ON D-FINITENESS OF A SYMMETRIC FUNCTION

BRUCE W. WESTBURY AND YI ZHANG

ABSTRACT. We show that a symmetric function induced by a Weyl character of a given representation of a simple complex Lie algebra is D-finite. Moreover, we prove that the fake degree sequence associated to the representation and the Lie algebra is q-holonomic.

In this note, we consider symmetric functions (series) as formal power series in infinitely many variables [13, Section 7.1] $X = x_1, x_2, \ldots$, which is equivalent to the definition in [12], over a field \mathbb{K} of characteristic zero. Let $Y = y_1, y_2, \ldots$ be another set of variables. Then the *product* of X and Y is defined by $X.Y = \sum_{i,j} x_i y_j$. Let $\mathbb{K}[[X]]$ be the ring of formal power series in X and X be the ring of symmetric functions in X. Let $f \in \Lambda$ and $g \in \mathbb{K}[[X]]$ with $g = \sum_{i=1}^{\infty} t_i$, where t_i is a monomial in x_i 's. We define the *plethysm* (or *composition*) [7] of f by g to be $f(t_1, t_2, \ldots)$, and denote it by f[g]. For each $k \in \mathbb{N}$, let $h_k(X)$ be the k-th complete homogenous symmetric function in X. As a matter of notation, we set $h_k(X) = 0$ for k < 0. When it is clear from context, we may abbreviate $h_k(X)$ to h_k . Then the corresponding generating function [3] is denoted by

$$H(t) = \sum_{k=0}^{\infty} h_k(X)t^k = \prod_{i=1}^{\infty} \frac{1}{1 - x_i t}.$$

We say that $F \in \mathbb{K}[[X]]$ is D-finite [6, section 5] in the infinitely many variables x_i if, for any choice of a finite set S of X, the specification to 0 of each $x_i \in X \setminus S$ gives rise to a power series that is D-finite, in the classical sense, in each variable $x_i \in S$. Clearly, H(t) is D-finite in the x_i 's and t. Next, let us recall the closure properties of D-finite series in finitely many variables.

Theorem 0.1. (1) The set of D-finite power series forms a \mathbb{K} -algebra of $\mathbb{K}[[x_1,\ldots,x_n]]$ for the usual product of series;

Date: May 14, 2022.

Key words and phrases. representation theory, symmetric functions, D-finiteness.

The work of Y. Zhang was supported by XJTLU Research Development Fund No. RDF-20-01-12, the NSFC Young Scientist Fund No. 12101506 and the Natural Science Foundation of the Jiangsu Higher Education Institutions of China No. 21KJB110032.

- (2) If F is D-finite in x_1, \ldots, x_n then for any finite subset of variables x_{i_1}, \ldots, x_{i_k} the specialization of F at $x_{i_1} = \cdots = x_{i_k} = 0$ is D-finite in the remaining variables;
- (3) If P is a polynomial in x_1, \ldots, x_n , then $\exp P(x)$ is D-finite in the x_1, \ldots, x_n ;
- (4) If F and G are D-finite in the variables x_1, \ldots, x_{m+n} 's, then the Hadamard product $F \odot G$ with respect to x_1, \ldots, x_n is D-finite in the x_1, \ldots, x_{m+n} ;
- (5) Assume that F is a D-finite series in x_1, \ldots, x_n . Let f_1, \ldots, f_d be algebraic functions in $\mathbf{z} = z_1, \ldots, z_e$ which means there exist nonzero polynomials $q_1, \ldots, q_d \in \mathbb{K}[h, z_1, \ldots, z_e]$ such that $q_i(f_i(\mathbf{z}), z_1, \ldots, z_e) = 0$. Then

$$G(\mathbf{z}, x_{d+1}, \ldots) = F(f_1(\mathbf{z}), \ldots, f_d(\mathbf{z}), x_{d+1}, \ldots, x_n)$$

is D-finite in the $\mathbf{z}, x_{d+1}, \dots, x_n$.

The proofs of the first three properties are available in [13], and the fourth one is due to Lipshitz [11]. The last one is given in [9, Theorem 2.18, page 35]. It is straightforward to see that the above properties also hold for *D*-finite series in an infinitely number of variables.

For each $k \geq 1$, let $p_k(X)$ be the k-th power sum symmetric function in X. We say that a symmetric function is D-finite [6, page 272] if it is D-finite in the power sum symmetric functions p_k 's. By item (5) of Theorem 0.1, a D-finite symmetric function is also D-finite in the x_i 's. Since $H(t) = \exp\left(\sum_{k=1}^{\infty} p_k t^k/k\right)$, it is straightforward to see from item (3) of Theorem 0.1 that H(1) is a D-finite symmetric function and H(t) is D-finite in the p_k 's and t.

Let $f \in \mathbb{K}[[X]]$ be a quasisymmetric function. We say that f is D-finite if it is D-finite in the fundamental (or monomial) quasisymmetric functions. The next proposition gives the relation between D-finite symmetric functions and D-finite quasisymmetric functions.

Proposition 0.2. Let $f \in \mathbb{K}[[X]]$ be a symmetric function. Then f is a D-finite symmetric function if and only if it is a D-finite quasisymmetric functions.

Proof. \Longrightarrow : Assume that f is a D-finite symmetric function. Since each power sum symmetric function is a \mathbb{Q} -linear combination of Schur functions, it follows from [13, Theorem 7.19.7] that each power sum symmetric function is a \mathbb{Q} -linear combination of fundamental quasisymmetric functions. By item (5) of Theorem 0.1, we see that f is D-finite in the quasisymmetric functions.

 \Leftarrow : Assume that f is a D-finite quasisymmetric function. Since each monomial symmetric function is a \mathbb{Q} -linear combination of power sum symmetric functions, it follows from item (5) of Theorem 0.1 that we just need to prove that f is D-finite in the monomial symmetric functions. Let t be a positive integer and λ be a partition of t. Set

 $f(m_{\lambda}) = f|_{m_{\mu}=0, \mu \neq \lambda}$. Without loss of generality, it suffices to prove that $f(m_{\lambda})$ satisfies a nontrivial linear ODE with polynomial coefficients in m_{λ} . Let A be the set of all distince permutations of parts of λ . Set

$$M = \{ M_{\alpha} \mid \alpha \in A \}.$$

Then f is D-finite in the monomial quasisymmetric functions M. Take $\alpha \in A$. Then there exists a non-negative integer r and polynomial $p_j \in \mathbb{K}[M]$ for $j = 0, \ldots, r$ with $p_r(M) \neq 0$ such that

$$(1) \quad p_r(M)\frac{\partial^r f(m_\lambda)}{\partial M_\alpha^r} + p_{r-1}(M)\frac{\partial^{r-1} f(m_\lambda)}{\partial M_\alpha^{r-1}} + \dots + p_0(M)f(m_\lambda) = 0.$$

Since $m_{\lambda} = M_{\alpha} + \sum_{\beta \in A, \beta \neq \alpha} M_{\beta}$, we have that

$$\frac{\partial^k f(m_\lambda)}{\partial M_\alpha^k} = \frac{\partial^k f(m_\lambda)}{\partial m_\lambda^k} \quad \text{for each} \quad k \in \mathbb{N}.$$

Thus, (1) becomes

$$(2) \quad p_r(M)\frac{\partial^r f(m_\lambda)}{\partial m_\lambda^r} + p_{r-1}(M)\frac{\partial^{r-1} f(m_\lambda)}{\partial m_\lambda^{r-1}} + \dots + p_0(M)f(m_\lambda) = 0.$$

Let n = |M| and $\sigma \in \mathfrak{S}_n$. For each $p \in \mathbb{K}[[M]]$, we define

$$\sigma(p(M)) := p(M_{\alpha_{\sigma(1)}}, \dots, M_{\alpha_{\sigma(n)}}).$$

Set $\tilde{p}_j = \sum_{\sigma \in \mathfrak{S}_n} p_j(\sigma(M))$ for $j = 0, \ldots, r$. Since $p_r \neq 0$, so is \tilde{p}_r . Moreover, it is straightforward to see that \tilde{p}_j is a symmetric function of finite degree. Thus, we may assume that $\tilde{p}_j \in \mathbb{K}[m_\lambda, m_{\mu_1}, \ldots, m_{\mu_\ell}]$. For each $\sigma \in \mathfrak{S}_n$, applying σ to (2) and then taking the sum, we get

(3)

$$\tilde{p}_r(m_{\lambda}, m_{\mu_1}, \dots, m_{\mu_{\ell}}) \frac{\partial^r f(m_{\lambda})}{\partial m_{\lambda}^r} + \tilde{p}_{r-1}(m_{\lambda}, m_{\mu_1}, \dots, m_{\mu_{\ell}}) \frac{\partial^{r-1} f(m_{\lambda})}{\partial m_{\lambda}^{r-1}} + \dots + \tilde{p}_0(m_{\lambda}, m_{\mu_1}, \dots, m_{\mu_{\ell}}) f(m_{\lambda}) = 0.$$

By taking the content over $\mathbb{K}[m_{\lambda}, m_{\mu_1}, \dots, m_{\mu_{\ell}}]$, we may assume that $\tilde{p}_r, \dots, \tilde{p}_0$ are relatively prime. By specifying $m_{\mu_i} = 0$ in (3) and taking the content over $\mathbb{K}[m_{\lambda}, m_{\mu_1}, \dots, m_{\mu_{\ell}}]$ iteratively for $i \in \{1, \dots, \ell\}$, we see that there exists $\bar{p}_j \in \mathbb{K}[m_{\lambda}]$ for $j = 0, \dots, \bar{r}$ with $\bar{r} \leq r$ and $\bar{p}_{\bar{r}} \neq 0$ such that

$$\bar{p}_{\bar{r}}(m_{\lambda})\frac{\partial^r f(m_{\lambda})}{\partial m_{\lambda}^r} + \bar{p}_{\bar{r}-1}(m_{\lambda})\frac{\partial^{r-1} f(m_{\lambda})}{\partial m_{\lambda}^{r-1}} + \dots + \bar{p}_0(m_{\lambda})f(m_{\lambda}) = 0.$$

Lemma 0.3. Let $a \in \mathbb{Z}, v_1, \ldots, v_d \in \mathbb{Z}$ and t_1, \ldots, t_d be variables. Then the series

$$F = \prod_{i=1}^{d} \sum_{\ell_i = -\infty}^{\infty} h_{a+v_1\ell_1 + \dots + v_d\ell_d} t_1^{\ell_1} \cdots t_d^{\ell_d}$$

is D-finite in the p_i 's and t_i 's.

Proof. Without loss of generality, we assume that $v_1, \ldots, v_d \in \mathbb{Z} \setminus \{0\}$. Otherwise, by reordering the indexes, we assume $v_1, \ldots, v_e \in \mathbb{Z} \setminus \{0\}$ and $v_{e+1} = \cdots = v_d = 0$. Then

$$F = \tilde{F} \cdot \prod_{i=e+1}^{d} \left(\frac{t_i^{-1}}{1 - t_i^{-1}} + \frac{1}{1 - t_i} \right),$$

where

$$\tilde{F} = \prod_{i=1}^{e} \sum_{\ell_i = -\infty}^{\infty} h_{a+v_1\ell_1 + \dots + v_e\ell_e} t_1^{\ell_1} \cdots t_e^{\ell_e}.$$

According to item (1) of Theorem 0.1, we only need to show that \tilde{F} is D-finite.

Set $s_i = t_i^{1/v_i}$ for i = 1, ..., d. Then $F = s_1^{-a} \cdot G$, where

$$G = \prod_{i=1}^{d} \sum_{\ell=-\infty}^{\infty} h_{a+v_1\ell_1+\dots+v_d\ell_d} s_1^{a+v_1\ell_1} s_2^{v_2\ell_2} \cdots s_d^{v_d\ell_d}.$$

In light of item (1) and (5) of Theorem 0.1, it suffices to show that G is D-finite in the p_i 's and s_j 's. Let z_1, \ldots, z_d be several auxiliary variables. We may write

(4)
$$G = \left(L \odot \prod_{i=1}^{d} \sum_{\ell_i = -\infty}^{\infty} z_1^{a+v_1\ell_1} z_2^{v_2\ell_2} \cdots z_d^{v_d\ell_d} \right)_{z_1 = \cdots = z_d = 1},$$

where

$$L = \prod_{i=1}^{d} \sum_{\ell_i = -\infty}^{\infty} h_{\ell_1 + \ell_2 + \dots + \ell_d} (s_1 z_1)^{\ell_1} (s_2 z_2)^{\ell_2} \cdots (s_d z_d)^{\ell_d}.$$

Clearly, we see that

$$\prod_{i=1}^{d} \sum_{\ell_i=-\infty}^{\infty} z_1^{a+v_1\ell_1} z_2^{v_2\ell_2} \cdots z_d^{v_d\ell_d} = z_1^a \prod_{i=1}^{d} \left(\frac{z_i^{-v_i}}{1-z_i^{-v_i}} + \frac{1}{1-z_i^{v_i}} \right)$$

is D-finite in the p_i 's, s_j 's and z_k 's. Therefore, it follows from item (2), (4) of Theorem 0.1 and (4) that we only need to prove that L is also D-finite in those variables. We may write

$$L = \prod_{i=1}^{d} \sum_{\ell_{i}=-\infty}^{\infty} \left(\frac{s_{1}z_{1}}{s_{d}z_{d}}\right)^{\ell_{1}} \cdots \left(\frac{s_{d-1}z_{d-1}}{s_{d}z_{d}}\right)^{\ell_{d-1}} h_{\ell_{1}+\ell_{2}+\dots+\ell_{d}} (s_{d}z_{d})^{\ell_{1}+\ell_{2}+\dots+\ell_{d}}$$

$$= \left(\prod_{i=1}^{d-1} \sum_{\ell_{i}=-\infty}^{\infty} \left(\frac{s_{i}z_{i}}{s_{d}z_{d}}\right)^{\ell_{i}}\right) \cdot \left(\sum_{\ell_{d}=-\infty}^{\infty} h_{\ell_{d}} (s_{d}z_{d})^{\ell_{d}}\right)$$

$$= \left(\prod_{i=1}^{d-1} \left(\frac{(s_{i}z_{i})^{-1}}{1 - (s_{i}z_{i})^{-1}} + \frac{s_{i}z_{i}}{1 - s_{i}z_{i}}\right)\right) \cdot H(s_{d}z_{d}).$$

From the last identity and item (1) of Theorem 0.1, we conclude that L is D-finite in the p_i 's, s_j 's and z_k 's.

Let V be a representation of a simple complex Lie algebra g and $\operatorname{ch}_V(Y)$ be the Weyl character [8] of V in variables Y. Take the set of monomials whose sum is $\operatorname{ch}_V(Y)$ and denote it by $m_V(Y)$. Then $H[X, m_V(Y)]$ is an element of the tensor product of symmetric functions in X and Laurent polynomials in Y. Denote by Δ the Laurent polynomial in Y which appears in the Weyl integration formula [1]. Then the product $\Delta \cdot H[X, m_V(Y)]$ is also in the tensor product of symmetric functions in X and Laurent polynomials in Y. Since $\operatorname{ch}_V(Y)$ and Δ are Laurent polynomials in Y, we may assume that $Y = y_1, \ldots, y_m$ are variables appeared in $\operatorname{ch}_V(Y)$ and Δ . A monomial in Y is then denoted by $Y^{\mathbf{e}} := y_1^{e_1} \cdots y_m^{e_m}$ for some $\mathbf{e} = (e_1, \ldots, e_m) \in \mathbb{Z}^m$. Let $F \in \mathbb{K}[[X]][y_1^{\pm 1}, \ldots, y_m^{\pm 1}]$ and $\mathbf{e} \in \mathbb{Z}^m$, we denote the coefficient of $Y^{\mathbf{e}}$ in F by $[Y^{\mathbf{e}}](F)$.

Theorem 0.4. The symmetric function $S = [Y^{\mathbf{0}}](\Delta \cdot H[X. m_V(Y)])$ is D-finite.

Proof. We first prove the claim in the case that $\Delta = Y^{\gamma}$ is a monomial in Y. Set $m_V(Y) = \{Y^{\alpha_1}, \dots, Y^{\alpha_s}\}$. Then

$$\Delta \cdot H[X, \mathbf{m}_V(Y)] = Y^{\gamma} \cdot \prod_{i=1}^s \left(\prod_{j=1}^{\infty} \frac{1}{1 - x_j \cdot Y^{\alpha_i}} \right)$$
$$= Y^{\gamma} \cdot \prod_{i=1}^s \sum_{k_i=0}^{\infty} h_{k_i}(X) Y^{\alpha_i k_i}.$$

Then we have

(5)
$$S = [Y^{\mathbf{0}}](\Delta \cdot H[X. \operatorname{m}_{V}(Y)])$$
$$= \sum_{\alpha_{1}k_{1} + \alpha_{2}k_{2} \cdots + \alpha_{s}k_{s} = -\gamma} h_{k_{1}}(X) \cdot h_{k_{2}}(X) \cdots h_{k_{s}}(X).$$

Thus, in order to show that S is D-finite, we need to derive the solutions of the following system of linear Diophantine equations:

(6)
$$\alpha_1 k_1 + \alpha_2 k_2 \cdots + \alpha_s k_s = -\gamma,$$

where $k_i \in \mathbb{Z}$ is unknown. Set $A = (\alpha_1, \alpha_2, \dots, \alpha_s) \in \mathbb{Z}^{m \times s}$ and $C = -\gamma \in \mathbb{Z}^{m \times 1}$. Then (14) can be written into the following matrix form:

$$(7) AX = C.$$

There exist two unimodular matrices $U \in \mathbb{Z}^{m \times m}$ and $V \in \mathbb{Z}^{s \times s}$ such that $B = (b_{i,j}) = UAV$ is the Smith normal form of A with $b_{i,i} \neq 0$ for $i = 1, \ldots, s - d$ and the other entries being zeros. Set $Y = V^{-1}X$ and $Q = UC = (q_1, \ldots, q_m)^{\intercal}$. Then (7) can be rewritten as

$$(8) BY = Q.$$

If there exists $i \in \{1, ..., s - d\}$ such that $b_{i,i} \nmid q_i$, then (8) has no solution. In this case, we have S = 0, which is D-finite in the x_i 's.

If $b_{i,i} \mid q_i$ for $i = 1, \ldots, s - d$, then the solutions of (7) are

$$V \begin{bmatrix} \frac{q_1}{b_{1,1}} \\ \vdots \\ \frac{q_{s-d}}{b_{s-d,s-d}} \\ \ell_1 \\ \vdots \\ \ell_d \end{bmatrix},$$

where ℓ_1, \ldots, ℓ_d are arbitrary integers. It implies that the solutions of (14) are

$$k_i = a_i + v_{i,1}\ell_1 + \dots + v_{i,d}\ell_d,$$

where $a_i, v_{i,j} \in \mathbb{Z}$ for i = 1, ..., s and j = 1, ..., d. By (5), we see that

(9)
$$S = \prod_{i=1}^{s} \prod_{j=1}^{d} \sum_{\ell_{j}=-\infty}^{\infty} h_{a_{i}+v_{i,1}\ell_{1}+\cdots+v_{i,d}\ell_{d}}$$

$$(10) \qquad = (F_1 \odot F_2 \odot \cdots \odot F_s)_{t_1 = \cdots = t_d = 1}$$

where

$$F_i = \prod_{j=1}^{d} \sum_{\ell_j = -\infty}^{\infty} h_{a_i + v_{i,1}\ell_1 + \dots + v_{i,d}\ell_d} t_1^{\ell_1} \cdots t_d^{\ell_d} \quad \text{for} \quad i = 1, \dots, s.$$

By Lemma 0.3, we see that F_i is D-finite in the p_j 's and t_k 's. In light of item (2), (4) of Theorem 0.1 and (10), we conclude that S is D-finite in the p_i 's.

It follows from item (1) of Theorem 0.1 that the claim also holds when Δ is a Laurent polynomial in Y.

By Proposition 0.2, the symmetric function in the above theorem is also a D-finite quasisymmetric function.

Example 0.5. Let V be an irreducible representation of g := SL(2) such that $\operatorname{ch}_V(Y) = y + 1/y$ and $\Delta = 1 - y^2$. Then

$$H[X. \operatorname{m}_{V}(Y)]) = \left(\prod_{i=1}^{\infty} \frac{1}{1 - x_{i}y}\right) \cdot \left(\prod_{i=1}^{\infty} \frac{1}{1 - x_{i}y^{-1}}\right)$$
$$= \left(\sum_{k=0}^{\infty} h_{k}y^{k}\right) \cdot \left(\sum_{k=0}^{\infty} h_{k}y^{-k}\right).$$

Thus, by Theorem 0.4, the symmetric function

$$S = [Y^{0}](\Delta \cdot H[X. m_{V}(y)])$$

$$= \sum_{k=0}^{\infty} (h_{k}^{2} - h_{k-1}h_{k+1})$$

$$= \sum_{k=0}^{\infty} (h_{k}^{2} - h_{k}h_{k+2})$$

is D-finite in the p_i 's. For each $n \in \mathbb{N}$, set $S(p_n) = S|_{p_k=0, k \neq n}$. When n = 1, we have

$$S(p_1) = \sum_{i=0}^{\infty} \frac{p_1^{2i}}{i!^2} \left(1 + \frac{p_1^2}{(i+1)(i+2)} \right)$$
$$= I_0(2p_1) + I_2(2p_1),$$

where $I_k(z)$ is the modified Bessel function of the first kind. By item (1) of Theorem 0.1, it is straightforward to see that $S(p_1)$ satisfies the following fourth-order linear ordinary differential equation (ODE):

$$p_1^3 \frac{d^4 S(p_1)}{dp_1^4} + 6p_1^2 \frac{d^3 S(p_1)}{dp_1^3} + (3p_1 - 8p_1^3) \frac{d^2 S(p_1)}{dp_1^2} - (3 + 24p_1^2) \frac{dS(p_1)}{dp_1} + 16p_1^3 S(p_1) = 0.$$

When n = 2, we have

$$S(p_2) = \sum_{i=0}^{\infty} \frac{p_2^{2i}}{4^i i!^2} \left(1 + \frac{p_2}{2(i+1)} \right)$$
$$= I_0(p_2) + I_1(p_2),$$

which satisfies the following fourth-order linear ODE:

$$p_2^2 \frac{d^4 S(p_2)}{dp_2^4} + 6p_2 \frac{d^3 S(p_2)}{dp_2^3} + (6 - 2p_2^2) \frac{d^2 S(p_2)}{dp_2^2} - 6p_2 \frac{dS(p_2)}{dp_2} - (3 - p_2^2)S(p_2) = 0.$$

When n > 2, we have $S(p_n) = \sum_{i=0}^{\infty} p_n^{2i}/(n^{2i}i!^2) = I_0(2p_n/n)$, which satisfies the following second-order linear ODE:

$$n^{2}p_{n}\frac{d^{2}S(p_{n})}{dp_{n}^{2}} + n^{2}\frac{dS(p_{n})}{dp_{n}} - 4p_{n}S(p_{n}) = 0.$$

In Example 0.7, we will show that the fake degree sequence associated to S above is q-holonomic and closely related to q-Catalan numbers [4].

In [16], the authors proved that the symmetric function in Theorem 0.4 is equal to

(11)
$$S = \sum_{n=0}^{\infty} \mathbf{fr}((\otimes^n V)^g),$$

where $\mathbf{fr}(V)$ is the Frobenius character [13, § 7.18] of the representation V of \mathfrak{S}_n , which is a homogeneous symmetric function of degree n and is an invariant of the representation V.

Let q be a transcendental indeterminate over the field \mathbb{K} . For $n \in \mathbb{N}$, the q-integer $[n]_q$ is the polynomial

$$[n]_q = \frac{1-q^n}{1-q} = 1+q+\dots+q^{n-1},$$

and the q-factorial $[n]_q! := [n]_q \cdot [n-1]_q \cdots [1]_q$. For $k_1, \ldots, k_r \in \mathbb{Z}$, the q-multinomial coefficient is defined by

$$\begin{bmatrix} n \\ k_1, k_2, \dots, k_r \end{bmatrix}_q = \begin{cases} \frac{[n]_q!}{[k_1]_q![k_2]_q! \cdots [k_r]_q!} & \text{if } k_i \ge 0, \text{ and } \sum_{i=1}^r k_i = n, \\ 0 & \text{otherwise.} \end{cases}$$

We consider the fake degree function

$$\mathbf{fd}: \Lambda \longrightarrow \mathbb{K}(q),$$

which a linear map from symmetric functions to polynomials in q. The interpretation in terms of representation theory is that if V has an action of \mathfrak{S}_n then the fake degree of the Frobenius character is a polynomial which describes the action of the long cycle. This can be defined on the basis of complete homogeneous functions and extended by linearity. Let $\lambda = (\lambda_1, \ldots, \lambda_s)$ be an integer partition. Then the evaluation of \mathbf{fd} at h_{λ} is defined by:

$$\mathbf{fd}(h_{\lambda}) = \begin{bmatrix} |\lambda| \\ \lambda_1, \lambda_2, \dots, \lambda_s \end{bmatrix}_q.$$

The fake degree map is not a ring homomorphism but has the following property: Let f_r and g_s be a homogeneous symmetric functions of degre r and s, respectively. Then

$$\mathbf{fd}(f_r g_s) = \begin{bmatrix} r+s \\ r \end{bmatrix}_q \mathbf{fd}(f_r) \, \mathbf{fd}(g_s).$$

A univariate sequence $(b_n(q))_{n\in\mathbb{N}}$ is called q-holonomic [5] if it satisfies a nontrivial linear q-difference equation with coefficients that are polynomials in q and q^n ; that is, there exists a non-negative integer r and bivariate polynomial $c_j(x,y) \in \mathbb{K}[x,y]$ for $j=0,\ldots,r$ with $c_r(x,y) \neq 0$ such that for each $n \in \mathbb{N}$ the following identity holds:

$$c_r(q,q^n)b_{n+r}(q) + c_{r-1}(q,q^n)b_{n+r-1}(q) + \dots + c_0(q,q^n)b_n(q) = 0.$$

Similar to D-finite functions, the class of q-holonomic sequences satisfy closure properties under certain operations such as addition and multiplication. For instance, see [9, Section 2.3] for details.

Given g and $V \in \operatorname{Rep}(g)$. We define $f_n(q) = \operatorname{fd}(\operatorname{fr}((\otimes^n V)^g))$ for each $n \in \mathbb{N}$ and call $(f_n(q))_{n \in \mathbb{N}}$ the fake degree sequence associated to g and V. A natural question is whether this sequence is q-holonomic or not. In the below theorem, we give an answer for this problem.

Theorem 0.6. Given a simple complex Lie algebra g and $V \in Rep(g)$. Then the fake degree sequence associated to g and V is g-holonomic.

Proof. Let $\operatorname{ch}_V(Y)$ be the Weyl character of V in Y and $m_V(Y)$ be the set of monomials whose sum is $\operatorname{ch}_V(Y)$. Set Δ to be the Laurent polynomial in Y which appears in the Weyl integration formula. We first prove the claim in the case that $\Delta = Y^{\gamma}$ is a monomial in Y. Set $\operatorname{m}_V(Y) = \{Y^{\alpha_1}, \ldots, Y^{\alpha_s}\}$. Then

$$S = [Y^{\mathbf{0}}](\Delta \cdot H[X. \operatorname{m}_{V}(Y)])$$

$$= \sum_{\alpha_{1}k_{1} + \alpha_{2}k_{2} \cdots + \alpha_{s}k_{s} = -\gamma} h_{k_{1}}(X) \cdot h_{k_{2}}(X) \cdots h_{k_{s}}(X).$$

By the arguments in the proof of Theorem 0.4, there exist $a_i, v_{i,j} \in \mathbb{Z}$ for i = 1, ..., s and j = 1, ..., d such that

$$S = \prod_{i=1}^{s} \prod_{j=1}^{d} \sum_{\ell_{i}=-\infty}^{\infty} h_{a_{i}+v_{i,1}\ell_{1}+\cdots+v_{i,d}\ell_{d}}.$$

Set $a = \sum_{i=1}^{s} a_i \in \mathbb{Z}$, and $v_j = \sum_{i=1}^{s} v_{i,j} \in \mathbb{Z}$ for $j = 1, \dots, d$. Let

$$\mathbf{v} = (v_1, \dots, v_d) \in \mathbb{Z}^d, \qquad \boldsymbol{\ell} = (\ell_1, \dots, \ell_d) \in \mathbb{Z}^d,$$

and $\mathbf{v}_i = (v_{i,1}, \dots, v_{i,d}) \in \mathbb{Z}^d$ for $i = 1, \dots, s$. On the other hand, since $S = \sum_{n=0}^{\infty} \mathbf{fr}((\otimes^n V)^g)$, we see that

$$\mathbf{fr}((\otimes^n V)^g) = \sum_{a+\mathbf{v}\cdot\boldsymbol{\ell}=n} \prod_{i=1}^s h_{a_i+\mathbf{v}_i\cdot\boldsymbol{\ell}} \quad \text{for} \quad n \ge 0.$$

Then the n-th term of the fake degree sequence associated to g and V is

(12)
$$f_n(q) = \mathbf{fd}(\mathbf{fr}((\otimes^n V)^g)) = \sum_{a+\mathbf{v}\cdot\boldsymbol{\ell}=n} r(\boldsymbol{\ell}, n),$$

where

$$r(\boldsymbol{\ell}, n) = \begin{bmatrix} n & n \\ a_1 + \mathbf{v}_1 \cdot \boldsymbol{\ell}, & \cdots, & a_s + \mathbf{v}_s \cdot \boldsymbol{\ell} \end{bmatrix}_q$$

Set $g = \gcd(v_1, \ldots, v_d)$. Without loss of generality, we may assume that g > 0. If $g \nmid n - a$, then it follows from (12) that $f_n(q) = 0$. For $k \geq k_0 := \lfloor -a/g \rfloor$, we define $\tilde{f}_k(q) = f_{a+gk}(q)$. Then we have

(13)
$$f_n(q) = \begin{cases} \tilde{f}_k(q) & \text{if } n = a + gk, \\ 0 & \text{otherwise.} \end{cases}$$

Next, we prove that the sequence $(\tilde{f}_k(q))_{k\geq k_0}$ is q-holonomic. For each $k\geq k_0$, consider the following linear Diophantine equation:

(14)
$$v_1 \ell_1 + v_2 \ell_2 + \dots + v_d \ell_d = gk.$$

By the extended Euclidean algorithm, there exist $u_{i,j} \in \mathbb{Z}, 1 \leq i \leq d$, $0 \leq j \leq i$, which are independent of k, with $u_{d,d} = 0$ such that the solutions of (14) are

(15)
$$\ell_i = u_{i,0}k + u_{i,1}t_1 + \dots + u_{i,i}t_i, \quad i = 1, \dots, d,$$

where t_i is an arbitrary integer. Set $\mathbf{t} = (t_1, \dots, t_{d-1}) \in \mathbb{Z}^{d-1}$. Substituting (15) into $r(\boldsymbol{\ell}, a + gk)$, we denote the corresponding term by $\tilde{r}(\mathbf{t}, k)$. Then we can write $\tilde{f}_k(q)$ as

(16)
$$\tilde{f}_k(q) = \sum_{\mathbf{t}} \tilde{r}(\mathbf{t}, k).$$

Since $\tilde{r}(\mathbf{t}, k)$ is a proper q-hypergeometric term in \mathbf{t} and k, it follows from [17, section 5.2] that $(\tilde{f}_k(q))_{k \geq k_0}$ is a q-holonomic. Moreover, it follows from the proof of [5, Theorem 1] that there exists a nontrivial q-difference equation for $\tilde{f}_k(q)$ of the following form:

$$(17) \ p_{\tilde{d}}(q, q^{gk}) \tilde{f}_{k+\tilde{d}}(q) + p_{\tilde{d}-1}(q, q^{gk}) \tilde{f}_{k+\tilde{d}-1}(q) + \dots + p_0(q, q^{gk}) \tilde{f}_k(q) = 0,$$

where $p_j(x,y) \in \mathbb{K}[x,y]$ for $j=0,\ldots,\tilde{d}$. Then it follows from (13) and (17) that the fake degree sequence satisfies

$$p_{\tilde{d}}(q, q^{n-a}) f_{n+g\tilde{d}}(q) + p_{\tilde{d}-1}(q, q^{n-a}) f_{n+g(\tilde{d}-1)}(q) + \dots + p_0(q, q^{n-a}) f_n(q) = 0.$$

By clearing denominators of the above equation, we see that $(f_n(q))_{n\in\mathbb{N}}$ is indeed q-holonomic.

Since the class of q-holonomic sequences is closed under the K-linear combination, the claim also holds when Δ is a Laurent polynomial in Y.

Given a simple complex Lie algebra g and $V \in \mathcal{R}ep(g)$. By the integral expression (16) of the fake degree sequence associated to g and V, we can utilize the method of creative telescoping [18] to derive the corresponding linear q-difference equations with polynomial coefficients.

Example 0.7. Let V be an irreducible representation of g := SL(2) such that $\operatorname{ch}_V(Y) = y + 1/y$ and $\Delta = 1 - y^2$. Consider the symmetric function in Example 0.5:

$$S = \sum_{n=0}^{\infty} \mathbf{fr}((\otimes^n V)^g)$$
$$= \sum_{k=0}^{\infty} (h_k^2 - h_{k-1}h_{k+1}).$$

For each $k \in \mathbb{N}$, set

$$g_k(q) = \begin{bmatrix} 2k \\ k \end{bmatrix}_q - \begin{bmatrix} 2k \\ k+1 \end{bmatrix}_q$$
$$= q^k \cdot \operatorname{Cat}(k; q),$$

where

$$\operatorname{Cat}(k;q) = \frac{1}{[k+1]_q} \begin{bmatrix} 2k \\ k \end{bmatrix}_q$$

is the MacMahon q-analog of the Catalan number. Then for $n \in \mathbb{N}$, the n-th term of the fake degree sequence associated to V and g is

$$f_n(q) = \begin{cases} g_k(q) & \text{if } n = 2k, \\ 0 & \text{otherwise.} \end{cases}$$

By Theorem 0.6, the fake degree sequence $(f_n)_{n\in\mathbb{N}}$ is q-holonomic and satisfies the following fourth-order linear q-difference equation:

$$(q^{n+6} - 1) f_{n+4}(q) - q(q+1) (q^{n+3} - 1) (q^{n+4} + 1) f_{n+2}(q) + q^3 (q^{n+1} - 1) (q^{n+2} - 1) (q^{n+3} - 1) f_n(q) = 0.$$

Example 0.8. Let V be an irreducible representation of g := SL(2) such that $\operatorname{ch}_V(Y) = y^2 + 1 + y^{-2}$ and $\Delta = 1 - y^2$. Consider the symmetric function:

$$S = \sum_{n=0}^{\infty} \mathbf{fr}((\otimes^n V)^g)$$

= $[Y^0](\Delta \cdot H[X. m_V(Y)])$
= $\sum_{k_1=0}^{\infty} \sum_{k_2=0}^{\infty} (h_{k_1}^2 h_{k_2} - h_{k_1} h_{k_1+1} h_{k_2}).$

Then for $n \in \mathbb{N}$, the n-th term of the fake degree sequence associated to V and g is

$$f_n(q) = \sum_{2k_1 + k_2 = n} {n \brack k_1, k_1, k_2}_q - \sum_{2k_1 + k_2 + 1 = n} {n \brack k_1, k_1 + 1, k_2}_q$$

$$= \sum_{t = -\infty}^{\infty} \left({n \brack n - t, n - t, -n + 2t}_q - {n \brack n - 1 - t, n - t, -n + 1 + 2t}_q \right)$$

$$= \sum_{t = -\infty}^{\infty} \frac{q^{n-t} - q^{-n+1+2t}}{1 - q^{-n+1+2t}} {n \brack n - t, n - t, -n + 2t}_q.$$

Using Koutschan's Mathematica package HolonomicFunctions.m [10] that implements Chyzak's algorithm [2], we derive the following sixth-order linear q-difference equation for the fake degree sequence $(f_n)_{n\in\mathbb{N}}$:

$$(q^{n+7} - 1) f_{n+6}(q) - 2q (q^{n+5} - 1) f_{n+5}(q)$$

$$- (q^{n+5} - 1) (2q^{n+6} - q^2 + q + 1) f_{n+4}(q)$$

$$- q(q+1) (q^{n+4} - 1) (q^{n+5} - 1) (q^{n+5} + 2) f_{n+3}(q)$$

$$- q (q^2 + q - 1) (q^{n+3} - 1) (q^{n+4} - 1) (q^{n+5} - 1) f_{n+2}(q)$$

$$+ 2q^2 (q^{n+2} - 1) (q^{n+3} - 1) (q^{n+4} - 1) (q^{n+5} - 1) f_{n+1}(q)$$

$$+ q^3 (q^{n+1} - 1) (q^{n+2} - 1) (q^{n+3} - 1) (q^{n+4} - 1) (q^{n+5} - 1) f_n(q) = 0.$$

Example 0.9. Let V be the four-dimensional defining representation of g := Sp(4) such that

$$\operatorname{ch}_V(Y) = y_2 + y_2^{-1} + y_1 y_2^{-1} + y_1^{-1} y_2,$$

and

$$\Delta = y_1^{-2}y_2^{-2} - y_2^{-4} - y_1^{-3} + y_1^{-3}y_2^2 + y_1y_2^{-4} - y_1y_2^{-2} - y_1^{-2}y_2^2 + 1.$$

The dimension of the invariant subspace of $\otimes^{2n}V$ gives A005700.

$$S = \sum_{n=0}^{\infty} \mathbf{fr}((\otimes^{n}V)^{g})$$

$$= [Y^{\mathbf{0}}](\Delta \cdot H[X. \, \mathbf{m}_{V}(Y)])$$

$$= \sum_{k_{1}=0}^{\infty} \sum_{k_{2}=0}^{\infty} (h_{k_{1}}h_{k_{1}+4}h_{k_{2}}h_{k_{2}+2} - h_{k_{1}}h_{k_{1}+4}h_{k_{2}}^{2} - h_{k_{1}}h_{k_{1}+3}h_{k_{2}}h_{k_{2}+3} + h_{k_{1}}h_{k_{1}+1}h_{k_{2}}h_{k_{2}+3} + h_{k_{1}}h_{k_{1}+3}h_{k_{2}}h_{k_{2}+1} - h_{k_{1}}h_{k_{1}+1}h_{k_{2}}h_{k_{2}+1} + h_{k_{1}}h_{k_{2}}h_{k_{2}+2} + h_{k_{1}}^{2}h_{k_{2}}^{2}).$$

$$(18) \qquad -h_{k_{1}}^{2}h_{k_{2}}h_{k_{2}+2} + h_{k_{1}}^{2}h_{k_{2}}^{2}).$$

Let $(f_n)_{n\in\mathbb{N}}$ be the fake degree sequence associated to V and g. For $n\geq 0$, it follows from (18) that $f_{2n+1}(q)=0$. Moreover, the 2n-th term of the fake degree sequence is

$$f_{2n}(q) = \sum_{k_1 + k_2 = n - 3} \begin{bmatrix} 2n \\ k_1, k_1 + 4, k_2, k_2 + 2 \end{bmatrix}_q - \sum_{k_1 + k_2 = n - 2} \begin{bmatrix} 2n \\ k_1, k_1 + 4, k_2, k_2 \end{bmatrix}_q$$

$$- \sum_{k_1 + k_2 = n - 3} \begin{bmatrix} 2n \\ k_1, k_1 + 3, k_2, k_2 + 3 \end{bmatrix}_q + \sum_{k_1 + k_2 = n - 2} \begin{bmatrix} 2n \\ k_1, k_1 + 1, k_2, k_2 + 3 \end{bmatrix}_q$$

$$+ \sum_{k_1 + k_2 = n - 2} \begin{bmatrix} 2n \\ k_1, k_1 + 3, k_2, k_2 + 1 \end{bmatrix}_q - \sum_{k_1 + k_2 = n - 1} \begin{bmatrix} 2n \\ k_1, k_1 + 1, k_2, k_2 + 1 \end{bmatrix}_q$$

$$- \sum_{k_1 + k_2 = n - 1} \begin{bmatrix} 2n \\ k_1, k_1, k_2, k_2 + 2 \end{bmatrix}_q + \sum_{k_1 + k_2 = n} \begin{bmatrix} n \\ k_1, k_1, k_2, k_2 \end{bmatrix}_q$$

$$= \sum_{t=-\infty}^{\infty} \left(\left[2n - t - 6, 2n - t - 2, -n + t + 3, -n + t + 5 \right]_{q} \right)$$

$$- \left[2n - t - 4, 2n - t, -n + t + 2, -n + t + 2 \right]_{q}$$

$$- \left[2n - t - 6, 2n - t - 3, -n + t + 3, -n + t + 6 \right]_{q}$$

$$+ \left[2n - t - 4, 2n - t - 3, -n + t + 2, -n + t + 5 \right]_{q}$$

$$+ \left[2n - t - 4, 2n - t - 1, -n + t + 2, -n + t + 3 \right]_{q}$$

$$- \left[2n - t - 2, 2n - t - 1, -n + t + 1, -n + t + 2 \right]_{q}$$

$$- \left[2n - t - 2, 2n - t - 2, -n + t + 1, -n + t + 3 \right]_{q}$$

$$+ \left[2n - t, 2n - t, -n + t, -n + t \right]_{q} \right).$$

Using the method of creative telescoping and the closure properties of the class of q-holonomic sequences, we find a twentieth-order linear q-difference equation for $(f_{2n}(q))_{n\in\mathbb{N}}$, which is given in [15].

Next, let us recall a theorem about scalar products of D-finite symmetric functions.

Theorem 0.10. (Gessel [6, Corollary 8]) Let f and g be symmetric functions which are D-finite in the p_i 's and in other variables t_j 's. Assume that g involves only finitely many of the p_i 's. Then $\langle f, g \rangle$ is D-finite in the t_j 's as along as it is well defined as a formal power series.

Note that the above result also holds for $\langle g, f \rangle$ provided that g involves only finitely many of the p_i 's.

Let G be a reductive group and V be a finite dimensional representation. For each $r \geq 0$, we denote the Frobenius character of the G-invariant subspace of $\otimes^r V$ by $I_r(V)$ [14]. Let P be a polynomial functor of degree k; for instance, the k-th symmetric power functor or the k-th alternating power functor. We denote the character by $\mathbf{ch}(P)$, which is a symmetric function of degree k.

Proposition 0.11. For each $r, k \geq 0$. Assume that $I_{rk}(V)[Y]$ is a D-finite symmetric function. Then

$$f(X) := \langle h_r[X. \operatorname{\mathbf{ch}}(P[Y])], I_{rk}(V)[Y] \rangle_Y$$

is also a D-finite symmetric function.

Proof. Since $h_r[X.\operatorname{ch}(P[Y])]$ and $I_{rk}(V)[Y]$ are both symmetric functions in X and Y, we see that f(X) is a symmetric function in X. Since $\operatorname{ch}(P[Y])$ is a symmetric function of degree k, it follows that $h_r[X.\operatorname{ch}(P[Y])]$ is a symmetric function of degree r(k+1). Thus, the symmetric function $h_r[X.\operatorname{ch}(P[Y])]$ only involves finitely many $p_i(Y)$'s. Since $h_r = \sum_{\lambda} p_{\lambda}/z_{\lambda}$, where λ runs over all partitions of r and $z_{\lambda} \in \mathbb{Z}_{>0}$, it is straightforward to see that $h_r[X.\operatorname{ch}(P[Y])]$ is D-finite in the $p_i(X)$'s and $p_j(Y)$'s. Taking $t_j = p_j(X)$ in Theorem 0.10, we conclude that the claim holds.

ACKNOWLEDGEMENT

We thank Shaoshi Chen and Christoph Koutschan for useful comments for the proof of Theorem 0.6.

References

- [1] John F. Adams. Lectures on Lie groups. University of Chicago Press, 1982.
- [2] Frédéric Chyzak. An extension of Zeilberger's fast algorithm to general holonomic functions. *Discrete Math.*, 217(1-3):115–134, 2000. Formal power series and algebraic combinatorics (Vienna, 1997).
- [3] Frédéric Chyzak, Marni Mishna, and Bruno Salvy. Effective scalar products of *D*-finite symmetric functions. *Journal of Combinatorial Theory*, *Series A*, 112 (1):1–43, 2005.
- [4] J. Fürlinger and J. Hofbauer. q-Catalan numbers. Journal of Combinatorial Theory, Series A, 40 (2):248–264, 1985.
- [5] Stavros Garoufalidis and Christoph Koutschan. Twisting q-holonomic sequences by complex roots of unity. In *Proceedings of the 2012 International Symposium on Symbolic and Algebraic Computation*, pages 179–186. ACM, New York, 2012.
- [6] Ira M. Gessel. Symmetric functions and p-recursiveness. *Journal of Combinatorial Theory, Series A*, 53:257–285, 1990.
- [7] Mark Haiman. Combinatorics, Symmetric functions, and Hilbert schemes. Current Developments in Mathematics, 2003.
- [8] Brian C. Hall. Lie groups, Lie algebras, and representations: An elementary introduction. Graduate Texts in Mathematics, 222 (2nd ed.), Springer, 2015.
- [9] Christoph Koutschan. Advanced applications of the holonomic systems approach. PhD thesis, RISC, Johannes Kepler University Linz, 2009.
- [10] Christoph Koutschan. Holonomicfunctions user's guide. RISC Report Series, pages 1–93, 2010.
- [11] Leonard M. Lipshitz. The diagonal of a D-finite power series is D-finite. J. Algebra, 113(2):373-378, 1988.
- [12] Ian G. Macdonald. Symmetric Functions and Hall Polynomials. Oxford University Press; 2nd edition, 2015.
- [13] Richard P. Stanley. Enumerative Combinatorics. Vol. 2, volume 62 of Cambridge Studies in Advanced Mathematics. Cambridge University Press, 1999.
- [14] Bruce W. Westbury. On enumeration in classical invariant theory. arXiv:1402.6111, 2014.
- [15] Bruce W. Westbury and Yi Zhang. Supplementary electronic material to the article "On *D*-finiteness of a symmetric function", 2022.
- [16] Bruce W. Westbury and Yi Zhang. Invariant tensors and *D*-finite symmetric functions. *Manuscript*, 2022.

- [17] Herbert S. Wilf and Doron Zeilberger. An algorithmic proof theory for hypergeometric (ordinary and "q") multisum/integral identities. *Invent. Math.*, 108(3):575-633, 1992.
- [18] Doron Zeilberger. The method of creative telescoping. J. Symbolic Comput., 11(3):195-204, 1991.

Email address: Bruce.Westbury@gmail.com

DEPARTMENT OF FOUNDATIONAL MATHEMATICS, SCHOOL OF SCIENCE, XI'AN JIAOTONG-LIVERPOOL UNIVERSITY, SUZHOU, 215123, CHINA

 $Email\ address: {\tt Yi.Zhang03@xjtlu.edu.cn}$