Space-bounded quantum interactive proof systems

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- Space-bounded quantum computation meets interactive proofs
- 2 Definitions of space-bounded quantum interactive proof systems
- 3 Main results on QIPUL, QIPL, and QSZKUL
- 4 Open problems

Intermediate measurements in time-bounded quantum computation

Time-bounded quantum computation (BQP):

- ▶ Uses poly(n) elementary quantum gates, and thus requires poly(n) qubits.
- ► The goal is to find a small corner of an 2^{poly(n)}-dimension Hilbert space that holds the relevant information, which can only be extracted through performing measurements.

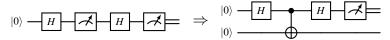
(Pinching) intermediate measurements:

Measurements via single-qubit pinching channels:

$$\Phi(\rho) := \operatorname{Tr}(\rho |0\rangle\langle 0|) |0\rangle\langle 0| + \operatorname{Tr}(\rho |1\rangle\langle 1|) |1\rangle\langle 1|$$

Removes coherence, leaving only diagonal terms in the post-measurement states.

- ♦ Implicitly used in the proof of QCMA ⊆ QMA [Aharonov-Naveh'02].
- Intermediate measurements are useless (principle of deferred measurements):



♣ Eliminate intermediate measurements by introducing ancillary gubits!

What is **space-bounded** quantum computation?

Space-bounded quantum computation (BQL) is introduced in [Watrous'98, Watrous'99]:

- Limits computation to $O(\log n)$ qubits, but allows poly(n) quantum gates.
- A quantum logspace computation operates on a 2^{O(log n)}-dimension Hilbert space, making this model appear weak and contained in NC.

However, BQL has shown *notable* power and gained recent increased attention:

- ♦ INVERTING WELL-CONDITIONED MATRICES [Ta-Shma'13, Fefferman-Lin'16] is BQL-complete, fully saturating the *quadratic* space advantage over classical suggested by BQL ⊆ DSPACE[log²(n)] [Watrous'99].
- Intermediate measurements appear to make BQL stronger than BQUL:
 - $ightharpoonup O(\log n)$ intermediate measurements can be eliminated by introducing ancillary qubits.
 - Allowing both poly(n) pinching intermediate measurements and even reset operations provides no advantage for promise problems [Fefferman-Remscrim'21, Girish-Raz-Zhan'21].
 - These new techniques *don't* extend to *state-synthesizing* tasks!
- Quantum singular value transformation, a unifying quantum algorithm framework, has a logspace version [Gilyén-Su-Low-Weibe'18, Metger-Yuen'23, Le Gall-L.-Wang'23].
 - ► Another example (GAPQSD_{log}) that exhibits a space advantage over classical!
 - ► GAPQSD_{log} is BQL-complete [LLW23], previously only in NC [Watrous'02].

What is **interactive proofs**?

Classical interactive proof systems

Given a promise problem $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, there is an interactive proof system $P \rightleftharpoons V$ that involves at most poly(n) messages exchanged between the prover P and the verifier V:



- ⋄ P is typically all-powerful but untrusted;
- ⋄ V is computationally bounded, and use random bits;

For any $x \in \mathcal{L}_{yes} \cup \mathcal{L}_{no}$, this proof system $P \rightleftharpoons V$ guarantees:

- For *yes* instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at least 2/3;
- For *no* instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at most 1/3.

* The image is generated using OpenAI's DALL-E model.

Classical interactive proofs were introduced in [Babai'85, Goldwasser-Micali-Rackoff'85]:

- Public-coin (AM[k+2]) matches the power of private-coin (IP[k]) [Goldwasser-Sipser'86].
 - $\diamond~$ Public coins: Verifier's questions have a particular form ("random questions").
 - Private coins: Random bits used by the verifier, but hidden from the prover.
- **2** Constantly many messages: $IP[O(1)] \subseteq AM[2] \subseteq PH$ [Babai'85, Goldwasser-Sipser'86].
- 3 Polynomially many messages: IP = PSPACE [Lund-Fortnow-Karloff-Nisan'90, Shamir'90].

What is quantum interactive proofs?

Quantum interactive proof systems

Given a promise problem $(\mathcal{L}_{yes}, \mathcal{L}_{no})$, there is an interactive proof system $P \rightleftharpoons V$ that involves at most poly(n) quantum messages exchanged between P and V:



- ⋄ P is typically all-powerful but untrusted;
- ⋄ V is bounded and capable of quantum computation;
- ⋄ P and V may become entangled during the interaction.

For any $x \in \mathcal{L}_{yes} \cup \mathcal{L}_{no}$, this proof system $P \rightleftharpoons V$ guarantees:

- ► For *yes* instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at least 2/3;
- For *no* instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at most 1/3.

Quantum interactive proofs were introduced in [Watrous'99, Kitaev-Watrous'00]:

- $\textbf{ ``Parallelization": PSPACE} \subseteq \textbf{QIP} \subseteq \textbf{QIP}[3] \text{ [Watrous'99, Kitaev-Watrous'00].}$
- Quantum analog of Babai's collapse theorem [Kobayashi-Le Gall-Nishimura'13]: For any O(1)-message (classical or quantum) "public coin" quantum interactive proofs, the corresponding class is one of PSPACE, qq-QAM, cq-QAM, or cc-QAM.

The image is generated using OpenAl's DALL-E model.

What is space-bounded (classical) interactive proofs?

Space-bounded classical interactive proofs were introduced in [Dwork-Stockmeyer'92, Condon'91], where the verifier operates in *logspace* but can run in *polynomial time*.

Public coins *weaken* the computational power of such proof systems:

- ▶ Classical interactive proofs with a logspace verifier using private (random) coins:
 - ♦ With $O(\log n)$ private coins, this model ("IPL") exactly characterizes NP [Condon-Ladner'92].
 - ♦ With poly(n) private coins, this model exactly characterizes PSPACE [Condon'91].
- ▶ The model of *public-coin* space-bounded classical interactive proofs is weaker:
 - ♦ With poly(n) public coins, this model is contained in P [Condon'89].
 - \diamond With $O(\log n)$ public coins, it contains SAC¹ [Fortnow'89], enabling bounded fan-in AND.
 - \diamond With poly $\log(n)$ public coins, it contains NC [Fortnow-Lund'91].
 - With poly(n) public coins, it contains P [Goldwasser-Kalai-Rothblum'15], connecting to doubly-efficient interactive proofs, where the prover is also efficient in some sense.

In this work, the verifier has *direct access* to messages during interaction, generalizing the space-bounded quantum Merlin-Arthur proofs (QMAL):

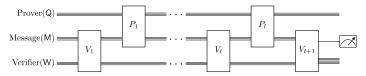
- Direct access: A QMAL verifier has direct access to an O(log n)-qubit message, processing it directly in the verifier's workspace qubit, similar to QMA.
- QMAL = BQL [Fefferman-Kobayashi-Lin-Morimae-Nishimura'16, Fefferman-Remscrim'21].

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1st attempt: Space-bounded UNITARY quantum interactive proofs

Space-bounded unitary quantum interactive proofs (QIP_UL)

Consider a 2l-turn space-bounded unitary quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{yes}, \mathcal{L}_{no})$, where the verifier V operates in quantum logspace and has direct access to messages during interaction with the prover P:

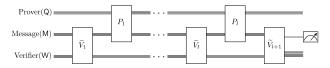


- ▶ The verifier V maps $x \in \mathcal{L}_{\text{yes}} \cup \mathcal{L}_{\text{no}}$ to (V_1, \dots, V_{l+1}) , where each V_j is unitary.
- ▶ Both M and W are of size $O(\log n)$, with M being accessible to both P and V.
- ▶ Strong uniformity: The description of (V_1, \dots, V_{l+1}) can be computed by a single deterministic logspace Turing machine, intuitively implying $\{V_j\}$'s repetitiveness.
- ★ QIP_UL does not contain "IPL", particularly the model from [Condon-Ladner'92]:
 - ► To show IP ⊆ QIP, the verifier needs to *measure* the received messages at the beginning of each action, and treat the outcome as classical messages.
 - Soundness against classical messages does not (directly) extend to quantum!

2nd attempt: Space-bounded ISOMETRIC quantum interactive proofs

Space-bounded *isometric* quantum interactive proofs (QIPL⁵)

Consider a 2l-turn space-bounded isometric quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{ves}, \mathcal{L}_{no})$, where V acts on $O(\log n)$ qubits and has direct access to messages:

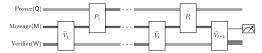


- ▶ Each \widetilde{V}_j is a unitary quantum circuit with $O(\log n)$ pinching intermediate measurements and reset operations.
- QIPL^o contains the Condon-Ladner model ("IPL"), but it appears too powerful:
- For instance, the prover P can send an n-qubit state using $\lceil n/\log n \rceil$ messages, each consisting of an $O(\log n)$ -qubit state, and the verifier V randomly selects only $O(\log n)$ qubits, without revealing the selection to P.
- ▶ QIPL^o can verify the local Hamiltonian problem, and thus contains QMA.

Space-bounded ISOMETRIC quantum interactive proofs (Cont.)

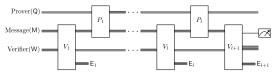
Space-bounded isometric quantum interactive proofs (QIPL^o)

Consider a 2l-turn space-bounded isometric quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{yes}, \mathcal{L}_{no})$, where V acts on $O(\log n)$ qubits and has direct access to messages:



Each \widetilde{V}_j is a unitary quantum circuit with $O(\log n)$ pinching intermediate measurements and reset operations.

<u>Where is the isometry?</u> Each \widetilde{V}_j has a unitary dilation V_j , where V_j is an *isometric* quantum circuit that allows $O(\log n)$ ancillary gates:

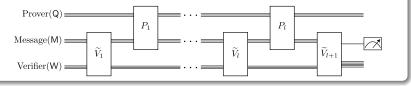


- \blacktriangleright Each ancillary gate introduces an ancillary qubit $|0\rangle$ in the environment register E_i .
- \triangleright Each environment register E_i is *only accessible* in the round of V_i belongs.
- \blacksquare The qubits in E_i cannot be altered after V_i , but entanglement with W can change!

3rd attempt: Space-bounded quantum interactive proofs

Space-bounded quantum interactive proofs (QIPL & QIPLHC)

Consider a 2l-turn space-bounded quantum interactive proof system P = V for $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, where V acts on $O(\log n)$ qubits and has direct access to messages:

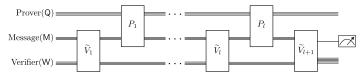


- Each \widetilde{V}_j is an almost-unitary quantum circuit, meaning that a unitary quantum circuit with $O(\log n)$ pinching intermediate measurements.
 - The O(logn) bound on pinching intermediate measurements corresponds to the maximum number of measurement outcomes that can be stored in logspace.
- ▶ QIPL^{HC}: For *yes* instances, the distribution of intermediate measurement outcomes $u = (u_1, \dots, u_l)$, condition on acceptance, must be *highly concentrated*.
 - ★ This requirement leads to the NP containment for any QIPLHC proof system.
 - \diamond Specifically, let $\omega(V)|^u$ be the contribution of u to $\omega(V)$, where $\omega(V)$ is the maximum acceptance probability of $P \rightleftharpoons V$. There must exists a u^* such that $\omega(V)|^{u^*} \ge c(n)$.
- ▶ Both QIPL^{HC} and QIPL also contain the Condon-Ladner model ("IPL")!

3rd attempt: Space-bounded quantum interactive proofs (Cont.)

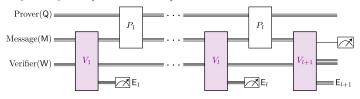
Space-bounded quantum interactive proofs (QIPL & QIPLHC)

Consider a 2l-turn space-bounded quantum interactive proof system P = V for $(\mathcal{L}_{yes}, \mathcal{L}_{no})$, where V acts on $O(\log n)$ qubits and has direct access to messages:



▶ Each \widetilde{V}_j is an *almost-unitary* quantum circuit, meaning that a unitary quantum circuit with $O(\log n)$ *pinching* intermediate measurements.

Applying the *principle of deferred measurements* to the almost-unitary quantum circuit \widetilde{V}_j transforms it into a special class of isometric quantum circuits V_j , followed by *measuring* the register E_j with outcome u_j :



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Main results on QIP_UL and QIPL

<u>Theorem 1.</u> NP = QIPL^{HC} \subseteq QIPL.

- ▶ QIPL^{HC} is the *weakest* model that includes space-bounded classical interactive proof systems, particularly the Condon-Ladner model ("IPL").
- New technique: Directly upper-bounding quantum interactive proof systems with non-unitary verifier, whereas existing techniques only handle unitary verifier.
 - To ensure that soundness against classical messages also holds against quantum messages, the verifier needs to perform poly(n) pinching measurement in total.

$\underline{\textbf{Theorem 2.}} \ \mathsf{SAC}^1 \cup \mathsf{BQL} \subseteq \mathsf{QIP_UL} \subseteq \cup_{c(n)-s(n) \geq 1/\mathsf{poly}(n)} \mathsf{QIPL}_{\mathrm{O}(1)}[c,s] \subseteq \mathsf{P}.$

- **♣** Intermediate measurements enhance the model: $QIP_UL \subseteq QIPL$ unless P = NP.
- QIP_UL proof systems, regarded as the most natural space-bounded analog to QIP, do not achieve the aforementioned soundness guarantee.

Main results on QIP_UL and QIPL (Cont.)

Theorem 3. For any $c(n) - s(n) \ge \Omega(1)$, $QIPL_{O(1)}[c, s] \subseteq NC$.

For constant-turn space-bounded quantum proofs, all three models are equivalent!

Theorem 4 (Properties for QIPL and QIPUL). Let c(n), s(n), and m(n) be functions such that $0 \le s(n) < c(n) \le 1$, $c(n) - s(n) \ge 1/\text{poly}(n)$, and $1 \le m(n) \le \text{poly}(n)$. Then, we have:

- Closure under perfect completeness.
 - $\mathsf{QIPL}_m[c,s] \subseteq \mathsf{QIPL}_{m+2}\big[1,1-\tfrac{1}{2}(c-s)^2\big] \text{ and } \mathsf{QIP}_\mathsf{U}\mathsf{L}_m[c,s] \subseteq \mathsf{QIP}_\mathsf{U}\mathsf{L}_{m+2}\big[1,1-\tfrac{1}{2}(c-s)^2\big].$
- **@ Error reduction.** For any polynomial k(n), there is a polynomial m'(n) such that: $QIPL_m[c,s] \subseteq QIPL_{m'}[1,2^{-k}]$ and $QIP_UL_m[c,s] \subseteq QIP_UL_{m'}[1,2^{-k}]$.
- **3** Parallelization. $QIP_UL_{4m+1}[1,s] \subseteq QIP_UL_{2m+1}[1,(1+\sqrt{s})/2].$
- ⋄ To establish Theorem 4 ②, we use sequential repetition due to the space constraint, with the key being to force the prover to "clean" the workspace.

Main results: Proof intuitions for *upper* bounds (*unitary* verifier)

Theorem 2. SAC¹
$$\cup$$
 BQL \subseteq QIP_UL $\subseteq \cup_{c(n)-s(n)\geq 1/\text{poly}(n)}$ QIPL_{O(1)}[c,s] \subseteq P.

- **a** Parallelization (Theorem 4 **3**) for QIP_UL proof systems:
 - The original approach in [Kitaev-Watrous'00] fails, since it requires sending all snapshot states in a single message, which exceeds logarithmic size.
 - The turn-halving approach in [Kempe-Kobayashi-Matsumoto-Vidick'07] works, a "dequantized" version of the above approach, which leverages the *reversibility* and *dimension preservation* of the verifier's actions.
- **6** Adapting the SDP formulation for QIP [Vidick-Watrous'16] to QIP_UL proof systems:
 - For any constant-round QIP_UL proof system, the corresponding SDP admits polynomial-size solutions, ensuring P containment via standard SDP solvers.
 - Parallelization (Theorem 4 3) makes QIP_UL easy!

Theorem 3. For any $c(n) - s(n) \ge \Omega(1)$, $QIPL_{O(1)}[c, s] \subseteq NC$.

An exponentially down-scaling version of QIP = PSPACE [Jain-Ji-Upadhyay-Watrous'09].

Main results: Proof intuitions for *upper* bounds (*non-unitary* verifier)

Theorem 1. $NP = QIPL^{HC} \subset QIPL$.

In $P \rightleftharpoons V$, let $\omega(V)|^u$ denote the contribution of the branch $u = (u_1, \cdots, u_l)$ to the maximum acceptance probability $\omega(V) = \sum_u \omega(V)|^u$, where u_k denotes the intermediate measurement outcome in the verifier's k-th turn $(1 \le k \le l)$.

- ▶ Pinching measurements eliminate coherence between subspaces corresponding to different branches, allowing $\omega(V)|^u$ to be approximately optimized *in isolation*.
- ► Therefore, for any QIPL proof system $P \rightleftharpoons V$ with a **fixed** branch u, one can write a SDP formulation, which computes an approximation $\widehat{\omega}(V)|^u$ of $\omega(V)|^u$ satisfying

 $\omega(V)|^{u} < \widehat{\omega}(V)|^{u} < \omega(V).$

- <u>"Efficient verifiability"</u>: Noting that a solution to this SDP formulation can be written as a *Cartesian* product of a polynomial number of *O*(log n)-qubit states (i.e., snapshot states in P ⇒ V), we can verify the SDP feasibility of this solution in NP.
- ★ "Efficient verifiability" does not imply QIPL ⊆ AM: The set lower bound protocol [Goldwasser-Sipser'86] and similar techniques are not directly applicable to QIPL.

Main results: Proof intuitions for *lower* bounds

$\underline{\mathsf{Theorem 2.}}\ \mathsf{SAC}^1 \cup \mathsf{BQL} \subseteq \mathsf{QIP_UL} \subseteq \cup_{c(n)-s(n)\geq 1/\mathsf{poly}(n)} \mathsf{QIPL}_{\mathrm{O}(1)}[c,s] \subseteq \mathsf{P.}$

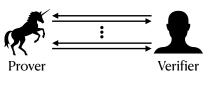
- **Key idea**: Simulating $O(\log n)$ *public* coins in space-bounded classical interactive proof systems by performing $O(\log n)$ pinching measurements.
- ▶ The lower bound (SAC¹ ⊆ QIP_UL) is inspired by space-bounded classical interactive proof systems with $O(\log n)$ public coins for evaluating (uniform) SAC¹ circuits [Fortnow'89].
 - $\diamond \ \ \text{It is known that NL} \subseteq \text{SAC}^1 = \text{LOGCFL} \subseteq \text{AC}^1 \subseteq \text{NC}^2 \ [\text{Venkateswaran'91}].$

Theorem 1. $NP = QIPL^{HC} \subset QIPL$.

- Key idea: Simulating O(log n) private coins in space-bounded classical interactive proof systems by
 - **1** Measuring each $O(\log n)$ -qubit message received from the prover in the proof system;
 - **2** Performing $O(\log n)$ pinching measurement to generate $O(\log n)$ random coins.
- ▶ The lower bound (NP \subseteq QIPL^{HC}) is inspired by space-bounded classical interactive proof systems with $O(\log n)$ private coins for NP (i.e., 3-SAT) in [Condon-Ladner'95].

Statistical zero-knowledge: General cases and in QIP_UL

<u>Definition 5 (Statistical zero-knowledge, informal)</u>. An interactive proof system admits the *(statistical) zero-knowledge* property if verifier's view (\mathcal{P}_0) is *(statistically) indistinguishable* from "verifier's view" (\mathcal{P}_1) generated by an *efficient* simulator.



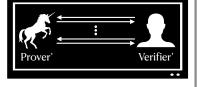


Figure: Verifier's view (\mathcal{P}_0)

Figure: Simulated "Verifier's view" (\mathcal{P}_1)

- A common misusage (*unrelated to science!*): zero-knowledge vs. zero-entropy.
- ▶ Intuitively, the classical views \mathcal{P}_0 and \mathcal{P}_1 can be treated as distributions p_0 and p_1 , respectively. The notion of *statistical indistinguishablity* is then characterized by the ℓ_1 norm distance $\mathrm{TV}(p_0,p_1) := \frac{1}{2} \|p_0 p_1\|_1$.

Zero-knowledge property in QIP_UL . A QIP_UL proof system has *the zero-knowledge property* if there is a *space-bounded* simulator that well approximates the snapshot states ("the verifier's view") in (M,W) after each turn, with respect to the trace distance.

Main results on space-bounded unitary quantum statistical zero-knowledge

 $QSZK_UL_{HV}$ and $QSZK_UL$ are space-bounded variants of quantum statistical zero-knowledge against an honest and arbitrary verifier, $QSZK_{HV}$ and QSZK, respectively, introduced in [Watrous'02] and [Watrous'09].

Theorem 6. $QSZK_{IJ}L = QSZK_{IJ}L_{HV} = BQL$.

The INDIVPRODQSD $[k, \alpha, \delta]$ problem (INDIVIDUAL PRODUCT STATE DISTINGUISHABILITY) involves two k-tuples of $O(\log n)$ -qubit states, $\sigma_1, \cdots, \sigma_k$ and $\sigma'_1, \cdots, \sigma'_k$, whose purifications can be prepared by unitary quantum logspace circuits, satisfying $\alpha(n) - k(n) \cdot \delta(n) \geq 1/\text{poly}(n)$ and $1 \leq k(n) \leq \text{poly}(n)$, with the following conditions:

- \diamond For *yes* instances, the *k*-tuples are *globally far*, i.e., $T(\sigma_1 \otimes \cdots \otimes \sigma_k, \sigma_1' \otimes \cdots \otimes \sigma_k') \geq \alpha$.
- \diamond For *no* instances, the *k*-tuples are *pairwise close*, i.e., $\forall j \in [k], T(\sigma_j, \sigma_j') \leq \delta$.

$QSZK_UL_{HV}\subseteq BQL \ follows \ since \ IndivProdQSD \ is \ QSZK_UL_{HV}\text{-}complete:$

- ▶ Since INDIVPRODQSD implies an "existential" version of GAPQSD_{log}, which is BQL-complete [Le Gall-L.-Wang'23], it follows that INDIVPRODQSD \in QMAL \subseteq BQL.
- ► The complement of INDIVPRODQSD is QSZK_UL_{HV}-hard, similar to [Watrous'02].

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Conclusions and open problems

Take-home messages on our work

 Intermediate measurements play a distinct role in space-bounded quantum interactive proofs compared to space-bounded quantum computation:

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QIP_UL\subsetneq QIPL \ unless \ P=NP \ (this \ work), \ while \ BQ_UL=BQL \ [FR21, GRZ21].
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We define three models of space-bounded quantum interactive proofs:

	QIP _U L	QIPL	QIPL°
Verifier's actions	unitary	almost-unitary	isometry
Lower bounds	$SAC^1 \cup BQL$ "IPL" with $O(\log n)$ public coins	$NP (= QIPL^{HC})$ "IPL" with $O(\log n)$ private coins	QMA
Upper bounds	Р	PSPACE	PSPACE

Introducing the zero-knowledge property for QIP_UL proof systems, i.e., QSZK_UL, eliminates the usual advantage gained from interaction (QSZK_UL = BQL).

Open problems

- Is it possible to obtain a tighter characterization of QIP_UL? Thus may require to simulate $\omega(\log n)$ public coins using only $O(\log n)$ pinching measurements.
- What is the computational power of the classes QIPL and QIPL[°]?

Thanks!