

## 5 Time Independent Perturbation (non-degen)

$$H = H_0 + V \quad \begin{array}{l} \text{perturb} \\ \text{unperturb} \end{array}$$

$$\text{Given } H_0 |n^{(0)}\rangle = E_n^{(0)} |n^{(0)}\rangle$$

$$\text{Desire to solve } (H_0 + V) |n\rangle = E_n |n\rangle$$

$$\text{Convenient to solve } (H_0 + \lambda V) |n\rangle = E_n |n\rangle \quad \text{by intro. } \lambda \in [0, 1] \text{ to indicate \# of perturb enters.}$$

ex two state sys.

$$\text{Suppose } H = E_1^{(0)} |1^{(0)}\rangle \langle 1^{(0)}| + E_2^{(0)} |2^{(0)}\rangle \langle 2^{(0)}| + \lambda V_{12} |1^{(0)}\rangle \langle 2^{(0)}| + \lambda V_{21} |2^{(0)}\rangle \langle 1^{(0)}|$$

$$\text{ie } H = \begin{pmatrix} E_1^{(0)} & \lambda V_{12} \\ \lambda V_{21} & E_2^{(0)} \end{pmatrix} \quad \text{let } V_{12}, V_{21} \text{ real. by hermiticity } V_{12} = V_{21}$$

Recall spin-orientation prob.

$$H_s = a_0 + \vec{\sigma} \cdot \vec{a} = \begin{pmatrix} a_0 + a_3 & a_1 \\ a_1 & a_0 - a_3 \end{pmatrix} \quad \text{has eigenval. } E = a_0 \pm \sqrt{a_1^2 + a_3^2}$$

(a<sub>1</sub>, 0, a<sub>3</sub>)

By analogy, eigenval of H

$$E_{1,2} = \frac{E_1^{(0)} + E_2^{(0)}}{2} \pm \sqrt{\frac{(E_1^{(0)} - E_2^{(0)})^2}{4} + \lambda^2 |V_{12}|^2}$$

for  $\lambda |V_{12}| \ll |E_1^{(0)} - E_2^{(0)}|$  binomial expansion yields

$$E_1 = E_1^{(0)} + \frac{\lambda^2 |V_{12}|^2}{(E_1^{(0)} - E_2^{(0)})} + \dots \quad E_2 = E_2^{(0)} + \frac{\lambda^2 |V_{12}|^2}{(E_2^{(0)} - E_1^{(0)})} + \dots \quad \text{w/ series converges } |V_{12}| < \frac{|E_1^{(0)} - E_2^{(0)}|}{2}$$

### Formal Construction.

$$H_0 |n^{(0)}\rangle = E_n^{(0)} |n^{(0)}\rangle \quad \{|n^{(0)}\rangle\} \text{ complete. and Perturb sys. } (H_0 + \lambda V) |n\rangle = E_n |n\rangle \quad (*)$$

under perturb, expect energy shift  $\Delta_n = E_n - E_n^{(0)}$

$$\text{Rewrite } (*), \quad (E_n^{(0)} - H_0) |n\rangle = (\lambda V - \Delta_n) |n\rangle \quad \text{principle eqn.}$$

note =  $\frac{1}{E_n^{(0)} - H_0}$  singular if LHS acts on  $|n\rangle \rightarrow |n^{(0)}\rangle$ .

$$\bullet (\lambda V - \Delta_n) |n\rangle \text{ no } |n^{(0)}\rangle \text{ component i.e. } \langle n^{(0)} | (\lambda V - \Delta_n) |n\rangle = 0$$

$$\bullet \text{Def } \phi_n = \sum_{k \neq n} |k^{(0)}\rangle \langle k^{(0)}| \text{ then } \frac{1}{E_n^{(0)} - H_0} \phi_n = \sum_{k \neq n} \frac{1}{E_n^{(0)} - E_k^{(0)}} |k^{(0)}\rangle \langle k^{(0)}| \text{ thus } (E_n^{(0)} - H_0)^{-1} \text{ well defined}$$

$$\bullet \text{also write } (\lambda V - \Delta_n) |n\rangle = \phi_n (\lambda V - \Delta_n) |n\rangle \text{ using completeness}$$

so can now approximate  $|n\rangle \approx \Delta_n (E_n)$ .

$$\textcircled{A} |n\rangle = c_n(\lambda) |n^{(0)}\rangle + \frac{1}{E_n^{(0)} - H_0} \phi_n (\lambda V - \Delta_n) |n\rangle \quad \text{w/ } \langle n^{(0)} | n \rangle = c_n(\lambda) = 1$$

and

$$\textcircled{B} \Delta_n = \lambda \langle n^{(0)} | V | n \rangle$$

$$\text{Take } |n\rangle = |n^{(0)}\rangle + \lambda |n^{(1)}\rangle + \lambda^2 |n^{(2)}\rangle + \dots \quad \text{then } \textcircled{A} \quad \Delta_n^{(1)} = \langle n^{(0)} | V | n^{(0)} \rangle \quad |n^{(1)}\rangle = \frac{\phi_n}{E_n^{(0)} - H_0} V |n^{(0)}\rangle$$

$$\Delta_n = \lambda \Delta_n^{(1)} + \lambda^2 \Delta_n^{(2)} + \dots \quad \textcircled{B} \quad \Delta_n^{(2)} = \langle n^{(0)} | V | n^{(1)} \rangle \quad \Delta_n^{(2)} = \langle n^{(0)} | V \phi_n V | n^{(0)} \rangle$$

sub into  $\textcircled{A} \neq \textcircled{B}$

thus  $\Delta_n = \lambda V_{nn} + \lambda^2 \sum_{k \neq n} \frac{|V_{nk}|^2}{E_n^{(0)} - E_k^{(0)}} + \dots$

w/  $V_{nk} = \langle n^{(0)} | V | k^{(0)} \rangle$

1<sup>st</sup> order  $\Delta_n^{(1)} = V_{nn} = \langle n^{(0)} | V | n^{(0)} \rangle$   
 2<sup>nd</sup> order  $\Delta_n^{(2)} = \sum_{k \neq n} \frac{|V_{nk}|^2}{E_n^{(0)} - E_k^{(0)}}$

$|n\rangle = |n^{(0)}\rangle + \lambda \left[ \sum_{k \neq n} \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} |k^{(0)}\rangle \right] + \lambda^2 \left( \sum_{k \neq n} \sum_{l \neq n} \frac{V_{kl} V_{kn}}{(E_n^{(0)} - E_k^{(0)})(E_n^{(0)} - E_l^{(0)})} - \sum_{k \neq n} \frac{V_{nn} V_{kn}}{(E_n^{(0)} - E_k^{(0)})^2} \right)$

### Renormalization

Def  $|n\rangle_N = Z_N^{1/2} |n\rangle$  s.t.  $\langle n | n \rangle_N = 1$  note  $\langle n^{(0)} | n \rangle_N = Z_N^{1/2}$  — can find  $Z_N$  w/o knowing  $|n\rangle_N$  first

thus  $\langle n | n \rangle_N = Z_N \langle n | n \rangle = 1$

$Z_N^{-1} = (\langle n^{(0)} | + \lambda \langle n^{(1)} | + \dots) \times (|n^{(0)}\rangle + \lambda |n^{(1)}\rangle + \dots) = 1 + \lambda^2 \langle n^{(1)} | n^{(1)} \rangle + O(\lambda^3)$   
 $= 1 + \lambda^2 \sum_{k \neq n} \frac{|V_{kn}|^2}{(E_n^{(0)} - E_k^{(0)})^2} + O(\lambda^3)$

$Z_N \approx 1 - \lambda^2 \underbrace{\sum_{k \neq n} \frac{|V_{kn}|^2}{(E_n^{(0)} - E_k^{(0)})^2}}_{\text{leakage}} < 1$  note  $\frac{1}{1-x} \approx 1 + (-x) + \dots$

From  $\Delta_n$ , we also discover that

$Z_N = \frac{\partial E_n}{\partial E_n^{(0)}}$

ex SHO  $H = \underbrace{\frac{p^2}{2m} + \frac{1}{2} m \omega^2 x^2}_{H_0} + \underbrace{\frac{1}{2} \epsilon m \omega^2 x^2}_V$  note  $\omega \rightarrow \sqrt{1+\epsilon} \omega$

Recall  $|0\rangle = |0^{(0)}\rangle + \sum_{k \neq 0} \frac{V_{k0}}{E_0^{(0)} - E_k^{(0)}} |k^{(0)}\rangle$  and  $\Delta_0 = V_{00} + \sum_{k \neq 0} \frac{|V_{k0}|^2}{E_0^{(0)} - E_k^{(0)}} + \dots$

$V_{00} = \left( \frac{\epsilon m \omega^2}{2} \right) \langle 0^{(0)} | x^2 | 0^{(0)} \rangle = \frac{\epsilon \hbar \omega}{4}$   $V_{20} = \left( \frac{\epsilon m \omega^2}{2} \right) \langle 2^{(0)} | x^2 | 0^{(0)} \rangle = \frac{\epsilon \hbar \omega}{2\sqrt{2}}$

then  $|0\rangle = |0^{(0)}\rangle - \frac{\epsilon}{4\sqrt{2}} |2^{(0)}\rangle + O(\epsilon^2)$

$\Delta_0 = E_0 - E_0^{(0)} = \hbar \omega \left[ \frac{\epsilon}{4} - \frac{\epsilon^2}{16} + O(\epsilon^3) \right]$

note  $[H, \pi] = 0$ ,  $|n\rangle$  non-degen  $|n\rangle$  parity ket  
 $\cdot \underbrace{c_1 |0^{(0)}\rangle}_{\text{even}} + \underbrace{c_2 |2^{(0)}\rangle}_{\text{odd}}$  can't be parity ket  
 — thus  $|1^{(0)}\rangle$  component vanished.

Exact soln.  $\frac{\hbar \omega}{2} \rightarrow \frac{\hbar \omega}{2} \sqrt{1+\epsilon} = \frac{\hbar \omega}{2} \left( 1 + \frac{\epsilon}{2} - \frac{\epsilon^2}{8} + \dots \right)$

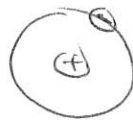
$\langle x | 0^{(0)} \rangle = \frac{1}{\pi^{1/4}} \frac{1}{\sqrt{x_0}} e^{-x^2/2x_0^2}$  where  $x_0 = \sqrt{\frac{\hbar}{m\omega}}$   $x_0 \rightarrow \left( \frac{x_0}{1+\epsilon} \right)^{1/4}$

Hence,

$\langle x | 0^{(0)} \rangle \approx \langle x | 0^{(0)} \rangle - \frac{\epsilon}{4\sqrt{2}} \langle x | 2^{(0)} \rangle$  !

ex quadratic stark effect

$$H_0 = \frac{p^2}{2m} + V_0(r) \text{ and } V = -e|\vec{E}|z$$



Ignored spin  $\rightarrow$  no degen energy level  $|n\rangle$  are parity ket

$$E_n = -\frac{Z^2 e^2}{2n^2 a_0} \quad a_0 = \frac{\hbar^2}{m_e e^2} \text{ Bohr radius.}$$

Consider ground state  $n=1 \quad l=m=0$ , so energy shift

$$\Delta_K = E_K - E_K^{(0)} = -e|\vec{E}| \langle K^{(0)} | z | K^{(0)} \rangle + e^2 |\vec{E}|^2 \sum_{j \neq K} \frac{|z_{Kj}|^2}{E_K^{(0)} - E_j^{(0)}} + \dots$$

Remark: on  $z_{KK}$  by selection rule  $[z_{KK} = 0]$  (Recalled if  $E_K \neq E_j \rightarrow \langle \beta | \vec{r} | \alpha \rangle = 0$ )

on  $z_{Kj}$   $\langle K | z | j \rangle \sim T_0^{01}$  where  $\langle n', l', m' | z | n, l, m \rangle = 0$  unless  $\begin{cases} l' = l \pm 1 \\ m' = m \end{cases}$

(By Wigner-Eckart thm:  $\langle \alpha, j, m | T_q^k | \alpha, j, m \rangle = \langle \alpha, j, m | T_q^k | \alpha, j, m \rangle$   $m' = m + q$  &  $|j-k| \leq j \leq j+k$ )

• Check accuracy of approx.

Given polarizability  $\alpha$   $\Delta = -\frac{1}{2} \alpha |\vec{E}|^2$ , ground state  $|0^{(0)}\rangle = |1, 0, 0\rangle$

then

$$\alpha = -2e^2 \sum_{K \neq 0} \frac{|K^{(0)} | z | 100 \rangle|^2}{E_0^{(0)} - E_K^{(0)}}$$

$$\text{first } \sum_{K \neq 0} |K^{(0)} | z | 100 \rangle|^2 = \sum_{all K} |K^{(0)} | z | 1, 0, 0 \rangle|^2 = \sum \langle 100 | z | K^{(0)} \rangle \langle K^{(0)} | z | 100 \rangle \\ = \langle 100 | z^2 | 100 \rangle$$

since  $\langle z^2 \rangle = \langle x^2 \rangle = \langle y^2 \rangle = \frac{1}{3} \langle r^2 \rangle$  and  $\langle r^2 \rangle = a_0^2$

assume  $E_0^{(0)} - E_K^{(0)} \sim \text{constant}$

knowing  $-E_0^{(0)} + E_K^{(0)} \geq -E_0^{(0)} + E_1^{(0)} = \frac{e^2}{2a_0} (1 - \frac{1}{4})$  after some calculation

we have  $\alpha < \frac{16a_0^3}{3} \approx 5.3 a_0^3$  where measured value  $\alpha = \frac{9a_0^3}{2} = 4.5 a_0^3$ .

(non-degen) Intro

Note  $\frac{V_{nK}}{E_n^{(0)} - E_K^{(0)}}$  singular if  $V_{nK} \neq 0$  but denominator  $= 0$

Now when degen, one is free to pick basis (unpertb ket) s.t if  $E_n^{(0)} - E_K^{(0)} = 0$ ,  $V_{nK} = 0$

Assume  $g$ -fold degen b4f pertb "V" i.e  $E_0^{(0)}$  for  $g$  diff  $|m^{(0)}\rangle$ .

then in general, pertb V remove degen by adding  $g$ -pertb kets  $\{|l\rangle\}$  w/ diff. energy.

As  $\lambda \rightarrow 0$   $|l\rangle \rightarrow |l^{(0)}\rangle$  various ket of  $H_0$  w/ same  $E_m^{(0)}$

$|l^{(0)}\rangle \neq |m^{(0)}\rangle$  in general

thus  $|l^{(0)}\rangle = \sum_{m \in D} |m^{(0)}\rangle \langle m^{(0)} | l^{(0)} \rangle$



To simplify calculation (expansion)

Def  $P_0$  proj. op onto space of  $\{|m^0\rangle\}$  and  $P_1 = 1 - P_0$  onto remaining space.

Then SE:

$$\begin{aligned} 0 &= (E - H_0 - \lambda V) |l\rangle \\ &= (E - H_0 - \lambda V) P_0 |l\rangle + (E - H_0 - \lambda V) P_1 |l\rangle \\ &= (E - E_0^0 - \lambda V) P_0 |l\rangle + (E - H_0 - \lambda V) P_1 |l\rangle \quad (*) \end{aligned}$$

Note  $P_0 P_1 = 0$   $P_1^2 = P_1$   $P_0^2 = P_0$   $[H, P_{1,0}] = 0$

Applying  $P_0, P_1$  on left of (\*),

$$(A) \quad P_0 (E - E_0^0 - \lambda V) P_0 |l\rangle - \lambda P_0 V P_1 |l\rangle = 0$$

$$(B) \quad -\lambda P_1 V P_0 |l\rangle + P_1 (E_0 - H_0 - \lambda V) P_1 |l\rangle = 0$$

Remark  $P_1 (E - H_0 - \lambda P_1 V P_1)$  not singular b/c  $E \sim E_0^0$

Using  $P_1^2 = P_1$ , we rewrite/express

$$P_1 |l\rangle = P_1 \frac{\lambda}{E - H_0 - \lambda P_1 V P_1} P_1 V P_0 |l\rangle \quad \text{for } |l\rangle = |l^0\rangle + \lambda |l^1\rangle + \dots \quad P_1 |l^1\rangle = \sum_{k \neq 0} \frac{\langle k^0 | V | l^0 \rangle}{E_0^0 - E_k^0} |k^0\rangle$$

By sub  $P_1 |l^1\rangle$  into (A) for  $P_0 |l\rangle$ :

$$(E - E_0^0 - \lambda P_0 V P_0 - \lambda^2 P_0 V P_1 \frac{1}{E - H_0 - \lambda V} P_1 V P_0) P_0 |l\rangle = 0$$

using  $|l\rangle = |l^0\rangle + \lambda |l^1\rangle$  and  $P_0 |l\rangle \rightarrow P_0 |l^0\rangle$

w/ order  $\lambda$  term where  $\lambda^2 \ll 1$ ,

we have  $(E - E_0^0 - \lambda P_0 V P_0) (P_0 |l^0\rangle) = 0$  "secular eqn"

this reduces to eigval problem of the  $g \times g$  matrix  $P_0 V P_0$

As  $\lambda \rightarrow 1$ ,  $\det(V - (E - E_0^0))$

w/ matrix elem.  $\langle m^0 | V | n^0 \rangle$  we recast secular eqn:

$$\begin{pmatrix} V_{11} & V_{12} & \dots \\ V_{21} & V_{22} & \dots \\ \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} \langle 1^0 | l^0 \rangle \\ \langle 2^0 | l^0 \rangle \\ \vdots \end{pmatrix} = \Delta_l^{(1)} \begin{pmatrix} \langle 1^0 | l^0 \rangle \\ \langle 2^0 | l^0 \rangle \\ \vdots \end{pmatrix}$$

ex Linear Stark Effect.  $V = -eZ|E|$

$$E_n = -\frac{Z^2 e^2}{2n^2 a_0} \quad Z=1 \text{ here} \quad E_2 = -\frac{e^2}{8a_0}$$

$$n=2 \quad l=0 \quad l=1 \quad (m=\pm 1, 0)$$

2s      2p

$$\langle n, l, m | V | n, l', m' \rangle$$

- non-vanished element only between states of opp. parity i.e.  $l=0$  and  $l=1$   
note that  $Z \sim T_0^{(1)}$  thus  $l' = l \pm 1$  and  $m' = m$  for nonzero entries.
- matrix

$$\begin{matrix} & 2s & 2p_{m=0} & 2p_{m=1} & 2p_{m=-1} \\ \begin{matrix} 2s \\ 2p_{m=0} \\ 2p_{m=1} \\ 2p_{m=-1} \end{matrix} & \begin{pmatrix} 0 & \langle 2s | V | 2p_{m=0} \rangle & 0 & 0 \\ \langle 2p_{m=0} | V | 2s \rangle & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \end{matrix}$$

$$\text{now } \langle 2s | V | 2p_{m=0} \rangle = -e|E| \langle 2s | Z | 2p_{m=0} \rangle = 3ea_0|E|$$

consider  $\langle 200 | Z | 210 \rangle$

$$R_{20}(r) = \left(\frac{1}{2a_0}\right)^{3/2} \left(2 - \frac{r}{a_0}\right) e^{-r/2a_0}$$

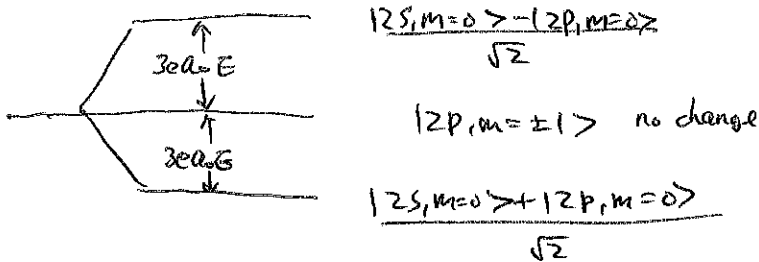
$$R_{21}(r) = \left(\frac{1}{2a_0}\right)^{3/2} \frac{r}{\sqrt{3}a_0} e^{-r/2a_0}$$

$$\text{then } \langle 200 | Z | 210 \rangle = 3a_0$$

$$\text{so } V = \begin{pmatrix} 2s & 2p_{m=0} \\ 0 & 3ea_0|E| \\ 3ea_0|E| & 0 \end{pmatrix} \Rightarrow \Delta'_\pm = \pm 3ea_0|E|$$

$$|\pm\rangle = \frac{1}{\sqrt{2}} (|2s, m=0\rangle \pm |2p, m=0\rangle)$$

$$\Delta_l^{(1)} = \langle l^{(0)} | V | l^{(0)} \rangle \rightarrow \Delta'_\pm = \langle \pm V | \pm \rangle$$



Relativistic Correction to Kinetic Energy

$$H_0 = \frac{\vec{p}^2}{2m_e} - \frac{Ze^2}{r} \quad \text{where } K = \sqrt{p^2 c^2 + m_e^2 c^4} - m_e c^2 \approx m_e c^2 \left(1 + \frac{p^2}{2m_e^2 c^2} - \frac{p^4}{8m_e^4 c^4}\right)$$

$$\therefore K = \frac{p^2}{2m_e} - \frac{p^4}{8m_e^3 c^2}$$

$$\text{thus } V = -\frac{(p^2)^2}{8m_e^3 c^2}$$

since  $[L, V] = 0$  we have  $|n, l, m\rangle$

$$\text{thus, } \Delta_{ne}^{(1)} = \langle n, l, m | V | n, l, m \rangle = \langle n, l, m | -\frac{(p^2)^2}{8m_e^3 c^2} | n, l, m \rangle$$

ex spin-orbit interaction

$$V_c(r) = e\phi(r) \quad \text{thus} \quad \vec{E} = -\frac{1}{e} \nabla V_c(r)$$

$$\vec{B} = -\frac{\vec{v}}{c} \times \vec{E} \quad \text{w/} \quad \vec{\mu} = \frac{e\vec{S}}{m_e c}$$

$$\begin{aligned} \text{thus} \quad H_{LS} &= -\vec{\mu} \cdot \vec{B} = \vec{\mu} \cdot \left( \frac{\vec{v}}{c} \times \vec{E} \right) = \left( \frac{e\vec{S}}{m_e c} \right) \cdot \left[ \frac{\vec{p}}{m_e c} \times \left( \frac{\vec{r}}{r} \right) \left( \frac{1}{e} \frac{dV_c}{dr} \right) \right] \\ &= \frac{1}{m_e^2 c^2} \frac{1}{r} \frac{dV_c}{dr} (\vec{L} \cdot \vec{S}) \end{aligned}$$