^m$  is an onto polynomial map, show that if X is an algebraic subset of W then  $\phi^{-1}[X]$  is an algebraic subset of V, and that X is irreducible if  $\phi^{-1}[X]$  is irreducible.

*Proof.* Suppose that  $X = \mathcal{V}(I)$  for some  $I \subseteq \mathbf{C}[X_1, \dots, X_m]$ , then for  $x \in V$ , we have

$$x \in \phi^{-1}[X] \Longleftrightarrow \phi(x) \in X \Longleftrightarrow f(\phi(x)) = 0, \forall f \in I \Longleftrightarrow x \in \mathcal{V}(\{f \circ \phi : f \in I\})$$

Therefore  $\phi^{-1}[X] = \mathcal{V}(\{f \circ \phi : f \in I\})$  is algebraic. If  $X = U \cup V$  where algebraic sets  $U, V \subset X$  properly, then  $\phi^{-1}[X] = \phi^{-1}[U] \cup \phi^{-1}[V]$ . Choose  $p \in X \setminus U$ , and let x be such that  $\phi(x) = p$ , then  $x \in \phi^{-1}[X] \setminus \phi^{-1}[U]$ , so  $\phi^{-1}[U] \subset \phi^{-1}[X]$  properly, and similarly  $\phi^{-1}[V] \subset \phi^{-1}[X]$  properly. Since  $\phi^{-1}[U]$ ,  $\phi^{-1}[V]$  are algebraic as U, V are algebraic, we have  $\phi^{-1}[X]$  is reducible.  $\Box$ 

**Problem 1.0.11.** Let  $V \subseteq \mathbf{A}^n$  be a variety, show that TFAE

- (i) *V* is a point
- (ii)  $\Gamma(V) = \mathbf{C}$
- (iii)  $\dim_{\mathbb{C}} \Gamma(V)$  is finite

*Proof.* Assume (i), then let  $V = \{(x_1, ..., x_n)\}$ . We claim that  $\mathcal{I}(V) = (X_1 - x_1, ..., X_n - x_n)$ . Note that  $\mathcal{V}((X_1 - x_1, ..., X_n - x_n)) = V$  which is straightforward. Next, since  $x_1, ..., x_n \in \mathbb{C}$ , we have

$$C[X_1,...,X_n]/(X_1-x_1,...,X_n-x_n) \cong C[x_1,...,x_n] \cong C$$

which is an integral domain, so  $(X_1-x_1,\ldots,X_n-x_n)$  is prime, so it's also a radical ideal. Therefore we have  $\mathcal{I}(V)=\mathcal{I}(\mathcal{V}((X_1-x_1,\ldots,X_n-x_n)))=(X_1-x_1,\ldots,X_n-x_n)$  by Nullstellensatz. Thus, we indeed have  $\Gamma(V)=\mathbf{C}[X_1,\ldots,X_n]/\mathcal{I}(V)=\mathbf{C}$ . Next, assume (ii), then  $\dim_{\mathbf{C}}\Gamma(V)=\dim_{\mathbf{C}}\mathbf{C}=1<\infty$  straightforwardly. Assume (iii), then  $\Gamma(V)=\mathbf{C}[X_1,\ldots,X_n]/\mathcal{I}(V)$  has finite dimension over  $\mathbf{C}$ . Let  $i\in\{1,\ldots,n\}$ . We note that if  $\{1,X_i,X_i^2,X_i^3,\ldots\}$  is linearly independent then we cannot have  $\dim_{\mathbf{C}}\Gamma(V)<\infty$ , thus they are linearly dependent. This means that there exists some polynomial  $f_i\in\mathbf{C}[X_i]\subseteq\mathbf{C}[X_1,\ldots,X_n]$  with coefficients not all zero for which  $f_i(X_i)\equiv 0\pmod{\mathcal{I}(V)}$ . Hence  $f_i\in\mathcal{I}(V)$  for each i. By Hilbert's Nullstellensatz, we have  $\mathcal{V}(\mathcal{I}(V))=V$  as V is an algebraic set. Thus for each  $p\in V$ , we have  $p\in\mathcal{V}(\mathcal{I}(V))$ , so  $f_i(p)=0$  for each i. The fact that each  $f_i$  is a single-variable polynomial over  $\mathbf{C}$  means that it has finitely many roots. Therefore we only have finitely many choices for each coordinate of p. Thus V is a finite set. Since V is a variety, it is irreducible, therefore it must be a single point.