

Prof. Ryan Cotterell

# Yannick Wattenberg: Assignment 03

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20/12/2022 - 06:20h

## Question 1: Exploring the Kleene Star

a)

To prove:

$$a^* \stackrel{!}{=} 1 \oplus a \otimes a^*$$

$$a^* = \bigoplus_{n=0}^{\infty} a^{\otimes n} \quad (\text{def.} *) \quad (1)$$

$$= 1 \oplus \bigoplus_{n=1}^{\infty} a^{\otimes n} \quad (\text{def.} \oplus) \quad (2)$$

$$= 1 \oplus a \otimes \bigoplus_{n=0}^{\infty} a^{\otimes n} \quad (\text{diss. of } \otimes \text{ over } \oplus) \quad (3)$$

$$(4)$$

b)

We want to find a Kleene Star for  $\mathcal{W}_{\log}$ . Using the definition of Kleene Star, we have:

$$a^* = \bigoplus_{n=0}^{\infty} a^{\otimes n} \quad (\text{def.} *) \quad (5)$$

$$= 0 \oplus_{\log} a \oplus_{\log} a \otimes a \oplus_{\log} \dots \quad (6)$$

$$= \ln(\exp(0) + \exp(a)) \oplus_{\log} a + a \oplus_{\log} \dots \quad (\text{def.} \oplus, \otimes) \quad (7)$$

$$= \ln(\exp(\ln(\exp(0) + \exp(a))) + \exp(2a)) \oplus_{\log} \dots \quad (8)$$

$$= \ln(\exp(0) + \exp(a) + \exp(2a)) \oplus_{\log} \dots \quad (9)$$

$$= \ln\left(\sum_{n=0}^{\infty} \exp(a \cdot n)\right) \quad (10)$$

$$(11)$$

For  $a \geq 0$  this series will diverge as  $n \rightarrow \infty$ , because  $\lim_{n \rightarrow \infty} \exp(a \cdot n) \geq 1$  in that case.

Thus we assume that  $a < 0$  for the rest of the proof. We can then rewrite the series as a geometric series as follows:

$$\sum_{n=0}^{\infty} \exp(a \cdot n) = \sum_{n=0}^{\infty} g^n \quad (\text{where } g = \exp(a)) \quad (12)$$

$$= \frac{1}{1 - g} \quad (\text{limit of the geometric series}) \quad (13)$$

$$= \frac{1}{1 - \exp(a)} \quad (\text{def. } g) \quad (14)$$

For the Kleene Star expression we then get:

$$a^* = \ln \left( \frac{1}{1 - \exp(a)} \right)$$

c)

We want to find a Kleene Star for the expectation semiring. Following the same pattern as in the previous part, the definition gives us:

$$\text{let } a = \langle x, y \rangle \in \mathcal{R} \times \mathcal{R} \quad (15)$$

$$a^* = \bigoplus_{n=0}^{\infty} a^{\otimes n} \quad (16)$$

$$= \bigoplus_{n=0}^{\infty} \langle x, y \rangle^{\otimes n} \quad (17)$$

$$= \langle 1, 0 \rangle \oplus \langle x, y \rangle \oplus \langle x^2, 2xy \rangle \oplus \langle x^3, 3x^2y \rangle \oplus \dots \quad (18)$$

$$= \bigoplus_{n=0}^{\infty} \langle x^n, nx^{n-1}y \rangle \quad (19)$$

$$= \left\langle \sum_{n=0}^{\infty} x^n, \sum_{n=0}^{\infty} nx^{n-1}y \right\rangle \quad (20)$$

We can now explore the limit of both of the series individually. For the first one we have:

$$\sum_{n=0}^{\infty} x^n = \frac{1}{1 - x} \quad (\text{limit of the geometric series}) \quad (21)$$

$$(22)$$

Assuming that  $|x| < 1$ , as otherwise the series would diverge. For the second one we have:

$$\sum_{n=0}^{\infty} nx^{n-1}y = y \cdot \left( \sum_{n=0}^{\infty} nx^{n-1} \right) \quad (23)$$

$$= y \cdot \left( \sum_{n=0}^{\infty} (n+1)x^n \right) \quad (24)$$

$$= \frac{y}{(x-1)^2} \quad (\text{limit of the power series}) \quad (25)$$

With the same assumption as before, we can now combine the two limits to get:

$$\langle x, y \rangle^* = \left\langle \frac{1}{1-x}, \frac{y}{(x-1)^2} \right\rangle$$

for  $|x| < 1$ .

d)

Now we want to find a Kleene Star for  $\mathcal{W}_{lang}$ . Using the definition of Kleene Star, we have:

$$A^* = \bigoplus_{n=0}^{\infty} A^{\otimes n} \quad (\text{def.} *) \quad (26)$$

$$= \epsilon \cup A \cup A \otimes A \cup \dots \quad (27)$$

$$= \epsilon \cup A \cup A \circ A \cup \dots \quad (28)$$

$$(29)$$

## Question 2: Asterating Matrices in Idempotent Semirings

a)

For the tropical semiring, let  $a \in \mathcal{R}_{\geq 0}$  be an element of the tropical semiring, we get:

$$0 \oplus a = \min(0, a) \quad (\text{def.} \oplus) \quad (30)$$

$$= 0 \quad (a \in \mathcal{R}_{\geq 0}) \quad (31)$$

$$(32)$$

For the artc semiring, let  $a \in \mathcal{R}_{\leq 0}$  be an element of the artc semiring, we get:

$$0 \oplus a = \max(0, a) \quad (\text{def.} \oplus) \quad (33)$$

$$= 0 \quad (a \in \mathcal{R}_{\leq 0}) \quad (34)$$

$$(35)$$

Thus we can conclude that both the tropical and artc semirings are 0-closed.

b)

We prove that the  $M^n$  encodes the sum of paths of length  $n$  in the graph  $G$  using induction over  $n$ . For  $n = 1$  we have: The matrix  $M$  encodes the transition matrix of the graph  $G$ . Thus it encodes all paths of length one.

Our induction hypothesis is that  $M^n$  encodes the sum of paths of length  $n$  in the graph  $G$ . We want to show that  $M^{n+1}$  encodes the sum of paths of length  $n + 1$ . We have  $M^{n+1} = M^n \otimes M$  as  $M^n$  encodes the sum of paths of length  $n$  and  $M$  encodes the sum of paths of length one. For some  $i, j \in [1, \dots, N]$  we have:

$$(M^{n+1})_{i,k} = \bigoplus_{k=1}^N (M^n)_{ik} \otimes M_{kj} \quad (36)$$

Thus as we can see  $(M^{n+1})_{i,k}$  encodes the sum of all paths of length  $n + 1$  from  $i$  to  $k$  as it is the sum of all paths of length  $n$  from  $i$  to some  $k$  concatenated with a path of length one from  $k$  to  $j$ .

c)

Assume that there is a shortest path from  $i$  to  $j$  that traverses more than  $N - 1$  transitions/edges. It follows that at least one vertex has to be visited twice as there are only  $N$  vertices. We denote the path as follows:

$$i \xrightarrow{w_0} \underbrace{\dots \rightarrow k}_{a_1} \xrightarrow{\dots} \underbrace{\dots \rightarrow k}_{a_2} \xrightarrow{\dots} \underbrace{\dots}_{a_3} \xrightarrow{w_k} j \quad (37)$$

We can build a path of length  $N - 1$  from  $i$  to  $j$  by cutting out the path from  $k$  to  $k$  in the middle of the path.

Per definition of the shortest path, we get the following for the two paths:

$$w_0 \otimes a_1 \otimes a_2 \otimes a_3 \otimes w_k \oplus w_0 \otimes a_1 \otimes a_3 \otimes w_k \quad (38)$$

$$= (w_0 \otimes a_1) \otimes (a_2 \otimes a_3 \otimes w_k \oplus a_3 \otimes w_k) \quad (39)$$

$$= (w_0 \otimes a_1) \otimes (a_2 \oplus 1) \otimes (\otimes a_3 \otimes w_k) \quad (40)$$

$$= (w_0 \otimes a_1) \otimes (1) \otimes (\otimes a_3 \otimes w_k) \quad (0\text{-closed}) \quad (41)$$

$$= w_0 \otimes a_1 \otimes a_3 \otimes w_k \quad (42)$$

d)

$$M^* = \bigoplus_{n=0}^{\infty} M^n \quad (\text{def.} *) \quad (43)$$

$$= \lim_{K \rightarrow \infty} \bigoplus_{n=0}^K M^n \quad (\text{def.} \oplus) \quad (44)$$

$$(45)$$

We we have shown in part b) that  $M^n$  encodes the sum of paths of length  $n$  in the graph  $G$ . Furthermore we have shown that the shortest path from  $i$  to  $j$  has uses at most  $N - 1$  transitions. Now using the definition of the shortest path:

$$Z(i, j) = \bigoplus_{\pi \in \Pi(i, j)} w(\pi)$$

We get that  $\Pi(i, j)$  needs only to be over all path of length  $N - 1$  or less (see task c)). Thus we can rewrite the definition as follows:

$$Z(i, j) = \bigoplus_{n=1}^{N-1} w((M^n)_{ij})$$

As we made no further assuming on  $i, j$  this holds for all  $i, j \in [1, \dots, N]$ . We can now rewrite the Kleene start in the same manner as the previous equality holds for all  $i, j \in [1, \dots, N]$ :

$$\lim_{K \rightarrow \infty} \bigoplus_{n=0}^K M^n \tag{46}$$

$$= \lim_{K \rightarrow N-1} \bigoplus_{n=1}^{K-1} w((M^n)) \tag{47}$$

$$= \bigoplus_{n=1}^{N-1} w((M^n)) \tag{48}$$

$$\tag{49}$$

e)

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**Algorithm 1:** Algorithm for computing  $M^*$

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**Input:** Adjacency matrix  $M$

**Output:**  $M^*$

$M' \leftarrow M$

$R \leftarrow M$

**for**  $i = 1; i < N; i \leftarrow i + 1$  **do**

$R \leftarrow R \oplus M'$

$M' \leftarrow M' \otimes M$

**end**

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The algorithm computes  $M^*$  using the equality we proved in part d). It simply aggregates the sum of the  $M^n$  for  $n = 1, \dots, N - 1$  in the variable  $R$ . The variable  $M'$  is used to compute the  $M^n$ . The Runtime of the algorithm is  $O(N^3)$  as we need to compute  $N$  matrix multiplications of size  $N \times N$ .

**f)**

We want to prove that every 0-closed semiring is also idempotent.

$$a \oplus a \tag{50}$$

$$=a \otimes (1 \oplus 1) \tag{51}$$

$$=a \otimes 1 \tag{52}$$

$$=a \tag{53}$$

Thus we have shown that every 0-closed semiring is idempotent.

**g)**