

Lab04-Matroid

CS214-Algorithm and Complexity, Xiaofeng Gao, Spring 2021.

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1. Property of Matroid.

- (a) Consider an arbitrary undirected graph $G = (V, E)$. Let us define $M_G = (S, C)$ where $S = E$ and $C = \{I \subseteq E \mid (V, E \setminus I) \text{ is connected}\}$. Prove that M_G is a **matroid**.

Proof.

i. Heredity

Assume $I_1 \subseteq I_2 \subseteq E, I_2 \in C$. That means $(V, E \setminus I_2)$ is connected. We have $E \setminus I_2 \subseteq E \setminus I_1$, so we know that $(V, E \setminus I_1)$ is connected.

ii. Exchange property

Assume $|V| = n, |E| = m, I_1 \subseteq E, I_2 \subseteq E, |I_1| > |I_2|$. If $\forall x \in I_1, \{x\} \cup I_2$ is not connected, then $\forall x \in I_1 - I_2, x$ is connected to v_x that $d(v_x) = 1$ in $E - I_2$. Since $E - I_2$ is connected, the x is only connected to one v_x that $d(v_x) = 1$ in $E - I_2$. We divide the set of V into two parts. V_1 includes the v that satisfies $d(v) = 1$, others are in V_2 .

$\forall x \in I_1 - I_2$ two vertices of x are v_1, v_2 . From the proof above, we assume $v_1 \in V_1, v_2 \in V_2$. Since $E - I_1$ is connected and (v_1, v_2) is not in $E - I_1$, there exists another vertex v_3 in V_2 that satisfies (v_3, v_1) is in $E - I_1$. So there at least $|V_1|$ edges that are in $E - I_1$ but not in $E - I_2$, these edges are all belongs to $I_2 - I_1$.

As a result, $|I_1| - |I_2| = |I_1 - I_2| - |I_2 - I_1| \leq |V_1| - |V_1| = 0$, which is against with the assumption $|I_1| > |I_2|$.

□

- (b) Given a set A containing n real numbers, and you are allowed to choose k numbers from A . The bigger the sum of the chosen numbers is, the better. What is your algorithm to choose? Prove its correctness using **matroid**.

Solution. Let \mathbf{C} be the collection of all subsets of A that contains no more than k elements. Here we prove (A, \mathbf{C}) is a matroid.

i. Heredity

$$\begin{aligned} \forall E \in \mathbf{C} &\Rightarrow |E| \leq k \\ \forall E_1 \subseteq E, |E_1| \leq |E| &\Rightarrow E_1 \in \mathbf{C} \end{aligned} \tag{1}$$

ii. Exchange property

If $E_1, E_2 \in \mathbf{C}$, assume $|E_1| = a > b = |E_2|$. $E_1 - E_2$ is not an empty set. So there exists an element $x \in E_1 - E_2$. $|E_1 \cup \{x\}| = b + 1 \leq a \leq k$, so $E_1 \cup \{x\} \in \mathbf{C}$

So the algorithm can refer to Algorithm ??:

Algorithm 1: Choose k Numbers with Biggest Sum

Input: Array $data[n]$, integer k

Output: Array $answer[k]$

```
1 quicksort(data)
2 Initialize Answer[k]
3 for  $i = 1$  to  $k$  do
4    $\lfloor Answer[i] \leftarrow data[i]$ 
5 return Answer;
```

□

2. *Unit-time Task Scheduling Problem.* Consider the instance of the **Unit-time Task Scheduling Problem** given in class.

- (a) Each penalty ω_i is replaced by $80 - \omega_i$. The modified instance is given in Tab. ???. Give the final schedule and the optimal penalty of the new instance using Greedy-MAX.

Table 1: Task

a_i	1	2	3	4	5	6	7
d_i	4	2	4	3	1	4	6
ω_i	10	20	30	40	50	60	70

Solution. The solution is in Tab.??

Table 2: Answer

t	1	2	3	4	5	6	7
$task$	5	4	6	3	7	2	1

Only task 1 and 2 are delayed, which means the optimal penalty is 30. □

- (b) Show how to determine in time $O(|A|)$ whether or not a given set A of tasks is independent. (**Hint:** You can use the lemma of equivalence given in class)

Solution. Assume there are n elements in A , so we only need to ensure the complexity of algorithm is $O(n)$.

According to the equivalent theorem, we only need to check $\forall t, 1 \leq t \leq n$, whether $N_t(A) \leq t$ is correct or not. Here is the Algorithm ??

Algorithm 2: Determine whether A is independent

Input: deadline array $\{d_1, d_2, \dots, d_n\}$

Output: bool flag

```

1 end_number[n] ← [0, 0, ..., 0]
2 for i = 1 to n do
3   end_number[d_i] ← end_number[d_i] + 1
4 work_number ← 0
5 for i = 1 to n do
6   work_number ← work_number + end_number[i]
7   if work_number > t then
8     return False
9 return True
```

There are only 2 loops with n times, so the complexity is $O(n)$. □

3. *MAX-3DM.* Let X, Y, Z be three sets. We say two triples (x_1, y_1, z_1) and (x_2, y_2, z_2) in $X \times Y \times Z$ are *disjoint* if $x_1 \neq x_2$, $y_1 \neq y_2$, and $z_1 \neq z_2$. Consider the following problem:

Definition 1 (MAX-3DM). Given three disjoint sets X, Y, Z and a non-negative weight function $c(\cdot)$ on all triples in $X \times Y \times Z$, **Maximum 3-Dimensional Matching** (MAX-3DM) is to find a collection \mathcal{F} of disjoint triples with maximum total weight.

- (a) Let $D = X \times Y \times Z$. Define independent sets for MAX-3DM.
- (b) Write a greedy algorithm based on Greedy-MAX in the form of *pseudo code*.
- (c) Give a counter-example to show that your Greedy-MAX algorithm in Q. ?? is not optimal.
- (d) Show that: $\max_{F \subseteq D} \frac{v(F)}{u(F)} \leq 3$. (Hint: you may need Theorem ?? for this subquestion.)

Solution.

- (a) i. Definition:
Assume $\mathbf{C} \subseteq D$. \mathbf{C} is an independent set if $\forall a, b \in \mathbf{C}, a, b$ are disjoint.
- ii. Proof(Hereditary):
If \mathbf{C} is independent, $\forall \mathbf{C}' \subseteq \mathbf{C}, \forall a, b \in \mathbf{C}', a, b$ is also $\in \mathbf{C}$, so a, b are disjoint.

Here we can get an independent system (E, \mathcal{C}) .

(b)

Algorithm 3: Greedy Algorithm

Input: A set $D = \{a_1, a_2, \dots, a_n\}$, a value function $c()$

Output: A set *Answer* of with maximum total weight

```

1 quicksort( $D, c()$ )
2  $Answer \leftarrow \emptyset$ ;
3 for  $i = 1$  to  $n$  do
4   if  $Answer \cup \{a_i\}$  is independent then
5      $Answer.push(a_i)$ 
6 return  $Answer$ ;

```

- (c) Let $\mathbf{D} = \{1, 2\} \times \{1, 2\} \times \{1, 2\}$, $c(x, y, z) = xyz + |x - y| + |y - z| + |z - x|$.
If we use greedy algorithm, we will first choose $(2, 2, 2)$ with $c(2, 2, 2) = 8$, and then choose $(1, 1, 1)$. The sum is $8 + 1 = 9$.
However, if we choose $(2, 2, 1)$ and $(1, 1, 2)$. The sum is $c(2, 2, 1) + c(1, 1, 2) = 6 + 4 = 10$.
- (d) i. First we define a independent system that (E, \mathcal{I}_1) that $\forall F \in \mathcal{I}_1 \iff F \subseteq E \ \&\& \ \forall a, b \in F, a = (x_1, y_1, z_1), b = (x_2, y_2, z_2), x_1 \neq x_2$. According to this we can define another two independent systems (E, \mathcal{I}_2) with $y_1 \neq y_2$ and (E, \mathcal{I}_3) with $z_1 \neq z_2$ in the same way.
- ii. Here we will prove all of this independent system are matroids. Obviously, We only need to prove (E, \mathcal{I}_1) is a matroid.
- iii. Hereditary: in the same way as the (E, \mathcal{C}) .
- iv. Exchange property:
If there are two sets X, Y with $|X| = x > y = |Y|$, both of them are independent set. Since $x > y$ and $\forall a(x_a, y_a, z_a), b(x_b, y_b, z_b) \in X, x_a \neq y_a$, there are x categories of different $x_i (i \in X)$ in X . But there are less than x element in Y , so there exists an element $t \in X, \forall s \in Y, x_t \neq x_s$, which indicates $Y \cup \{t\}$ is also independent.
- v. Refer to ??, $\mathcal{C} = \mathcal{I}_1 \cap \mathcal{I}_2 \cap \mathcal{I}_3$. So $\max_{F \subseteq D} \frac{v(F)}{u(F)} \leq 3$.

□

Theorem 1. Suppose an independent system (E, \mathcal{I}) is the intersection of k matroids (E, \mathcal{I}_i) , $1 \leq i \leq k$; that is, $\mathcal{I} = \bigcap_{i=1}^k \mathcal{I}_i$. Then $\max_{F \subseteq E} \frac{v(F)}{u(F)} \leq k$, where $v(F)$ is the maximum size of independent subset in F and $u(F)$ is the minimum size of maximal independent subset in F .

Remark: You need to include your .pdf and .tex files in your uploaded .rar or .zip file.