Data Review

Yi Xie

May 16, 2022

Table of contents

Intermolecular Energies

- Supermolecular approach

$$E_{int} = E_{AB} - E_A - E_B$$

  - Straightforward, but cannot separate different types of interactions
  - Can adopt to different electronic structure methods
  - DFT-D3 with proper functional can be both cheap and accurate
- Symmetry-Adapted Perturbation Theory
  - Can give details about different types of interactions; important in understanding their nature
  - Not as cheap as DFT-D3
  - SAPT0 is somewhat cheap, but does not include intramonomer correlation

SAPT(DFT)

- ► Attempt to inlude intramonomer correlation in a cheap way
- ► Replaces HF orbitals with KS orbitals
- ► Needs to consider orbital response for dispersion terms
- ► Exchange-dispersion term needs to be estimated from scaling
- ► Investigate the accuracy and efficiency of SAPT(DFT)

Three-Body Interaction

- Crucial in computing lattice energies
- DFT-D3 does not perform well for three-body interaction
- MP2.5 scales as $O(N^6)$, MP2 is $O(N^5)$ but lacks three-body dispersion
- Three-body dispersion can be implemented with SAPT(DFT) in $O(N^5)$
- Combine MP2 with SAPT(DFT) dispersion to model three-body interaction

Table of contents

Table of contents

Idea of SAPT(DFT)

▶ SAPT energy in orders of interaction and fluctuation potentials; n denotes order in V and k, l for W_A, W_B

$$H = F_A + F_B + V + W_A + W_B$$

$$E_{int} = \sum_{n=1}^{\infty} \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \left(E_{pol}^{(nkl)} + E_{exch}^{(nkl)} \right)$$

▶ SAPT0: $n = 2, k = l = 0$, no intramonomer correlation, $O(N^5)$ cost

▶ Many-body SAPT: $k + l \geq 2$, $O(N^6)$ or higher cost

▶ SAPT(DFT): Use Kohn-Sham operator $K_{A,B}$ instead of Fock operator $F_{A,B}$, $O(N^5)$ cost

▶ Primitive SAPT(DFT) works well on 1st-order terms, but not 2nd-order terms (especially dispersion). Needs orbital response for these terms

Coupled Dispersion Energy

▶ Uncoupled dispersion energy in terms of frequency-dependent density susceptibility (FDDS):

$$
\begin{aligned}
E_{disp,u}^{(2)} &= -4 \sum_{ia \in A, jb \in B} \frac{|(ia|jb)|^2}{\epsilon_{ij}^{ab}} \\
&= -\frac{1}{2\pi} \int_0^\infty d\omega \int d\mathbf{r}_A d\mathbf{r}'_A d\mathbf{r}_B d\mathbf{r}'_B \\
&\quad \frac{1}{|\mathbf{r}_A - \mathbf{r}_B|} \frac{1}{|\mathbf{r}'_A - \mathbf{r}'_B|} \chi_0^A\left(\mathbf{r}_A, \mathbf{r}'_A | i\omega\right) \chi_0^B\left(\mathbf{r}_B, \mathbf{r}'_B | i\omega\right)
\end{aligned}
$$

▶ Kohn-Sham DFT constructs a fictitious system of non-interacting particles, which reproduces the density and energy of the real electronic system

▶ Kohn-Sham FDDS does not reflect the correct response properties of the electronic system

Dispersion Term

▶ Coupled FDDS from solving TDDFT equations:

$$\boldsymbol{\chi} = \boldsymbol{\chi}_0 + \boldsymbol{\chi}_0 \mathbf{S}^{-1} \mathbf{W} \left(\mathbf{S} - \boldsymbol{\chi}_0 \mathbf{S}^{-1} \mathbf{W} \right)^{-1} \boldsymbol{\chi}_0$$

▶ $\mathbf{S}$ and $\mathbf{W}$ corresponds to Coulomb metric and xc kernel

▶ Pure ALDA kernel good for pure GGA functional, but not for hybrid functional

▶ Exact exchange in $v_{xc} \rightarrow$ increased $\epsilon_{ij}^{ab} \rightarrow$ decreased $E_{disp}^{(2)}$

$$E_{disp,u}^{(2)} = -4 \sum_{ia \in A, jb \in B} \frac{|(ia|jb)|^2}{\epsilon_{ij}^{ab}}$$

▶ Hybrid ALDA kernel to compensate, or localized HF (LHF) exchange to avoid increase in ϵ_{ij}^{ab}

Georgia Tech

Coupled FDDS with hybrid kernel

$$\chi = \chi_0' + \left(\chi_0' \mathbf{S}^{-1} \mathbf{W} + \mathbf{K}'\right) \left[\mathbf{S} - \left(\chi_0' \mathbf{S}^{-1} \mathbf{W} + \mathbf{K}'\right)\right]^{-1} \chi_0'$$

$$\mathbf{K}' = \left[-\xi \mathbf{K}_1\left(\lambda d\right) - \xi \mathbf{K}_2\left(\lambda d\right) + \xi^2 \mathbf{K}_{21}\left(\lambda\right)\right] \left(\mathbf{R}^T\right)^{-1} \mathbf{S}$$

$$[\mathbf{K}_1(\lambda d)]_{PQ} = (P|ar)\lambda_{ar}d_{ar}[(aa'|rr') + (ar'|a'r)](a'r'|\mathbf{Q}|Q)$$

$$[\mathbf{K}_2(\lambda d)]_{PQ} = (P|ar)\lambda_{ar}d_{ar}[(aa'|rr') - (ar'|a'r)](a'r'|\mathbf{Q}|Q)$$

$$[\mathbf{K}_{21}(\lambda)]_{PQ} = (P|ar)\lambda_{ar}[(aa''|rr'') - (ar''|a''r)]$$
$$[(a'a''|r'r'') - (a'r''|a''r')](a'r'|\mathbf{Q}|Q)$$

$$[\mathbf{K}_2'(\lambda)]_{PQ} = (P|ar)\lambda_{ar}[(aa'|rr') - (ar'|a'r)](a'r'|Q)$$

$$\chi_0' = \chi_0 - \xi \mathbf{K}_2\left(\lambda\right)$$

$$(ar|Q) = (ar|\mathbf{Q}|P)(P|\mathbf{R}|Q)$$

Georgia Tech

Equation for $E_{disp}^{(2)}$

▶ Coupled Kohn-Sham (CKS) FDDS reflects correct response properties of electrons

▶ $O(N^5)$ scaling is limited to forming $\mathbf{K}_1$, $\mathbf{K}_2$, $\mathbf{K}_{21}$ and $\mathbf{K}_2'$

▶ Separates "nontrivial" and "trivial" parts of the code, also highly reduces need of disk I/O operations

▶ $E_{disp}^{(2)}$ from coupled FDDS:

$$
\begin{aligned}
E_{disp,u}^{(2)} &= -\frac{1}{2\pi} \int_0^\infty d\omega \int d\mathbf{r}_A d\mathbf{r}_A' d\mathbf{r}_B d\mathbf{r}_B' \\
&\quad \frac{1}{|\mathbf{r}_A - \mathbf{r}_B|} \frac{1}{|\mathbf{r}_A' - \mathbf{r}_B'|} \chi^A\left(\mathbf{r}_A, \mathbf{r}_A' | i\omega\right) \chi^B\left(\mathbf{r}_B, \mathbf{r}_B' | i\omega\right)
\end{aligned}
$$

Exchange-Dispersion Term

- Explicit coupled exchange-dispersion not trivial to implement; currently working on this

- Estimate from scaling uncoupled exchange-dispersion; Scale with ratio in dispersion term or with pre-fitted (with S22×5) fixed factor

$$\tilde{E}_{exch-disp,r}^{(2)} = E_{exch-disp,u}^{(2)} \cdot \frac{E_{disp,r}^{(2)}}{E_{disp,u}^{(2)}}$$

$$\tilde{E}_{exch-disp,r}^{(2)} = \alpha \cdot E_{exch-disp,u}^{(2)}(\alpha = 0.686)$$

- The value above is fitted from $E_{exch-disp,u}^{(2)}$ with LHF orbitals

- Non-LHF orbitals have greater o-v gaps and smaller $E_{disp,u}^{(2)}$, needs to re-fit with non-LHF results

LHF vs non-LHF orbitals
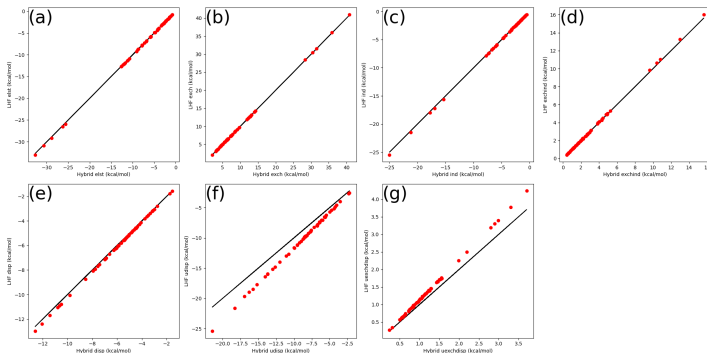
Hybrid vs. LHF values in kcal/mol for each term for S66 data set: (a) $E_{elst}^{(1)}$, (b) $E_{exch}^{(1)}$, (c) $E_{ind}^{(2)}$, (d) $E_{exch-ind}^{(2)}$, (e) $E_{disp,r}^{(2)}$, (f) $E_{disp,u}^{(2)}$, (g) $E_{exch-disp,u}^{(2)}$

GRAC

- (Hybrid-)GGA functionals does not have correct long-range behavior
  $v_{xc}(r) \to -1/r + (I_p + \epsilon_{\text{HOMO}})$
- Underestimates o-v gap as a consequence
- Functionals like LB94 have correct asymptotic behavior, but poor in bulk region
- Using gradient-regulated asymptotic correction (GRAC) scheme to connect PBE0 and LB94 with the switching function $f[g(\boldsymbol{r})]$:

$$v_{xc}^{\text{GRAC}} = \{1 - f[g(\boldsymbol{r})]\} \, v_{xc}^{\text{PBE0}} + f[g(\boldsymbol{r})]v_{xc}^{\text{LB94}}$$

$$f[g(\boldsymbol{r})] = \left(1 + e^{-\alpha[g(\boldsymbol{r})-\beta]}\right)^{-1}$$

$$g(\boldsymbol{r}) = \frac{|\nabla\rho(\boldsymbol{r})|}{\rho^{4/3}(\boldsymbol{r})}$$

GRAC & Long-Range Behavior
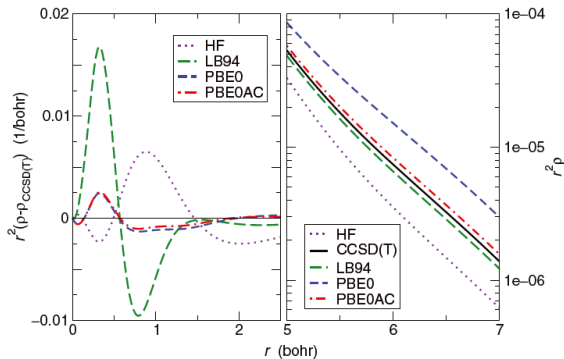
Radial densities $r^2\rho(r)$ of Ne atom (right) and errors compared to CCSD(T) density (left) for various xc potentials.[1]

[1] G. Jansen, WIREs Comput. Mol. Sci. **4**, 127 (2014).

Table of contents

Exchange-Dispersion Refitting

- ▶ Need to fit the uncoupled $E^{(2)}_{exch-disp,r}$ with non-LHF orbitals on the coupled LHF orbital values (implemented in Molpro)

- ▶ Assuming coupled LHF and non-LHF orbital $E^{(2)}_{exch-disp,r}$ from the behavior of $E^{(2)}_{disp,r}$

- ▶ Exchange-related components depend heavily on distance between monomers, sets like S22×5 and S66×8 would be preferred

- ▶ Determine the scaling factor with S22×5, validate with S66×8

S22×5 Fitting Results
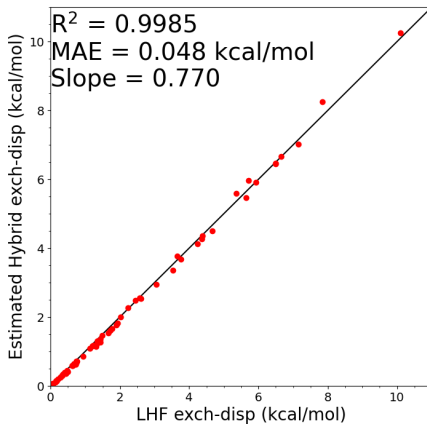
S66×8 Validating Results

$R^2 = 0.9955$
MAE = 0.061 kcal/mol
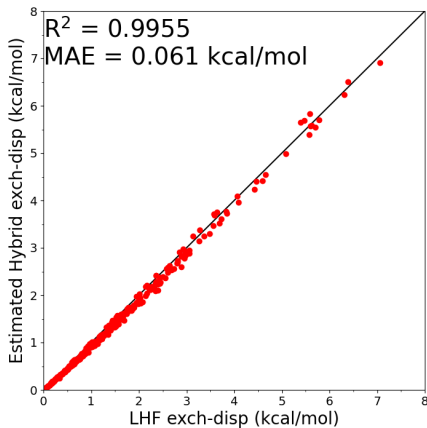
Termwise results

▶ Compared the SAPT(DFT)/aug-cc-pVTZ results of our code to SAPT(CCSD)/aug-cc-pVTZ results from Korona S2[2]

▶ Also comparing the results for S66 with SAPT2+3(CCD)δMP2/aug-cc-pVTZ as reference. Also added SAPT0/aug-cc-pVDZ, SAPT2+/aug-cc-pVDZ and SAPT2+(3)δMP2/aug-cc-pVTZ into comparison as side-reference.

▶ Errors of each system with respect to reference shown as vertical lines

▶ Mean absolute error (MAE) and mean unsigned relative error (MURE) listed for S2. MAE indicated by black box in the diagram

▶ Color scheme for S66 systems: Hydrogen-bonded (HB, red), mixed-influence (MX, green), dispersion-dominated (DD, blue)

[2]T. Korona, Mol. Phys. **111**, 3705 (2013).

Korona S2 Results

Method[a]	MAE	MURE	Error Distribution[b]						
			4	OB	1	0	1	UB	4
Electrostatics									
SAPT(DFT) hybrid	0.112	2.39							
SAPT(DFT) LHF	0.114	3.68							
SAPT0	0.520	8.61							
Exchange									
SAPT(DFT) hybrid	0.251	3.38							
SAPT(DFT) LHF	0.258	3.09							
SAPT0	1.757	12.88							
Induction									
SAPT(DFT) hybrid	0.148	2.79							
SAPT(DFT) LHF	0.192	2.97							
SAPT0	1.993	16.83							
Exchange-Induction									
SAPT(DFT) hybrid	0.144	4.03							
SAPT(DFT) LHF	0.165	4.76							
SAPT0	1.551	26.80							

Korona S2 Results

Dispersion			
SAPT(DFT) hybrid	0.175	3.68	
SAPT(DFT) LHF	0.141	2.77	
SAPT(DFT) non-hybrid	0.326	9.58	
SAPT0	0.811	24.86	
Exchange-Dispersion			
SAPT(DFT) hybrid	0.062	12.47	
SAPT(DFT) LHF	0.039	3.25	
SAPT0	0.265	36.11	
Total			
SAPT(DFT) hybrid	0.155	4.98	
SAPT(DFT) LHF	0.189	4.17	
SAPT(DFT) hon-hybrid	0.244	10.64	
SAPT0	1.237	19.63	

S66 Results

Method[a]	Total	HB	MX	DD	Error Distribution[b]						
					4	OB	1	0	1	UB	4
Electrostatics											
SAPT(DFT) hybrid	0.374	0.556	0.177	0.311							
SAPT(DFT) LHF	0.423	0.666	0.196	0.319							
SAPT0	0.613	1.034	0.439	0.297							
SAPT2+	0.236	0.270	0.136	0.263							
SAPT2+(3)δMP2	0.000	0.000	0.000	0.000							
Exchange											
SAPT(DFT) hybrid	0.886	1.127	0.426	0.926							
SAPT(DFT) LHF	0.886	1.121	0.431	0.928							
SAPT0	0.675	0.942	0.263	0.658							
SAPT2+	0.337	0.467	0.222	0.277							
SAPT2+(3)δMP2	0.000	0.000	0.000	0.000							
Induction											
SAPT(DFT) hybrid	0.211	0.201	0.212	0.220							
SAPT(DFT) LHF	0.224	0.223	0.223	0.225							
SAPT0	0.241	0.200	0.261	0.271							
SAPT2+	0.327	0.384	0.250	0.318							
SAPT2+(3)δMP2	0.152	0.179	0.121	0.145							

S66 Results

Dispersion					
SAPT(DFT) hybrid	0.370	0.260	0.219	0.573	
SAPT(DFT) LHF	0.308	0.200	0.173	0.499	
SAPT(DFT) non-hybrid	0.635	0.581	0.419	0.822	
SAPT0	0.443	0.862	0.162	0.195	
SAPT2+	0.235	0.397	0.169	0.115	
SAPT2+(3)δMP2	0.093	0.129	0.056	0.080	
Total					
SAPT(DFT) hybrid	0.334	0.588	0.107	0.217	
SAPT(DFT) LHF	0.234	0.382	0.046	0.199	
SAPT(DFT) non-hybrid	0.604	0.955	0.389	0.385	
SAPT0	0.990	1.197	0.692	0.965	
SAPT2+	0.230	0.235	0.138	0.280	
SAPT2+(3)δMP2	0.105	0.056	0.082	0.169	

Timing Performance
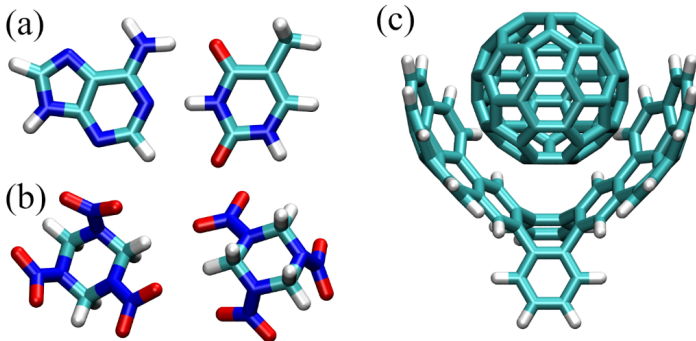
Dimer systems for timing: (a) Watson-Crick adenine-thymine complex, (b) RDX dimer, (c) C_{60}–buckycather complex.

Watson-Crick Adenine-Thymine

(a) Watson-Crick Adenine-Thymine aug-cc-pVDZ
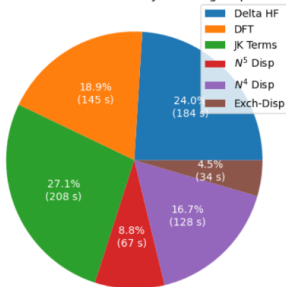
(b) Watson-Crick Adenine-Thymine aug-cc-pVTZ
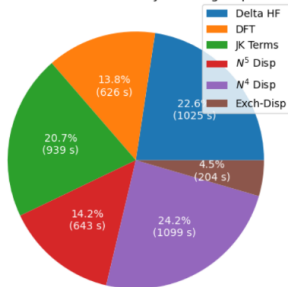
RDX Dimer

(c) RDX dimer aug-cc-pVDZ
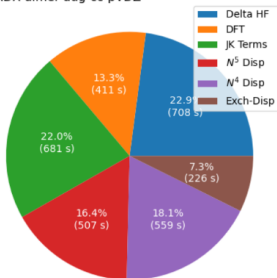
(d) RDX dimer aug-cc-pVDZ
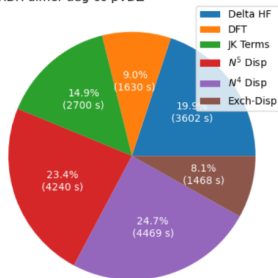
Comparison with LHF Approach

TABLE IV. Wall times (in hours) for SAPT(DFT) computations of RDX dimer/aug-cc-pVTZ with hybrid and LHF algorithm.

Subroutine	Hybrid	LHF
Delta HF	0.96	N/A[a]
DFT	0.45	2.29
xc kernel	0.08	4.17
FDDS object[b]	2.35	N/A
Disp time integration	0.37	3.59
Exch-disp	0.41	1.99
Total	5.03	12.80

[a] The δHF correction, recommended for SAPT(DFT) computations of polar molecules, is performed by default in Psi4 but not in Molpro.

[b] Including integral transformation, form X/form Y (the $O(N^5)$ part) and QR factorization. In Molpro, the integral transformation is integrated with other terms, and the other steps are not relevant for LHF.

C$_{60}$–Buckycatcher Complex

- $N_{bf} = 3012$, $N_{aux} = 9284$ with aug-cc-pVDZ basis set
- Using Intel i9-10980XE processor with 18 cores, completed entire calculation in 4.03 days
- 42.7 hours for $E_{disp}^{(2)}$; 20.1 hours for the $O(N^5)$ subroutines
- Cost of DFT and $E_{ind}^{(2)}$ still somewhat significant

Table of contents

Table of contents

3B-69 Benchmark Set

- ▶ Benchmark set for 3-body interaction energies
- ▶ 69 trimers extracted from 23 different molecular crystal structures (3 each)
- ▶ Used focal point approach to obtain CCSD(T) (and other wavefunction method) energies

$$ E = E^{HF}(aQZ) + \Delta E^{MP2}(aTZ/aQZ) + \Delta E^{CCSD(T)}(aDZ) $$

- ▶ Assessing accuracy for various wavefunction and DFT methods
- ▶ Recommended MP2.5 and SCS-MI-CCSD, both $O(N^6)$
- ▶ Trying to assess performance of MP2 + FDDS dispersion for 3B-69 systems

3B-69 Wavefunctional Methods
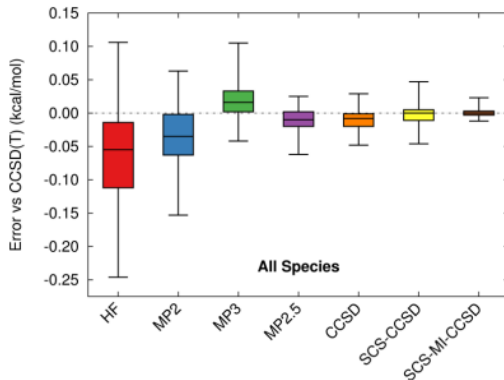
3B-69 DFT Methods
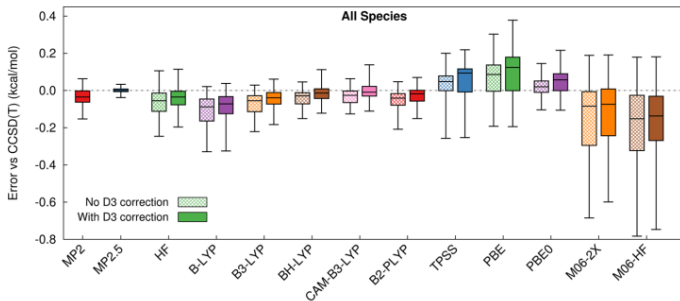
New Set from X23

- ► Sampling trimer geometries from X23 crystal structures
- ► Trying to include trimer with different intermolecular distances and alignment
- ► Aiming to serve as a "three-body version" of S22×5/S66×8
- ► Distance: Geometry mean of 3 pairwise closest contact distance
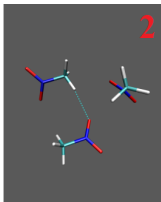- ► Alignment: Angles of the COM triangle; mainly looking at the greatest angle
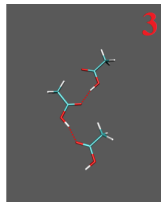
Table of contents

3B-69 Systems
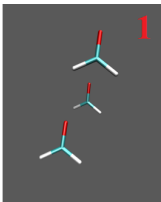
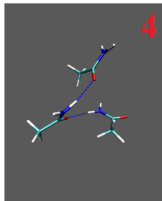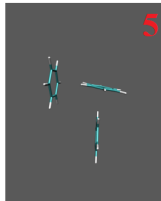formaldehyde b

nitromethane a

acetic acid b

acetamide a

benzene c

3B-69 Results

▶ Total interaction energies in kcal/mol

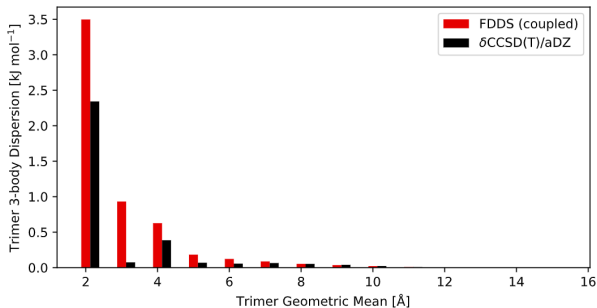▶ FDDS(aDZ) and FDDS(aTZ) correspond to estimated total IE with MP2 + FDDS

System	CCSD(T)	FDDS(aDZ)	FDDS(aTZ)	MP2	MP2.5
1	0.181	0.207	0.210	0.161	0.179
2	-0.122	-0.069	-0.065	-0.178	-0.143
3	-0.922	-0.905	-0.904	-0.937	-0.913
4	-0.089	-0.003	-0.003	-0.239	-0.151
5	-0.027	0.002	0.003	-0.061	-0.023

3B-69 Results

▶ Estimated 3-body dispersion energies comparison

▶ CCSD(T) corresponds to dispersion energy estimated by $E^{CCSD(T)} - E^{\text{MP2}}$

System	CCSD(T)	FDDS(aDZ)	FDDS(aTZ)
1	0.020	0.046	0.049
2	0.056	0.109	0.113
3	0.015	0.032	0.033
4	0.150	0.236	0.242
5	0.034	0.063	0.064

Distance Dependence

Distance Dependence