

Today: classification of modular forms/functions.

Thm 1: Any modular form is a polynomial in  $E_4(z)$  and  $E_6(z)$ .

Thm 2: Any mero. modular fun. is a rational fun in  $j(z)$

$E_{2k}(z)$  modular forms of wt  $2k$ , where  $k \geq 2$ .

$$\underline{E_{2k}(q)}$$
$$q = e^{2\pi iz}$$


Power series expansion of  $E_{2k}$  at  $q=0$ :

(you can find in the textbook)

$$\dots - z^2 + \dots = \sum_{n \in \mathbb{Z}} \frac{1}{(2z+n)^{2k}}$$

$$\dots - z^{-1} + z^0 + z^1 + z^2 + \dots = \sum_{n \in \mathbb{Z}} \frac{1}{(z+n)^{2k}}$$

$$\dots - \frac{1}{2} - \frac{1}{1} \quad \times \quad \frac{1}{0} \quad \frac{1}{1} + \frac{1}{2} + \dots = \zeta(2k)$$

$$\frac{1}{(-1)^{2k}} + \frac{1}{(-2)^{2k}} + \dots$$

$\parallel$

$$\zeta(2k)$$
$$\sum_{(m,n) \neq (0,0)} \frac{1}{(m+nz)^{2k}}$$

For each  $\sum_{n \in \mathbb{Z}} \frac{1}{(nz+n)^{2k}}$ , we can use

$$\frac{\pi}{\tan(\pi z)} = \frac{1}{iz} + \sum_{n \in \mathbb{Z} \setminus \{0\}} \left( \frac{1}{iz-n} + \frac{1}{n} \right).$$

$$E_{2k}(q) = 2^k \zeta(2k) \left[ 1 - \frac{4k}{B_{2k}} \sum_{r \geq 1} \sigma_{2k-1}(r) q^r \right]$$

where  $\frac{x}{e^x - 1} = \sum_{k \geq 0} \frac{x^k}{k!}$ , Bernoulli #

$k$	0	1	2	3	4	5	6	7	8	9	10	11	12
$B_k$	1	$-\frac{1}{2}$	$\frac{1}{4}$	0	$\frac{1}{30}$	0	$\frac{1}{42}$	0	$-\frac{1}{30}$	0	$\frac{5}{66}$	0	$\frac{691}{2730}$

$$\sigma_{2k-1}(r) = \sum_{d \mid r} d^{2k-1}$$

$$\tilde{E}_4(q) = 1 + 240 \sum_{r \geq 1} \sigma_3(r) q^r$$

$$\tilde{E}_6(q) = 1 - 504 \sum_{r \geq 1} \sigma_5(r) q^r$$

$$\tilde{E}_8(q) = 1 + 480 \sum_{r \geq 1} \sigma_7(r) q^r$$

$$\tilde{E}_{10}(q) = 1 - 264 \sum_{r \geq 1} \sigma_9(r) q^r$$

$$\tilde{E}_{12}(q) = 1 + \frac{65520}{691} \sum_{r \geq 1} \sigma_{11}(r) q^r$$


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Recall: If  $f_1, f_2$  are modular forms of the same wt,  
then  $f_1/f_2$  is a modular fn.

$$\begin{aligned}
 \tilde{E}_4(q)^2 &= \left( 1 + 240 \sum_{r \geq 1} \delta_3(r) q^r \right)^2 \\
 &= (1 + 240(1^3)q + 240(1^3+2^3)q^2 \\
 &\quad + 240(1^3+3^3)q^3 + \dots)^2 \\
 &= (1 + 240q + 2160q^2 + 6720q^3 + \dots)^2 \\
 &= 1 + 480q + 61920q^2 + 1,050,240q^3 + \dots
 \end{aligned}$$

$$\begin{aligned}
 \tilde{E}_8(q) &= 1 + 480 \sum_{r \geq 1} \delta_7(r) q^r \\
 &= 1 + 480q + 480(1^7+2^7)q^2 + 480(1^7+3^7)q^3 \\
 &\quad + \dots \\
 &= 1 + 480q + 61920q^2 + 1,050,240q^3 + \dots
 \end{aligned}$$

It turns out that  $\boxed{\tilde{E}_4(q) = \tilde{E}_8(q)} !!$

$$\Rightarrow \tilde{E}_8(q) = 1 + 480 \sum \delta_7(r) q^r$$

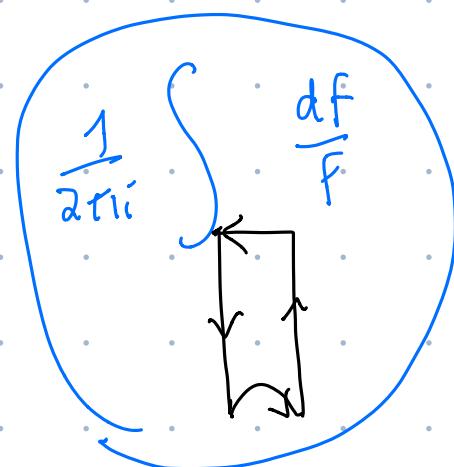
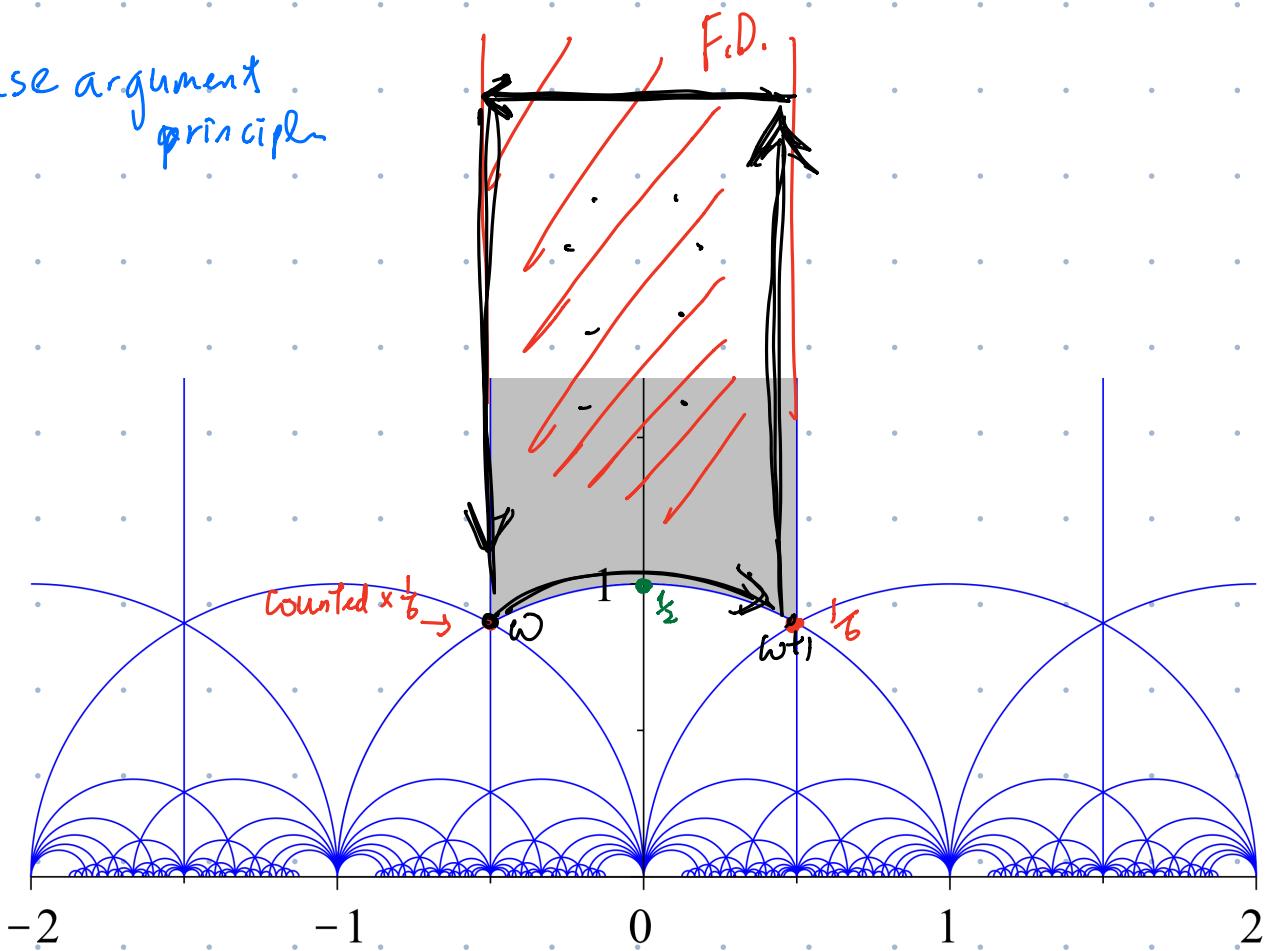
$$\begin{aligned}
 \tilde{E}_4(q)^2 &= (1 + 240 \sum \delta_3(r) q^r)(1 + 240 \sum \delta_3(s) q^s) \\
 &= 1 + 240 \sum_{n \geq 1} \left( 2\delta_3(n) + 240 \sum_{p+q=n} \delta_3(p)\delta_3(q) \right) q^n
 \end{aligned}$$

$$\boxed{\delta_7(r) = \delta_3(r) + 120 \sum_{\substack{p+q=r \\ p,q \geq 1}} \delta_3(p)\delta_3(q)}$$

Key thm:  $f$  = modular form of wt  $k$ .

# zeros of  $f$  in the F.D. (including  $\infty$ ) is  $\frac{k}{12}$ .  
(of  $SL_2(\mathbb{Z}) \cap \mathbb{H}$ )

Pf: use argument principle

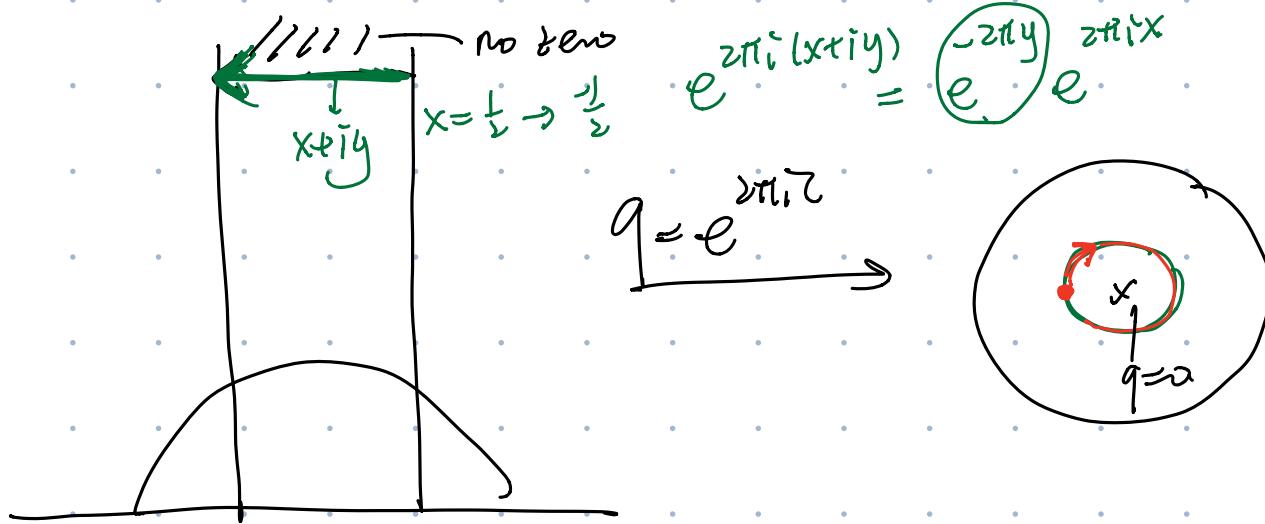


+ order of zero of  
f at  $\infty$

= # zeros of f  
in the F.D.

What we  
want to  
compute.

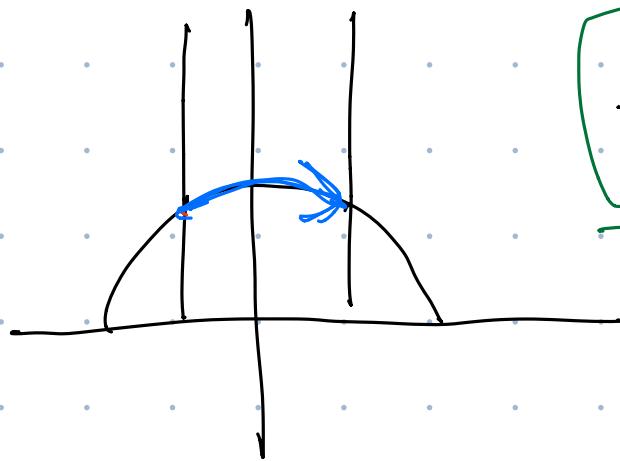
$$\int \frac{df}{f} + \int \frac{df}{f} = 0. \quad b/c \quad f(z) = f(z+1).$$



$$\frac{1}{2\pi i} \left( \int_{q \rightarrow 0} \frac{df}{f} \right) = \frac{1}{2\pi i} \left( \int_{q \rightarrow 0} \frac{df}{f} \right)$$

= - order of zero of  $f$  at  $q=0$   
(or  $z=i\infty$ )

So, what we need to show is:



$$\frac{1}{2\pi i} \left( \int_{q \rightarrow 0} \frac{df}{f} \right) = \frac{k}{12}$$

If  $f$  is modular form of level  $K$ .

$$z \mapsto \frac{-1}{z}$$

$$\frac{1}{2\pi i} \left( \int_{q \rightarrow 0} \frac{df}{f} \right) - \left( \int_{q \rightarrow 0} \frac{df}{f} \right)$$

$$= \frac{k}{12}, \quad \square$$

$$\boxed{f\left(-\frac{1}{z}\right) = z^k f(z)}$$

$$\begin{aligned}
 \oint \frac{df}{f} &= \oint \frac{df(\frac{1}{z})}{f(\frac{1}{z})} = \oint \frac{d(z^k f(z))}{z^k f(z)} \\
 &= \oint \frac{k z^{k-1} f(z) + z^k f'(z)}{z^k f(z)} dz \\
 &= \oint \frac{k}{z} dz + \oint \frac{f'}{f} dz \\
 &\xrightarrow{\text{unit circle}} -\frac{2\pi i}{12} \cdot k
 \end{aligned}$$

Ex: The only modular forms of wt 0 (holo. in  $H$ ,  $\infty$ ) are the constant funcs.

wt	# zeros in the FD.	modular forms	dimension.
0	0	const.	1.
2	$\frac{1}{6}$	No	0
4	$\frac{1}{3}$ (Simple zeros at $\omega, \omega+1$ )	$E_4$ .	1

$f_1, f_2$  both have simple zeros at  $\omega, \omega+1$ , and they don't have any other zeros in  $F(\mathbb{D})$ . Suppose  $f_1, f_2$  mod. forms of wt 4.  $f_1/f_2$  holds on  $H \cup i\infty$

6

$$\frac{1}{2}$$

(Simple zero at  $i$ )

$$E_6$$

1

8

$$\frac{2}{3}$$

$$\tilde{E}_8 = \tilde{E}_4^2$$

1

(double zeros at  $\omega, \omega+1$ )

10

$$\frac{5}{6}$$

(Simple zeros at  $\underline{\omega}, \underline{\omega+1}, \underline{i}$ )

$$\tilde{E}_{10} = \tilde{E}_4 \tilde{E}_6$$

1

12

$$1$$

$$\underbrace{E_4}_3, \underbrace{E_6}_2, E_8, \dots$$

??

②

$\tilde{E}_4^3 - \tilde{E}_6^2$  : q-expansion has const term = 0.

$\Rightarrow \tilde{E}_4^3 - \tilde{E}_6^2$  has a zero at  $i\infty$

$\Rightarrow$  it's nonvanishing on  $H$ .

$\Delta := \frac{\tilde{E}_4^3 - \tilde{E}_6^2}{1728}$  modular form of wt 12,

has zero at  $i\infty$ ,

nonvanishing on  $H$ .

Claim: dim of (modular form of wt 12) = 2.

PF given any f modular form of wt 12,

$$f(q) = a_0 + a_1 q + \dots$$

Consider

$$\boxed{f - a_0 \tilde{E}_4^3}$$

has zero at  $i\infty$

$$\Rightarrow f - a_0 \tilde{E}_4^3 = (\text{const.}) \Delta$$

$$\Rightarrow f \in \text{Span} \{ \tilde{E}_4^3, \Delta \}. \quad \square$$

Thm Any modular form is a polynomial in  $\tilde{E}_4, \tilde{E}_6$ .

PF: f modular form of wt  $k > 12$ , even

• Find some  $\frac{\tilde{E}_4^a \tilde{E}_6^b}{\Delta}$  s.t. it's a modular form of wt k.

•  $f - \frac{\tilde{E}_4^a \tilde{E}_6^b}{\Delta}$  has a zero at  $i\infty$

$$\Rightarrow \frac{f - \frac{\tilde{E}_4^a \tilde{E}_6^b}{\Delta}}{\Delta} \text{ modular form}$$

of wt  $k-12$



## Back to modular forms:

Find all mero. modular forms on  $H \cup \{\infty\}$

$$(f(z) = f(z+1) = f\left(\frac{1}{z}\right))$$

Consider

$$\tilde{j}(z) = \frac{\tilde{E}_4(z)^3}{\Delta(z)}$$

$$\Delta(z) = \frac{\tilde{E}_4^3 - \tilde{E}_6^2}{1728}$$

- Holo. on  $H$ , has a simple pole at  $\infty$

- $\tilde{j}(z)$  has zeros of order 3 at  $\omega, \omega\tau$

- $\tilde{j}(z) - 1728 = \frac{\tilde{E}_6}{\Delta(z)}$  has zero of order 2 at  $\bar{i}$

around  $q=0$ ,

$$\tilde{j}(q) = q^{-1} + 744 + 196884q + \dots$$

Thm Any mero. modular fun. is a rational fun of  $\tilde{j}(z)$ .

(i.e.  $= \frac{P(\tilde{j}(z))}{Q(\tilde{j}(z))}$  where  $P, Q$  poly.)

PF:  $f$  mero. modular fun.

- get rid of poles of  $f$  in  $H$  by

multiplying  $\tilde{j}(z) - \tilde{j}(z_b)$

→ reduce to the case where  $f$  is holo. in  $H$ .

- around  $q=0$ ,  $f(q) = a_{-n} q^{-n} + \dots$

Consider

$$\boxed{a_n j(q)^n} = a_n q^{-n} + \dots$$

$$\boxed{f(q) - a_n j(q)^n} = b_{n+1} q^{-n-1} - \dots$$

~~modular~~ function,  
holo. in  $\mathbb{H}$

$$\boxed{f(q) - a_n j(q)^n - a_{n+1} j(q)^{n-1} - \dots - a_1 j(q)}$$

holo. at  $i\omega$

const.