

Highlights

- Neural trajectories in the hippocampus exhibited greater variability during a working memory (WM) task compared to those in the entorhinal cortex and amygdala regions.
- The distance of neural trajectories between encoding and retrieval states in the hippocampus was memory-load dependent during a WM task.
- Hippocampal neural trajectories fluctuated between the encoding and retrieval states in a task-dependent manner during both baseline and sharp-wave ripple (SWR) periods.
- Hippocampal neural trajectories shifted from encoding to retrieval states during SWR period.

Hippocampal neural fluctuations between memory encoding and retrieval states during a working memory task in humans

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Abstract

Working memory (WM), critical to various cognitive functions, embodies intricate neural mechanisms which are not entirely understood. Notably, the role of the hippocampus and sharp-wave ripple complexes (SWRs) – coordinated, rapid neuronal events within the hippocampus – in WM tasks remains somewhat ambiguous, notwithstanding their confirmed involvement in memory consolidation and retrieval. In our present research, we posit that multiunit activity patterns within the hippocampus operate synergistically with SWRs, consequently exhibiting distinctive dynamics during WM tasks. Our study engaged in a comprehensive analysis of a dataset derived from intracranial electroencephalogram recordings from the medial temporal lobes (MTL) of nine epileptic patients executing an eight-second Sternberg task. Gaussian-process factor analysis was utilized to pinpoint low-dimensional neural vectors, or ‘trajectories,’ within the MTL during the WM task. We discovered that the hippocampus showed the most pronounced variation in neural trajectories relative to the entorhinal cortex and the amygdala. Intriguingly, the deviation in trajectories between the encoding and retrieval phases was seen to be dependent on memory load. Further, hippocampal trajectories showed oscillatory behavior during the retrieval phase, indicating task-related transitions between encoding and retrieval states, and embracing both baseline and SWR episodes. These oscillations transitioned from encoding to retrieval states in correlation with the SWRs. Hence, these findings underscore the crucial role of the hippocampus in tackling WM tasks and propose an enticing hypothesis for future exploration: the functional state of the hippocampus switches from encoding to retrieval during SWRs.

Keywords: working memory, WM, memory load, hippocampus, sharp-wave ripples, SWR, humans

Working memory (WM) serves a critical role in everyday life, with its neural foundations being an ongoing subject of study. The hippocampus, particularly crucial to memory function, remains central to this research [1] [2] [3] [4] [5] [6] [7] [8] [9]. Understanding the role of the hippocampus in working memory is essential to advancing our comprehension of cognitive processes, thereby promoting cognitive training and interventions.

Current evidence points toward a brief, synchronized oscillation, known as sharp-wave ripple (SWR) [10], being associated with several cognitive functions. These

include memory replay [11] [12] [13] [14] [15], memory consolidation [16] [17] [18] [19], memory recall [20] [21] [22], and neural plasticity [23] [24]. This suggests that SWR may play a crucial part in hippocampal processing, contributing to the performance of working memory. However, studies investigating the effects of SWRs on working memory are limited [25] and focus primarily on rodent models engaged in navigation tasks, without clear delineation of memory acquisition and recall timing.

Recent studies have illustrated that hippocampal neurons present low-dimensional representations during WM tasks. Specifically, the firing patterns of place cells

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[26] [27] [28] [29] [30], located in the hippocampus, appear within a dynamic, nonlinear three-dimensional hyperbolic geometry in rodent models [31]. Additionally, grid cells in the entorhinal cortex (EC)—the main route to the hippocampus [32] [33] [34]—display a toroidal topology during exploration [35]. Regrettably, these studies are limited to spatial navigation tasks in rodents, affecting the temporal resolution of WM tasks. The application of these findings to human subjects and their extension beyond navigation tasks are still unconfirmed.

In light of these points, the present study seeks to corroborate the hypothesis that hippocampal neurons portray unique representations in low-dimensional spaces, referred to as 'neural trajectory,' particularly during SWR periods in WM tasks. To test this proposition, we used a dataset from patients performing an eight-second Sternberg task (1 second for fixation, 2 seconds for encoding, 3 seconds for maintenance, and 2 seconds for retrieval) with a high temporal resolution, while their intracranial electroencephalography (iEEG) signals in the medial temporal lobe (MTL) were monitored [36]. To explore the low-dimensional neural trajectories, we utilized the Gaussian-process factor analysis (GPFA), a recognized technique for examining neural population dynamics [37].

1. Methods

1.1. Dataset

A public dataset [36] comprising experiments from nine epilepsy patients was taken into consideration for this study. The patients were tasked with executing a modified Sternberg task which included four phases: fixation (1s), encoding (2s), maintenance (3s), and retrieval (2s) [36]. During the encoding phase, participants were presented with four, six, or eight alphabet letters, known as the set size. Subsequently, they had to ascertain whether a probe letter revealed during the retrieval phase was displayed earlier (the suitable choice for the Match IN task) or not (the suitable choice for the Mismatch OUT task). iEEG signals were registered via a sampling rate of 32 kHz, within a frequency spectrum of 0.5–5,000 Hz, utilizing depth electrodes implanted in the medial temporal lobe (MTL) regions: the anterior head of the left and right hippocampus (AHL and AHR), the posterior body of the hippocampus (PHL and PHR), the entorhinal cortex (ECL

and ECR), and the amygdala (AL and AR) (Figure 1A and Table 1). The recorded iEEG signals were subsequently downsampled to a rate of 2 kHz. The inter-relationship among variables such as set size and correct rate were explored (Figure ??S1). The timings of multiunit spikes were identified using a spike sorting algorithm [38] with the Combinato package (<https://github.com/jniediek/combinato>) (Figure 1C).

1.2. Calculation of neural trajectories using GPFA

Neural trajectories, colloquially called 'factors' (Figure 1D), in the hippocampus, EC, and amygdala (Figure 1D) were calculated utilizing GPFA [37], which was applied to the multiunit activity data radiating from each session. The computations were performed with the elephant package (<https://elephant.readthedocs.io/en/latest/reference/gpfa.html>). The bin size was set at 50 ms, with no overlaps. Each factor was z-normalized across all sessions. The Euclidean distance from the origin (O) was subsequently calculated (Figure 1E).

For each trajectory inside a region, for example, AHL, *geometric medians* (g_F for fixation, g_E for encoding, g_M for maintenance, and g_R for retrieval phase) were calculated by finding the median coordinates of the trajectory during the four phases (Figure 1D). An optimal dimensionality for GPFA was identified as three using the elbow method, which was derived by investigating the log-likelihood values through a three-fold cross-validation technique (Figure 2B).

1.3. Identifying SWR candidates from areas of the hippocampus

Potential SWR instances from within the hippocampus were identified via an accepted method [39]. LFP signals from a given region of interest (ROI), such as AHL, were re-referenced by subtracting a calculated average signal from locations outside the ROI (e.g., AHR, PHL, PHR, ECL, ECR, AL, and AR) (see Figure 1A). The re-referenced LFP signals were further filtered with a ripple-band filter (80–140 Hz) to detect SWR candidates (=SWR⁺ candidates) (see Figure 1B). SWR detection was carried out using a published tool (https://github.com/Eden-Kramer-Lab/ripple_detection) [40], with the bandpass range adjusted to 80–140 Hz in line with

human requirements [21] [22], as opposed to the conventional 150–250 Hz range typically applied to rodents.

Control events for SWR^+ candidates, tagged as SWR^- candidates, were identified by shuffling the timestamps of SWR^+ candidates randomly across all trials and subjects. The resultant $\text{SWR}^+/\text{SWR}^-$ candidates underwent visual inspection (Figure 1).

1.4. Defining SWRs from alleged hippocampal CA1 regions

SWRs were distinguished from SWR candidates within likely CA1 regions. Initially, these regions were designated as follows: $\text{SWR}^+/\text{SWR}^-$ candidates from the hippocampus were mapped into a two-dimensional space based on the overlapping spike counts per unit using a supervised UMAP (Uniform Manifold Approximation and Projection) [41] (Figure 4A). Validation of the clustering was done by computing the silhouette score [42] from the clustered samples (Table 2). Those areas in the hippocampus scoring over 0.6 on average across sessions (or the 75th percentile) were specified as likely CA1 regions, in turn, identifying five electrode positions across five participants (Table 3).

Those $\text{SWR}^+/\text{SWR}^-$ candidates within the assumed CA1 regions were classified as $\text{SWR}^+/\text{SWR}^-$ and had their candidate status revoked. Log-normal distributions were observed in the duration and ripple band peak amplitude of SWRs (Figure 4C–E). Each SWR time period was partitioned relative to the time from the SWR center into pre- (at –800 to –300 ms from SWR center), mid- (at –250 to +250 ms), and post- (at +300 to +800 ms) SWR times.

1.5. Statistical evaluation

The Brunner–Munzel test and the Kruskal–Wallis test were performed using the SciPy package in Python [43]. A correlation analysis was executed by determining the rank of the correlation coefficient in its associated set-size-shuffled surrogate using a custom Python script. The bootstrap test was conducted with an in-house Python script.

2. Results

2.1. iEEG recording and neural trajectory in MTL regions during a Sternberg task

We analyzed a publicly available dataset for this study [36]. This dataset consists of LFP signals (Figure 1A) from MTL regions (Table 1) obtained during a modified Sternberg task performance. We identified SWR^+ candidates from LFP signals filtered through the 80–140 Hz ripple band (Figure 1B) across all hippocampal regions (refer to Methods). Correspondingly, SWR^- candidates were defined at the same timestamps but shuffled between different trials (Figure 1). The dataset included multiunit spikes (Figure 1C) identified via a spike sorting algorithm [38]. Using GPFA [37], and 50-ms binned multiunit activity without overlaps, we determined the neural trajectories (or factors) of MTL regions per session and region (Figure 1D). We normalized each factor by session and region, for instance, session #2 in AHL of subject #1. Subsequently, we calculated the Euclidean distance from the origin (O) (Figure 1E).

2.2. Correlation between hippocampal neural trajectory and Sternberg task performance

Figure 2A shows the cloud of median neural trajectories of 50 trials within the three main factor spaces. We determined the optimal embedding dimension for the GPFA model to be three, using the elbow method (Figure 2B). The trajectory distance from the origin (O) (represented as $\|g_F\|$, $\|g_E\|$, $\|g_M\|$, and $\|g_R\|$) in the hippocampus was greater than the corresponding distances in the EC and amygdala (Figures 2C and D).¹

Similarly, we calculated the distances between the geometric medians of the four phases, namely $\|g_{FGE}\|$, $\|g_{FGM}\|$, $\|g_{FGR}\|$, $\|g_{EGM}\|$, $\|g_{EGR}\|$, and $\|g_{MGR}\|$. The results indicated that the hippocampus showed larger distances between phases than both the EC and amygdala.²

¹Hippocampus: Distance = 1.11 [1.01], median [IQR], $n = 195,681$ timepoints; EC: Distance = 0.94 [1.10], median [IQR], $n = 133,761$ timepoints; Amygdala: Distance = 0.78 [0.88], median [IQR], $n = 165,281$ timepoints.

²Hippocampus: Distance = 0.60 [0.70], median [IQR], $n = 8,772$ combinations; EC: Distance = 0.28 [0.52], median [IQR], $n = 5,017$ combinations ($p < 0.01$; Brunner–Munzel test); Amygdala: Distance = 0.24 [0.42], median [IQR], $n = 7,466$ combinations ($p < 0.01$; Brunner–Munzel test).

2.3. Memory load-dependence of neural trajectory distance between encoding and retrieval states in the hippocampus

In the context of memory load in the Sternberg task, we found a negative correlation between the correct rate of trials and set size (the number of letters to encode) (Figure 3A).³ Similarly, we observed a positive correlation between the response time and set size (Figure 3B).⁴

Additionally, we observed a positive correlation between set size and the trajectory distance between the encoding and retrieval phases ($\log_{10}||g_{EGR}||$) (Figure 3C).⁵ However, the distances between other combinations of phases did not show significant correlations (Figures 3D and S2).

2.4. Detection of hippocampal SWR from putative CA1 regions

To better localize recording sites and improve SWR detection, we estimated the electrode position in the CA1 regions of the hippocampus using distinct multiunit spike patterns during SWR events. We embedded SWR⁺/SWR⁻ candidates from each session and hippocampal region in a two-dimensional space via UMAP (Figure 4A).⁶ The quality of clustering was verified using the silhouette score as a metric (Figure 4B and Table 2). Recording sites yielding an average silhouette score over 0.6 across all sessions were designated as putative CA1 regions.⁷ (Tables 2 and 3). We found five putative CA1 regions, out of which four weren't labeled

as seizure onset zones (Table 1).

We further labeled SWR⁺/SWR⁻ candidates within these putative CA1 regions as SWR⁺ and SWR⁻, respectively⁸ (Table 3). Both SWR⁺ and SWR⁻ exhibited equal duration⁹ (Figure 4C) due to their definitions, and adopted a log-distribution. There was an increase in SWR⁺ incidence within the first 400 ms of the retrieval phase¹⁰ (Figure 4D). The peak ripple band amplitude of SWR⁺ was greater than that of SWR⁻ and followed a log-normal distribution (Figure 4E).¹¹

2.5. Transient changes in hippocampal neural trajectories during SWR events

We calculated the distance of the trajectory from the origin (*O*) during SWR events in both the encoding and retrieval phases (Figure 5A). Observing an increase in distance during SWR as shown in Figure 5A, we classified each SWR into three stages: pre-, mid-, and post-SWR. Consequently, the distances from *O* during these SWR stages are denoted as $||pre-eSWR^+||$, $||mid-eSWR^+||$ among others.

The $||mid-eSWR^+||$ ¹² was larger than $||pre-eSWR^+||$ ¹³, and $||mid-rSWR^+||$ ¹⁴ was bigger than $||pre-rSWR^+||$ in both Match IN and Mismatch OUT tasks.¹⁵

2.6. Visualization of hippocampal neural trajectories during SWR in two-dimensional spaces

Following our observations on neural trajectory 'jumping' during a SWR event (Figure 5), we visualized the three-dimensional trajectories of pre-, mid-, and post-SWR events during the encoding and retrieval phases (Figure 6), the distance between which

³Correct rate: set size four (0.99 ± 0.11 , mean \pm SD; $n = 333$ trials) vs. set size six (0.93 ± 0.26 ; $n = 278$ trials; $p < 0.001$, Brunner–Munzel test with Bonferroni correction) and set size eight (0.87 ± 0.34 ; $n = 275$ trials; $p < 0.05$; Brunner–Munzel test with Bonferroni correction). Overall, $p < 0.001$ for Kruskal–Wallis test; correlation coefficient = -0.20, $p < 0.001$.

⁴Response time: set size four (1.26 ± 0.45 s; $n = 333$ trials) vs. set size six (1.53 ± 0.91 s; $n = 278$ trials) and set size eight (1.66 ± 0.80 s; $n = 275$ trials). All comparisons $p < 0.001$, Brunner–Munzel test with Bonferroni correction; $p < 0.001$ for Kruskal–Wallis test; correlation coefficient = 0.22, $p < 0.001$.

⁵Correlation between set size and $\log_{10}||g_{EGR}||$: correlation coefficient = 0.05, $p < 0.001$. Specific values: $||g_{EGR}|| = 0.54$ [0.70] for set size four, $n = 447$; $||g_{EGR}|| = 0.58$ [0.66] for set size six, $n = 381$; $||g_{EGR}|| = 0.61$ [0.63] for set size eight, $n = 395$.

⁶Consider the AHL in session #1 of subject #1, for illustration purposes.

⁷The designated regions were: AHL of subject #1, AHR of subject #3, PHL of subject #4, AHL of subject #6, and AHR of subject #9.

⁸These definitions resulted in equal counts for both categories: SWR⁺ ($n = 1,170$) and SWR⁻ ($n = 1,170$).

⁹These definitions resulted in equal durations for both categories: SWR⁺ (93.0 [65.4] ms) and SWR⁻ (93.0 [65.4] ms).

¹⁰The occurrence of SWR⁺ increased against the bootstrap sample; 95th percentile = 0.42 [Hz]; $p < 0.05$.

¹¹SWR⁺ (3.05 [0.85] SD of baseline, median [IQR]; $n = 1,170$) vs. SWR⁻ (2.37 [0.33] SD of baseline, median [IQR]; $n = 1,170$; $p < 0.001$; Brunner–Munzel test).

¹²1.25 [1.30], median [IQR], $n = 1,281$, in Match IN task; 1.12 [1.35], median [IQR], $n = 1,163$, in Mismatch OUT task

¹³1.08 [1.07], median [IQR], $n = 1,149$, in Match IN task; 0.90 [1.12], median [IQR], $n = 1,088$, in Mismatch OUT task

¹⁴1.32 [1.24], median [IQR], $n = 935$, in Match IN task; 1.15 [1.26], median [IQR], $n = 891$, in Mismatch OUT task

¹⁵1.19 [0.96], median [IQR], $n = 673$, in Match IN task; 0.94 [0.88], median [IQR], $n = 664$, in Mismatch OUT task

was found to depend on memory load (Figure 3).

To enable two-dimensional visualization, we linearly aligned peri-SWR trajectories by assigning \mathbf{g}_E at the origin (0, 0) and \mathbf{g}_R at ($\|\mathbf{g}_{EGR}\|$, 0). We then rotated these aligned trajectories around the \mathbf{g}_{EGR} axis (the x-axis). This method ensured that the distances from the origin in the original three-dimensional spaces were preserved in the two-dimensional counterparts.

The scatter plot within these two-dimensional spaces illustrate characteristic distributions of peri-SWR trajectories based on the phases and types of task. One can observe, for example, that the magnitude of $\|\text{mid-eSWR}^+\|$ surpasses that of $\|\text{pre-eSWR}^+\|$ (Figure 6B), which is consistent with our earlier findings (Figure 5).

2.7. Directionality of hippocampal neural trajectories between encoding and retrieval states

We then investigated the *directions* of the trajectories in relation to \mathbf{g}_{EGR} . The directions of SWRs were defined by the neural trajectory at -250 ms and $+250$ ms from their center, namely $\overrightarrow{\text{eSWR}^+}$.

We calculated the density of $\overrightarrow{\text{eSWR}^+} \cdot \overrightarrow{\mathbf{g}_{EGR}}$, $\overrightarrow{\text{rSWR}^-} \cdot \overrightarrow{\mathbf{g}_{EGR}}$, and $\overrightarrow{\text{eSWR}^+} \cdot \overrightarrow{\text{rSWR}^-}$ (Figures 7A–D). The $\overrightarrow{\text{rSWR}^-} \cdot \overrightarrow{\mathbf{g}_{EGR}}$ demonstrated a biphasic distribution.

By comparing the distribution of $\overrightarrow{\text{rSWR}^+} \cdot \overrightarrow{\mathbf{g}_{EGR}}$ (Figures 7A and B) with that of $\overrightarrow{\text{rSWR}^-} \cdot \overrightarrow{\mathbf{g}_{EGR}}$ (Figures 7C and D), we computed the contributions of SWR (Figures 7E and F), which indicated a shift in the direction of \mathbf{g}_{EGR} (Figures 7E and F: *red rectangles*).

Furthermore, and only in the Mismatch OUT task, $\overrightarrow{\text{eSWR}^+} \cdot \overrightarrow{\text{rSWR}^+}$ was less than $\overrightarrow{\text{eSWR}^+} \cdot \overrightarrow{\text{rSWR}^-}$ (baseline periods) (Figure 7F: *pink circles*). Put simply, eSWR and rSWR pointed in opposite directions only in the Mismatch OUT task but not in the Match IN task (Figure 7E: *pink circles*).

3. Discussion

4. Discussion

This study posits that hippocampal neurons generate distinct trajectories within low-dimensional spaces during a working memory (WM) task in humans, specifically during sharp-wave ripple (SWR) periods. Initially, multiunit spikes in the medial temporal lobe (MTL) regions were projected onto three-dimensional spaces

during a Sternberg task using Gaussian Process Factor Analysis (GPFA) (Figure 1D–E and Figure 2A). The trajectory distance across WM phases ($\|\mathbf{g}_{FGE}\|$, $\|\mathbf{g}_{FGM}\|$, $\|\mathbf{g}_{FGR}\|$, $\|\mathbf{g}_{EGM}\|$, $\|\mathbf{g}_{EGR}\|$, and $\|\mathbf{g}_{MGR}\|$) was markedly larger in the hippocampus than in the EC and amygdala (Figure 2E), which implies dynamic neural activity in the hippocampus during the WM task. Additionally, in the hippocampus, the trajectory distance between encoding and retrieval phases ($\|\mathbf{g}_{FGE}\|$) was found to positively correlate with memory load (Figure 3C–D), denoting WM processing. The hippocampal neural trajectory momentarily increased during SWRs (Figure 4). Eventually, the hippocampal neural trajectory alternated between encoding and retrieval states, progressing specifically from encoding to retrieval during SWR events (Figure 7). Such discoveries not only interpret varying aspects of hippocampal neural activity during a WM task in humans, but also offer fresh insights into how SWRs help alter neural states.

Our findings show that the distance of hippocampal neural trajectory across the phases, even after considering the distance from O in these regions, surpassed that in the EC and amygdala (Figure 2C–E). This reaffirms the participation of the hippocampus in the WM task, coinciding with prior assertions of hippocampal persistent firing during the maintenance phase [3] [4] [5] [6]. However, when applying GPFA to multiunit activity during a 1-second level resolution of the WM task, we noticed that the neural trajectory in low-dimensional space demonstrated a memory-load dependence between encoding and retrieval phases, denoted as $\|\mathbf{g}_{EGR}\|$ (Figure 3). This supports the association between the hippocampus and WM processing.

Our analysis focused on putative CA1 regions (Figure 4), which is supported by various contributions. This specific concentration arises from well-established observations where SWRs coincide with spike clusters of interneurons and pyramidal neurons [44] [45] [46] [47], potentially within a $50 \mu\text{m}$ radius of the recording site [48]. An increase in the instances of SWRs was identified during the first 0–400 ms of the retrieval phase (Figure 4D). This observation aligns with earlier reports of increased SWR occurrence before spontaneous verbal recall [21] [22], reinforcing our findings under a triggered retrieval condition. The log-normal distributions of both SWR length and ripple band peak amplitude observed in this study (Figure 4C & E) concur with the

field's consensus [39]. Consequently, confining recordings to putative CA1 regions likely improved the accuracy of SWR detection. However, the observed increase in trajectory distance from *O* during SWRs (Figure 4) may be skewed higher due to channel selection. Nevertheless, this potential bias does not significantly undermine our primary conclusions.

Interestingly, during the retrieval phase, trajectory directions alternated between encoding and retrieval states both during baseline and SWR periods (Figure 7C & D). Furthermore, the balance of this oscillation transitioned from encoding to retrieval state during SWR episodes (Figure 7E & F). These outcomes align with preceding reports regarding the role of SWRs in memory retrieval [21] [22]. Our findings suggest a novel understanding where SWRs occur when the hippocampal representation transitions from encoding to retrieval states, thereby revealing unexplored aspects of hippocampal representations, such as (i) neuronal oscillation between encoding and retrieval phases during a WM task, and (ii) SWR functioning as a catalyst for changing neural states.

Moreover, our study identified differences between encoding- and retrieval-SWRs (Figure 7E–F) specific to WM-task types. Notably, counter movements of encoding-SWR (eSWR) and retrieval-SWR (rSWR) were not seen in the Match IN task but were evident in the Mismatch OUT task. The memory engram theory can explain these observations [49]. The Match In task, for instance, presented participants with previous letters, whereas the Mismatch OUT task introduced a new letter absent in the encoding phase. These interpretations highlight the vital role of SWR in human cognitive processes.

In conclusion, this investigation demonstrated that during a WM task, hippocampal activity oscillates between encoding and retrieval states, uniquely transitioning from encoding to retrieval during SWR events. These findings offer valuable insights into the neural substrates and workings of working memory within the hippocampus.

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Contributors

Y.W. and T.Y. conceptualized the study; Y.W. performed the data analysis; Y.W. and T.Y. wrote the original draft; and all authors reviewed the final manuscript.

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Declaration of Interests

The authors declare that they have no competing interests.

Data and code availability

The data is available on G-Node (<https://doi.gin.g-node.org/10.12751/g-node.d76994/>). The source code is available on GitHub (<https://github.com/yanagisawa-lab/hippocampal-neural-fluctuation-during-a-WM-task-in-humans>).

Inclusion and Diversity Statement

We support inclusive, diverse, and equitable conduct of research.

Declaration of Generative AI in Scientific Writing

The authors employed ChatGPT, provided by OpenAI, for enhancing the manuscript's English language quality. After incorporating the suggested improvements, the authors meticulously revised the content. Ultimate responsibility for the final content of this publication rests entirely with the authors.

Tables

Subject ID	of sessions	AHL	AHR	PHL	PHR	ECL	ECR	AL	AR	SOZ
1	4	o	x	o	o	o	x	o	x	"AHR, LR"
2	7	o	o	o	o	o	o	o	o	"AHR, PHR"
3	3	o	o	o	o	o	o	o	x	"AHL, PHL"
4	2	o	o	o	o	o	o	o	o	"AHL, AHR, PHL, PHR"
5	3	o	x	x	o	x	x	o	x	DRR
6	6	o	o	o	o	o	o	o	o	"AHL, PHL, ECL, AL"
7	4	o	o	o	o	o	o	o	o	"AHR, PHR"
8	5	o	o	o	o	o	o	o	o	ECR
9	2	o	o	o	o	o	o	o	o	"ECR, AR"

Table 1 – Electrode Distribution within the Dataset

The figure outlines electrode placements and seizure onset zones. Areas labelled with "o" are included in the dataset, while those indicated by "x" (*navy*) are absent. Denoted abbreviations: AHL, left hippocampal head; AHR, right hippocampal head; PHL, left hippocampal body; PHR, right hippocampal body; ECL, left entorhinal cortex; ECR, right entorhinal cortex; AL, left amygdala; AR, right amygdala. SOZ refers to the seizure onset zone.

Subject	AHL	AHR	PHL	PHR
1	0.60 ± 0.14	n.a.	n.a.	0.1 ± 0
2	0.21 ± 0.16	0.17 ± 0.21	0.18 ± 0.22	0.20 ± 0.15
3	0.40 ± 0.42	0.83 ± 0.12	n.a.	n.a.
4	0.10 ± 0.00	0.10 ± 0.00	0.90 ± 0.00	0.10 ± 0.14
5	n.a.	n.a.	n.a.	n.a.
6	0.63 ± 0.06	n.a.	n.a.	0.27 ± 0.06
7	0.10 ± 0.00	0.35 ± 0.35	0.37 ± 0.47	0.10 ± 0.00
8	0.13 ± 0.10	n.a.	0.28 ± 0.49	n.a.
9	n.a.	0.85 ± 0.07	0.15 ± 0.07	n.a.

Table 2 – Silhouette scores of UMAP clustering for SWR^+ candidates and SWR^- candidates

The silhouette scores (mean \pm SD across sessions per subject) pertaining to UMAP clustering of SWR^+ candidates and SWR^- candidates are calculated and presented in Figure 4A. These calculations are based on their corresponding multiunit spike patterns, where the mean values observed are 0.205 with a standard deviation of 0.285. The median and interquartile range are also presented (see Figure 4B).

Subject ID	of sessions	of trials	ROI	of SWRs	SWR incidence [Hz]
1	2	100	AHL	274	0.34
3	2	97	AHR	325	0.42
4	2	99	PHL	202	0.26
6	2	100	AHL	297	0.37
9	2	97	AHR	72	0.09
Total = 10	Total = 493	"Total = 1,170"	0.30 ± 0.13 (mean ± SD)		

Table 3 – Accounting for Specific SWR Events

The table compiles statistics related to assumed CA1 regions and SWR events. To minimize sampling bias, only the initial two sessions (sessions 1 and 2) from each subject were utilized.

Figures



Figure 1 – Local Field Potentials (LFP), Multiunit Activity, and Neural Trajectories in the Hippocampus During a Modified Sternberg Task

A. Depicted here are representative wideband LFP intracranial EEG (iEEG) signals recorded from the left hippocampal head during performance of a modified Sternberg working memory task. This task includes stages of fixation (1 s, *gray*), encoding (2 s, *blue*), maintenance (3 s, *green*), and retrieval (2 s, *red*). **B.** We subsequently present the associated ripple band LFP traces. **C.** The raster plot illustrates multiunit spikes sourced from the LFP traces, sorted utilizing a spike algorithm [38]. **D.** The neural trajectories are then shown, calculated by GPFA on spike counts per unit using 50-ms bins. Each phase's geometric median is highlighted by dot circles. **E.** The distance of each trajectory from the origin *O* is portrayed, with *purple* and *yellow* rectangles signifying the timings for SWR⁺ candidates and SWR⁻ candidates (employed as controls for SWR⁺), respectively.

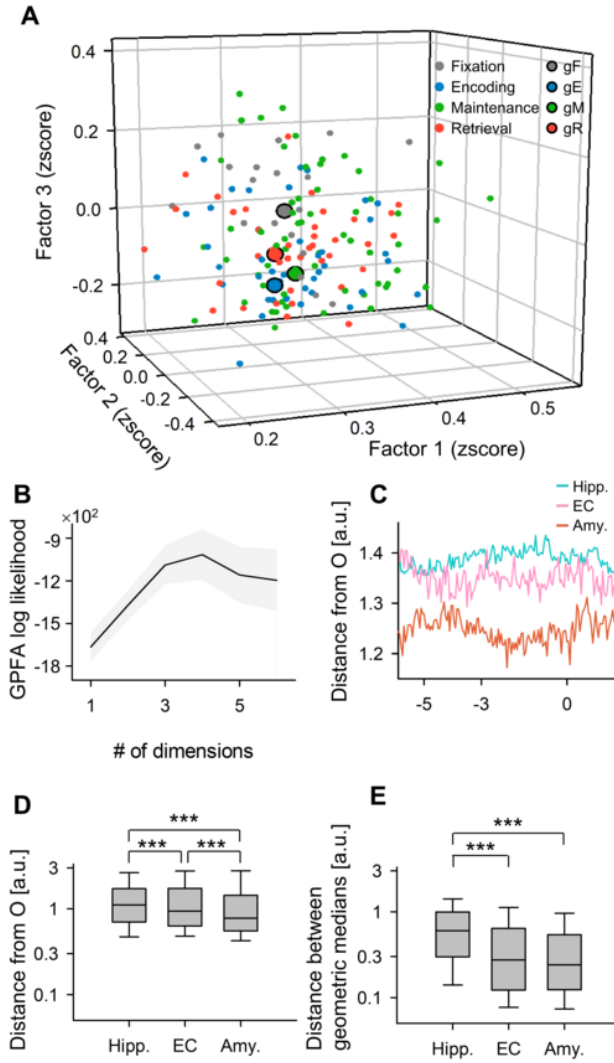


Figure 2 – State-Dependent Trajectories of Hippocampal Neurons

A. Shown are the neural trajectories within the first three-dimensional factors derived from Gaussian Process Factor Analysis (GPFA). The smaller dots represent coordinates of 50-ms neural trajectory bins, whereas the larger dots with *black* edges denote geometric medians for each stage in the Sternberg working memory task: fixation (*gray*), encoding (*blue*), maintenance (*green*), and retrieval (*red*). **B.** The figure displays the log-likelihood of GPFA models relative to the number of dimensions used for embedding the multiunit spikes detected in the medial temporal lobe (MTL) regions. Specifically, the elbow method identified three as the optimal number of dimensions. **C.** This panel depicts the distance of neural trajectories from the origin (*O*) for the hippocampus (Hipp.), entorhinal cortex (EC), and amygdala (Amy.) relative to the elapsed time from the probe onset. **D.** Depicted is the distance of the trajectory from *O* within MTL regions. The hippocampus exhibits the greatest distance, succeeded by the EC and the Amygdala. **E.** This plot outlines inter-phase trajectory distances within the MTL regions. Abbreviations:

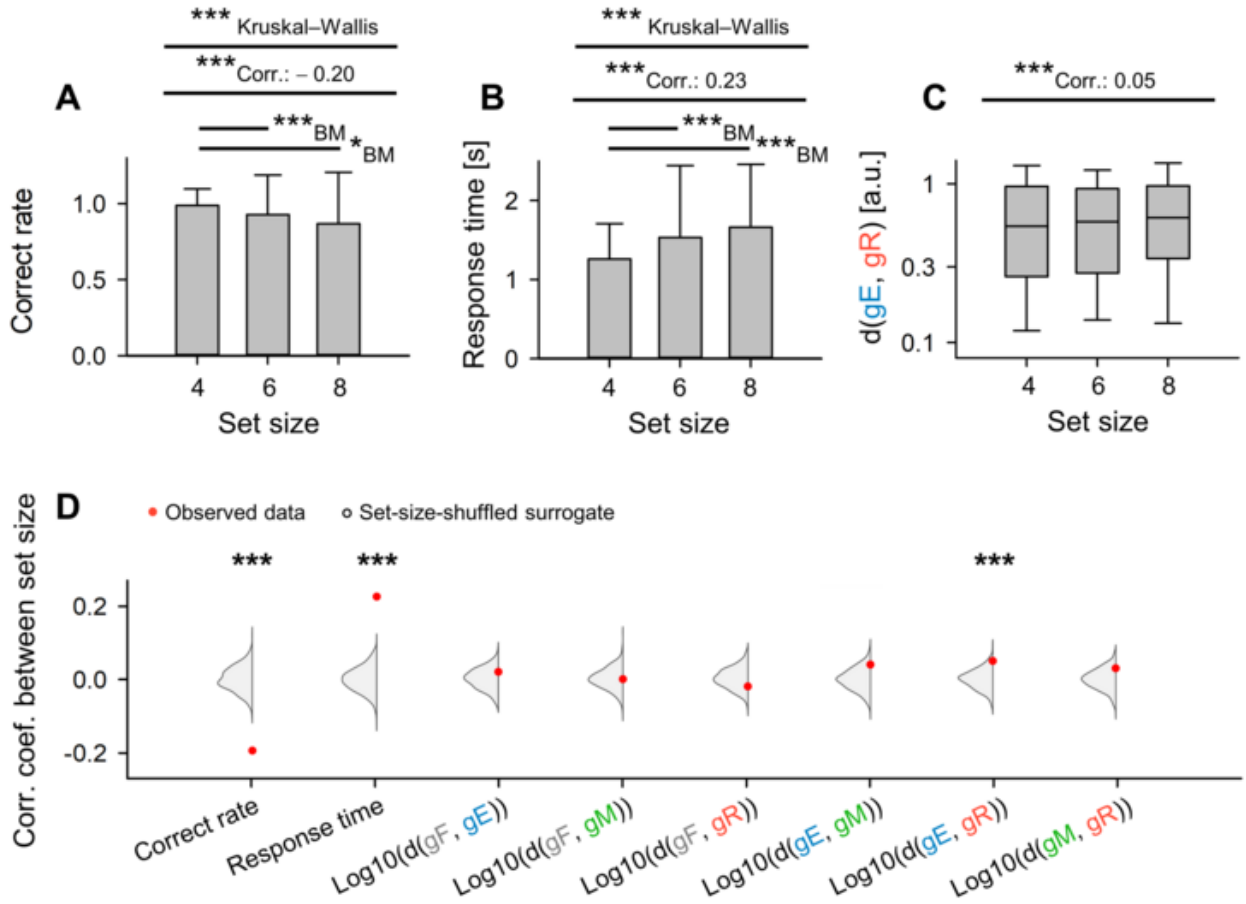


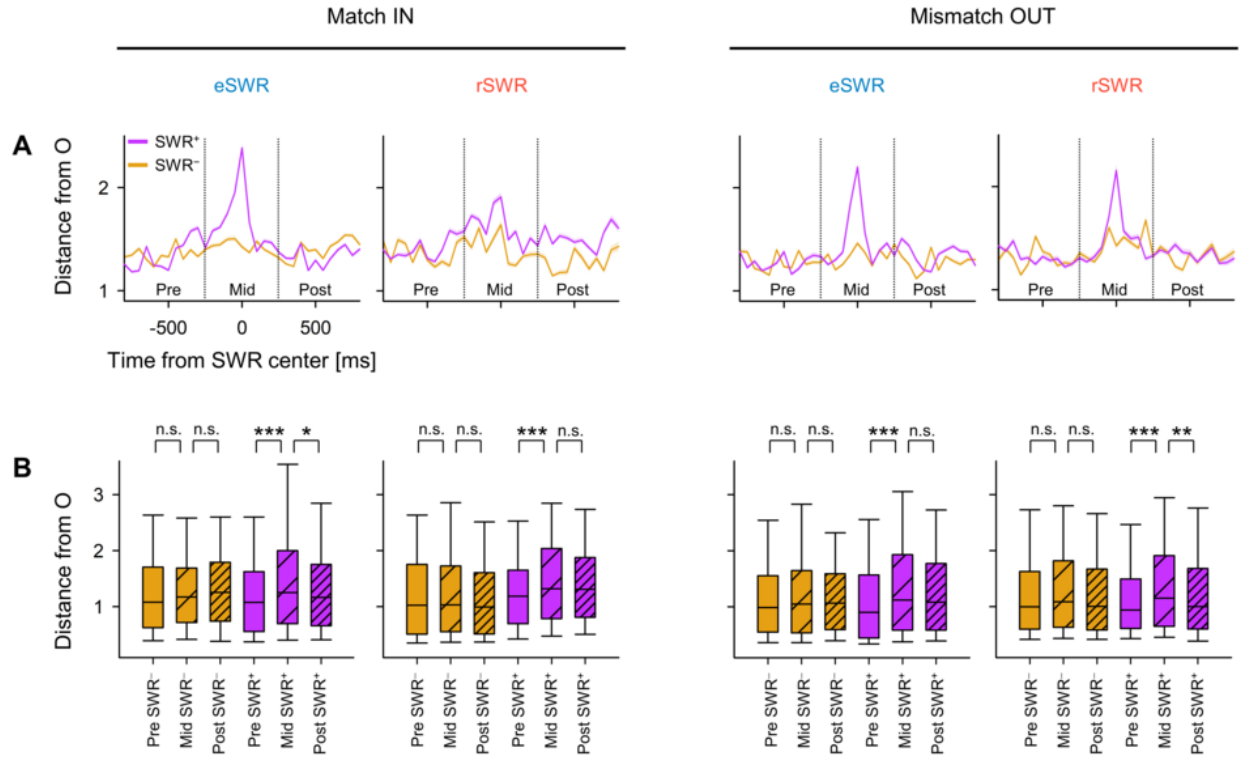
Figure 3 – Dependency of Trajectory Distance on Memory Load: Encoding and Retrieval States in the Hippocampus

A. The relationship between the set size (number of letters to encode) and the accuracy rate in the working memory task (coefficient = -0.20 , $***p < 0.001$). **B.** The correlation between set size and response time (coefficient = 0.23 , $***p < 0.001$). **C.** The impact of set size on the distances between the encoding phase and the retrieval phase ($\|g_E g_R\|$) (correlation coefficient = 0.05). **D.** Red dots represent experimental observations of correlations between set size and the following parameters: accuracy rate, response time, $\log_{10} \|g_F g_E\|$, $\log_{10} \|g_F g_M\|$, $\log_{10} \|g_F g_R\|$, $\log_{10} \|g_E g_M\|$, $\log_{10} \|g_E g_R\|$, and $\log_{10} \|g_M g_R\|$. The gray kernel density plot represents the corresponding set-size-shuffled surrogate ($n = 1,000$) ($***ps < 0.001$).



Figure 4 – Detection of SWRs in Hypothetical CA1 Regions

A. The two-dimensional UMAP (Uniform Manifold Approximation and Projection) projection [41] indicates multiunit spikes detected during SWR⁺ candidates (*purple*) and SWR⁻ candidates (*yellow*). **B.** The cumulative density plot displays silhouette scores, representing UMAP clustering quality, for distinct hippocampal regions (refer to Table 2). Hippocampal regions portraying silhouette scores exceeding 0.60 (akin to the 75th percentile) were recognized as prospective CA1 regions. SWR⁺ and SWR⁻ candidates obtained from these conjectural CA1 areas were classified respectively as SWR⁺ and SWR⁻ ($n_s = 1,170$). **C.** The distributions of durations for both SWR⁺ (*purple*) and SWR⁻ (*yellow*) conform, arising from their definitions (93.0 [65.4] ms, median [IQR]). **D.** The SWR incidence corresponding to both SWR⁺ (*purple*) and SWR⁻ (*yellow*) derived in respect to the probe's timeline is depicted as a mean \pm 95% confidence interval. As the intervals might be unnoticeable due to their minimal range, specify that a considerable surge in SWR incidence was observed during the early 400 ms of retrieval phase (0.421 [Hz], $*p < 0.05$, bootstrap test). **E.** The distributions of ripple band peak amplitudes for SWR⁻ (*yellow*; 2.37 [0.33] SD from baseline, median [IQR]) and SWR⁺ (*purple*; 3.05 [0.85] SD from baseline, median [IQR]) are outlined ($***p < 0.001$, the Brunner–Munzel test).



A. Presented is the distance from the origin (*O*) of the peri-sharp-wave-ripple trajectory (mean $\pm 95\%$ confidence interval). The intervals may not be readily noticeable due to their narrow ranges.

B. The figure illustrates the distance from the origin (*O*) during pre-, mid-, and post-SWR periods (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$; evaluated using the Brunner–Munzel test).

Abbreviations: SWR, sharp-wave ripple events; eSWR, sharp-wave ripple events during the encoding phase; rSWR, sharp-wave ripple events during the retrieval phase; SWR⁺, positive sharp-wave ripple event; SWR⁻, control events for SWR⁺; pre-, mid-, and post-SWR denote the time intervals from -800 to -250 ms, from -250 to $+250$ ms, or from $+250$ to $+800$ ms, all relative to the centre of the SWR.

A. Presented is the distance from the origin (*O*) of the peri-sharp-wave-ripple trajectory (mean $\pm 95\%$ confidence interval). The intervals may not be readily noticeable due to their narrow ranges.

B. The figure illustrates the distance from the origin (*O*) during pre-, mid-, and post-SWR periods (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$; evaluated using the Brunner–Munzel test).

Abbreviations: SWR, sharp-wave ripple events; eSWR, sharp-wave ripple events during the encoding phase; rSWR, sharp-wave ripple events during the retrieval phase; SWR⁺, positive sharp-wave ripple event; SWR⁻, control events for SWR⁺; pre-, mid-, and post-SWR denote the time intervals from -800 to -250 ms, from -250 to $+250$ ms, or from $+250$ to $+800$ ms, all relative to the centre of the SWR.

Figure 5 – Transient Modifications in Neural Pathways During Sharp-Wave Ripple (SWR) Events

A. Presented is the distance from the origin (*O*) of the peri-sharp-wave-ripple trajectory (mean $\pm 95\%$ confidence interval). The intervals may not be readily noticeable due to their narrow ranges.

B. The figure illustrates the distance from the origin (*O*) during pre-, mid-, and post-SWR periods (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$; evaluated using the Brunner–



Figure 6 – Visualization of Neural Trajectories during SWR in Two-Dimensional Spaces

The panels showcase hippocampal neural trajectories during SWR, projected onto two-dimensional spaces. **A.** Highlights hippocampal neural trajectories pre-SWR⁻ (gray), mid-SWR⁻ (yellow), and post-SWR⁻ (black). **B.** Provides the counterparts for SWR⁺ as contrasted with SWR⁻. The $\|g_{EgR}\|$ varied across sessions. The projection proceeded as follows: Initially, a linear transformation placed g_E at the origin $O(0,0)$, and g_R at $(\|g_{EgR}\|, 0)$. Subsequently, the point cloud rotated around the g_{EgR} axis (analogous to the x-axis) to fit into two-dimensional spaces. Thus, within these two-dimensional spaces, both the distances from O and the angles retained the original structure of the g_{EgR} axis from the original three-dimensional spaces. Abbreviations: SWR denotes sharp-wave ripple events; eSWR refers to SWR during the encoding phase; rSWR represents SWR during the retrieval phase; SWR⁺ marks an SWR event; SWR⁻ signifies control events for SWR⁺; pre-SWR, mid-SWR, or post-SWR, refer to the time intervals from -800 to -250 ms, from -250 to +250 ms, or from +250 to +800 ms from the center of SWR.

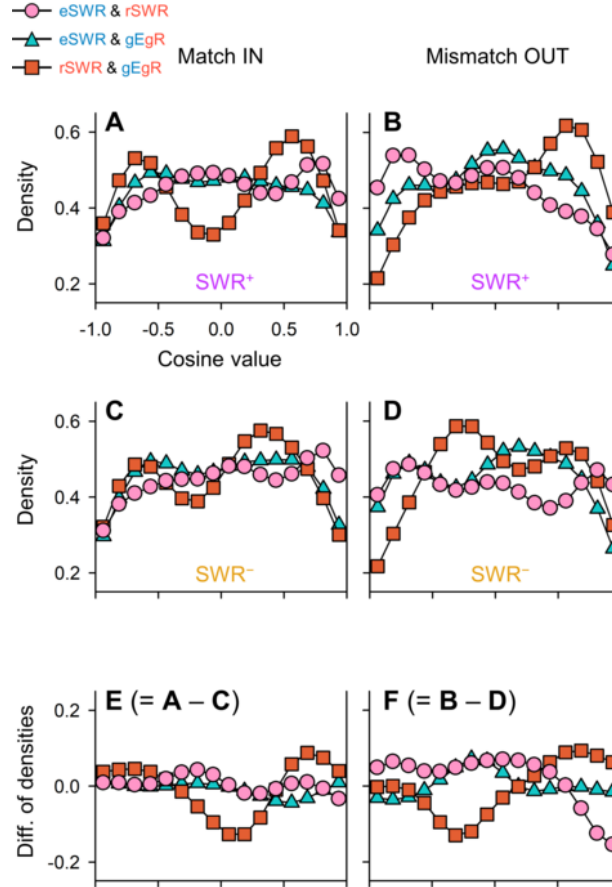


Figure 7 – Neural Trajectory Directions during SWRs Based on Encoding and Retrieval States

A–B Kernel density estimation (KDE) distributions of $\overrightarrow{eSWR^+} \cdot \overrightarrow{rSWR^+}$ (pink circles), $\overrightarrow{eSWR^+} \cdot \overrightarrow{gEgR}$ (blue triangles), and $\overrightarrow{rSWR^+} \cdot \overrightarrow{gEgR}$ (red rectangles) are presented for the Match In (A) and Mismatch OUT tasks (B). **C–D** Display the corresponding distributions of SWR^- , replacing those of SWR^+ as depicted in A and B. **E–F** Illustrate the differences in the distributions of SWR^+ and SWR^- , shedding light on the SWR components ($E = C - A$; $F = D - B$). The biphasic distributions of $\overrightarrow{rSWR^+} \cdot \overrightarrow{gEgR}$ hint at oscillations between the encoding and retrieval states during the Sternberg task. Conversely, an inverse directionality between $\overrightarrow{eSWR^+}$ and $\overrightarrow{rSWR^+}$ was found in the Mismatch OUT task (pink circles), but not in the Match In task (E–F). Lastly, transitions from the retrieval to encoding states were discernible in the SWR components in both the Match IN and Mismatch OUT tasks (red rectangles in E and F).