Covering the hypercube with geometry and algebra

Yuval Wigderson (Stanford) Joint with Lisa Sauermann

April 1, 2021

ἐζητεῖτο δὲ καὶ παρὰ τοῖς γεωμέτραις... καὶ ἐκαλεῖτο τὸ τοιοῦτον πρόβλημα κύβον διπλασιασμός... πάντων δὲ διαπορούντων ἐπὶ πολὺν χρόνον πρῶτος Ἱπποκράτης ὁ Χῖος... τὸ ἀπόρημα αὐτῷ εἰς ἔτερον οὐκ ἔλασσον ἀπόρημα κατέστρεφεν.

This was investigated by the geometers... and they called this problem "duplication of a cube"... And, after they were all puzzled by this for a long time, Hippocrates of Chios... converted the puzzle into another, no smaller puzzle.

Eratosthenes of Cyrene (translated by Reviel Netz)

Outline

Introduction: constrained covers of the hypercube

Covering with multiplicity

Our results

Proof sketch

Concluding remarks

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Folklore

$$cn^{0.5} \le \#(\text{skew hyperplanes}) \le n.$$

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Skew: all normal vector coordinates $\neq 0$ Folklore, Yehuda-Yehudayoff 2021:

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Open problem: Improve either bound.

This has connections to certain lower bounds in complexity theory.

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This answers a question of Komjáth arising in infinite Ramsey theory.

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This is a special case of Alon's Combinatorial Nullstellensatz, which has many other applications in combinatorics.

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which is reduced. So $\overline{P} = \widetilde{P}$, and $\deg P \ge \deg \widetilde{P} = n$.



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From now on: k is fixed and $n \to \infty$.

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Conjecture (Clifton-Huang 2020)

 $n + {k \choose 2}$ hyperplanes are also necessary for n sufficiently large.

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This is a more general notion: any hyperplane cover yields such a P.

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For $n \geq 3$,

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- For k = 3, any such P must have degree $\geq n + 3$.

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All these proofs use a higher-order ("punctured") version of the Combinatorial Nullstellensatz, due to Ball and Serra.

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Theorem (Sauermann-W. 2020)

For $0 \le \ell \le k-2$, the answer is n+2k-3. For $\ell = k-1$, the answer is n+2k-2.

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What is the minimum number of hyperplanes needed to cover every point of $\{0, 1\}^n \setminus \{\vec{0}\}$ at least k times while covering $\vec{0}$ exactly ℓ times (for fixed $0 \le \ell < k$)?

- $\ell \le k-2$: $\ge n+2k-3$ hyperplanes are necessary
- $\ell = k 1$: $\geq n + 2k 2$ hyperplanes are necessary

In particular, the hyperplane problem is resolved for $\ell \geq k-3$. (Since we previously saw matching upper bounds.)

Algebra (maybe) isn't enough!

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Outline

Introduction: constrained covers of the hypercube

Covering with multiplicity

Our results

Proof sketch

Concluding remarks

Theorem (Sauermann-W. 2020)

Fix $k \ge 2$ and $n \ge 2k - 3$. If $P \in \mathbb{R}[x_1, ..., x_n]$ has $P(\vec{0}) \ne 0$ but P has zeroes of multiplicity $\ge k$ on $\{0, 1\}^n \setminus \{\vec{0}\}$, then $\deg P \ge n + 2k - 3$.

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- 3. Find a reduced representation of P with degree n.

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For any $P \in \mathbb{R}[x_1, ..., x_n]$, there exists a reduced \overline{P} with $\deg \overline{P} \leq \deg P$ such that

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This implies the second part of our theorem: there exists a polynomial with zeroes of multiplicity $\geq k$ on $\{0, 1\}^n \setminus \{\vec{0}\}$ but not vanishing on $\vec{0}$ with degree $\leq n + 2k - 3$.

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Proof: Simply pick your favorite high-degree polynomial with this property, and reduce it!

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Introduction Covering with multiplicity Our results Proof sketch Conclusion

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In our setting, there are very many.

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$$x_1 \cdots x_n \cdot (x_1^m + \cdots + x_n^m) \cdot x_1^{2d_1} \cdots x_n^{2d_n} \tag{*}$$

for non-negative $(m, d_1, ..., d_n)$ with $m + 2(d_1 + \cdots + d_n) = 2k - 3$.

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Fact: dim $W_k = \dim V_k = \binom{n+k-2}{n}$.

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Key lemma

There is a polynomial $R \in V_k$ with $H_k(R) \in W_k$ and the coefficient of the basis element $x_1 \cdots x_n \cdot (x_1^{2k-3} + \cdots + x_n^{2k-3})$ in $H_k(R)$ is non-zero.

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and apply the reduction algorithm to get an element of V_k . When we do this and apply H_k , the relevant basis coefficient is

$$\sum_{(s_1,\ldots,s_t)} (-1)^t \cdot \binom{k-1-s_1}{s_1-1} \binom{k-1-s_2}{s_2} \cdots \binom{k-1-s_t}{s_t},$$

where the sum is over all sequences $(s_1, ..., s_t)$ of positive integers with $s_1 + \cdots + s_t = k - 1$.

To conclude, it suffices to prove:

Lemma

For $k \ge 2$, we have

$$\sum (-1)^t \binom{k-1-s_1}{s_1-1} \binom{k-1-s_2}{s_2} \cdots \binom{k-1-s_t}{s_t} \neq 0$$

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The values of this sum are

$$-1$$
, 1, -2 , 5, -14 , 42, -132 , 429, -1430 , 4862, -16796 ...

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- Combining this with Steps 1 and 2, we conclude that every polynomial P with zeroes of multiplicity $\geq k$ on $\{0,1\}^n \setminus \{\vec{0}\}$ and $P(\vec{0}) \neq 0$ must have $\deg P \geq n + 2k 3$.

Outline

Introduction: constrained covers of the hypercube

Covering with multiplicity

Our results

Proof sketch

Concluding remarks

Other fields

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An example of degree $\leq n + 2k - 4$ for k = 4, char $\mathbb{F} = 2$:

$$\left(\prod_{i=1}^{n}(x_{i}+1)\right) \cdot \left(1 + \sum_{i=1}^{n}(x_{i}^{3} + x_{i}^{2} + x_{i}) + \sum_{1 \leq i \neq j \leq n}(x_{i}^{3} + x_{i}^{2})x_{j} + \sum_{1 \leq i < j \leq n}x_{i}x_{j} + \sum_{1 \leq i < j \leq k \leq n}x_{i}x_{j}x_{k}\right)$$

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 \mathbb{F}_2 is different from \mathbb{R} , and geometry is different from algebra!

Conjecture (Clifton-Huang 2020)

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 $n + {k \choose 2}$ hyperplanes are necessary to cover $\{0, 1\}^n \setminus \{\vec{0}\}$ with multiplicity $\geq k$, while not covering $\vec{0}$ (for n sufficiently large).

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 - ▶ If char $\mathbb{F} \nmid C_{k-2}$, then the answer to the polynomial problem is n+2k-3. Is the converse true?
 - Combinatorial techniques may be more fruitful for the hyperplane problem in finite fields.

Thank you!