

Perturbation Theory

Unperturbed system: $H^0 \psi_n^0 = E_n^0 \psi_n^0$ w/ orthonormal basis $\{\psi_n^0\}$

New Hamiltonian $H = H^0 + \lambda H'$ $0 \leq \lambda \leq 1 \Rightarrow \boxed{H \psi_n = E_n \psi_n} (*)$

Use power series approximation (polynomial in λ) perturbed Hamiltonian

$$\psi_n = \psi_n^0 + \lambda \psi_n^1 + \lambda^2 \psi_n^2 + \dots$$

$$E_n = E_n^0 + \lambda E_n^1 + \lambda^2 E_n^2 + \dots$$

ψ_n^k, E_n^k are order of correction

Substitution and collecting like power for (*)

(1st order λ^1) $H^0 \psi_n^1 + H' \psi_n^0 = E_n^0 \psi_n^1 + E_n^1 \psi_n^0$ (1)

(2nd order λ^2) $H^0 \psi_n^2 + H' \psi_n^1 = E_n^0 \psi_n^2 + E_n^1 \psi_n^1 + E_n^2 \psi_n^0$ (2)

1st order theory

Obtain:

(i) $E_n^1 = \langle \psi_n^0 | H' | \psi_n^0 \rangle$ (3)

(ii) $\psi_n^1 = \sum_{m \neq n} \frac{\langle \psi_m^0 | H' | \psi_n^0 \rangle}{E_n^0 - E_m^0} \psi_m^0$ (4)

Sketch of proof

(i) $\langle \psi_n^0 | H^0 | \psi_n^1 \rangle + \langle \psi_n^0 | H' | \psi_n^0 \rangle = E_n^0 \langle \psi_n^0 | \psi_n^1 \rangle + E_n^1 \langle \psi_n^0 | \psi_n^0 \rangle$
 $\langle H^0 \psi_n^0 | \psi_n^1 \rangle = \text{Hermitian}$

(ii) Wave function correction from (i) Remark: want express ψ_n^1 in term of known basis $\{\psi_m^0\}$.
 $(H^0 - E_n^0) \psi_n^1 = -(H' - E_n^1) \psi_n^0$; let $\boxed{\psi_n^1 = \sum_{m \neq n} C_m^{(n)} \psi_m^0}$

note why $m \neq n$? Both ψ_n^1, ψ_n^0 solve the above equation (Coeff is trivial 0) don't need $m=n$!

Now inner product w/ $\psi_m^0 \Rightarrow C_m^{(n)} = - \frac{\langle \psi_m^0 | H' | \psi_n^0 \rangle}{E_n^0 - E_m^0}$

Remarks: • hold for non-degen. sys only (degen. perturbation theory need for $E_n^0 = E_m^0$)

• Accurate energies $E_n \approx E_n^0 + E_n^1$
 but inaccurate wave function! (Why?)

$[\hat{X}, \hat{H}] \neq 0?$

two diff states share same energy.

2nd Order theory (use of (4))

Obtain:

$E_n^2 = \sum_{m \neq n} \frac{|\langle \psi_m^0 | H' | \psi_n^0 \rangle|^2}{E_n^0 - E_m^0}$

level repulsion!

imagine $E_n^0 \approx E_m^0$

Sketch

Use $\psi_n^1 = \sum_{m \neq n} \frac{\langle \psi_m^0 | H' | \psi_n^0 \rangle}{E_n^0 - E_m^0} \psi_m^0$ sub into (*)

First:

$\langle \psi_n^0 | H^0 | \psi_n^2 \rangle + \langle \psi_n^0 | H' | \psi_n^1 \rangle = E_n^0 \langle \psi_n^0 | \psi_n^2 \rangle + E_n^1 \langle \psi_n^0 | \psi_n^1 \rangle + E_n^2 \langle \psi_n^0 | \psi_n^0 \rangle$
 $(*) \neq 0$ (4) $\rightarrow 0$

Consider $E_n^0 > E_m^0$
 $E_n^2 < E_m^2$
 for $E_n^0 \approx E_m^0$

Degen. Perturbation Theory (DPT)

Degen. States,

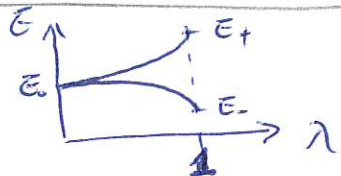
$$H^0 \psi_a^0 = E \psi_a^0$$

$$\text{where } \langle \psi_a^0 | \psi_b^0 \rangle = 0$$

$$H^0 \psi_b^0 = E \psi_b^0$$

Also $|\psi^0 = \alpha \psi_a^0 + \beta \psi_b^0|$ (Good States) solves $H \psi^0 = E^0 \psi^0$

Remark: Perturbation H' breaks degeneracy (but how?)



First order perturbation turns into eigenvalue prob. by solving matrix $W_{ij} = \langle \psi_i^0 | H' | \psi_j^0 \rangle$ where ψ_i^0 for $i=1, \dots, n$ are the deg. states i.e. $H \psi_i = E_i \psi_i$

Sub Solve $H \psi = E \psi$ using $H = H^0 + \lambda H'$ and power series of E, ψ in power of λ :

Simple ex 2 states deg.

Yield 1st order expression

$$H^0 \psi' + H' \psi^0 = E^0 \psi' + E' \psi^0$$

the good state

* Take inner product w/ both ψ_a^0 and ψ_b^0 w/

Remark: "good" linear comb. states are eigenvect of \vec{W} clearly!

Simplify

$$\begin{aligned} \alpha W_{aa} + \beta W_{ab} &= \alpha E' \\ \alpha W_{ba} + \beta W_{bb} &= \beta E' \end{aligned}$$

$$\text{where } W_{ij} = \langle \psi_i^0 | H' | \psi_j^0 \rangle \quad i, j = a, b; \quad W_{ab} = W_{ba}^*$$

$H' \rightarrow$ matrix W_{ij}

Remark: set of eqn. can be used to determine α, β if ψ_{ab}^0 known!

st

Remark: Goal is for E' , so this reduces to eigenvalue problem,

$Wv = E'v$ eig. val. prob.

$$\begin{pmatrix} W_{aa} & W_{ab} \\ W_{ba} & W_{bb} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = E' \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \Rightarrow (E')^2 - (W_{aa} + W_{bb})E' + (W_{aa}W_{bb} - W_{ab}W_{ba}) = 0$$

We have

$$E_{\pm}' = \frac{1}{2} [W_{aa} + W_{bb} \pm \sqrt{(W_{aa} - W_{bb})^2 + 4|W_{ab}|^2}]$$

$$\text{tr}(W) \pm \sqrt{(\text{tr}(W))^2 - 4 \det(W)}$$

Remark: fundamental results of degen. perturb theory saying two roots correspond two perturbed eigen

$$\begin{aligned} \alpha = 0, \beta = 1 \text{ so } W_{ab} = 0 &\Rightarrow E_+^1 = \langle \psi_a^0 | H' | \psi_a^0 \rangle \\ E_-^1 &= \langle \psi_b^0 | H' | \psi_b^0 \rangle \end{aligned}$$

*

[Thm] Let A ops. commute w/ H^0 and H' . ψ_a^0, ψ_b^0 degen. states of H^0 . A compatible w/ $H^0 \Rightarrow \psi_a^0, \psi_b^0$ also eig. func. of A but let them have distinct eig. val. st. $A \psi_a^0 = \mu \psi_a^0, A \psi_b^0 = \nu \psi_b^0 \quad \mu \neq \nu$

distinct Real Roots

then $W_{ab} = 0$ and ψ_a^0, ψ_b^0 are the "good" states for DPT.

sketch of proof starts w/ $\langle \psi_a^0 | [A, H'] | \psi_b^0 \rangle = 0$, details out L.H.S in terms of W_{ab} .

High order Degeneracy. Recall: $W = \begin{pmatrix} W_{aa} & W_{ab} \\ W_{ba} & W_{bb} \end{pmatrix}$

For n -fold degen, we are looking for eigenvalues of $n \times n$ matrix

$$W_{ij} = \langle \psi_i^0 | H' | \psi_j^0 \rangle \quad i, j = 1, \dots, n$$

Remark: In Linear Algebra, this equivalent to construct a basis that diagonalized W

$$\text{ex } W_{ij} = \langle \psi_i | H' | \psi_j \rangle \quad i, j = a, b, c \text{ then } W \text{ is } 3 \times 3!$$

Fine Structure of Hydrogen

Good Quantum number.
Given \hbar and

Hamiltonian of hydrogen atom:

$$H = -\frac{\hbar^2 \nabla^2}{2m} - \frac{e^2}{4\pi\epsilon_0 r}$$

Two body problem, a correction is $m \rightarrow \mu$ (reduced mass)

Recalled Bohr energy of hydrogen atom

$$E_n = -\frac{m}{2\hbar^2} \left(\frac{e^2}{4\pi\epsilon_0} \right)^2 \frac{1}{n^2}$$

also Bohr radius

$$\alpha = \frac{4\pi\epsilon_0 \hbar^2}{me^2}$$

for completeness.

We have smaller corrections!

Hierarchy of corrections to hydrogen Bohr energies (\sim orders)

Bohr energies	$\sim \alpha^2 mc^2$
Fine structure	$\sim \alpha^4 mc^2$
Lamb shift	$\sim \alpha^5 mc^2$
Hyperfine splitting	$\sim \left(\frac{m}{m_p}\right) \alpha^4 mc^2$

$$E_n = -\frac{mc^2}{2} \left(\frac{e^2}{4\pi\epsilon_0 \hbar c} \right)^2 \frac{1}{n^2}$$

α is the fine structure constant

$$E_n = -\alpha^2 mc^2 \left(\frac{1}{2n^2} \right)$$

$$\alpha \sim \frac{1}{137}$$

BFLH

Fine Structure

a tiny perturbation smaller by factor of α^2

$$\alpha = \frac{e^2}{4\pi\epsilon_0 \hbar c} \sim \frac{1}{137}$$

fine structure constant

Due to two distinct mechanisms: relativistic correction, spin-orbit coupling.

Relativistic correction take $c=1$. what is the correction, what is H' , good quantum number what is E depends on n, l, m ?

$H' = -\frac{1}{8} \frac{p^4}{m^3}$
commute w/
 L^2, L_z
good quantum
 n, l, m
 E_{em}

$$\left. \begin{aligned} E^2 &= m^2 + p^2 \\ T &= E - m = \sqrt{m^2 + p^2} - m \end{aligned} \right\} \text{relativistic setting}$$

Classically,

$$T = \frac{p^2}{2m} \xrightarrow[\text{non relativistic limit}]{\text{correction on}} \approx \frac{1}{2} \left(\frac{p}{m} \right)^2 - \frac{1}{8} \frac{p^4}{m^3} + \dots$$

($m \gg p$)

Now, Hamiltonian of the hydrogen atom:

$$H = \frac{p^2}{2m} + V + H' ; H' = -\frac{1}{8} \frac{p^4}{m^3} \text{ and } V = -\frac{1}{4\pi\epsilon_0} \frac{e^2}{r}$$

Remarks: this perturbation is spherical symmetric, it commutes w/ L^2 & L_z .

eigenfun. of L^2, L_z has distinct eigenval. for n^2 states given E_n .

(n, l, m) are good quantum number (def)

These implies one can use nondegenerate perturbation theory (why?)

$$E_n^{(1)} = \langle H' \rangle = -\frac{1}{8m^3 c^2} \langle \psi | p^4 | \psi \rangle ; p^2 \psi = 2m(E - V) \psi$$

$$\Rightarrow E_n^{(1)} = -\frac{1}{2mc^2} \langle (E - V)^2 \rangle = -\frac{1}{2mc^2} \left[E_n^2 + 2E_n \left\langle \frac{e^2}{4\pi\epsilon_0 r} \right\rangle + \left\langle \left(\frac{e^2}{4\pi\epsilon_0} \right)^2 \frac{1}{r^2} \right\rangle \right]$$

$$\text{Yields: } E_{n(m)}^{(1)} = -\frac{E_n^2}{2mc^2} \left(\frac{4n}{l + \frac{1}{2}} - 3 \right)$$

w/ n, l dependent but not m ! $\Rightarrow 2l+1$ deg.

Def $[H, O] = 0$
 O has eigenvect. eigenval: (simple)
 $O |q_j\rangle = q_j |q_j\rangle$, then q_j is good quantum number
if $|q_j\rangle$ remains eigenvect. of O w/ same q_j eigenval. as time evolves. Consider
 $\frac{d\langle O \rangle}{dt} = \frac{i}{\hbar} \langle [H, O] \rangle + \langle \frac{\partial O}{\partial t} \rangle$
w/ n, l, m good eig.

$\because p$ derivative which interchanges $L(r, p)$

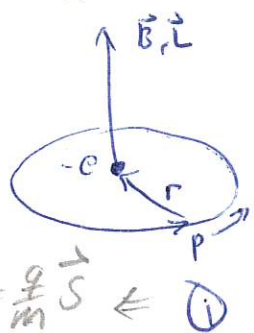
Recalled $\psi_{nlm} = Y_{lm}(\theta, \phi) R_{nl}(r)$
 $\psi_{nlm} = Y_{lm}(\theta, \phi) R_{nl}(r)$

Schrodinger eq $E \psi = H \psi$

Spin-Orbit Coupling (Find H' due to these effect)

good quantum #s: J^2, J_z, L^2, S^2 commute w/ $L \cdot S$

Set electron be the rest frame.



Biot-Savart $B = \frac{\mu_0 I}{2r}$

$I = \frac{e^+}{T}$; $L = r \times v$; $v = \frac{2\pi r}{T}$

Then $B = \frac{1}{4\pi\epsilon_0} \frac{e^+}{mc^2 r^3} L$

recalled $C = \frac{1}{\sqrt{\mu_0 \epsilon_0}}$

Magnetic dipole moment of electron

recalled $\mu = \frac{1}{2} \int r \times J d\tau = AI = \frac{q\pi r^2}{T}$

$\mu = \frac{q\pi r^2}{T}$

$\mu = AI$

Angular momentum

$S = I \omega$

$I = mr^2$ $\omega = \frac{2\pi}{T}$

$S = \frac{2\pi mr^2}{T}$

$\vec{J} = \frac{q}{2T} \int \delta(z=0) \delta(r-R) r^2 r d\phi dz$

$\mu = \frac{q}{2T} \int \delta(z=0) \delta(r-R) r^2 r d\phi dz = \frac{qR^2}{2T} \cdot 2\pi = \frac{q\pi R^2}{T}$

Then gyromagnetic ratio: $\gamma = \frac{\mu}{S} = \frac{q}{m}$

$\gamma_0 = 2$ due to relativistic theory of electron by Dirac.

Classical $\vec{\mu} = \frac{q}{2m} \vec{S}$, indeed $\vec{\mu} = \gamma_0 \left(\frac{q}{2m} \right) \vec{S}$

Now $H = \left(\frac{e^2}{4\pi\epsilon_0} \right) \frac{1}{m^2 c^2 r^3} \vec{S} \cdot \vec{L}$

Remarks: • Want proton not electron in Lab frame!

• Can treat electron continually boost from one inertial frame to another.

• This cumulative effect of Lorentz transformation is called the Thomas precession, corrected by factor $\frac{1}{2}$.

relativistic

Thomas precession

Spin-orbit interaction

$H'_{so} = \frac{e^2}{8\pi\epsilon_0} \frac{1}{m^2 c^2 r^3} \vec{S} \cdot \vec{L}$

Remark: γ_0 and Thomas precession cancelled each other $H = H^0 + H'$ due to torque on μ of spinning e^- by p 's m-field.

Remarks: (1) in spin-orbit coupling $[H, L^2], [H, S^2] \neq 0$ can this $H \Rightarrow H_{so}$? w/ equal depends on t
From Heisenberg equation of motion, spin and orbital ang. momentum are no longer conserved.

(3) But H_{so} does commutes w/ L^2, S^2 and $\vec{J} = \vec{L} + \vec{S}$ thus they conserved in H_{so} .
• L^2, S^2, J^2, J_z are good states but not J_x, L_x, S_x to use in perturbation theory.

Now $J^2 = L^2 + S^2 + 2\vec{L} \cdot \vec{S} \Rightarrow$ eigenvalues of $\vec{L} \cdot \vec{S}$: $\frac{\hbar^2}{2} [j(j+1) - l(l+1) - s(s+1)]$

important

so, $E_{so} = \langle H_{so} \rangle = \frac{(E_n)^2}{mc^2} \frac{n [j(j+1) - l(l+1) - \frac{3}{4}]}{l(l+\frac{1}{2})(l+1)}$

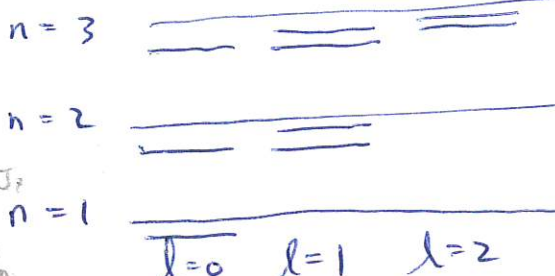
Also $\langle \frac{1}{r^3} \rangle = \frac{1}{l(l+\frac{1}{2})(l+1)n^3 a^3}$

Adding both mechanisms:

$E_{fs} = \frac{(E_n)^2}{2mc^2} \left(3 - \frac{4n}{j+\frac{1}{2}} \right)$

$E_{fs} = - \frac{13.6 eV}{n^2} \left[1 + \frac{\alpha^2}{n^2} \left(\frac{n}{j+\frac{1}{2}} - \frac{3}{4} \right) \right]$

fine structure spectrum



$j = 5/2$	$d = 5/4$
$j = 3/2$	$d = 3/4$
$j = 1/2$	$d = 1/4$
$j = 3/2$	$d = 1/4$
$j = 1/2$	$d = 5/4$
$j = 1/2$	$d = 1/4$

show degeneracy of J

note $n=1, l=0 \Rightarrow j=1/2$
 $n=2, l=0, 1 \Rightarrow j=1/2, 3/2$
 $n=3, l=0, 1, 2 \Rightarrow j=1/2, 3/2, 5/2$

Remarks: • Fine structure break degeneracy of l but preserves degeneracy of J
• Stationary states are linear comb. of states w/ different m_l, m_s
 $\Rightarrow m_s, m_l$ no longer good quantum numbs;
 n, l, s, j, m_j are good quantum #!

L, S are not separately conserved in the presence of spin-coupling. (*)

Zeeman effect. Uniform B_{ext} field causes shifting of atom's energy level

The perturbation: $H'_Z = -(\vec{\mu}_S + \vec{\mu}_L) \cdot \vec{B}_{ext}$

$\vec{\mu}_S$ dipole moment assoc. w/ electron spin.
 $\vec{\mu}_L$ " " assoc. w/ orbital motion.
pure classical
 Recall $H_{ms} = \vec{\mu}_S \cdot \vec{B}_{int}$ - partial effect.

$\mu_S = -\frac{e}{m} \vec{S}$
 $\mu_L = -\frac{e}{2m} \vec{L}$
 $H'_Z \propto \vec{L} + 2\vec{S}$
 due to \vec{B}_{ext}

$$H'_Z = \frac{e}{2m} (\vec{L} + 2\vec{S}) \cdot \vec{B}_{ext}$$

Remark: Zeeman splitting depends critically on \vec{B}_{ext}

- 1) $\vec{B}_{int} \gg \vec{B}_{ext}$, $H'_{ms} \gg H'_Z$ fine structure dominate, H'_Z is small perturbation.
- 2) $\vec{B}_{ext} \gg \vec{B}_{int}$, $H'_Z \gg H'_{ms}$ Zeeman dominate, H'_{ms} is small perturbation.

Focus on 1) Weak Zeeman. Effect of $\vec{B}_{ext} \sim \vec{B}_{int}$, full machinery of DPT is needed.

Weak-Field Zeeman Effect ($\vec{B}_{ext} \ll \vec{B}_{int}$). H'_Z - perturbation.

Good Quantum # n, l, j, m_j are good quantum numbers (not m_l, m_s since not conserved und spin-orbit effect)

not m_l, m_s but j, l^2, s^2, j_z

$$\langle E_Z \rangle = \langle n, l, j, m_j | H'_Z | n, l, j, m_j \rangle = \frac{e}{2m} B_{ext} \langle \vec{L} + 2\vec{S} \rangle ; \vec{L} + 2\vec{S} = \vec{J} + \vec{S}$$

Quest for eig. val of $\vec{L} + 2\vec{S}$. Need $\vec{L} + 2\vec{S}$ in terms of $\vec{J}^2, \vec{L}^2, \vec{S}^2, \vec{J}$.

Remarks: $\vec{J} = \vec{L} + \vec{S} = \text{const.}$, rapid precession of $\vec{L}, \vec{S} \Rightarrow \vec{S}_{ave} = \frac{(\vec{S} \cdot \vec{J})}{J^2} \vec{J}$

Analyse $\vec{L} + 2\vec{S}$
 $\vec{J} = \text{const}$
 $\vec{L} + 2\vec{S} = \vec{J} + (\vec{S} - \vec{L})$
 $\vec{S} \rightarrow \vec{S}_{ave} = \frac{(\vec{S} \cdot \vec{J})}{J^2} \vec{J}$
 Landé factor

Rewrite $\vec{L} = \vec{J} - \vec{S} \Rightarrow \vec{J} \cdot \vec{S} = \frac{\hbar^2}{2} [j(j+1) + s(s+1) - l(l+1)]$

So, $\langle \vec{L} + 2\vec{S} \rangle = \langle (1 + \frac{\vec{S} \cdot \vec{J}}{J^2}) \vec{J} \rangle = \left[1 + \frac{j(j+1) - l(l+1) + 3/4}{2j(j+1)} \right] \langle \vec{J} \rangle$
 Landé g-factor g_J

Now $g_J = 1 + \frac{j(j+1) - l(l+1) + 3/4}{2j(j+1)}$

$$E_Z' = \mu_B g_J B_{ext} m_j$$

here choose \vec{B}_{ext} along z-axis.

$$\mu_B = \frac{e\hbar}{2m} = 5.788 \times 10^{-5} \frac{\text{eV}}{\text{T}}$$

Bohr magneton.

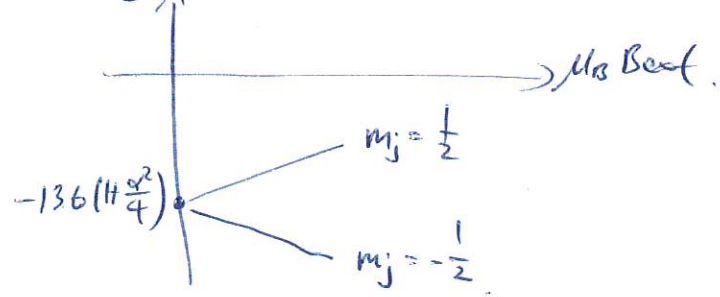
easier to remember determine spectrum line.

Total energy is:

Recall $E_{nj} = -\frac{13.6 \text{ eV}}{n^2} \left[1 + \frac{\alpha^2}{n^2} \left(\frac{n}{j + \frac{1}{2}} - \frac{3}{4} \right) \right] \Rightarrow E = E_{nj} + E_Z'$

ex Ground state $n=1, l=0, j=\frac{1}{2} \Rightarrow g_J=2, m_j = \pm \frac{1}{2}$ splits into two levels.
 Then $E = -13.6 \text{ eV} (1 + \frac{\alpha^2}{4}) \pm \mu_B B_{ext}$

combine w/ $L(j) \rightarrow$ to inspect line splitting
 ex $2-1$ $2p_{1/2}$



Summary:
 $H' \propto \vec{L} + 2\vec{S} \rightarrow \vec{J} + (\vec{S} - \vec{L})$
 note $\vec{L}^2 = (\vec{J} - \vec{S})^2$
 \rightarrow Landé factor: $g_J = 1 + \frac{j(j+1) - l(l+1) + 3/4}{2j(j+1)}$
 $\therefore E_Z' = m_j g_J \mu_B B_{ext}$
 $\mu_B = \frac{e\hbar}{2m}$ Bohr magneton.

General Pictures:

hydrogen atom: $E_n = - \left(\frac{m_e}{2\hbar^2} \left(\frac{e^2}{4\pi\epsilon_0} \right)^2 \right) \frac{1}{n^2}$

f.s: $H'_{rel} + H'_{so}$, $H'_{so} = \vec{\mu}_s \cdot \vec{B}$

$\vec{B} \sim \vec{L}$ $\vec{\mu}_s = \frac{g_s}{m_s} \vec{S}$

$H'_{so} \sim \vec{L} \cdot \vec{S}$ commutes w/ L^2, S^2, J
good Q.N. $(n, l, s, j, m_j) \Rightarrow$ break degen of l
preserve degen of j

$E_{nj} = E_n + E'_{fs}$

ZEEMAN: focus on $\vec{B}_{ext} \ll \vec{B}_{int}$ (\vec{B}_{int} due to SO int. s)

$H'_Z = \vec{\mu} \cdot \vec{B}_{ext}$ $\vec{\mu} = \frac{\vec{\mu}_s}{m_s} + \frac{\vec{\mu}_L}{2m}$

$H'_Z = \frac{g}{2m} (\vec{L} + 2\vec{S}) \cdot \vec{B}_{ext}$
commutes w/ L^2, S^2, J^2, J_z
good Q.N. (n, l, j, m_j)

$E'_Z = \mu_B B_{ext} m_j g_J$

$E = E_{nj} + E'_Z$

generally
 $E = [E_n + E'_{fs}] + E'_Z$ $\vec{B}_{ext} \ll \vec{B}_{int}$
 $E = [E_n + E'_Z] + E'_{fs}$ $\vec{B}_{int} \gg \vec{B}_{ext}$

Hypertune

perturbed energy due to B field induced by proton

$\vec{\mu}_p = \frac{g_p e}{2m} \vec{S}_p$, $H'_{hf} = \vec{\mu}_p \cdot \vec{B}_p$ $E'_{hf} \sim \langle \vec{S}_p \cdot \vec{S}_e \rangle$

$\vec{S} = \vec{S}_p + \vec{S}_e$ so $\vec{S}_p \cdot \vec{S}_e = \frac{1}{2}(S^2 - S_p^2 - S_e^2)$

ex p.e w/ spin $\frac{1}{2}$ S_p^2, S_e^2 has $\frac{3}{4}\hbar^2$

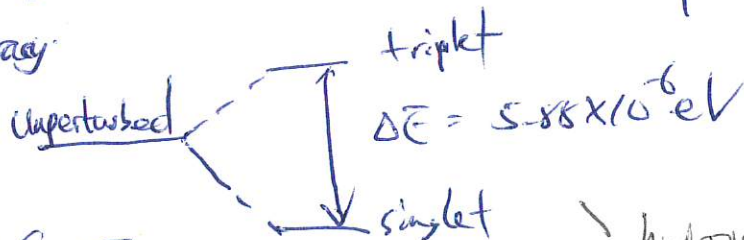
Now spin addition (adding $\frac{1}{2}$ of p & $\frac{1}{2}$ e):

triplet: $S^2 = 1 \Rightarrow 2\hbar^2$

singlet: $S^2 = 0 \Rightarrow 0$

so $E'_{hf} \sim \langle \vec{S}_p \cdot \vec{S}_e \rangle = \begin{cases} \frac{1}{4} \text{ (triplet)} \\ -\frac{3}{4} \text{ (singlet)} \end{cases}$

This breaks spin degeneracy



emitted photon freq. $hf = \Delta E$
 $f = 1420 \text{ MHz}$

wavelength $\lambda = \frac{c}{f} = 21 \text{ cm!}$

hydrogen atom!

Lamb shift

energy difference between two energy levels:

$2s_{1/2}$ and $2p_{1/2}$ of hydrogen atom

"not predicted by Dirac Eqn"

Stark effect

$E'_{stark} = q\vec{E} \cdot \vec{r}$

Variational Principle

See H.L. for calculation

(4)

For any normalized ψ , the ground state energy E_{gs} of a Hamiltonian system H has upper bound given by

$$E_{gs} \leq \langle \psi | H | \psi \rangle$$

some trial wavefunction

Ex. The eig. func. of H ψ_n is complete s.t. $(H\psi_n = E_n\psi_n)$
 $\psi = \sum_n c_n \psi_n$; $1 = \langle \psi | \psi \rangle = \sum_n |c_n|^2$

so $\langle H \rangle = \sum_n E_n |c_n|^2 \geq \sum_n E_{gs} |c_n|^2 = E_{gs}$ since $E_{gs} \leq E_n \forall n$.

Remark: ψ_n unknown, can't solve Schrödinger eqn.

Summary of Variational Principle & Helium ground state

For arbitrary ψ , variational principle is a technique to use to estimate upper bound of ground state energy using a test wave function.

① $\langle \psi | H | \psi \rangle \geq E_{gs}$ trial wavefunc.

ex $\psi = Ae^{-bx^2}$ gaussian.

② $\langle \psi | \psi_{gs} \rangle = 0$ (orthogonal to ψ_{gs}) then $\langle \psi | H | \psi \rangle \geq E_{gs}$ — 1st excited state.

③ 1st order Pto theory overestimates E_{gs} .

$\langle \psi_0 | H + H' | \psi_0 \rangle = E_{gs} + E' \geq E_{gs}$

by definition $\langle \psi_0 | H' | \psi_0 \rangle \geq E_{gs}$

assume $\langle H' \rangle > 0$

ii) 2nd order Pto theory yields $E_0^{(2)} < 0$ always.

④ Helium ground state.



$$H = -\frac{\hbar^2}{2m} \nabla_1^2 - \frac{\hbar^2}{2m} \nabla_2^2 - \frac{e^2}{4\pi\epsilon_0} \frac{1}{r_1} - \frac{e^2}{4\pi\epsilon_0} \frac{1}{r_2} - \underbrace{\frac{e^2}{4\pi\epsilon_0} \frac{1}{|\vec{r}_1 - \vec{r}_2|}}_{V_{ee} - \text{repulsion}}$$

Add shield'g factor Z ,

$$H = T_1 + T_2 - \frac{2}{4\pi\epsilon_0} \left(\frac{2}{r_1} + \frac{2}{r_2} \right) + \frac{e^2}{4\pi\epsilon_0} \left(\frac{2-Z_0}{r_1} + \frac{2-Z_0}{r_2} \right) - \frac{e^2}{4\pi} V_{ee}$$

$$\langle H \rangle = 2Z^2 E_1 + \frac{2e^2}{4\pi\epsilon_0} (2-Z_0) \langle \frac{1}{r} \rangle + \langle V_{ee} \rangle$$

$$\langle H \rangle = \left(2Z^2 - 4Z(2-Z_0) - \frac{5}{4}Z \right) E_1$$

set $\frac{d\langle H \rangle}{dZ} = 0$ optimize Z to obtain $\langle H \rangle_{min} \geq E_{gs}$!

Variational Principle application Helium Ground State

kinetic energy of electrons

$$(1) H = \frac{-\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2) - \frac{e^2}{4\pi\epsilon_0} \left(\frac{1}{r_1} + \frac{1}{r_2} \right) + \frac{e^2}{4\pi\epsilon_0 |\vec{r}_1 - \vec{r}_2|}$$

ignored V_{ee} yield exact solution

$$\psi_0(\vec{r}_1, \vec{r}_2) = \frac{8}{\pi a^3} e^{-2(r_1 + r_2)/a}$$

$$H\psi_0 = (8E_1 + V_{ee})\psi_0$$

$$\langle H \rangle = 8E_1 + \langle V_{ee} \rangle$$

$$\langle V_{ee} \rangle = \frac{5}{4a} \left(\frac{e^2}{4\pi\epsilon_0} \right) = -\frac{5}{2} E_1$$

$$\langle V_{ee} \rangle = -\frac{5}{2} E_1$$

Can do better!

Remark: ψ_0 treat electron as not interact at all.

• Add an effective nuclear charge Z due to partial shielding of electron cloud to nucleus.

$$\text{Thus we try: } \psi_1(\vec{r}_1, \vec{r}_2) = \frac{Z^3}{\pi a^3} e^{-Z(r_1 + r_2)/a}$$

rewrite the Hamiltonian as: (Here $Z_0 = 2$ for 2 nucleus-protons)

outermost shell
w/ 2 electrons
 Z_0 nucleus-proton

$$H = \frac{-\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2) - \frac{e^2}{4\pi\epsilon_0} \left(\frac{Z}{r_1} + \frac{Z}{r_2} \right) + \frac{e^2}{4\pi\epsilon_0} \left(\frac{Z-Z_0}{r_1} + \frac{Z-Z_0}{r_2} + \frac{1}{|\vec{r}_1 - \vec{r}_2|} \right) \quad (3)$$

$$\langle H \rangle = 2Z^2 E_1 + 2(Z-Z_0) \left(\frac{e^2}{4\pi\epsilon_0} \right) \left(\frac{1}{r_1} + \frac{1}{r_2} \right) + \langle V_{ee} \rangle$$

average of $\frac{1}{r_1}$ & $\frac{1}{r_2}$

$$\langle V_{ee} \rangle \Rightarrow \frac{Z}{2} \langle V_{ee} \rangle \text{ ie multiply a to } \frac{Z}{2}$$

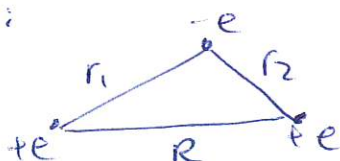
$$\text{thus, } \langle H \rangle = [2Z^2 - 4Z(Z-Z_0) - \frac{5}{4}Z] E_1 \quad (4)$$

$$= [-2Z^2 + (4Z_0 - \frac{5}{4})Z] E_1$$

$$(5) \frac{d\langle H \rangle}{dZ} = -4Z + 4Z_0 - \frac{5}{4} = 0 \Rightarrow Z = Z_0 - \frac{5}{16}$$

Substitute this extrema into $\langle H \rangle$ to obtain optimized $\langle H \rangle_{\min} \geq E_{gs}$.

Hydrogen molecule:



$$H = \underbrace{\frac{-\hbar^2}{2m} \nabla^2}_{\text{kinetic energy of electron}} - \frac{e^2}{4\pi\epsilon_0} \left(\frac{1}{r_1} + \frac{1}{r_2} \right)$$

see H.W.

WKB (slow varying irregular $V(x)$)

Technique to time-independent Schrödinger equation solution,
In calculating: • bound state
• tunneling rate

Set up

Consider particle w/ energy E travel through potential well $V(x)$

At region s.t. $V(x) = \text{constant}$ & $E > V$ (If $E < V$, we have $\psi(x) = Ae^{\pm kx}$ instead)

Wave function

$$\psi(x) = Ae^{\pm ikx}$$

+ travel to right
- go to left

$$k = \frac{\sqrt{2m(E-V)}}{\hbar}$$

$$\text{wavelength: } \lambda = \frac{2\pi}{k}$$

Assumption*

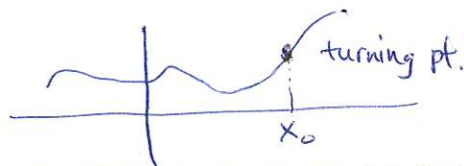
If $V(x)$ varies slowly w.r.t λ , over region w/ many full wavelengths,

$V(x) \sim \text{constant}$ in this region.

amplitude.

Reasonable to state: $\psi \sim \text{sinusoidal}$ w/ slow variation of $\lambda(x)$ or k , and $A(x)$

Remark: Caution: when $E \approx V$ "turning point" $\lambda = \frac{1}{k} \rightarrow \infty$ $V(x)|_{x_0}$ ~~changing rapidly~~ ^{not hardly said to vary slowly}



$$\lambda \sim \frac{1}{k} \propto \frac{1}{\sqrt{E-V}}$$

$$A \sim \frac{1}{\sqrt{p}} \propto \frac{1}{\sqrt{E-V}}$$

Formalism

Schrödinger Equation (SE)

$$H\psi = E\psi \rightarrow \frac{d^2\psi}{dx^2} = -\frac{p^2}{\hbar^2}\psi$$

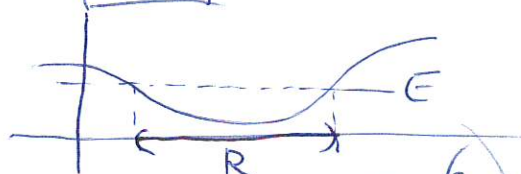
①

$$p(x) = \sqrt{2m(E-V(x))}$$

recalled $p = \hbar k$

Classical Region

: For region R s.t. $E > V \Rightarrow p \text{ real}$ (particle confined to R)



W.l.o.g put down

$$\psi(x) = A(x)e^{i\phi(x)}$$

②

Apply to (SE), \Rightarrow

$$A'' = A[(\phi')^2 - \frac{p^2}{\hbar^2}]$$

①

$$(A^2\phi')' = 0$$

②

$$\textcircled{2} \Rightarrow A = \frac{C}{\sqrt{\phi'}}$$

WKB approximation: (from assumption*) A changes slowly $\Rightarrow A'' \sim 0$

$$\textcircled{1} \Rightarrow \frac{d\phi}{dx} = \pm \frac{p}{\hbar}$$

negligible

Summary - Classical region ($p > 0$)

$$\phi(x) = \pm \frac{1}{\hbar} \int_{x_0}^x p(x) dx$$

④

and

$$\psi(x) = \frac{C}{\sqrt{p(x)}} e^{\pm \frac{i}{\hbar} \int_{x_0}^x p(x) dx}$$

~~upper & lower~~
limit for \int .

$$\text{Note: } |\psi(x)|^2 \approx \frac{|C|^2}{p(x)}$$

implied probability in finding particle at $x \propto \frac{1}{\text{velocity}}$

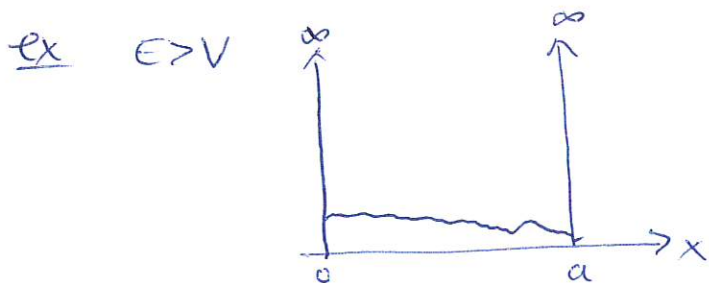
make use of

$$A(x) = \frac{C}{\sqrt{\phi'}} = \frac{C}{\sqrt{p}}$$

$$p = \sqrt{2m(E-V(x))}$$

as $x \nearrow p \nearrow A \nearrow$





$$\psi(x) \approx \frac{1}{\sqrt{p(x)}} \left[C_+ e^{i\phi(x)} + C_- e^{-i\phi(x)} \right]$$

$$\rightarrow \frac{1}{\sqrt{p(x)}} [C_1 \sin \phi(x) + C_2 \cos \phi(x)]$$

$$\phi(x) = \frac{1}{\hbar} \int_0^x p(x') dx'$$

B.C on $\psi(x) \Rightarrow C_2 = 0$ and $\phi(a) = n\pi \quad (n \in \mathbb{N})$

then $n\pi\hbar = \int_0^a p(x) dx$

Remark: For flat bottom $V=0 \Rightarrow p(x) = \sqrt{2mE}$, recovered: $E_n = \frac{n^2 \pi^2 \hbar^2}{2ma^2}$!

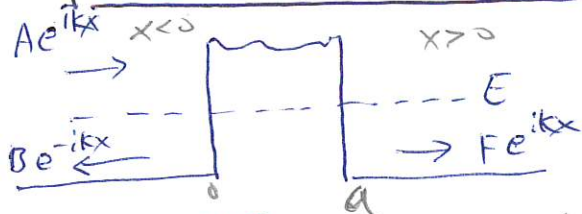
WKB

Tunneling $E < V$ (non-classical region) $\Rightarrow p(x)$ imaginary.

Now,

$$\psi(x) \approx \frac{C}{\sqrt{|p|}} e^{\pm \frac{1}{\hbar} \int |p(x)| dx} \quad \text{inside}$$

note $\psi(x) = A(x) e^{\pm \phi(x)}$ apply to SE.



$$x < 0 \quad \psi(x) = Ae^{ikx} + Be^{-ikx}$$

$$x > a \quad \psi(x) = Fe^{ikx}$$

$$T = \left| \frac{F}{A} \right|^2$$

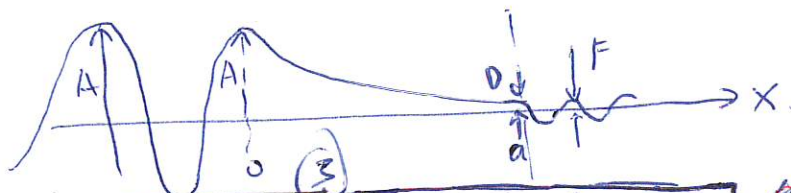
in tunneling region:

$$\psi(x) = \frac{C}{\sqrt{|p(x)|}} e^{-\frac{1}{\hbar} \int_0^x |p(x')| dx'} + \frac{D}{\sqrt{|p|}} e^{-\frac{1}{\hbar} \int_0^x |p(x')| dx'} \quad (2)$$

For wide & high barriers $\Rightarrow C \ll 1$ ~~so~~ $B \ll 1 \Rightarrow F \sim D$

Thus,

$$\cancel{A+B}$$



And $\left| \frac{F}{A} \right|^2 \approx \left| \frac{D}{A} \right|^2 \Rightarrow T \approx e^{-2\gamma}$ $\gamma = \frac{1}{\hbar} \int_0^a |p(x)| dx$

life time:

$$\tau = \frac{2r_1}{v} e^{2\gamma} \quad \text{well size.}$$

velocity from kinetic energy

$$\tau = \frac{2l}{v_0} e^{2\gamma}$$

Summary of Perturbation Theory

o time independent

T1. $\begin{cases} \text{non dgen} & \mathcal{O}(1) & E_n' = \langle \psi_n^0 | H' | \psi_n^0 \rangle ; \psi_n' = \sum_{m \neq n} \frac{\langle \psi_m^0 | H' | \psi_n^0 \rangle}{E_n^0 - E_m^0} \psi_m^0 \\ \text{dgen} & \mathcal{O}(2) & E_n^2 = \sum_{m \neq n} \frac{|\langle \psi_m^0 | H' | \psi_n^0 \rangle|^2}{E_n^0 - E_m^0} \end{cases}$

$H' \rightarrow$ matrix representation $W_{ij} = \langle \psi_i^0 | H' | \psi_j^0 \rangle$
reduced to eig. values problem.

T2. Variation principle: estimation of ground state of sys.

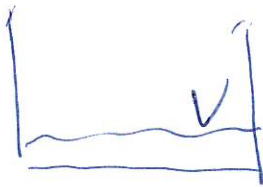
$$\langle \psi | H | \psi \rangle \geq E_{gs}$$

trial wave func is e^{-bx^2}

ex Helium ground state (Helium like atom).

$$\langle H \rangle = (2Z - 4Z(Z-2) - \frac{5}{4}Z)E_1 \quad E_1 = -13.6 \text{ eV}$$

o time independent WKB technique



V varies slowly w.r.t wavelength except at classical turning ie $E \sim V$

S.E: $P = \sqrt{2m(E - V(x))}$

$A = \frac{C}{\sqrt{P}} = \frac{C}{P}$

explains how amplitude behaves!

For $E > V(x) \Rightarrow \psi(x) = A(x) e^{i\phi(x)}$

$\frac{d\phi}{dx} = \pm \frac{P}{\hbar} \quad \phi(x) = \pm \frac{1}{\hbar} \int_0^x P(x) dx$

For $E < V(x)$

$\psi(x) = A(x) e^{\pm \phi(x)}$

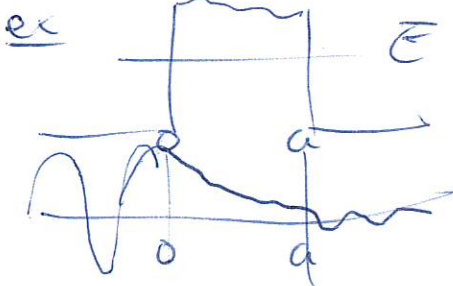
$\pm \frac{1}{\hbar} \int_0^x |P(x)| dx$

$\psi(x) = \frac{C}{\sqrt{|P|}} e^{\pm \frac{1}{\hbar} \int_0^x |P(x)| dx}$

Tunneling probability
life time $\tau = \frac{2\pi}{V} e^{-\gamma}$

$T = e^{-\gamma}$

$\gamma = \frac{1}{\hbar} \int_0^a |P(x)| dx$
a well size V velocity.



Quantum States with Quantum state in k-space

Particle in k-space

From 3D infinite well particle in the box

Volume in kspace per particle state:

ex electron

Natom w/ q electrons. occupied volume.

$$Nq \frac{\pi^3}{2V} \approx \frac{1}{8} \frac{4}{3} \pi k_F^3$$

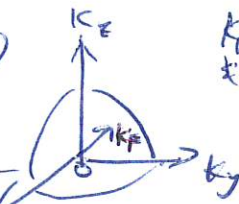
$$k_F = (3\pi^2)^{1/3}$$

$$\gamma_s = \begin{cases} 2 & \text{fermion} \\ 1 & \text{boson} \end{cases}$$

k_F separated occupied & unoccupied states.

This gives Fermi energy E_F (energy of free electrons)

$$E_F = \frac{\hbar^2 k_F^2}{2m} = \frac{\hbar^2 (3\pi^2)^{2/3}}{2m}$$



$$\tilde{N} = Nq = \gamma_s \frac{V}{8\pi^3} k^3$$



E_{tot} & N_{tot} (tot # states)

$$dN = g(k)dk$$

$$\rightarrow g(E)dE$$

$g(k)$ density of state

$$3D \text{ infinite well } dk g(k) = \frac{4\pi k^2 dk}{\pi^3}$$

N_{tot} or simply

$$dN = \frac{\frac{1}{8} 4\pi k^2 dk}{\frac{\pi^3}{2V}}$$

$$dN = \gamma_s \frac{V}{2\pi^2} k^2 dk$$

E_{tot} " " $dE = E(k) dN$

$$dE = \frac{\hbar^2 k^2}{2m} dN(k)$$

energy per particle state $E(k)$ generalized to

$$dE = \gamma_s \frac{V}{2\pi^2} \frac{\hbar^2}{2m} k^4 dk$$

$$\text{Integrate } E_{tot} \approx \int_0^{k_F} k^4 dk$$

$$\rightarrow \gamma_s \frac{V \hbar^2}{2\pi^2 2m} \frac{k_F^5}{5}$$

$$E_{tot} = \frac{\hbar^2 (3\pi^2)^{5/3}}{10\pi^2 m} V^{-2/3}$$

Using this we can probe the degeneracy pressure

note $dW = PdV$ ie $P = -\frac{dE}{dV}$

$$P = -\frac{dE}{dV}$$

$$P = \frac{2}{3} \frac{E_{tot}}{V}$$

Quantum Statistics Distribution

Maxwell Boltzmann

$$n(E) = e^{-(E-\mu(T))/k_B T}$$

Fermi-Dirac +

Bose-Einstein -

$$n(E) = \frac{1}{e^{(E-\mu(T))/k_B T} \pm 1}$$

Remark $n(E) \rightarrow n(k)$

$$ie \ E = \frac{\hbar^2 k^2}{2m}$$

$$E \mapsto E(k)$$

Logic
" (as $T \rightarrow 0$)
As $T \rightarrow 0$ $\mu \rightarrow \mu(0)$
As $T \rightarrow 0$ for $\mu(0)$ fixed
then analysis $E < \mu(0)$
 $E > \mu(0)$

Probe Fermi-Dirac

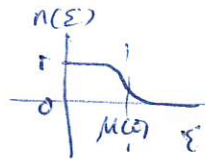
Take $T \rightarrow 0$

Fixed $\mu(0)$ case for E vs μ
For limit $T \rightarrow 0$ ie vary E

$$arg = \frac{E-\mu(0)}{k_B T}$$

$$e^{(E-\mu(0))/k_B T} = \begin{cases} 0 & E < \mu(0), arg \rightarrow -\infty \\ \infty & E > \mu(0), arg \rightarrow \infty \end{cases}$$

$$n(E) = \begin{cases} 1 & E < \mu(0) \\ 0 & E > \mu(0) \end{cases}$$



in Fermi-Dirac $E_F \equiv \mu(0)$

Quantum Stat. Estimation of N & E using distribution.

Recall $dN = \frac{V}{2\pi^2} k^2 dk$ $\xrightarrow{\text{Distribution } (N_k)}$ $N = \int_0^{k_F} \frac{V}{2\pi^2} n(k) k^2 dk$

\otimes $dE = \frac{V}{2\pi^2} \frac{\hbar^2}{2m} k^4 dk$ $\xrightarrow{\substack{n(k) dN(k) \\ \text{are not equally likely}}}$ $E = \int_0^{k_F} \frac{V}{2\pi^2} \frac{\hbar^2}{2m} k^4 dk$

$\xrightarrow{dE \rightarrow E(k) dN(k)}$

note $\xrightarrow{\text{free}}$ $\varepsilon = \frac{\hbar^2 k^2}{2m} \rightarrow k = \frac{\sqrt{2m\varepsilon}}{\hbar}$ and $d\varepsilon = \frac{\hbar^2 k}{m} dk$ $g(k) = \frac{d\Gamma(k)}{dk}$

only in solid

Remark: This indicates that \int can be taken to $d\varepsilon$.

Planck's formula

energy density

$$\rho(\omega) d\omega = \frac{\hbar \omega^3}{\pi^2 c^3 (e^{\hbar \omega / k_B T} - 1)} d\omega$$

$$\rho(\omega) d\omega = \frac{\hbar \omega^3}{\pi^2 c^3 (e^{\hbar \omega / k_B T} - 1)} d\omega$$

Remark: photon is boson. use Bose-Einstein

Another method

$$\Gamma(k) = \frac{V}{3\pi^2} k^3$$

$$\Gamma(\omega) = \frac{V \omega^3}{3\pi^2 c^3}$$

$$g(\omega) d\omega = \frac{d\Gamma(\omega)}{d\omega} d\omega$$

$$= \frac{V \omega^2}{\pi^2 c^3} d\omega$$

$$dE = E(\omega) g(\omega) d\omega$$

$$= \frac{V \hbar \omega^3}{\pi^2 c^3} d\omega$$

$$d\rho(\omega) = \frac{\hbar \omega^3}{\pi^2 c^3} d\omega$$

$$\rho(\omega) = \frac{\hbar \omega^3}{\pi^2 c^3} \frac{1}{e^{\hbar \omega / k_B T} - 1}$$

Planck distribution

$$\frac{\omega}{c} = \frac{2\pi}{\lambda}$$

$$d\omega = \frac{2\pi c}{\lambda^2} d\lambda$$

$$\rho(\lambda) = \frac{16\pi^2 \hbar c}{\lambda^5} \frac{1}{e^{\hbar c / \lambda k_B T} - 1}$$

Wien displacement law

$$\Gamma(k) \mapsto \Gamma(E) = \frac{V \varepsilon^3}{3\pi^2 \hbar^3 c^3}$$

$$g(\varepsilon) d\varepsilon = \frac{V \varepsilon^2}{\pi^2 \hbar^3 c^3} d\varepsilon$$

$$g(\omega) d\omega = \omega g(\varepsilon) d\varepsilon = \frac{\omega V \hbar^2 \omega^2}{\pi^2 \hbar^3 c^3} d\omega$$

$$g(\omega) d\omega = \frac{\hbar \omega^3}{\pi^2 c^3} d\omega$$

$$\rho(\omega) = \frac{\hbar \omega^3}{\pi^2 c^3} \frac{1}{e^{\hbar \omega / k_B T} - 1}$$

photon

note $dN = \frac{V}{\pi^2} k^2 dk$; $\varepsilon = \hbar \omega$ $\omega = kc$ \therefore photon

change of variable $dE = \varepsilon dN = \frac{V}{\pi^2} \hbar \omega k^2 dk \rightarrow dE = \frac{V}{\pi^2} \frac{\hbar \omega^3}{c^3} d\omega$

But photon is boson, need Bose-Einstein distribution.

$$dE = \frac{V}{\pi^2} \frac{\hbar \omega^3}{c^3} \frac{1}{e^{\hbar \omega / k_B T} - 1} d\omega$$

Define $d\omega \rho(\omega) = \frac{dE}{V} = \frac{\hbar \omega^3}{\pi^2 c^3 (e^{\hbar \omega / k_B T} - 1)} d\omega$

using $k = \frac{2\pi}{\lambda} = \frac{\omega}{c} \rightarrow \omega = \frac{2\pi c}{\lambda}$ & $d\omega = -\frac{2\pi c}{\lambda^2} d\lambda$

we get $\rho(\omega) = \frac{16\pi^2 \hbar c}{\lambda^5} \frac{1}{\exp(\frac{2\pi \hbar c}{\lambda k_B T}) - 1}$ } Planck distribution

maximum

$$\lambda_{\max} = \frac{2.90 \times 10^{-3} \text{ mK}}{T}$$

$$\rho(\lambda, T) \sim \frac{1}{\lambda^5} \frac{1}{e^{\frac{\hbar c}{\lambda k_B T}} - 1}$$