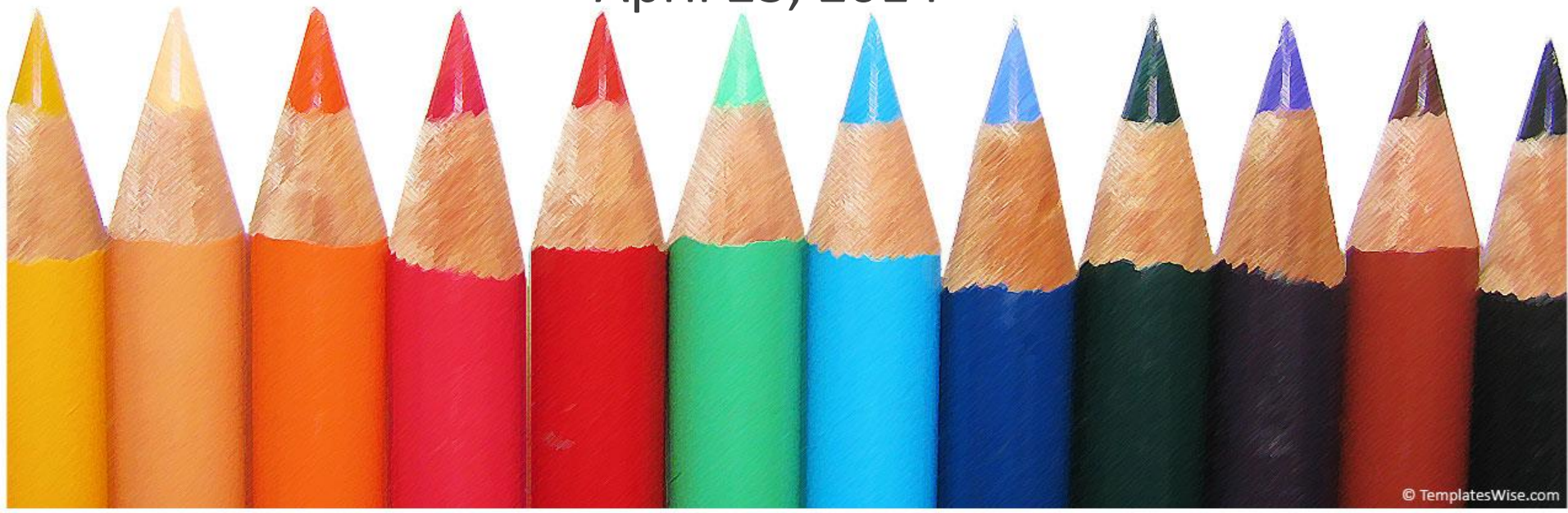


Reordering Buffer Management

Xiaoxi Zhang
April 23, 2014



Layout

- Problem Definition
- Motivation
- Related Work
- Algorithm:

Almost Tight Bounds for Reordering Buffer Management,
Anna Adamaszek and Artur Czumaj, STOC 2011



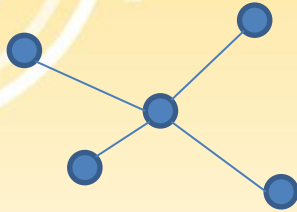
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Problem Definition



--Based on Star Metric

Input:

A sequence θ of n colored items;

Color set C

Buffer size k ;

Cost metric w_c (the cost for switching **to** a color $c \in C$)

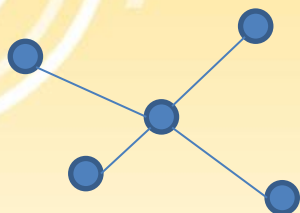
Output:

A permutation sequence σ of the input sequence θ

Objective:

Minimize the total cost switching cost

Problem Definition



--Based on Uniform Metric

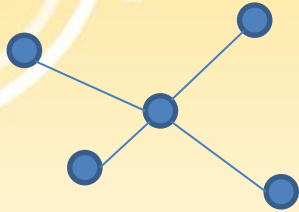
θ



Cost: 0

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

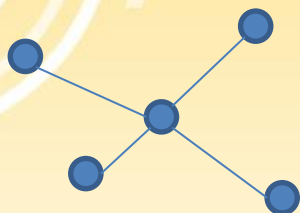
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Cost: 0

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

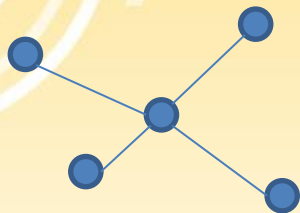
θ



Cost: 0

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

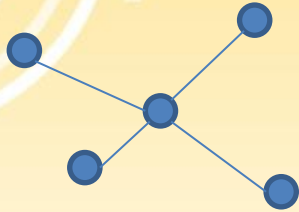
θ



Cost: 0

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Problem Definition



--Based on Uniform Metric

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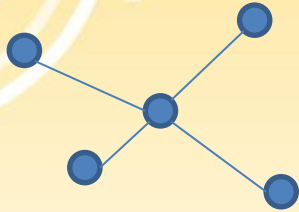
σ



Cost: 1

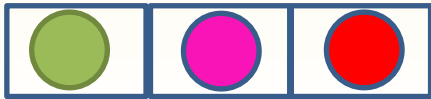
w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



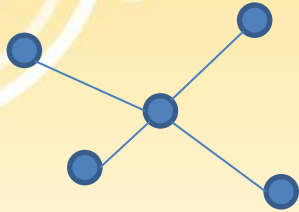
σ



Cost: 1

w_g w_p w_b w_r

Problem Definition

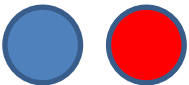


--Based on Uniform Metric

θ



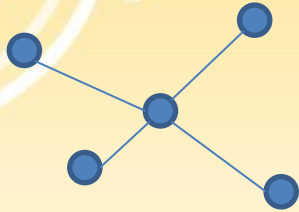
σ



Cost: 2

w_g w_p w_b w_r

Problem Definition

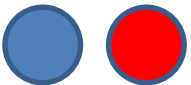


--Based on Uniform Metric

θ



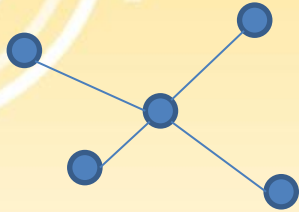
σ



Cost: 2

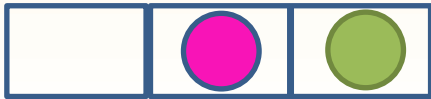
w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



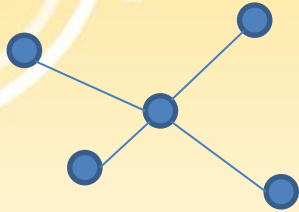
σ



Cost: 3

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



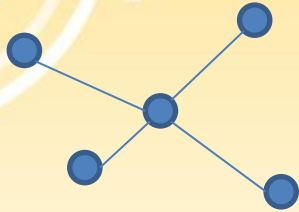
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Cost: 3

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



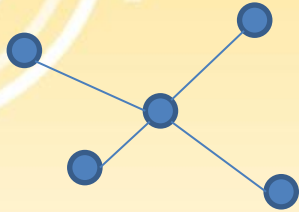
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Cost: 3

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Problem Definition



--Based on Uniform Metric

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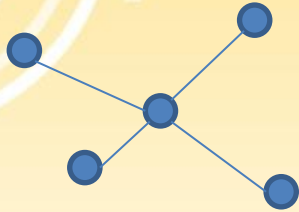
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Cost: 3

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Problem Definition



--Based on Uniform Metric

θ



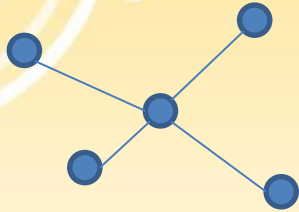
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Cost: 3

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Problem Definition



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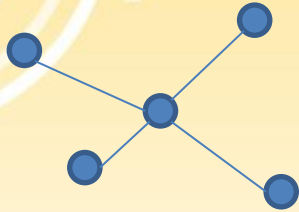
σ



Cost: 3

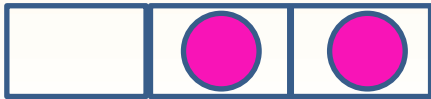
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Problem Definition



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θ



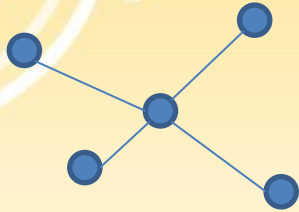
σ



Cost: 4

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



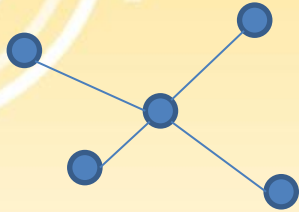
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Cost: 4

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



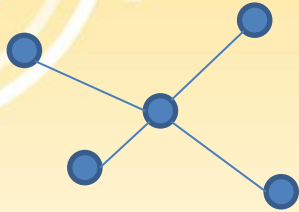
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Cost: 4

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Problem Definition



--Based on Uniform Metric

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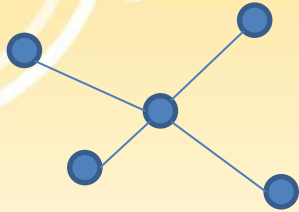
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Cost: 4

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



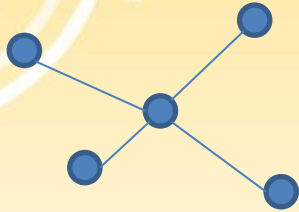
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Cost: 4

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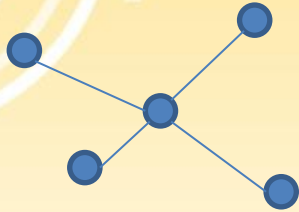
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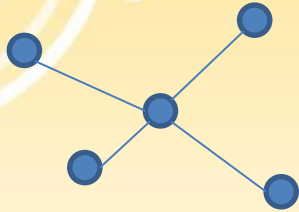
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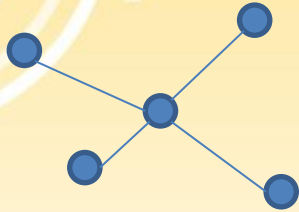
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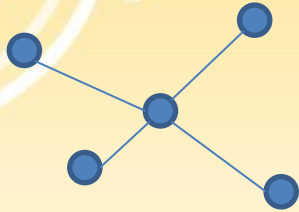
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Cost: 4

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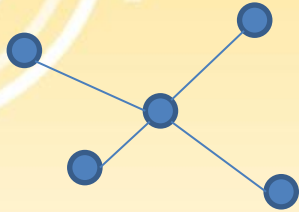
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Cost: 4

w_g w_p w_b w_r

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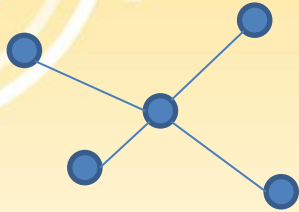
σ



Cost: 5

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



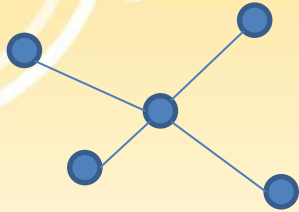
σ



Cost: 5

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



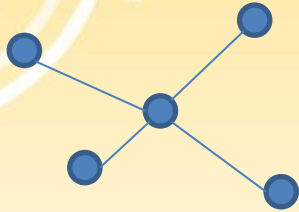
σ



Cost: 5

w_g w_p w_b w_r

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θ



σ



Cost: 5

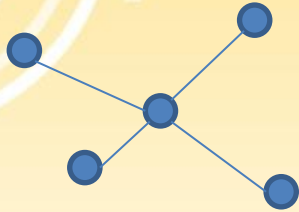
w_g

w_p

w_b

w_r

Problem Definition



--Based on Uniform Metric

θ



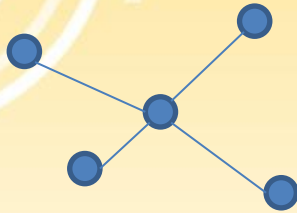
σ



Cost: 6

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



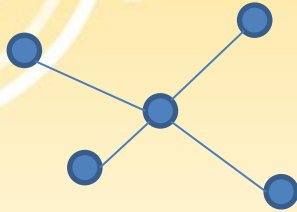
σ



Cost: 6

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



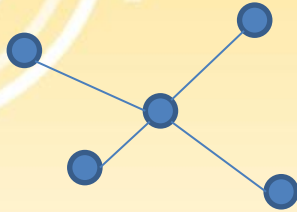
σ



Cost: 6

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



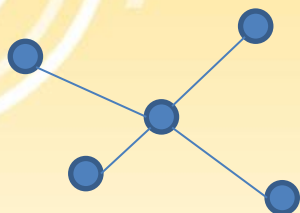
σ



Cost: 7

w_g w_p w_b w_r

Problem Definition



--Based on Uniform Metric

θ



σ



Cost: 8

w_g w_p w_b w_r

Layout

- Problem Definition
- **Motivation**
- Related Work
- Algorithm:

Almost Tight Bounds for Reordering Buffer Management,
Anna Adamaszek and Artur Czumaj, STOC 2011



Motivation

- Numerous Application
 - Automotive assembly paint shop
 - Graphic rendering processors, storage systems network optimization
 - Inverted index compression
- Buffers are pervasive in computer and production systems
- Simple, elegant, natural, non-trivial, and appealing model (a sensible generalization of lookahead)

A sensible generalization of **LOOKAHEAD**

- There are different variations on the exact type of information provided to the algorithm under **lookahead** but arguably the most common one is to assume that, at every point in time, the algorithm has knowledge of the attributes of the next k tasks to arrive. This assumption is justified by the fact that, in practice, tasks may not always strictly arrive one-by-one and therefore, **a certain number of tasks are always waiting in a queue to be processed.**
- In recent years, so-called reordering buffers have been studied as a sensible generalization of **lookahead**. The basic idea is that, in problem settings where the **order** in which the tasks are processed is not important, we can permit a scheduling algorithm to **choose to process any task waiting in the queue.** This stands in contrast to look-ahead, where the algorithm has knowledge of all the tasks in the queue but still has to process them in the order they arrived.

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Related Work

- Mostly in the online setting (competitive analysis)
 - Upper bounds[RSW'02, EW'05, AR'10, STOC'11]—STOC'11($\Omega(\log \log k)$)
 - Lower bounds[STOC'11] $\Omega(\sqrt{\log k / \log \log k})$ (det.) $O(\sqrt{\log k})$ (rand.)
- The algorithms balance between removing large color blocks and removing older color blocks
- E.g. the following algorithm gives $O(\log k)$
 - While the buffer contains an item of the current color,
 - Switch to a color with maximum total penalty and
 - Penalize each item the buffer by $1/k$.
- The problem is NP-hard[AKM'10]

lower bound VS upper bound

- Lower bound (all the algorithms)
 - As for online, it aims at the competitive ratio of all the online algorithms (NP-hard problems: offline algorithms should also be bounded)
- Upper bound (all the sequences)
 - As for online, it aims at designing new online algorithms to get smaller competitive ratio
- People attempt to make lower bound and upper bound more and more tight
- We focus on the upper bound of an online algorithm

Layout

- Problem Definition
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Almost Tight Bounds for Reordering Buffer Management, Anna Adamaszek and Artur Czumaj, STOC 2011

- Premise

➤ Class

- Lower bound of any online algorithms
- Online algorithm (upper bound)

➤ penalty



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- Premise

➤ Class

- Lower bound of any online algorithms
- Online algorithm (upper bound)

➤ penalty



Partition the buffer

- Class – A color is in class i , $i=1,2,\dots,\log k$ at time t if the algorithm stores between 2^{i-1} to 2^i elements of this color at time t .



Almost Tight Bounds for Reordering Buffer Management, Anna Adamaszek and Artur Czumaj, STOC 2011

- Premise
 - partition of the buffer
- Lower bound of any online algorithms
- Online algorithm (upper bound)
 - penalty



lower bound of any online alg.

- Basic idea:
 - For any online algorithms of this problem, we can find a sequence (worst sequence)
 - There exists an OPT under this worst sequence consuming smaller cost than any online algorithms
 - The gap grows to $\Omega(\sqrt{\log k / \log \log k})$
- The sequence must satisfy some properties:
 - Define OPT' whose buffer has a size of $(1 + \alpha)k$
 - OPT' can output a permutation σ , in which the number of color changes equals to that of different colors in

Almost Tight Bounds for Reordering Buffer Management, Anna Adamaszek and Artur Czumaj, STOC 2011

- Premise
 - Class
- Lower bound of any online algorithms
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Penalty(from a survey)

- The previous algorithms introduce a penalty counter for each color
- PENALTY:
 - Initially set penalty for each color to 0
 - Incase you need to do a color-change:
 - Increase the penalty of each color by the number of elements that are in the buffer (uniform metric).
 - Switch to an arbitrary color. Set its counter to 0

The online algorithm

Penalty distribution + Marking:

- Initially set penalty for each color P_c to 0.
- If there is no marked color choose a class and mark all colors in this class
- Switch to an arbitrary marked color. Unmark the color. Set its counter P_c to zero
- Increase the penalty P_c of every color by a value proportional to the number of elements n_c in color c stored in the buffer

Algorithm 1 Largest Color Class (LCC)

```
1: Output: a new output color
2: // let  $n_c$  denote the number of elements
3: // with color  $c$  in the buffer
4:  $\forall$  colors  $c : t_c \leftarrow \frac{w_c - P_c}{n_c/k}; \quad t \leftarrow \min(\{t_c \mid \text{color } c\} \cup \{P\});$ 
5:  $P \leftarrow P - t; \quad \forall$  colors  $c : P_c \leftarrow P_c + \frac{n_c}{k} \cdot t$ 
6: // the above ensures that  $t$  is small enough such that
7: //  $P \geq 0$  and  $P_c \leq w_c$  for all  $c$ 
8: if  $P = 0$  then
9:   if no marked color exists then
10:     // let  $C_{\max}$  denote the class that occupies
11:     // the largest space in the buffer
12:     mark all colors in  $C_{\max}$ 
13:   end if
14:   // let  $c_m$  denote an arbitrary marked color
15:    $P \leftarrow w_{c_m}$ 
16:    $P_{c_m} \leftarrow 0$ 
17:   unmark color  $c_m$ 
18:   return color  $c_m$  as the new output color
19: else
20:    $c_a \leftarrow \arg \min_c t_c$  // pick color  $c_a$  such that  $P_{c_a} = w_{c_a}$ 
21:    $P_{c_a} \leftarrow 0$ 
22:   unmark color  $c_a$  if it was marked
23:   return color  $c_a$  as the new output color
24: end if
```



Thanks!

Q&A

