

Data Review

Yi Xie

May 16, 2022

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- SAPT(DFT) Implementation Theory Results
- 3 Three-Body FDDS Dispersion Background Results

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Intermolecular Energies

Supermolecular approach

$$E_{int} = E_{AB} - E_A - E_B$$

- ► Straightforward, but cannot separate different types of interactions
- ► Can adopt to different electronic structure methods
- ► DFT-D3 with proper functional can be both cheap and accurate
- Symmetry-Adapted Perturbation Theory
 - ► Can give details about different types of interactions; important in understanding their nature
 - ▶ Not as cheap as DFT-D3
 - SAPT0 is somewhat cheap, but does not include intramonomer correlation

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SAPT(DFT)

- Attempt to inlude intramonomer correlation in a cheap way
- Replaces HF orbitals with KS orbitals
- Needs to consider orbital response for dispersion terms
- Exchange-dispersion term needs to be estimated from scaling
- Investigate the accuracy and efficiency of SAPT(DFT)

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Three-Body Interaction

- Crucial in computing lattice energies
- DFT-D3 does not perform well for three-body interaction
- ▶ MP2.5 scales as $O(N^6)$, MP2 is $O(N^5)$ but lacks three-body dispersion
- Three-body dispersion can be implemented with SAPT(DFT) in ${\cal O}(N^5)$
- Combine MP2 with SAPT(DFT) dispersion to model three-body interaction

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Idea of SAPT(DFT)

SAPT energy in orders of interaction and fluctuation potentials; n denotes order in V and k, l for W_A, W_B

$$H = F_A + F_B + V + W_A + W_B$$

$$E_{int} = \sum_{n=1}^{\infty} \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \left(E_{pol}^{(nkl)} + E_{exch}^{(nkl)} \right)$$

- SAPT0: n = 2, k = l = 0, no intramonomer correlation, $O(N^5)$ cost
- ▶ Many-body SAPT: $k + l \ge 2$, $O(N^6)$ or higher cost
- SAPT(DFT): Use Kohn-Sham operator $K_{A,B}$ instead of Fock operator $F_{A,B},\,O(N^5)$ cost
- Primitive SAPT(DFT) works well on 1st-order terms, but not 2nd-order terms (especially dispersion). Needs orbital response for them

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Coupled Dispersion Energy

Uncoupled dispersion energy in terms of frequency-dependent density susceptibility (FDDS):

$$E_{disp,u}^{(2)} = -4 \sum_{ia \in A, jb \in B} \frac{|(ia|jb)|^2}{\epsilon_{ij}^{ab}}$$

$$= -\frac{1}{2\pi} \int_0^\infty d\omega \int d\mathbf{r}_A d\mathbf{r}'_A d\mathbf{r}_B d\mathbf{r}'_B$$

$$= \frac{1}{|\mathbf{r}_A - \mathbf{r}_B|} \frac{1}{|\mathbf{r}'_A - \mathbf{r}'_B|} \chi_0^A \left(\mathbf{r}_A, \mathbf{r}'_A | i\omega\right) \chi_0^B \left(\mathbf{r}_B, \mathbf{r}'_B | i\omega\right)$$

- Kohn-Sham DFT constructs a fictitious system of non-interacting particles, which reproduces the density and energy of the real electronic system
- Kohn-Sham FDDS does not reflect the correct response properties of the electronic system

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Dispersion Term

Coupled FDDS from solving TDDFT equations:

$$oldsymbol{\chi} = oldsymbol{\chi}_0 + oldsymbol{\chi}_0 \mathbf{S}^{-1} \mathbf{W} \left(\mathbf{S} - oldsymbol{\chi}_0 \mathbf{S}^{-1} \mathbf{W}
ight)^{-1} oldsymbol{\chi}_0$$

- S and W corresponds to Coulomb metric and xc kernel
- Pure ALDA kernel good for pure GGA functional, but not for hybrid functional
- lacktriangleright Exact exchange in $v_{xc}
 ightarrow$ increased $\epsilon^{ab}_{ij}
 ightarrow$ decreased $E^{(2)}_{disp}$

$$E_{disp,u}^{(2)} = -4 \sum_{ia \in A, jb \in B} \frac{|(ia|jb)|^2}{\epsilon_{ij}^{ab}}$$

▶ Hybrid ALDA kernel to compensate, or localized HF (LHF) exchange to avoid increase in ϵ^{ab}_{ij}



Coupled FDDS with hybrid kernel

$$\chi = \chi'_0 + (\chi'_0 \mathbf{S}^{-1} \mathbf{W} + \mathbf{K}') \left[\mathbf{S} - (\chi'_0 \mathbf{S}^{-1} \mathbf{W} + \mathbf{K}') \right]^{-1} \chi'_0$$

$$\mathbf{K}' = \left[-\xi \mathbf{K}_1 \left(\lambda d \right) - \xi \mathbf{K}_2 \left(\lambda d \right) + \xi^2 \mathbf{K}_{21} \left(\lambda \right) \right] \left(\mathbf{R}^T \right)^{-1} \mathbf{S}$$

$$\left[\mathbf{K}_1(\lambda d) \right]_{PQ} = (P|ar) \lambda_{ar} d_{ar} \left[(aa'|rr') + (ar'|a'r) \right] (a'r'|\mathbf{Q}|Q)$$

$$\left[\mathbf{K}_2(\lambda d) \right]_{PQ} = (P|ar) \lambda_{ar} d_{ar} \left[(aa'|rr') - (ar'|a'r) \right] (a'r'|\mathbf{Q}|Q)$$

$$mathbf K_{21}(\lambda) \right]_{PQ} = (P|ar) \lambda_{ar} \left[(aa''|rr'') - (ar''|a''r') \right] (a'r'|\mathbf{Q}|Q)$$

$$\left[\mathbf{K}'_2(\lambda) \right]_{PQ} = (P|ar) \lambda_{ar} \left[(aa'|rr') - (ar'|a'r) \right] (a'r'|Q)$$

$$\chi'_0 = \chi_0 - \xi \mathbf{K}_2 \left(\lambda \right)$$

$$(ar|Q) = (ar|\mathbf{Q}|P) (P|\mathbf{R}|Q)$$

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Equation for $E_{disp}^{(2)}$

- ullet $O(N^5)$ scaling is limited to forming ${f K}_1$, ${f K}_2$ and ${f K}_{21}$
- Separates nontrivial and trivial parts of the code, also highly reduces need of disk I/O operations
- $ightharpoonup E_{disp}^{(2)}$ from coupled FDDS:

$$E_{disp,u}^{(2)} = -\frac{1}{2\pi} \int_0^\infty d\omega \int d\mathbf{r}_A d\mathbf{r}'_A d\mathbf{r}_B d\mathbf{r}'_B$$
$$\frac{1}{|\mathbf{r}_A - \mathbf{r}_B|} \frac{1}{|\mathbf{r}'_A - \mathbf{r}'_B|} \chi^A \left(\mathbf{r}_A, \mathbf{r}'_A | i\omega\right) \chi^B \left(\mathbf{r}_B, \mathbf{r}'_B | i\omega\right)$$



Exchange-Dispersion Term

- Coupled exchange-dispersion requires storing 4-index tensors on disk
- Estimate from scaling uncoupled exchange-dispersion; Scale with ratio in dispersion term or with pre-fitted (with S22×5) fixed factor

$$\tilde{E}_{exch-disp,r}^{(2)} = E_{exch-disp,u}^{(2)} \cdot \frac{E_{disp,r}^{(2)}}{E_{disp,u}^{(2)}}$$

$$\tilde{E}_{exch-disp,r}^{(2)} = \alpha \cdot E_{exch-disp,u}^{(2)}(\alpha = 0.686361)$$

- lacktriangle The value above is fitted from $E_{exch-disp,u}^{(2)}$ with LHF orbitals
- Non-LHF orbitals have greater o-v gaps and smaller $E_{disp,u}^{(2)}$, needs to re-fit with non-LHF results

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LHF vs non-LHF orbitals

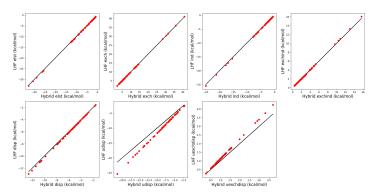


Figure: Hybrid vs. LHF values for each term for S66 data set $(E_{elst}^{(1)}, E_{exch}^{(1)}, E_{ind}^{(2)}, E_{exch-ind}^{(2)}, E_{disp.v}^{(2)}, E_{exch-disp.u}^{(2)})$

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GRAC

- ► (Hybrid-)GGA functionals does not have correct long-range behavior $v_{xc}(r) \rightarrow -1/r + (I_p + \epsilon_{\text{HOMO}})$
- Underestimates o-v gap as a consequence
- Functionals like LB94 have correct asymptotic behavior, but poor in bulk region
- ▶ Using gradient-regulated asymptotic correction (GRAC) scheme to connect PBE0 and LB94 with the switching function f[g(r)]:

$$\begin{split} v_{xc}^{\text{GRAC}} &= \{1 - f[g(\boldsymbol{r})]\} \, v_{xc}^{\text{PBE0}} + f[g(\boldsymbol{r})] v_{xc}^{\text{LB94}} \\ &f[g(\boldsymbol{r})] = \left(1 + e^{-\alpha[g(\boldsymbol{r}) - \beta]}\right)^{-1} \\ &g(\boldsymbol{r}) = \frac{|\nabla \rho(\boldsymbol{r})|}{\rho^{4/3}(\boldsymbol{r})} \end{split}$$



GRAC & Long-Range Behavior

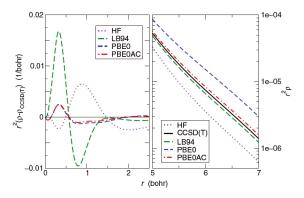


Figure: Radial densities $r^2\rho(r)$ of Ne atom (right) and errors compared to CCSD(T) density (left) for various xc potentials.¹

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¹G. Jansen, WIREs Comput. Mol. Sci. 4, 127 (2014).



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Exchange-Dispersion Refitting

- Need to fit the uncoupled $E^{(2)}_{exch-disp,r}$ with non-LHF orbitals on the coupled LHF orbital values (implemented in Molpro)
- Assuming coupled LHF and non-LHF orbital $E^{(2)}_{exch-disp,r}$ from the behavior of $E^{(2)}_{disp,r}$
- Exchange-related components depend heavily on distance between monomers, sets like S22×5 and S66×8 would be preferred
- ▶ Determine the scaling factor with S22×5, validate with S66×8



S22×5 Fitting Results

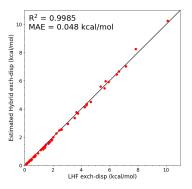
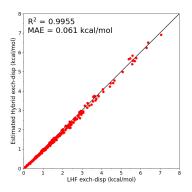


Figure: Scaling Factor = 0.770

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S66×8 Validating Results



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Termwise results

- Compared the SAPT(DFT)/aug-cc-pVTZ results of our code to SAPT(CCSD)/aug-cc-pVTZ results from Korona S2², which consists of 14 small dimers
- Also comparing the results for S66. SAPT(CCSD) results are not available, used SAPT2+3(CCD)δMP2/aug-cc-pVTZ as reference. Also added SAPT0/aug-cc-pVDZ, SAPT2+/aug-cc-pVDZ and SAPT2+(3)δMP2/aug-cc-pVTZ into comparison as side-reference.
- Errors of each system with respect to reference shown as vertical lines
- Mean absolute error (MAE) and mean unsigned relative error (MURE) listed for S2
- Color scheme for S66 systems: Hydrogen-bonded (HB, red), mixed-influence (MX, green), dispersion-dominated (DD, blue)

²T. Korona, Mol. Phys. **111**, 3705 (2013).

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Korona S2 Results

Methoda	MAE	MURE	Error Distribution ^b											
			4	OB	1		1	0		1			UB	4
Electrostatics														
SAPT(DFT) hybrid	0.112	2.39				l		Ш						
SAPT(DFT) LHF	0.114	3.68						Ш						
SAPT0	0.520	8.61	1				I							
Exchange														
SAPT(DFT) hybrid	0.251	3.38						Ш						
SAPT(DFT) LHF	0.258	3.09												
SAPT0	1.757	12.88		ı	Ш	l								
Induction														
SAPT(DFT) hybrid	0.148	2.79						Ш						
SAPT(DFT) LHF	0.192	2.97						П	l			1		
SAPT0	1.993	16.83		I										
Exchange-Induction														
SAPT(DFT) hybrid	0.144	4.03						Ш						
SAPT(DFT) LHF	0.165	4.76						Ш	-		1			
SAPT0	1.551	26.80	I	1		Ш								

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Korona S2 Results

Dispersion			
SAPT(DFT) hybrid	0.175	3.68	
SAPT(DFT) LHF	0.141	2.77	
SAPT(DFT) non-hybrid	0.326	9.58	
SAPT0	0.811	24.86	[1] [1] [1]
Exchange-Dispersion			
SAPT(DFT) hybrid	0.062	12.47	
SAPT(DFT) LHF	0.039	3.25	(1
SAPT0	0.265	36.11	
Total			
SAPT(DFT) hybrid	0.155	4.98	
SAPT(DFT) LHF	0.189	4.17	
SAPT(DFT) hon-hybrid	0.244	10.64	
SAPT0	1.237	19.63	

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S66 Results

Methoda	Total	$^{\mathrm{HB}}$	MX	DD		\mathbf{E}	rro	r I	Distr	ribu	$ition^{l}$)	
					4 (ОВ		1	0		1	UB	
Electrostatics													
SAPT(DFT) hybrid	0.374	0.556	0.177	0.311					Щ		1		
SAPT(DFT) LHF	0.423	0.666	0.196	0.319				Ш			1		
SAPT0	0.613	1.034	0.439	0.297		1111	П	Ш		(III			
SAPT2+	0.236	0.270	0.136	0.263									
$SAPT2+(3)\delta MP2$	0.000	0.000	0.000	0.000									
Exchange													
SAPT(DFT) hybrid	0.886	1.127	0.426	0.926	- 11		Ш	П		ļIII			
SAPT(DFT) LHF	0.886	1.121	0.431	0.928	H.		Ш		0.00				
SAPT0	0.675	0.942	0.263	0.658	11.1	11		П		ļII.			
SAPT2+	0.337	0.467	0.222	0.277							Ш		
$SAPT2+(3)\delta MP2$	0.000	0.000	0.000	0.000									
Induction													
SAPT(DFT) hybrid	0.211	0.201	0.212	0.220				П					
SAPT(DFT) LHF	0.224	0.223	0.223	0.225				Ш					
SAPT0	0.241	0.200	0.261	0.271									
SAPT2+	0.327	0.384	0.250	0.318			-11	Ш	Ш				
$SAPT2+(3)\delta MP2$	0.152	0.179	0.121	0.145									

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S66 Results

Dispersion					
SAPT(DFT) hybrid	0.370	0.260	0.219	0.573	
SAPT(DFT) LHF	0.308	0.200	0.173	0.499	
SAPT(DFT) non-hybrid	0.635	0.581	0.419	0.822	
SAPT0	0.443	0.862	0.162	0.195	
SAPT2+	0.235	0.397	0.169	0.115	
$SAPT2+(3)\delta MP2$	0.093	0.129	0.056	0.080	
Total					
SAPT(DFT) hybrid	0.334	0.588	0.107	0.217	
SAPT(DFT) LHF	0.234	0.382	0.046	0.199	III Bujurj i
SAPT(DFT) non-hybrid	0.604	0.955	0.389	0.385	
SAPT0	0.990	1.197	0.692	0.965	
SAPT2+	0.230	0.235	0.138	0.280	i i i
$\mathrm{SAPT2}{+}(3)\delta\mathrm{MP2}$	0.105	0.056	0.082	0.169	

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Timing Performance

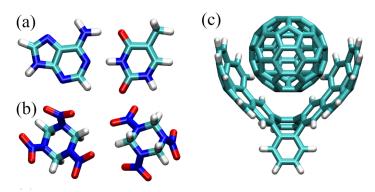
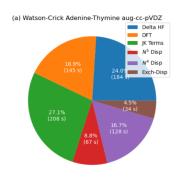


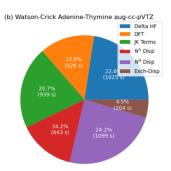
Figure: Dimer systems for timing: (a) Watson-Crick adenine-thymine complex, (b) RDX dimer, (c) C_{60} -buckycather complex.

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Watson-Crick Adenine-Thymine

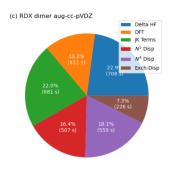


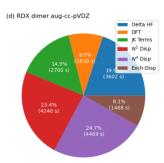


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RDX Dimer





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Comparison with LHF Approach

TABLE IV. Wall times (in hours) for SAPT(DFT) computations of RDX dimer/aug-cc-pVTZ with hybrid and LHF algorithm.

Subroutine	\mathbf{Hybrid}	LHF
Delta HF	0.96	N/A^a
DFT	0.45	2.29
xc kernel	0.08	4.17
FDDS object ^b	2.35	N/A
Disp time integration	0.37	3.59
Exch-disp	0.41	1.99
Total	5.03	12.80

^a The δHF correction, recommended for SAPT(DFT) computations of polar molecules, is performed by default in Psi4 but not in Molpro.

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b Including integral transformation, form X/form Y (the O(N⁵) part) and QR factorization. In Molpro, the integral transformation is integrated with other terms, and the other steps are not relevant for LHF.



C₆₀-Buckycatcher Complex

- $ightharpoonup N_{bf} = 3012, N_{aux} = 9284$ with aug-cc-pVDZ basis set
- Using Intel i9-10980XE processor with 18 cores, completed entire calculation in 4.03 days
- ▶ 42.7 hours for $E_{disp}^{(2)}$; 20.1 hours for the $O(N^5)$ subroutines
- ▶ Cost of DFT and $E_{ind}^{(2)}$ still somewhat significant

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3B-69 Benchmark Set

- Benchmark set for 3-body interaction energies
- 69 trimers extracted from 23 different molecular crystal structures (3 each)
- Used focal point approach to obtain CCSD(T) (and other wavefunction method) energies

$$E = E^{HF}(aQZ) + \Delta E^{MP2}(aTZ/aQZ) + \Delta E^{CCSD(T)}(aDZ)$$

- Assessing accuracy for various wavefunction and DFT methods
- ▶ Recommended MP2.5 and SCS-MI-CCSD, both $O(N^6)$
- Trying to assess performance of MP2 + FDDS dispersion for 3B-69 systems

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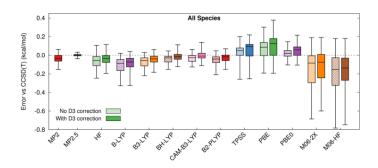
3B-69 Wavefunctional Methods



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3B-69 DFT Methods



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New Set from X23

- Sampling trimer geometries from X23 crystal structures
- Trying to include trimer with different intermolecular distances and alignment
- Aiming to serve as a "three-body version" of S22×5/S66×8
- Distance: Geometry mean of 3 pairwise closest contact distance
- Alignment: Angles of the COM triangle; mainly looking at the greatest angle

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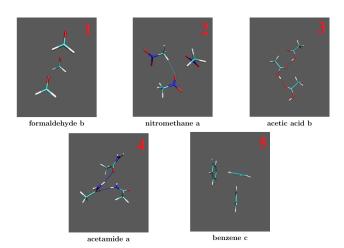
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3B-69 Systems



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3B-69 Results

- Total interaction energies in kcal/mol
- FDDS(aDZ) and FDDS(aTZ) correspond to estimated total IE with MP2 + FDDS

System	CCSD(T)	FDDS(aDZ)	FDDS(aTZ)	MP2	MP2.5
1	0.181	0.207	0.210	0.161	0.179
2	-0.122	-0.069	-0.065	-0.178	-0.143
3	-0.922	-0.905	-0.904	-0.937	-0.913
4	-0.089	-0.003	-0.003	-0.239	-0.151
5	-0.027	0.002	0.003	-0.061	-0.023

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3B-69 Results

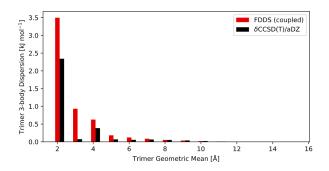
- Estimated 3-body dispersion energies comparison
- CCSD(T) corresponds to dispersion energy estimated by $E^{CCSD(T)} E^{\mathrm{MP2}}$

System	CCSD(T)	FDDS(aDZ)	FDDS(aTZ)
1	0.020	0.046	0.049
2	0.056	0.109	0.113
3	0.015	0.032	0.033
4	0.150	0.236	0.242
5	0.034	0.063	0.064

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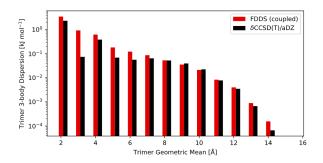
Distance Dependence



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Distance Dependence



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