## Assignment 5

## Chapter 4

- 1. Construct a binary Huffman code for the distribution {0.25, 0.05, 0.1, 0.13, 0.2, 0.12, 0.08, 0.07}.
- 2. Construct a ternary Huffman code for the source distribution in Problem 1.
- 3. Show that a Huffman code is an optimal uniquely decodable code for a given source distribution.
- 4. Construct an optimal binary prefix code for the source distribution in Problem 1 such that all the codewords have even lengths.
- 6. Prove that if  $p_1 > 0.4$ , then the shortest codeword of a binary Huffman code has length equal to 1. Then prove that the redundancy of such a Huffman code is lower bounded by  $1 h_b(p_1)$ . (Johnsen [192].)
- 10. Let X be a source random variable. Suppose a certain probability mass  $p_k$  in the distribution of X is given. Let

$$l_j = \begin{cases} \lceil -\log p_j \rceil & \text{if } j = k \\ \lceil -\log(p_j + x_j) \rceil & \text{if } j \neq k, \end{cases}$$

where

$$x_j = p_j \left( \frac{p_k - 2^{-\lceil -\log p_k \rceil}}{1 - p_k} \right)$$

for all  $j \neq k$ .

- (a) Show that  $1 \le l_j \le \lceil -\log p_j \rceil$  for all j.
- (b) Show that  $\{l_j\}$  satisfies the Kraft inequality.
- (c) Obtain an upper bound on  $L_{\text{Huff}}$  in terms of H(X) and  $p_k$  which is tighter than H(X) + 1. This shows that when partial knowledge about the source distribution in addition to the source entropy is available, tighter upper bounds on  $L_{\text{Huff}}$  can be obtained.

(Ye and Yeung [396].)

## Chapter 5

- 1. Show that for any  $\epsilon > 0$ ,  $W_{[X]\epsilon}^n$  is nonempty for sufficiently large n.
- 2. The source coding theorem with a general block code In proving the converse of the source coding theorem, we assume that each codeword in  $\mathcal{I}$  corresponds to a unique sequence in  $\mathcal{X}^n$ . More generally, a block code with block length n is defined by an encoding function  $f: \mathcal{X}^n \to \mathcal{I}$  and a decoding function  $g: \mathcal{I} \to \mathcal{X}^n$ . Prove that  $P_e \to 1$  as  $n \to \infty$  even if we are allowed to use a general block code.
- 5. Alternative definition of weak typicality Let  $\mathbf{X} = (X_1, X_2, \dots, X_n)$  be an i.i.d. sequence whose generic random variable X is distributed with p(x). Let  $q_{\mathbf{x}}$  be the empirical distribution of the sequence  $\mathbf{x}$ , i.e.,  $q_{\mathbf{x}}(x) = n^{-1}N(x; \mathbf{x})$  for all  $x \in \mathcal{X}$ , where  $N(x; \mathbf{x})$  is the number of occurrence of x in  $\mathbf{x}$ .
  - (a) Show that for any  $\mathbf{x} \in \mathcal{X}^n$ ,

$$-\frac{1}{n}\log p(\mathbf{x}) = D(q_{\mathbf{x}}||p) + H(q_{\mathbf{x}}).$$

(b) Show that for any  $\epsilon > 0$ , the weakly typical set  $W_{[X]\epsilon}^n$  with respect to p(x) is the set of sequences  $\mathbf{x} \in \mathcal{X}^n$  such that

$$|D(q_{\mathbf{x}}||p) + H(q_{\mathbf{x}}) - H(p)| \le \epsilon.$$

(c) Show that for sufficiently large n,

$$\Pr\{|D(q_{\mathbf{x}}||p) + H(q_{\mathbf{x}}) - H(p)| \le \epsilon\} > 1 - \epsilon.$$

(Ho and Yeung [167].)

9. Universal source coding Let  $\mathcal{F} = \{\{X_k^{(s)}, k \geq 1\} : s \in \mathcal{S}\}$  be a family of i.i.d. information sources indexed by a finite set  $\mathcal{S}$  with a common alphabet  $\mathcal{X}$ . Define

$$\bar{H} = \max_{s \in \mathcal{S}} H(X^{(s)})$$

where  $X^{(s)}$  is the generic random variable for  $\{X_k^{(s)}, k \geq 1\}$ , and

$$A_{\epsilon}^{n}(\mathcal{S}) = \bigcup_{s \in \mathcal{S}} W_{[X^{(s)}]\epsilon}^{n},$$

where  $\epsilon > 0$ .

(a) Prove that for all  $s \in \mathcal{S}$ ,

$$\Pr{\mathbf{X}^{(s)} \in A_{\epsilon}^{n}(\mathcal{S})} \to 1$$

as 
$$n \to \infty$$
, where  $\mathbf{X}^{(s)} = (X_1^{(s)}, X_2^{(s)}, \dots, X_n^{(s)})$ .

(b) Prove that for any  $\epsilon' > \epsilon$ ,

$$|A_{\epsilon}^n(\mathcal{S})| \le 2^{n(\bar{H}+\epsilon')}$$

for sufficiently large n.

(c) Suppose we know that an information source is in the family  $\mathcal{F}$  but we do not know which one it is. Devise a compression scheme for the information source such that it is asymptotically optimal for every possible source in  $\mathcal{F}$ .