

## 10601a: Homework #7 - “Neural Networks”

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TA in charge:

Yan Zhao (yanzhao2@andrew.cmu.edu)

Assigned: Tuesday, 02/23/2016

Due: Tuesday, 03/01/2016, 11:59 p.m.

Late Penalty: 20% per day.

Number of Submissions: 10.

Office Hours:

	GHC 5th Citadel Commons
Wed 2/24	5:00pm - 6:00pm
Thu 2/25	5:00pm - 6:00pm
Fri 2/26	4:00pm - 5:00pm
Sat 2/27	4:00pm - 5:30pm
Mon 2/29	4:00pm - 5:30pm
Tue 3/01	5:00pm - 6:30pm

### Policy on Collaboration among Students

#### Previously Used Assignments

Some of the homework assignments used in this class may have been used in prior versions of this class, or in classes at other institutions. Avoiding the use of heavily tested assignments will detract from the main purpose of these assignments, which is to reinforce the material and stimulate thinking. Because some of these assignments may have been used before, solutions to them may be (or may have been) available online, or from other people. **It is explicitly forbidden to use any such sources, or to consult people who have solved these problems before. It is explicitly forbidden to search for these problems or their solutions on the internet.** You must solve the homework assignments completely on your own. **For programming assignments, this means you must write your programs completely by yourself, and not use any code from any source whatsoever.** I will be actively monitoring your compliance, and any violation will be dealt with harshly. Collaboration with other

students who are currently taking the class is allowed, but only under the conditions stated below.

### **Collaboration Among Students**

The purpose of student collaboration is to facilitate learning, not to circumvent it. Studying the material in groups is strongly encouraged. It is also allowed to seek help from other students in understanding the material needed to solve a particular homework problem, provided no written notes are shared, or are taken at that time, and provided learning is facilitated, not circumvented. **The actual solution must be done by each student alone.**

The purpose of programming assignments in this course is to make sure you truly understand the relevant techniques. In my 20 years of teaching, I have found no better way to achieve this than by having each student struggle by him/herself to implement these techniques “from scratch”. For this reason, in the case of programming assignments, **all code must be written by each student alone.** We will strictly enforce this policy, by carefully inspecting your code using sophisticated detection techniques. You have been warned!

**The presence or absence of any form of help or collaboration, whether given or received, must be explicitly stated and disclosed in full by all involved.** Specifically, **this assignment must contain a file named Collaboration.txt where you will answer the following questions:**

- Did you receive any help whatsoever from anyone in solving this assignment? Yes / No. If you answered ‘yes’, give full details? (e.g. “Jane explained to me what is asked in Question 3.4”).
- Did you give any help whatsoever to anyone in solving this assignment? Yes / No. If you answered ‘yes’, give full details? (e.g. “I pointed Joe to section 2.3 to help him with Question 2”).
- Did you find or come across code that implements any part of this assignment? Yes / No. (See below policy on “found code”). If you answered ‘yes’, give full details: (book & page, URL & location within the page, etc.).

If you gave help after turning in your own assignment and/or after answering the questions above, you must update your answers before the assignment’s deadline, if necessary by emailing the TA in charge of the assignment.

Collaboration without full disclosure will be handled severely, in compliance with CMU’s Policy on Cheating and Plagiarism.

### **Policy Regarding "Found Code"**

You are encouraged to read books and other instructional materials, both online and offline, to help you understand the concepts and algorithms taught in class. These materials may contain example code or pseudo code, which may help you better understand an algorithm or an implementation detail. However, when you implement your own solution to an assignment, you must put all materials aside, and write your code **completely on your own, starting "from scratch"**. Specifically, you may not use any code you found or came across. If you find or come across code that implements any part of your assignment, you must disclose this fact in your collaboration statement.

### **Duty to Protect One's Work**

Students are responsible for pro-actively protecting their work from copying and misuse by other students. If a student’s work is copied by another student, the original author is also considered to be at fault and in gross violation of the course policies. It does not matter whether the author allowed

the work to be copied or was merely negligent in preventing it from being copied. When overlapping work is submitted by different students, **both students will be punished.** To protect future students, do not post your solutions publicly, neither during the course nor afterwards.

### Severe Punishment of Violations of Course Policies

**All** violations (even the first one) of course policies will **always** be reported to the university authorities, will carry **severe** penalties, usually **failure** in the course, and can even lead to **dismissal** from the university. This is not an idle threat - it is my standard practice. You have been warned!

## 0 GENERAL INSTRUCTIONS

The goal of this assignment is to familiarize you with several implementation decisions involved in training neural networks, by **implementing a neural network with one hidden layer**, entirely from scratch. You will be using datasets from the two domains similar to the ones used in the 'Decision Tree' assignment (assignment 5). A major change in this assignment is that some input attributes are multi-valued or continuous-valued instead of the binary-valued attributes of Assignment 5. Notice that Neural networks are well suited for continuous valued inputs. They are also different from linear regression models as they accommodate **non-linearity**, which allows us to consider a larger space of functions than simple linear functions. However, training (or "fitting") the model by minimizing the training set error poses several challenges, as there might be multiple local minima in the parameter (weight) space.

Your goal is to achieve the lowest error rates on the test sets for both domains. (**The test sets are hidden from you, which means you are not able to, and not allowed to, access them.**) You can (and should) experiment with different configurations for the two domains using the development data.

The first task is to predict whether a song was a '**hit**', meaning it made it onto the Billboard Top 50- each instance has a label hit equal to 'yes(1)' or 'no(0)'. The attributes are: year of release (multi-valued discrete, range [1900, 2000]), length of recording (continuous, range [0,7]), jazz(yes/no), rock and roll(yes/no).

The second task is to predict the **final score** for high school students. The attributes are student grades on 2 multiple choice assignments M1 and M2, 2 programming assignments P1 and P2, and the final exam F. The scores of all the components are integers in the range [0,100]. All the attributes are multivalued discrete. Again, check the csv files to see the attribute values. The final output is also integer with a range [0,100]. Notice that we are performing regression for this problem instead of classification which was done in the decision tree assignment.

Before you start coding your neural network, think about various decisions you need to make about your implementation. A few of them are:

- Initialization of **weights**
- Number of **units** in the hidden layer
- Network **connectivity**
- **Number of iterations (or, when to stop the training)**

Generally, the number of hidden layers too is an important decision to be made, but in this assignment we only require you to implement a Neural Network with one hidden layer. There may be many more decisions to be made. All such decisions affect the speed and performance of your neural network, some more than others.

You must use the **sigmoid function** as discussed in the class for introducing non-linearity, even though other non-linear functions exist.

**Important:** Autolab won't allow your code to be run for longer than **3 minutes**. Hence, decide upon your stopping criteria so that it completes within 3 minutes. You may check the wall clock time to decide when to halt your program.

**EVEN MORE IMPORTANT:** Since the running times of your programs will be significantly longer than in past assignments, there will be queues on autolab when multiple students submit solutions. It is your responsibility to submit early enough to account for possible waiting times on autolab. Do not expect to submit your solution after 11pm on the due date and be able to see your results before the deadline.

You may use Python or Java to complete this assignment. This assignment involves multiplication of vectors and matrices, which we encourage you to write the code for yourself. However, there are some packages available and installed on the VM that implement matrix math, which you may use instead. For Python, these packages are **numpy** and **scipy**, and for Java, JAMA<sup>1</sup> is available. Do not use any other packages.

We've provided you with attributes and labels split into training and development data in files 'music\*.csv' and 'education\*.csv'. The format is **comma separated**, one row per **observation**, one column per **attribute**. Your program will take two files as input: the first file, containing labeled data, to be used to train the network (fit the weights). The second file, containing unlabeled data, to be used to make predictions.

**IMPORTANT: Do not use any standard Neural Network packages.**

## 1 NEURAL NETWORK DEVELOPMENT

We expect two files '**NN\_music.py**', '**NN\_education.py**', (or '**NN\_music.java**', '**NN\_education.java**'). You have training and development sets for both the domains. You will estimate the **weights** on your training set, **print** the squared error **after each iteration** while training and then use the learned weights to print the output decisions on the development set. (An iteration is defined as one pass through the entire data. If you use an incremental update method rather than a batch method, print out the error each time you iterate through the entire data set, not after updating for each point.) The output syntax expected by the grader is:

```
$ python NN_music.py <training_file> <test_file>
1022.6
1012.7
1005.8
...(do not print these dots; they just signify continuation)
TRAINING COMPLETED! NOW PREDICTING.
```

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<sup>1</sup><http://math.nist.gov/javanumerics/jama/>

```

no
yes
yes
no
yes
...
$ python NN_education.py <training_file> <test_file>
632.6
600.7
578.8
...
TRAINING COMPLETED! NOW PREDICTING.
23.0
55.0
65.0
60.0
80.0
50.0
...

```

For java, the commands would be:

```

$ javac -cp Jama-1.0.3.jar *.java
$ java NN_music <training_file> <test_file>
$ java NN_education <training_file> <test_file>

```

The output values shown in the examples **do not** represent actual output. In the above syntax '`<training_file>`' is 'music\_train.csv' and 'education\_train.csv', and '`<test_file>`' is 'music\_dev.csv' or 'education\_dev.csv' for the music and education domains respectively. The autograder will run your program on the same training files and separate test datasets, and then evaluate your performance(error) on the test datasets. This error will be shown on the leaderboard.

Some useful questions to think about: Is your performance on the test set similar to your performance on the development set? Does improving your performance on development set *always* result in a better performance on your test set?

**Grading criteria:** A correct implementation for both the datasets will be sufficient for **full credit**. This is determined by **adequate performance on the test set**, and **strictly decreasing training error** on each iteration (Be sure not to overshoot a minimum and increase your training error!). Also, in this assignment, we will give extra credits to students with a good performance (low error rate) on test data (We will run with your latest submission). Note that we will set the threshold for extra credits as soon as the assignment is due, so students who are granted extensions won't cause you to lose bonus points by submitting high scoring submissions after the deadline.

## 2 AUTOLAB SUBMISSION

Submit a .tgz file containing your source code( 'NN\_music.py','NN\_education.py' or 'NN\_music.java','NN\_education.java' ), and collaboration.txt. You can create this archive by running "tar -cvf hw7.tgz \*.py \*.java \*.txt". DO NOT put the above files in a folder and then tar gzip the folder. You must submit this file to the "homework7" link on Autolab.

***Beware! You are allowed to run your code on the autograder only 10 times. Hence, work with the development set and test your performance on autolab only when you are very confident!***

Good Luck! May the global minimum be with you.