



**BITS Pilani**

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# **Software Architectures**

## **SECLZG651/SSCLZG653**

**Parthasarathy**





# **SECLZG651/SSCLZG653 – CS#3**

## **Quality Attributes**

# Agenda for CS #3

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- 1) Recap of CS1-2
- 2) Getting started with Quality attributes
- 3) Usability and its tactics
- 4) Availability and its tactics
- 5) Modifiability and its tactics
- 5) Q&A!

# Usability

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- How easy it is for the user to accomplish a desired task and user support the system provides
  - Learnability: what does the system do to make a user familiar
  - Operability:
    - Minimizing the impact of user errors
    - Adopting to user needs
    - Giving confidence to the user that the correct action is being taken?

# Usability Scenario Example

## WHO

End user

## STIMULUS

- User Wants to
- Learn system feature
- Use systems efficiently
- Minimize the impact of errors
- Adapt system
- Feel comfortable

## IMPACTED PART

**Whole System**

- At run time
- At configure time

## MITIGATING ACTION

- Learn
  - ✓ Context sensitive help, familiar interface
- Efficient use
  - ✓ Aggregation of data and command, reuse of already entered data, good navigation, search mechanism, multiple activities
- Error impact
  - ✓ Undo, cancel, recover, auto-correct, retrieve forgotten information

## MEASURABLE RESPONSE

- Task time
- Number of errors
- User satisfaction
- Gain of user knowledge
- Successful operations
- Amount of time/data lost

End User

Downloads application

Runtime

Uses application productively

Takes 4 mins to be productive

# Usability Tactics

Usability is essentially Human Computer Interaction. Runtime Tactics are

User initiative  
(and system  
responds)

Cancel, undo,  
aggregation, store  
partial result

System initiative

Task model:  
understands the  
context of the task  
user is trying and  
provide assistance

User model:  
understands who  
the user is and  
takes action

System model:  
gets the current  
state of the system  
and responds

# User Initiative and System Response



## Cancel

- When the user issues cancel, the system must listen to it (in a separate thread)
- Cancel action must clean the memory, release other resources and send cancel command to the collaborating components

## Undo

- System needs to maintain a history of earlier states which can be restored
- This information can be stored as snapshots

## Pause/resume

- Should implement the mechanism to temporarily stop a running activity, take its snapshot and then release the resource for other's use

## Aggregate (change font of the entire paragraph)

- For an operation to be applied to a large number of objects
  - Provide facility to group these objects and apply the operation to the group

# System Initiated

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## Task model

- Determine the current runtime context, guess what user is attempting, and then help
- Correct spelling during typing but not during password entry

## System model

- Maintains its own model and provide feedback of some internal activities
- Time needed to complete the current activity

## User model

- Captures user's knowledge of the system, behavioral pattern and provide help
- Adjust scrolling speed, user specific customization, locale specific adjustment

# Usability Tactics and Patterns....



Design time tactics- UI is often revised during testing. It is best to separate UI from the rest of the application

- Model view controller architecture pattern
- Presentation abstraction control
- Command Pattern
- Arch/Slinky
  - Similar to Model view controller

# Design Checklist

## Allocation of Responsibilities

- Identify the modules/components responsible for
  - Providing assistance, on-line help
  - Adapt and configure based on user choice
  - Recover from user error

## Coordination Model

- Check if the system needs to respond to
  - User actions (mouse movement) and give feedback
  - Can long running events be canceled?

## Data model

data structures needed for undo, cancel  
Design of transaction granularity to support undo and cancel

## Resource mgmt

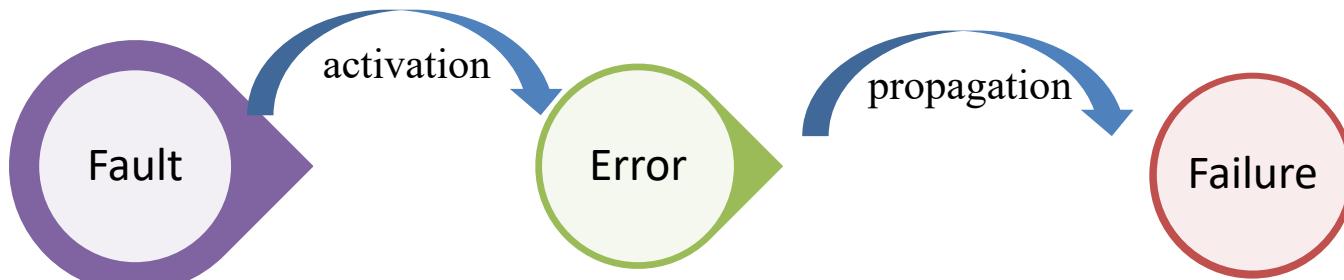
Design how user can configure system's use of resource

## Technology selection To achieve usability



# **Availability and its Tactics**

# Faults and Failure



- Hypothesized cause of error in the software
- Part of the system's total state that can leads to failure
- event that occurs when the delivered service deviates from correct service

Not every fault causes a failure:

- Code that is “mostly” correct.
- Dead or infrequently-used code.
- Faults that depend on a set of circumstances to occur

Cost of software failure often far outstrips the cost of the original system

- data loss
- down-time
- cost to fix

**Primary objective:** Remove faults with the most serious consequences.

**Secondary objective:** Remove faults that are encountered most often by users.

- One study showed that removing 60% of software “defects” led to a 3% reliability improvement

# Failure Classification

- Transient - only occurs with certain inputs
- Permanent - occurs on all inputs
- Recoverable - system can recover without operator help
- Unrecoverable - operator has to help
- Non-corrupting - failure does not corrupt system state or data
- Corrupting - system state or data are altered

# Availability

- Readiness of the software to carry out its task
  - 100% available (which is actually impossible) means it is always ready to perform the intended task
- A related concept is Reliability
  - Ability to “continuously provide” correct service without failure
- Availability vs Reliability
  - A software is said to be available even when it fails but recovers immediately
  - Such a software will NOT be called Reliable
- Thus, Availability measures the fraction of time system is really available for use
  - Takes repair and restart times into account
  - Relevant for non-stop continuously running systems (e.g. traffic signal)

# What is Software Reliability

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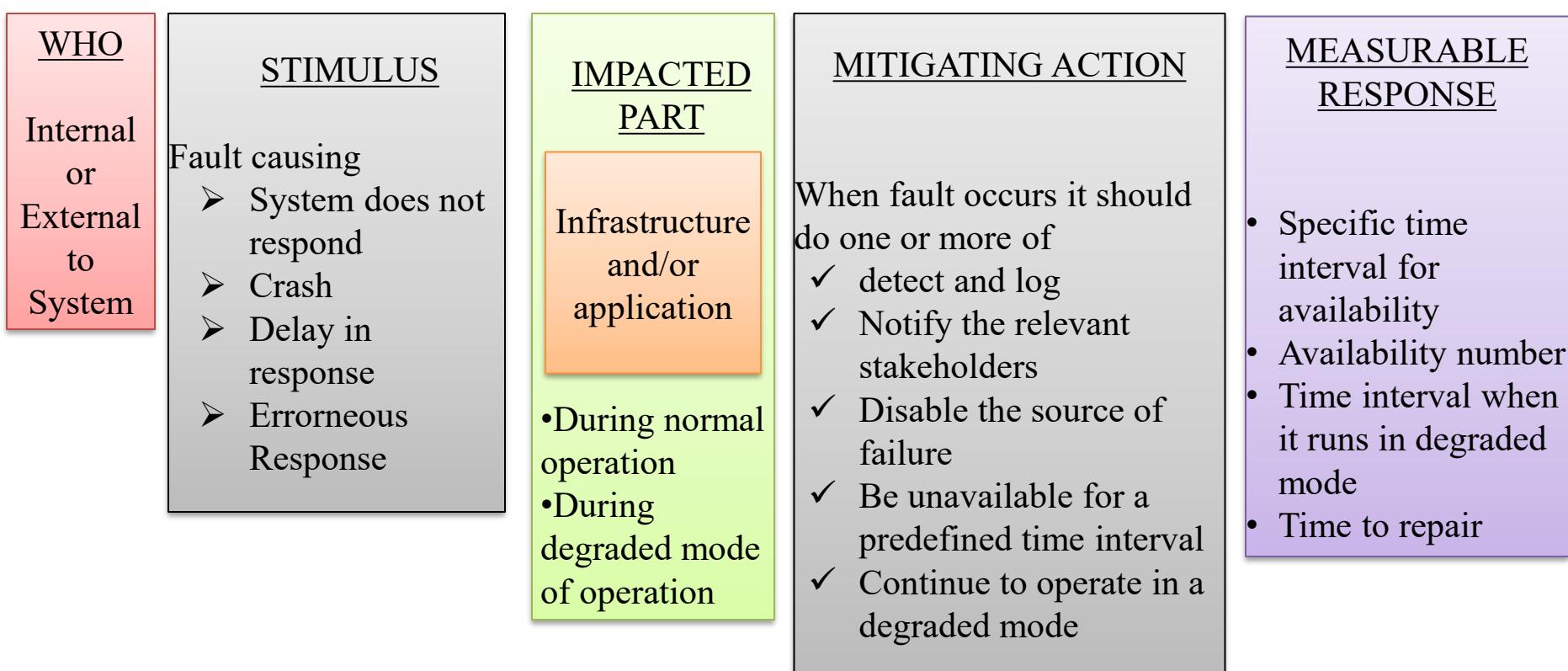
- Probability of failure-free operation of a system over a specified time within a specified **environment** for a specified **purpose**
  - Difficult to measure the **purpose**,
  - Difficult to measure **environmental factors**.
- It's not enough to consider simple failure rate:
  - Not all failures are created equal; some have much more serious consequences.
  - Might be able to recover from some failures reasonably.

# Availability

- Once the system fails
  - It is not available
  - It needs to recover within a short time
- Availability  $A = \frac{MTTF}{MTTF + MTTR}$
- Scheduled downtime is typically not considered
  - Availability 100% means it recovers instantaneously
  - Availability 99.9% means there is 0.1% probability that it will not be operational when needed

System Type	Availability (%)	Downtime in a year
Normal workstation	99	3.6 days
HA system	99.9	8.5 hours
Fault-resilient system	99.99	1 hour
Fault-tolerant system	99.999	5 min

# Availability Scenarios



# Two Broad Approaches

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- Fault Tolerance
  - Allow the system to continue in presence of faults. Methods are
    - Error Detection
    - Error Masking (through redundancy)
    - Recovery
- Fault Prevention
  - Techniques to avoid the faults to occur

# Availability Tactics

Fault detection	Error Masking	Recover From Fault	Fault prevention
<ul style="list-style-type: none"> <li>➤ Ping/echo</li> <li>➤ Heartbeat</li> <li>➤ Timestamp</li> <li>➤ Data sanity check</li> <li>➤ Condition monitoring</li> <li>➤ Voting</li> <li>➤ Exception Detection</li> <li>➤ Self-test</li> </ul>	<ul style="list-style-type: none"> <li>➤ Active redundancy (Hot)</li> <li>➤ Passive redundancy (Warm)</li> <li>➤ Spare (Cold)</li> <li>➤ Exception handling</li> <li>➤ Graceful degradation</li> <li>➤ Ignore faulty behavior</li> </ul>	<ul style="list-style-type: none"> <li>➤ Rollback</li> <li>➤ Retry</li> <li>➤ Reconfiguration</li> <li>➤ Shadow operation</li> <li>➤ State resynchronization</li> <li>➤ Escalating restart</li> <li>➤ Nonstop forwarding</li> </ul>	<ul style="list-style-type: none"> <li>➤ Removal of a component to prevent anticipated failure—auto/manual reboot</li> <li>➤ Create transaction</li> <li>➤ Software upgrade</li> <li>➤ Predictive model</li> <li>➤ Process monitor—that can detect, remove and restart faulty process</li> <li>➤ Exception prevention</li> </ul>

# Availability Tactics- Fault Detection

- Ping
  - Client (or fault-detector) pings the server and gets response back
  - To avoid less communication bandwidth- use hierarchy of fault-detectors, the lowest one shares the same h/w as the server
- Heartbeat
  - Server periodically sends a signal
  - Listeners listen for such heartbeat. Failure of heartbeat means that the server is dead
  - Signal can have data (ATM sending the last txn)
- Exception Detection
  - Adding an Exception handler means error masking

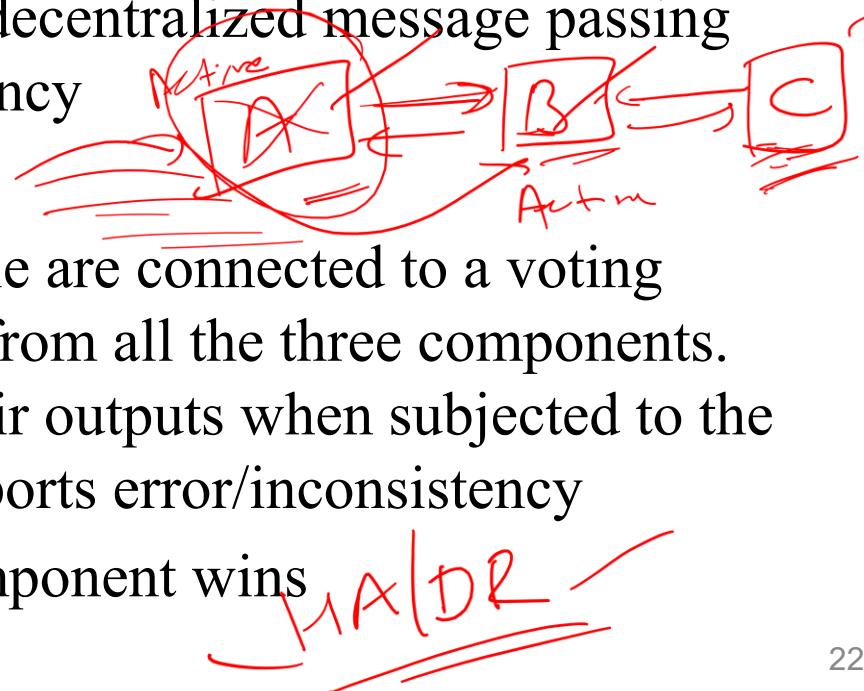
# More details- Heartbeat

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- Each node implements a lightweight process called heartbeat daemon that periodically (say 10 sec) sends heartbeat message to the master node.
- If master receives heartbeat from a node from both connections (a node is connected redundantly for fault-tolerance), everything is ok
- If it gets from one connections, it reports that one of the network connection is faulty
- If it does not get any heartbeat, it reports that the node is dead (assuming that the master gets heartbeat from other nodes)
- Trick: Often heartbeat signal has a payload (say resource utilization info of that node)
  - Hadoop NameNode uses this trick to understand the progress of the job

# Detect Fault

- Timer and Timestamping
  - If the running process does not reset the timer periodically, the timer triggers off and announces failure
  - Timestamping: assigns a timestamp (can be a count, based on the local clock) with a message in a decentralized message passing system. Used to detect inconsistency
- Voting (TMR)
  - Three identical copies of a module are connected to a voting system which compares outputs from all the three components. If there is an inconsistency in their outputs when subjected to the same input, the voting system reports error/inconsistency
  - Majority voting, or preferred component wins



# Availability Tactics- Error Masking

- Hot spare (Active redundancy)
  - Every redundant process is active
  - When one fails, another one is taken up
  - Downtime is millisec
- Warm restart (Passive redundancy)
  - Standbys keep syncing their states with the primary one
  - When primary fails, backup starts
- Spare copy (Cold)
  - Spares are offline till the primary fails, then it is restarted
  - Typically restarts to the checkpointed position
  - Downtime in minute
  - Used when the MTTF is high and HA is not that critical

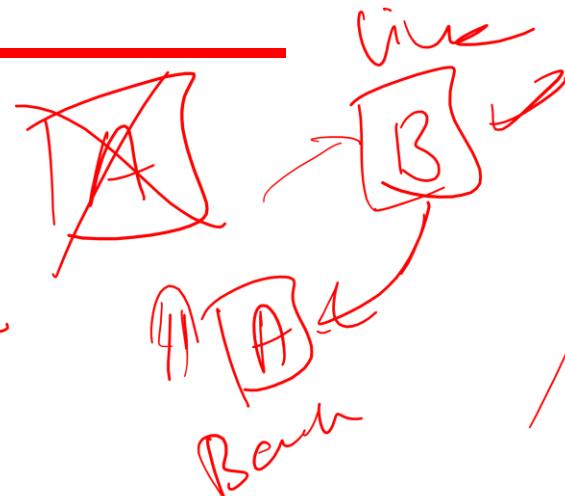
# Error Masking

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- Service Degradation
  - Most critical components are kept live and less critical component functionality is dropped
- Ignore faulty behavior
  - E.g. If the component send spurious messages or is under DOS attack, ignore output from this component
- Exception Handling – this masks or even can correct the error

# Availability Tactics- Fault Recovery

- Shadow
  - Repair the component
  - Run in shadow mode to observe the behavior
  - Once it performs correctly, reintroduce it
- State resynch
  - Related to the hot and warm restart
  - When the faulty component is started, its state must be upgraded to the latest state.
    - Update depends on downtime allowed, size of the state, number of messages required for the update..
- Checkpointing and recovery
  - Application periodically “commits” its state and puts a checkpoint
  - Recovery routines can either roll-forward or roll-back the failed component to a checkpoint when it recovers



# Availability Tactics- Recovery

- Escalating Restart
  - Allows system to restart at various levels of granularity
    - Kill threads and recreate child processes
    - Frees and reinitialize memory locations
    - Hard restart of the software
  - Nonstop forwarding (used in router design)
    - If the main recipient fails, the alternate routers keep receiving the packets
    - When the main recipient comes up, it rebuilds its own state

# Availability Tactics- Fault Prevention

- Faulty component removal
  - Fault detector predicts the imminent failure based on process's observable parameters (memory leak)
  - The process can be removed (rebooted) and can be auto-restart
- Transaction
  - Group relevant set of instructions to a transaction
  - Execute a transaction so that either everyone passes or all fails
- Predictive Modeling
  - Analyzes past failure history to build an empirical failure model
  - The model is used to predict upcoming failure
- Software upgrade (preventive maintenance)
  - Periodic upgrade of the software through patching prevents known vulnerabilities

# Design Decisions

## Responsibility Allocation

- For each service that need to be highly available
  - Assign additional responsibility for fault detection (e.g. crash, data corruption, timing mismatch)
  - Assign responsibilities to perform one or more of:
    - Logging failure, and notification
    - Disable source event when fault occur
    - Implement fault-masking capability
    - Have mechanism to operate on degraded mode

## Coordination

- For each service that need to be highly available
  - Ensure that the coordination mechanism can sense the crash, incorrect time
  - Ensure that the coordination mechanism will
    - Log the failure
    - Work in degraded mode

# Design Decisions

## Data Model

- Identify which data + operations are impacted by a crash, incorrect timing etc.
- Ensure that these data elements can be isolated when fault occurs
- E.g. ensure that “write” req. is cached during crash so that during recovery these writes are applied to the system

## Resource Management

- Identify which resources should be available to continue operations during fault
- E.g. make the input Q large enough so that can accommodate requests when the server is being recovered from a failure

# Design Decisions

## Binding Time

- Check if late binding can be a source of failure
- Suppose that a late bound component report its failure in 0.1ms after the failure and the recovery takes 1.5sec. This may not be acceptable

## Technology Choice

- Determine the technology and tools that can help in fault detection, recovery and then reintroduction
- Determine the technology that can handle a fault
- Determine whether these tools have high availability!!

# Hardware vs Software Reliability Metrics



- Hardware metrics are not suitable for software since its metrics are based on notion of component failure
- Software failures are often design failures
- Often the system is available after the failure has occurred
- Hardware components can wear out

# Software Reliability Metrics

- Reliability metrics are units of measure for system reliability
- System reliability is measured by counting the number of operational failures and relating these to demands made on the system at the time of failure
- A long-term measurement program is required to assess the reliability of critical systems

## Time Units

- Raw Execution Time
  - non-stop system
- Calendar Time
  - If the system has regular usage patterns
- Number of Transactions
  - demand type transaction systems

# Reliability Metric POFOD

- Probability Of Failure On Demand (POFOD):
  - Likelihood that system will fail when a request is made.
  - E.g., POFOD of 0.001 means that 1 in 1000 requests may result in failure.
- Any failure is important; doesn't matter how many if the failure  $> 0$
- Relevant for safety-critical systems

# Reliability Metric ROCOF & MTTF



- Rate Of Occurrence Of Failure (ROCOF):
  - Frequency of occurrence of failures.
  - E.g., ROCOF of 0.02 means 2 failures are likely in each 100 time units.
- Relevant for transaction processing systems
- Mean Time To Failure (MTTF):
  - Measure of time between failures.
  - E.g., MTTF of 500 means an average of 500 time units passes between failures.
- Relevant for systems with long transactions

# Rate of Fault Occurrence

- Reflects rate of failure in the system
- Useful when system has to process a large number of similar requests that are relatively frequent
- Relevant for operating systems and transaction processing systems

## Mean Time to Failure

- Measures time between observable system failures
- For stable systems  $MTTF = 1/ROCOF$
- Relevant for systems when individual transactions take lots of processing time (e.g. CAD or WP systems)

# Failure Consequences

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- When specifying reliability both the number of failures and the consequences of each matter
- Failures with serious consequences are more damaging than those where repair and recovery is straightforward
- In some cases, different reliability specifications may be defined for different failure types

# Building Reliability Specification



- For each sub-system analyze consequences of possible system failures
- From system failure analysis partition failure into appropriate classes
- For each class send out the appropriate reliability metric

Failure Class	Example	Metric
Permanent Non-corrupting	ATM fails to operate with any card, must restart to correct	$\text{ROCOF} = .0001$ Time unit = days
Transient Non-corrupting	Magnetic stripe can't be read on undamaged card	$\text{POFOD} = .0001$ Time unit = transactions

# Activity [10 min]

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## *Scenario 1:*

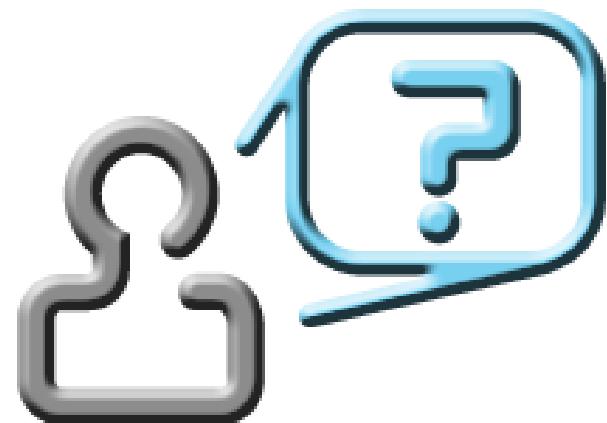
*You're designing a self-service **online railway booking system** for passengers of all ages and digital literacy levels, including first-time users.*

- Which usability tactics would you apply in the architecture/design, and why?

## *Scenario 2:*

*You're designing a hospital patient-monitoring system that tracks and alerts about vitals in ICU. Downtime is not acceptable.*

- Which availability tactics would you apply, and why?



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Thank You for your  
time & attention !

Contact : [parthasarathypd@wilp.bits-pilani.ac.in](mailto:parthasarathypd@wilp.bits-pilani.ac.in)