

# Interval Probability for Fuzzy Quantum Theories

June 22, 2016

## 1 Introduction

Fuzzy quantum mechanics:

- <http://cds.cern.ch/record/518511/files/0107054.pdf>
- [http://link.springer.com/chapter/10.1007%2F978-3-642-35644-5\\_18#page-1](http://link.springer.com/chapter/10.1007%2F978-3-642-35644-5_18#page-1)
- [http://link.springer.com/chapter/10.1007%2F978-3-540-93802-6\\_20#page-1](http://link.springer.com/chapter/10.1007%2F978-3-540-93802-6_20#page-1)
- <http://www.du.edu/nsm/departments/mathematics/media/documents/preprints/m0412.pdf>
- [http://www.space-lab.ru/files/pages/PIRT\\_VII-XII/pages/text/PIRT\\_X/Bobola.pdf](http://www.space-lab.ru/files/pages/PIRT_VII-XII/pages/text/PIRT_X/Bobola.pdf)
- <http://www.vub.ac.be/CLEA/aerts/publications/1993LiptovskyJan.pdf>

Pseudo-randomness:

- [https://people.csail.mit.edu/silvio/Selected%20Scientific%20Papers/Pseudo%20Randomness/How\\_To\\_Generate\\_Cryptographically\\_Strong\\_Sequences\\_Of\\_Pseudo-Random\\_Bits.pdf](https://people.csail.mit.edu/silvio/Selected%20Scientific%20Papers/Pseudo%20Randomness/How_To_Generate_Cryptographically_Strong_Sequences_Of_Pseudo-Random_Bits.pdf): “the randomness of an event is relative to a specific model of computation with a specified amount of computing resources.”
- Another version <https://pdfs.semanticscholar.org/3e9c/5f6f48d9ef426655dc799e9b287d754e86c1.pdf>

### 1.1 Plan

In the remainder of the paper, we consider variations of quantum probability spaces motivated by computation of numerical quantities in a world with limited resources:

- Instead of the Hilbert space  $\mathcal{H}$  (constructed over the uncountable and uncomputable complex numbers  $\mathbb{C}$ ), we will consider variants constructed over finite fields [1, 2, 3].
- Instead of real-valued probability measures producing results in the uncountable and uncomputable interval  $[0, 1]$ , we will consider finite set-valued probability measures [4].

We will then ask if it is possible to construct variants of quantum probability spaces under these conditions. The main question is related to the definition of probability measures: is it possible to still define a probability measure as a function that depends on a single state? Specifically,

- given a state  $|\psi\rangle$ , is there a probability measure mapping events to probabilities that only depends on  $|\psi\rangle$ ? In the conventional quantum probability space, the answer is yes by the Born rule [5, 6] and the map is given by:  $E \mapsto \langle\psi|E\psi\rangle$ .
- given a probability measure  $\mu$  mapping each event  $E$  to a probability, is there a *unique* state  $\psi$  such that  $\mu(E) = \langle\psi|E\psi\rangle$ ? In the conventional case, the answer is yes by Gleason’s theorem [7, 8, 9].

## 2 Classical Probability Spaces

A *probability space* specifies the necessary conditions for reasoning coherently about collections of uncertain events. We review the conventional presentation of probability spaces and then discuss the computational resources needed to estimate probabilities.

### 2.1 Real-Valued Probability Spaces

The conventional definition of a probability space [10, 11, 12, 13] builds upon the real numbers. In more detail, a probability space consists of a *sample space*  $\Omega$ , a space of *events*  $\mathcal{E}$ , and a *probability measure*  $\mu$  mapping events in  $\mathcal{E}$  to the real interval  $[0, 1]$ . In this paper, we will only consider *finite* sets of events: we therefore restrict our attention to non-empty finite sets  $\Omega$  as the sample space. The space of events  $\mathcal{E}$  includes every possible subset of  $\Omega$ : it is the powerset  $2^\Omega$ . Given the set of events  $\mathcal{E}$ , a *probability measure* is a function  $\mu : \mathcal{E} \rightarrow [0, 1]$  such that:

- $\mu(\Omega) = 1$ , and
- for a collection  $E_i$  of pairwise disjoint events,  $\mu(\bigcup_i E_i) = \mathbb{R}\sum_i \mu(E_i)$ , where  $\mathbb{R}\sum_i \mu(E_i)$  explicitly specifies  $\mu(E_i) \in \mathbb{R}$ . Besides  $\mathbb{R}$ , we will prepose other symbols later to specify the type of operations, and they may be dropped when there is no ambiguity.

*Example 1* (Two-coins experiment). Consider an experiment that tosses two coins. We have four possible outcomes that constitute the sample space  $\Omega = \{HH, HT, TH, TT\}$ . There are 16 total events including for example the event  $\{HH, HT\}$  that the first coin lands heads up, the event  $\{HT, TH\}$  that the two coins land on opposite sides, and the event  $\{HT, TH, TT\}$  that at least one coin lands tails up. Here is a possible probability measure for these events:

$$\begin{array}{ll}
 \mu(\emptyset) &= 0 \\
 \mu(\{HH\}) &= 1/3 \\
 \mu(\{HT\}) &= 0 \\
 \mu(\{TH\}) &= 2/3 \\
 \mu(\{TT\}) &= 0 \\
 \mu(\{HH, HT\}) &= 1/3 \\
 \mu(\{HH, TH\}) &= 1 \\
 \mu(\{HH, TT\}) &= 1/3 \\
 \mu(\{HT, TH\}) &= 2/3 \\
 \mu(\{HT, TT\}) &= 0 \\
 \mu(\{TH, TT\}) &= 2/3 \\
 \mu(\{HH, HT, TH\}) &= 1 \\
 \mu(\{HH, HT, TT\}) &= 1/3 \\
 \mu(\{HH, TH, TT\}) &= 1 \\
 \mu(\{HT, TH, TT\}) &= 2/3 \\
 \mu(\{HH, HT, TH, TT\}) &= 1
 \end{array}$$

The assignment satisfies the two constraints for probability measures: the probability of the entire sample space is 1, and the probability of every collection of disjoint events (e.g.,  $\{HT\} \cup \{TH\} = \{HT, TH\}$ ) is the sum of the individual probabilities. The probability of collections of non-disjoint events (e.g.,  $\{HT, TH\} \cup \{TH, TT\} = \{HT, TH, TT\}$ ) may add to something different than the probabilities of the individual events. It is useful to think that this probability measure is completely induced by the two coins in question and their characteristics in the sense that each pair of coins induces a measure, and each measure must correspond to some pair of coins. The measure above is induced by two coins such that the first coin is twice as likely to land tails up than heads up and the second coin is double-headed.  $\square$

In a strict computational or experimental setting, one may question the reliance of the definition of probability space on the uncountable and uncomputable real interval  $[0, 1]$ . This interval includes numbers like  $0.h_1h_2h_3\dots$  where  $h_i$  is 1 or 0 depending on whether Turing machine  $M_i$  halts or not. Such numbers cannot be computed. This interval also includes numbers like  $\frac{\pi}{4}$  which can only be computed with increasingly large resources as the precision increases. Therefore, in a resource-aware computational or experimental setting, it is more appropriate to consider probability measures that map events to a set of elements computable with a fixed set of resources. We expand on this observation and then consider interval-valued probability measures [14, 11, 15] in detail.<sup>1</sup>

<sup>1</sup>There is another possible approach that can be used to split the real interval  $[0, 1]$  into a collection of subsets [4]

Amr says: need to explain the connection and why we are not using it.

## 2.2 Measuring Probabilities: Buffon's Needle Problem

In previous section, the probability of each event is known a priori. In reality, we seldom know much about events, but we could still assume each event  $E$  has a probability  $\mu(E)$ . If we want to know the probability  $\mu(E)$ , we could run  $N$  independent trials. Let  $x_i$  denote whether the event  $E$  occurs in the  $i$ -th trial or not, then  $\mu(E)$  could be approximated to given accuracy by the relative frequency  $\frac{1}{N} \sum_i x_i$  with the probability converging to one as  $N$  goes to infinity. This fact is called the law of large numbers [16, 10, 17, 11, 18].

Suppose we drop a needle of length  $\ell$  onto a floor made of equally spaced parallel lines a distance  $h$  apart. It is a known fact that the probability of the needle crossing a line is  $\frac{2\ell}{\pi h}$  [19, 20, 21, 17]. We analyze this situation in the mathematical framework of probability spaces paying special attention to the resources needed to estimate the probability computationally or experimentally.

To formalize the experiment, we consider an experimental setup consisting of a collection of  $N$  identical needles of length  $\ell$ . We throw the  $N$  needles one needle at a time, and observe the number  $X$  of needles that cross a line. The sample space can be expressed as the set  $\{X, -\}^N$  of sequences of characters of length  $N$  where each character is either  $X$  to indicate a needle crossing a line or  $-$  to indicate a needle not crossing a line. If  $N = 3$ , the probability of the event that exactly 2 needles cross lines  $\{-XX, X-X, XX-\}$  can be estimated by the relative frequency  $\frac{2}{3}$ . Generally, the probability of the event that exactly  $M$  needles out of the  $N$  total needles cross lines can be estimated by  $\frac{M}{N}$ .

In an actual experiment with 500 needles and the ratio  $\frac{\ell}{h} = 0.75$  [21], it was found that 236 crossed a line so the relative frequency is 0.472 whereas the idealized mathematical probability is 0.4774.... In a larger experiment with 5000 needles and the ratio  $\frac{\ell}{h} = 0.8$  [17], the relative frequency was calculated to be 0.5064 whereas the idealized mathematical probability is 0.5092.... We see that the observed probability approaches  $\frac{2\ell}{\pi h}$  but only if *larger and larger resources* are expended. These resource considerations suggest that it is possible to replace the real interval  $[0, 1]$  with rational numbers up to a certain precision related to the particular experiment in question. There is clearly a connection between the number of needles and the achievable precision: in the hypothetical experiment with 3 needles, it is not sensible to retain 100 digits in the expansion of  $\frac{2\ell}{\pi h}$ .

There is however another more subtle assumption of unbounded computational power in the experiment. We are assuming that we can always determine with certainty whether a needle is crossing a line. But “lines” on the floor have thickness, their distance apart is not exactly  $h$ , and the needles lengths are not all absolutely equal to  $\ell$ . These perturbations make the events “fuzzy.” Thus, in an experiment with limited resources, it is not possible to talk about the idealized event that exactly  $M$  needles cross lines as this would require the most expensive needles built to the most precise accuracy, laser precision for drawing lines on the floor, and the most powerful microscopes to determine if a needle does cross a line. Instead we might talk about the event that  $M - \delta$  needles evidently cross lines and  $M + \delta'$  needles plausibly cross lines where  $\delta$  and  $\delta'$  are resource-dependent approximations. This fuzzy notion of events leads to probabilities being only calculable within intervals of confidence reflecting the certainty of events and their plausibility. This is indeed consistent with published experiments: in an experiment with 3204 needles and the ratio  $\frac{\ell}{h} = 0.6$  [20], 1213 needles clearly crossed a line and 11 needles were close enough to plausibly be considered as crossing the line: we would express the probability in this case as the interval  $[\frac{1213}{3204}, \frac{1224}{3204}]$  expressing that we are certain that the event has probability at least  $\frac{1213}{3204}$  but it is possible that it would have probability  $\frac{1224}{3204}$ .

Recall that the relative frequency will approximate the probability of an event if the event has a probability. What if the event doesn't have infinity precise probability because of the experimental limit? In this case, two sequences of independent copies of experimental results can have their relative frequencies converge almost surely to different limits [22, 23]. In another word, to get a better approximation of the probability, the quality of experimental equipment cannot be compensated by the number of independent trials.

## 2.3 Interval-valued probability measures

As motivated above, an event  $E_1$  may have an interval of probability  $[l_1, r_1]$ . Assume that another disjoint event  $E_2$  has interval probability  $[l_2, r_2]$ , what is the interval probability of the event  $E_1 \cup E_2$ ? The answer

is somewhat subtle: although it is possible to use the sum of the intervals  $[l_1 + l_2, r_1 + r_2]$  as the combined probability, one can do find a much tighter interval if information *against* the event (i.e., information about the complement event) is also taken into consideration. Formally, for a general event  $E$  with probability  $[l, r]$ , the evidence that contradicts  $E$  is an evidence supporting the complement of  $E$ . The complement of  $E$  must therefore have probability  $[1 - r, 1 - l]$  which we abbreviate  $1 \text{ }_{\mathcal{J}} - [l, r]$ , where the preposing  $\mathcal{J}$  specifies we subtracts intervals. Given a collection of intervals  $\mathcal{J}$ , an  $\mathcal{J}$ -interval-valued probability measure is a function  $\mu : \mathcal{E} \rightarrow \mathcal{J}$  such that [15]:<sup>2</sup>

- $\mu(\emptyset) = [0, 0]$ ,
- $\mu(\Omega) = [1, 1]$ ,
- for any event  $E$ ,  $\mu(\Omega \setminus E) = 1 \text{ }_{\mathcal{J}} - \mu(E)$ , and
- for a collection  $E_i$  of pairwise disjoint events, we have  $\mu(\bigcup_i E_i) \subseteq \text{ }_{\mathcal{J}} \sum_i \mu(E_i)$ , where  $\text{ }_{\mathcal{J}} \sum_i [l_i, r_i] = [\text{ }_{\mathbb{R}} \sum_i l_i, \text{ }_{\mathbb{R}} \sum_i r_i]$ . We may drop the preposing  $\mathcal{J}$  when summands are clearly intervals.

We will explain why the last condition is expressed using  $\subseteq$  by a small example.

*Example 2* (Two-coin experiment with interval probability). We split the unit interval  $[0, 1]$  in the following four closed sub-intervals:  $[0, 0]$  which we call *impossible*,  $[0, \frac{1}{2}]$  which we call *unlikely*,  $[\frac{1}{2}, 1]$  which we call *likely*, and  $[1, 1]$  which we call *certain*. Using these new values, we can modify the probability measure of Ex. 1 by mapping each numeric value to the smallest sub-interval containing it to get the following:

$\mu(\emptyset)$	=	<i>impossible</i>	$\mu(\{HT, TH\})$	=	<i>likely</i>
$\mu(\{HH\})$	=	<i>unlikely</i>	$\mu(\{HT, TT\})$	=	<i>impossible</i>
$\mu(\{HT\})$	=	<i>impossible</i>	$\mu(\{TH, TT\})$	=	<i>likely</i>
$\mu(\{TH\})$	=	<i>likely</i>	$\mu(\{HH, HT, TH\})$	=	<i>certain</i>
$\mu(\{TT\})$	=	<i>impossible</i>	$\mu(\{HH, HT, TT\})$	=	<i>unlikely</i>
$\mu(\{HH, HT\})$	=	<i>unlikely</i>	$\mu(\{HH, TH, TT\})$	=	<i>certain</i>
$\mu(\{HH, TH\})$	=	<i>certain</i>	$\mu(\{HT, TH, TT\})$	=	<i>likely</i>
$\mu(\{HH, TT\})$	=	<i>unlikely</i>	$\mu(\{HH, HT, TH, TT\})$	=	<i>certain</i>

Despite the absence of any numeric information, the probability measure is quite informative: it reveals that the second coin is double-headed and that the first coin is biased. To understand the  $\subseteq$ -condition, consider the following calculation:

$$\begin{aligned}
& \mu(\{HH\}) + \mu(\{HT\}) + \mu(\{TH\}) + \mu(\{TT\}) \\
&= \text{impossible} + \text{unlikely} + \text{impossible} + \text{likely} \\
&= [0, 0] + \left[0, \frac{1}{2}\right] + [0, 0] + \left[\frac{1}{2}, 1\right] = \left[\frac{1}{2}, \frac{3}{2}\right]
\end{aligned}$$

If we were to equate  $\mu(\Omega)$  with the sum of the individual probabilities we would get that  $\mu(\Omega) = [\frac{1}{2}, \frac{3}{2}]$ . However, using the fact that  $\mu(\emptyset) = \text{impossible}$ , we have  $\mu(\Omega) = 1 - \mu(\emptyset) = \text{certain} = [1, 1]$ . This interval is tighter and a better estimate for the probability of the event  $\Omega$  and of course it is contained in  $[\frac{1}{2}, \frac{3}{2}]$ . However it is only possible to exploit the information about the complement when all four events are combined. Thus the  $\subseteq$ -condition allows us to get an estimate for the combined event from each of its constituents and then gather more evidence knowing the aggregate event.  $\square$

### 3 Quantum Probability Spaces

The mathematical framework above assumes that there exists a predetermined set of events that are independent of the particular experiment. However, in many practical situations, the structure of the event

<sup>2</sup>Notice that the belief function defined by Dempster [14] and Shafer [11] is a special case of the interval-valued probability measures. Depending on how quantum interval probability goes, this section may be specialized to Dempster and Shafer only.

space is only partially known and the precise dependence of two events on each other cannot, a priori, be determined with certainty. In the quantum framework, this partial knowledge is compounded by the fact that there exist non-commuting events which cannot happen simultaneously. To accommodate these more complex situations, we abandon the sample space  $\Omega$  and reason directly about events. A quantum probability space therefore consists of just two components: a set of events  $\mathcal{E}$  and a probability measure  $\mu : \mathcal{E} \rightarrow [0, 1]$ . We give an example before giving the formal definition.

*Example 3* (One-qubit quantum probability space). Consider a one-qubit Hilbert space with states  $\alpha|0\rangle + \beta|1\rangle$  such that  $|\alpha|^2 + |\beta|^2 = 1$ ,  $\alpha, \beta \in \mathbb{C}$ . The set of events associated with this Hilbert space consists of all projection operators. Each event is interpreted as a possible post-measurement state of a quantum system in current state  $|\phi\rangle$ . For example, the event  $|0\rangle\langle 0|$  indicates that the post-measurement state will be  $|0\rangle$ ; the event  $|1\rangle\langle 1|$  indicates that the post-measurement state will be  $|1\rangle$ ; the event  $|+\rangle\langle +|$  where  $|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$  indicates that the post-measurement state will be  $|+\rangle$ ; the event  $\mathbb{1} = |0\rangle\langle 0| + |1\rangle\langle 1|$  indicates that the post-measurement state will be a linear combination of  $|0\rangle$  and  $|1\rangle$ ; and the empty event  $\emptyset$  states that the post-measurement state will be the empty state. As in the classical case, a probability measure is a function that maps events to  $[0, 1]$ : here is a partial specification of a possible probability measure:

$$\mu(\emptyset) = 0, \quad \mu(\mathbb{1}) = 1, \quad \mu(|0\rangle\langle 0|) = 1, \quad \mu(|1\rangle\langle 1|) = 0, \quad \mu(|+\rangle\langle +|) = 1/2, \quad \dots$$

Note that, similarly to the classical case, the probability of  $\mathbb{1}$  is 1 and the probability of collections of orthogonal events (e.g.,  $|0\rangle\langle 0| + |1\rangle\langle 1|$ ) is the sum of the individual probabilities. A collection of non-orthogonal events (e.g.,  $|0\rangle\langle 0|$  and  $|+\rangle\langle +|$ ) is however not even a valid event. In the classical example, we argued that each probability measure is uniquely determined by two actual coins. A similar (but much more subtle) argument is valid also in the quantum case. By postulates of quantum mechanics and Gleason's theorem, it turns out that for large enough quantum systems, each probability measure is uniquely determined by an actual quantum state.  $\square$

To properly explain the previous example and generalize to arbitrary quantum systems, we formally discuss projection operators, define quantum probability measures, and extend to quantum interval-valued probability.

### 3.1 Quantum Events

*Definition 1* (Projection Operators; Orthogonality [24, 9, 8, 12, 13]). Given a Hilbert space  $\mathcal{H}$ , an event<sup>3</sup> mathematically is represented as a projection operator  $P : \mathcal{H} \rightarrow \mathcal{H}$  onto a linear subspace  $S$  of  $\mathcal{H}$ . The set of all events can be defined recursively as follow: <sup>4</sup>

- $\emptyset$  is a projection.
- For any pure state  $|\psi\rangle$ ,  $|\psi\rangle\langle\psi|$  is a projection operator.
- Projection operators  $P_1$  and  $P_2$  are *orthogonal* if  $P_1P_2 = P_2P_1 = \emptyset$ . The sum of two projection operators  $P_1 \oslash + P_2$  is also a projection operator if and only if they are orthogonal, where the preposing subscript  $\oslash$  means  $\oslash +$  is an operation between operators.
- Conversely, every projection  $P$  can be expressed as  $\oslash \sum_j |\psi_j\rangle\langle\psi_j|$ , where  $P$  actually projects onto the linear subspace  $S$  which has an orthonormal basis  $\{|\psi_j\rangle\}$ .

$\square$

Based on the set of events, we can define the quantum probability space.

<sup>3</sup>An event is formally called an experimental proposition [25], a question [24, 26], or an elementary quantum test [8].

<sup>4</sup>"Projection" is sometimes called "orthogonal projection" or "self-adjoint projection" to emphasize  $P^\dagger = P$  [12, 27].

*Definition 2* (Quantum Probability Space [24, 7, 9, 27]). Given a Hilbert space  $\mathcal{H}$ , a *quantum probability space* consists of a set of events  $\mathcal{E}$  and a probability measure  $_{[0,1]}\mu : \mathcal{E} \rightarrow [0, 1]$  such that:<sup>5</sup>

- $_{[0,1]}\mu(\mathbb{1}) = 1$ , and
- for mutually orthogonal projections  $P_i$ , we have  $_{[0,1]}\mu(\text{ }_{\mathcal{O}}\sum_i P_i) = \mathbb{R}\sum_i \text{ }_{[0,1]}\mu(P_i)$ .

Notice that the preposing  $[0, 1]$  indicates the range of  $_{[0,1]}\mu$ . We will only prepose the range of a probability measure whenever we need to compare the ranges of different probability measures later.  $\square$

Comparing to the classical probability space, the empty set  $\emptyset$  corresponds to the empty projection  $\mathbb{0}$  and the event of whole space  $\Omega$  corresponds to the identity projection  $\mathbb{1}$ . In contrast, the union  $\cup$  of any two events always gives an event classically, but the operator addition  $\text{ }_{\mathcal{O}}+$  of two projections may not be a projection. As the result, the classical condition  $\mu(E_1 \cup E_2) = \mu(E_1) \mathbb{R}+ \mu(E_2)$  is always defined, and it is true when  $E_1$  and  $E_2$  are disjoint; however,  $\mu(P_1 \text{ }_{\mathcal{O}}+ P_2) = \mu(P_1) \mathbb{R}+ \mu(P_2)$  is always true whenever the left-handed side is defined. Whether an equation is defined also effects the further properties of quantum probability space.

*Definition 3* (Ideal Measurement; Complement; Commutativity [13, 8, 12]).

- A set of mutually orthogonal projections  $P_i$  is called an *ideal measurement* if it is a partition of the identity, i.e.,  $\text{ }_{\mathcal{O}}\sum_i P_i = \mathbb{1}$ .
- If  $P$  is a projection operator, then  $\mathbb{1} \text{ }_{\mathcal{O}}- P$  is also a projection operator, called *complement*. It is orthogonal to  $P$ , has probability  $\mu(\mathbb{1} \text{ }_{\mathcal{O}}- P) = 1 \mathbb{R}- \mu(P)$ , and corresponds to the complement event  $\Omega \setminus E$  in classical probability.
- Projection operators  $P_1$  and  $P_2$  *commute* if  $P_1 P_2 = P_2 P_1$ . The product of two projection operators  $P_1 P_2$  is also a projection operator if and only if they commute. This corresponds to the classical intersection between events.

$\square$

In order to motivate the definition of quantum interval-valued probability measures later, we provide an equivalent definition of quantum probability measures.

*Lemma 1.* Given a Hilbert space  $\mathcal{H}$ , a function  $\mu : \mathcal{E} \rightarrow [0, 1]$  is a probability measure if and only if  $\mu$  satisfies the following conditions:

- $\mu(\mathbb{0}) = 0$ ,
- $\mu(\mathbb{1}) = 1$ ,
- for any projection  $P$ ,  $\mu(\mathbb{1} \text{ }_{\mathcal{O}}- P) = 1 \mathbb{R}- \mu(P)$ , and
- for a set of mutually orthogonal projections  $P_i$ , we have  $\mu(\text{ }_{\mathcal{O}}\sum_i P_i) = \mathbb{R}\sum_i \mu(P_i)$ .

$\square$

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<sup>5</sup>It is possible to define a more general space of events consisting of all operators  $\mathcal{A}$  on  $\mathcal{H}$  and consider  $\mu : \mathcal{A} \rightarrow \mathbb{C}$  [27, 13]. When an operator  $A \in \mathcal{A}$  is Hermitian,  $\mu(A)$  is the expectation value of  $A$ . We does not take this approach because we want to focus only on probability.

<sup>6</sup>Yu-Tsung says: The definition of independence is interesting, and we definitely need to discuss it when we want to discuss Bell's theorem and the Kochen-Specker theorem. However, the definition diverges. So we just leave it so far, and we will go back if we really need it in this paper. (Maybe when discussing repeating experiments?)

### 3.2 Quantum Probability Measures

For a given set of events  $\mathcal{E}$ , there are many possible probability measures  $\mu : \mathcal{E} \rightarrow [0, 1]$ . The Born rule [5, 6, 28], a postulate of quantum mechanics, states that each pure quantum state  $|\phi\rangle$  induces a probability measure  $\tilde{\mu}_\phi$  as follows:

$$\tilde{\mu}_\phi(E) = \langle \phi | E \phi \rangle$$

Moreover, the Born rule can be extended to a mixed state. Suppose we want to prepare a quantum system. As we discussed in classical probability, our ability of preparing a state might not be prefect. If we want to prepare  $|\phi\rangle$ , we may turn out preparing a set of state  $|\phi_j\rangle$  each with probability  $q_j$ . Then, the state of the system can be expressed as a density matrix  $\rho = \sum_j q_j |\phi_j\rangle\langle\phi_j|$ , where  $\sum_j q_j = 1$ . It is natural that the quantum probability measure introduced by  $\rho$  is the combination of  $\tilde{\mu}_{\phi_j}$  with respect to probability  $q_j$  [8, 18, 28]:

$$\tilde{\mu}_\rho(E) = \text{Tr}(\rho E) = \sum_{j=1}^N q_j \tilde{\mu}_{\phi_j}(E) . \quad (1)$$

Conversely, in Hilbert spaces of dimension  $d \geq 3$ , Gleason's theorem states that given a probability measure  $\mu$ , there exist a mixed state  $\rho$  that induces such a measure using the Born rule [7, 9, 8]. By applying Gleason's theorem, we can extend lemma 1 to the following corollary.

*Corollary 1.* Given a Hilbert space  $\mathcal{H}$ , for any function  $\mu : \mathcal{E} \rightarrow [0, 1]$  satisfies the conditions listed in lemma 1, there exist an unique mixed state  $\rho$  such that  $\mu = \tilde{\mu}_\rho$ .

It is instructive to study counterexamples when  $d = 2$ , i.e., the case of a one-qubit system.

*Example 4* (One-qubit quantum probability measure). Consider a quantum probability measure  $\mu : \mathcal{E} \rightarrow [0, 1]$  defined as follow:

$$\mu(E) = \begin{cases} 1 & , \text{ if } E = |+\rangle\langle+| ; \\ 0 & , \text{ if } E = |-\rangle\langle-| ; \\ \tilde{\mu}_{|0\rangle}(E) & , \text{ otherwise.} \end{cases}$$

On one hand,  $\mu$  is a probability measure. Because  $\mu$  is almost the same as a probability measure  $\tilde{\mu}_{|0\rangle}$ , we only need to check the orthogonal pair  $|+\rangle\langle+|$  and  $|-\rangle\langle-|$ :

$$\mu(|+\rangle\langle+|) + \mu(|-\rangle\langle-|) = 1 + 0 = 1 .$$

On the other hand,  $\mu$  cannot be induced by any mixed state because

$$\mu(|+\rangle\langle+|) = \mu(|0\rangle\langle 0|) = 1 .$$

However,  $\tilde{\mu}_\rho(E) = 1$  if and only if  $\rho$  represents a pure state and  $\rho = E$ . □

### 3.3 Measuring Quantum Probabilities

Similar to the classical case, by applying the law of large number, quantum probabilities can be estimated by relative frequencies. For example, if we want to know the probability of the spin up in the Stern-Gerlach experiment [29, 8, 18, 12], we can put a beam of silver atoms in a highly inhomogeneous magnetic field, and counting the number of atoms deflects up. Ideally, if the local field strength directs to the  $z$ -axis, and all particles have the same velocity, the Stern-Gerlach experiment only produces two spots corresponding to  $|0\rangle$  and  $|1\rangle$ . In reality, we need a lot of resource to keep the local field of strength directing to the  $z$ -axis, to keep the atoms having almost the same velocity, and to point out the exact position each particle landed on.

If the field of strength does not perfectly direct to the  $z$ -axis, we does not really test the quantum event we want to test. This problem will be handled later when we introduce the discrete quantum theory. Even if the field of strength is perfectly direct to the  $z$ -axis, the variant velocity makes spots broader and more washed out. Together with the precision limit of the detector, it may sometimes be hard to decide a particle

corresponding to which state<sup>7</sup>. Similar to Buffon's needles, this kind of fuzziness can be taken into account by associating each quantum event with an interval-valued probability  $[l, r]$ .

Amr says: preparation fuzzy, device fuzzy, Meyer [30]

### 3.4 Quantum Interval-valued Probability Measures

As mentioned above, each quantum event  $P$  will be associated with an interval-valued probability  $[l, r]$ . Notice that whenever the magnetic field of the Stern-Gerlach is fixed, i.e., an ideal measurement is picked, measuring the spin is exactly the same as tossing a coin. In another word, if somebody claimed she is tossing a coin behind a veil, and only show the resulting heads or tails, we cannot distinguish whether she has really tossed a coin, or she has run an Stern-Gerlach experiment, and show us the head, the tail, or the side of coin if the silver atoms is spin up, spin down, or just hit the middle, respectively. Therefore, we should plug in the definition of interval-valued probability measure into the definition of quantum probability space for each ideal measurement, and get the following definition.

*Definition 4* (Quantum Interval-valued Probability Measure). Given a Hilbert space  $\mathcal{H}$  with the set of quantum events  $\mathcal{E}$ , and a collection of intervals  $\mathcal{I}$ , a *quantum  $\mathcal{I}$ -interval-valued probability measure* is a function  $\mathcal{I}\mu : \mathcal{E} \rightarrow \mathcal{I}$  such that:

- $\mathcal{I}\mu(0) = [0, 0]$ ,
- $\mathcal{I}\mu(1) = [1, 1]$ ,
- for any projection  $P$ ,  $\mathcal{I}\mu(1 - P) = 1 - \mathcal{I}\mu(P)$ , and
- for a set of mutually orthogonal projections  $P_i$ , we have  $\mathcal{I}\mu(\bigvee_i P_i) \subseteq \bigvee_i \mathcal{I}\mu(P_i)$ .

As before, we will only define a quantum interval-valued probability measure with preposing  $\mathcal{I}$  when we want to compare a quantum probability measure and a quantum interval-valued probability measure.  $\square$

Notice that if the last condition is replaced by the equal sign, these conditions will be exactly the same as the conditions in lemma 1 which is equivalent to the Born rule as in corollary 1. Therefore, it is reasonable to believe these conditions should be equivalent to a interval-valued Born rule.

When we pick  $\mathcal{I} = \{[x, x] | x \in [0, 1]\}$ , for any quantum probability measure  $_{[0,1]}\mu : \mathcal{E} \rightarrow [0, 1]$ , we can define a corresponding quantum  $\mathcal{I}$ -interval-valued probability measure  $\mathcal{I}\mu : \mathcal{E} \rightarrow \mathcal{I}$  by  $\mathcal{I}\mu(E) = \left[ _{[0,1]}\mu(E), _{[0,1]}\mu(E) \right]$ . In this sense, every usual quantum probability measure can be considered as a quantum interval-valued probability measure. There are more interesting examples as we now show.

*Example 5* (Quantum three-value interval-valued probability measure). We consider three intervals  $[0, 0]$ ,  $[1, 1]$  and  $[0, 1]$ , where  $[0, 0]$  and  $[1, 1]$  are called *impossible* and *certain* as before, and  $[0, 1]$  is called *unknown* because it provides no information. For any Hilbert space and any quantum probability measure  $_{[0,1]}\mu : \mathcal{E} \rightarrow [0, 1]$ , we can define a quantum interval-valued probability measure  $\mathcal{I}\mu : \mathcal{E} \rightarrow \mathcal{I}$  by

$$\mathcal{I}\mu(E) = \iota \left( _{[0,1]}\mu(E) \right) ,$$

where  $\iota : [0, 1] \rightarrow \mathcal{I}$  is defined by

$$\iota(x) = \begin{cases} \text{certain} & , \text{ if } x = 1 ; \\ \text{impossible} & , \text{ if } x = 0 ; \\ \text{unknown} & , \text{ otherwise.} \end{cases}$$

$\iota$  has two interesting properties

$$\begin{aligned} \iota(1 - x) &= 1 - \iota(x) \\ \iota \left( \sum_i x_i \right) &\subseteq \sum_i \iota(x_i) , \end{aligned}$$

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<sup>7</sup> Yu-Tsung says: Add citations to support the idea... Haven't found suitable ones...



where  $x$  and  $\sum_i x_i \in [0, 1]$ . By applying these two properties, it is easy to verify  $\mathcal{J}\mu$  is a quantum  $\mathcal{J}$ -interval-valued probability measure.  $\square$

Notice that in the above two examples, quantum interval-valued probability measures are all come from quantum probability measures because the numbers in  $[0, 1]$  can be mapped to the chosen intervals  $\mathcal{J}$  naturally. This is not always the case. For example, if  $\mathcal{J} = \{\text{impossible}, \text{unlikely}, \text{likely}, \text{certain}\}$ , there is no natural way to map from  $\frac{1}{2}$ , as both  $\frac{1}{2} \in [0, \frac{1}{2}] = \text{unlikely}$  and  $\frac{1}{2} \in [\frac{1}{2}, 1] = \text{likely}$ . However, we still can find a quantum interval-valued probability measure corresponding to a quantum probability measure as in the following example.

*Example 6* (One-qubit quantum interval-valued probability measure). Given a two dimensional Hilbert space, a vector  $|\psi\rangle$  can be normalized and written in  $\begin{pmatrix} \cos \theta \\ e^{i\gamma} \sin \theta \end{pmatrix}$ , where  $0 \leq \theta \leq \frac{\pi}{2}$  and  $0 \leq \gamma \leq 2\pi$ . Consider a quantum interval-valued probability measure  $\mathcal{J}\mu : \mathcal{E} \rightarrow \mathcal{J}$  defined by

$$\begin{aligned} \mathcal{J}\mu(0) &= \text{impossible}, \\ \mathcal{J}\mu(1) &= \text{certain}, \\ \mathcal{J}\mu(|\psi\rangle\langle\psi|) &= \begin{cases} \text{certain} & , \text{ if } \theta = 0 ; \\ \text{likely} & , \text{ if } 0 < \theta < \frac{\pi}{4} ; \\ \text{likely} & , \text{ if } \theta = \frac{\pi}{4} \text{ and } 0 \leq \gamma < \pi ; \\ \text{unlikely} & , \text{ if } \theta = \frac{\pi}{4} \text{ and } \pi \leq \gamma < 2\pi ; \\ \text{unlikely} & , \text{ if } \frac{\pi}{4} < \theta < \frac{\pi}{2} ; \\ \text{impossible} & , \text{ if } \theta = \frac{\pi}{2} . \end{cases} \end{aligned}$$

Let  $|\psi^\perp\rangle$  be the state perpendicular to  $|\psi\rangle$ . Then, the vector representation of  $|\psi^\perp\rangle$  can be simplified as

$$\begin{pmatrix} -e^{i\gamma} \sin \theta \\ \cos \theta \end{pmatrix} = -e^{i\gamma} \begin{pmatrix} \cos(\frac{\pi}{2} - \theta) \\ e^{i(\pi - \gamma)} \sin(\frac{\pi}{2} - \theta) \end{pmatrix}$$

so we have

$$\mathcal{J}\mu(\mathbb{1} - |\psi\rangle\langle\psi|) = \mathcal{J}\mu(|\psi^\perp\rangle\langle\psi^\perp|) = 1 - \mathcal{J}\mu(|\psi\rangle\langle\psi|) .$$

Hence,  $\mathcal{J}\mu$  is a quantum  $\mathcal{J}$ -interval-valued probability measure. Notice that for all projection  $E$ ,  $_{[0,1]}\tilde{\mu}_{|0\rangle}(E) \in \mathcal{J}\mu(E)$  implies that  $\mathcal{J}\mu(E)$  is one of quantum  $\mathcal{J}$ -interval-valued probability measures corresponding to  $_{[0,1]}\tilde{\mu}_{|0\rangle}(E)$ . However,  $_{[0,1]}\tilde{\mu}_{|0\rangle}(E)$  can correspond to infinity many quantum  $\mathcal{J}$ -interval-valued probability measures by twisting the definition involving  $\gamma$ .  $\square$

We have enough examples for quantum interval-valued probability measure, it is instructive to understand the conditions by studying a measure which is not a quantum interval-valued probability measure.

*Example 7* (Not three-dimensional quantum interval-valued probability measure). Given a three dimensional Hilbert space with an orthonormal basis  $\{|0\rangle, |1\rangle, |2\rangle\}$ . Consider  $\mathcal{J} = \{\text{certain}, \text{impossible}, \text{unknown}\}$ , and  $|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$  as before. Let

$$\begin{aligned} \mu(0) &= \text{impossible}, \\ \mu(1) &= \text{certain}, \\ \mu(|0\rangle\langle 0|) &= \text{certain}, \\ \mu(|+\rangle\langle +|) &= \text{certain}, \\ \mu(|\psi\rangle\langle\psi|) &= \text{impossible, for } \langle\psi|0\rangle = 0 \text{ or } \langle\psi|+\rangle = 0, \\ \mu(1 - |0\rangle\langle 0|) &= \text{impossible}, \\ \mu(1 - |+\rangle\langle +|) &= \text{impossible}, \\ \mu(1 - |\psi\rangle\langle\psi|) &= \text{certain, for } \langle\psi|0\rangle = 0 \text{ or } \langle\psi|+\rangle = 0, \\ \mu(P) &= \text{unknown, otherwise.} \end{aligned}$$

$\mu$  is not a quantum interval-valued probability measure. To verify this fact, we first consider an orthonormal basis

$$\begin{aligned} |\psi_0\rangle &= \frac{1}{\sqrt{2}}|1\rangle + \frac{1}{\sqrt{2}}|2\rangle \\ |\psi_1\rangle &= \frac{1}{\sqrt{3}}|0\rangle - \frac{1}{\sqrt{3}}|1\rangle + \frac{1}{\sqrt{3}}|2\rangle \\ |\psi_2\rangle &= \sqrt{\frac{2}{3}}|0\rangle + \frac{1}{\sqrt{6}}|1\rangle - \frac{1}{\sqrt{6}}|2\rangle \end{aligned}$$

However,

$$\begin{aligned} \mu(|\psi_0\rangle\langle\psi_0| + |\psi_1\rangle\langle\psi_1|) &= \mu(1 - |\psi_2\rangle\langle\psi_2|) = \text{unknown} \\ \not\in \text{impossible} + \text{impossible} &= \mu(|\psi_1\rangle\langle\psi_1|) + \mu(|\psi_2\rangle\langle\psi_2|) . \end{aligned}$$

□

Yu-Tsung says: For  $\mathcal{J} = \{\text{certain}, \text{impossible}, \text{unknown}\}$ , are there any quantum interval-valued probability measures do not come from quantum probability measures?

Amr says: We can use DQC if we have some kind of topology (distances). The idea will be that we want to prepare state PSI but because of errors etc we prepare a close state. Well the next closest state will be the next state in our discrete grid. I am sure that a state that's very close to PSI can involve some wrapping around.

Yu-Tsung says: About continuity, one of the confusion comes from the subtle correspondence between the measurement processes and observables. Consider the following observables and their measurement processes:

- For any  $x \in \mathbb{R}$ , consider the observable operator  $\mathbf{O}_x = |1\rangle\langle 1| + x|-1\rangle\langle -1|$ . Except  $x = 1$ , given the system in the state  $|\phi\rangle$ , we can denote the measurement process for  $\mathbf{O}_x$  by  $\mathcal{O}_x$ :
  1. With probability  $\mu_\phi(|1\rangle\langle 1|)$ , return the measurement result 1 with the post-measurement state  $|1\rangle$ ;
  2. With probability  $\mu_\phi(|0\rangle\langle 0|)$ , return the measurement result 0 with the post-measurement state  $|0\rangle$ ;
  3. With probability  $\mu_\phi(|-1\rangle\langle -1|)$ , return the measurement result  $x$  with the post-measurement state  $|-1\rangle$ .
- The observable operator  $\mathbf{J}_z^2 = |1\rangle\langle 1| + |-1\rangle\langle -1|$ , and its measurement process  $\mathcal{J}_z^2$ :
  1. With probability  $\mu_\phi(|1\rangle\langle 1| + |-1\rangle\langle -1|)$ , return the measurement result 1 with the post-measurement state  $|1\rangle\langle 1|\phi\rangle + |-1\rangle\langle -1|\phi\rangle$ ;
  2. With probability  $\mu_\phi(|0\rangle\langle 0|)$ , return the measurement result 0 with the post-measurement state  $|0\rangle$ .

As an operator, it is clear that  $\lim_{x \rightarrow 1} \mathbf{O}_x = \mathbf{J}_z^2$ , and we should have  $\lim_{x \rightarrow 1} \mathcal{O}_x = \mathcal{O}_1$  for the measurement process. However, it is not obvious why  $\mathcal{O}_1 = \mathcal{J}_z^2$ . Although they have the same probability for the measurement results, but their post-measurement states are different. This might be a defect of representing a measurement process as an observable. As  $\mathbf{O}_1 = \mathbf{J}_z^2$ , there is no observable corresponding to the measurement process  $\mathcal{O}_1$ .

Amr says: the rest needs cleaning up and perhaps does not even belong in this section

Although it seems that we need an infinite long table to specify the quantum probability measure  $\mu$ , our  $\mu$  is actually given by a simple formula  $\langle 0|E|0\rangle$ . In general, Born discovered each quantum state  $|\psi\rangle \in \mathcal{H} \setminus \{0\}$

induces a probability measure  $\tilde{\mu}_\psi : \mathcal{E} \rightarrow [0, 1]$  on the space of events defined for any event  $E \in \mathcal{E}$  as follows [5, 6]:

$$\tilde{\mu}_\psi(E) = \frac{\langle \psi | E | \psi \rangle}{\langle \psi | \psi \rangle} \quad (2)$$

The Born rule satisfies the following properties:

- It can be extended to mixed states. Given a mixed state represented by a density matrix  $\rho = \sum_{j=1}^N q_j \frac{|\psi_j\rangle\langle\psi_j|}{\langle\psi_j|\psi_j\rangle}$ , where  $\sum_{j=1}^N q_j = 1$ , i.e.,  $\text{Tr}(\rho) = 1$ , then the Born rule can be extended to  $\rho$  by

$$\tilde{\mu}_\rho(E) = \text{Tr}(\rho E) = \sum_{j=1}^N q_j \tilde{\mu}_{\psi_j}(E) . \quad (3)$$

Notice that  $(\{1, \dots, N\}, 2^{\{1, \dots, N\}}, \mu(J) = \sum_{j \in J} q_j)$  is a classical probability space. Therefore, when we discretize the Hilbert space later, we may need to discretize this probability space as well.

- $\tilde{\mu}_\rho$  is a probability measure for all mixed state  $\rho$ .
- $\langle \psi | \phi \rangle = 0 \Leftrightarrow \tilde{\mu}_\psi(|\phi\rangle\langle\phi|) = 0$ .
- $\tilde{\mu}_\psi(E) = \tilde{\mu}_{\mathbf{U}|\psi\rangle}(\mathbf{U}E\mathbf{U}^\dagger)$ , where  $\mathbf{U}$  is any unitary map, i.e.,  $\mathbf{U}^\dagger\mathbf{U} = \mathbb{1}$ .

Naturally, we may ask: is every probability measure induced from a state by the Born rule? The answer is yes by Gleason's theorem when the dimension  $\geq 3$  [7, 8, 9]. Furthermore, a simple corollary of Gleason's theorem can show the Born rule is the unique function satisfying conditions 1. to 3.

*Corollary 2.* The Born rule is the unique function satisfying conditions 1. to 3.

*Proof.* Assume there is another function  $\tilde{\mu}'$  such that  $\tilde{\mu}'_\rho$  is a quantum probability measure for all mixed state  $\rho$ . We are going to prove  $\tilde{\mu}' = \tilde{\mu}$ .

Fix a pure normalized state  $\phi$ ,  $\tilde{\mu}'_\phi$  is a quantum probability measure by condition 2. By Gleason's theorem, there is a mixed state  $\rho'$ , such that  $\tilde{\mu}'_\phi(E) = \text{Tr}(\rho' E) = \sum_{j=1}^N q_j \tilde{\mu}_{\psi_j}(E)$  for all event  $E$ .

Consider the event  $E' = \mathbb{1} - |\phi\rangle\langle\phi|$ , we have

$$\begin{aligned} 0 &\stackrel{\text{Condition 3}}{=} \tilde{\mu}'_\phi(E') \\ &= \sum_{j=1}^N q_j \tilde{\mu}_{\psi_j}(E') \end{aligned}$$

Because  $q_j > 0$ , we have  $\tilde{\mu}_{\psi_j}(E) = 0$ , i.e.,  $\psi_j$  is orthogonal to a co-dimension-1 subspace  $E'$ . However, the only subspace orthogonal to  $E'$  is span by  $|\phi\rangle$ . Hence,  $\tilde{\mu}'_\phi = \tilde{\mu}_\phi$ .  $\square$

## 4 All Continuous or All Discrete

Before we turn to the main part of the paper, we quickly dismiss the possibility of having one but not the other of the discrete variations. Specifically, it is impossible to maintain the Hilbert space and have a finite set-valued probability measure and it is also impossible to have a vector space constructed over a finite field with a real-valued probability measure.

### 4.1 Hilbert Space with Finite Set-Valued Probability Measure

However, there is a  $\mathcal{L}_2$ -valued probability measure

$$\hat{\mu}_1(E) = \begin{cases} \text{impossible} & , \text{ if } E = |+\rangle\langle+|; \\ \bar{\mu}(E) & , \text{ otherwise.} \end{cases}$$

such that  $\hat{\mu}_1 \neq \bar{\mu}_\psi$  for all mixed state  $|\psi\rangle$ .

## 4.2 Discrete Vector Space with Real-Valued Probability Measure

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