

# Design and Analysis of Algorithms

## Lecture 2: Asymptotic Notations and Recurrences



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# Outline

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- Asymptotic Notations (渐近记号)
  - Big-Oh
  - Big-Omega
  - Big-Theta
  - Algorithm Design and Algorithm Turing
- Solving Recurrences
  - Recursion-tree Method (递归树法)
  - Substitution Method (代入法/替代法)
  - Master Method and Master Theorem (主方法)

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# Big-Oh

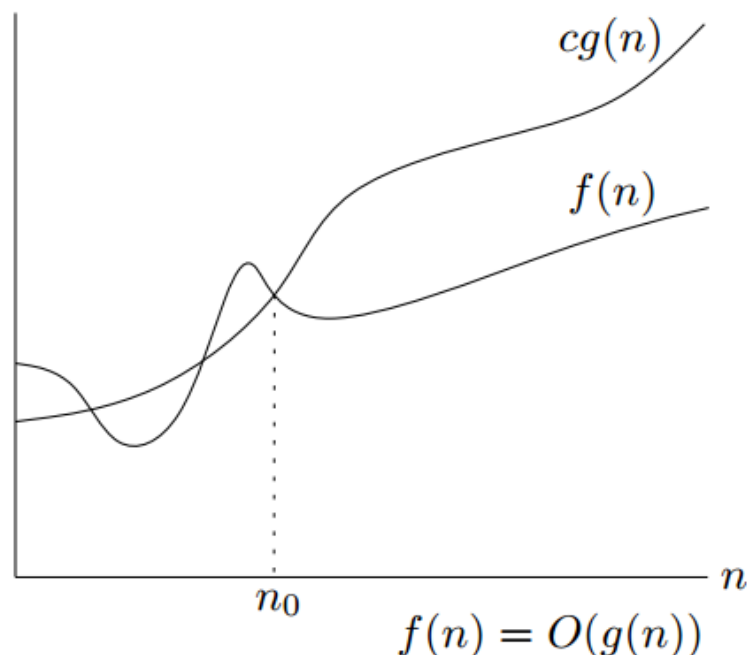
Asymptotic upper bound

## Definition (big-Oh)

$f(n) = O(g(n))$ : There exists constant  $c > 0$  and  $n_0$  such that  $f(n) \leq c \cdot g(n)$  for  $n \geq n_0$

When estimating the growth rate of  $T(n)$  using big-Oh:

- ignore the low order terms
- ignore the constant coefficient of the most significant term



# Big-Oh: Example

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## Example

Let  $T(n) = 3n^2 + 4n + 5$ . Prove that  $T(n) = O(n^2)$ .

# Big-Oh: Example

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## Example

Let  $T(n) = 3n^2 + 4n + 5$ . Prove that  $T(n) = O(n^2)$ .

## Proof.

$$\begin{aligned} T(n) &= 3n^2 + 4n + 5 \\ &\leq 3n^2 + 4n^2 + 5n^2 \\ &= 12n^2. \end{aligned}$$

Thus,  $T(n) \leq 12n^2$  for all  $n \geq 1$ . Setting  $n_0 = 1$  and  $c = 12$  in the definition, we have that  $T(n) = O(n^2)$ .  $\square$

# Big-Oh: More Examples

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- $\frac{n^2}{2} - 3n =$

# Big-Oh: More Examples

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- $\frac{n^2}{2} - 3n = O(n^2)$
- $1 + 4n =$



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- $\sum_{i=1}^n i$

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- $\log(n!) = \log(n) + \cdots + \log 1 = O(n \log n)$
- $\sum_{i=1}^n \frac{1}{i} = O(\log n)$  (Harmonic Series, 调和级数)

# Big-Oh: More Examples

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The Asymptotic Upper Bound of Harmonic Series:

$$\sum_{i=1}^n \frac{1}{i} = O(\log n)$$

# Big-Oh: More Examples

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Proof: Assume that  $n$  is a power of two, then

$$\sum_{i=1}^n \frac{1}{i}$$
$$= \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \frac{1}{5} + \frac{1}{6} + \frac{1}{7} + \frac{1}{8} + \frac{1}{9} + \frac{1}{10} + \cdots + \frac{1}{n}$$



# Big-Oh: More Examples

Proof: Assume that  $n$  is a power of two, then

$$\begin{aligned}
 & \sum_{i=1}^n \frac{1}{i} \\
 &= \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \frac{1}{5} + \frac{1}{6} + \frac{1}{7} + \frac{1}{8} + \frac{1}{9} + \frac{1}{10} + \dots + \frac{1}{n} \\
 &< \frac{1}{1} + \frac{1}{2} + \frac{1}{2} + \frac{1}{4} + \frac{1}{4} + \frac{1}{4} + \frac{1}{4} + \frac{1}{8} + \frac{1}{8} + \frac{1}{8} + \dots + \frac{1}{n/2} + \frac{1}{n}
 \end{aligned}$$

The diagram illustrates the proof by grouping terms in the harmonic series. Red dashed boxes are used to group terms in the second row, showing that each group of terms is less than or equal to a single term in the first row. Specifically, the first group contains  $\frac{1}{2} + \frac{1}{3}$  and is less than  $\frac{1}{2}$ . The second group contains  $\frac{1}{4} + \frac{1}{5} + \frac{1}{6} + \frac{1}{7}$  and is less than  $\frac{1}{4}$ . The third group contains  $\frac{1}{8} + \frac{1}{9} + \frac{1}{10} + \dots + \frac{1}{n}$  and is less than  $\frac{1}{8}$ . This pattern continues, showing that the sum of the harmonic series is bounded by a constant plus the sum of the first  $\log_2 n$  terms of the harmonic series.

# Big-Oh: More Examples

Proof: Assume that  $n$  is a power of two, then

$$\begin{aligned}
 & \sum_{i=1}^n \frac{1}{i} \\
 &= \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \frac{1}{5} + \frac{1}{6} + \frac{1}{7} + \frac{1}{8} + \frac{1}{9} + \frac{1}{10} + \dots + \frac{1}{n} \\
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 &= \frac{1}{1} + 2 \cdot \left(\frac{1}{2}\right) + 4 \cdot \left(\frac{1}{4}\right) + 8 \cdot \left(\frac{1}{8}\right) + \dots + \frac{n}{2} \left(\frac{1}{n/2}\right) + \frac{1}{n}
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# Big-Oh: More Examples

Proof: Assume that  $n$  is a power of two, then

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 &= 1/n + \sum_{j=0}^{\log n - 1} 1
 \end{aligned}$$

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 \end{aligned}$$

Thus,  $\sum_{i=1}^n \frac{1}{i} = O(\log n)$

# Big-Oh: Examples of Complexity Analysis

---

algorithm `scan( $v$ )`

1. **for**  $i = 1$  **to**  $n$  **do**
2.     **if**  $S[i] = v$  **then**
3.         **return** *yes*
4. **return** *no*

# Big-Oh: Examples of Complexity Analysis

algorithm  $\text{scan}(v)$

```
1. for  $i = 1$  to  $n$  do  
2.   if  $S[i] = v$  then           }  $O(1)$   
3.     return yes  
4. return no
```

# Big-Oh: Examples of Complexity Analysis

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}  $O(1)$

}  $n \cdot O(1) = O(n)$



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$$\left. \begin{array}{l} \text{Lines 2-3} \end{array} \right\} O(1) \quad \left. \begin{array}{l} \text{Loop} \end{array} \right\} n \cdot O(1) = O(n)$$

Although Lines 2-3 **may be executed less than  $n$  times**, we are considering the **worst-case** complexity

# Big-Oh: Examples of Complexity Analysis

algorithm CountingInversedPairs( $A[1..n]$ )

```
1. ans = 0
2. for  $i = 1$  to  $n$  do
3.     for  $j = i + 1$  to  $n$  do
4.         if  $A[i] > A[j]$  then
5.              $ans = ans + 1$ 
6. return ans
```

What's the worst-case complexity of this program?

# Big-Oh: Examples of Complexity Analysis

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$\left. \begin{array}{l} \text{ } \end{array} \right\} O(1) \left. \vphantom{\begin{array}{l} \text{ } \end{array}} \right\} (n - i)O(1)$

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 $\left. \begin{array}{l} \text{ } \end{array} \right\} ??$

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$\left. \begin{array}{l} \text{3.} \\ \text{4.} \end{array} \right\} O(1)$

$\left. \begin{array}{l} \text{5.} \end{array} \right\} (n-i)O(1)$

$\left. \begin{array}{l} \text{2.} \\ \text{3.} \\ \text{4.} \\ \text{5.} \end{array} \right\} ??$

$$\begin{aligned} ?? &= (n-1)O(1) + (n-2)O(1) + \dots + (n-n)O(1) \\ &= n(n-1)O(1) \\ &= O(n^2) \end{aligned}$$

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# Big-Omega

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Asymptotic lower bound

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# Big-Omega

Asymptotic lower bound

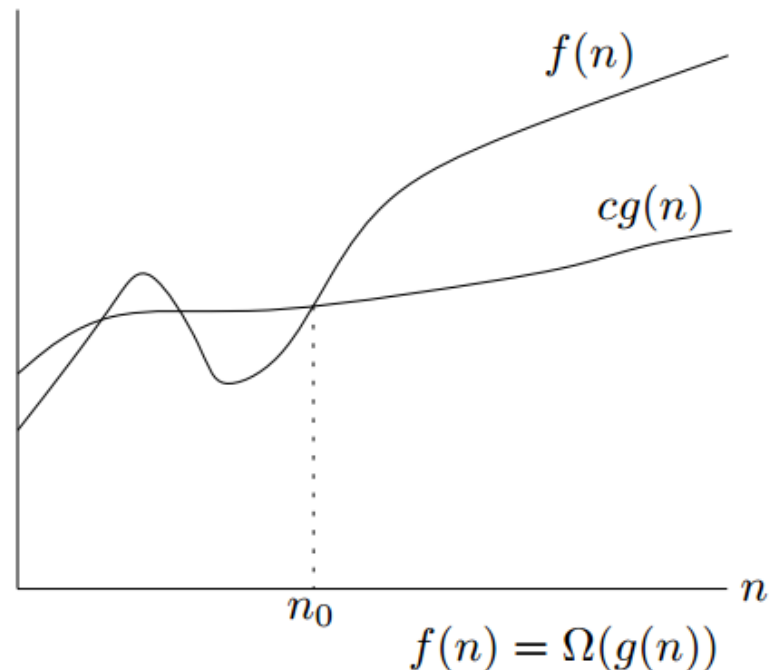
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It is easy to show that

$$\frac{n^2}{2} - 3n \geq \frac{n^2}{4} \quad \text{for all } n \geq 12.$$

Thus,  $n^2/2 - 3n = \Omega(n^2)$ .



# Big-Omega

Asymptotic lower bound

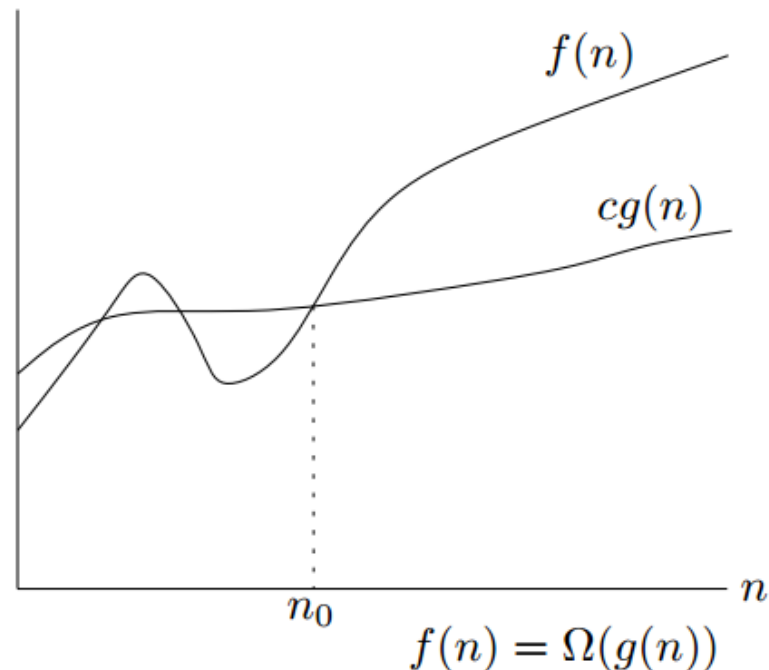
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## Example

$$\log(n!) = \log(n) + \log(n-1) + \cdots + \log 1$$

# Big-Omega

Asymptotic lower bound

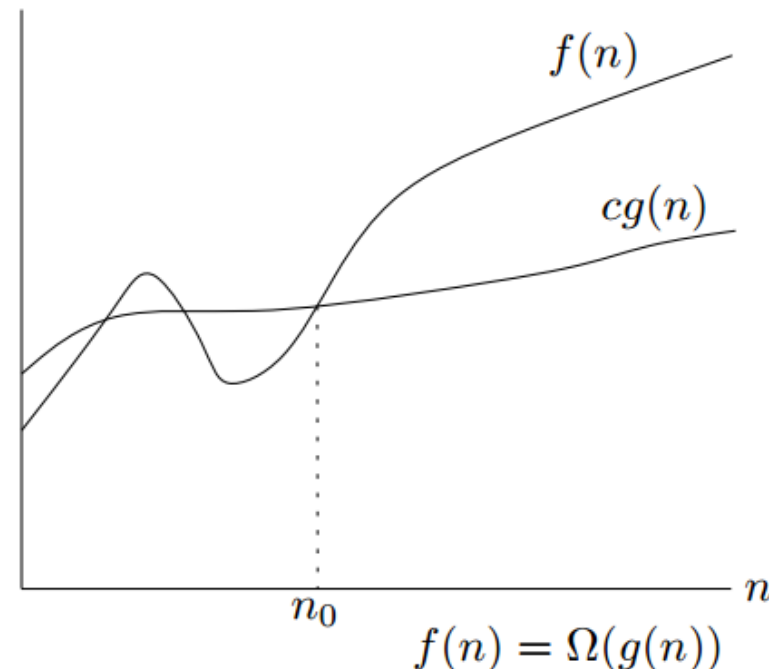
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## Example

$$\begin{aligned} \log(n!) &= \log(n) + \log(n-1) + \cdots + \log 1 \\ &\geq \log(n) + \log(n-1) + \cdots + \log(n/2) \end{aligned}$$

# Big-Omega

Asymptotic lower bound

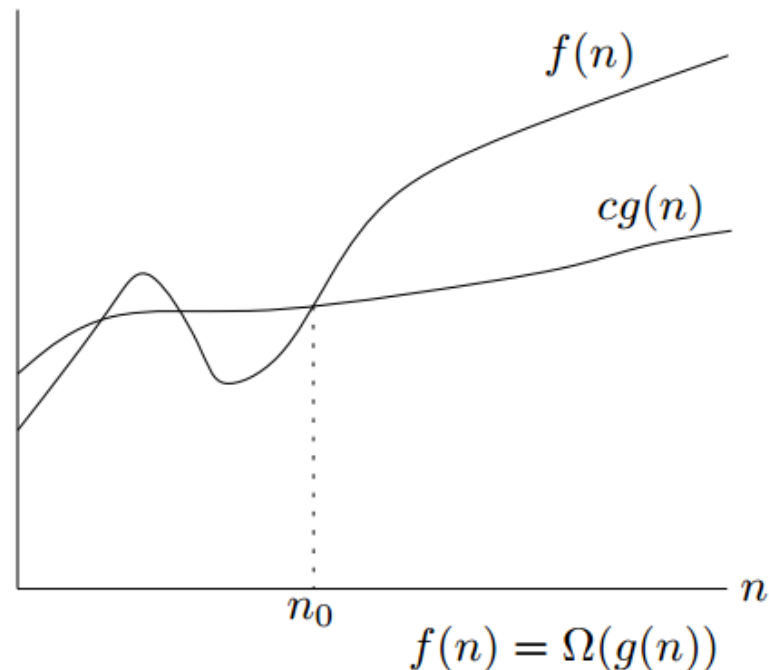
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## Example

$$\begin{aligned} \log(n!) &= \log(n) + \log(n-1) + \cdots + \log 1 \\ &\geq \log(n) + \log(n-1) + \cdots + \log(n/2) \\ &\geq n/2 \cdot \log(n/2) \end{aligned}$$

# Big-Omega

Asymptotic lower bound

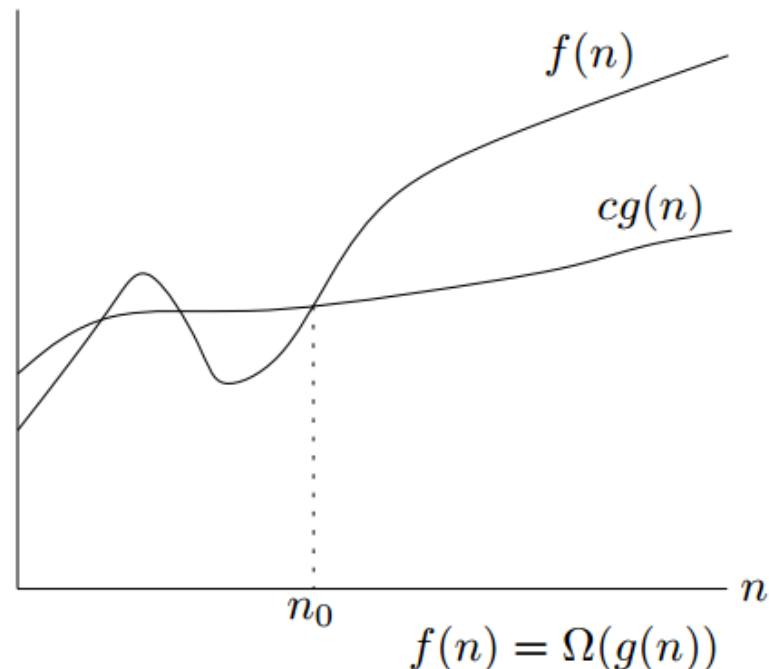
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Thus,  $n^2/2 - 3n = \Omega(n^2)$ .



## Example

$$\begin{aligned} \log(n!) &= \log(n) + \log(n-1) + \dots + \log 1 \\ &\geq \log(n) + \log(n-1) + \dots + \log(n/2) \\ &\geq n/2 \cdot \log(n/2) \\ &= n/2 \cdot (\log n - 1) = \Omega(n \log n). \end{aligned}$$

# Big-Omega: Harmonic Series

---

The Asymptotic Lower Bound of Harmonic Series:

$$\sum_{i=1}^n \frac{1}{i} = \Omega(\log n)$$

# Big-Omega: Harmonic Series

---

Proof: Assume that  $n$  is a power of two, then

$$\sum_{i=1}^n \frac{1}{i}$$

$$= \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \frac{1}{5} + \frac{1}{6} + \frac{1}{7} + \frac{1}{8} + \frac{1}{9} + \frac{1}{10} + \cdots + \frac{1}{n}$$



# Big-Omega: Harmonic Series

Proof: Assume that  $n$  is a power of two, then

$$\begin{aligned}
 & \sum_{i=1}^n \frac{1}{i} \\
 &= \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \frac{1}{5} + \frac{1}{6} + \frac{1}{7} + \frac{1}{8} + \frac{1}{9} + \frac{1}{10} + \dots + \frac{1}{n} \\
 &> \frac{1}{1} + \frac{1}{2} + \frac{1}{4} + \frac{1}{4} + \frac{1}{8} + \frac{1}{8} + \frac{1}{8} + \frac{1}{8} + \frac{1}{16} + \frac{1}{16} + \dots + \frac{1}{n}
 \end{aligned}$$

# Big-Omega: Harmonic Series

Proof: Assume that  $n$  is a power of two, then

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 & \sum_{i=1}^n \frac{1}{i} \\
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 &= \frac{1}{1} + \frac{1}{2} + 2 \cdot \left(\frac{1}{4}\right) + 4 \cdot \left(\frac{1}{8}\right) + 8 \cdot \left(\frac{1}{16}\right) + \dots + \frac{n}{2} \left(\frac{1}{n}\right)
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# Big-Omega: Harmonic Series

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 &> \frac{1}{1} + \frac{1}{2} + \frac{1}{\textcolor{red}{4}} + \frac{1}{4} + \frac{1}{\textcolor{red}{8}} + \frac{1}{\textcolor{red}{8}} + \frac{1}{\textcolor{red}{8}} + \frac{1}{8} + \frac{1}{\textcolor{red}{16}} + \frac{1}{\textcolor{red}{16}} + \dots + \frac{1}{n} \\
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 &= 1 + \sum_{j=1}^{\log n} \frac{1}{2}
 \end{aligned}$$

# Big-Omega: Harmonic Series

Proof: Assume that  $n$  is a power of two, then

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 &= 1 + \frac{1}{2} \log n
 \end{aligned}$$

Thus,  $\sum_{i=1}^n \frac{1}{i} = \Omega(\log n)$

# Outline

---

- Asymptotic Notations (渐近记号)
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# Big-Theta

---

Asymptotic tight bound

Definition (big-Theta)

$f(n) = \Theta(g(n))$ :  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$

# Big-Theta

Asymptotic tight bound

Definition (big-Theta)

$f(n) = \Theta(g(n))$ :  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$

We have shown that

$$n^2/2 - 3n = O(n^2),$$

and

$$n^2/2 - 3n = \Omega(n^2).$$

Therefore, we have that  $n^2/2 - 3n = \Theta(n^2)$ .



# Big-Theta

Asymptotic tight bound

Definition (big-Theta)

$f(n) = \Theta(g(n))$ :  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$

We have shown that

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and

$$n^2/2 - 3n = \Omega(n^2).$$

Therefore, we have that  $n^2/2 - 3n = \Theta(n^2)$ .

Usually (and in this course), it is sufficient to show only upper bounds (big-Oh), though we should try to make these as tight as we can.

# Asymptotic Notations

---

**Upper bounds.**  $T(n)=O(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that for all  $n \geq n_0$ , we have  $T(n) \leq c \cdot f(n)$ .

Equivalent definition:  $\lim_{n \rightarrow \infty} \frac{T(n)}{f(n)} < \infty$ .

**Lower bounds.**  $T(n)=\Omega(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that for all  $n \geq n_0$ , we have  $T(n) \geq c \cdot f(n)$ .

Equivalent definition:  $\lim_{n \rightarrow \infty} \frac{T(n)}{f(n)} > 0$ .

**Tight bounds.**  $T(n) = \Theta(f(n))$  if  $T(n) = O(f(n))$  and  $T(n) = \Omega(f(n))$ .

**Note:** Here “=” means “is”, not equal. The more mathematically correct way should be  $T(n) \in O(f(n))$ .

**For example**, for the harmonic series,

we have:  $\sum_{i=1}^n \frac{1}{i} = O(\log n) = \Omega(\log n) = \Theta(\log n)$

# Examples

---

- $100n^2 = O(n^3)$ ?
- $100n^2 = \Omega(n^3)$ ?
- $10n^2 - 100n = O(n^2)$ ?
- $10n^2 - 100n = \Omega(n^2)$ ?
- $10n^2 - 100n = \Theta(n^2)$ ?
- $\log(2n) = O(\log n)$ ?
- $(2n)^{10} = O(n^{10})$ ?
- $2^{2n} = O(2^n)$ ?

# Examples

---

- $100n^2 = O(n^3)$ ?

**Answer:** Yes.  $C = 1$  and  $n_0 = 100$ . Then  $\forall n \geq n_0$ ,  
 $100n^2 \leq C \cdot n^3$ .

- $100n^2 = \Omega(n^3)$ ?

- $10n^2 - 100n = O(n^2)$ ?

- $10n^2 - 100n = \Omega(n^2)$ ?

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- $100n^2 = \Omega(n^3)$ ?

**Answer:** No.  $\forall C > 0$ ,  $n_0 > 0$ , there exists  $n > n_0$  ( $n = n_0 + 100/C$ ) such that  $100n^2 < C \cdot n^3$ .

- $10n^2 - 100n = O(n^2)$ ?

- $10n^2 - 100n = \Omega(n^2)$ ?

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- $10n^2 - 100n = O(n^2)$ ? ✓

- $10n^2 - 100n = \Omega(n^2)$ ? ✓

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- $\log(2n) = O(\log n)$ ? ✓

- $(2n)^{10} = O(n^{10})$ ? ✓

- $2^{2n} = O(2^n)$ ? ✗

# Solutions

---

- $10n^2 - 100n = O(n^2)$ ?
- $10n^2 - 100n = \Omega(n^2)$ ?
- $10n^2 - 100n = \Theta(n^2)$ ?

# Solutions

---

- $10n^2 - 100n = O(n^2)$ ?

**Answer: Yes.**  $\forall n > 0, 10n^2 - 100n \leq 10n^2$ .

- $10n^2 - 100n = \Omega(n^2)$ ?

- $10n^2 - 100n = \Theta(n^2)$ ?



# Solutions

---

- $10n^2 - 100n = O(n^2)$ ?

**Answer: Yes.**  $\forall n > 0, 10n^2 - 100n \leq 10n^2$ .

- $10n^2 - 100n = \Omega(n^2)$ ?

**Answer: Yes.**  $\forall n \geq 20, 10n^2 - 100n \geq 5n^2$ .

- $10n^2 - 100n = \Theta(n^2)$ ?

# Solutions

---

- $10n^2 - 100n = O(n^2)$ ?

**Answer: Yes.**  $\forall n > 0, 10n^2 - 100n \leq 10n^2$ .

- $10n^2 - 100n = \Omega(n^2)$ ?

**Answer: Yes.**  $\forall n \geq 20, 10n^2 - 100n \geq 5n^2$ .

- $10n^2 - 100n = \Theta(n^2)$ ?

**Answer: Yes.** Because

$$10n^2 - 100n = O(n^2)$$

and

$$10n^2 - 100n = \Omega(n^2)$$

# Solutions

---

- $\log(2n) = O(\log n)$ ?
- $(2n)^{10} = O(n^{10})$ ?
- $2^{2n} = O(2^n)$ ?

# Solutions

---

- $\log(2n) = O(\log n)$ ?

**Answer: Yes.**  $\forall n \geq 2, \log(2n) = \log n + 1 \leq 2 \log n$ .

- $(2n)^{10} = O(n^{10})$ ?

- $2^{2n} = O(2^n)$ ?

# Solutions

---

- $\log(2n) = O(\log n)$ ?

**Answer:** Yes.  $\forall n \geq 2, \log(2n) = \log n + 1 \leq 2 \log n$ .

- $(2n)^{10} = O(n^{10})$ ?

**Answer:** **Yes.**  $\forall n > 0, (2n)^{10} = (2^{10})(n^{10})$ .

- $2^{2n} = O(2^n)$ ?

# Solutions

---

- $\log(2n) = O(\log n)$ ?

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- $(2n)^{10} = O(n^{10})$ ?

**Answer: Yes.**  $\forall n > 0, (2n)^{10} = (2^{10})(n^{10})$ .

- $2^{2n} = O(2^n)$ ?

**Answer: No.**  $\forall C > 0, n_0 > 0$ , let  $n = n_0 + \log C > n_0$ .  
Then  $2^{2n} = 2^n \cdot 2^n > C \cdot 2^n$ .

# An interesting fact about logarithm

---

$$\log_{b_1} n = O(\log_{b_2} n)$$

For any constant  $b_1 > 1$  and  $b_2 > 1$ .

For example, let us verify  $\log_2 n = O(\log_3 n)$ .

Notice that

$$\log_3 n = \frac{\log_2 n}{\log_2 3} \implies \log_2 n = \log_2 3 \cdot \log_3 n$$

Hence, we can set  $c_1 = \log_2 3$  and  $c_2 = 1$ , which makes

$$\log_2 n \leq c_1 \log_3 n$$

Hold for all  $n \geq c_2$ .

# An interesting fact about logarithm

---

$$\log_{b_1} n = O(\log_{b_2} n)$$

For any constant  $b_1 > 1$  and  $b_2 > 1$ .

Because of the above, in computer science, we omit all the constant logarithm bases in big-O. For example, instead of  $O(\log_2 n)$ , we will simply write  $O(\log n)$

- Essentially, this says that "you are welcome to put any constant base there, and it will be the same asymptotically".
- Obviously,  $\Omega$ ,  $\Theta$  also have this property.



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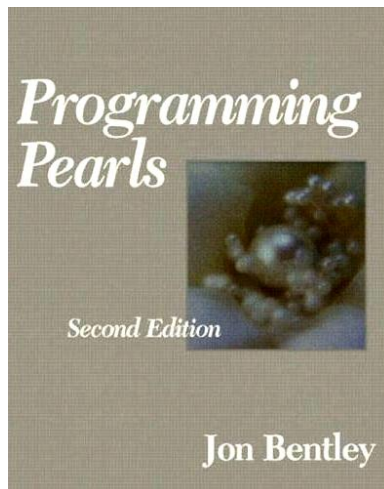
# Some Thoughts on Algorithm Design

---

- **Algorithm Design**, as taught in this class, is mainly about designing algorithms that have **big-Oh running times**.
- As  $n$  gets larger and larger,  $O(n \log n)$  algorithms will run faster than  $O(n^2)$  ones and  $O(n)$  algorithms will beat  $O(n \log n)$  ones.
- Good algorithm design & analysis allows you to identify the **hard parts** of your problem and deal with them effectively.
- Too often, programmers try to solve problems using brute force techniques and end up with slow complicated code!
- A few hours of abstract thought devoted to algorithm design often results in **faster**, **simpler**, and **more general** solutions.

# Algorithm Tuning

- After algorithm design one can continue on to **Algorithm tuning**
  - concentrate on improving algorithms by **cutting down on the constants** in the big  $O()$  bounds.
  - needs a good understanding of both **algorithm design principles** and efficient use of **data structures**.
- In this course we will not go further into algorithm tuning
  - For a good introduction, see chapter 9 in **Programming Pearls, 2nd ed** by Jon Bentley



# Outline

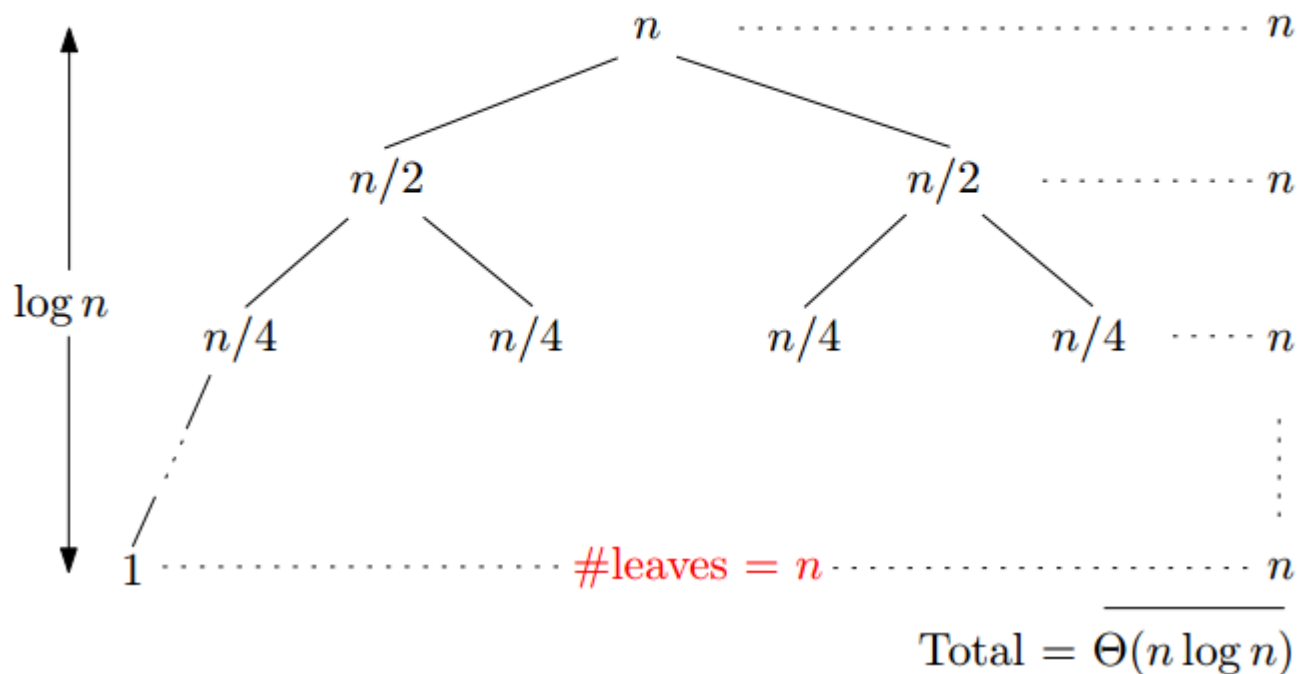
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# Solving recurrences: Recursion-tree method

- A recursion tree models the costs (time) of a recursive execution of an algorithm.
  - Each node represents the cost of a single subproblem.

$$T(n) = \begin{cases} 2T(n/2) + n, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases}$$



# Recursion-tree method: Example

---

$$T(n) = \begin{cases} 3T(n/4) + n^2, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases}$$

# Recursion-tree method: Example

---

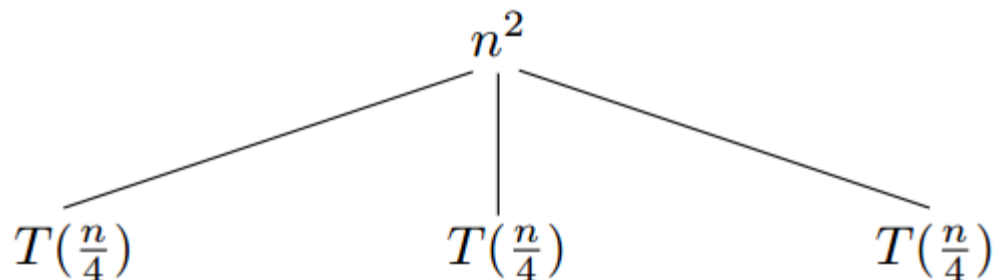
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$$T(n)$$

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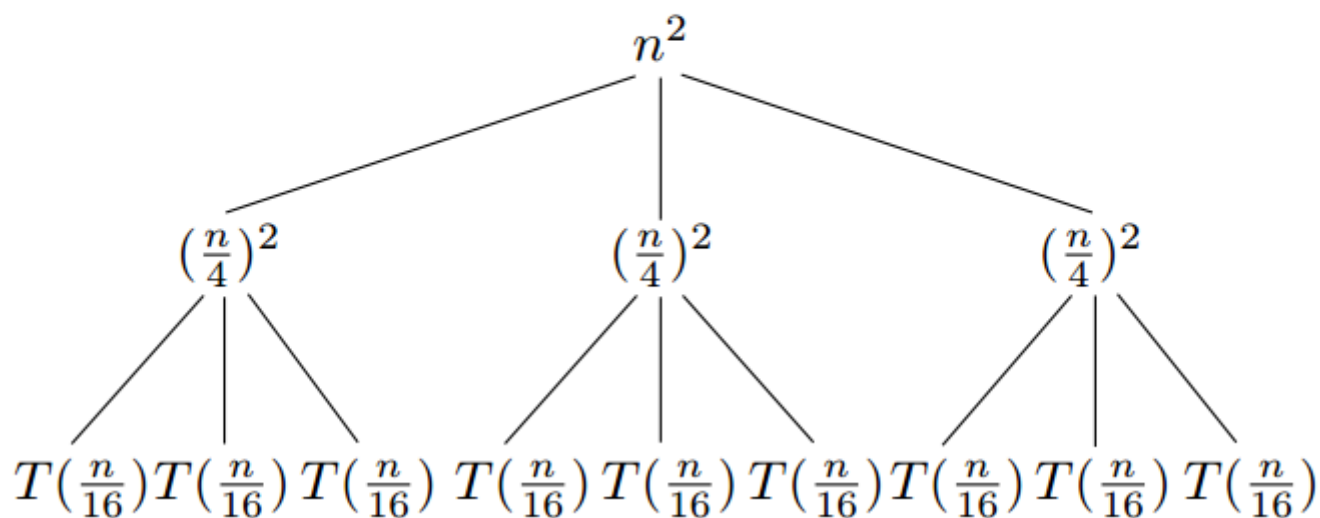




# Recursion-tree method: Example

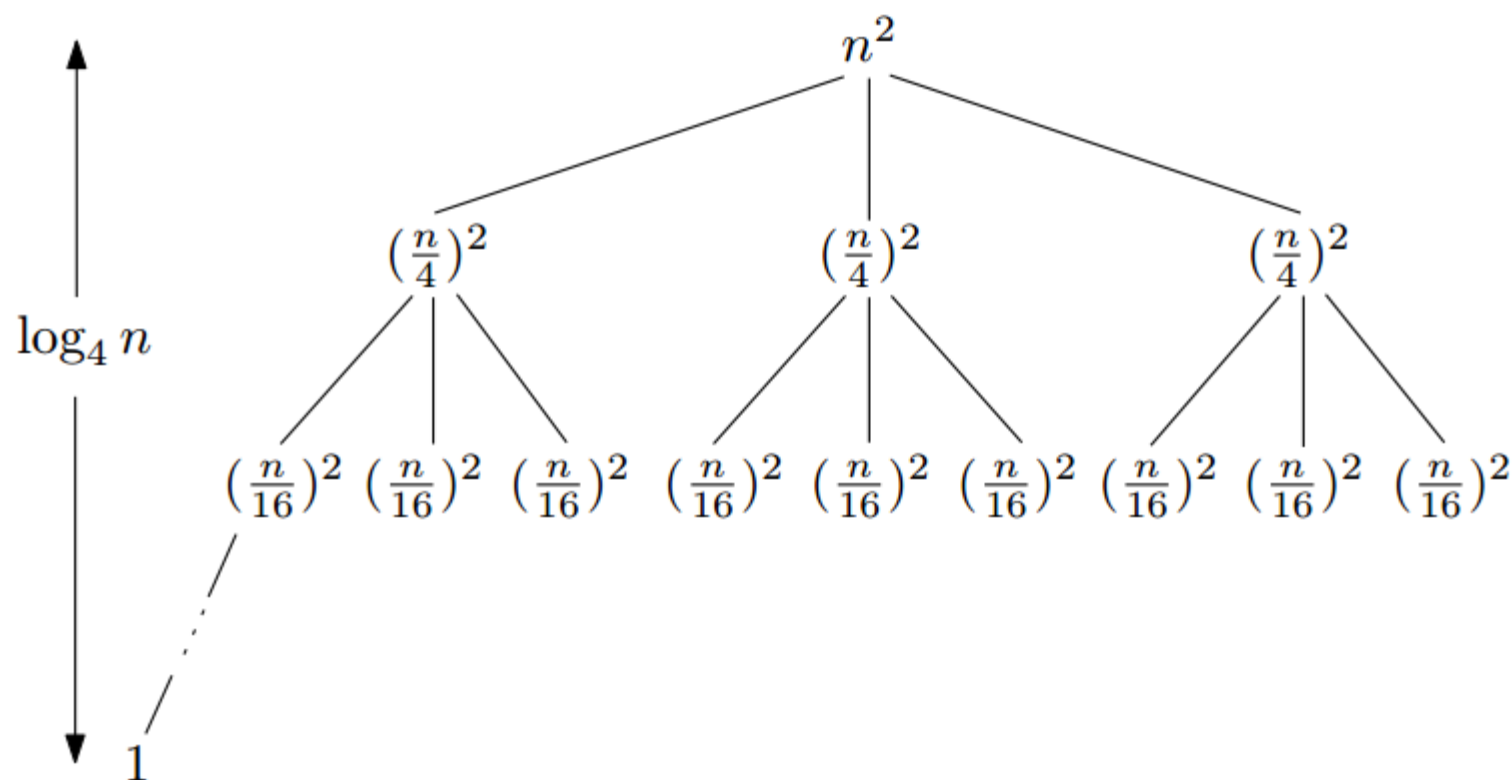
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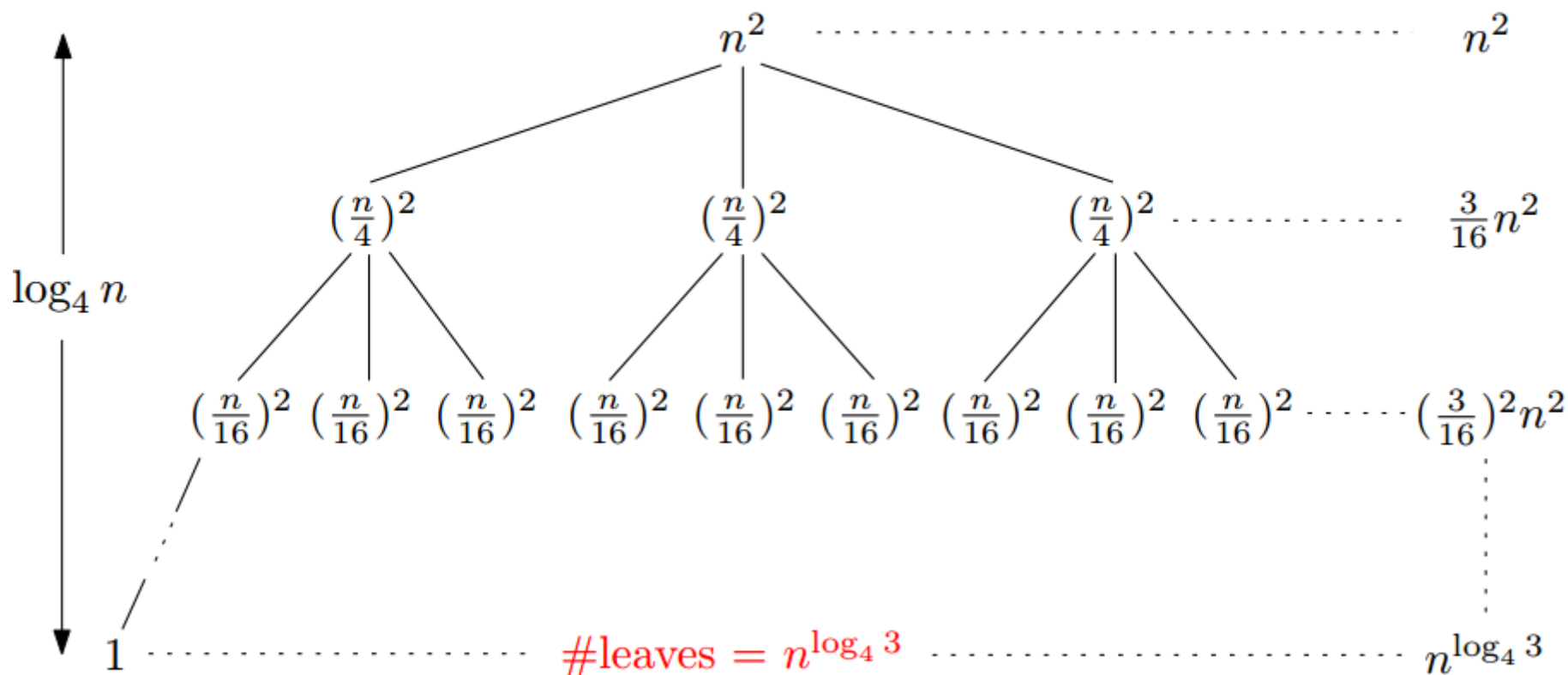
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# Recursion-tree method: Example

$$T(n) = \begin{cases} 3T(n/4) + n^2, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases}$$



对数技巧:  $a^{\log_b n} = n^{\log_b a}$ ,  
 $3^{\log_4 n} = n^{\log_4 3}$

Total =  $\Theta(n^2)$

# Recursion-tree method: Example

---

$$T(n) = \begin{cases} 3T(n/4) + n^2, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases}$$

$$\begin{aligned} T(n) &\leq n^2 + \frac{3}{16}n^2 + \left(\frac{3}{16}\right)^2 n^2 + \dots \\ &= O(n^2). \quad \text{geometric series} \end{aligned}$$

几何级数(又称为等比级数)

# Recursion-tree method: Example

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- Since  $T(n) = 3T(n/4) + n^2$ , it follows that  $T(n) \geq n^2$
- So,  $T(n) = \Omega(n^2)$ .
- Thus,  $T(n) = \Theta(n^2)$

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# Substitution method: Example 1

---

$$T(n) = \begin{cases} 3T(n/4) + n^2, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases}$$

Prove  $T(n) \leq cn^2$  by induction, where  $c$  is a large constant.



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Proof.

- Base ( $n=1$ ) : obviously holds for any  $c \geq 1$



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Proof.

- Base ( $n=1$ ) : obviously holds for any  $c \geq 1$
- Induction:

$$\begin{aligned} T(n) &= 3T(n/4) + n^2 \\ &\leq 3c(n/4)^2 + n^2 \\ &= cn^2 - (13c/16 - 1)n^2 \\ &\leq cn^2, \end{aligned}$$

whenever  $13c/16 - 1 \geq 0$ , or  $c \geq 16/13$ .



# Substitution method: Example 2

---

$$T(n) = \begin{cases} T(n/3) + T(2n/3) + n, & \text{if } n > 2, \\ 1, & \text{if } n = 1, 2. \end{cases}$$

# Substitution method: Example 2

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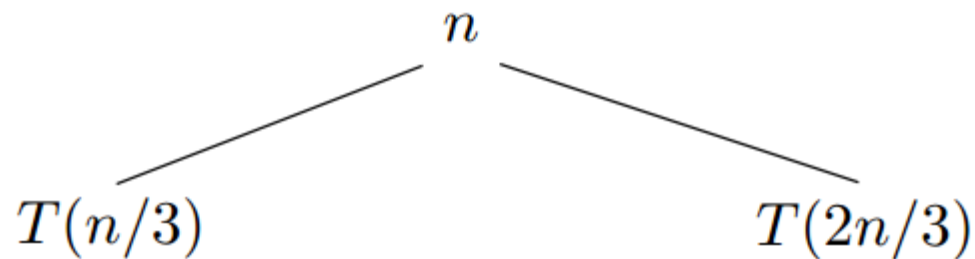
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$$T(n)$$

# Substitution method: Example 2

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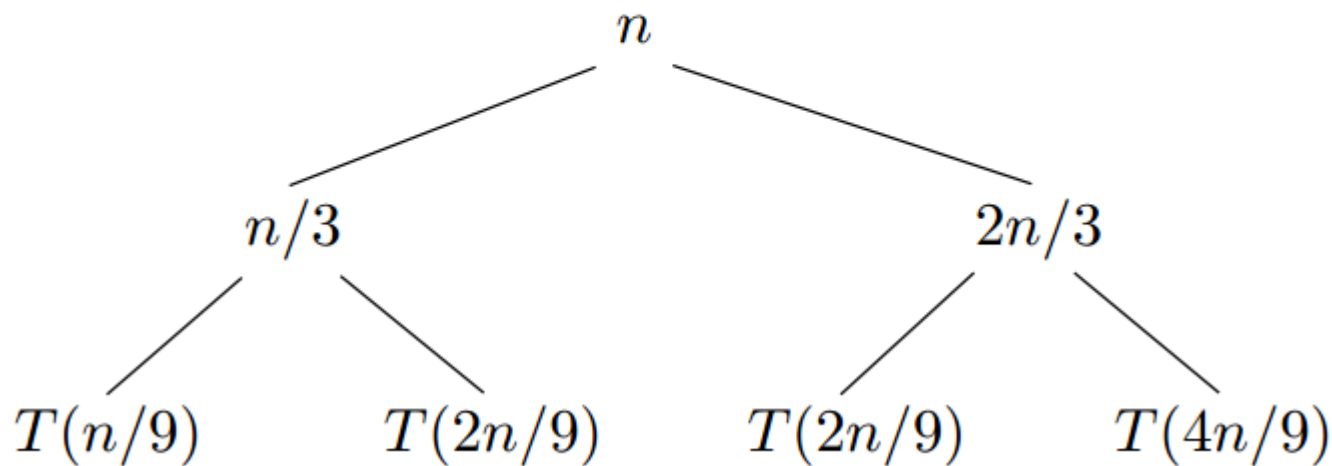
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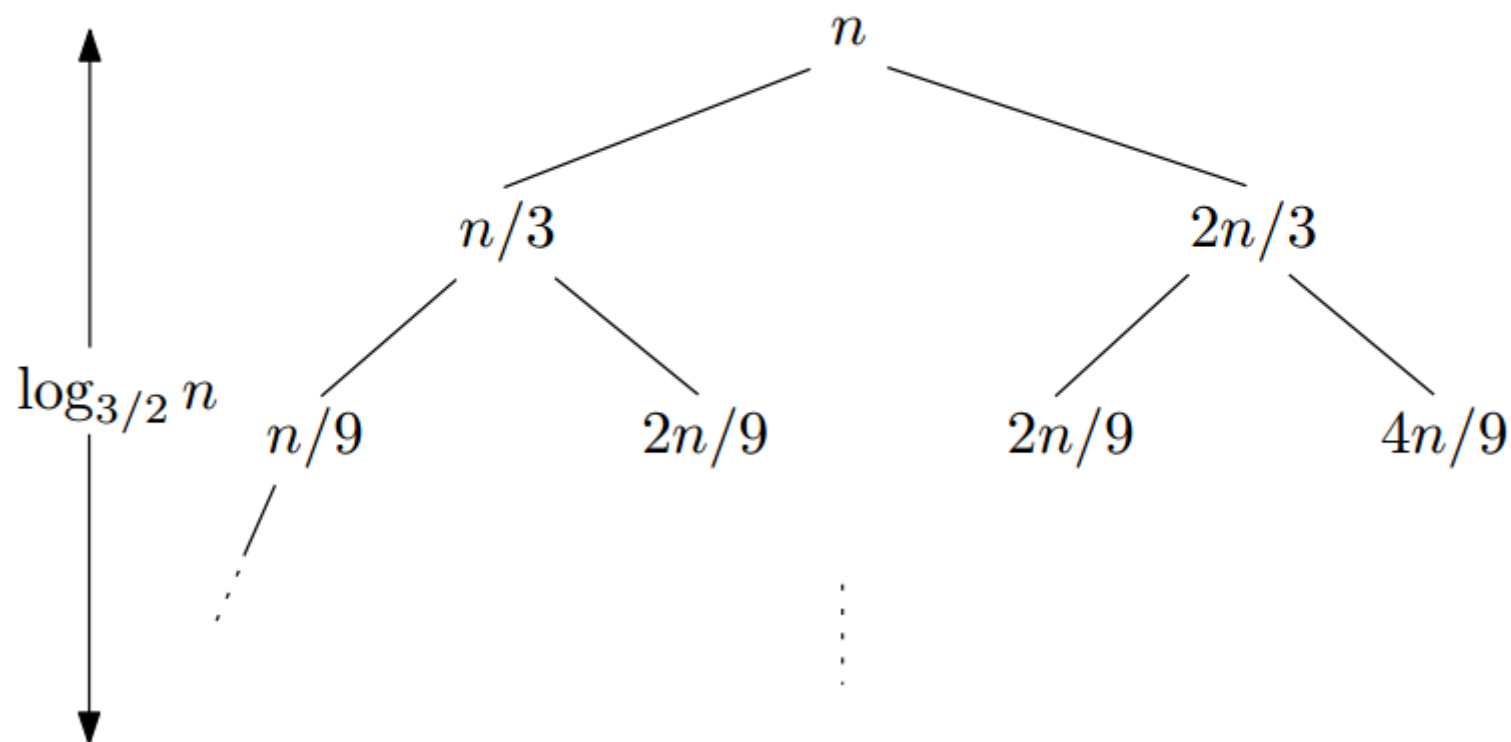
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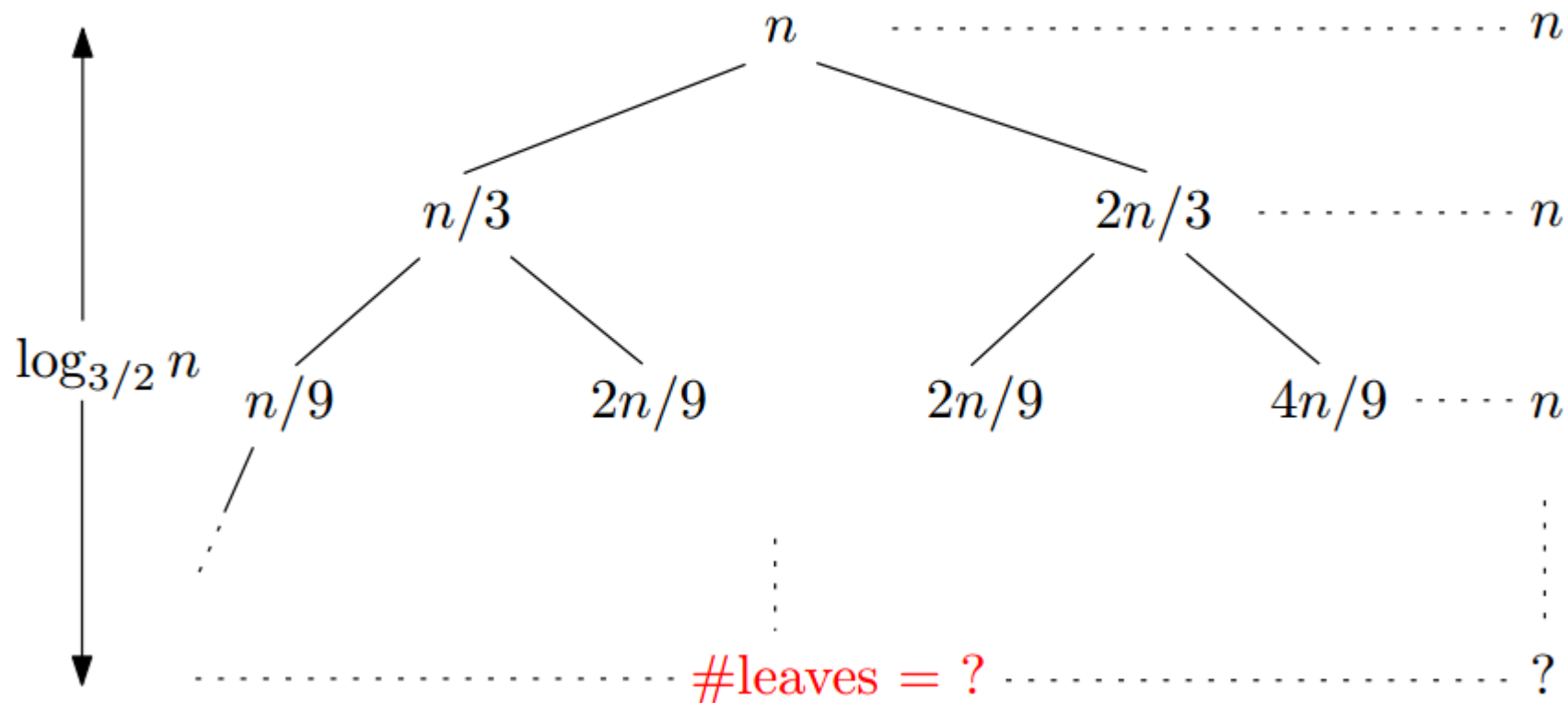
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Total =  $O(n \log n)$  ?



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Proof.

- Base ( $n=2$ ) : obviously holds for any  $c \geq 1/2$
- Induction:

$$\begin{aligned} T(n) &= T(n/3) + T(2n/3) + n \\ &\leq c(n/3) \log(n/3) + c(2n/3) \log(2n/3) + n \\ &= cn \log n - c((n/3) \log 3 + (2n/3) \log(3/2)) + n \\ &= cn \log n - cn(\log 3 - 2/3) + n \\ &\leq cn \log n, \end{aligned}$$

as long as  $c \geq 1/(\log 3 - 2/3)$ .



# Outline

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- Asymptotic Notations (渐近记号)
  - Big-Oh
  - Big-Omega
  - Big-Theta
  - Algorithm Design and Algorithm Turing
- Solving Recurrences
  - Recursion-tree Method (递归树法)
  - Substitution Method (代入法/替代法)
  - Master Method and Master Theorem (主方法)

# Master Theorem

---

If  $T(n) = aT\left(\left\lceil\frac{n}{b}\right\rceil\right) + O(n^d)$  for some constant  $a > 0$ ,  $b > 1$  and  $d \geq 0$ , then

$$T(n) = \begin{cases} O(n^d), & \text{if } d > \log_b a \\ O(n^d \log n), & \text{if } d = \log_b a \\ O(n^{\log_b a}), & \text{if } d < \log_b a \end{cases}$$

# Proof of the Master Theorem

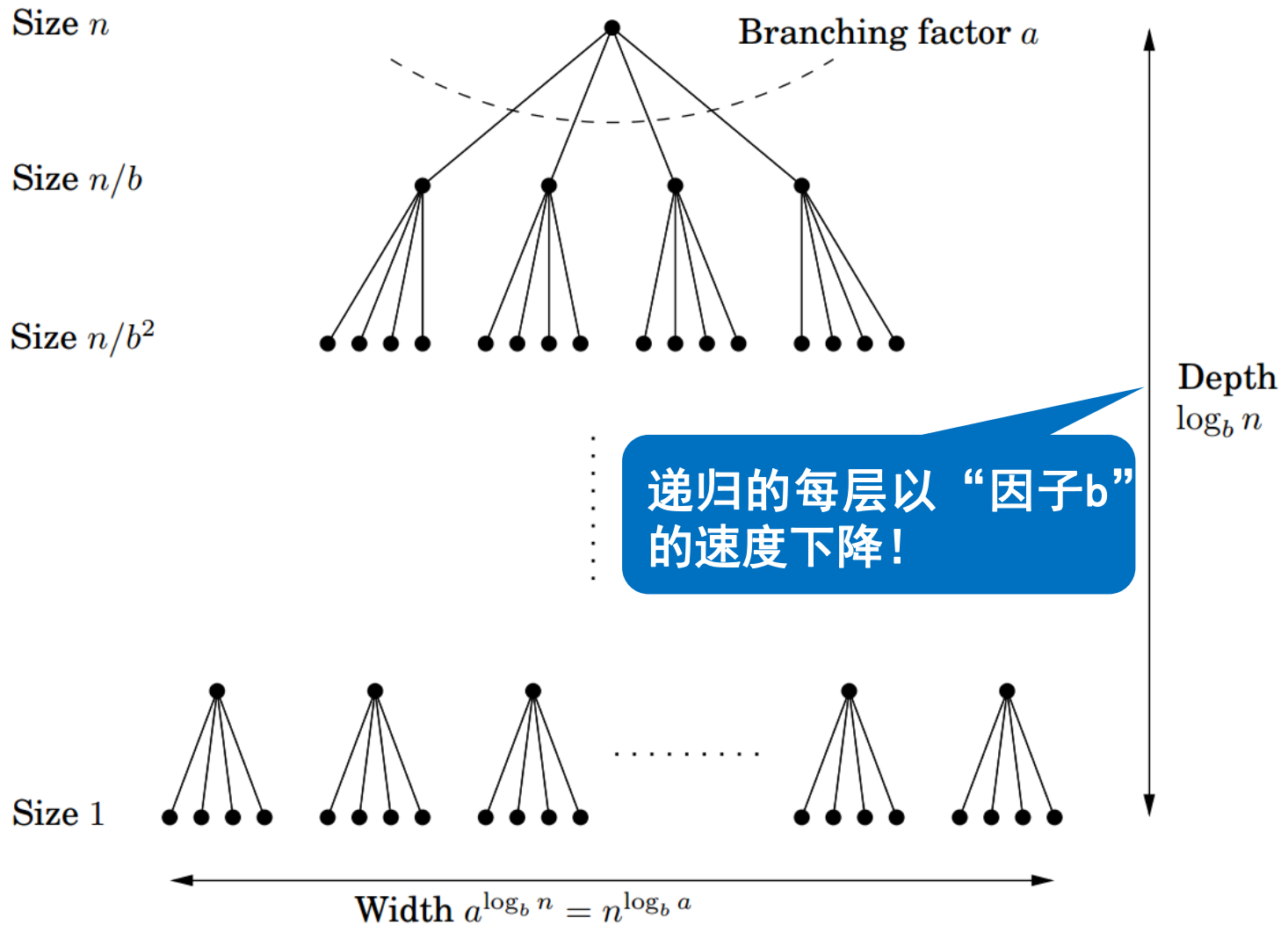
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For the sake of convenience, we assume that  $n$  is a power of  $b$ . This will not influence the final bound in any important way— $n$  is **at most a multiplicative factor of  $b$**  away from some power of  $b$ —and it will allow us to ignore the rounding effect in  $\left\lceil\frac{n}{b}\right\rceil$ .

# Proof of the Master Theorem



$$a^k \times O\left(\frac{n}{b^k}\right)^d = O(n^d) \times \left(\frac{a}{b^d}\right)^k$$

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- The size of the subproblems decreases by a factor of  $b$  with each level of recursion, and therefore reaches the base case after  **$\log_b n$  levels**. This is the height of the recursion tree.
- The  $k$ -th level of the tree is made up of  **$a^k$  subproblems**, each of size  **$n/b^k$**
- The total work done at this level is

$$a^k \times O\left(\frac{n}{b^k}\right)^d = O(n^d) \times \left(\frac{a}{b^d}\right)^k$$



# Proof of the Master theorem

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It comes down to the following three cases.

- **The ratio  $a/b^d$  is less than 1 (  $a/b^d < 1$  ).**  
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- **The ratio  $a/b^d$  is exactly 1 (  $a/b^d = 1$  ).**

In this case all  $O(\log n)$  terms of the series are equal to  $O(n^d)$ .

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dank u  
ju faleminderit  
Tack  
Asante 谢谢 Tak mulțumesc  
kiitos  
**Salamat!** Gracias  
Terima kasih Aliquam  
Merci  
Dankie Obrigado  
ありがとう köszönöm grazie  
Aliquam Go raibh maith agat  
děkuii Thank you