

Book of Proof: Part IV, Relations, Functions, and Cardinality

January 29, 2018

Relations

$$5 < 10 \quad 3 < 12 \quad 99 < 999$$

$$5 \not< 5 \quad 12 \not< 3 \quad 10 \not< 0$$

Relations

$$5 < 10 \quad 3 < 12 \quad 99 < 999$$

$$5 \not< 5 \quad 12 \not< 3 \quad 10 \not< 0$$

$$R = \{(5, 10), (3, 12), (99, 999), \dots\}$$

$$(5, 10) \in R \quad (3, 12) \in R \quad (99, 999) \in R$$

$$(5, 5) \notin R \quad (12, 3) \notin R \quad (10, 0) \notin R$$

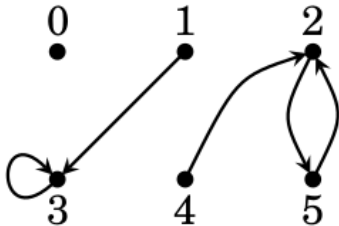
Relations

Definition 11.1 A **relation** on a set A is a subset $R \subseteq A \times A$.
We abbreviate $(x, y) \in R$ as xRy .

Relations in Pictures

$$B = \{0, 1, 2, 3, 4, 5\}$$

$$U = \{(1, 3), (3, 3), (5, 2), (2, 5), (4, 2)\} \subseteq B \times B$$



Properties of Relations

Definition 11.2 Suppose R is a relation on set A .

1. R is **reflexive** if xRy for every $x \in A$.

$$\forall x \in A, xRx$$

2. R is **symmetric** if xRy implies yRx for all $x, y \in A$.

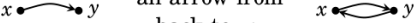
$$\forall x, y \in A, xRy \Rightarrow yRx$$

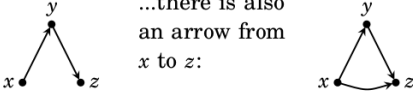

3. R is **transitive** if xRy and yRz imply xRz .

$$\forall x, y, z \in A, ((xRy) \wedge (yRz)) \Rightarrow xRz$$

Pictures of Relation Properties

1. A relation is **reflexive** if for each point x ...
- ...there is a loop at x :
- 
- The diagram shows a single point labeled x . A curved arrow starts at the point and loops back to itself, representing a self-loop.

2. A relation is **symmetric** if whenever there is an arrow from x to y ...
- ...there is also an arrow from y back to x :
- 
- The diagram shows two points labeled x and y . There is a curved arrow pointing from x to y , and another curved arrow pointing from y back to x .

3. A relation is **transitive** if whenever there are arrows from x to y and y to z ...
- ...there is also an arrow from x to z :
- 
- The diagram shows three points labeled x , y , and z arranged in a triangle. There is an arrow from x to y , an arrow from y to z , and a third arrow from x to z .
- (If $x = z$, this means that if there are arrows from x to y and from y to x ...)
- ...there is also a loop from x back to x .)
- 
- The diagram shows a single point labeled x . There is a curved arrow starting at the point and looping back to itself, representing a self-loop.

Relations on \mathbb{Z}

Relations on \mathbb{Z} :	$<$	\leq	$=$	$ $	\nmid	\neq
Reflexive	no	yes	yes	yes	no	no
Symmetric	no	no	yes	no	no	yes
Transitive	yes	yes	yes	yes	no	no

Equivalence relations

Definition 11.3 A relation R on a set A is an **equivalence relation** if it is reflexive, symmetric, and transitive.

Equivalence relations

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Definition 11.4 Suppose R is an equivalence relation on set A . Given any element $a \in A$, the **equivalence class containing a** is the subset $\{x \in A : xRa\}$ of A consisting of all elements of A that relate to a .

This set is denoted $[a]$:

$$[a] = \{x \in A : xRa\}$$

Pictures of equivalence relations on $\{-1, 1, 2, 3, 4\}$

Relation R	Diagram	Equivalence classes (see next page)
<p><i>"is equal to"</i> ($=$)</p> <p>$R_1 = \{(-1, -1), (1, 1), (2, 2), (3, 3), (4, 4)\}$</p>		<p>$\{-1\}, \{1\}, \{2\},$ $\{3\}, \{4\}$</p>
<p><i>"has same parity as"</i></p> <p>$R_2 = \{(-1, -1), (1, 1), (2, 2), (3, 3), (4, 4),$ $(-1, 1), (1, -1), (-1, 3), (3, -1),$ $(1, 3), (3, 1), (2, 4), (4, 2)\}$</p>		<p>$\{-1, 1, 3\}, \{2, 4\}$</p>
<p><i>"has same sign as"</i></p> <p>$R_3 = \{(-1, -1), (1, 1), (2, 2), (3, 3), (4, 4),$ $(1, 2), (2, 1), (1, 3), (3, 1), (1, 4), (4, 1),$ $(2, 3), (3, 2), (2, 4), (4, 2), (1, 3), (3, 1)\}$</p>		<p>$\{-1\}, \{1, 2, 3, 4\}$</p>
<p><i>"has same parity and sign as"</i></p> <p>$R_4 = \{(-1, -1), (1, 1), (2, 2), (3, 3), (4, 4),$ $(1, 3), (3, 1), (2, 4), (4, 2)\}$</p>		<p>$\{-1\}, \{1, 3\}, \{2, 4\}$</p>

Congruence as equivalence relations

Example 11.8 proved that $\equiv (\text{mod } n)$ is an equivalence relation.

$$xRy = \{(x, y) : x \equiv y (\text{mod } 3)\}$$

$$\begin{aligned}[0] &= \{x \in \mathbb{Z} : x \equiv 0 (\text{mod } 3)\} \\ &= \{x \in \mathbb{Z} : 3 \mid (x - 0)\} = \{x \in \mathbb{Z} : 3 \mid x\} \\ &= \{\dots, -6, -3, 0, 3, 6, 9, \dots\} = [3] = [6]\end{aligned}$$

$$\begin{aligned}[1] &= \{x \in \mathbb{Z} : x \equiv 1 (\text{mod } 3)\} \\ &= \{x \in \mathbb{Z} : 3 \mid (x - 1)\} \\ &= \{\dots, -5, -2, 1, 4, 7, 10, \dots\} = [4] = [7]\end{aligned}$$

$$\begin{aligned}[2] &= \{x \in \mathbb{Z} : x \equiv 2 (\text{mod } 3)\} \\ &= \{x \in \mathbb{Z} : 3 \mid (x - 2)\} \\ &= \{\dots, -4, -1, 2, 5, 8, 11, \dots\} = [5] = [7]\end{aligned}$$

Partitions

Definition 11.5 A **partition** of a set A is a set of non-empty subsets of A , such that the union of all the subsets equals A , and the intersection of any two different subsets is \emptyset .

$\{[0], [1], [2]\}$ under the relation $\equiv \pmod{3}$, is a partition of \mathbb{Z} :

$$\{[0], [1], [2]\} = \{\{ \dots, 0, 3, 6, \dots \}, \{ \dots, 1, 4, 7, \dots \}, \{ \dots, 2, 5, 8, \dots \}\}$$

Equivalence Relations and Partitions

Theorem 11.2 Suppose R is an equivalence relation on set A . The the set $\{[a] : a \in A\}$ of equivalence classes of R forms a partition of A .

Conversely, any partition of A describes an equivalence relation R where xRy if and only if x and y belong to the same set in the partition.

The Integers Modulo n

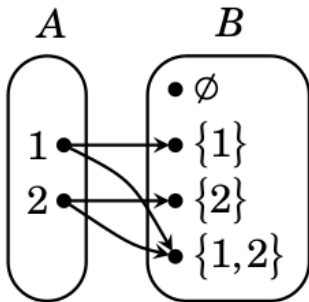
$$\begin{aligned}[0] &= \{x \in \mathbb{Z} : x \equiv 0 \pmod{5}\} = \{x \in \mathbb{Z} : 5 \mid (x-0)\} = \{\dots, -10, -5, 0, 5, 10, 15, \dots\}, \\[1] &= \{x \in \mathbb{Z} : x \equiv 1 \pmod{5}\} = \{x \in \mathbb{Z} : 5 \mid (x-1)\} = \{\dots, -9, -4, 1, 6, 11, 16, \dots\}, \\[2] &= \{x \in \mathbb{Z} : x \equiv 2 \pmod{5}\} = \{x \in \mathbb{Z} : 5 \mid (x-2)\} = \{\dots, -8, -3, 2, 7, 12, 17, \dots\}, \\[3] &= \{x \in \mathbb{Z} : x \equiv 3 \pmod{5}\} = \{x \in \mathbb{Z} : 5 \mid (x-3)\} = \{\dots, -7, -2, 3, 8, 13, 18, \dots\}, \\[4] &= \{x \in \mathbb{Z} : x \equiv 4 \pmod{5}\} = \{x \in \mathbb{Z} : 5 \mid (x-4)\} = \{\dots, -6, -1, 4, 9, 14, 19, \dots\}.\end{aligned}$$

$$\mathbb{Z}_5 = \{[0], [1], [2], [3], [4]\}$$

Relations Between Sets

Definition 11.7 A **relation** from a set A to a set B is a subset $R \subseteq A \times B$.

We abbreviate the statement $(x, y) \in R$ as xRy .



Functions

Definition 12.1 Suppose A and B are sets. A **function** from A to B (denoted as $f : A \rightarrow B$) is a relation $f \subseteq A \times B$, satisfying the property that for each $a \in A$, the relation f contains exactly one ordered pair of the form (a, b) . The statement $(a, b) \in f$ is abbreviated $f(a) = b$.

Functions

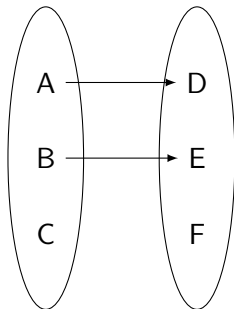
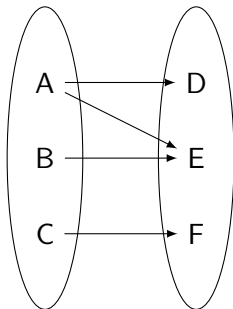
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Definition 12.2

For a function $f : A \rightarrow B$, the set A is called the **domain** of f . The set B is called the **codomain** of f .

The **range** of f is the set $\{f(a) : a \in A\} = \{b : (a, b) \in f\}$.

Relations that are **not** functions

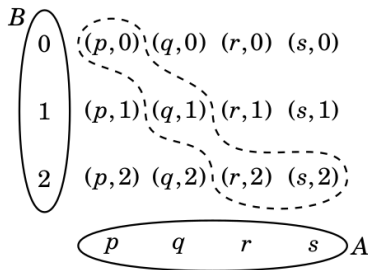


Example function

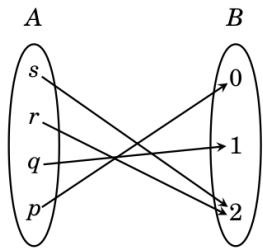
$$A = \{p, q, r, s\}$$

$$B = \{0, 1, 2\}$$

$$f = \{(p, 0), (q, 1), (r, 2), (s, 2)\}$$



(a)



(b)

Example function

$$f(x) = x^2$$

$$f = \lambda x. x^2$$

$$f = \{(x, x^2) : x \in \mathbb{R}\}$$

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Not complete definition unless you specify domain and codomain:

$$f : \mathbb{R} \rightarrow \mathbb{R}$$

Example function

$$\phi : \mathbb{Z}^2 \rightarrow \mathbb{Z}$$

$$\phi(m, n) = 6m - 9n$$

$$\begin{aligned}\phi &= \{((m, n), 6m - 9n) : (m, n) \in \mathbb{Z}^2\} \\ &= \{((0, 0), 0), ((1, 1), -3), ((1, 0), 6), \dots\} \\ &\subseteq \mathbb{Z}^2 \times \mathbb{Z}\end{aligned}$$

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- What is the domain?
- What is the codomain?
- What is the range?

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- What is the domain?
- What is the codomain?
- What is the range?

$$\{3k : k \in \mathbb{Z}\}$$

Equality of functions

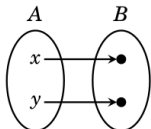
Definition 12.3 Two functions $f : A \rightarrow B$ and $g : C \rightarrow D$ are **equal** if $A = C$, $B = D$, and $f(x) = g(x)$ for every $x \in A$.

Injectons and Surjections

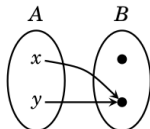
Definition 12.4 A function $f : A \rightarrow B$ is

1. **injective** (or one-to-one) if
for every $x, y \in A$, $x \neq y \Rightarrow f(x) \neq f(y)$;
2. **surjective** (or onto) if
for every $b \in B$ there is an $a \in A$ with $f(a) = b$;
3. **bijective** if f is both injective and surjective.

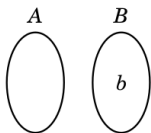
Injective means that for any two $x, y \in A$, this happens...



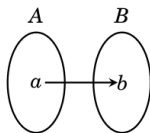
...and not this:



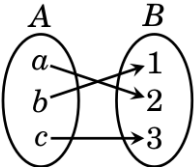
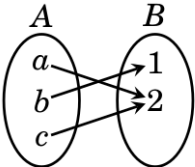
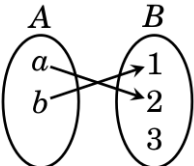
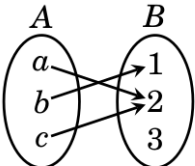
Surjective means that for any $b \in B$...



...this happens:



Injective and Surjective Examples

	Injective	Not injective
Surjective	 <p>(bijective)</p>	
Not surjective		

Proving a function is an injection

How to show a function $f : A \rightarrow B$ is injective:

Direct approach:

Suppose $x, y \in A$, $x \neq y$.

\vdots

Therefore $f(x) \neq f(y)$.

Contrapositive approach:

Suppose $x, y \in A$, $f(x) = f(y)$.

\vdots

Therefore $x = y$.

Contrapositive is usually easier.

How to show a function $f : A \rightarrow B$ is not injective:

Find $x, y \in A$, $x \neq y$, with $f(x) = f(y)$.

Proving a function is a surjection

How to show a function $f : A \rightarrow B$ is surjective:

Suppose $b \in B$.

\vdots

There exists $a \in A$ with $f(a) = b$.

How to show a function $f : A \rightarrow B$ is not surjective:

Find $b \in B$ such that for all $a \in A$, $f(a) \neq b$.

Example 12.4

Proposition $f : \mathbb{R} - \{0\} \rightarrow \mathbb{R}$ defined as $f(x) = \frac{1}{x} + 1$ is injective but not surjective.

Injective.

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Injective. Suppose $x, y \in \mathbb{R} - \{0\}$ and $f(x) = f(y)$.

This implies $\frac{1}{x} + 1 = \frac{1}{y} + 1$.

Algebra shows $x = y$. Therefore f is injective.

Not surjective.

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Algebra shows $x = y$. Therefore f is injective.

Not surjective. There exists $b = 1 \in \mathbb{R}$ for which

$f(x) = \frac{1}{x} + 1 \neq 1$ for every $x \in \mathbb{R} - \{0\}$.

Example 12.5

Proposition The function $g : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z} \times \mathbb{Z}$ defined by $g(m, n) = (m + n, m + 2n)$ is both injective and surjective.

Injective.

Example 12.5

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Injective. Suppose $(m, n), (k, \ell) \in \mathbb{Z} \times \mathbb{Z}$ and $g(m, n) = g(k, \ell)$.
Then $(m + n, m + 2n) = (k + \ell, k + 2\ell)$.

Then $m + n = k + \ell$ and $m + 2n = k + 2\ell$.

Algebra shows $m = k$ and $n = \ell$.

Therefore $(m, n) = (k, \ell)$ and g is injective.

Surjective.

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Algebra shows $m = k$ and $n = \ell$.

Therefore $(m, n) = (k, \ell)$ and g is injective.

Surjective. Suppose $(b, c) \in \mathbb{Z} \times \mathbb{Z}$.

We need to find $(x, y) \in \mathbb{Z} \times \mathbb{Z}$ for which $g(x, y) = (b, c)$.

We need to find (x, y) such that $x + y = b$ and $x + 2y = c$.

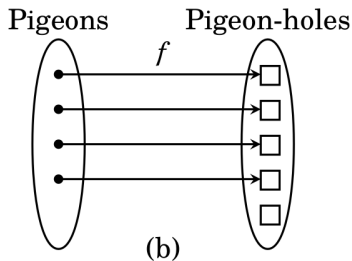
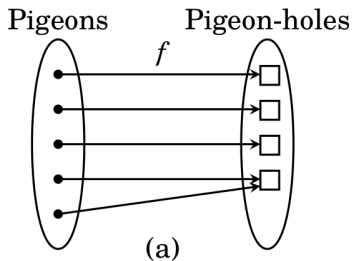
Solving gives $x = 2b - c$ and $y = c - b$.

Therefore $g(2b - c, c - b) = (b, c)$ and so g is surjective.

The Pigeonhole Principle

Suppose A and B are finite sets and $f : A \rightarrow B$ is any function.

1. If $|A| > |B|$ then f is not surjective.
2. If $|A| < |B|$ then f is not surjective.



Pigeonhole Principle Example

Proposition If A is any set of 10 integers between 1 and 100, then there exist two different subsets $X, Y \subseteq A$ for which the sum of elements in X equals the sum of elements in Y .

Examples

$$A = \{5, 11, 16, 23, 44, 47, 50, 61, 67, 81\}$$

$$X = \{5, 11, 16, 23\}$$

$$Y = \{5, 50\}$$

$$A = \{5, 12, 16, 23, 44, 47, 50, 61, 67, 81\}$$

$$X = \{5, 12, 16, 23\}$$

$$Y = \{12, 44\}$$

Pigeonhole Principle Example

Proposition If A is any set of 10 integers between 1 and 100, then there exist two different subsets $X, Y \subseteq A$ for which the sum of elements in X equals the sum of elements in Y .

Proof. Suppose A is as stated and $X \subseteq A$.

Then X has no more than 10 elements between 1 and 100, so the sum of all elements in X is less than 1000.

How many subsets of A are there?

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$$|\mathcal{P}(A)| = 2^{10} = 1024$$

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$$|\mathcal{P}(A)| = 2^{10} = 1024$$

By the pigeonhole principle, two of these sets must have the same sum.

Pigeonhole Principle Example

Proposition There are at least two people in Washington State with the same number of hairs on their heads.

Pigeonhole Principle Example

Proposition There are at least two people in Washington State with the same number of hairs on their heads.

Proof.

The population of Washington is more than seven million.

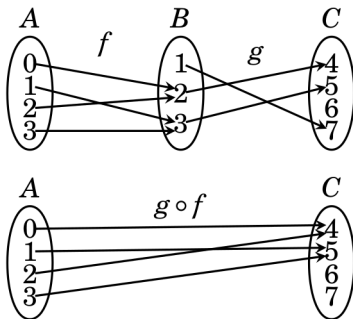
Every human head has fewer than one million hairs.

By the pigeonhole principle, two Washingtonians must have the same number of hairs on their head.

Composition

Definition 12.5 Suppose $f : A \rightarrow B$ and $g : B \rightarrow C$ are functions with the property that the codomain of f is the domain of g . The **composition** of f with g , denoted $g \circ f$, is defined as follows.
For all $x \in A$:

$$g \circ f(x) = g(f(x))$$



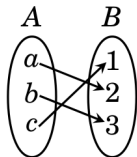
Inverse Functions

Definition 12.6 Given a set A , the **identity function** on A is the function $i_A(x) = x$ for all $x \in A$.

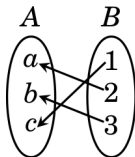
Definition 12.7 Given a relation R from A to B , the **inverse relation** of R is the relation from B to A defined as

$$R^{-1} = \{(y, x) : (x, y) \in R\}$$

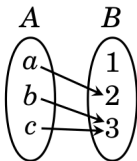
Example Inverses



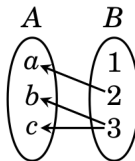
$$f = \{(a, 2), (b, 3), (c, 1)\}$$



$$f^{-1} = \{(2, a), (3, b), (1, c)\}$$



$$g = \{(a, 2), (b, 3), (c, 3)\}$$



$$g^{-1} = \{(2, a), (3, b), (3, c)\}$$

f, g, f^{-1} are functions.

g^{-1} is not a function.

Function Inverses

Theorem 12.3 Let $f : A \rightarrow B$ be a function.
 f is bijective if and only if the inverse relation f^{-1} is a function
from B to A .

Image and Preimage

Definition 12.9 Suppose $f : A \rightarrow B$ is a function.

1. If $X \subseteq A$ the **image** of X is the set

$$f(X) = \{f(x) : x \in X\} \subseteq B$$

2. If $Y \subseteq B$ the **preimage** of Y is the set

$$f^{-1}(Y) = \{x \in A : f(x) \in Y\}$$

Note that f denotes two functions:

$$f : A \rightarrow B$$

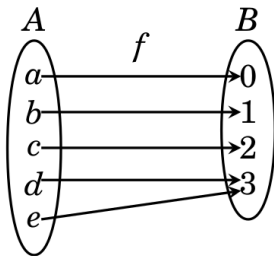
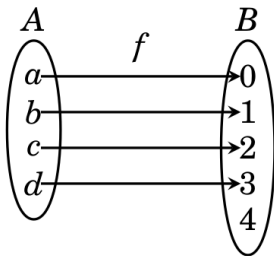
$$f : \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

Note that $f^{-1}(X)$ is a function even if f is not invertible:

$$f^{-1} : \mathcal{P}(B) \rightarrow \mathcal{P}(A)$$

Cardinality

Definition 13.1 Two sets A and B have the **same cardinality**, written $|A| = |B|$, if there exists a bijective function $f : A \rightarrow B$.



$$|\mathbb{Z}| = |\mathbb{N}|$$

\mathbb{N}	1	2	3	4	5	6	7	8	9	...
\mathbb{Z}	0	1	-1	2	-2	3	-3	4	-4	...

$$|\mathbb{N}| \neq |\mathbb{R}|$$

n	$f(n)$
1	0.4000000000000000...
2	8.50060708666900...
3	7.50500940044101...
4	5.50704008048050...
5	6.900260000000506...
6	6.82809582050020...
7	6.50505550655808...
8	8.72080640000448...
9	0.55000088880077...
10	0.50020722078051...
11	2.90000880000900...
12	6.50280008009671...
13	8.89008024008050...
14	8.50008742080226...
\vdots	\vdots

$b = 0.01010001001000\dots$ is not in the table.

Countable and Uncountable Sets

Definition 13.2 Suppose A is a set.

Then A is **countably infinite** if $|\mathbb{N}| = |A|$.

A is **uncountable** if A is infinite and $|\mathbb{N}| \neq |A|$.

A is **countable** if it is finite or countably infinite.

Countable and Uncountable Sets

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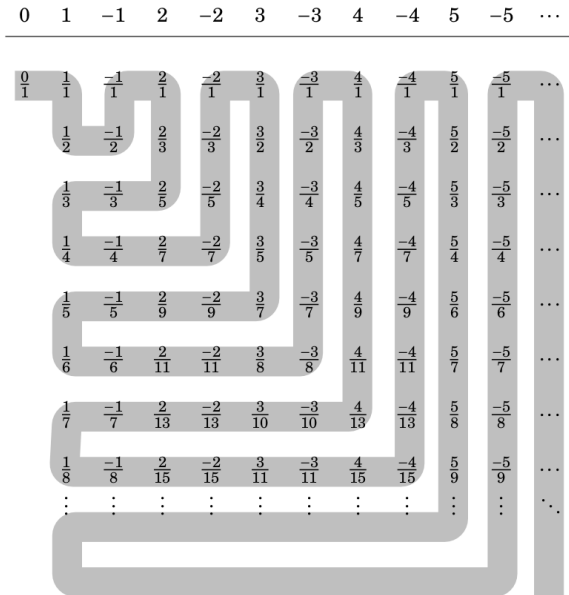
A is **countable** if it is finite or countably infinite.

Theorem 13.3 A set A is countably infinite if and only if its elements can be arranged in an infinite list $a_1, a_2, a_3, a_4, \dots$

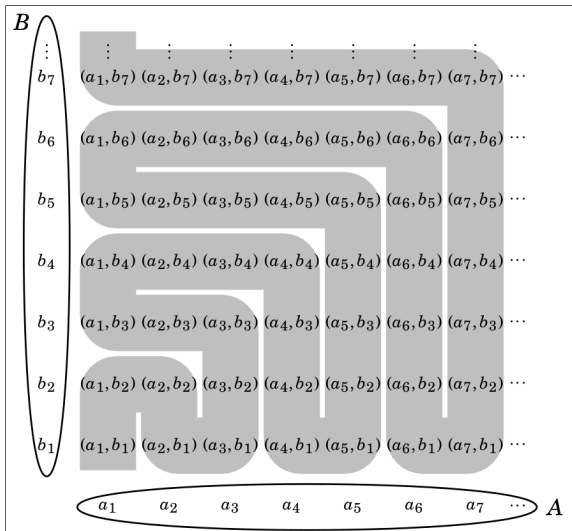
The set of rational numbers, $\mathbb{Q} = \left\{ \frac{a}{b} : a \in \mathbb{Z}, b \in \mathbb{N} \right\}$

0	1	-1	2	-2	3	-3	4	-4	5	-5	...
$\frac{0}{1}$	$\frac{1}{1}$	$\frac{-1}{1}$	$\frac{2}{1}$	$\frac{-2}{1}$	$\frac{3}{1}$	$\frac{-3}{1}$	$\frac{4}{1}$	$\frac{-4}{1}$	$\frac{5}{1}$	$\frac{-5}{1}$...
	$\frac{1}{2}$	$\frac{-1}{2}$	$\frac{2}{3}$	$\frac{-2}{3}$	$\frac{3}{2}$	$\frac{-3}{2}$	$\frac{4}{3}$	$\frac{-4}{3}$	$\frac{5}{2}$	$\frac{-5}{2}$...
	$\frac{1}{3}$	$\frac{-1}{3}$	$\frac{2}{5}$	$\frac{-2}{5}$	$\frac{3}{4}$	$\frac{-3}{4}$	$\frac{4}{5}$	$\frac{-4}{5}$	$\frac{5}{3}$	$\frac{-5}{3}$...
	$\frac{1}{4}$	$\frac{-1}{4}$	$\frac{2}{7}$	$\frac{-2}{7}$	$\frac{3}{5}$	$\frac{-3}{5}$	$\frac{4}{7}$	$\frac{-4}{7}$	$\frac{5}{4}$	$\frac{-5}{4}$...
	$\frac{1}{5}$	$\frac{-1}{5}$	$\frac{2}{9}$	$\frac{-2}{9}$	$\frac{3}{7}$	$\frac{-3}{7}$	$\frac{4}{9}$	$\frac{-4}{9}$	$\frac{5}{6}$	$\frac{-5}{6}$...
	$\frac{1}{6}$	$\frac{-1}{6}$	$\frac{2}{11}$	$\frac{-2}{11}$	$\frac{3}{8}$	$\frac{-3}{8}$	$\frac{4}{11}$	$\frac{-4}{11}$	$\frac{5}{7}$	$\frac{-5}{7}$...
	$\frac{1}{7}$	$\frac{-1}{7}$	$\frac{2}{13}$	$\frac{-2}{13}$	$\frac{3}{10}$	$\frac{-3}{10}$	$\frac{4}{13}$	$\frac{-4}{13}$	$\frac{5}{8}$	$\frac{-5}{8}$...
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\ddots

\mathbb{Q} is countably infinite.



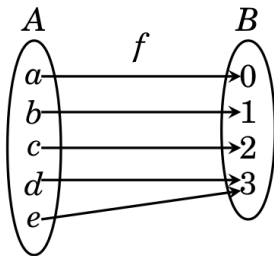
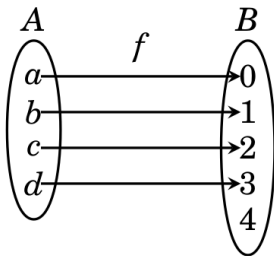
If A and B are countably infinite, then so is $A \times B$



Comparing cardinalities

Definition 13.4 Suppose A and B are sets.

1. $|A| = |B|$ means there is a bijection $A \rightarrow B$.
2. $|A| < |B|$ means there is an injection $A \rightarrow B$ but no surjection.
3. $|A| \leq |B|$ means $|A| < |B|$ or $|A| = |B|$.



Size of the power set

Theorem 13.7 If A is any set, then $|A| < |\mathcal{P}(A)|$.

Proof.

There exists an injection:

$g(a) = \{a\}$ for $a \in A$ is an injection $A \rightarrow \mathcal{P}(A)$.

There is no surjection:

Suppose $f : A \rightarrow \mathcal{P}(A)$ is a surjection.

Let $B = \{x \in A : x \notin f(x)\} \subseteq A$.

Since f is a surjection, there is $a \in A$ with $f(a) = B$.

Case 1: $a \in B$. Then the definition of B implies $a \notin B$.

Case 2: $a \notin B$. Then the definition of B implies $a \in B$.

In both cases we have a contradiction, so f cannot be a surjection.

Consequences of Theorem 13.7

$$|\mathbb{N}| < |\mathcal{P}(\mathbb{N})| < |\mathcal{P}(\mathcal{P}(\mathbb{N}))| < |\mathcal{P}(\mathcal{P}(\mathcal{P}(\mathbb{N})))| < |\mathcal{P}(\mathcal{P}(\mathcal{P}(\mathcal{P}(\mathbb{N}))))| < \dots$$

Some Theorems About Countability

Theorem 13.8 An infinite subset of a countably infinite set is countably infinite.

Theorem 13.9 If $U \subseteq A$ and U is uncountable, then A is uncountable.

Theorem 13.10 (The Cantor-Bernstein-Schroeder Theorem)

If $|A| \leq |B|$ and $|B| \leq |A|$, then $|A| = |B|$.

In other words, if there are injections $f : A \rightarrow B$ and $g : B \rightarrow A$, then there is a bijection $h : A \rightarrow B$.

Theorem 13.11 $|\mathbb{R}| = |\mathcal{P}(\mathbb{N})|$

Proof. Uses the CBS theorem.