

# Big O Notation

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# Comparing functions

- Suppose the running time of program A is  $f(n)$  and the running time of program B is  $g(n)$ , where  $n$  is the size of the input.
- How do we compare  $f(n)$  and  $g(n)$ ?
- Example:  $f(n) = n$ ,  $g(n) = n^2/100$ .

- We only care about how the function grows, i.e., what happens to the functions as  $n \rightarrow \infty$ .
- If the function is a sum, we only care about the fastest growing term.

- $f \sim g$  if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 1$$

- $f \ll g$  if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

Example:  $n^3 + 10 \sim n^3$  and  $n^2 \ll n^3$ .

Example:  $n^2$  and  $3n^2$  aren't comparable using this notation.

Example:  $\sin(n)$  and 1 aren't comparable using this notation.

# Ordering primitive functions

$$1 \ll \log n \ll n \ll n \log n \ll n^2 \ll \dots \ll 2^n \ll 3^n \ll \dots \ll n!$$

## Proposition

For any positive integer  $n \geq 4$ ,  $\frac{2^n}{n!} < (1/2)^{n-4}$ .

# Dominant terms and constants

- When using  $\ll$  and  $\sim$ , only the fastest-growing term matters
- When using  $\ll$ , multiplicative constants do not matter.
- Example:  $n^3 + 2n^2 + 1000000 \sim n^3$  and  $17n^2 \ll n^3$

- Sometimes we don't care about multiplicative constants.
- If  $f$  is non-negative, then  $f(n)$  is  $O(g(n))$  if there is a constant  $c$  such that  $f(n) \leq cg(n)$  for large  $n$ .
- Example:  $n^2 + n$  is  $O(n^3)$  and  $5n^3$  is  $O(n^3)$ .

# Running time

When analyzing the running time of an algorithm, we want to find out how many “basic operations” are required, in terms of the input size  $n$ .

Example: Smallest distance

```
bestdist = infinity
```

```
for i = 1, ..., n
```

```
  for j = 1, ..., n
```

```
    if i != j
```

```
      d = dist[i,j]
```

```
      if bestdist > d
```

```
        bestdist
```

```
        = d
```



# A better algorithm?

```
for i = 1 to n  
  for j = i+1 to n  
    d = D[i,j]  
    if bestdist > d  
      bestdist = d
```

## Merging two lists

while L1 not empty or L2 not empty if head(L1)  $\leq$  head(L2) or L2 is empty add head(L1) to end of L else add head(L2) to end of L

if  $s = t$  return yes mark  $S$  and add it to while  $M$  is non-empty find some  $p \in M$  for every neighbor  $q$  of  $p$  if  $q = t$  return yes if  $q$  is unmarked mark  $q$  and add it to  $M$

# Square root

search area is from 1 to  $n$  guess middle if guess is too low,  
eliminate bottom half of search area if guess is too high, eliminate  
top half of search area.

# Tower of hanoi

move top  $n - 1$  disks to pole 2 move big disk to pole 3 move top  $n - 1$  disks to pole 3.

# Multiplication

The standard procedure for multiplying two  $n$ -digit numbers is  $O(n^2)$ .

Suppose  $x = x_1 10^m + x_0$  and  $y = y_1 10^m + y_0$ , where  $x_1, x_0, y_1, y_0$  are  $(n/2)$ -digit numbers.

Calculating

$$x_1 y_1 10^{2m} + (x_0 y_1 + x_1 y_0) 10^m + x_0 y_0$$

requires 4 multiplications of  $(n/2)$ -digit numbers.

# Karatsuba's algorithm

Instead, calculate  $x_1y_1$ ,  $x_0y_0$ , and

$$(x_1 + x_0)(y_1 + y_0) - x_1y_1 - x_0y_0.$$

Calculating

$$x_1y_110^{2m} + (x_0y_1 + x_1y_0)10^m + x_0y_0$$

requires 3 multiplications of  $(n/2)$ -digit numbers.

Problems in NP are yes/no problems where it is easy to verify a proof that the answer is “yes.” However, these proofs may be hard to find in the first place.

Example: Is a graph 3-colorable?

NP-complete problems are the hardest problems in NP.



# Proof by contradiction

- I want to prove that  $P$  is true.
- I assume that  $\neg P$  is true.
- I prove that both  $Q$  and  $\neg Q$  are true.
- This is impossible, so my initial assumption of  $\neg P$  must be wrong.
- Thus,  $P$  is true.

### Proposition

$\sqrt{2}$  is irrational.

# Infinitude of primes

## Proposition

There are infinitely many prime numbers.

A compression algorithm is lossless if any input file can be reconstructed from its input file.

## Proposition

There is no lossless compression algorithm that shrinks all files.

# Sets of sets

Sets can contain sets as elements

Example:  $\{\{1, 2\}, 3, \{3\}\}$

The power set of  $A$  is the set of all subsets of  $A$  and is denoted  $\mathbb{P}(A)$ .

For example,  $\mathbb{P}(\{1, 2\}) = \{\emptyset, \{1\}, \{2\}, \{1, 2\}\}$ .

# Russell's Paradox

Some sets can contain themselves.

For example, the set of all non-squares is not a square, so it should contain itself.

On the other hand, the set of all squares is not a square, so it does not contain itself.

Let  $S$  be the set of all sets that do not contain themselves. Does  $S$  contain itself?

How many possible 5-card hands are there in a deck of 52 distinct cards?

We define

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$



# Combinations

- How many 10-character strings of 0s and 1s have exactly 5 0s?
- How many 10-character strings of uppercase letters contain no more than 3 A's?
- How many ways are there to walk from the origin to  $(n, k)$  while only following the grid lines?

# Balls and urns

I have 10 balls and 5 urns. The balls are indistinguishable but the urns are distinguishable. How many ways can I put the balls into the urns?

# Pascal's triangle and identities



$$\binom{n}{k} = \binom{n}{n-k}$$

- (Pascal's identity)

$$\binom{n-1}{k} + \binom{n-1}{k-1} = \binom{n}{k}$$

- (Hockey Stick identity)

# Binomial theorem

What is  $(x + y)^n$ ?