

Lecture 19: November 1

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19.1 Wait Free Consensus Hierachy

Consensus number of a shared object is the maximum number of processes that can use that object to solve consensus problem.

Operation: R/W Register: Consensus number 1;

TestAndSet: Consensus number 2;

GetAndIncrement: Consensus number 2;

Swap: Consensus number 2;

CAS: Consensus number infinity;

19.1.1 Read-Modify-Write Objects

An Read-Modify-Write Object has the following structure:

```
private int value;
public int synchronized getAndModify(int x) {
    int prev = value;
    value = f(value, x);
    return prev;
}
```

Function f sets a new value and returns the old value:

- Test&Set: $f(\text{value}, x) = 1$;
- Swap: $f(\text{value}, x) = x$;
- Get&Increment: $f(\text{value}, x) = \text{value} + 1$;

An read-and-modify object can be characterized by function f :

- (1) RMW is commute if: $f_i f_j = f_j f_i$ (for any i, j), where f_i is the function applied by process i ;
- (2) RMW is overwrite if: $f_i(f_j(x)) = f_i(x)$ (for any i, j);

Theorem:

Any **non-trivial** (f is not identity, if f is identity, f is read operation $f(\text{value}, x) = \text{value}$, which has consensus number 1) RMW object with either commuting or overwriting property has consensus number equals to two.

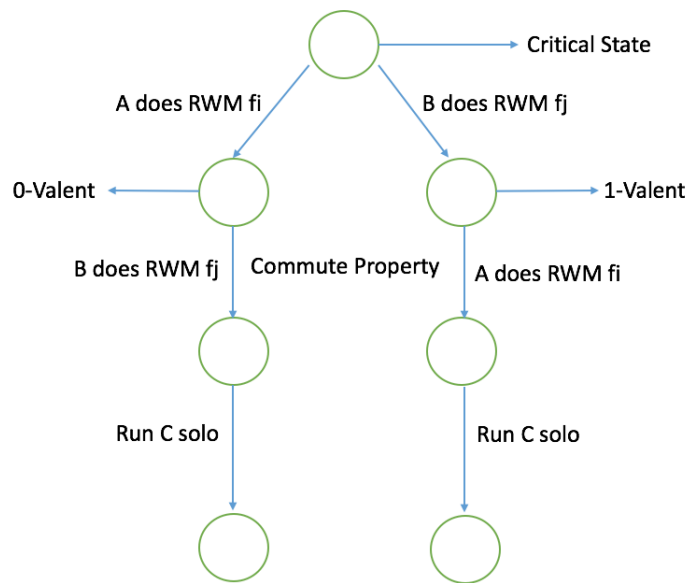
Proof(consensus number is at least 2):

Use non-triviality: Value init to null. P0 and P1 store their proposals to an `int[2]` array. There exists value

such that $f(\text{value}) \neq \text{value}$. Then call RMW, if return initial value then choose my value; else choose the other value;

Proof(consensus number is at most 2):

There is no protocol that solves consensus for 3 processes using RMW object. Any protocol has to reach some critical state:



From critical state, A does RMW(f_i) reach 0-valent then B does RMW(f_j); for another path, B does RMW(f_j) reach 1-valent then A does RMW(f_i). Because RMW has commute property, these two path has same state, then C can run. For C, the initial state is same, so, C will always reach same consensus and it cannot decide on different things. However, for the first path, C should reach 0-valent and for the second path, C should reach 1-valent, which contradict with uni-valent property.

19.1.2 2-Write-1-Read-Object ((2, 1) Object)

2-Write-1-Read-Object: Write on two locations atomically, read any one location.

Protocol to solve consensus using (2, 1) object:

```
int[3] (initial with null);
Write proposal on int[3] array;
P0 writes (a, a) in location 0 and 1;
P1 writes (b, b) in location 1 and 2;
Whichever writes first wins.
```

Possible configurations during the process:

```
{a, a, null}, (P0 won at this point);
{a, b, b}, (P0 won);
```

{null, b, b}, (P1 won);
 {a, a, b} (P1 won);

This can solve consensus on 2 processes.

19.2 Universal Construction

Given some objects of consensus number m , construct an object with consensus number less than or equal to M .

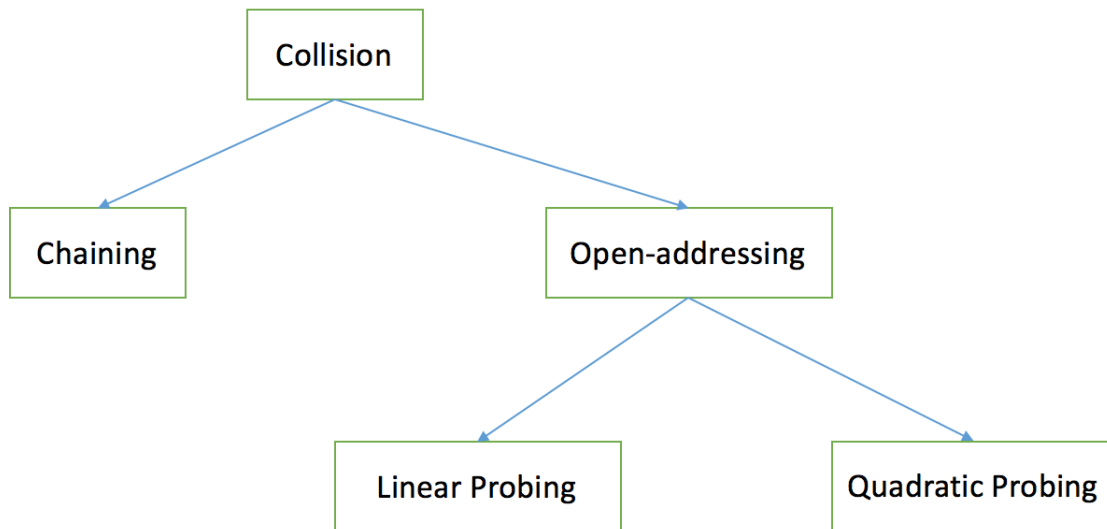
Deterministic Objects: state + operation cause a deterministic state.

Universal Construction only applies to deterministic state.

Correct Way: use a LinkedList, see code in github Initail state(Tail) ->apply method1 ->apply method2 ->apply method3(head)

Each time invoking a method, add a node in the linkedlist as the new head.

19.3 Hashing



Linear Probing: insert in the next empty slot. May cause clustering effect and damage performance.

Quadratic Probing: Try $h(i)$ -> $h(i)+1$ -> $h(i)+2^2$ -> $h(i)+3^2$ -> $h(i)+4^2$

Parallel Chaining:

