

# Data Review

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# Table of contents

- 1 Overview
- 2 SAPT(DFT) Implementation
  - Theory
  - Results
- 3 Three-Body FDDS Dispersion
  - Background
  - Results

# Intermolecular Energies

► Supermolecular approach

$$E_{int} = E_{AB} - E_A - E_B$$

- Straightforward, but cannot separate different types of interactions
  - Can adopt to different electronic structure methods
  - DFT-D3 with proper functional can be both cheap and accurate
- Symmetry-Adapted Perturbation Theory
- Can give details about different types of interactions; important in understanding their nature
  - Not as cheap as DFT-D3
  - SAPT0 is somewhat cheap, but does not include intramonomer correlation

# SAPT(DFT)

- ▶ Attempt to include intramonomer correlation in a cheap way
- ▶ Replaces HF orbitals with KS orbitals
- ▶ Needs to consider orbital response for dispersion terms
- ▶ Exchange-dispersion term needs to be estimated from scaling
- ▶ Investigate the accuracy and efficiency of SAPT(DFT)

## Three-Body Interaction

- ▶ Crucial in computing lattice energies
- ▶ DFT-D3 does not perform well for three-body interaction
- ▶ MP2.5 scales as  $O(N^6)$ , MP2 is  $O(N^5)$  but lacks three-body dispersion
- ▶ Three-body dispersion can be implemented with SAPT(DFT) in  $O(N^5)$
- ▶ Combine MP2 with SAPT(DFT) dispersion to model three-body interaction

# Table of contents

- 1 Overview
- 2 SAPT(DFT) Implementation
  - Theory
  - Results
- 3 Three-Body FDDS Dispersion
  - Background
  - Results

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- 1 Overview
- 2 SAPT(DFT) Implementation
  - Theory
  - Results
- 3 Three-Body FDDS Dispersion
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  - Results



## Idea of SAPT(DFT)

- ▶ SAPT energy in orders of interaction and fluctuation potentials;  $n$  denotes order in  $V$  and  $k, l$  for  $W_A, W_B$

$$H = F_A + F_B + V + W_A + W_B$$

$$E_{int} = \sum_{n=1}^{\infty} \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \left( E_{pol}^{(nkl)} + E_{exch}^{(nkl)} \right)$$

- ▶ SAPT0:  $n = 2, k = l = 0$ , no intramonomer correlation,  $O(N^5)$  cost
- ▶ Many-body SAPT:  $k + l \geq 2$ ,  $O(N^6)$  or higher cost
- ▶ SAPT(DFT): Use Kohn-Sham operator  $K_{A,B}$  instead of Fock operator  $F_{A,B}$ ,  $O(N^5)$  cost
- ▶ Primitive SAPT(DFT) works well on 1st-order terms, but not 2nd-order terms (especially dispersion). Needs orbital response for these terms



## Coupled Dispersion Energy

- Uncoupled dispersion energy in terms of frequency-dependent density susceptibility (FDDS):

$$\begin{aligned}
 E_{disp,u}^{(2)} &= -4 \sum_{ia \in A, jb \in B} \frac{|(ia|jb)|^2}{\epsilon_{ij}^{ab}} \\
 &= -\frac{1}{2\pi} \int_0^\infty d\omega \int d\mathbf{r}_A d\mathbf{r}'_A d\mathbf{r}_B d\mathbf{r}'_B \\
 &\quad \frac{1}{|\mathbf{r}_A - \mathbf{r}_B|} \frac{1}{|\mathbf{r}'_A - \mathbf{r}'_B|} \chi_0^A(\mathbf{r}_A, \mathbf{r}'_A | i\omega) \chi_0^B(\mathbf{r}_B, \mathbf{r}'_B | i\omega)
 \end{aligned}$$

- Kohn-Sham DFT constructs a fictitious system of non-interacting particles, which reproduces the density and energy of the real electronic system
- Kohn-Sham FDDS does not reflect the correct response properties of the electronic system



## Dispersion Term

- ▶ Coupled FDDS from solving TDDFT equations:

$$\chi = \chi_0 + \chi_0 \mathbf{S}^{-1} \mathbf{W} (\mathbf{S} - \chi_0 \mathbf{S}^{-1} \mathbf{W})^{-1} \chi_0$$

- ▶  $\mathbf{S}$  and  $\mathbf{W}$  corresponds to Coulomb metric and xc kernel
- ▶ Pure ALDA kernel good for pure GGA functional, but not for hybrid functional
- ▶ Exact exchange in  $v_{xc} \rightarrow$  increased  $\epsilon_{ij}^{ab} \rightarrow$  decreased  $E_{disp}^{(2)}$

$$E_{disp,u}^{(2)} = -4 \sum_{ia \in A, jb \in B} \frac{|(ia|jb)|^2}{\epsilon_{ij}^{ab}}$$

- ▶ Hybrid ALDA kernel to compensate, or localized HF (LHF) exchange to avoid increase in  $\epsilon_{ij}^{ab}$

# Coupled FDDS with hybrid kernel

$$\chi = \chi'_0 + (\chi'_0 \mathbf{S}^{-1} \mathbf{W} + \mathbf{K}') [\mathbf{S} - (\chi'_0 \mathbf{S}^{-1} \mathbf{W} + \mathbf{K}')]^{-1} \chi'_0$$

$$\mathbf{K}' = [-\xi \mathbf{K}_1(\lambda d) - \xi \mathbf{K}_2(\lambda d) + \xi^2 \mathbf{K}_{21}(\lambda)] (\mathbf{R}^T)^{-1} \mathbf{S}$$

$$[\mathbf{K}_1(\lambda d)]_{PQ} = (P|ar)\lambda_{ar}d_{ar}[(aa'|rr') + (ar'|a'r)](a'r'|\mathbf{Q}|Q)$$

$$[\mathbf{K}_2(\lambda d)]_{PQ} = (P|ar)\lambda_{ar}d_{ar}[(aa'|rr') - (ar'|a'r)](a'r'|\mathbf{Q}|Q)$$

$$\begin{aligned} [\mathbf{K}_{21}(\lambda)]_{PQ} = & (P|ar)\lambda_{ar}[(aa''|rr'') - (ar''|a''r)] \\ & [(a'a''|r'r'') - (a'r''|a''r')](a'r'|\mathbf{Q}|Q) \end{aligned}$$

$$[\mathbf{K}'_2(\lambda)]_{PQ} = (P|ar)\lambda_{ar}[(aa'|rr') - (ar'|a'r)](a'r'|\mathbf{Q})$$

$$\chi'_0 = \chi_0 - \xi \mathbf{K}_2(\lambda)$$

$$(ar|Q) = (ar|\mathbf{Q}|P)(P|\mathbf{R}|Q)$$



## Equation for $E_{disp}^{(2)}$

- ▶ Coupled Kohn-Sham (CKS) FDDS reflects correct response properties of electrons
- ▶  $O(N^5)$  scaling is limited to forming  $\mathbf{K}_1$ ,  $\mathbf{K}_2$ ,  $\mathbf{K}_{21}$  and  $\mathbf{K}'_2$
- ▶ Separates “nontrivial” and “trivial” parts of the code, also highly reduces need of disk I/O operations
- ▶  $E_{disp}^{(2)}$  from coupled FDDS:

$$E_{disp,u}^{(2)} = -\frac{1}{2\pi} \int_0^\infty d\omega \int d\mathbf{r}_A d\mathbf{r}'_A d\mathbf{r}_B d\mathbf{r}'_B \frac{1}{|\mathbf{r}_A - \mathbf{r}_B|} \frac{1}{|\mathbf{r}'_A - \mathbf{r}'_B|} \chi^A(\mathbf{r}_A, \mathbf{r}'_A | i\omega) \chi^B(\mathbf{r}_B, \mathbf{r}'_B | i\omega)$$



## Exchange-Dispersion Term

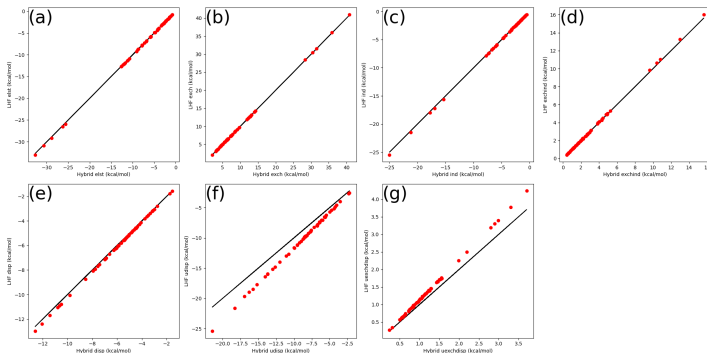
- ▶ Explicit coupled exchange-dispersion not trivial to implement; currently working on this
- ▶ Estimate from scaling uncoupled exchange-dispersion; Scale with ratio in dispersion term or with pre-fitted (with S22×5) fixed factor

$$\tilde{E}_{exch-disp,r}^{(2)} = E_{exch-disp,u}^{(2)} \cdot \frac{E_{disp,r}^{(2)}}{E_{disp,u}^{(2)}}$$

$$\tilde{E}_{exch-disp,r}^{(2)} = \alpha \cdot E_{exch-disp,u}^{(2)} (\alpha = 0.686)$$

- ▶ The value above is fitted from  $E_{exch-disp,u}^{(2)}$  with LHF orbitals
- ▶ Non-LHF orbitals have greater o-v gaps and smaller  $E_{disp,u}^{(2)}$ , needs to re-fit with non-LHF results

# LHF vs non-LHF orbitals



Hybrid vs. LHF values in kcal/mol for each term for S66 data set: (a)  $E_{elst}^{(1)}$ , (b)  $E_{exch}^{(1)}$ , (c)  $E_{ind}^{(2)}$ , (d)  $E_{exch-ind}^{(2)}$ , (e)  $E_{disp,r}^{(2)}$ , (f)  $E_{disp,u}^{(2)}$ , (g)  $E_{exch-disp,u}^{(2)}$



## GRAC

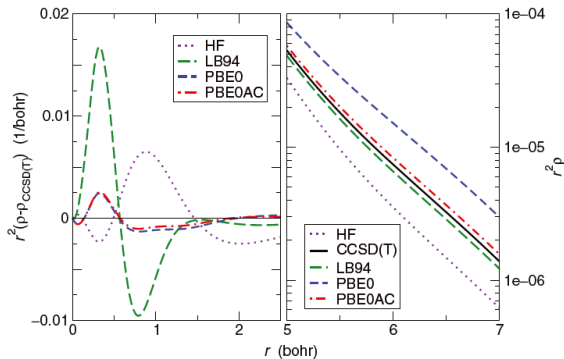
- ▶ (Hybrid-)GGA functionals does not have correct long-range behavior  
 $v_{xc}(r) \rightarrow -1/r + (I_p + \epsilon_{\text{HOMO}})$
- ▶ Underestimates o-v gap as a consequence
- ▶ Functionals like LB94 have correct asymptotic behavior, but poor in bulk region
- ▶ Using gradient-regulated asymptotic correction (GRAC) scheme to connect PBE0 and LB94 with the switching function  $f[g(\mathbf{r})]$ :

$$v_{xc}^{\text{GRAC}} = \{1 - f[g(\mathbf{r})]\} v_{xc}^{\text{PBE0}} + f[g(\mathbf{r})] v_{xc}^{\text{LB94}}$$

$$f[g(\mathbf{r})] = \left(1 + e^{-\alpha[g(\mathbf{r}) - \beta]}\right)^{-1}$$

$$g(\mathbf{r}) = \frac{|\nabla \rho(\mathbf{r})|}{\rho^{4/3}(\mathbf{r})}$$

# GRAC & Long-Range Behavior



Radial densities  $r^2 \rho(r)$  of Ne atom (right) and errors compared to CCSD(T) density (left) for various xc potentials.<sup>1</sup>

<sup>1</sup>G. Jansen, WIREs Comput. Mol. Sci. **4**, 127 (2014).



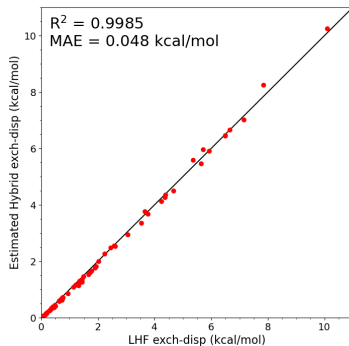
# Table of contents

- 1 Overview
- 2 SAPT(DFT) Implementation
  - Theory
  - Results
- 3 Three-Body FDDS Dispersion
  - Background
  - Results

## Exchange-Dispersion Refitting

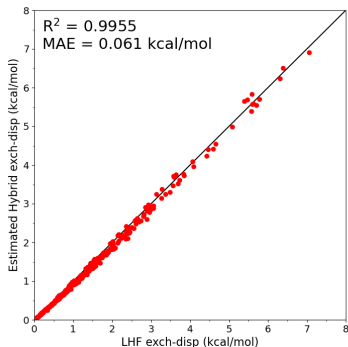
- ▶ Need to fit the uncoupled  $E_{exch-disp,r}^{(2)}$  with non-LHF orbitals on the coupled LHF orbital values (implemented in Molpro)
- ▶ Assuming coupled LHF and non-LHF orbital  $E_{exch-disp,r}^{(2)}$  from the behavior of  $E_{disp,r}^{(2)}$
- ▶ Exchange-related components depend heavily on distance between monomers, sets like S22×5 and S66×8 would be preferred
- ▶ Determine the scaling factor with S22×5, validate with S66×8

# S22×5 Fitting Results



Scaling Factor = 0.770

# S66×8 Validating Results





## Termwise results

- ▶ Compared the SAPT(DFT)/aug-cc-pVTZ results of our code to SAPT(CCSD)/aug-cc-pVTZ results from Korona S2<sup>2</sup>, which consists of 14 small dimers
- ▶ Also comparing the results for S66. SAPT(CCSD) results are not available, used SAPT2+3(CCD) $\delta$ MP2/aug-cc-pVTZ as reference. Also added SAPT0/aug-cc-pVDZ, SAPT2+/aug-cc-pVDZ and SAPT2+(3) $\delta$ MP2/aug-cc-pVTZ into comparison as side-reference.
- ▶ Errors of each system with respect to reference shown as vertical lines
- ▶ Mean absolute error (MAE) and mean unsigned relative error (MURE) listed for S2
- ▶ Color scheme for S66 systems: Hydrogen-bonded (HB, red), mixed-influence (MX, green), dispersion-dominated (DD, blue)

<sup>2</sup>T. Korona, Mol. Phys. **111**, 3705 (2013).

# Korona S2 Results

| Method <sup>a</sup> | MAE   | MURE  | Error Distribution <sup>b</sup> |    |   |   |   |    |
|---------------------|-------|-------|---------------------------------|----|---|---|---|----|
|                     |       |       | 4                               | OB | 1 | 0 | 1 | UB |
| Electrostatics      |       |       |                                 |    |   |   |   |    |
| SAPT(DFT) hybrid    | 0.112 | 2.39  |                                 |    |   |   | █ |    |
| SAPT(DFT) LHF       | 0.114 | 3.68  |                                 |    |   |   | █ |    |
| SAPT0               | 0.520 | 8.61  |                                 |    |   |   | █ |    |
| Exchange            |       |       |                                 |    |   |   |   |    |
| SAPT(DFT) hybrid    | 0.251 | 3.38  |                                 |    |   |   | █ |    |
| SAPT(DFT) LHF       | 0.258 | 3.09  |                                 |    |   |   | █ |    |
| SAPT0               | 1.757 | 12.88 | █                               | █  |   |   |   |    |
| Induction           |       |       |                                 |    |   |   |   |    |
| SAPT(DFT) hybrid    | 0.148 | 2.79  |                                 |    |   |   | █ |    |
| SAPT(DFT) LHF       | 0.192 | 2.97  |                                 |    |   |   | █ |    |
| SAPT0               | 1.993 | 16.83 | █                               | █  |   |   |   |    |
| Exchange-Induction  |       |       |                                 |    |   |   |   |    |
| SAPT(DFT) hybrid    | 0.144 | 4.03  |                                 |    |   |   | █ |    |
| SAPT(DFT) LHF       | 0.165 | 4.76  |                                 |    |   |   | █ |    |
| SAPT0               | 1.551 | 26.80 | █                               | █  |   |   |   |    |

## Korona S2 Results

| Dispersion           |       |       |  |
|----------------------|-------|-------|--|
| SAPT(DFT) hybrid     | 0.175 | 3.68  |  |
| SAPT(DFT) LHF        | 0.141 | 2.77  |  |
| SAPT(DFT) non-hybrid | 0.326 | 9.58  |  |
| SAPT0                | 0.811 | 24.86 |  |
| Exchange-Dispersion  |       |       |  |
| SAPT(DFT) hybrid     | 0.062 | 12.47 |  |
| SAPT(DFT) LHF        | 0.039 | 3.25  |  |
| SAPT0                | 0.265 | 36.11 |  |
| Total                |       |       |  |
| SAPT(DFT) hybrid     | 0.155 | 4.98  |  |
| SAPT(DFT) LHF        | 0.189 | 4.17  |  |
| SAPT(DFT) non-hybrid | 0.244 | 10.64 |  |
| SAPT0                | 1.237 | 19.63 |  |

# S66 Results

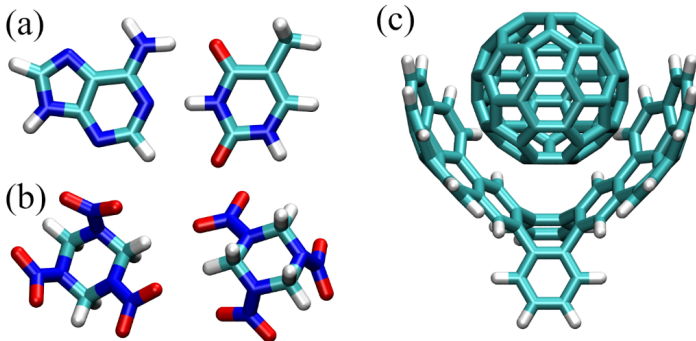
| Method <sup>a</sup>    | Total | HB    | MX    | DD    | Error Distribution <sup>b</sup> |    |   |   |   |    |   |
|------------------------|-------|-------|-------|-------|---------------------------------|----|---|---|---|----|---|
|                        |       |       |       |       | 4                               | OB | 1 | 0 | 1 | UB | 4 |
| Electrostatics         |       |       |       |       |                                 |    |   |   |   |    |   |
| SAPT(DFT) hybrid       | 0.374 | 0.556 | 0.177 | 0.311 |                                 |    |   |   |   |    |   |
| SAPT(DFT) LHF          | 0.423 | 0.666 | 0.196 | 0.319 |                                 |    |   |   |   |    |   |
| SAPT0                  | 0.613 | 1.034 | 0.439 | 0.297 |                                 |    |   |   |   |    |   |
| SAPT2+                 | 0.236 | 0.270 | 0.136 | 0.263 |                                 |    |   |   |   |    |   |
| SAPT2+(3) $\delta$ MP2 | 0.000 | 0.000 | 0.000 | 0.000 |                                 |    |   |   |   |    |   |
| Exchange               |       |       |       |       |                                 |    |   |   |   |    |   |
| SAPT(DFT) hybrid       | 0.886 | 1.127 | 0.426 | 0.926 |                                 |    |   |   |   |    |   |
| SAPT(DFT) LHF          | 0.886 | 1.121 | 0.431 | 0.928 |                                 |    |   |   |   |    |   |
| SAPT0                  | 0.675 | 0.942 | 0.263 | 0.658 |                                 |    |   |   |   |    |   |
| SAPT2+                 | 0.337 | 0.467 | 0.222 | 0.277 |                                 |    |   |   |   |    |   |
| SAPT2+(3) $\delta$ MP2 | 0.000 | 0.000 | 0.000 | 0.000 |                                 |    |   |   |   |    |   |
| Induction              |       |       |       |       |                                 |    |   |   |   |    |   |
| SAPT(DFT) hybrid       | 0.211 | 0.201 | 0.212 | 0.220 |                                 |    |   |   |   |    |   |
| SAPT(DFT) LHF          | 0.224 | 0.223 | 0.223 | 0.225 |                                 |    |   |   |   |    |   |
| SAPT0                  | 0.241 | 0.200 | 0.261 | 0.271 |                                 |    |   |   |   |    |   |
| SAPT2+                 | 0.327 | 0.384 | 0.250 | 0.318 |                                 |    |   |   |   |    |   |
| SAPT2+(3) $\delta$ MP2 | 0.152 | 0.179 | 0.121 | 0.145 |                                 |    |   |   |   |    |   |



# S66 Results

| Dispersion             |       |       |       |       |            |
|------------------------|-------|-------|-------|-------|------------|
| SAPT(DFT) hybrid       | 0.370 | 0.260 | 0.219 | 0.573 | ██████████ |
| SAPT(DFT) LHF          | 0.308 | 0.200 | 0.173 | 0.499 | ██████████ |
| SAPT(DFT) non-hybrid   | 0.635 | 0.581 | 0.419 | 0.822 | ██████████ |
| SAPT0                  | 0.443 | 0.862 | 0.162 | 0.195 | ██████████ |
| SAPT2+                 | 0.235 | 0.397 | 0.169 | 0.115 | ██████████ |
| SAPT2+(3) $\delta$ MP2 | 0.093 | 0.129 | 0.056 | 0.080 | ██████████ |
| Total                  |       |       |       |       |            |
| SAPT(DFT) hybrid       | 0.334 | 0.588 | 0.107 | 0.217 | ██████████ |
| SAPT(DFT) LHF          | 0.234 | 0.382 | 0.046 | 0.199 | ██████████ |
| SAPT(DFT) non-hybrid   | 0.604 | 0.955 | 0.389 | 0.385 | ██████████ |
| SAPT0                  | 0.990 | 1.197 | 0.692 | 0.965 | ██████████ |
| SAPT2+                 | 0.230 | 0.235 | 0.138 | 0.280 | ██████████ |
| SAPT2+(3) $\delta$ MP2 | 0.105 | 0.056 | 0.082 | 0.169 | ██████████ |

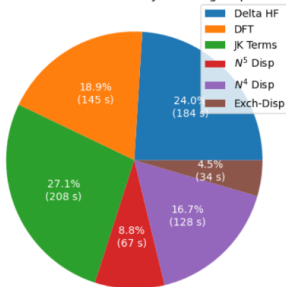
# Timing Performance



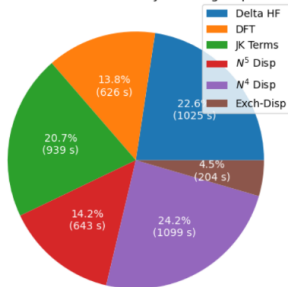
Dimer systems for timing: (a) Watson-Crick adenine-thymine complex, (b) RDX dimer, (c)  $C_{60}$ -buckycatcher complex.

# Watson-Crick Adenine-Thymine

(a) Watson-Crick Adenine-Thymine aug-cc-pVDZ

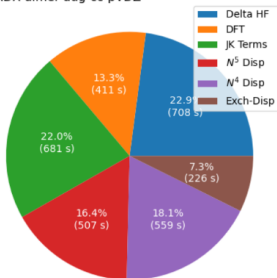


(b) Watson-Crick Adenine-Thymine aug-cc-pVTZ

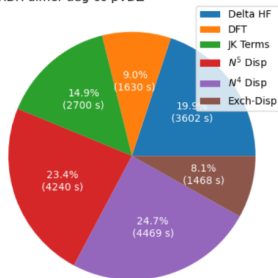


# RDX Dimer

(c) RDX dimer aug-cc-pVDZ



(d) RDX dimer aug-cc-pVDZ



# Comparison with LHF Approach

TABLE IV. Wall times (in hours) for SAPT(DFT) computations of RDX dimer/aug-cc-pVTZ with hybrid and LHF algorithm.

| Subroutine               | Hybrid | LHF              |
|--------------------------|--------|------------------|
| Delta HF                 | 0.96   | N/A <sup>a</sup> |
| DFT                      | 0.45   | 2.29             |
| xc kernel                | 0.08   | 4.17             |
| FDOS object <sup>b</sup> | 2.35   | N/A              |
| Disp time integration    | 0.37   | 3.59             |
| Exch-disp                | 0.41   | 1.99             |
| Total                    | 5.03   | 12.80            |

<sup>a</sup> The  $\delta$ HF correction, recommended for SAPT(DFT) computations of polar molecules, is performed by default in Psi4 but not in Molpro.

<sup>b</sup> Including integral transformation, form X/form Y (the  $O(N^5)$  part) and QR factorization. In Molpro, the integral transformation is integrated with other terms, and the other steps are not relevant for LHF.



## $C_{60}$ –Buckycatcher Complex

- ▶  $N_{bf} = 3012, N_{aux} = 9284$  with aug-cc-pVDZ basis set
- ▶ Using Intel i9-10980XE processor with 18 cores, completed entire calculation in 4.03 days
- ▶ 42.7 hours for  $E_{disp}^{(2)}$ ; 20.1 hours for the  $O(N^5)$  subroutines
- ▶ Cost of DFT and  $E_{ind}^{(2)}$  still somewhat significant

# Table of contents

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  - Results
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  - Background
  - Results





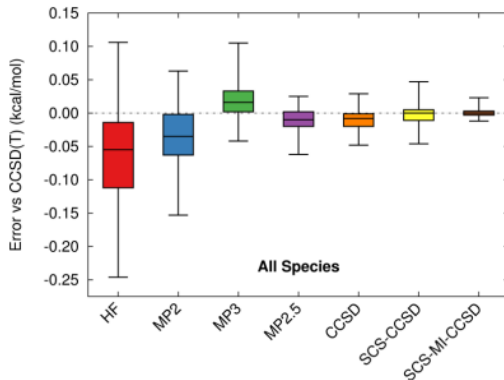
## 3B-69 Benchmark Set

- ▶ Benchmark set for 3-body interaction energies
- ▶ 69 trimers extracted from 23 different molecular crystal structures (3 each)
- ▶ Used focal point approach to obtain CCSD(T) (and other wavefunction method) energies

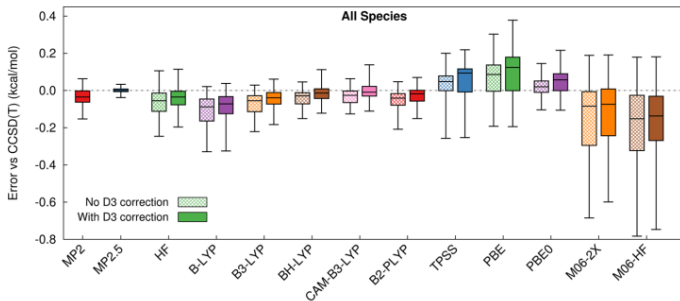
$$E = E^{HF}(aQZ) + \Delta E^{MP2}(aTZ/aQZ) + \Delta E^{CCSD(T)}(aDZ)$$

- ▶ Assessing accuracy for various wavefunction and DFT methods
- ▶ Recommended MP2.5 and SCS-MI-CCSD, both  $O(N^6)$
- ▶ Trying to assess performance of MP2 + FDDS dispersion for 3B-69 systems

# 3B-69 Wavefunctional Methods



# 3B-69 DFT Methods



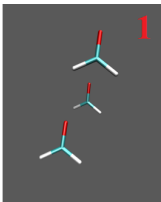
## New Set from X23

- ▶ Sampling trimer geometries from X23 crystal structures
- ▶ Trying to include trimer with different intermolecular distances and alignment
- ▶ Aiming to serve as a "three-body version" of  $S22 \times 5 / S66 \times 8$
- ▶ Distance: Geometry mean of 3 pairwise closest contact distance
- ▶ Alignment: Angles of the COM triangle; mainly looking at the greatest angle

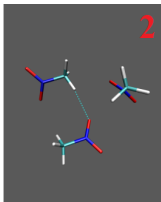
# Table of contents

- 1 Overview
- 2 SAPT(DFT) Implementation
  - Theory
  - Results
- 3 Three-Body FDDS Dispersion
  - Background
  - Results

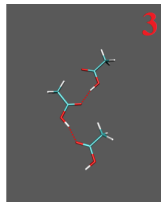
## 3B-69 Systems



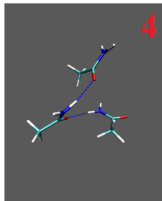
formaldehyde b



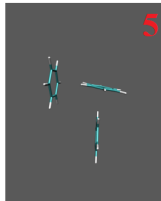
nitromethane a



acetic acid b



acetamide a



benzene c

## 3B-69 Results

- ▶ Total interaction energies in kcal/mol
- ▶ FDDS(aDZ) and FDDS(aTZ) correspond to estimated total IE with MP2 + FDDS

| System   | CCSD(T) | FDDS(aDZ) | FDDS(aTZ) | MP2    | MP2.5  |
|----------|---------|-----------|-----------|--------|--------|
| <b>1</b> | 0.181   | 0.207     | 0.210     | 0.161  | 0.179  |
| <b>2</b> | -0.122  | -0.069    | -0.065    | -0.178 | -0.143 |
| <b>3</b> | -0.922  | -0.905    | -0.904    | -0.937 | -0.913 |
| <b>4</b> | -0.089  | -0.003    | -0.003    | -0.239 | -0.151 |
| <b>5</b> | -0.027  | 0.002     | 0.003     | -0.061 | -0.023 |

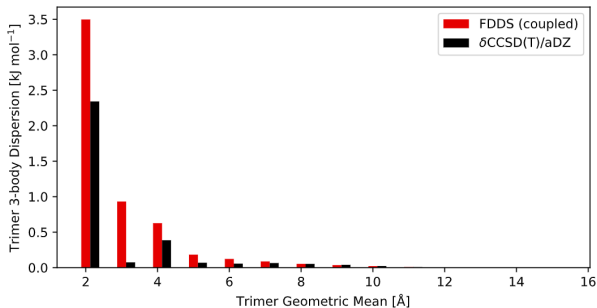
## 3B-69 Results

- ▶ Estimated 3-body dispersion energies comparison
- ▶ CCSD(T) corresponds to dispersion energy estimated by  $E^{CCSD(T)} - E^{MP2}$

| System | CCSD(T) | FDDS(aDZ) | FDDS(aTZ) |
|--------|---------|-----------|-----------|
| 1      | 0.020   | 0.046     | 0.049     |
| 2      | 0.056   | 0.109     | 0.113     |
| 3      | 0.015   | 0.032     | 0.033     |
| 4      | 0.150   | 0.236     | 0.242     |
| 5      | 0.034   | 0.063     | 0.064     |



# Distance Dependence



# Distance Dependence

