## Medical Vision Seminar

Yujin Tang 21.09.22

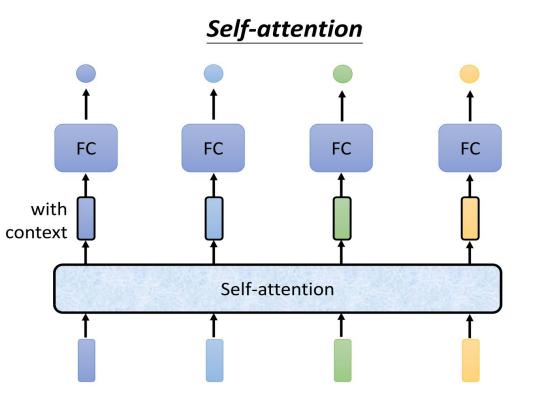
#### Transformer for Medical Image Segmetation

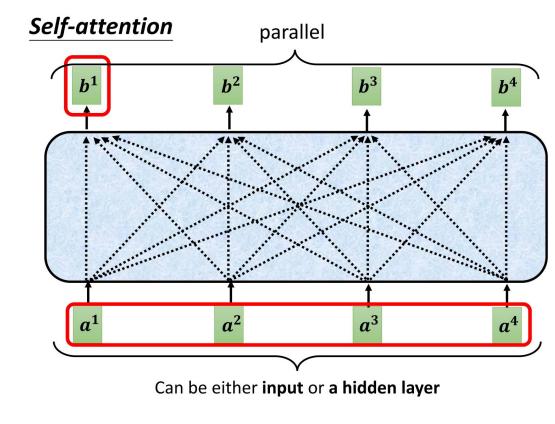
Chen, J., Lu, Y., Yu, Q., Luo, X., Adeli, E., Wang, Y., ... & Zhou, Y. (2021). TransUNet: Transformers make strong encoders for medical image segmentation. arXiv preprint arXiv:2102.04306.

Zhou, H. Y., Guo, J., Zhang, Y., Yu, L., Wang, L., & Yu, Y. (2021). nnFormer: Interleaved Transformer for Volumetric Segmentation. arXiv preprint arXiv:2109.03201.

## Background--Self Attention

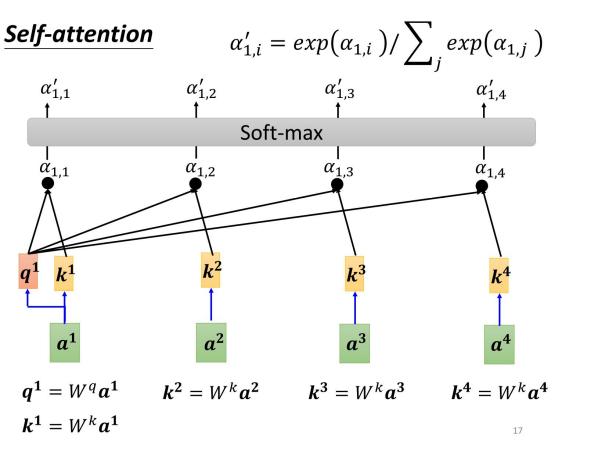
seq2seq(Model decides the number of labels itself)

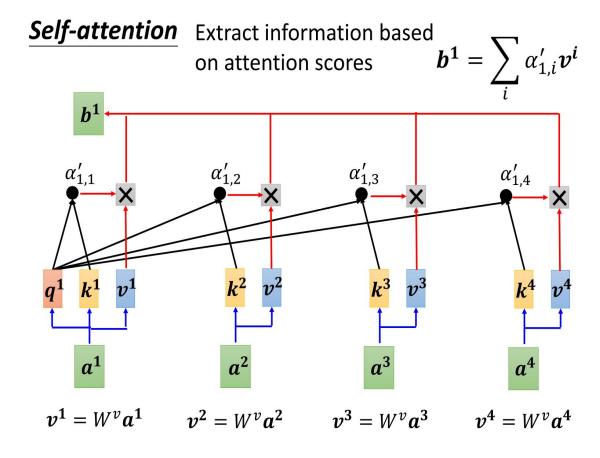




## Background--Self Attention

• (Q,K,V)--learn w<sup>q</sup> w<sup>k</sup> w<sup>y</sup>





#### Background--Self Attention

- MSA(Multi-head Self Attention)
- Positional Enconding

Multi-head Self-attention Different types of relevance  $q^{i,1} = W^{q,1}q^i$  $q^{i,2} = W^{q,2}q^i$  $q^{j,1}$   $q^{j,2}$   $k^{j,1}$   $k^{j,2}$   $v^{j,1}$   $v^{j,2}$  $q^{i,2}$   $k^{i,1}$   $k^{i,2}$   $v^{i,1}$   $v^{i,2}$  $\mathbf{q}^i = W^q \mathbf{a}^i$ (2 heads as example)

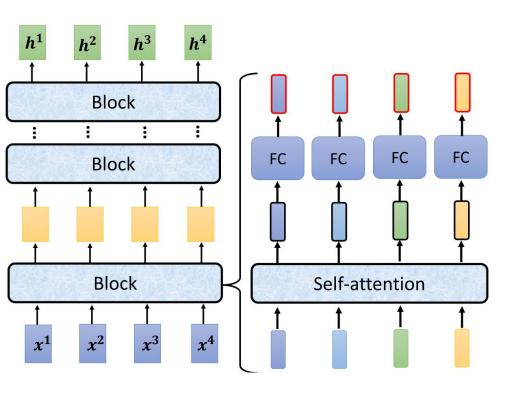
# Positional Encoding No position information in self-attention. Each position has a unique positional vector e<sup>i</sup> hand-crafted learned from data

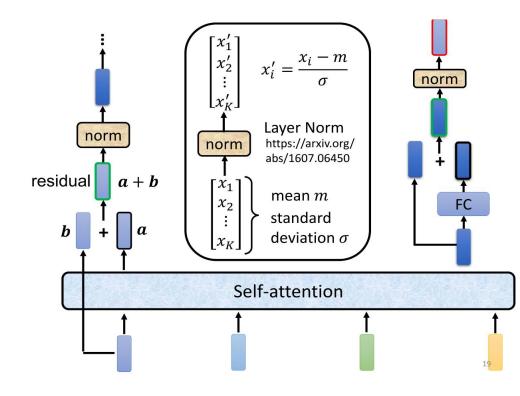
Each column represents a

positional vector  $e^i$ 

#### Background--Transformer

Residual+Layer Normalization





#### TransUNet: Transformers Make Strong Encoders for Medical Image Segmentation

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#### Introduction

#### ➤ Motivation:

- ➤ CNN-based approaches generally exhibit limitations for modeling explicit long-range relation.
- Transformers are powerful at modeling global contexts and demonstrate superior transferability for downstream tasks.
- ➤ Contribution: The first study which explores the potential of transformers in the context of medical image segmentation.

#### Method

Patchsize:P\*P Sequence Length: H\*W/P\*P

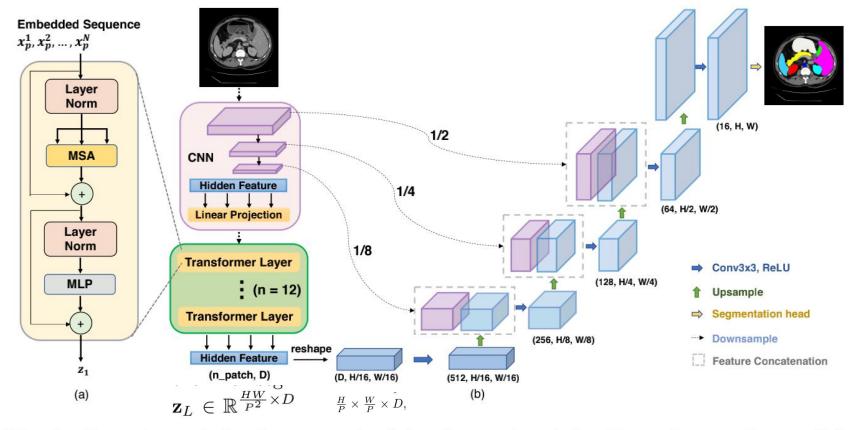


Fig. 1: Overview of the framework. (a) schematic of the Transformer layer; (b) architecture of the proposed TransUNet.

#### Method

$$\mathbf{z}_{0} = \left[\mathbf{x}_{p}^{1}\mathbf{E}; \, \mathbf{x}_{p}^{2}\mathbf{E}; \cdots; \, \mathbf{x}_{p}^{N}\mathbf{E}\right] + \mathbf{E}_{pos},$$

$$\mathbf{E} \in \mathbb{R}^{(P^{2} \cdot C) \times D} \quad \mathbf{E}_{pos} \in \mathbb{R}^{N \times D}$$

$$(1)$$

$$\mathbf{z}_{\ell}' = \text{MSA}(\text{LN}(\mathbf{z}_{\ell-1})) + \mathbf{z}_{\ell-1}, \tag{2}$$

$$\mathbf{z}_{\ell} = \mathrm{MLP}(\mathrm{LN}(\mathbf{z}_{\ell}')) + \mathbf{z}_{\ell}', \tag{3}$$

#### Result

Table 1: Comparison on the Synapse multi-organ CT dataset (average dice score % and average hausdorff distance in mm, and dice score % for each organ).

Fram	Framework		rage	Aorta	Gallbladder	Kidney (L)	Kidney (R)	Liver	Pancreas	Spleen	Stomach
Encoder	Decoder	DSC ↑	$\mathrm{HD}\downarrow$					9			
V-N	et [9]	68.81	==	75.34	51.87	77.10	80.75	87.84	40.05	80.56	56.98
DAF	RR [5]	69.77	=0	74.74	53.77	72.31	73.24	94.08	54.18	89.90	45.96
R50	U-Net [12]	74.68	36.87	84.18	62.84	79.19	71.29	93.35	48.23	84.41	73.92
R50	AttnUNet [13]	75.57	36.97	55.92	63.91	79.20	72.71	93.56	49.37	87.19	74.95
ViT [4]	None	61.50	39.61	44.38	39.59	67.46	62.94	89.21	43.14	75.45	69.78
ViT [4]	CUP	67.86	36.11	70.19	45.10	74.70	67.40	91.32	42.00	81.75	70.44
R50-ViT [4]	CUP	71.29	32.87	73.73	55.13	75.80	72.20	91.51	45.99	81.99	73.95
Trans	sUNet	77.48	31.69	87.23	63.13	81.87	77.02	94.08	55.86	85.08	75.62

#### Result--Ablation Study

- > number of skip connections
- input resolution
- patch size and sequence length
- > model scale

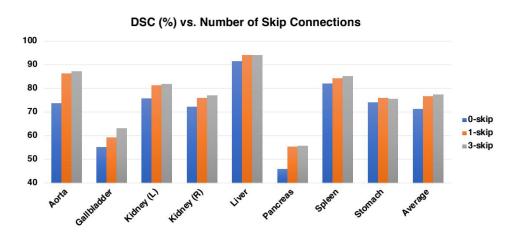


Fig. 2: Ablation study on the number of skip-connections in TransUNet.

Table 2: Ablation study on the influence of input resolution.

Resolution	Average DSC	Aorta	Gallbladder	Kidney (L)	Kidney (R)	Liver	Pancreas	Spleen	Stomach
224	77.48	87.23	63.13	81.87	77.02	94.08	55.86	85.08	75.62
512	84.36	90.68	71.99	86.04	83.71	95.54	73.96	88.80	84.20

Table 3: Ablation study on the patch size and the sequence length.

Patch size	Seq_length	Average DSC	Aorta	Gallbladder	Kidney (L)	Kidney (R)	Liver	Pancreas	Spleen	Stomach
32	49	76.99	86.66	63.06	81.61	79.18	94.21	51.66	85.38	74.17
16	196	77.48	87.23	63.13	81.87	77.02	94.08	55.86	85.08	75.62
8	784	77.83	86.92	58.31	81.51	76.40	93.81	58.09	87.92	79.68

Table 4: Ablation study on the model scale.

Model scale	Average DSC	Aorta	Gallbladder	Kidney (L)	Kidney (R)	Liver	Pancreas	Spleen	Stomach
Base	77.48	87.23	63.13	81.87	77.02	94.08	55.86	85.08	75.62
Large	78.52	87.42	63.92	82.17	80.19	94.47	57.64	87.42	74.90

hidden size D, number of layers, MLP size, number of heads:

12, 768, 3072, 12

24, 1024, 4096, 16

#### Result--Visualization

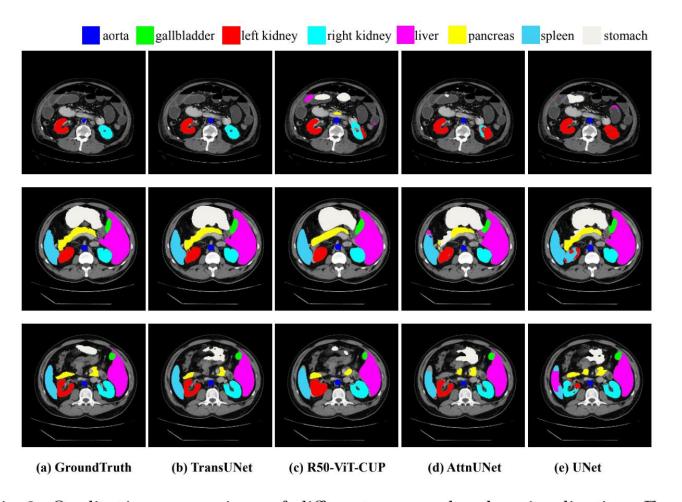


Fig. 3: Qualitative comparison of different approaches by visualization. From left to right: (a) Ground Truth, (b) TransUNet, (c) R50-ViT-CUP, (d) R50-AttnUNet, (e) R50-U-Net. Our method predicts less false positive and keep finer information.

# nnFormer: Interleaved Transformer for Volumetric Segmentation

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#### Introduction

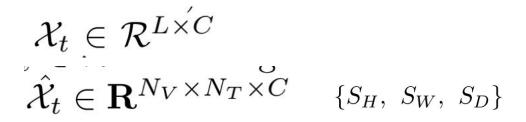
#### > Motivation:

- TransUNet treats convnets as feature extractors and uses transformers to help encode the global context.
- SwinUNet: Pure transformer
- ➤ Both convnets and transformers did not explore how to appropriately combine convolution and self-attention for building an optimal medical segmentation network.

#### **≻**Contribution:

- ➤ Hybrid stem where convolution and self-attention are interleaved to give full play to their strengths.
- ➤ Propose a computational-efficient way to capture inter-slice dependencies. 98% on Synapse and 99.5% on ACDC.

#### Method



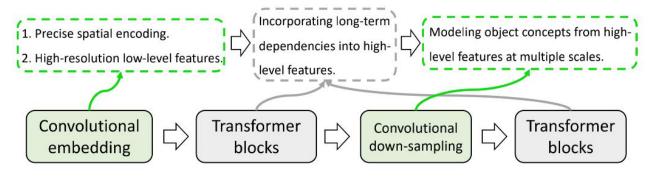
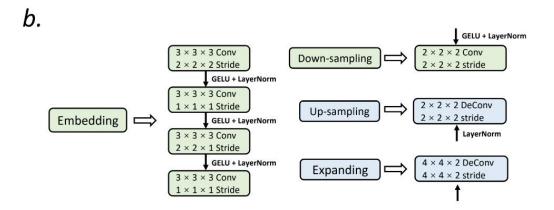
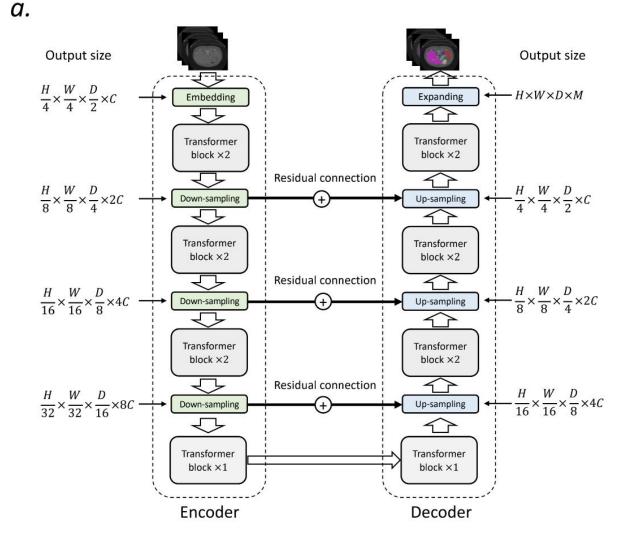


Fig. 1: Overview of the interleaved stem used in the encoder of nnFormer.





#### Method

$$\hat{\mathcal{X}}_{t}^{l} = \text{V-MSA}\left(\text{LayerNorm}\left(\mathcal{X}_{t}^{l-1}\right)\right) + \mathcal{X}_{t}^{l-1}, \\
\mathcal{X}_{t}^{l} = \text{MLP}\left(\text{LayerNorm}\left(\hat{\mathcal{X}}_{t}^{l}\right)\right) + \hat{\mathcal{X}}_{t}^{l}, \\
\hat{\mathcal{X}}_{t}^{l+1} = \text{SV-MSA}\left(\text{LayerNorm}\left(\mathcal{X}_{t}^{l}\right)\right) + \mathcal{X}_{t}^{l}, \\
\mathcal{X}_{t}^{l+1} = \text{MLP}\left(\text{LayerNorm}\left(\hat{\mathcal{X}}_{t}^{l+1}\right)\right) + \hat{\mathcal{X}}_{t}^{l+1}. \\
\left\{S_{H}, S_{W}, S_{D}\right\}$$

$$\left|\frac{S_{H}}{2}\right|, \left|\frac{S_{W}}{2}\right|, \left|\frac{S_{D}}{2}\right|$$

Synapse:[4,4,4] ACDC:[5,5,3]

$$\Omega(V-MSA) = 4hwdC^2 + 2S_H S_W S_D hwdC.$$

$$\Omega(MSA) = 4hwdC^2 + 2(hwd)^2C.$$

Attention(Q, K, V) = softmax 
$$\left(\frac{QK^T}{\sqrt{d_k}} + B\right)V$$
,

#### Dataset

Synapse for multi-organ CT segmentation. This dataset includes 30 cases of abdominal CT scans. Following the split used in [6], 18 cases are extracted to build the training set while the rest 12 cases are used for testing. We report the model performance evaluated with the average Dice Similarity Coefficient (DSC) on 8 abdominal organs, which are aorta, gallbladder, spleen, left kidney, right kidney, liver, pancreas and stomach.

ACDC for automated cardiac diagnosis. ACDC involves 100 patients, with the cavity of the right ventricle, the myocardium of the left ventricle and the cavity of the left ventricle to be segmented. Each case's labels involve left ventricle (LV), right ventricle (RV) and myocardium (MYO). The dataset is split into 70 training samples, 10 validation samples and 20 testing samples.

#### Result

Table 3: Experiments on ACDC (dice score in %). Best results are bolded.

Methods	Average	RV	Myo	LV
R50-U-Net 28	87.55	87.10	80.63	94.92
R50-Attn UNet 29	86.75	87.58	79.20	93.47
VIT-CUP 8	81.45	81.46	70.71	92.18
R50-VIT-CUP 8	87.57	86.07	81.88	94.75
CBAM 36	87.30	87.70	82.10	92.20
ResUNet 10	86.90	86.20	82.50	92.20
Dual-Attn 10	87.00	86.40	82.30	92.40
UTNET 10	88.30	88.20	83.50	93.10
TransUNet 6	89.71	88.86	84.54	95.73
SwinUNet 3	90.00	88.55	85.62	95.83
LeViT-UNet-384s 40	90.32	89.55	87.64	93.76
nnUNet (3D) 12	91.59	90.25	89.10	95.41
nnFormer	91.78	90.22	89.53	95.59

#### Result--Visualization

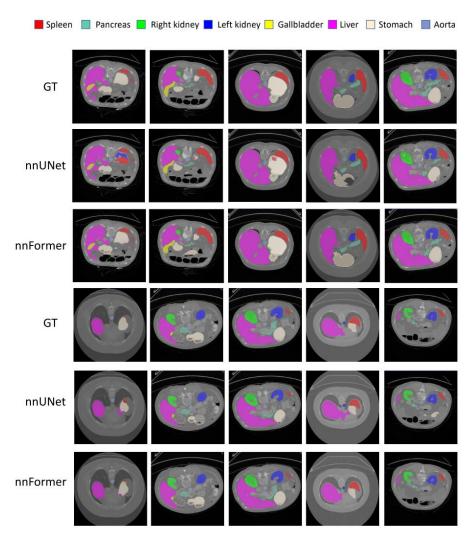


Fig. 3: Segmentation results of some hard samples on Synapse.

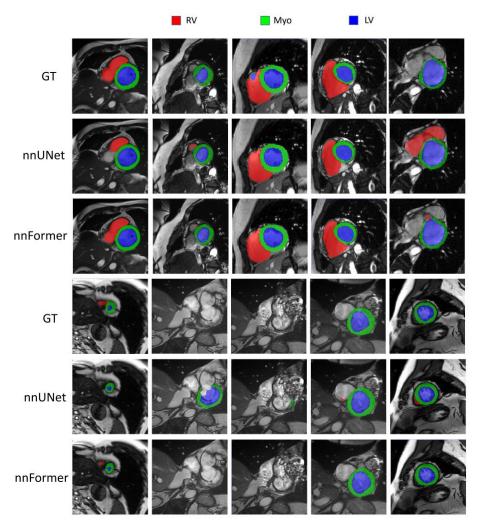


Fig. 4: Segmentation results of some hard samples on ACDC.

#### Result--Ablation Study

Table 4: Investigation of the embedding block on Synapse. **Patch-wise convolution** consists of only one convolutional layer with large kernel size and stride.

	Average	Aotra	Gallbladder	Kidnery(L)	Kidnery(R)	Liver	Pancreas	Spleen	Stomach
Patch-wise convolution	84.63	88.84	65.33	86.97	85.98	95.58	77.30	91.83	85.15
Ours	87.40	92.04	71.09	87.64	87.34	96.53	82.49	92.91	89.17

Table 5: Investigation of the convolutional down-sampling blocks.

	Average	Aotra	Gallbladder	Kidnery(L)	Kidnery(R)	Liver	Pancreas	s Spleen	Stomach
Neighboring concatenation	84.30	88.00	67.60	87.52	87.38	95.31	80.63	85.29	82.69
Ours	87.40	92.04	71.09	87.64	87.34	96.53	82.49	92.91	89.17

Table 6: Investigation of adding more transformer blocks.

S	Average	Aotra	Gallbladder	Kidnery(L)	Kidnery(R)	Liver	Pancreas	Spleen	Stomach
More transformer blocks	85.98	89.02	71.74	86.76	87.06	96.37	82.30	89.04	85.51
Ours	87.40	92.04	71.09	87.64	87.34	96.53	82.49	92.91	89.17

Table 7: Benefits of using pre-trained weights on natural images.

	Average	Aotra	Gallbladder	Kidnery(L)	Kidnery(R)	Liver	Pancreas	Spleen	Stomach
No pre-training	84.34	90.15	69.00	86.34	87.48	95.93	80.97	85.23	79.67
Ours	87.40	92.04	71.09	87.64	87.34	96.53	82.49	92.91	89.17

- ➤ large convolution kernel VS successive small kernels. one [4,4,2]
- neighboring contenation VS downsampling blocks
- 2 transformer blocks VS 1 transformer block
- > no pre-training VS pretraining

# Thank You!