

Complex Multiplication

Yunhan (Alex) Sheng

yhsheng@uchicago.edu

UChicago REU, August 2022

Outline of the talk

- 1 Number-theoretic background
- 2 CM of elliptic curves
- 3 Generalization to abelian varieties
- 4 Acknowledgements

Number-theoretic background

- L/K is **abelian** if $\text{Gal}(L/K)$ is an abelian group.

Number-theoretic background

- L/K is **abelian** if $\text{Gal}(L/K)$ is an abelian group.
- Prime ideals \mathfrak{p} in K split in L . For example, in $\mathbb{Q}(i)/\mathbb{Q}$,

$$(2) = (1 - i)^2, \quad (3) = (3), \quad (5) = (2 + i)(2 - i).$$

We say that (2) is **ramified**, while (3) and (5) are **unramified**.

Number-theoretic background

- L/K is **abelian** if $\text{Gal}(L/K)$ is an abelian group.
- Prime ideals \mathfrak{p} in K split in L . For example, in $\mathbb{Q}(i)/\mathbb{Q}$,

$$(2) = (1 - i)^2, \quad (3) = (3), \quad (5) = (2 + i)(2 - i).$$

We say that (2) is **ramified**, while (3) and (5) are **unramified**.

- L/K is unramified if every prime in K is unramified in L

The case over \mathbb{Q}

- Can we explicitly describe the set of numbers that generates (unramified) abelian extensions of \mathbb{Q} ?

The case over \mathbb{Q}

- Can we explicitly describe the set of numbers that generates (unramified) abelian extensions of \mathbb{Q} ?

Theorem 1 (Kronecker-Weber)

Every finite abelian extension of \mathbb{Q} is contained in a cyclotomic extension $\mathbb{Q}(\zeta_N)$ for some $N > 0$.

- The maximal abelian extension of \mathbb{Q} is generated by roots of unities.

The case over \mathbb{Q}

- Can we explicitly describe the set of numbers that generates (unramified) abelian extensions of \mathbb{Q} ?

Theorem 1 (Kronecker-Weber)

Every finite abelian extension of \mathbb{Q} is contained in a cyclotomic extension $\mathbb{Q}(\zeta_N)$ for some $N > 0$.

- The maximal abelian extension of \mathbb{Q} is generated by roots of unities.

Theorem 2 (Hermite-Minkowski)

There are no unramified extensions of \mathbb{Q} .

- That is, the maximal unramified extension of \mathbb{Q} is \mathbb{Q} .

The case over \mathbb{Q}

- Can we explicitly describe the set of numbers that generates (unramified) abelian extensions of \mathbb{Q} ?

Theorem 1 (Kronecker-Weber)

Every finite abelian extension of \mathbb{Q} is contained in a cyclotomic extension $\mathbb{Q}(\zeta_N)$ for some $N > 0$.

- The maximal abelian extension of \mathbb{Q} is generated by roots of unities.

Theorem 2 (Hermite-Minkowski)

There are no unramified extensions of \mathbb{Q} .

- That is, the maximal unramified extension of \mathbb{Q} is \mathbb{Q} .
- What if we change the base field to a finite extension of \mathbb{Q} instead?

- This is known as the Hilbert's twelfth problem, or explicit CFT.

- This is known as the Hilbert's twelfth problem, or explicit CFT.
- **Complex Multiplication** resolves the case when the base field is an imaginary quadratic field, i.e., $\mathbb{Q}(\sqrt{-D})$ for some $D > 0$.

- This is known as the Hilbert's twelfth problem, or explicit CFT.
- **Complex Multiplication** resolves the case when the base field is an imaginary quadratic field, i.e., $\mathbb{Q}(\sqrt{-D})$ for some $D > 0$.
- These two are essentially the only known cases. The problem is wide open.

- This is known as the Hilbert's twelfth problem, or explicit CFT.
- **Complex Multiplication** resolves the case when the base field is an imaginary quadratic field, i.e., $\mathbb{Q}(\sqrt{-D})$ for some $D > 0$.
- These two are essentially the only known cases. The problem is wide open.
- Slogan: this piece of **arithmetic** information will be extracted from studying **geometric** objects, namely, elliptic curves.

Outline of the talk

- 1 Number-theoretic background
- 2 CM of elliptic curves**
- 3 Generalization to abelian varieties
- 4 Acknowledgements

What is an elliptic curve?

- By an **elliptic curve** E/K , we understand
 - a one-dimensional nonsingular projective variety over K of genus one, together with a special point $O \in E$;
 - naïvely, it is a smooth curve given by a cubic equation

$$y^2 = x^3 + Ax + B, \quad A, B \in K$$

What is an elliptic curve?

- By an **elliptic curve** E/K , we understand
 - a one-dimensional nonsingular projective variety over K of genus one, together with a special point $O \in E$;
 - naïvely, it is a smooth curve given by a cubic equation

$$y^2 = x^3 + Ax + B, \quad A, B \in K$$

- Elliptic curves are classified up to isomorphism by an numerical invariant called the **j -invariant**, denoted by $j(E)$.

What is an elliptic curve?

- By an **elliptic curve** E/K , we understand
 - a one-dimensional nonsingular projective variety over K of genus one, together with a special point $O \in E$;
 - naïvely, it is a smooth curve given by a cubic equation

$$y^2 = x^3 + Ax + B, \quad A, B \in K$$

- Elliptic curves are classified up to isomorphism by an numerical invariant called the **j -invariant**, denoted by $j(E)$.
- An elliptic curve can be endowed with a group structure.

Endomorphism of elliptic curves

- An endomorphism of an elliptic curve E is a morphism of varieties

$$\phi : E \rightarrow E \quad \text{such that} \quad \phi(O) = O.$$

Endomorphism of elliptic curves

- An endomorphism of an elliptic curve E is a morphism of varieties

$$\phi : E \rightarrow E \quad \text{such that} \quad \phi(O) = O.$$

- Example: the multiplication-by- m map $[m] : E \rightarrow E$ defined by

$$P \mapsto mP = \underbrace{P + P + \dots + P}_{m \text{ times}}.$$

Endomorphism of elliptic curves

- An endomorphism of an elliptic curve E is a morphism of varieties

$$\phi : E \rightarrow E \quad \text{such that} \quad \phi(O) = O.$$

- Example: the multiplication-by- m map $[m] : E \rightarrow E$ defined by

$$P \mapsto mP = \underbrace{P + P + \dots + P}_{m \text{ times}}.$$

- Question: are there endomorphisms other than maps of form $[m]$?

CM of elliptic curves

- Write $\text{End}(E)$ for the endomorphism ring of E .

Theorem 3

Let E/\mathbb{C} be an elliptic curve. Then either $\text{End}(E) = \mathbb{Z}$ or $\text{End}(E)$ is isomorphic to a subring R of $\mathbb{Q}(\sqrt{-D})$ for some $D > 0$.

CM of elliptic curves

- Write $\text{End}(E)$ for the endomorphism ring of E .

Theorem 3

Let E/\mathbb{C} be an elliptic curve. Then either $\text{End}(E) = \mathbb{Z}$ or $\text{End}(E)$ is isomorphic to a subring R of $\mathbb{Q}(\sqrt{-D})$ for some $D > 0$.

- An elliptic curve E/\mathbb{C} has **complex multiplication by R** if $R = \text{End}(E)$ is the subring of an imaginary quadratic field.

Construction of class fields

- Now we state the main result of CM of elliptic curves.

Theorem 4

Let K be an imaginary quadratic field. Let E/\mathbb{C} be an elliptic curve with CM by ring of integers \mathcal{O}_K . Then

- *$K(j(E))$ is the maximal unramified extension of K*
- *$K(j(E), x(E_{tors}))$ is the maximal abelian extension of K .*

Construction of class fields

- Now we state the main result of CM of elliptic curves.

Theorem 4

Let K be an imaginary quadratic field. Let E/\mathbb{C} be an elliptic curve with CM by ring of integers \mathcal{O}_K . Then

- *$K(j(E))$ is the maximal unramified extension of K*
 - *$K(j(E), x(E_{tors}))$ is the maximal abelian extension of K .*
- Slogan: j -invariant and the x -coordinate of torsion points generate abelian extensions of $\mathbb{Q}(\sqrt{-D})$ for some $D > 0$.

Prospect: Iwasawa theory of elliptic curves

- In the classical Iwasawa theory we consider the infinite cyclotomic tower and study the p -adic analogue of Riemann zeta function.

$$(\mathbb{Z}_p)^\times \left(\begin{array}{c} \mathbb{Q}(\zeta_{p^\infty}) \\ | \mathbb{Z}_p \\ \mathbb{Q}(\zeta_p) \\ | (\mathbb{Z}/p\mathbb{Z})^\times \\ \mathbb{Q} \end{array} \right)$$

$$(\mathbb{Z}_p)^\times \left(\begin{array}{c} K(E_{p^\infty}) \\ | \mathbb{Z}_p \\ K(E_p) \\ | (\mathbb{Z}/p\mathbb{Z})^\times \\ K \end{array} \right)$$

Prospect: Iwasawa theory of elliptic curves

- In the classical Iwasawa theory we consider the infinite cyclotomic tower and study the p -adic analogue of Riemann zeta function.

$$(\mathbb{Z}_p)^\times \left(\begin{array}{c} \mathbb{Q}(\zeta_{p^\infty}) \\ \left| \mathbb{Z}_p \right. \\ \mathbb{Q}(\zeta_p) \\ \left| (\mathbb{Z}/p\mathbb{Z})^\times \right. \\ \mathbb{Q} \end{array} \right)$$

$$(\mathbb{Z}_p)^\times \left(\begin{array}{c} K(E_{p^\infty}) \\ \left| \mathbb{Z}_p \right. \\ K(E_p) \\ \left| (\mathbb{Z}/p\mathbb{Z})^\times \right. \\ K \end{array} \right)$$

- Substituting \mathbb{Q} by K an imaginary quadratic field, the role of ζ_{p^n} is played by the p^n -torsion points on E .

Prospect: Iwasawa theory of elliptic curves

- In the classical Iwasawa theory we consider the infinite cyclotomic tower and study the p -adic analogue of Riemann zeta function.

$$(\mathbb{Z}_p)^\times \begin{pmatrix} \mathbb{Q}(\zeta_{p^\infty}) \\ \left| \mathbb{Z}_p \right. \\ \mathbb{Q}(\zeta_p) \\ \left| (\mathbb{Z}/p\mathbb{Z})^\times \right. \\ \mathbb{Q} \end{pmatrix}$$

$$(\mathbb{Z}_p)^\times \begin{pmatrix} K(E_{p^\infty}) \\ \left| \mathbb{Z}_p \right. \\ K(E_p) \\ \left| (\mathbb{Z}/p\mathbb{Z})^\times \right. \\ K \end{pmatrix}$$

- Substituting \mathbb{Q} by K an imaginary quadratic field, the role of ζ_{p^n} is played by the p^n -torsion points on E .
- We then study the p -adic L -series attached to an elliptic curve, which helps us understand the BSD conjecture.

Outline of the talk

- 1 Number-theoretic background
- 2 CM of elliptic curves
- 3 Generalization to abelian varieties**
- 4 Acknowledgements

Facts about abelian varieties

- An **abelian variety** A/K is a connected projective group scheme over a field K (the \overline{K} -rational points $A(\overline{K})$ forms a group).

Facts about abelian varieties

- An **abelian variety** A/K is a connected projective group scheme over a field K (the \overline{K} -rational points $A(\overline{K})$ forms a group).
- Elliptic curves are one-dimensional abelian varieties.

Facts about abelian varieties

- An **abelian variety** A/K is a connected projective group scheme over a field K (the \overline{K} -rational points $A(\overline{K})$ forms a group).
- Elliptic curves are one-dimensional abelian varieties.
- Hence abelian varieties are higher-dimensional analogues of elliptic curves. They have a structure of an abelian group.

CM of abelian varieties

- In the case of abelian varieties, even defining complex multiplication requires quite some work.

CM of abelian varieties

- In the case of abelian varieties, even defining complex multiplication requires quite some work.
- A **CM-field** is an imaginary quadratic extension of a totally real field.

CM of abelian varieties

- In the case of abelian varieties, even defining complex multiplication requires quite some work.
- A **CM-field** is an imaginary quadratic extension of a totally real field.
- Examples: $\mathbb{Q}(\sqrt{-D})/\mathbb{Q}$ and $\mathbb{Q}(\zeta_N)/\mathbb{Q}(\zeta_N + \overline{\zeta_N})$ for $N > 2$.

CM of abelian varieties

- In the case of abelian varieties, even defining complex multiplication requires quite some work.
- A **CM-field** is an imaginary quadratic extension of a totally real field.
- Examples: $\mathbb{Q}(\sqrt{-D})/\mathbb{Q}$ and $\mathbb{Q}(\zeta_N)/\mathbb{Q}(\zeta_N + \overline{\zeta_N})$ for $N > 2$.
- A **CM-algebra** is a finite product of CM-fields.

CM of abelian varieties

- In the case of abelian varieties, even defining complex multiplication requires quite some work.
- A **CM-field** is an imaginary quadratic extension of a totally real field.
- Examples: $\mathbb{Q}(\sqrt{-D})/\mathbb{Q}$ and $\mathbb{Q}(\zeta_N)/\mathbb{Q}(\zeta_N + \overline{\zeta_N})$ for $N > 2$.
- A **CM-algebra** is a finite product of CM-fields.
- Roughly speaking, an abelian variety A/\mathbb{C} has CM iff $\text{End}(A) \otimes \mathbb{Q}$ contains a CM-algebra of dimension $2 \dim A$.

CM of abelian varieties

- In the case of abelian varieties, even defining complex multiplication requires quite some work.
- A **CM-field** is an imaginary quadratic extension of a totally real field.
- Examples: $\mathbb{Q}(\sqrt{-D})/\mathbb{Q}$ and $\mathbb{Q}(\zeta_N)/\mathbb{Q}(\zeta_N + \overline{\zeta_N})$ for $N > 2$.
- A **CM-algebra** is a finite product of CM-fields.
- Roughly speaking, an abelian variety A/\mathbb{C} has CM iff $\text{End}(A) \otimes \mathbb{Q}$ contains a CM-algebra of dimension $2 \dim A$.
- Sadly, we obtain some (not all!) finite abelian extensions of a CM-field.

A little history

- The classical theory of CM was developed by Weber, Fueter, Hasse and Duering before 1950s.

A little history

- The classical theory of CM was developed by Weber, Fueter, Hasse and Duering before 1950s.
- The main theorem of CM of abelian varieties over a reflex field was due to Shimura, Taniyama, and Weil in the 1950s. It is sufficient for constructing the class fields.

A little history

- The classical theory of CM was developed by Weber, Fueter, Hasse and Duering before 1950s.
- The main theorem of CM of abelian varieties over a reflex field was due to Shimura, Taniyama, and Weil in the 1950s. It is sufficient for constructing the class fields.
- The most general case, the main theorem over \mathbb{Q} , was proved by Langlands, Tate, and Deligne in the 1980s, called motivic CM theory.

A little history

- The classical theory of CM was developed by Weber, Fueter, Hasse and Duering before 1950s.
- The main theorem of CM of abelian varieties over a reflex field was due to Shimura, Taniyama, and Weil in the 1950s. It is sufficient for constructing the class fields.
- The most general case, the main theorem over \mathbb{Q} , was proved by Langlands, Tate, and Deligne in the 1980s, called motivic CM theory.
- CM has deep relations with the BSD conjecture and other arithmetic theories.

A little history

- The classical theory of CM was developed by Weber, Fueter, Hasse and Duering before 1950s.
- The main theorem of CM of abelian varieties over a reflex field was due to Shimura, Taniyama, and Weil in the 1950s. It is sufficient for constructing the class fields.
- The most general case, the main theorem over \mathbb{Q} , was proved by Langlands, Tate, and Deligne in the 1980s, called motivic CM theory.
- CM has deep relations with the BSD conjecture and other arithmetic theories.
- It also has fruitful applications to cryptography.

Outline of the talk

- 1 Number-theoretic background
- 2 CM of elliptic curves
- 3 Generalization to abelian varieties
- 4 Acknowledgements**

Acknowledgements

I'd like to thank my mentor Wei for introducing to me this fascinating topic to learn about. I thank both of my mentors, Wei and Pallav, for hosting weekly meetings with me and answering my endless questions. Finally, I thank Peter for giving me this opportunity.

Thanks for listening.

References I

- [Mil20] J. S. Milne. *Complex Multiplication*. 2020.
- [Shi71] Goro Shimura. *Introduction to Arithmetic Theory of Automorphic Functions*. Princeton University Press, 1971.
- [Sil94] Joseph H. Silverman. *Advanced Topics in the Arithmetic of Elliptic Curves*. GTM. Springer, 1994.