

# **Data Review**

Yi Xie

May 16, 2022

Yi Xie | May 16, 2022 1/42



#### Table of contents

- Overview
- SAPT(DFT) Implementation Theory Results
- 3 Three-Body FDDS Dispersion Background Results

Yi Xie | May 16, 2022 2/42



### Intermolecular Energies

Supermolecular approach

$$E_{int} = E_{AB} - E_A - E_B$$

- ► Straightforward, but cannot separate different types of interactions
- ► Can adopt to different electronic structure methods
- ► DFT-D3 with proper functional can be both cheap and accurate
- Symmetry-Adapted Perturbation Theory
  - ► Can give details about different types of interactions; important in understanding their nature
  - ▶ Not as cheap as DFT-D3
  - SAPT0 is somewhat cheap, but does not include intramonomer correlation

Yi Xie | May 16, 2022 3/42



# SAPT(DFT)

- Attempt to inlude intramonomer correlation in a cheap way
- Replaces HF orbitals with KS orbitals
- Needs to consider orbital response for dispersion terms
- Exchange-dispersion term needs to be estimated from scaling
- Investigate the accuracy and efficiency of SAPT(DFT)

Yi Xie | May 16, 2022 4/42



### Three-Body Interaction

- Crucial in computing lattice energies
- DFT-D3 does not perform well for three-body interaction
- ▶ MP2.5 scales as  $O(N^6)$ , MP2 is  $O(N^5)$  but lacks three-body dispersion
- Three-body dispersion can be implemented with SAPT(DFT) in  ${\cal O}(N^5)$
- Combine MP2 with SAPT(DFT) dispersion to model three-body interaction

Yi Xie | May 16, 2022 5/42



#### Table of contents

- Overview
- SAPT(DFT) Implementation Theory Results
- 3 Three-Body FDDS Dispersion Background Results



#### Table of contents

- Overview
- SAPT(DFT) Implementation Theory Results
- 3 Three-Body FDDS Dispersion
  Background
  Results



### Idea of SAPT(DFT)

SAPT energy in orders of interaction and fluctuation potentials; n denotes order in V and k,l for  $W_A,W_B$ 

$$H = F_A + F_B + V + W_A + W_B$$

$$E_{int} = \sum_{n=1}^{\infty} \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \left( E_{pol}^{(nkl)} + E_{exch}^{(nkl)} \right)$$

- SAPT0: n = 2, k = l = 0, no intramonomer correlation,  $O(N^5)$  cost
- ▶ Many-body SAPT:  $k + l \ge 2$ ,  $O(N^6)$  or higher cost
- SAPT(DFT): Use Kohn-Sham operator  $K_{A,B}$  instead of Fock operator  $F_{A,B},\,O(N^5)$  cost
- Primitive SAPT(DFT) works well on 1st-order terms, but not 2nd-order terms (especially dispersion). Needs orbital response for these terms

Yi Xie | May 16, 2022 8/42



### Coupled Dispersion Energy

Uncoupled dispersion energy in terms of frequency-dependent density susceptibility (FDDS):

$$E_{disp,u}^{(2)} = -4 \sum_{ia \in A, jb \in B} \frac{|(ia|jb)|^2}{\epsilon_{ij}^{ab}}$$

$$= -\frac{1}{2\pi} \int_0^\infty d\omega \int d\mathbf{r}_A d\mathbf{r}'_A d\mathbf{r}_B d\mathbf{r}'_B$$

$$= \frac{1}{|\mathbf{r}_A - \mathbf{r}_B|} \frac{1}{|\mathbf{r}'_A - \mathbf{r}'_B|} \chi_0^A \left(\mathbf{r}_A, \mathbf{r}'_A | i\omega\right) \chi_0^B \left(\mathbf{r}_B, \mathbf{r}'_B | i\omega\right)$$

- Kohn-Sham DFT constructs a fictitious system of non-interacting particles, which reproduces the density and energy of the real electronic system
- Kohn-Sham FDDS does not reflect the correct response properties of the electronic system

Yi Xie | May 16, 2022 9/42



## Dispersion Term

Coupled FDDS from solving TDDFT equations:

$$oldsymbol{\chi} = oldsymbol{\chi}_0 + oldsymbol{\chi}_0 \mathbf{S}^{-1} \mathbf{W} \left( \mathbf{S} - oldsymbol{\chi}_0 \mathbf{S}^{-1} \mathbf{W} 
ight)^{-1} oldsymbol{\chi}_0$$

- S and W corresponds to Coulomb metric and xc kernel
- Pure ALDA kernel good for pure GGA functional, but not for hybrid functional
- lacktriangleright Exact exchange in  $v_{xc} 
  ightarrow$  increased  $\epsilon^{ab}_{ij} 
  ightarrow$  decreased  $E^{(2)}_{disp}$

$$E_{disp,u}^{(2)} = -4 \sum_{ia \in A, jb \in B} \frac{|(ia|jb)|^2}{\epsilon_{ij}^{ab}}$$

▶ Hybrid ALDA kernel to compensate, or localized HF (LHF) exchange to avoid increase in  $\epsilon^{ab}_{ij}$ 



# Coupled FDDS with hybrid kernel

$$\chi = \chi'_0 + (\chi'_0 \mathbf{S}^{-1} \mathbf{W} + \mathbf{K}') \left[ \mathbf{S} - (\chi'_0 \mathbf{S}^{-1} \mathbf{W} + \mathbf{K}') \right]^{-1} \chi'_0$$

$$\mathbf{K}' = \left[ -\xi \mathbf{K}_1 (\lambda d) - \xi \mathbf{K}_2 (\lambda d) + \xi^2 \mathbf{K}_{21} (\lambda) \right] (\mathbf{R}^T)^{-1} \mathbf{S}$$

$$\left[ \mathbf{K}_1 (\lambda d) \right]_{PQ} = (P|ar) \lambda_{ar} d_{ar} \left[ (aa'|rr') + (ar'|a'r) \right] (a'r'|\mathbf{Q}|Q)$$

$$\left[ \mathbf{K}_2 (\lambda d) \right]_{PQ} = (P|ar) \lambda_{ar} d_{ar} \left[ (aa'|rr') - (ar'|a'r) \right] (a'r'|\mathbf{Q}|Q)$$

$$\left[ \mathbf{K}_{21} (\lambda) \right]_{PQ} = (P|ar) \lambda_{ar} \left[ (aa''|rr'') - (ar''|a''r') \right] (a'r'|\mathbf{Q}|Q)$$

$$\left[ \mathbf{K}'_2 (\lambda) \right]_{PQ} = (P|ar) \lambda_{ar} \left[ (aa'|rr') - (ar'|a'r) \right] (a'r'|Q)$$

$$\chi'_0 = \chi_0 - \xi \mathbf{K}_2 (\lambda)$$

$$(ar|Q) = (ar|\mathbf{Q}|P) (P|\mathbf{R}|Q)$$

Yi Xie | May 16, 2022 11/42



# Equation for $E_{disp}^{(2)}$

- Coupled Kohn-Sham (CKS) FDDS reflects correct response properties of electrons
- ullet  $O(N^5)$  scaling is limited to forming  ${f K}_1,\,{f K}_2,\,{f K}_{21}$  and  ${f K}_2'$
- Separates "nontrivial" and "trivial" parts of the code, also highly reduces need of disk I/O operations
- $ightharpoonup E_{disp}^{(2)}$  from coupled FDDS:

$$E_{disp,u}^{(2)} = -\frac{1}{2\pi} \int_0^\infty d\omega \int d\mathbf{r}_A d\mathbf{r}'_A d\mathbf{r}_B d\mathbf{r}'_B$$
$$\frac{1}{|\mathbf{r}_A - \mathbf{r}_B|} \frac{1}{|\mathbf{r}'_A - \mathbf{r}'_B|} \chi^A \left(\mathbf{r}_A, \mathbf{r}'_A | i\omega\right) \chi^B \left(\mathbf{r}_B, \mathbf{r}'_B | i\omega\right)$$

Yi Xie | May 16, 2022 12/4



### **Exchange-Dispersion Term**

- Explicit coupled exchange-dispersion not trivial to implement;
   currently working on this
- Estimate from scaling uncoupled exchange-dispersion; Scale with ratio in dispersion term or with pre-fitted (with S22×5) fixed factor

$$\tilde{E}_{exch-disp,r}^{(2)} = E_{exch-disp,u}^{(2)} \cdot \frac{E_{disp,r}^{(2)}}{E_{disp,u}^{(2)}}$$

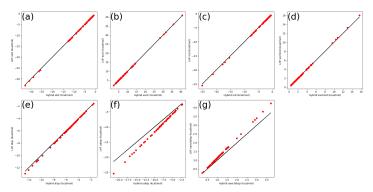
$$\tilde{E}_{exch-disp,r}^{(2)} = \alpha \cdot E_{exch-disp,u}^{(2)}(\alpha = 0.686)$$

- ► The value above is fitted from  $E^{(2)}_{exch-disp,u}$  with LHF orbitals
- Non-LHF orbitals have greater o-v gaps and smaller  $E_{disp,u}^{(2)}$ , needs to re-fit with non-LHF results

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#### LHF vs non-LHF orbitals



Hybrid vs. LHF values in kcal/mol for each term for S66 data set: (a)  $E_{elst}^{(1)}$ , (b)  $E_{exch}^{(1)}$ , (c)  $E_{ind}^{(2)}$ , (d)  $E_{exch-ind}^{(2)}$ , (e)  $E_{disn\,v}^{(2)}$ , (f)  $E_{disn\,v}^{(2)}$ , (g)  $E_{exch-disn\,v}^{(2)}$ 

Yi Xie | May 16, 2022 14/42



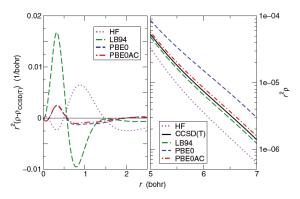
#### **GRAC**

- ► (Hybrid-)GGA functionals does not have correct long-range behavior  $v_{xc}(r) \rightarrow -1/r + (I_p + \epsilon_{\text{HOMO}})$
- Underestimates o-v gap as a consequence
- Functionals like LB94 have correct asymptotic behavior, but poor in bulk region
- ▶ Using gradient-regulated asymptotic correction (GRAC) scheme to connect PBE0 and LB94 with the switching function f[g(r)]:

$$\begin{split} v_{xc}^{\text{GRAC}} &= \{1 - f[g(\boldsymbol{r})]\} \, v_{xc}^{\text{PBE0}} + f[g(\boldsymbol{r})] v_{xc}^{\text{LB94}} \\ &f[g(\boldsymbol{r})] = \left(1 + e^{-\alpha[g(\boldsymbol{r}) - \beta]}\right)^{-1} \\ &g(\boldsymbol{r}) = \frac{|\nabla \rho(\boldsymbol{r})|}{\rho^{4/3}(\boldsymbol{r})} \end{split}$$



### **GRAC & Long-Range Behavior**



Radial densities  $r^2\rho(r)$  of Ne atom (right) and errors compared to CCSD(T) density (left) for various xc potentials.<sup>1</sup>

Yi Xie | May 16, 2022 16/42

<sup>&</sup>lt;sup>1</sup>G. Jansen, WIREs Comput. Mol. Sci. 4, 127 (2014).



#### Table of contents

- Overview
- SAPT(DFT) Implementation Theory Results

3 Three-Body FDDS Dispersion
Background
Popults

Yi Xie | May 16, 2022 17/42

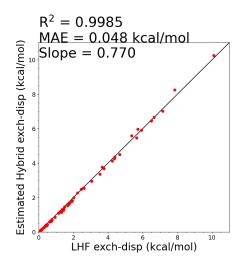


### **Exchange-Dispersion Refitting**

- Need to fit the uncoupled  $E^{(2)}_{exch-disp,r}$  with non-LHF orbitals on the coupled LHF orbital values (implemented in Molpro)
- Assuming coupled LHF and non-LHF orbital  $E^{(2)}_{exch-disp,r}$  from the behavior of  $E^{(2)}_{disp,r}$
- Exchange-related components depend heavily on distance between monomers, sets like S22×5 and S66×8 would be preferred
- ▶ Determine the scaling factor with S22×5, validate with S66×8



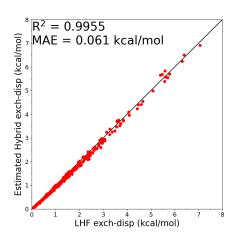
# S22×5 Fitting Results



Yi Xie | May 16, 2022 19/42



# S66×8 Validating Results



Yi Xie | May 16, 2022 20/42



#### Termwise results

- Compared the SAPT(DFT)/aug-cc-pVTZ results of our code to SAPT(CCSD)/aug-cc-pVTZ results from Korona S2<sup>2</sup>
- Also comparing the results for S66 with SAPT2+3(CCD)δMP2/aug-cc-pVTZ as reference. Also added SAPT0/aug-cc-pVDZ, SAPT2+/aug-cc-pVDZ and SAPT2+(3)δMP2/aug-cc-pVTZ into comparison as side-reference.
- Errors of each system with respect to reference shown as vertical lines
- Mean absolute error (MAE) and mean unsigned relative error (MURE) listed for S2. MAE indicated by black box in the diagram
- Color scheme for S66 systems: Hydrogen-bonded (HB, red), mixed-influence (MX, green), dispersion-dominated (DD, blue)

Yi Xie | May 16, 2022 21/42

<sup>&</sup>lt;sup>2</sup>T. Korona, Mol. Phys. **111**, 3705 (2013).



#### Korona S2 Results

Methoda	MAE	MURE	Error Distribution <sup>b</sup>											
			4	OB	1		1	0		1			UB	4
Electrostatics														
SAPT(DFT) hybrid	0.112	2.39				l		Ш						
SAPT(DFT) LHF	0.114	3.68						Ш						
SAPT0	0.520	8.61	1				I							
Exchange														
SAPT(DFT) hybrid	0.251	3.38						Ш						
SAPT(DFT) LHF	0.258	3.09												
SAPT0	1.757	12.88		ı	Ш	l								
Induction														
SAPT(DFT) hybrid	0.148	2.79						Ш						
SAPT(DFT) LHF	0.192	2.97						П	l			1		
SAPT0	1.993	16.83		I										
Exchange-Induction														
SAPT(DFT) hybrid	0.144	4.03						Ш						
SAPT(DFT) LHF	0.165	4.76						Ш	-		1			
SAPT0	1.551	26.80	I	1		Ш								

Yi Xie | May 16, 2022 22/42



#### Korona S2 Results

Dispersion			
SAPT(DFT) hybrid	0.175	3.68	
SAPT(DFT) LHF	0.141	2.77	<b>   </b>
SAPT(DFT) non-hybrid	0.326	9.58	<b>     </b>
SAPT0	0.811	24.86	[ <b>1</b> ] [1] [1]
Exchange-Dispersion			
SAPT(DFT) hybrid	0.062	12.47	
SAPT(DFT) LHF	0.039	3.25	(1
SAPT0	0.265	36.11	
Total			
SAPT(DFT) hybrid	0.155	4.98	
SAPT(DFT) LHF	0.189	4.17	<b>     </b>
SAPT(DFT) hon-hybrid	0.244	10.64	<b>        </b>
SAPT0	1.237	19.63	

Yi Xie | May 16, 2022 23/42



#### S66 Results

Methoda	Total	$^{\mathrm{HB}}$	MX	DD		$\mathbf{E}$	rro	r I	Distr	ribu	$ition^{l}$	)	
					4 (	ОВ		1	0		1	UB	
Electrostatics													
SAPT(DFT) hybrid	0.374	0.556	0.177	0.311					Щ		1		
SAPT(DFT) LHF	0.423	0.666	0.196	0.319				Ш			1		
SAPT0	0.613	1.034	0.439	0.297		1111	П	Ш		(III			
SAPT2+	0.236	0.270	0.136	0.263									
$SAPT2+(3)\delta MP2$	0.000	0.000	0.000	0.000									
Exchange													
SAPT(DFT) hybrid	0.886	1.127	0.426	0.926	- 11		Ш	П		ļIII			
SAPT(DFT) LHF	0.886	1.121	0.431	0.928	H.		Ш		0.00				
SAPT0	0.675	0.942	0.263	0.658	11.1	11		П		ļII.			
SAPT2+	0.337	0.467	0.222	0.277							Ш		
$SAPT2+(3)\delta MP2$	0.000	0.000	0.000	0.000									
Induction													
SAPT(DFT) hybrid	0.211	0.201	0.212	0.220				П					
SAPT(DFT) LHF	0.224	0.223	0.223	0.225				Ш					
SAPT0	0.241	0.200	0.261	0.271									
SAPT2+	0.327	0.384	0.250	0.318			-11	Ш	Ш				
$SAPT2+(3)\delta MP2$	0.152	0.179	0.121	0.145									

Yi Xie | May 16, 2022 24/42



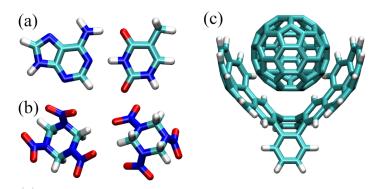
#### S66 Results

Dispersion					
SAPT(DFT) hybrid	0.370	0.260	0.219	0.573	<b>                                    </b>
SAPT(DFT) LHF	0.308	0.200	0.173	0.499	
SAPT(DFT) non-hybrid	0.635	0.581	0.419	0.822	<b>                                    </b>
SAPT0	0.443	0.862	0.162	0.195	<b>                                     </b>
SAPT2+	0.235	0.397	0.169	0.115	<b>                 </b>
$SAPT2+(3)\delta MP2$	0.093	0.129	0.056	0.080	
Total					
SAPT(DFT) hybrid	0.334	0.588	0.107	0.217	
SAPT(DFT) LHF	0.234	0.382	0.046	0.199	III <b>Bujurj</b> i
SAPT(DFT) non-hybrid	0.604	0.955	0.389	0.385	<b>                                    </b>
SAPT0	0.990	1.197	0.692	0.965	
SAPT2+	0.230	0.235	0.138	0.280	i i i
$\mathrm{SAPT2}{+}(3)\delta\mathrm{MP2}$	0.105	0.056	0.082	0.169	

Yi Xie | May 16, 2022 25/42



## **Timing Performance**

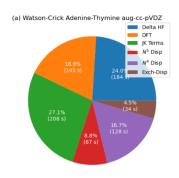


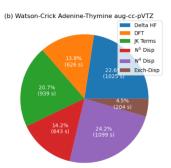
Dimer systems for timing: (a) Watson-Crick adenine-thymine complex, (b) RDX dimer, (c)  $C_{60}$ -buckycather complex.

Yi Xie | May 16, 2022 26/42



### Watson-Crick Adenine-Thymine

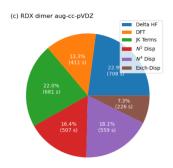


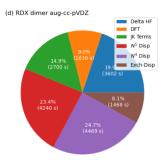


Yi Xie | May 16, 2022 27/42



#### **RDX Dimer**





Yi Xie | May 16, 2022 28/42



## Comparison with LHF Approach

TABLE IV. Wall times (in hours) for SAPT(DFT) computations of RDX dimer/aug-cc-pVTZ with hybrid and LHF algorithm.

Subroutine	$\mathbf{Hybrid}$	LHF
Delta HF	0.96	$N/A^a$
DFT	0.45	2.29
xc kernel	0.08	4.17
FDDS object <sup>b</sup>	2.35	N/A
Disp time integration	0.37	3.59
Exch-disp	0.41	1.99
Total	5.03	12.80

<sup>&</sup>lt;sup>a</sup> The δHF correction, recommended for SAPT(DFT) computations of polar molecules, is performed by default in Psi4 but not in Molpro.

Yi Xie | May 16, 2022 29/42

b Including integral transformation, form X/form Y (the O(N<sup>5</sup>) part) and QR factorization. In Molpro, the integral transformation is integrated with other terms, and the other steps are not relevant for LHF.



## C<sub>60</sub>-Buckycatcher Complex

- $ightharpoonup N_{bf} = 3012, N_{aux} = 9284$  with aug-cc-pVDZ basis set
- Using Intel i9-10980XE processor with 18 cores, completed entire calculation in 4.03 days
- ▶ 42.7 hours for  $E_{disp}^{(2)}$ ; 20.1 hours for the  $O(N^5)$  subroutines
- ▶ Cost of DFT and  $E_{ind}^{(2)}$  still somewhat significant



#### Table of contents

- Overview
- SAPT(DFT) Implementation Theory Results
- Three-Body FDDS Dispersion Background Results



#### Table of contents

- Overview
- SAPT(DFT) Implementation Theory Results
- Three-Body FDDS Dispersion
   Background
   Results

Yi Xie | May 16, 2022 32/4



#### 3B-69 Benchmark Set

- Benchmark set for 3-body interaction energies
- 69 trimers extracted from 23 different molecular crystal structures (3 each)
- Used focal point approach to obtain CCSD(T) (and other wavefunction method) energies

$$E = E^{HF}(aQZ) + \Delta E^{MP2}(aTZ/aQZ) + \Delta E^{CCSD(T)}(aDZ)$$

- Assessing accuracy for various wavefunction and DFT methods
- ▶ Recommended MP2.5 and SCS-MI-CCSD, both  $O(N^6)$
- Trying to assess performance of MP2 + FDDS dispersion for 3B-69 systems

Yi Xie | May 16, 2022 33/4



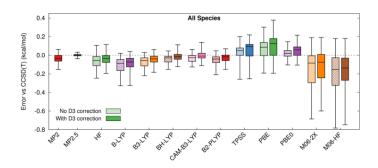
#### 3B-69 Wavefunctional Methods



Yi Xie | May 16, 2022 34/42



#### 3B-69 DFT Methods



Yi Xie | May 16, 2022 35/42



#### New Set from X23

- Sampling trimer geometries from X23 crystal structures
- Trying to include trimer with different intermolecular distances and alignment
- Aiming to serve as a "three-body version" of S22×5/S66×8
- Distance: Geometry mean of 3 pairwise closest contact distance
- Alignment: Angles of the COM triangle; mainly looking at the greatest angle

Yi Xie | May 16, 2022 36/42



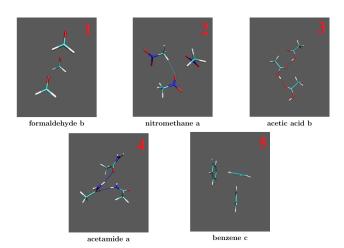
#### Table of contents

- Overview
- SAPT(DFT) Implementation Theory Results
- Three-Body FDDS Dispersion Background Results

Yi Xie | May 16, 2022 37/42



## 3B-69 Systems



Yi Xie | May 16, 2022 38/42



#### 3B-69 Results

- Total interaction energies in kcal/mol
- FDDS(aDZ) and FDDS(aTZ) correspond to estimated total IE with MP2 + FDDS

System	CCSD(T)	FDDS(aDZ)	FDDS(aTZ)	MP2	MP2.5
1	0.181	0.207	0.210	0.161	0.179
2	-0.122	-0.069	-0.065	-0.178	-0.143
3	-0.922	-0.905	-0.904	-0.937	-0.913
4	-0.089	-0.003	-0.003	-0.239	-0.151
5	-0.027	0.002	0.003	-0.061	-0.023

Yi Xie | May 16, 2022 39/42



#### 3B-69 Results

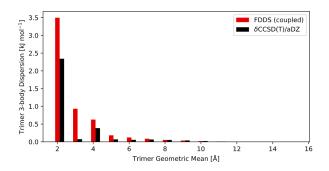
- Estimated 3-body dispersion energies comparison
- CCSD(T) corresponds to dispersion energy estimated by  $E^{CCSD(T)} E^{\mathrm{MP2}}$

System	CCSD(T)	FDDS(aDZ)	FDDS(aTZ)
1	0.020	0.046	0.049
2	0.056	0.109	0.113
3	0.015	0.032	0.033
4	0.150	0.236	0.242
5	0.034	0.063	0.064

Yi Xie | May 16, 2022 40/42



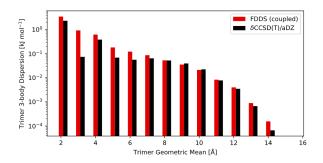
# Distance Dependence



Yi Xie | May 16, 2022 41/42



# Distance Dependence



Yi Xie | May 16, 2022 42/42