

Counting I

CS 70, July, 14, 2020

would like to answer questions like:

How many outcomes possible for k coin tosses?

How many Poker hands?

How many handshakes for n People?

How many diagonals in a convex polygon?

How many 10 digits number?

How many 10 digits numbers without repetition?

Today's Topics:

- 1) First Rule of counting
- 2) Second Rule of counting
- 3) Sum Rule
- 4) Combinatorial Proofs

How many 3-bit string? or ...

How many different sequences of three bits from $\{0,1\}$?

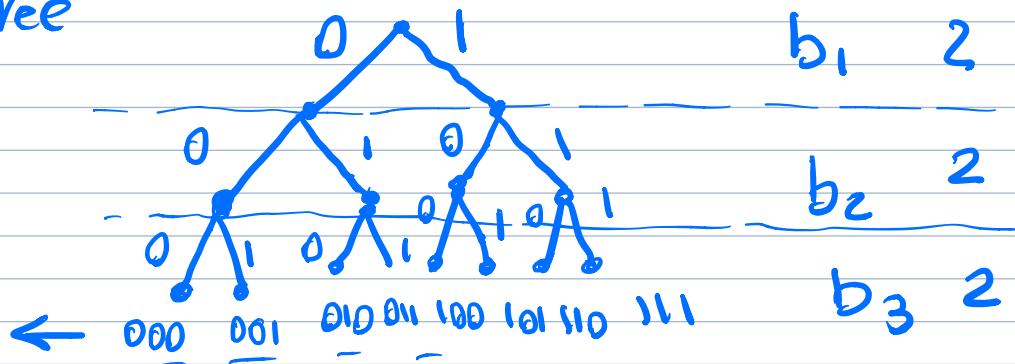
It's a sequence of numbers: b_1 b_2 b_3

use a binary tree

$$\Rightarrow 2 \times 2 \times 2 = 8$$

8 3-bit string

8 leaves



1) First Rule of Counting: Product Rule

For an object that can be made by a sequence of choices, such that there are n_1 choices, then n_2 choices ... , then n_k steps

The number of objects to make is: $\underline{n_1 \times n_2 \times \dots \times n_k}$

Some Examples:

How many outcomes possible for k coin tosses?
outcomes: heads or tails

so 2 ways for the first choice, 2 way for second ... $\Rightarrow \underbrace{2 \times 2 \times \dots \times 2}_k = 2^k$

How many 10 digits number? k digits



$$\text{First choice } 10 \left. \begin{array}{l} \\ \vdots \\ \text{K}^{\text{th}} \text{ choice } 10 \end{array} \right\} \Rightarrow 10 \times 10 \times \dots \times 10 = 10^k .$$

How many n digit base m numbers?

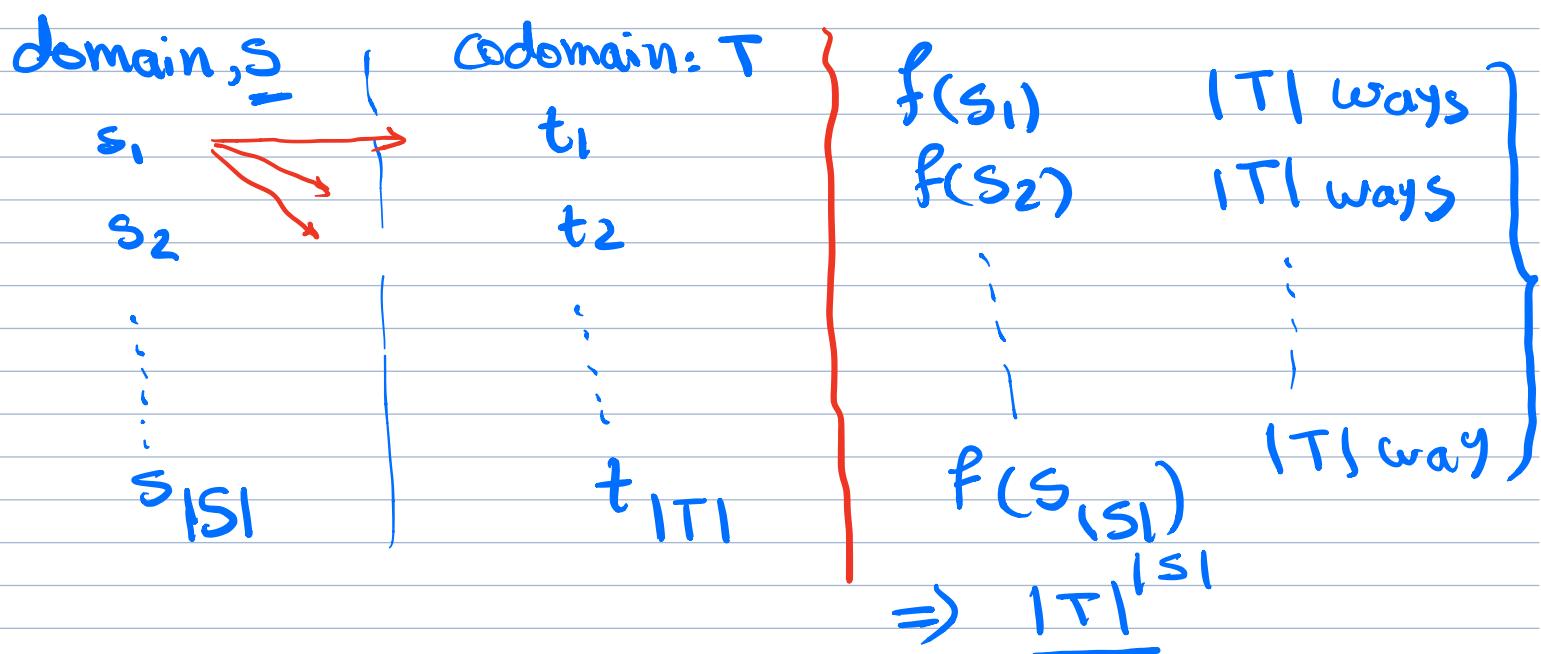
$$\left. \begin{array}{l} \text{First digit } m \\ \text{Second } \dots m \\ \vdots \\ n^{\text{th}} \text{ digit } m \end{array} \right\} \Rightarrow \underbrace{m \times m \times \dots \times m}_{n} = m^n.$$

what if we assume 08 is not a two digit number?

n digit base m number?

$$\left. \begin{array}{l} \text{First digit } m-1 \\ \text{Second digit } m \\ \vdots \\ n^{\text{th}} \text{ digit } m \end{array} \right\} = (m-1) \times \underbrace{m \times \dots \times m}_{n-1} = (m-1) m^{n-1}$$

Functions: How many functions f mapping S to T .



Polynomials: How many Polynomials of degree $d = k$ modulo p ?

$$P(x) = a_k x^k + a_{k-1} x^{k-1} + \dots + a_0 x^0$$

$$\left. \begin{array}{l} a_k \rightarrow p \\ a_{k-1} \rightarrow p \\ \vdots \\ a_0 \rightarrow p \end{array} \right\} \Rightarrow \underbrace{p \times p \times \dots \times p}_{k+1} = p^{k+1}$$

How many non-zero Polynomials?
 $p^{k+1} - 1$.

Permutations: How many 10 digits numbers without repeating a digit?

$$\left. \begin{array}{l} \text{first digit } 10 \\ \text{second digit } 9 \\ \vdots \\ \text{tenth digit } 1 \end{array} \right\} \Rightarrow \underbrace{10 \times 9 \times 8 \times \dots \times 1}_{10!} = 10!$$

factorial

How many different samples of k from n numbers without replacement.

$$\left. \begin{array}{l} \text{first choice } n \\ \text{second choice } n-1 \\ \vdots \\ \text{k-th choice } (n-k+1) \\ \hookdownarrow n-(k-1) \end{array} \right\} \Rightarrow \frac{n \times (n-1) \times \dots \times (n-k+1)}{(n-k) \times (n-k-1) \times \dots \times 1} = \frac{n!}{(n-k)!}$$

How many ordering of n objects are there?

• Permutations of n objects

$$\left. \begin{array}{l} \text{First choice } n \\ \text{Second or } (n-1) \\ \vdots \\ n^{\text{th}} \text{ choice } 1 \end{array} \right\} \Rightarrow n \times (n-1) \times \dots \times 1 = n!$$

How many one-to-one function from S to S

$$\left. \begin{array}{l} f(s_1) \rightarrow |S| \\ f(s_2) \rightarrow |S|-1 \\ \vdots \\ f(s_{|S|}) \rightarrow 1 \end{array} \right\} = |S| \times (|S|-1) \times \dots \times 1 = |S|!$$

How many Poker hands? (5 cards from 52)

first 52
second 51
⋮
fifth 48

$$\left. \begin{array}{l} \\ \\ \\ \end{array} \right\} \frac{52 \times \dots \times 48 \times 47 \times \dots \times 1}{47 \times \dots \times 1} = \frac{52!}{47!}$$

Are hands $\{\underline{A}, \underline{K}, \underline{Q}, \underline{J}, \underline{10}\}$ and $\{\underline{K}, \underline{J}, \underline{Q}, \underline{10}, \underline{A}\}$ the same? Yes \rightarrow order doesn't matter

So $\frac{52!}{47!}$ overcounts the hands

Any ordering or permutation of $\{\underline{A}, \underline{K}, \underline{Q}, \underline{J}, \underline{10}\}$ is the same hand.

The number of ordering for $\{\underline{A}, \underline{K}, \underline{Q}, \underline{J}, \underline{10}\} = 5!$

For any possible, $\frac{52!}{47!}$, we count that hand $5!$ times

Divide by $5! \Rightarrow \frac{52!}{47!5!}$

2) Second Rule of Counting

If the order doesn't matter count ordered objects and then divid by number of orderings.

Going back to Poker hands:

How many poker hands? (5 cards from 52)

Equivalent to: choose 5 cards from 52 cards (order doesn't matter)

Examples:

choose 2 out of n: $\frac{n \times (n-1)}{2} = \frac{n!}{2! (n-2)!}$



choose 3 out of n: $\frac{n \times (n-1) \times (n-2)}{3!} = \frac{n!}{3! (n-3)!}$



$$3 \times 2 \times 1 = 3!$$

choose k out of n: $\frac{n!}{k! (n-k)!} = \binom{n}{k}$

$\binom{n}{k}$: "n choose k"

To Summarize:

First rule: $n_1 \times n_2 \times \dots \times n_k$. Product rule

Second rule: when order doesn't matter divide...

More examples:

Ordering of ANAGRAM?

Let's assume A's are distinguishable: $\frac{7!}{3!}$

$$\text{ANAGRAM}, \text{ANAGRAM}, \dots$$

↑ 2 3 2 1 3

$\underbrace{3 \times 2 \times 1}_{\Rightarrow 3!} \Rightarrow \frac{7!}{3!}$

How many ordering of DOG? $3 \times 2 \times 1 = 3!$

CAT

How many ordering of MISSISSIPPI

Assume letters are different: $11!$

4 S's can be permuted $4!$ times $\Rightarrow \frac{11!}{4!}$

4 I's can be permuted $4!$ times $\Rightarrow \frac{11!}{4! 4!}$

2 P's can be permuted $2!$ times $\Rightarrow \frac{11!}{4! 4! 2!}$

3) Sum Rule

Example: Assume we have two indistinguishable jokers in 54 card deck.

How many 5 card hands?

Sum rule: can sum over disjoint Possibilities

$$3 \text{ disjoint Possibilities} \left\{ \begin{array}{l} \text{no joker or 1 joker or 2 jokers} \\ \binom{52}{5} + \binom{52}{4} + \binom{52}{3} \end{array} \right.$$

Assume we have two distinguishable jokers in 54 card deck.

How many 5 card hands?

$$\binom{54}{5}$$

3 disjoint Possibilities = { no joker | 1 joker | 2 jokers
 $\binom{52}{5} + 2 \binom{52}{4} + \binom{52}{3}$

Can we count differently?

$$\binom{54}{5} = \binom{52}{5} + 2 \binom{52}{4} + \binom{52}{3}$$

why is this correct? Because we showed

that we can count the number of hands by two different approach.

This kind of Proof is called combinatorial Proof.

4) Combinatorial Proofs:

Theorem: $\binom{n}{k} = \binom{n}{n-k}$

Proof: Come up with a counting story for each side of the equation.

LHS: How many subsets of size k $\binom{n}{k}$

RHS: How many subsets that does not include $n-k$ of the object.

Choose a subset of size $n-k$ objects to not take.

Theorem: $\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$

[Pascal's rule]

LHS: How many size k subsets of $n+1$ $\binom{n+1}{k}$

RHS: How many size k subset with and without first element

① choose first element

need to choose $k-1$ more from n

$$\binom{n}{k-1}$$

② don't choose first element

need to choose k from n

$$\binom{n}{k}$$

$$\binom{n}{k-1} + \binom{n}{k} = \binom{n+1}{k}$$

$$\text{Theorem: } \underbrace{\binom{n}{k}}_{\text{LHS: } n \text{ choose } k} = \underbrace{\binom{n-1}{k-1} + \binom{n-2}{k-1} + \dots + \binom{k-1}{k-1}}$$

LHS: n choose k

RHS: Consider size k subset with i^{th} element is the first element chosen.

$$\{1, 2, \dots, i, \underbrace{\dots}_{\text{choose } k-1 \text{ from } n-i}, \dots, n\}$$

choose $k-1$ from $n-i$

must choose $k-1$ elements from $n-i$

$$\text{remaining. } \Rightarrow \underbrace{\binom{n-i}{k-1}}_{\text{remaining}}.$$

Add them up to get the total number

$$\text{of size } k \Rightarrow \binom{n-1}{k-1} + \binom{n-2}{k-1} + \dots + \binom{k-1}{k-1}$$

$$\text{So } \binom{n}{k} = \sum_{i=1}^{n-(k-1)} \binom{n-i}{k-1}.$$

$$\text{Theorem: } 2^n = \binom{n}{n} + \binom{n}{n-1} + \cdots + \binom{n}{0}$$

LHS:

RHS: