



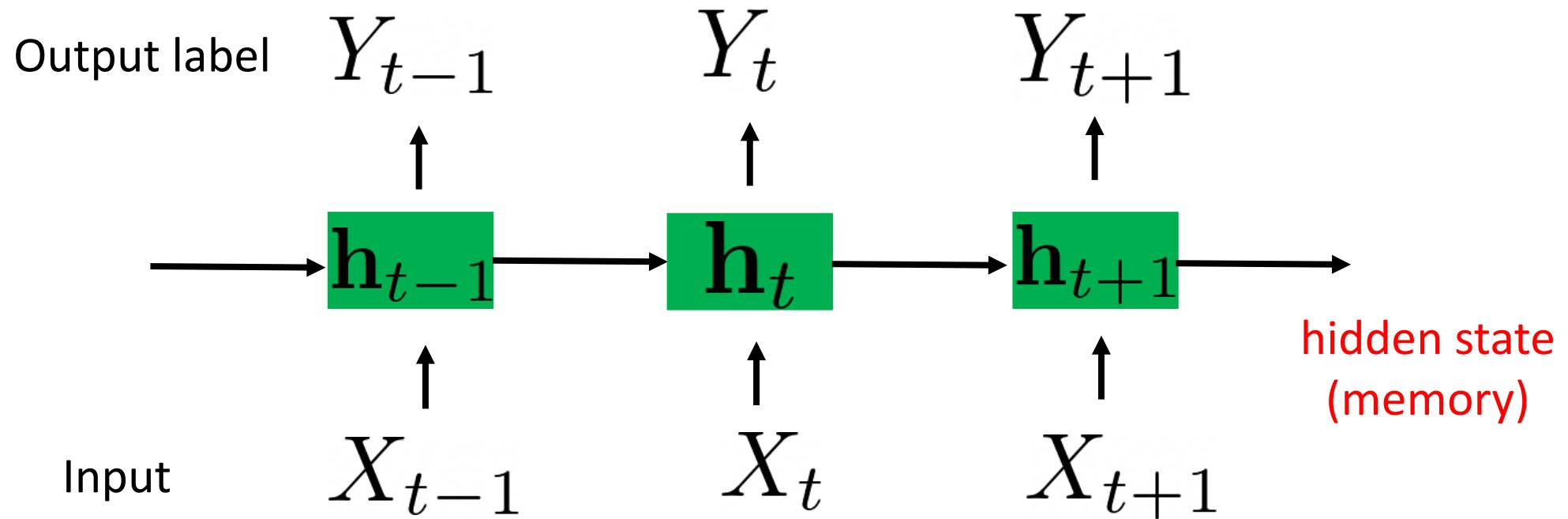
# Transformers

CS 6384 Computer Vision

Professor Yu Xiang

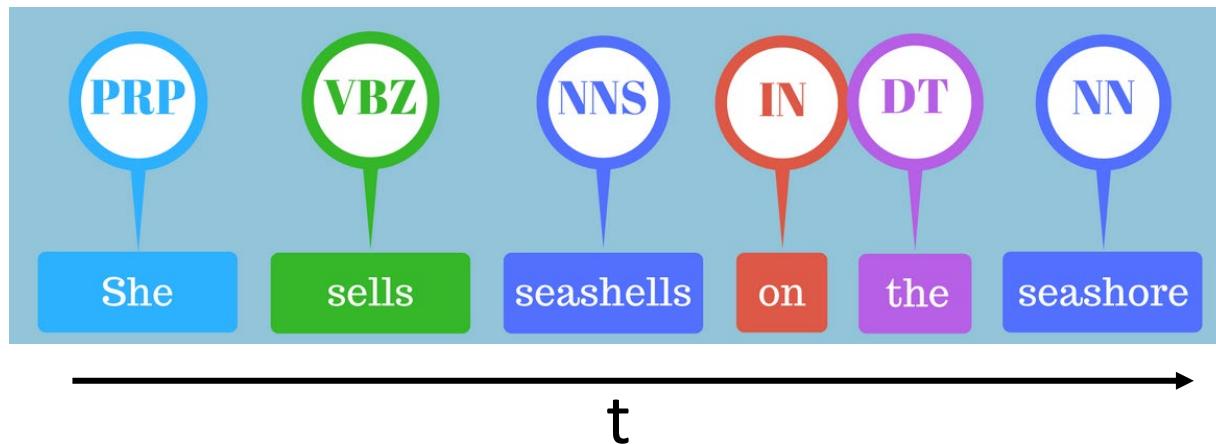
The University of Texas at Dallas

# Recurrent Neural Networks



# Sequential Data Labeling

- Part-of-speech tagging (grammatical tagging)



Tag	Meaning	English Examples
ADJ	adjective	<i>new, good, high, special, big, local</i>
ADP	adposition	<i>on, of, at, with, by, into, under</i>
ADV	adverb	<i>really, already, still, early, now</i>
CONJ	conjunction	<i>and, or, but, if, while, although</i>
DET	determiner, article	<i>the, a, some, most, every, no, which</i>
NOUN	noun	<i>year, home, costs, time, Africa</i>
NUM	numeral	<i>twenty-four, fourth, 1991, 14:24</i>
PRT	particle	<i>at, on, out, over per, that, up, with</i>
PRON	pronoun	<i>he, their, her, its, my, I, us</i>
VERB	verb	<i>is, say, told, given, playing, would</i>
.	punctuation marks	<i>., ; !</i>
X	other	<i>ersatz, esprit, dunno, gr8, univeristy</i>

# Machine Translation

- Translate a phrase from one language to another
  - E.g., English phrase to French phrase

Google  
Translation

The screenshot shows the Google Translate interface. At the top, there are two dropdown menus for selecting languages: "English" on the left and "French" on the right. A double-headed arrow icon is positioned between the language selection boxes. Below the language selection, the English input text is: "UT Dallas is a rising public research university in the heart of DFW." This text is followed by a small "x" icon. To the right of the "x" is the French output text: "UT Dallas est une université de recherche publique en plein essor au cœur de DFW." Below the English input, the word count "13 words" is displayed. Below the French output, the word count "15 words" is displayed.

English

French

UT Dallas is a rising public research university in the heart of DFW.

x

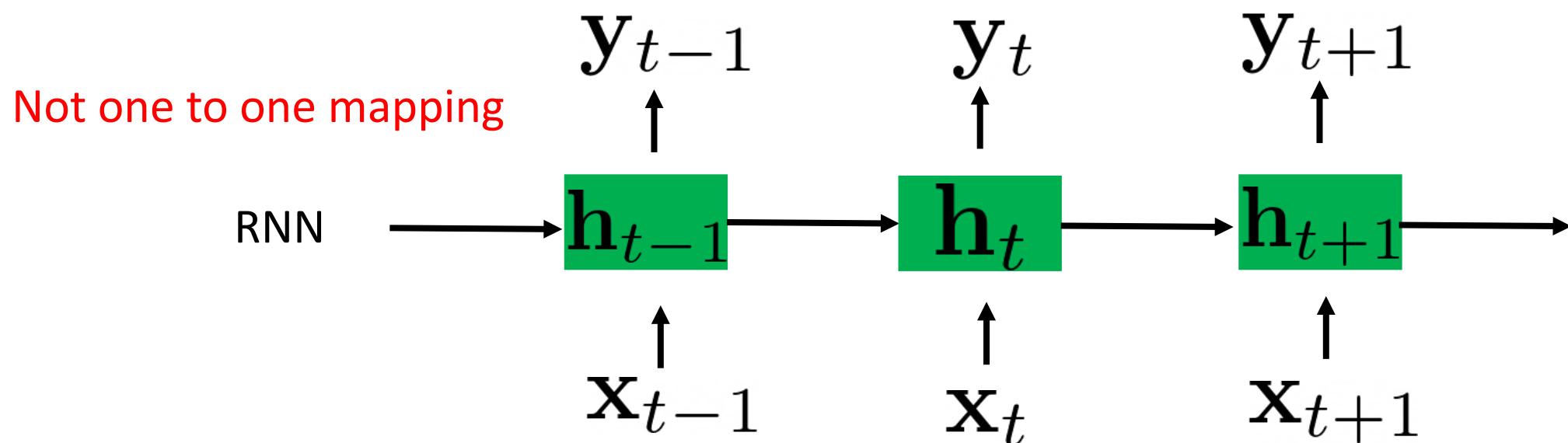
UT Dallas est une université de recherche publique en plein essor au cœur de DFW.

13 words

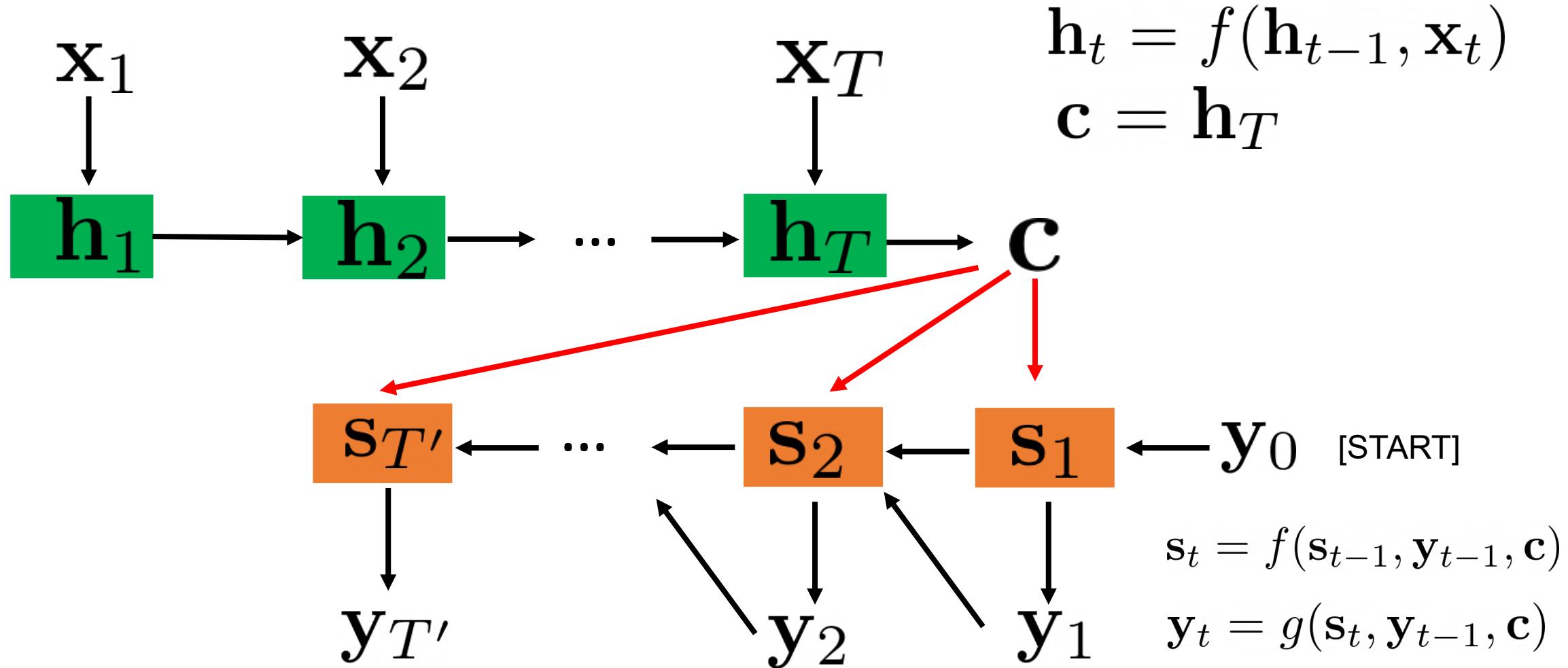
15 words

# Machine Translation

- Input  $\mathbf{x} = (\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_T)$
- Output  $\mathbf{y} = (\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_{T'})$   $T \neq T'$



# RNN Encoder-Decoder

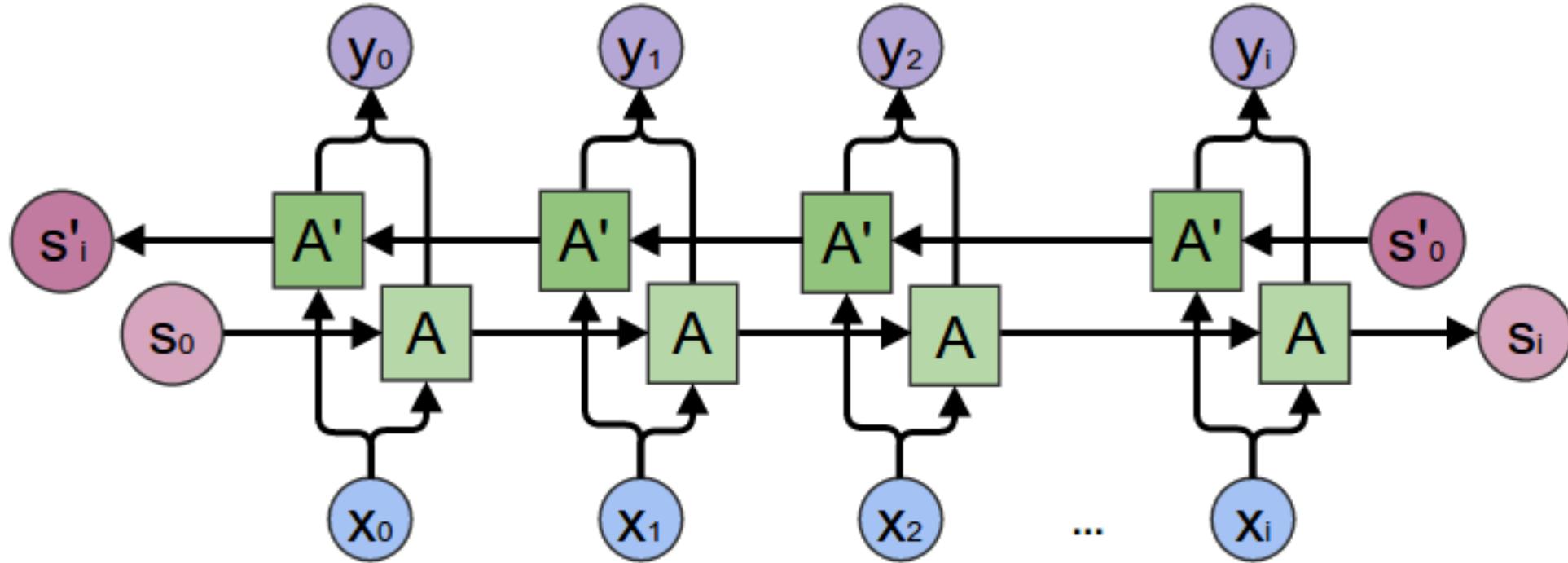


Learning Phrase Representations using RNN Encoder–Decoder for Statistical Machine Translation. Cho et al., EMNLP’14

# RNN Encoder-Decoder

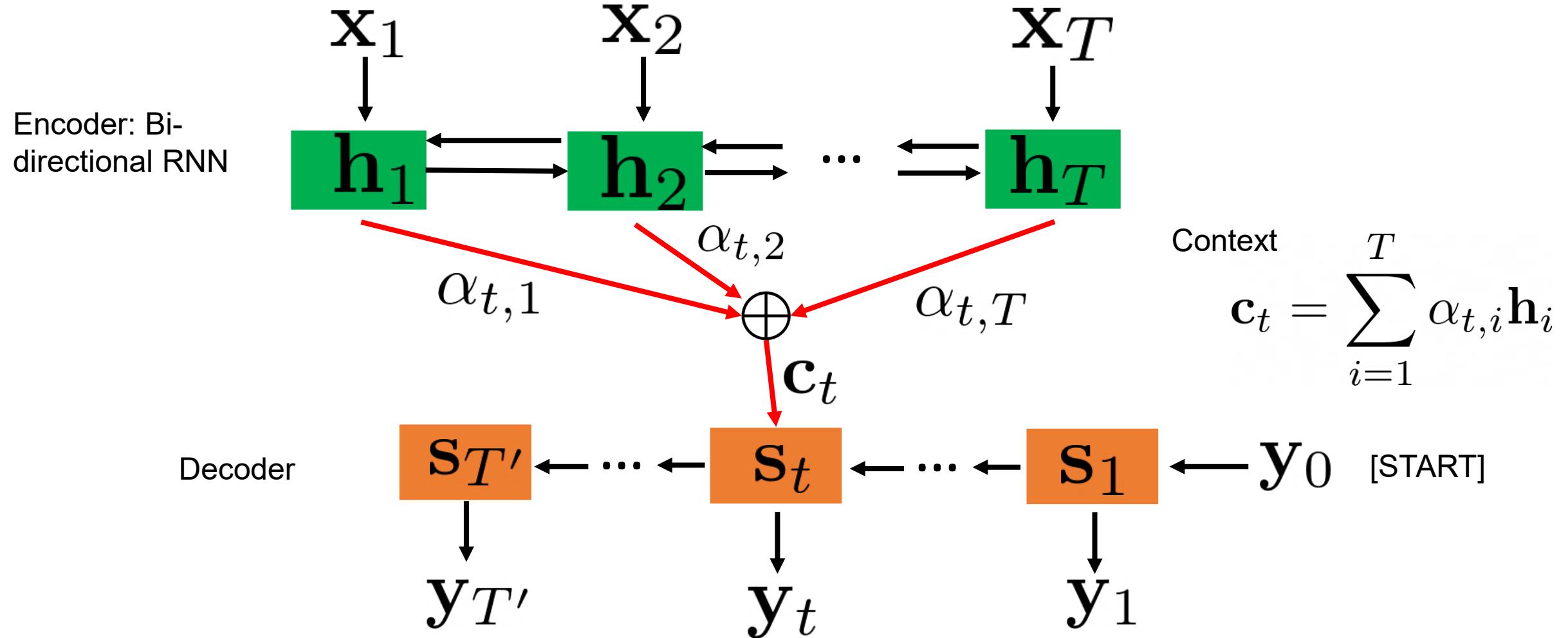
- Encoder       $\mathbf{h}_t = f(\mathbf{h}_{t-1}, \mathbf{x}_t)$        $\mathbf{c} = \mathbf{h}_T$
- Decoder       $\mathbf{s}_t = f(\mathbf{s}_{t-1}, \mathbf{y}_{t-1}, \mathbf{c})$      $\mathbf{y}_t = g(\mathbf{s}_t, \mathbf{y}_{t-1}, \mathbf{c})$
- Pros
  - Can deal with different input size and output size
- Cons
  - The fixed length embedding  $\mathbf{C}$  cannot handle long sentence well (long-distance dependencies)

# Bi-directional RNNs



<https://blog.paperspace.com/bidirectional-rnn-keras/>

# RNN Encoder-Decoder with Attentions



NEURAL MACHINE TRANSLATION BY JOINTLY LEARNING TO ALIGN AND TRANSLATE. Bahdanau et al., ICLR'15

# RNN Encoder-Decoder with Attentions

- Alignment model (attention)

$$e_{ij} = a(\mathbf{s}_{i-1}, \mathbf{h}_j)$$

Feedforward network      Hidden state of output      Hidden state of input

Softmax

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_x} \exp(e_{ik})}$$

Context

$$\mathbf{c}_i = \sum_{j=1}^T \alpha_{ij} \mathbf{h}_j$$

Attending to different parts of the input

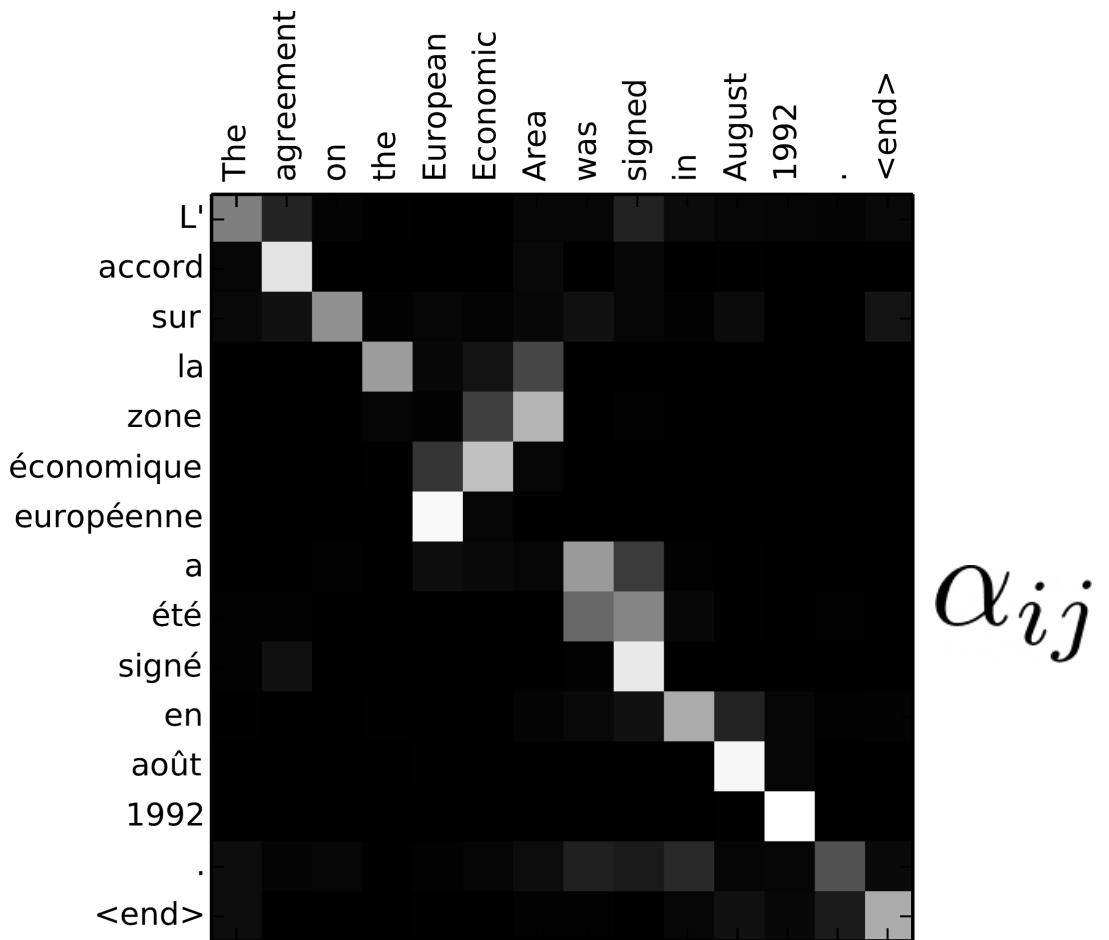
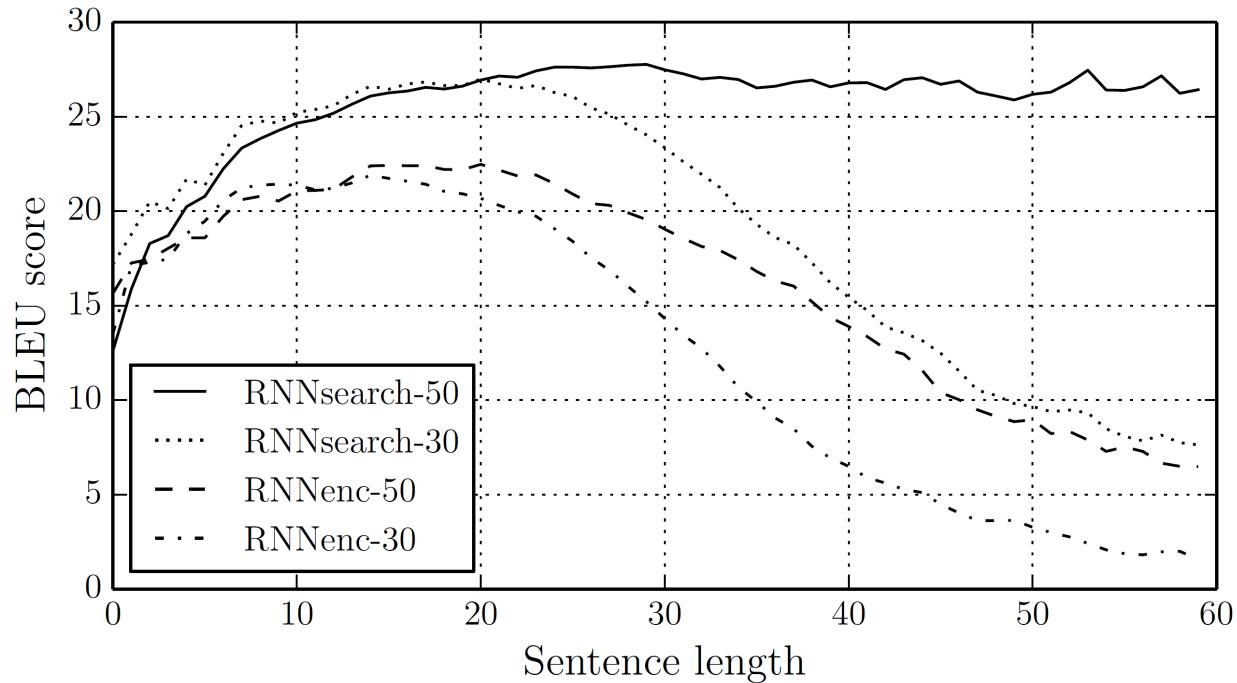
$$\mathbf{s}_i = f(\mathbf{s}_{i-1}, \mathbf{y}_{i-1}, \mathbf{c}_i)$$

Output

$$\mathbf{y}_i = g(\mathbf{s}_i, \mathbf{y}_{i-1}, \mathbf{c}_i)$$

NEURAL MACHINE TRANSLATION BY JOINTLY LEARNING TO ALIGN AND TRANSLATE. Bahdanau et al., ICLR'15

# RNN Encoder-Decoder with Attentions



NEURAL MACHINE TRANSLATION BY JOINTLY LEARNING TO ALIGN AND TRANSLATE. Bahdanau et al., ICLR'15

# Limitations of RNNs

- The sequential computation of hidden states precludes parallelization within training examples

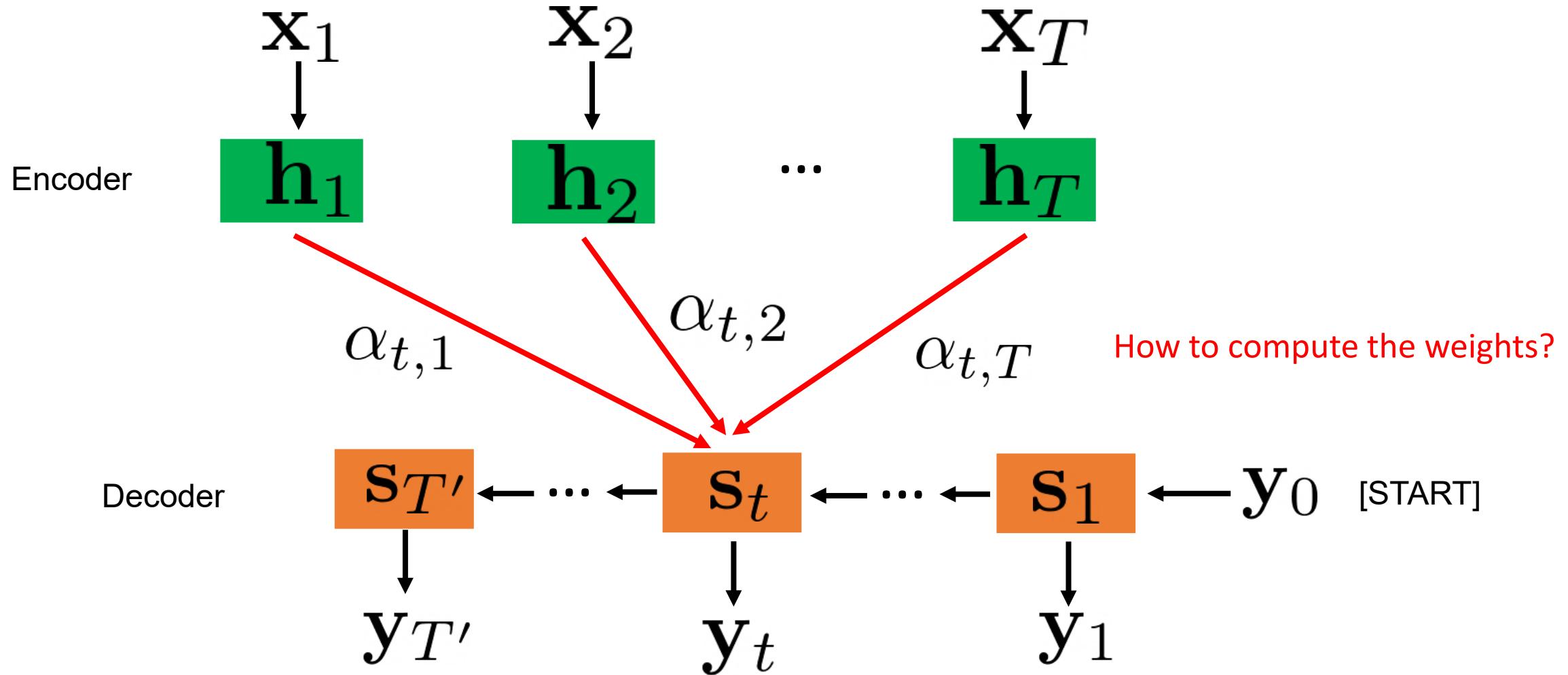


- Cannot handle long sequences well
  - Truncated back-propagation due to memory limits
  - Difficult to capture dependencies in long distances

# Transformer

- No recurrence
- Attention only
  - Global dependencies between input and output
  - More parallelization compared to RNNs

# Transformer: Encoder-Decoder with Attention



# Transformer: Attention

- Input
  - (key, value) pairs (think about python dictionary)
  - A query
- Output
  - Compare the query to all the keys to compute weights
  - Weighted sum of the values

Attention is all you need. Vaswani et al., NeurIPS'17

# Transformer: Attention

- Scaled Dot-Product Attention

- Keys  $K : m \times d_k$

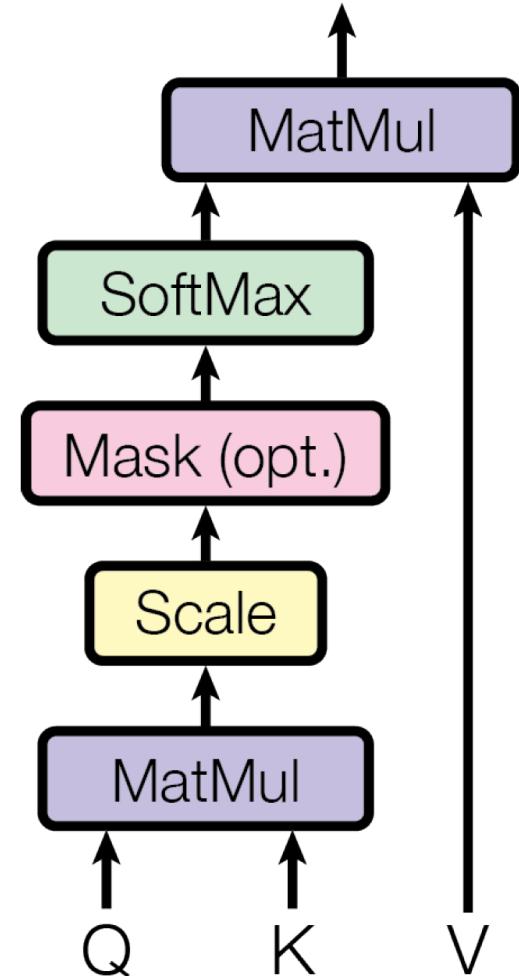
- Values  $V : m \times d_v$

- n queries  $Q : n \times d_k$

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

$n \times d_v$       ↑  
                  weights

Attention is all you need. Vaswani et al., NeurIPS'17



# Transformer: Attention

- Multi-Head Attention
    - Suppose the latent vector is with dimension  $d_{\text{model}}$

The diagram illustrates the Multi-Head Attention mechanism. At the top, two input vectors are shown:  $m \times d_{\text{model}}$  and  $d_{\text{model}} \times d_k$ . Red arrows point from these inputs to the first term in the equation below, labeled "Projection". The equation is:

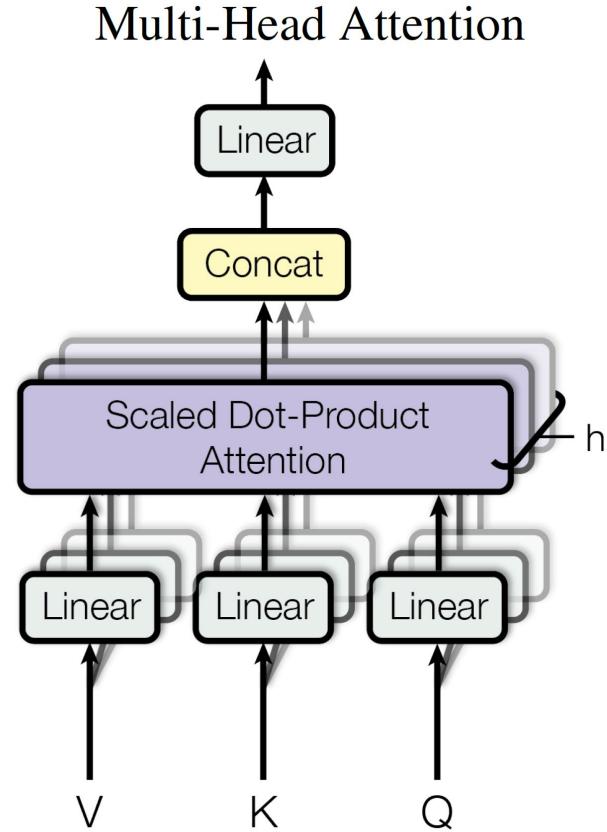
$$\text{head}_i = \text{Attention}(QW_i^Q, KW_i^K, VW_i^V)$$

Below the equation, the output is given as  $n \times d_v$ . To the left of this output, there are two input vectors:  $n \times d_{\text{model}}$  and  $d_{\text{model}} \times d_k$ . Red arrows point from these inputs to the second term in the equation. To the right of the output, there are two input vectors:  $m \times d_{\text{model}}$  and  $d_{\text{model}} \times d_v$ . Red arrows point from these inputs to the third term in the equation.

At the bottom, the final equation is:

$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$$

The inputs to this equation are  $n \times d_{\text{model}}$ ,  $n \times hd_v$ , and  $hd_v \times d_{\text{model}}$ .



Attention is all you need. Vaswani et al., NeurIPS'17

# Transformer: Encoder

- Self-attention
  - Keys, values and queries are all the same
  - $n$  input tokens  $n \times d_{\text{model}}$

MultiHead( $Q, K, V$ )

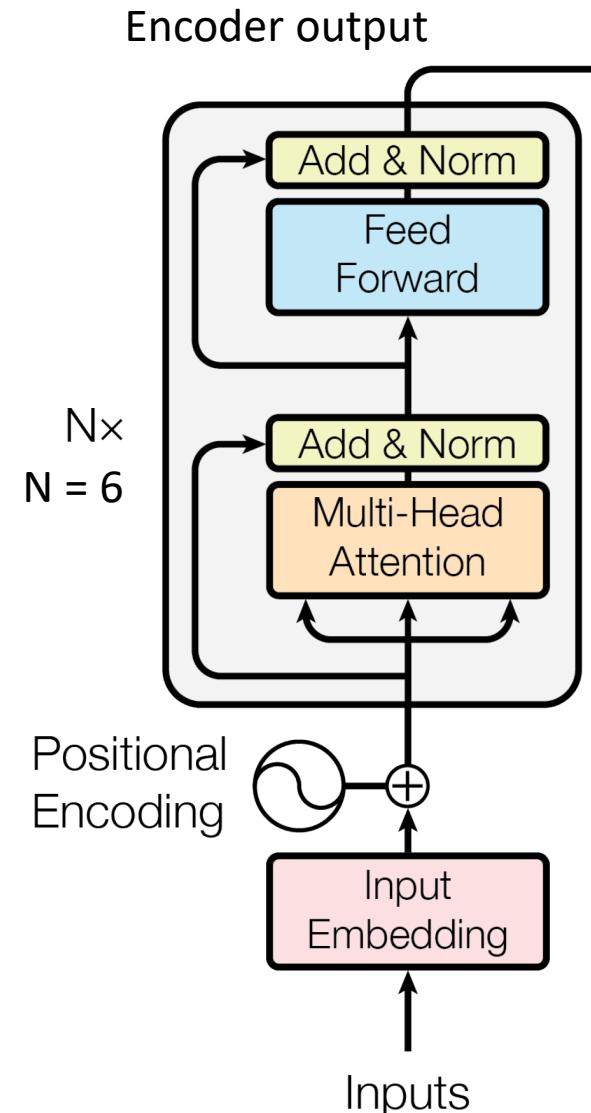
- Residual connection

LayerNorm( $x + \text{Sublayer}(x)$ )

- Layer normalization

$$\mu^l = \frac{1}{H} \sum_{i=1}^H a_i^l \quad \sigma^l = \sqrt{\frac{1}{H} \sum_{i=1}^H (a_i^l - \mu^l)^2} \quad \frac{a^l - \mu^l}{\sigma^l}$$

Attention is all you need. Vaswani et al., NeurIPS'17



# Transformer: Encoder

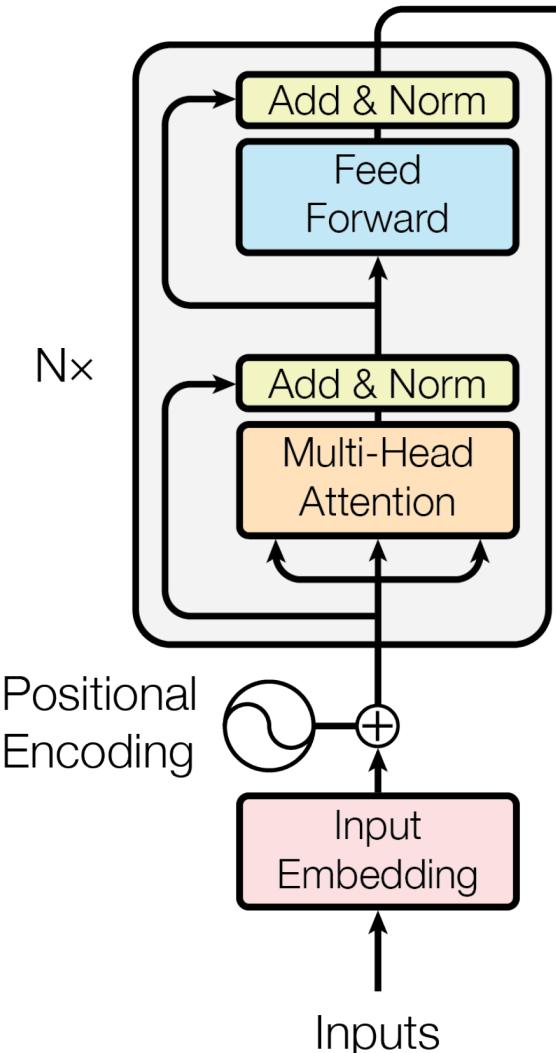
- Feed Forward Network

$$\text{FFN}(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

- Positional encoding
  - Make use of the order of the sequence
  - With dimension  $d_{\text{model}}$  for each input

$$PE_{(pos,2i)} = \sin(pos/10000^{2i/d_{\text{model}}})$$

$$PE_{(pos,2i+1)} = \cos(pos/10000^{2i/d_{\text{model}}})$$



Attention is all you need. Vaswani et al., NeurIPS'17

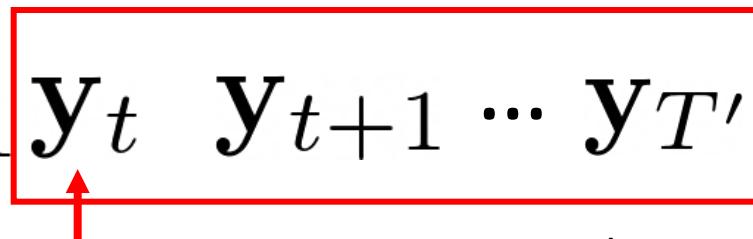
# Transformer: Decoder

- Output embedding

[START]

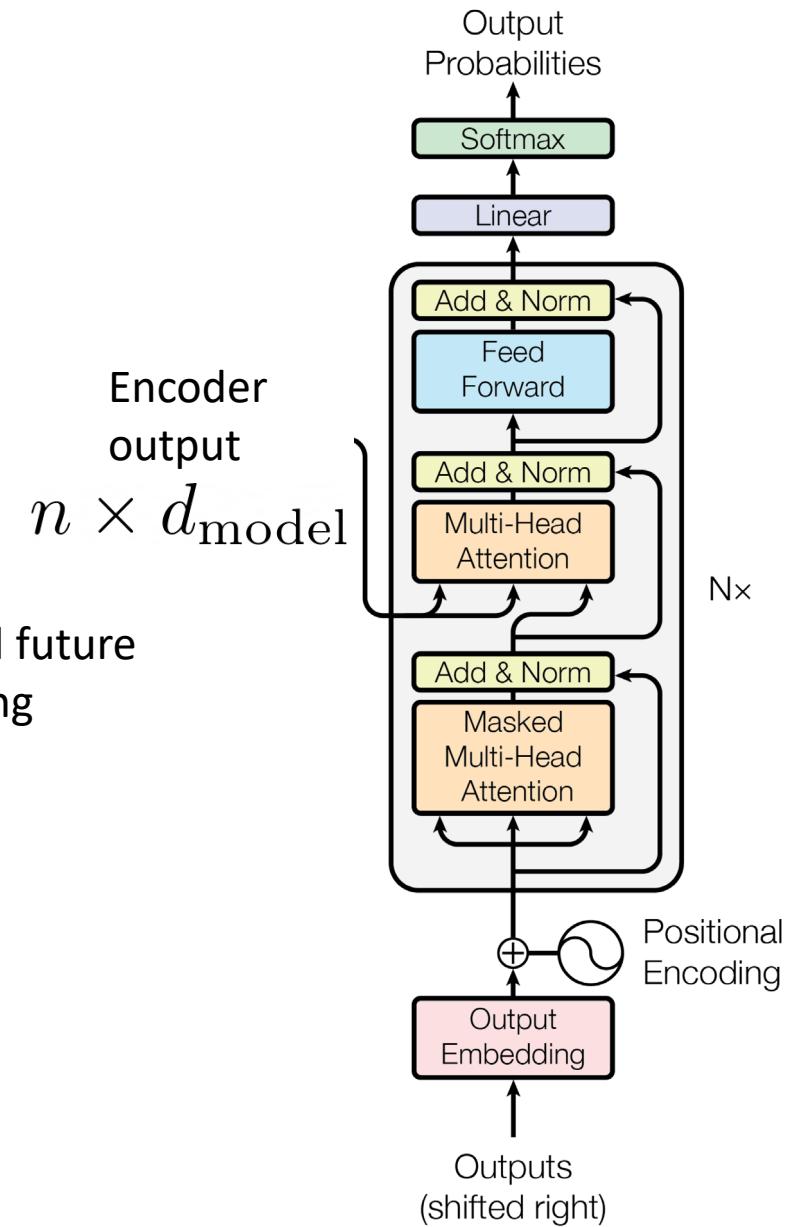
$\mathbf{y}_0 \ \mathbf{y}_1 \dots \mathbf{y}_{t-1} \boxed{\mathbf{y}_t \ \mathbf{y}_{t+1} \dots \mathbf{y}_{T'}}$

Shifted right by one position and insert the start token



Mask out current and future outputs during training (setting to  $-\infty$ )

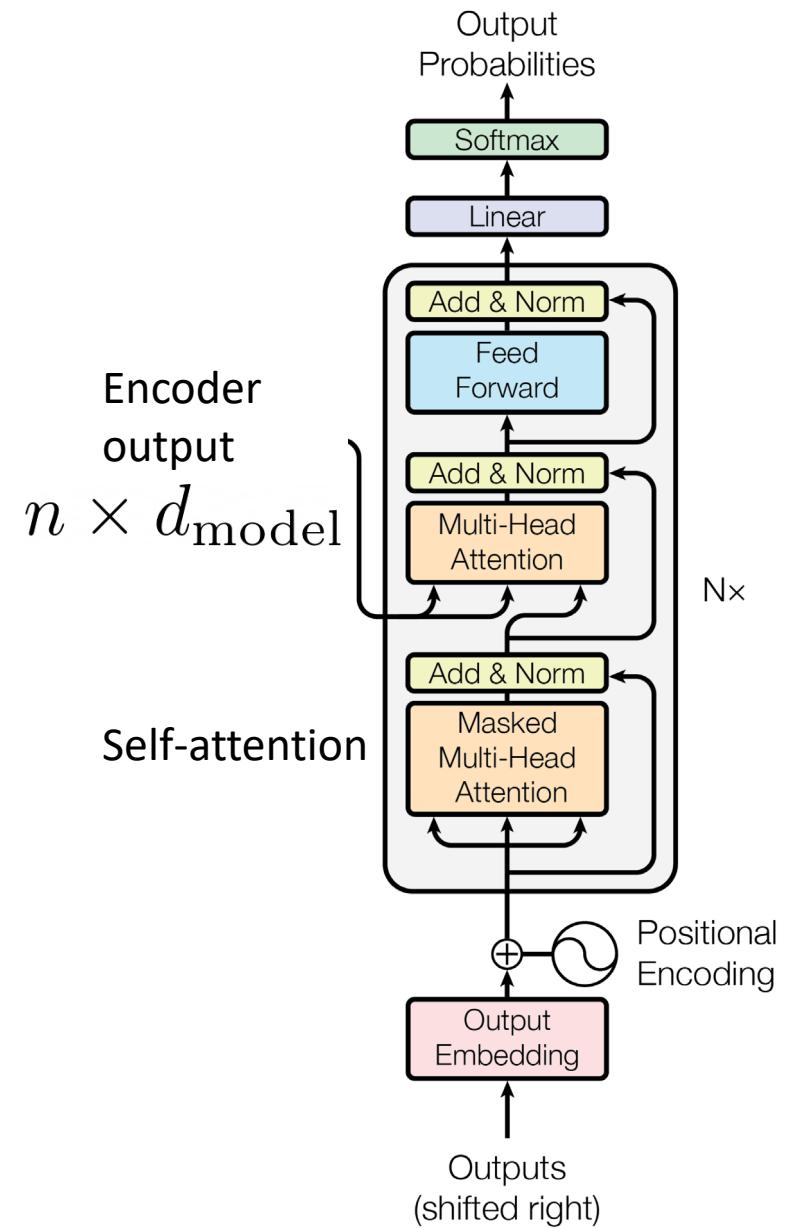
Encoder output  
 $n \times d_{\text{model}}$



Attention is all you need. Vaswani et al., NeurIPS'17

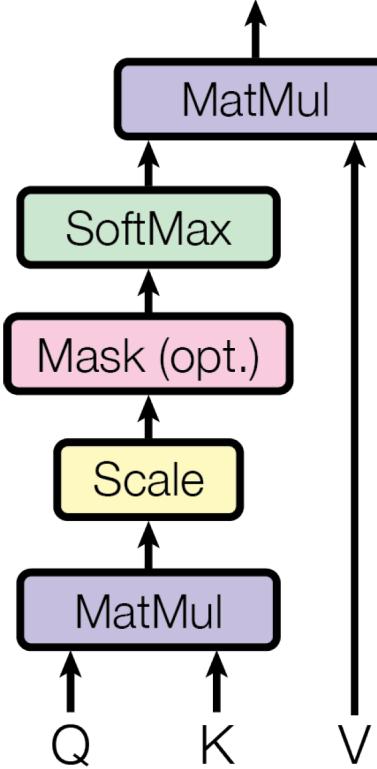
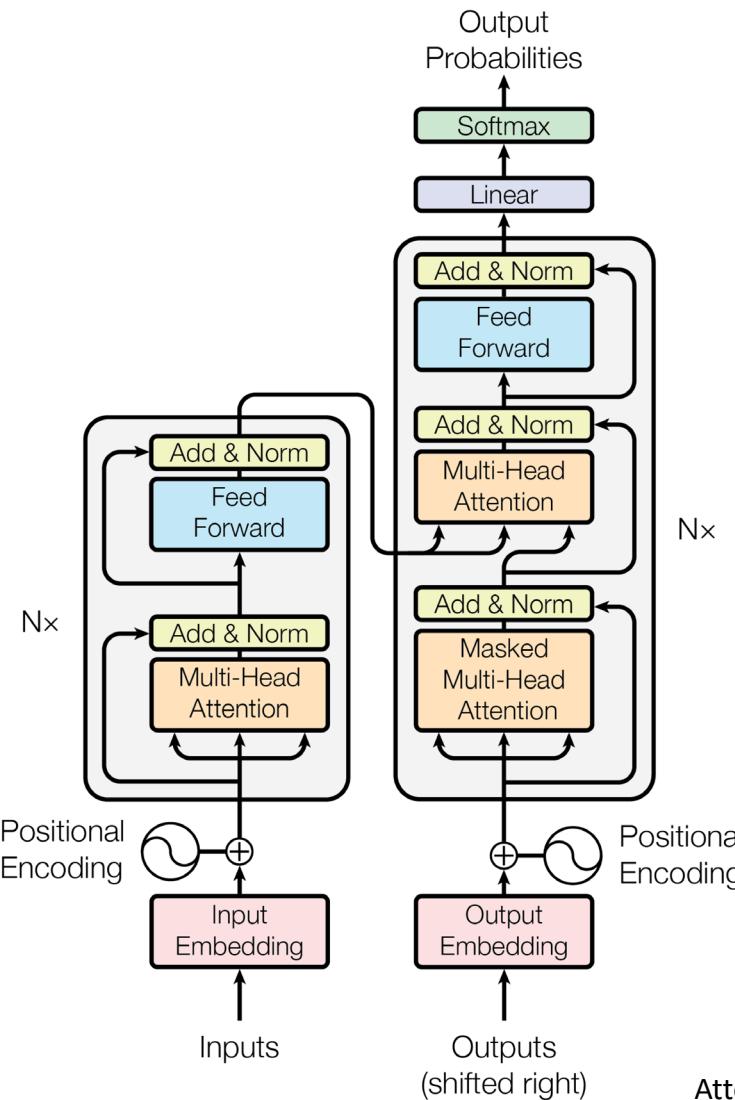
# Transformer: Decoder

- Encoder-decoder attention
  - (Key, value): encoder output
  - Queries: decoder output
  - Every position in the decoder attends to all positions in the input sequence
- Softmax
  - Predicts next-token probabilities

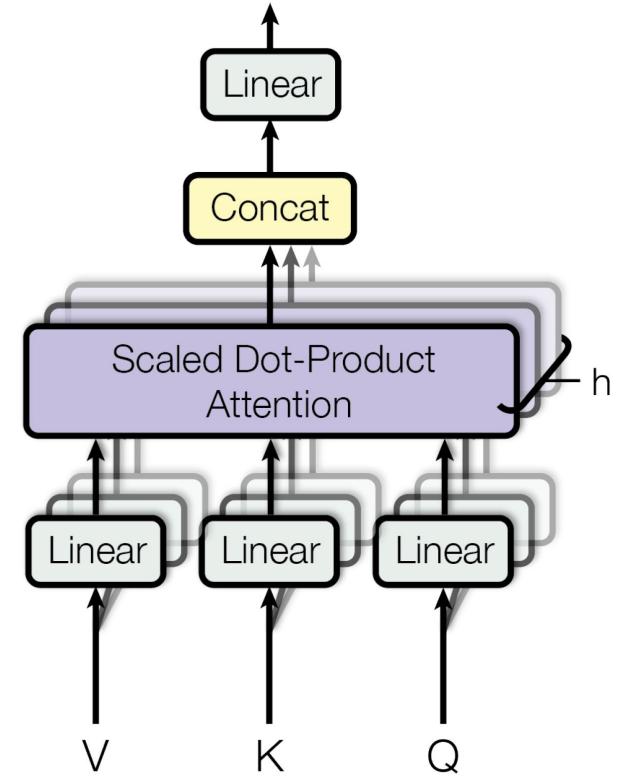


Attention is all you need. Vaswani et al., NeurIPS'17

# Transformer

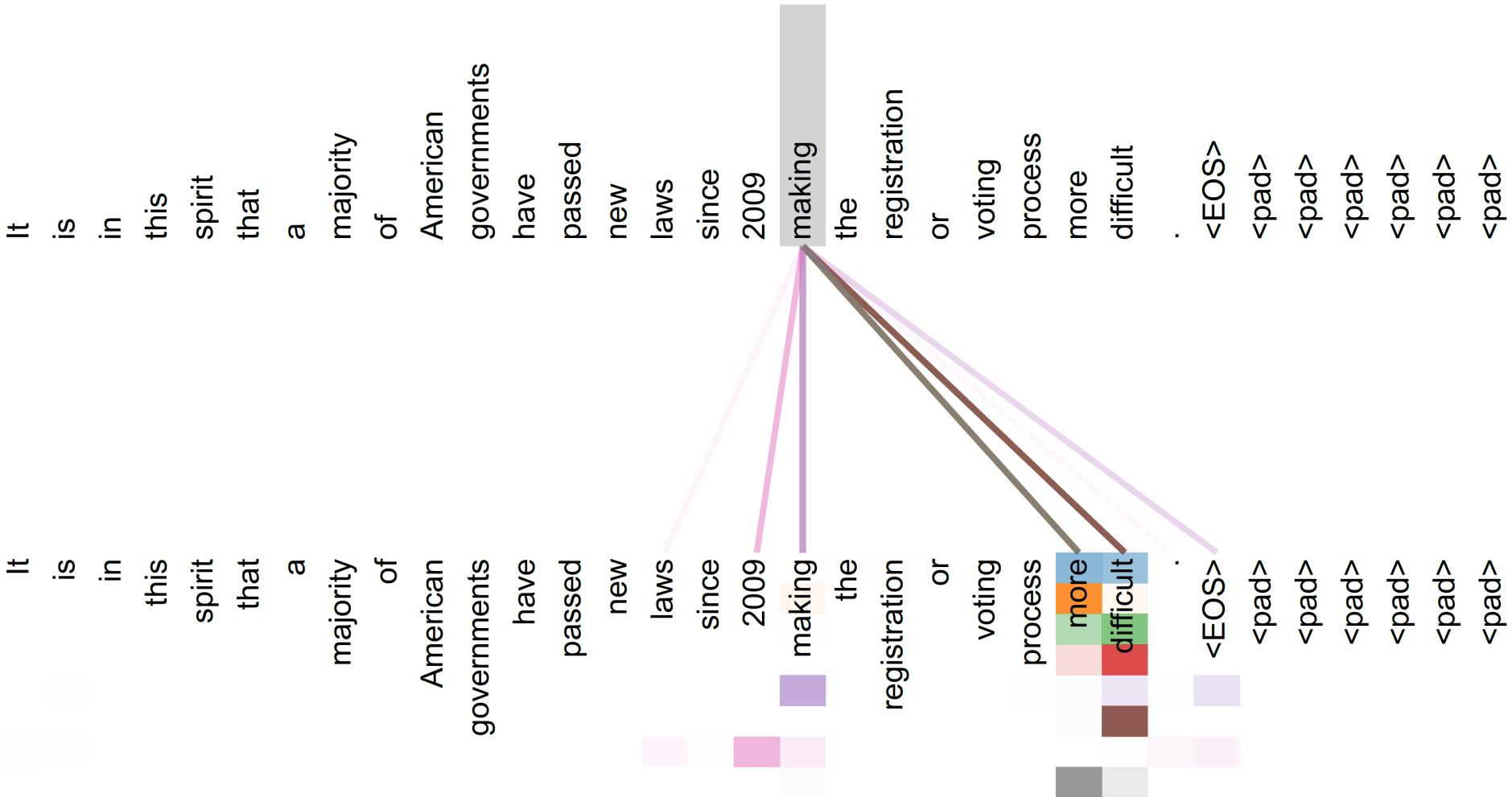


Multi-Head Attention



Attention is all you need. Vaswani et al., NeurIPS'17

# Transformer: Attention Visualization



Attention is all you need. Vaswani et al., NeurIPS'17

# Vision Transformer

- Convert an image into a sequence of “token”



- Input embedding by linear projection

$$\mathbf{x}_p^1 \mathbf{E}; \mathbf{x}_p^2 \mathbf{E}; \dots; \mathbf{x}_p^N \mathbf{E} \quad \mathbf{E} \in \mathbb{R}^{(P^2 \cdot C) \times D}$$

$d_{\text{model}}$

AN IMAGE IS WORTH 16x16 WORDS: TRANSFORMERS FOR IMAGE RECOGNITION AT SCALE. Dosovitskiy et al., ICLR'21

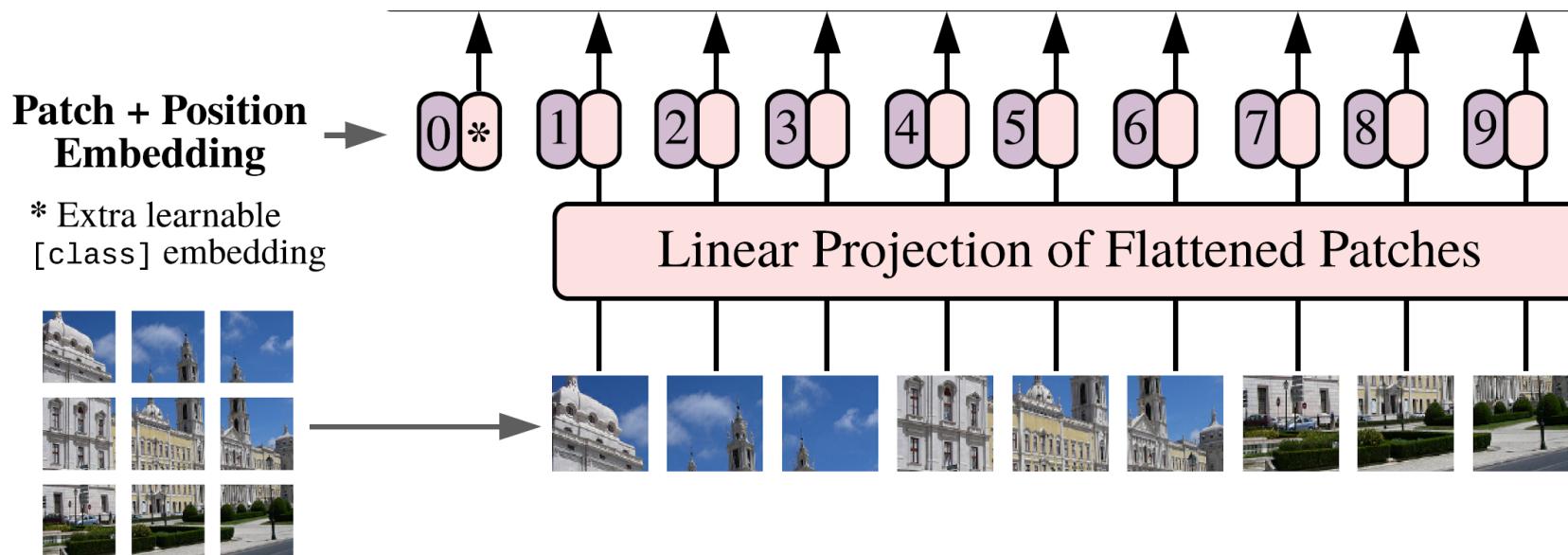
# Vision Transformer

- Adding positional embedding
- Prepend a learnable embedding

$$\mathbf{z}_0^0$$
$$\mathbf{z}_L^0$$

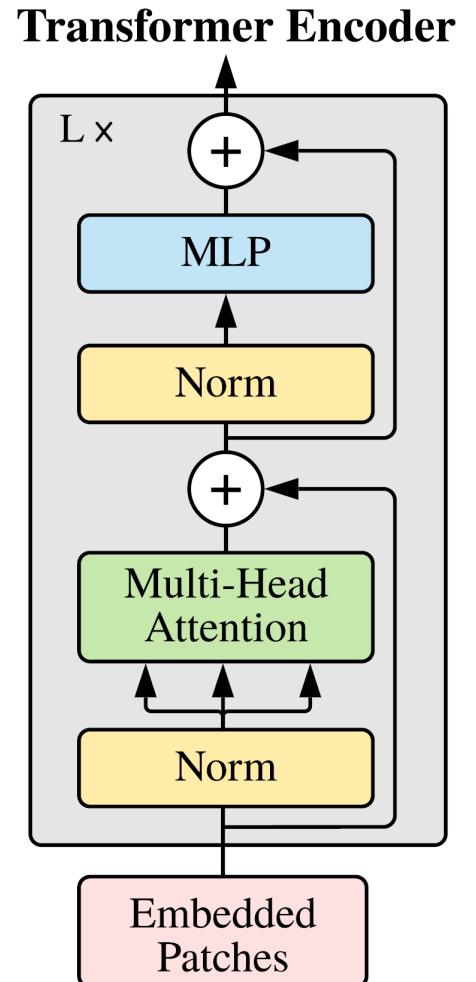
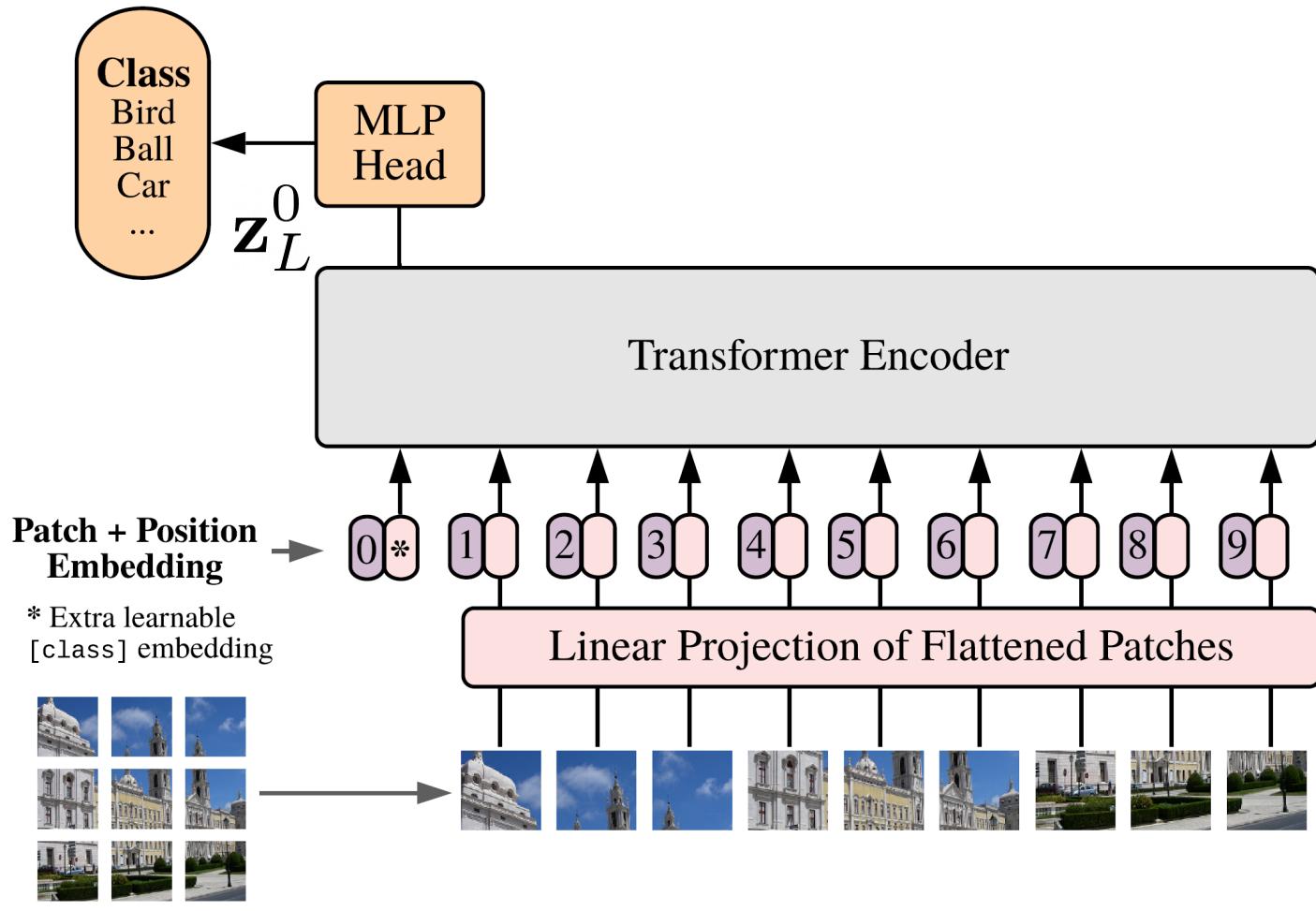
Will be used as the  
image representation

After L attention layers



AN IMAGE IS WORTH 16x16 WORDS: TRANSFORMERS FOR IMAGE RECOGNITION AT SCALE. Dosovitskiy et al., ICLR'21

# Vision Transformer



AN IMAGE IS WORTH 16x16 WORDS: TRANSFORMERS FOR IMAGE RECOGNITION AT SCALE. Dosovitskiy et al., ICLR'21

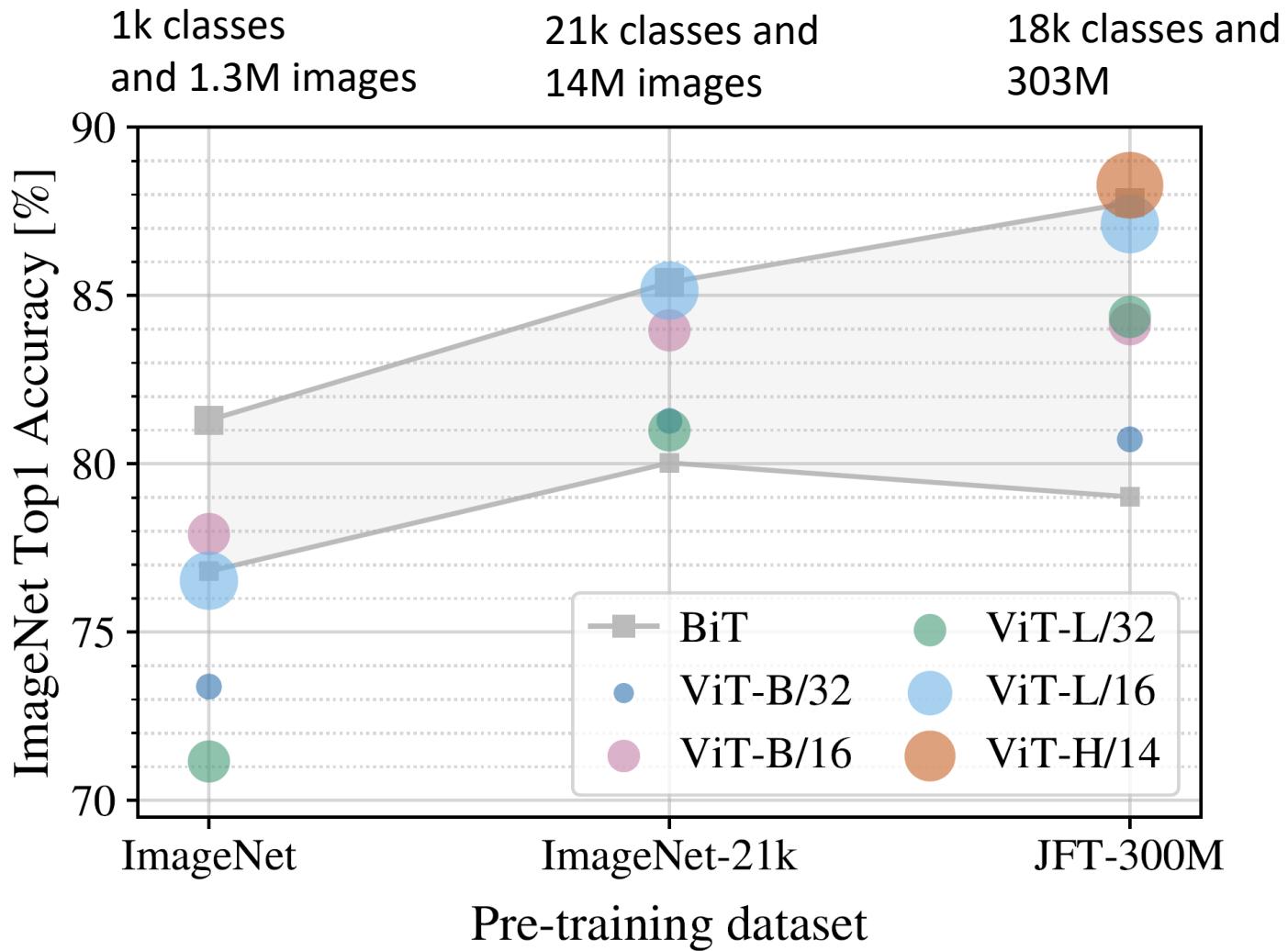
# Vision Transformer

- Pretrain on a large-scale dataset
- Fine-tune on different tasks

Model	Layers	Hidden size $D$	MLP size	Heads	Params
ViT-Base	12	768	3072	12	86M
ViT-Large	24	1024	4096	16	307M
ViT-Huge	32	1280	5120	16	632M

AN IMAGE IS WORTH 16x16 WORDS: TRANSFORMERS FOR IMAGE RECOGNITION AT SCALE. Dosovitskiy et al., ICLR'21

# Vision Transformer



Big Transfer (BiT)

- ResNets-based transfer

Vision transformer works better when pre-trained on large-scale dataset

# Summary

- Transformers
  - Can capture long-distance dependencies (global attention)
  - Computationally efficient, more parallelizable
- Vision transformers
  - Works better when pre-trained on large scale datasets (e.g., 300M images)

# Further Reading

- Learning Phrase Representations using RNN Encoder-Decoder for Statistical Machine Translation <https://arxiv.org/abs/1406.1078>
- Neural Machine Translation by Jointly Learning to Align and Translate <https://arxiv.org/abs/1409.0473>
- Transformer: Attention is all you need <https://arxiv.org/abs/1706.03762>
- Vision transformer: An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale <https://arxiv.org/abs/2010.11929>