

## **CERTIFICATION**

Active **CPA (California)**: License No.135508  
Chinese National Computer Rank Examination Certificate (Rank 2)

March 2018- Present  
September 2011

## **PROFESSIONAL EXPERIENCE**

### **Fund Accountant**

October 2021-Present

Farallon Capital Management, LLC      San Francisco, CA

- Manages and prepares full-stuck accounting cycle, month/quarter/year-end book close & reporting, including financial reports, budget variance reports and key performance metric reports for management and investors
- Develops strong partnerships with Investor Relations, Accounts Payable, Information System, and Trading teams. Reports directly to the managing partners.
- Prepares and records capital activities, intercompany payments and accounting closes for Side pockets and investing funds. Reconciles monthly accruals for credit strategy securities.

### **Assurance Audit Senior - Financial Service - Wealth Asset Management**

November 2019-October 2021

Ernst & Young LLP      San Francisco, CA

- Provides **financial statement audits** for **alternative investment firms** including **Venture Capital, Private Equity, Hedge Funds, Fund to Funds, Broker-Dealer Project Management**.
  - Leads the audit engagement for one of the largest fund-to-funds in Bay Area which serves high net worth individuals with \$60 billion assets.
  - Prepares and reports to the management the analysis of emerging audit areas such as **Crypto currency** and foreign tax provision
  - **Assesses** the significant **risks**. Determines significant financial accounts and transactions to establish the combined risk assessment and audit approach for each of audit area. Examines the clients' **internal control** environment for weaknesses and performs test of control.
  - **Leads audit planning** by enacting an audit plan and working closed with the team and clients to identify materiality and initial area of risk. **Performs walk-through** procedures with the clients, selects areas of accounts for further testing.
  - Executes **audit substantive testing** in accordance with **US GAAS and US GAAP**, completes appropriate memo and documentation of work papers. **Reviews financial statements** and provide comments to clients. Performs detail review of the work prepared by staff and make sure all audit testing were performed and tied out to financial statements.
  - Researches the accounting topics and form initial opinions. **Prepares financial reports** delivered to clients.
  - Leads 5-10 staff in 3-4 concurrent engagements, **supervises the fieldwork** within agreed milestones, provide job coaching to intern and staff. Leads audit event discussion, manages both onshore and offshore teams and communicates with **cross-functional teams** for successful completions of audits.
- Performs detail **valuation analysis** of publicly traded companies and private securities including both equity and debt securities under **ASC 820 – Fair Value Measurement** framework.
  - Audits the assumptions, projection, and methodologies that client performed in valuing private securities. Applies **Discounted Cash Flow (DCF) Model, Guideline Public Company Methodology (GPCM) - Public comparable/M&A transactions** to quantitatively estimate the fair value of the investments.
  - **Analyzes qualitative consideration** of economic statistics, technological milestones, revenue growth, performance against plan and industry data to gain an understanding of the challenges faced by the reviewed portfolio companies.
  - **Reviews the debt covenant compliance** calculations included in the investment valuations for reasonableness.
  - **Analyzes** the projected financial performance and **financial projection** inputs used as part of investment valuation procedure.
- Team Champion in **researching** and instructing the **innovation tools** applicable to improve audit efficiency.
  - Designs **Alteryx** tool for different engagement to **automate** and optimize **the workflows** in capital activity and realized gain/loss tests. Spearheaded the efforts into popularizing the GL analyzer innovation tool across the entire team.
- Assists with the final engagement **actual versus budget analysis** and understands rationale for any variances.

### **Senior Audit Associate**

January 2019-November 2019

Lindquist, von Husen & Joyce LLP      San Francisco, CA

- Specialized in performing **audits** of health care industry, real estate developers, affordable housing, and non-profit organizations.
  - Executed the **whole audit process** from audit planning to financial report preparation including walkthrough, internal control tests, compliance tests, **substantive testing**, audit documentation, consolidation of financial statements for the corporates and their affiliates, and **financial statement preparation** with disclosures.
  - Supervised intern and junior staff in coordinating and efficiently conducting attest engagements.
  - Led a 4-staff team during busy season and completed the firm's largest for-profit stand-alone audit of medical and health care client. Led engagements of the largest housing developer of the firm with US\$1.3 billion in assets and US\$106 million in revenue.

### **Staff Auditor**

November 2016-December 2018

Canady & Canady PC CPAs      Houston, TX

- Conducted over 70 integrated **financial statement audits** in accordance with **US GAAS and US GAAP** for real estate development and property, community associations and management companies under minimum supervision.
  - Executed audit fieldwork, compliance review, internal control assessment, and audit substantive testing using professional audit techniques, such as inquiry, confirmation, tracing, vouching, recalculating and reconciliation. Prepared audit financial reports wrapped up deliverable package and efficiently conveyed audit findings to clients.
- Performed **monthly bookkeeping and quarterly/annually financial analysis** for three companies.
  - Preparation of monthly and ad hoc journal entries, preparation of monthly balance sheet account reconciliations, performing of monthly close process and producing monthly financial reporting packages including balance sheet, income statement, statement of cash flows and statement of retained earnings, and communication letter.
  - Prepared quarterly forecasting, annual budgeting and forecast reports on business performance.
- Provided **business advisory service** including helping clients install financial systems, improving internal controls, performing reserve funding analysis.

## **EDUCATION**

**Tulane University, A.B. Freeman School of Business**

Master of Accounting      GPA 3.63

New Orleans, LA

May 2016

**China University of Mining and Technology (CUMT)**

Bachelor of Science in Accounting      GPA 3.80

Jiangsu, China

June 2014

## **SKILLS**

Expertise in **Deal Insight, SAP ERP, DocLink, MS Excel, QuickBooks, MS Word, MS PowerPoint, Sage 50 Peachtree, and ProSystem fx Engagement**; Proficient with **Alteryx, MS Access, ProSystem fx Tax**; Familiar with **Investran, Box Platform and Carta Platform**