# AlphaGo

By Philip Dietrich and Laurenz Hemmen

- 1. The Game of Go
- 2. DeepMind's AlphaGo
- 3. Data
  - a. Representations of Boards
- 4. Softmax Network
  - a. Architecture
  - b. Results
- Convolutional Neural Network
  - a. Architecture
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- 6. Comparing Models
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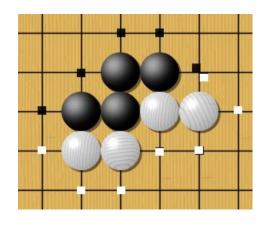
# The Game of Go

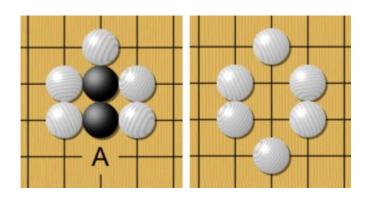
- Literally: 'encircling game'
- Two players
- 19x19 fields
- Goal: surround more territory than opponent
- Simple rules, though extremely difficult



### The Rules of Go

- Players place stones on vacant points (alternately)
- Adjacent stones of one color form a group
- Liberty of a group: number of vacant adjacent points (group shares liberties)
- If a group has no liberty (gets captured) it is removed from the board

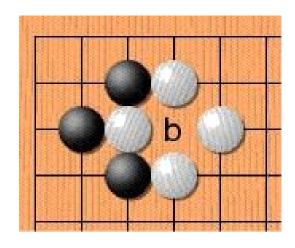




Images from: https://en.wikibooks.org /wiki/Go/Lesson\_2:\_Ba sic\_Rules\_and\_Founda tional concepts

# The Rules of Go

- Previous board positions are not allowed to be repeated (Ko-Rule)
- score = number of stones on board +intersections surrounded by stones
- Players can skip
- Game ends if both players skip/ one player gives up



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# DeepMind's AlphaGo - Go vs. Chess

	Go	Chess
approx. #(possible moves)	250	35
#(moves in a game)	150	80
Estimated number of different games	$10^{761}$	$10^{120}$

### Monte Carlo Tree Search

- Used by strong amateur level Go Als (Fuego, Pachi, Zen, ...)
- Basic Idea:
  - randomly simulate many games (to the end)
  - remember how often node has been visited, how often has that led to a win
  - direct random selection to favor nodes that led previously to wins
- Compared to Deep Blue no knowledge of game necessary

# **AlphaGo**

- SL (rollout) policy network
  - Networks trained on human expert positions to predict next human move
  - One fast softmax network, one big convolutional network (later more!)
- RL policy network
  - Playing against different versions of itself
- Value network
  - Predicting probability of a Win
- Tree Search
  - Combining outputs of networks to evaluate value of position
  - Rollout network used to traverse game tree

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### **Dataset**

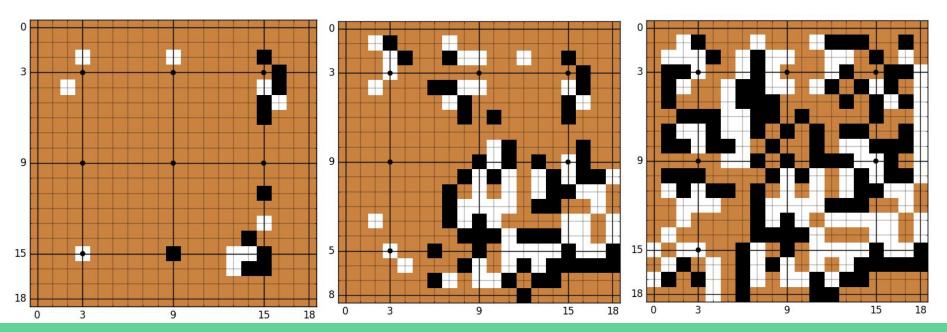
- Human Go games from KGS Go Server from games between 2001 and 2007
- Roughly 2.000.000 positions for training (95%) and validation (5%)
- 8000 position for final testing

# Representation of data: as feature planes

- Encoding Information on feature planes
  - Positions of own/opponent's stones
  - Liberties of stones
  - if move would be illegal due to ko rule
  - Special patterns
  - Distance to last move, ...
- One plane encodes one of the information for every field on the board

# Representation of data: as image

Own stone: 1, opponent stone 0, vacant field 0.5



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#### 4. Softmax Network

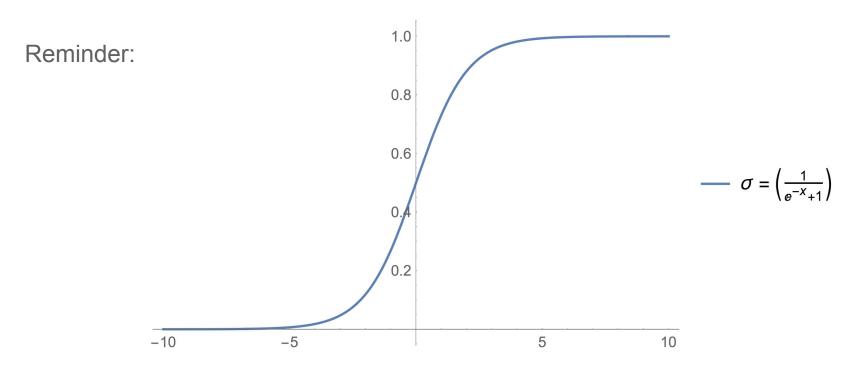
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### **Softmax Network: Features and Architecture**

Features are all binary for each of the 19x19 squares:

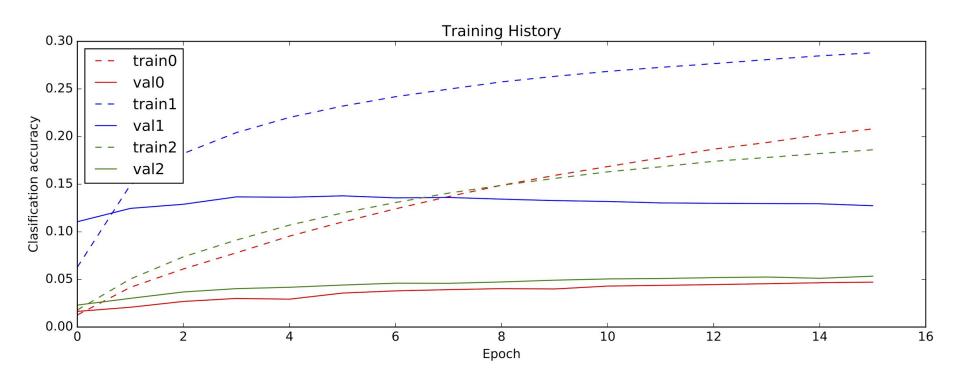
- Colors of stones
- Liberties of stones
- Forbidden fields due to Ko rule
- Neighbors to last move
- Certain 3x3 patterns around candidate moves
- $\Rightarrow$  Input size: 19 x 19 x (#feature planes)
- $\Rightarrow$  Output size: 19 x 19 = 361
- ⇒Number of parameters: >1.000.000 for 8 feature planes

# **Softmax: Activation function**

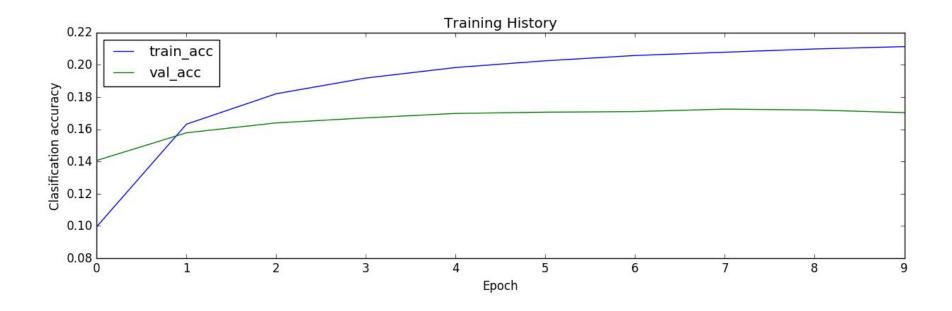


For small and huge values, gradient nearly vanishes during backprop ⇒ no/small parameter update

# **Results: Softmax networks**



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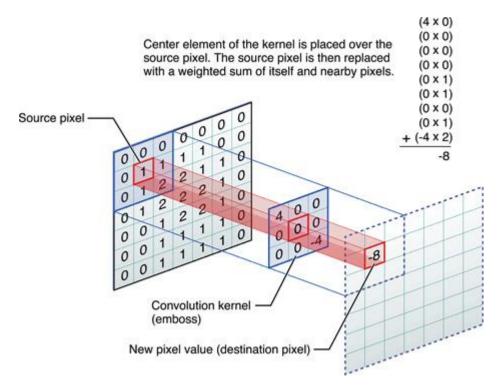
# **Softmax: Different features compared**

	Test Accuracy [%]	time/prediction [ms]
Col+Lib, ko	6.7	0.11
Col, Lib	7.1	0.10
Col, Lib, Neighbors	17.1	0.13
Neighbors	6.7	0.11
AlphaGo's Softmax	24.2	-
Baseline: Guessing	>0.3 (=100/361)	-

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#### 5. Convolutional Neural Network

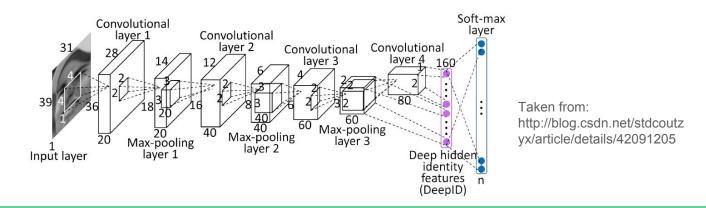
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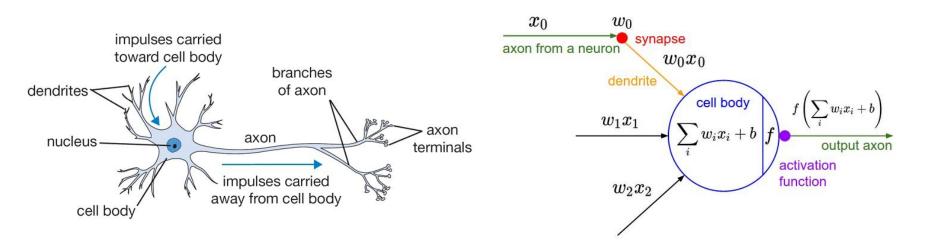
#### Taken from:

https://developer.apple.com/library/content/documentation/ Performance/Conceptual/vlmage/ConvolutionOperations/ConvolutionOperations.html

- Moves filter over image pixels
- Certain shapes give stronger output
- Normally several filters applied to one image
- Hyperparameters: number and size of filters
- Parameter determined by backpropagation: weights of filters

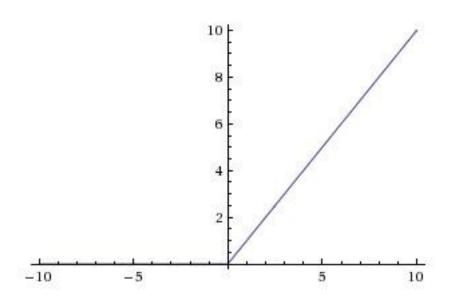


- So far: fully convolutional, in AlphaGo: additional nonlinearities
- Biological view: (taken from http://cs231n.github.io/neural-networks-1/#bio)



Activation function used here: Rectified Linear Unit (ReLU)

- Faster to compute than tanh, sigmoid
- No saturation in positive spectrum
- Threshold at zero



taken from: http://cs231n.github.io/neural-networks-1/ #bio

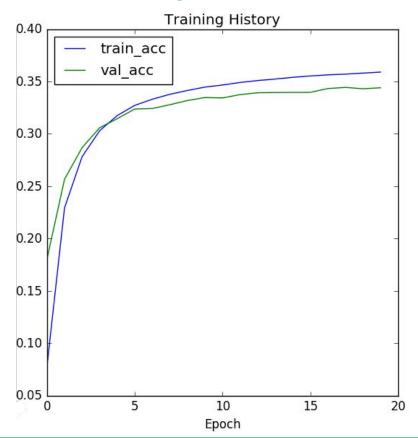
Network		Accuracy one board layer [%]	Accuracy 3 board layers [%]	Accuracy 7 board Layers [%]	Accuracy Col + Lib, Ko [%]
Convolutiona	4 filters	4.0	10.3	-	6.7
I - ReLu - Softmax	32 filters	3.8	14.2	26.4	7.2
Convolutiona	4 filters	1.3	-	-	7.8
I - Softmax	32 filters	1.5	-	-	8.5
AlphaGo	192 filters/layer	-	55.7	-	57.0

# **Convolutional Networks: More Conv Layers**

### Approach from DeepMind Paper:

- Convolutional Layer with k 5x5 filters
- Convolutional Layer with k 3x3 filters
- Convolutional Layer with 1 1x1 filter
- Softmax-Layer

- AlphaGo: k = 196, we: k = 32
- Number of parameters ≈145.000
- ⇒ no overfitting!
- 7 input layers: 34.4 % accuracy
- 3 input layers: 17.9 % accuracy



# **Convolutional Networks: More Conv Layers**

- Even more layers:
  - 6 Convolutional layers (48 \* 5x5, 32\*5x5, 32\* 5x5, 32\*3x3, 8\*3x3, 1\*1x1)
  - ReLu activation function on every layer
  - One softmax layer in the end
- 214763 Parameters
- Used 1.000.000 training and validation samples
- Final test accuracy: 39.1% (training accuracy 43.0%)
- ⇒ best accuracy we could get

- Many more variations of #filters, sizes of filters,... tested
  - More filters [4, 8, 16, 32] result in higher accuracy, but more overfitting
  - Filter sizes [3x3, 5x5, 7x7] cause nearly no difference, 5x5 slightly better
  - More training data resulted in significantly higher accuracies
- Only small variations, results at similar accuracies
- General impression: the more layers used, ...
  - the longer it took to train the network
  - the easier it was to overfit the data to to high number of parameters ⇒ more data needed than we actually had (AlphaGo: 30 Mio, we: 2 Mio)
  - the longer the prediction time

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# Comparison: Softmax vs. ConvNet

	Convolutional Networks						Softmax			
	Со	nv - ReLU	- SM	Conv - SM		CRCR CRS	(CR) <sup>6</sup> S			
	8p, 4f	8p, 32f	3p, 32f	8p, 4f	8p, 32f	7p, 32f	13p,48f	6 p	7 p	8 p
Runtime [ms]	0.24	0.24	0.24	0.13	0.21	0.35	0.54	0.06	0.06	0.08
Accuracy [%]	6.7	7.2	14.2	7.8	8.5	34.4	39.1	7.1	17.1	6.7

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# **Conclusion: Problems during Training**

- Overfitting to training data due to high number of parameters in model compared to number of training positions
- memory/ processing limitations
- Very long training times (often: training time > 10 hours)

# Conclusion: How well does it play?

- Doesn't "know" the rules, can't pass ⇒ can't really play
- Only tries to predict next human move, not necessarily best move ⇒ AlphaGo uses additional RF Learning model playing against versions of itself
- Even if most moves are predicted correctly, false predictions can give opponent opportunity to easily win the game
- May create uncommon positions, isn't trained on these

# **Conclusion: Possible further improvements**

- Symmetry
  - Using mirrored board positions
  - Forcing weights to be symmetrical
- Add Go rules and already occupied fields
- Add additional layers (Dropout, more Convolutions, ..)
- Use more data (we: 2e6 vs. AlphaGo: 30e6 positions)
- Additional information / feature planes
  - Possible captures, stone saves
  - Matching certain kind of patterns
    - around last move
    - Around every position

# **Conclusion: Possible further improvements**

#### Extended Data Table 4 | Input features for rollout and tree policy

Feature	# of patterns	Description
Response	1	Whether move matches one or more response pattern features
Save atari	1	Move saves stone(s) from capture
Neighbour	8	Move is 8-connected to previous move
Nakade	8192	Move matches a <i>nakade</i> pattern at captured stone
Response pattern	32207	Move matches 12-point diamond pattern near previous move
Non-response pattern	69338	Move matches $3 \times 3$ pattern around move
Self-atari	1	Move allows stones to be captured
Last move distance	34	Manhattan distance to previous two moves
Non-response pattern	32207	Move matches 12-point diamond pattern centred around move

Features used by the rollout policy (first set) and tree policy (first and second set). Patterns are based on stone colour (black/white/empty) and liberties  $(1, 2, \ge 3)$  at each intersection of the pattern.

### Conclusion

- Our network wasn't nearly as good as AlphaGo's
- Convolutional networks gave better results
- Softmax networks were faster
- Best network architecture: some Convolutional layers (decreasing in size, #filters), finally one softmax layer
  - Relatively low number of parameters (in the order 1e6) ⇒ data less overfitted
  - Better results than using fully connected layers

# Questions?

# **Bibliography**

[1] Silver, Huang, Maddison, Guez; Mastering the game of Go with deep neural networks and tree search

[2] Christopher Clark, Amos Storkey: Training Deep Convolutional Neural Networks to Play Go