

Eidgenössische Technische Hochschule Zürich Swiss Federal Institute of Technology Zurich

Prof. Ryan Cotterell

Yannick Wattenberg: Assignment 02

ywattenberg@inf.ethz.ch, 19-947-464.

12/11/2022 - 09:44h

1 Question: Entropy of a Conditional Random Field

a)

Prove that the **expectation semiring** satisfies the semiring axioms:

Let $x_1, y_1, x_2, y_2, x_3, y_3 \in \mathbb{R}$ for this subsection.

Axiom 1

 $(\mathbb{R} \times \mathbb{R}, \oplus, \langle 0, 0 \rangle)$ is a commutative monoid with identity element $\langle 0, 0 \rangle$

Associativity and Commutativity of \oplus :

$$(\langle x_1, y_1 \rangle \oplus \langle x_2, y_2 \rangle) \oplus \langle x_3, y_3 \rangle \stackrel{!}{=} \langle x_1, y_1 \rangle \oplus (\langle x_3, y_3 \rangle \oplus \langle x_2, y_2 \rangle)$$

$$(\langle x_1, y_1 \rangle \oplus \langle x_2, y_2 \rangle) \oplus \langle x_3, y_3 \rangle = \langle x_1 + x_2, y_1 + y_2 \rangle \oplus \langle x_3, y_3 \rangle$$

$$= \langle (x_1 + x_2) + x_3, (y_1 + y_2) + y_3 \rangle$$

$$= \langle x_1 + (x_2 + x_3), y_1 + (y_2 + y_3) \rangle$$

$$= \langle x_1 + (x_2 + x_3), y_1 + (y_2 + y_3) \rangle$$

$$= \langle x_1, y_1 \rangle \oplus \langle x_2 + x_3, y_2 + y_3 \rangle$$

$$= \langle x_1, y_1 \rangle \oplus \langle x_3 + x_2, y_3 + y_2 \rangle$$

$$= \langle x_1, y_1 \rangle \oplus \langle x_3, y_3 \rangle \oplus \langle x_2, y_2 \rangle)$$

$$(\text{def. } \oplus)$$

$$(\text{5})$$

$$= \langle x_1, y_1 \rangle \oplus \langle x_3, y_3 \rangle \oplus \langle x_2, y_2 \rangle)$$

$$(\text{def. } \oplus)$$

$$(\text{7})$$

 $\langle 0, 0 \rangle$ is the identity element:

$$\langle 0, 0 \rangle \oplus \langle x_1, y_1 \rangle \stackrel{!}{=} \langle x_1, y_1 \rangle$$

$$\langle 0, 0 \rangle \oplus \langle x_1, y_1 \rangle = \langle x_1 + 0, y_1 + 0 \rangle$$

$$= \langle x_1, y_1 \rangle$$

$$= \langle x_1 + 0, y_1 + 0 \rangle = \langle x_1, y_1 \rangle \oplus \langle 0, 0 \rangle$$
 (def. \oplus) (10)

Axiom 2

 $(\mathbb{R} \times \mathbb{R}, \otimes, \langle 1, 0 \rangle)$ is a monoid with identity element $\langle 1, 0 \rangle$

Associativity of \otimes :

$$(\langle x_1, y_1 \rangle \otimes \langle x_2, y_2 \rangle) \otimes \langle x_3, y_3 \rangle \stackrel{!}{=} \langle x_1, y_1 \rangle \otimes (\langle x_2, y_2 \rangle \otimes \langle x_3, y_3 \rangle)$$

$$(\langle x_1, y_1 \rangle \otimes \langle x_2, y_2 \rangle) \otimes \langle x_3, y_3 \rangle = \langle x_1 \cdot x_2, x_1 \cdot y_2 + y_1 \cdot x_2 \rangle \otimes \langle x_3, y_3 \rangle$$

$$(def. \otimes)$$

$$= \langle (x_1 \cdot x_2) \cdot x_3, (x_1 \cdot x_2) \cdot y_3 + (x_1 \cdot y_2 + y_1 \cdot x_2) \cdot x_3 \rangle$$

$$= \langle (x_1 \cdot x_2) \cdot x_3, x_1 \cdot x_2 \cdot y_3 + x_1 \cdot y_2 \cdot x_3 + y_1 \cdot x_2 \cdot x_3 \rangle$$

$$= \langle (x_1 \cdot x_2) \cdot x_3, x_1 \cdot (x_2 \cdot y_3 + y_2 \cdot x_3) + y_1 \cdot x_2 \cdot x_3 \rangle$$

$$= \langle (x_1 \cdot x_2) \cdot x_3, x_1 \cdot (x_2 \cdot y_3 + y_2 \cdot x_3) + y_1 \cdot (x_2 \cdot x_3) \rangle$$

$$= \langle x_1 \cdot (x_2 \cdot x_3), x_1 \cdot (x_2 \cdot y_3 + y_2 \cdot x_3) + y_1 \cdot (x_2 \cdot x_3) \rangle$$

$$= \langle x_1, y_1 \rangle \otimes \langle x_2 \cdot x_3, x_2 \cdot y_3 + y_2 \cdot x_3 \rangle$$

$$(def. \otimes)$$

$$(11)$$

 $=\langle x_1, y_1 \rangle \otimes (\langle x_2, y_2 \rangle \otimes \langle x_3, y_3 \rangle)$ $(def. \otimes)$ (18)

$\langle 1, 0 \rangle$ is the identity element:

$$\langle x_1, y_1 \rangle \otimes \langle 1, 0 \rangle \stackrel{!}{=} \langle x_1, y_1 \rangle \tag{19}$$

$$\langle x_1, y_1 \rangle \otimes \langle 1, 0 \rangle = \langle x_1 \cdot 1, x_1 \cdot 0 + y_1 \cdot 1 \rangle \tag{20}$$

$$= \langle x_1, y_1 \rangle \tag{21}$$

$$= \langle 1, 0 \rangle \otimes \langle x_1, y_1 \rangle \tag{def. } \otimes) \tag{22}$$

Axiom 3

 \otimes distributes left and right over \oplus :

$$\langle x_1, y_1 \rangle \otimes (\langle x_2, y_2 \rangle \oplus \langle x_3, y_3 \rangle) \stackrel{!}{=} (\langle x_1, y_1 \rangle \otimes \langle x_2, y_2 \rangle) \oplus (\langle x_1, y_1 \rangle \otimes \langle x_3, y_3 \rangle)$$
 (23)

$$\langle x_{1}, y_{1} \rangle \otimes (\langle x_{2}, y_{2} \rangle \oplus \langle x_{3}, y_{3} \rangle) = \langle x_{1}, y_{1} \rangle \otimes \langle x_{2} + x_{3}, y_{2} + y_{3} \rangle$$
 (def. \oplus) (24)

$$= \langle x_{1} \cdot (x_{2} + x_{3}), x_{1} \cdot (x_{2} + x_{3}) + y_{1} \cdot (y_{2} + y_{3}) \rangle$$
 (def. \otimes) (25)

$$= \langle (x_{1} \cdot x_{2}) + (x_{1} \cdot x_{3}), (x_{1} \cdot y_{2}) + (y_{1} \cdot x_{2}) + (x_{1} \cdot y_{3}) + (y_{1} \cdot x_{3}) \rangle$$
 (def. \otimes) (26)

$$= \langle x_{1} \cdot x_{2}, (x_{1} \cdot y_{2}) + (y_{1} \cdot x_{2}) \rangle \oplus \langle x_{1} \cdot x_{3}, (x_{1} \cdot y_{3}) + (y_{1} \cdot x_{3}) \rangle$$
 (def. \oplus) (27)

$$=(\langle x_1, y_1 \rangle \otimes \langle x_2, y_2 \rangle) \oplus (\langle x_1, y_1 \rangle \otimes \langle x_3, y_3 \rangle) \tag{def. } \otimes) \tag{28}$$

$$(\langle x_2, y_2 \rangle \oplus \langle x_3, y_3 \rangle) \otimes \langle x_1, y_1 \rangle \stackrel{!}{=} (\langle x_2, y_2 \rangle \otimes \langle x_1, y_1 \rangle) \oplus (\langle x_3, y_3 \rangle \otimes \langle x_1, y_1 \rangle)$$
 (29)

$$(\langle x_2, y_2 \rangle \oplus \langle x_3, y_3 \rangle) \otimes \langle x_1, y_1 \rangle = (\langle x_2 + x_3, y_2 + y_3 \rangle) \otimes \langle x_1, y_1 \rangle \qquad (\text{def. } \oplus) \qquad (30)$$

$$= \langle (x_2 + x_3) \cdot x_1, (x_2 + x_3) \cdot y_1 + (y_2 + y_3) \cdot x_1 \rangle \qquad (\text{def. } \otimes) \qquad (31)$$

$$= \langle (x_2 \cdot x_1) \cdot (x_3 \cdot x_1), (x_2 \cdot y_1) + (x_3 \cdot y_1) + (y_2 \cdot x_1) + (y_3 \cdot x_1) \rangle \qquad (\text{diss. } \cdot) \qquad (32)$$

$$= \langle x_2 \cdot x_1, x_2 \cdot y_1 + y_2 \cdot x_1 \rangle \oplus \langle x_3 \cdot x_1, x_3 \cdot y_1 + y_3 \cdot x_1 \rangle \qquad (\text{def. } \oplus) \qquad (33)$$

$$=(\langle x_2, y_2 \rangle \otimes \langle x_1, y_1 \rangle) \oplus (\langle x_3, y_3 \rangle \otimes \langle x_1, y_1 \rangle)$$
 (def. \otimes) (34)

Axiom 4

$$\langle 0, 0 \rangle \otimes \langle x_1, y_1 \rangle \stackrel{!}{=} \langle 0, 0 \rangle \stackrel{!}{=} \langle x_1, y_1 \rangle \otimes \langle 0, 0 \rangle \tag{35}$$

$$\langle 0, 0 \rangle \otimes \langle x_1, y_1 \rangle = \langle 0 \cdot x_1, 0 \cdot y_1 + 0 \cdot x_1 \rangle \tag{def. } \otimes) \tag{36}$$

$$= \langle 0, 0 \rangle \tag{37}$$

$$= \langle x_1 \cdot 0, x_1 \cdot 0 + y_1 \cdot 0 \rangle \tag{38}$$

$$= \langle x_1, y_1 \rangle \otimes \langle 0, 0 \rangle \tag{def. } \otimes) \tag{39}$$

With this we have proven that the **expectation semiring** is a semiring.

b)

The definition of the forward algorithm is as follows:

Algorithm 1: Forward algorithm

$$\overline{\beta(w, t_0)} = \mathbb{K}$$

$$\mathbf{for} \ i = 1 \ \mathbf{to} \ N \ \mathbf{do}$$

$$\mid v_t = \bigoplus_{t_i \in T} v_i \otimes \mathbf{end}$$

 $\mathbf{c})$