

Trees

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Definitions

Definition

A **tree** is a simple acyclic connected graph.

Definition

A **rooted tree** has a special node called the **root**. We usually draw the root on top, and all edges leading away from the root go downward (because we're just weird like that...).

What do you call a bunch of trees?

Nodes in trees

Definition

A **leaf** is a vertex of degree 1. An **internal node** is a non-leaf node.

Definition

In a rooted tree, a **parent** of a vertex is the vertex connected to it on the path to the root. A **child** of a vertex u is a vertex v such that u is the parent of v .

Definition

An **ancestor** of a vertex w is any vertex on the path from w to the root. A **descendant** of a vertex u is a vertex v such that u is an ancestor of v .

Types of trees

Definition

If G is a tree, then the **subtree rooted at** v is the subgraph of G consisting of v , all of the descendants of v , and the edges linking these nodes.

Definition

An m -**ary tree** is a rooted tree for which each vertex has at most m children.

Definition

An m -ary tree is **full** if every internal node has exactly 0 or n children.

Heights of trees

Definition

The **height** or **level** of a node is the length of the path between itself and the root (the root has height 0). The **height of a tree** is the maximum height of all of its leaves.

Definition

A tree is **complete** if all leaves have the same height.

Sizes of trees

- How many nodes are in a complete m -ary tree with height h ?
- How many nodes are in a full m -ary tree with i internal nodes?

Structural induction

- I want to prove that a statement P is true for all trees.
- I let $P(h)$ say that P is true for trees of height h .
- (Base Case). I prove $P(0)$.
- (Inductive Step): I assume that $P(k)$ is true. Suppose that T is a tree with height $k + 1$ and root r . Then P is true for each of the subtrees rooted at the children of r .
I use this to prove that P is true for T , so $P(k + 1)$ is true.

Example

Proposition

In a binary tree of height h , the number of nodes is at most $2^{h+1} - 1$.

Recursion trees

Suppose

$$f(n) = \begin{cases} 1 & \text{if } n = 1 \\ 2f(n/2) + n & \text{otherwise} \end{cases}$$

What is $f(n)$?

Recursion trees

Suppose

$$f(n) = \begin{cases} 1 & \text{if } n = 1 \\ f(n/2) + n & \text{otherwise} \end{cases}$$

What is $f(n)$?

Recursion trees

Suppose

$$f(n) = \begin{cases} 0 & \text{if } n = 0 \\ 3f(n/2) + n & \text{otherwise} \end{cases}$$

What is $f(n)$?

Context-free grammars

A CFG is a set of rules for rewriting strings. S is the starting string and is called the **start symbol**. Lowercase letters are **terminals** and cannot be rewritten. The symbol $|$ means “or.”

Example:

$$① \quad S \rightarrow x \mid y \mid z$$

$$② \quad S \rightarrow S + S$$

$$③ \quad S \rightarrow S - S$$

$$④ \quad S \rightarrow S * S$$

$$⑤ \quad S \rightarrow S / S$$

$$⑥ \quad S \rightarrow (S)$$

CFG examples

(ϵ represents the empty string.)

Example:

$$\textcircled{1} S \rightarrow aSb$$

$$\textcircled{2} S \rightarrow \epsilon$$

Example:

$$\textcircled{1} S \rightarrow aS \mid T$$

$$\textcircled{2} T \rightarrow aTb \mid \epsilon.$$

Can all sets of strings be generated by CFGs? No.

Parse trees

A parse tree is a tree where each node is labeled with a string. The root is labeled with S and a node's children are labeled with the symbols that rewrite it.

Every string that can be generated from a grammar has its own parse tree (although it may have more than one).

Induction on CFGs

Consider the CFG

① $S \rightarrow aSb$

② $S \rightarrow \epsilon$

Prove that all strings generated by this CFG have the same number of a 's and b 's.

Another CFG induction example

Consider the CFG

$$\textcircled{1} \quad S \rightarrow aS \mid T$$

$$\textcircled{2} \quad T \rightarrow aTb \mid \epsilon.$$

Prove that all strings generated by this CFG have at least as many b 's as a 's.