

# ON $D$ -FINITENESS OF A SYMMETRIC FUNCTION

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**ABSTRACT.** We show that a symmetric function induced by a Weyl character of a given representation of a simple complex Lie algebra is  $D$ -finite. Moreover, we prove that the fake degree sequence associated to the representation and the Lie algebra is  $q$ -holonomic.

In this note, we consider symmetric functions (series) as formal power series in infinitely many variables [13, Section 7.1]  $X = x_1, x_2, \dots$ , which is equivalent to the definition in [12], over a field  $\mathbb{K}$  of characteristic zero. Let  $Y = y_1, y_2, \dots$  be another set of variables. Then the *product* of  $X$  and  $Y$  is defined by  $X.Y = \sum_{i,j} x_i y_j$ . Let  $\mathbb{K}[[X]]$  be the ring of formal power series in  $X$  and  $\Lambda$  be the ring of symmetric functions in  $X$ . Let  $f \in \Lambda$  and  $g \in \mathbb{K}[[X]]$  with  $g = \sum_{i=1}^{\infty} t_i$ , where  $t_i$  is a monomial in  $x_i$ 's. We define the *plethysm* (or *composition*) [7] of  $f$  by  $g$  to be  $f(t_1, t_2, \dots)$ , and denote it by  $f[g]$ . For each  $k \in \mathbb{N}$ , let  $h_k(X)$  be the  $k$ -th complete homogenous symmetric function in  $X$ . As a matter of notation, we set  $h_k(X) = 0$  for  $k < 0$ . When it is clear from context, we may abbreviate  $h_k(X)$  to  $h_k$ . Then the corresponding generating function [3] is denoted by

$$H(t) = \sum_{k=0}^{\infty} h_k(X) t^k = \prod_{i=1}^{\infty} \frac{1}{1 - x_i t}.$$

We say that  $F \in \mathbb{K}[[X]]$  is  $D$ -finite [6, section 5] in the infinitely many variables  $x_i$  if, for any choice of a finite set  $S$  of  $X$ , the specification to 0 of each  $x_i \in X \setminus S$  gives rise to a power series that is  $D$ -finite, in the classical sense, in each variable  $x_i \in S$ . Clearly,  $H(t)$  is  $D$ -finite in the  $x_i$ 's and  $t$ . Next, let us recall the closure properties of  $D$ -finite series in finitely many variables.

**Theorem 0.1.** (1) *The set of  $D$ -finite power series forms a  $\mathbb{K}$ -algebra of  $\mathbb{K}[[x_1, \dots, x_n]]$  for the usual product of series;*

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*Date:* August 21, 2024.

*Key words and phrases.* representation theory, symmetric functions,  $D$ -finiteness,  $q$ -holonomicity.

The work of Y. Zhang was supported by XJTLU Research Development Fund No. RDF-20-01-12, the NSFC Young Scientist Fund No. 12101506 and the Natural Science Foundation of the Jiangsu Higher Education Institutions of China No. 21KJB110032.

- (2) If  $F$  is  $D$ -finite in  $x_1, \dots, x_n$  then for any finite subset of variables  $x_{i_1}, \dots, x_{i_k}$  the specialization of  $F$  at  $x_{i_1} = \dots = x_{i_k} = 0$  is  $D$ -finite in the remaining variables;
- (3) If  $P$  is a polynomial in  $x_1, \dots, x_n$ , then  $\exp P(x)$  is  $D$ -finite in the  $x_1, \dots, x_n$ ;
- (4) If  $F$  and  $G$  are  $D$ -finite in the variables  $x_1, \dots, x_{m+n}$ 's, then the Hadamard product  $F \odot G$  with respect to  $x_1, \dots, x_n$  is  $D$ -finite in the  $x_1, \dots, x_{m+n}$ ;
- (5) Assume that  $F$  is a  $D$ -finite series in  $x_1, \dots, x_n$ . Let  $f_1, \dots, f_d$  be algebraic functions in  $\mathbf{z} = z_1, \dots, z_e$  which means there exist nonzero polynomials  $q_1, \dots, q_d \in \mathbb{K}[h, z_1, \dots, z_e]$  such that  $q_i(f_i(\mathbf{z}), z_1, \dots, z_e) = 0$ . Then

$$G(\mathbf{z}, x_{d+1}, \dots) = F(f_1(\mathbf{z}), \dots, f_d(\mathbf{z}), x_{d+1}, \dots, x_n)$$

is  $D$ -finite in the  $\mathbf{z}, x_{d+1}, \dots, x_n$ .

The proofs of the first three properties are available in [13], and the fourth one is due to Lipshitz [11]. The last one is given in [9, Theorem 2.18, page 35]. It is straightforward to see that the above properties also hold for  $D$ -finite series in an infinitely number of variables.

For each  $k \geq 1$ , let  $p_k(X)$  be the  $k$ -th power sum symmetric function in  $X$ . We say that a symmetric function is  $D$ -finite [6, page 272] if it is  $D$ -finite in the power sum symmetric functions  $p_k$ 's. By item (5) of Theorem 0.1, a  $D$ -finite symmetric function is also  $D$ -finite in the  $x_i$ 's. Since  $H(t) = \exp(\sum_{k=1}^{\infty} p_k t^k / k)$ , it is straightforward to see from item (3) of Theorem 0.1 that  $H(1)$  is a  $D$ -finite symmetric function and  $H(t)$  is  $D$ -finite in the  $p_k$ 's and  $t$ .

Let  $f \in \mathbb{K}[[X]]$  be a quasisymmetric function. We say that  $f$  is  $D$ -finite if it is  $D$ -finite in the fundamental (or monomial) quasisymmetric functions. The next proposition gives the relation between  $D$ -finite symmetric functions and  $D$ -finite quasisymmetric functions.

**Proposition 0.2.** *Let  $f \in \mathbb{K}[[X]]$  be a symmetric function. Then  $f$  is a  $D$ -finite symmetric function if and only if it is a  $D$ -finite quasisymmetric functions.*

*Proof.*  $\implies$ : Assume that  $f$  is a  $D$ -finite symmetric function. Since each power sum symmetric function is a  $\mathbb{Q}$ -linear combination of Schur functions, it follows from [13, Theorem 7.19.7] that each power sum symmetric function is a  $\mathbb{Q}$ -linear combination of fundamental quasisymmetric functions. By item (5) of Theorem 0.1, we see that  $f$  is  $D$ -finite in the quasisymmetric functions.

$\impliedby$ : Assume that  $f$  is a  $D$ -finite quasisymmetric function. Since each monomial symmetric function is a  $\mathbb{Q}$ -linear combination of power sum symmetric functions, it follows from item (5) of Theorem 0.1 that we just need to prove that  $f$  is  $D$ -finite in the monomial symmetric functions. Let  $t$  be a positive integer and  $\lambda$  be a partition of  $t$ . Set

$f(m_\lambda) = f|_{m_\mu=0, \mu \neq \lambda}$ . Without loss of generality, it suffices to prove that  $f(m_\lambda)$  satisfies a nontrivial linear ODE with polynomial coefficients in  $m_\lambda$ . Let  $A$  be the set of all distance permutations of parts of  $\lambda$ . Set

$$M = \{M_\alpha \mid \alpha \in A\}.$$

Then  $f$  is  $D$ -finite in the monomial quasisymmetric functions  $M$ . Take  $\alpha \in A$ . Then there exists a non-negative integer  $r$  and polynomial  $p_j \in \mathbb{K}[M]$  for  $j = 0, \dots, r$  with  $p_r(M) \neq 0$  such that

$$(1) \quad p_r(M) \frac{\partial^r f(m_\lambda)}{\partial M_\alpha^r} + p_{r-1}(M) \frac{\partial^{r-1} f(m_\lambda)}{\partial M_\alpha^{r-1}} + \dots + p_0(M) f(m_\lambda) = 0.$$

Since  $m_\lambda = M_\alpha + \sum_{\beta \in A, \beta \neq \alpha} M_\beta$ , we have that

$$\frac{\partial^k f(m_\lambda)}{\partial M_\alpha^k} = \frac{\partial^k f(m_\lambda)}{\partial m_\lambda^k} \quad \text{for each } k \in \mathbb{N}.$$

Thus, (1) becomes

$$(2) \quad p_r(M) \frac{\partial^r f(m_\lambda)}{\partial m_\lambda^r} + p_{r-1}(M) \frac{\partial^{r-1} f(m_\lambda)}{\partial m_\lambda^{r-1}} + \dots + p_0(M) f(m_\lambda) = 0.$$

Let  $n = |M|$  and  $\sigma \in \mathfrak{S}_n$ . For each  $p \in \mathbb{K}[[M]]$ , we define

$$\sigma(p(M)) := p(M_{\alpha_{\sigma(1)}}, \dots, M_{\alpha_{\sigma(n)}}).$$

Set  $\tilde{p}_j = \sum_{\sigma \in \mathfrak{S}_n} p_j(\sigma(M))$  for  $j = 0, \dots, r$ . Since  $p_r \neq 0$ , so is  $\tilde{p}_r$ . Moreover, it is straightforward to see that  $\tilde{p}_j$  is a symmetric function of finite degree. Thus, we may assume that  $\tilde{p}_j \in \mathbb{K}[m_\lambda, m_{\mu_1}, \dots, m_{\mu_\ell}]$ . For each  $\sigma \in \mathfrak{S}_n$ , applying  $\sigma$  to (2) and then taking the sum, we get

$$(3) \quad \tilde{p}_r(m_\lambda, m_{\mu_1}, \dots, m_{\mu_\ell}) \frac{\partial^r f(m_\lambda)}{\partial m_\lambda^r} + \tilde{p}_{r-1}(m_\lambda, m_{\mu_1}, \dots, m_{\mu_\ell}) \frac{\partial^{r-1} f(m_\lambda)}{\partial m_\lambda^{r-1}} + \dots + \tilde{p}_0(m_\lambda, m_{\mu_1}, \dots, m_{\mu_\ell}) f(m_\lambda) = 0.$$

By taking the content over  $\mathbb{K}[m_\lambda, m_{\mu_1}, \dots, m_{\mu_\ell}]$ , we may assume that  $\tilde{p}_r, \dots, \tilde{p}_0$  are relatively prime. By specifying  $m_{\mu_i} = 0$  in (3) and taking the content over  $\mathbb{K}[m_\lambda, m_{\mu_1}, \dots, m_{\mu_\ell}]$  iteratively for  $i \in \{1, \dots, \ell\}$ , we see that there exists  $\bar{p}_j \in \mathbb{K}[m_\lambda]$  for  $j = 0, \dots, \bar{r}$  with  $\bar{r} \leq r$  and  $\bar{p}_{\bar{r}} \neq 0$  such that

$$\bar{p}_{\bar{r}}(m_\lambda) \frac{\partial^{\bar{r}} f(m_\lambda)}{\partial m_\lambda^{\bar{r}}} + \bar{p}_{\bar{r}-1}(m_\lambda) \frac{\partial^{\bar{r}-1} f(m_\lambda)}{\partial m_\lambda^{\bar{r}-1}} + \dots + \bar{p}_0(m_\lambda) f(m_\lambda) = 0.$$

□

**Lemma 0.3.** *Let  $a \in \mathbb{Z}$ ,  $v_1, \dots, v_d \in \mathbb{Z}$  and  $t_1, \dots, t_d$  be variables. Then the series*

$$F = \prod_{i=1}^d \sum_{\ell_i=-\infty}^{\infty} h_{a+v_1\ell_1+\dots+v_d\ell_d} t_1^{\ell_1} \dots t_d^{\ell_d}$$

*is  $D$ -finite in the  $p_i$ 's and  $t_j$ 's.*

*Proof.* Without loss of generality, we assume that  $v_1, \dots, v_d \in \mathbb{Z} \setminus \{0\}$ . Otherwise, by reordering the indexes, we assume  $v_1, \dots, v_e \in \mathbb{Z} \setminus \{0\}$  and  $v_{e+1} = \dots = v_d = 0$ . Then

$$F = \tilde{F} \cdot \prod_{i=e+1}^d \left( \frac{t_i^{-1}}{1 - t_i^{-1}} + \frac{1}{1 - t_i} \right),$$

where

$$\tilde{F} = \prod_{i=1}^e \sum_{\ell_i=-\infty}^{\infty} h_{a+v_1\ell_1+\dots+v_e\ell_e} t_1^{\ell_1} \dots t_e^{\ell_e}.$$

According to item (1) of Theorem 0.1, we only need to show that  $\tilde{F}$  is D-finite.

Set  $s_i = t_i^{1/v_i}$  for  $i = 1, \dots, d$ . Then  $F = s_1^{-a} \cdot G$ , where

$$G = \prod_{i=1}^d \sum_{\ell_i=-\infty}^{\infty} h_{a+v_1\ell_1+\dots+v_d\ell_d} s_1^{a+v_1\ell_1} s_2^{v_2\ell_2} \dots s_d^{v_d\ell_d}.$$

In light of item (1) and (5) of Theorem 0.1, it suffices to show that  $G$  is  $D$ -finite in the  $p_i$ 's and  $s_j$ 's. Let  $z_1, \dots, z_d$  be several auxiliary variables. We may write

$$(4) \quad G = \left( L \odot \prod_{i=1}^d \sum_{\ell_i=-\infty}^{\infty} z_1^{a+v_1\ell_1} z_2^{v_2\ell_2} \dots z_d^{v_d\ell_d} \right)_{z_1=\dots=z_d=1},$$

where

$$L = \prod_{i=1}^d \sum_{\ell_i=-\infty}^{\infty} h_{\ell_1+\ell_2+\dots+\ell_d} (s_1 z_1)^{\ell_1} (s_2 z_2)^{\ell_2} \dots (s_d z_d)^{\ell_d}.$$

Clearly, we see that

$$\prod_{i=1}^d \sum_{\ell_i=-\infty}^{\infty} z_1^{a+v_1\ell_1} z_2^{v_2\ell_2} \dots z_d^{v_d\ell_d} = z_1^a \prod_{i=1}^d \left( \frac{z_i^{-v_i}}{1 - z_i^{-v_i}} + \frac{1}{1 - z_i^{v_i}} \right)$$

is  $D$ -finite in the  $p_i$ 's,  $s_j$ 's and  $z_k$ 's. Therefore, it follows from item (2), (4) of Theorem 0.1 and (4) that we only need to prove that  $L$  is also  $D$ -finite in those variables. We may write

$$\begin{aligned} L &= \prod_{i=1}^d \sum_{\ell_i=-\infty}^{\infty} \left( \frac{s_1 z_1}{s_d z_d} \right)^{\ell_1} \dots \left( \frac{s_{d-1} z_{d-1}}{s_d z_d} \right)^{\ell_{d-1}} h_{\ell_1+\ell_2+\dots+\ell_d} (s_d z_d)^{\ell_1+\ell_2+\dots+\ell_d} \\ &= \left( \prod_{i=1}^{d-1} \sum_{\ell_i=-\infty}^{\infty} \left( \frac{s_i z_i}{s_d z_d} \right)^{\ell_i} \right) \cdot \left( \sum_{\ell_d=-\infty}^{\infty} h_{\ell_d} (s_d z_d)^{\ell_d} \right) \\ &= \left( \prod_{i=1}^{d-1} \left( \frac{(s_i z_i)^{-1}}{1 - (s_i z_i)^{-1}} + \frac{s_i z_i}{1 - s_i z_i} \right) \right) \cdot H(s_d z_d). \end{aligned}$$

From the last identity and item (1) of Theorem 0.1, we conclude that  $L$  is  $D$ -finite in the  $p_i$ 's,  $s_j$ 's and  $z_k$ 's.  $\square$

Let  $V$  be a representation of a simple complex Lie algebra  $\mathfrak{g}$  and  $\text{ch}_V(Y)$  be the Weyl character [8] of  $V$  in variables  $Y$ . Take the set of monomials whose sum is  $\text{ch}_V(Y)$  and denote it by  $m_V(Y)$ . Then  $H[X \cdot m_V(Y)]$  is an element of the tensor product of symmetric functions in  $X$  and Laurent polynomials in  $Y$ . Denote by  $\Delta$  the Laurent polynomial in  $Y$  which appears in the Weyl integration formula [1]. Then the product  $\Delta \cdot H[X \cdot m_V(Y)]$  is also in the tensor product of symmetric functions in  $X$  and Laurent polynomials in  $Y$ . Since  $\text{ch}_V(Y)$  and  $\Delta$  are Laurent polynomials in  $Y$ , we may assume that  $Y = y_1, \dots, y_m$  are variables appeared in  $\text{ch}_V(Y)$  and  $\Delta$ . A monomial in  $Y$  is then denoted by  $Y^{\mathbf{e}} := y_1^{e_1} \dots y_m^{e_m}$  for some  $\mathbf{e} = (e_1, \dots, e_m) \in \mathbb{Z}^m$ . Let  $F \in \mathbb{K}[[X]][y_1^{\pm 1}, \dots, y_m^{\pm 1}]$  and  $\mathbf{e} \in \mathbb{Z}^m$ , we denote the coefficient of  $Y^{\mathbf{e}}$  in  $F$  by  $[Y^{\mathbf{e}}](F)$ .

**Theorem 0.4.** *The symmetric function  $S = [Y^0](\Delta \cdot H[X \cdot m_V(Y)])$  is  $D$ -finite.*

*Proof.* We first prove the claim in the case that  $\Delta = Y^\gamma$  is a monomial in  $Y$ . Set  $m_V(Y) = \{Y^{\alpha_1}, \dots, Y^{\alpha_s}\}$ . Then

$$\begin{aligned} \Delta \cdot H[X \cdot m_V(Y)] &= Y^\gamma \cdot \prod_{i=1}^s \left( \prod_{j=1}^{\infty} \frac{1}{1 - x_j \cdot Y^{\alpha_i}} \right) \\ &= Y^\gamma \cdot \prod_{i=1}^s \sum_{k_i=0}^{\infty} h_{k_i}(X) Y^{\alpha_i k_i}. \end{aligned}$$

Then we have

$$\begin{aligned} S &= [Y^0](\Delta \cdot H[X \cdot m_V(Y)]) \\ (5) \quad &= \sum_{\alpha_1 k_1 + \alpha_2 k_2 + \dots + \alpha_s k_s = -\gamma} h_{k_1}(X) \cdot h_{k_2}(X) \cdots h_{k_s}(X). \end{aligned}$$

Thus, in order to show that  $S$  is  $D$ -finite, we need to derive the solutions of the following system of linear Diophantine equations:

$$(6) \quad \alpha_1 k_1 + \alpha_2 k_2 + \dots + \alpha_s k_s = -\gamma,$$

where  $k_i \in \mathbb{Z}$  is unknown. Set  $A = (\alpha_1, \alpha_2, \dots, \alpha_s) \in \mathbb{Z}^{m \times s}$  and  $C = -\gamma \in \mathbb{Z}^{m \times 1}$ . Then (14) can be written into the following matrix form:

$$(7) \quad AX = C.$$

There exist two unimodular matrices  $U \in \mathbb{Z}^{m \times m}$  and  $V \in \mathbb{Z}^{s \times s}$  such that  $B = (b_{i,j}) = UAV$  is the Smith normal form of  $A$  with  $b_{i,i} \neq 0$  for  $i = 1, \dots, s - d$  and the other entries being zeros. Set  $Y = V^{-1}X$  and  $Q = UC = (q_1, \dots, q_m)^\top$ . Then (7) can be rewritten as

$$(8) \quad BY = Q.$$

If there exists  $i \in \{1, \dots, s-d\}$  such that  $b_{i,i} \nmid q_i$ , then (8) has no solution. In this case, we have  $S = 0$ , which is  $D$ -finite in the  $x_i$ 's.

If  $b_{i,i} \mid q_i$  for  $i = 1, \dots, s-d$ , then the solutions of (7) are

$$V \begin{bmatrix} \frac{q_1}{b_{1,1}} \\ \vdots \\ \frac{q_{s-d}}{b_{s-d,s-d}} \\ \ell_1 \\ \vdots \\ \ell_d \end{bmatrix},$$

where  $\ell_1, \dots, \ell_d$  are arbitrary integers. It implies that the solutions of (14) are

$$k_i = a_i + v_{i,1}\ell_1 + \dots + v_{i,d}\ell_d,$$

where  $a_i, v_{i,j} \in \mathbb{Z}$  for  $i = 1, \dots, s$  and  $j = 1, \dots, d$ . By (5), we see that

$$(9) \quad S = \prod_{i=1}^s \prod_{j=1}^d \sum_{\ell_j=-\infty}^{\infty} h_{a_i+v_{i,1}\ell_1+\dots+v_{i,d}\ell_d}$$

$$(10) \quad = (F_1 \odot F_2 \odot \dots \odot F_s)_{t_1=\dots=t_d=1},$$

where

$$F_i = \prod_{j=1}^d \sum_{\ell_j=-\infty}^{\infty} h_{a_i+v_{i,1}\ell_1+\dots+v_{i,d}\ell_d} t_1^{\ell_1} \dots t_d^{\ell_d} \quad \text{for } i = 1, \dots, s.$$

By Lemma 0.3, we see that  $F_i$  is  $D$ -finite in the  $p_j$ 's and  $t_k$ 's. In light of item (2), (4) of Theorem 0.1 and (10), we conclude that  $S$  is  $D$ -finite in the  $p_i$ 's.

It follows from item (1) of Theorem 0.1 that the claim also holds when  $\Delta$  is a Laurent polynomial in  $Y$ .  $\square$

By Proposition 0.2, the symmetric function in the above theorem is also a  $D$ -finite quasisymmetric function.

**Example 0.5.** Let  $V$  be an irreducible representation of  $\mathfrak{g} := SL(2)$  such that  $\text{ch}_V(Y) = y + 1/y$  and  $\Delta = 1 - y^2$ . Then

$$\begin{aligned} H[X \cdot \text{m}_V(Y)] &= \left( \prod_{i=1}^{\infty} \frac{1}{1 - x_i y} \right) \cdot \left( \prod_{i=1}^{\infty} \frac{1}{1 - x_i y^{-1}} \right) \\ &= \left( \sum_{k=0}^{\infty} h_k y^k \right) \cdot \left( \sum_{k=0}^{\infty} h_k y^{-k} \right). \end{aligned}$$

Thus, by Theorem 0.4, the symmetric function

$$\begin{aligned} S &= [Y^0](\Delta \cdot H[X \cdot \mathbf{m}_V(y)]) \\ &= \sum_{k=0}^{\infty} (h_k^2 - h_{k-1}h_{k+1}) \\ &= \sum_{k=0}^{\infty} (h_k^2 - h_k h_{k+2}) \end{aligned}$$

is  $D$ -finite in the  $p_i$ 's. For each  $n \in \mathbb{N}$ , set  $S(p_n) = S|_{p_k=0, k \neq n}$ .

When  $n = 1$ , we have

$$\begin{aligned} S(p_1) &= \sum_{i=0}^{\infty} \frac{p_1^{2i}}{i!^2} \left( 1 + \frac{p_1^2}{(i+1)(i+2)} \right) \\ &= I_0(2p_1) + I_2(2p_1), \end{aligned}$$

where  $I_k(z)$  is the modified Bessel function of the first kind. By item (1) of Theorem 0.1, it is straightforward to see that  $S(p_1)$  satisfies the following fourth-order linear ordinary differential equation (ODE):

$$\begin{aligned} p_1^3 \frac{d^4 S(p_1)}{dp_1^4} + 6p_1^2 \frac{d^3 S(p_1)}{dp_1^3} + (3p_1 - 8p_1^3) \frac{d^2 S(p_1)}{dp_1^2} \\ - (3 + 24p_1^2) \frac{dS(p_1)}{dp_1} + 16p_1^3 S(p_1) = 0. \end{aligned}$$

When  $n = 2$ , we have

$$\begin{aligned} S(p_2) &= \sum_{i=0}^{\infty} \frac{p_2^{2i}}{4^i i!^2} \left( 1 + \frac{p_2}{2(i+1)} \right) \\ &= I_0(p_2) + I_1(p_2), \end{aligned}$$

which satisfies the following fourth-order linear ODE:

$$\begin{aligned} p_2^2 \frac{d^4 S(p_2)}{dp_2^4} + 6p_2 \frac{d^3 S(p_2)}{dp_2^3} + (6 - 2p_2^2) \frac{d^2 S(p_2)}{dp_2^2} - 6p_2 \frac{dS(p_2)}{dp_2} \\ - (3 - p_2^2) S(p_2) = 0. \end{aligned}$$

When  $n > 2$ , we have  $S(p_n) = \sum_{i=0}^{\infty} p_n^{2i} / (n^{2i} i!^2) = I_0(2p_n/n)$ , which satisfies the following second-order linear ODE:

$$n^2 p_n \frac{d^2 S(p_n)}{dp_n^2} + n^2 \frac{dS(p_n)}{dp_n} - 4p_n S(p_n) = 0.$$

In Example 0.7, we will show that the fake degree sequence associated to  $S$  above is  $q$ -holonomic and closely related to  $q$ -Catalan numbers [4].

In [16], the authors proved that the symmetric function in Theorem 0.4 is equal to

$$(11) \quad S = \sum_{n=0}^{\infty} \mathbf{fr}((\otimes^n V)^g),$$

where  $\mathbf{fr}(V)$  is the Frobenius character [13, § 7.18] of the representation  $V$  of  $\mathfrak{S}_n$ , which is a homogeneous symmetric function of degree  $n$  and is an invariant of the representation  $V$ .

Let  $q$  be a transcendental indeterminate over the field  $\mathbb{K}$ . For  $n \in \mathbb{N}$ , the  $q$ -integer  $[n]_q$  is the polynomial

$$[n]_q = \frac{1 - q^n}{1 - q} = 1 + q + \cdots + q^{n-1},$$

and the  $q$ -factorial  $[n]_q! := [n]_q \cdot [n-1]_q \cdots [1]_q$ . For  $k_1, \dots, k_r \in \mathbb{Z}$ , the  $q$ -multinomial coefficient is defined by

$$\left[ \begin{matrix} n \\ k_1, k_2, \dots, k_r \end{matrix} \right]_q = \begin{cases} \frac{[n]_q!}{[k_1]_q! [k_2]_q! \cdots [k_r]_q!} & \text{if } k_i \geq 0, \text{ and } \sum_{i=1}^r k_i = n, \\ 0 & \text{otherwise.} \end{cases}$$

We consider the fake degree function

$$\mathbf{fd} : \Lambda \longrightarrow \mathbb{K}(q),$$

which is a linear map from symmetric functions to polynomials in  $q$ . The interpretation in terms of representation theory is that if  $V$  has an action of  $\mathfrak{S}_n$  then the fake degree of the Frobenius character is a polynomial which describes the action of the long cycle. This can be defined on the basis of complete homogeneous functions and extended by linearity. Let  $\lambda = (\lambda_1, \dots, \lambda_s)$  be an integer partition. Then the evaluation of  $\mathbf{fd}$  at  $h_\lambda$  is defined by:

$$\mathbf{fd}(h_\lambda) = \left[ \begin{matrix} |\lambda| \\ \lambda_1, \lambda_2, \dots, \lambda_s \end{matrix} \right]_q.$$

The fake degree map is not a ring homomorphism but has the following property: Let  $f_r$  and  $g_s$  be homogeneous symmetric functions of degree  $r$  and  $s$ , respectively. Then

$$\mathbf{fd}(f_r g_s) = \left[ \begin{matrix} r+s \\ r \end{matrix} \right]_q \mathbf{fd}(f_r) \mathbf{fd}(g_s).$$

A univariate sequence  $(b_n(q))_{n \in \mathbb{N}}$  is called  *$q$ -holonomic* [5] if it satisfies a nontrivial linear  $q$ -difference equation with coefficients that are polynomials in  $q$  and  $q^n$ ; that is, there exists a non-negative integer  $r$  and bivariate polynomial  $c_j(x, y) \in \mathbb{K}[x, y]$  for  $j = 0, \dots, r$  with  $c_r(x, y) \neq 0$  such that for each  $n \in \mathbb{N}$  the following identity holds:

$$c_r(q, q^n) b_{n+r}(q) + c_{r-1}(q, q^n) b_{n+r-1}(q) + \cdots + c_0(q, q^n) b_n(q) = 0.$$

Similar to  $D$ -finite functions, the class of  $q$ -holonomic sequences satisfy closure properties under certain operations such as addition and multiplication. For instance, see [9, Section 2.3] for details.

Given  $g$  and  $V \in \mathcal{Rep}(g)$ . We define  $f_n(q) = \mathbf{fd}(\mathbf{fr}((\otimes^n V)^g))$  for each  $n \in \mathbb{N}$  and call  $(f_n(q))_{n \in \mathbb{N}}$  the fake degree sequence associated to  $g$  and  $V$ . A natural question is whether this sequence is  $q$ -holonomic or not. In the below theorem, we give an answer for this problem.



**Theorem 0.6.** *Given a simple complex Lie algebra  $\mathfrak{g}$  and  $V \in \mathcal{R}ep(\mathfrak{g})$ . Then the fake degree sequence associated to  $\mathfrak{g}$  and  $V$  is  $q$ -holonomic.*

*Proof.* Let  $\text{ch}_V(Y)$  be the Weyl character of  $V$  in  $Y$  and  $m_V(Y)$  be the set of monomials whose sum is  $\text{ch}_V(Y)$ . Set  $\Delta$  to be the Laurent polynomial in  $Y$  which appears in the Weyl integration formula. We first prove the claim in the case that  $\Delta = Y^\gamma$  is a monomial in  $Y$ . Set  $m_V(Y) = \{Y^{\alpha_1}, \dots, Y^{\alpha_s}\}$ . Then

$$\begin{aligned} S &= [Y^0](\Delta \cdot H[X, m_V(Y)]) \\ &= \sum_{\alpha_1 k_1 + \alpha_2 k_2 + \dots + \alpha_s k_s = -\gamma} h_{k_1}(X) \cdot h_{k_2}(X) \cdots h_{k_s}(X). \end{aligned}$$

By the arguments in the proof of Theorem 0.4, there exist  $a_i, v_{i,j} \in \mathbb{Z}$  for  $i = 1, \dots, s$  and  $j = 1, \dots, d$  such that

$$S = \prod_{i=1}^s \prod_{j=1}^d \sum_{\ell_j=-\infty}^{\infty} h_{a_i + v_{i,1}\ell_1 + \dots + v_{i,d}\ell_d}.$$

Set  $a = \sum_{i=1}^s a_i \in \mathbb{Z}$ , and  $v_j = \sum_{i=1}^s v_{i,j} \in \mathbb{Z}$  for  $j = 1, \dots, d$ . Let

$$\mathbf{v} = (v_1, \dots, v_d) \in \mathbb{Z}^d, \quad \boldsymbol{\ell} = (\ell_1, \dots, \ell_d) \in \mathbb{Z}^d,$$

and  $\mathbf{v}_i = (v_{i,1}, \dots, v_{i,d}) \in \mathbb{Z}^d$  for  $i = 1, \dots, s$ . On the other hand, since  $S = \sum_{n=0}^{\infty} \mathbf{fr}((\otimes^n V)^{\mathfrak{g}})$ , we see that

$$\mathbf{fr}((\otimes^n V)^{\mathfrak{g}}) = \sum_{a + \mathbf{v} \cdot \boldsymbol{\ell} = n} \prod_{i=1}^s h_{a_i + \mathbf{v}_i \cdot \boldsymbol{\ell}} \quad \text{for } n \geq 0.$$

Then the  $n$ -th term of the fake degree sequence associated to  $\mathfrak{g}$  and  $V$  is

$$(12) \quad f_n(q) = \mathbf{fd}(\mathbf{fr}((\otimes^n V)^{\mathfrak{g}})) = \sum_{a + \mathbf{v} \cdot \boldsymbol{\ell} = n} r(\boldsymbol{\ell}, n),$$

where

$$r(\boldsymbol{\ell}, n) = \left[ a_1 + \mathbf{v}_1 \cdot \boldsymbol{\ell}, \quad \dots, \quad a_s + \mathbf{v}_s \cdot \boldsymbol{\ell} \right]_q^n.$$

Set  $g = \gcd(v_1, \dots, v_d)$ . Without loss of generality, we may assume that  $g > 0$ . If  $g \nmid n - a$ , then it follows from (12) that  $f_n(q) = 0$ . For  $k \geq k_0 := \lfloor -a/g \rfloor$ , we define  $\tilde{f}_k(q) = f_{a+gk}(q)$ . Then we have

$$(13) \quad f_n(q) = \begin{cases} \tilde{f}_k(q) & \text{if } n = a + gk, \\ 0 & \text{otherwise.} \end{cases}$$

Next, we prove that the sequence  $(\tilde{f}_k(q))_{k \geq k_0}$  is  $q$ -holonomic. For each  $k \geq k_0$ , consider the following linear Diophantine equation:

$$(14) \quad v_1 \ell_1 + v_2 \ell_2 + \dots + v_d \ell_d = gk.$$

By the extended Euclidean algorithm, there exist  $u_{i,j} \in \mathbb{Z}$ ,  $1 \leq i \leq d$ ,  $0 \leq j \leq i$ , which are independent of  $k$ , with  $u_{d,d} = 0$  such that the solutions of (14) are

$$(15) \quad \ell_i = u_{i,0}k + u_{i,1}t_1 + \cdots + u_{i,i}t_i, \quad i = 1, \dots, d,$$

where  $t_i$  is an arbitrary integer. Set  $\mathbf{t} = (t_1, \dots, t_{d-1}) \in \mathbb{Z}^{d-1}$ . Substituting (15) into  $r(\ell, a + gk)$ , we denote the corresponding term by  $\tilde{r}(\mathbf{t}, k)$ . Then we can write  $f_k(q)$  as

$$(16) \quad \tilde{f}_k(q) = \sum_{\mathbf{t}} \tilde{r}(\mathbf{t}, k).$$

Since  $\tilde{r}(\mathbf{t}, k)$  is a proper  $q$ -hypergeometric term in  $\mathbf{t}$  and  $k$ , it follows from [17, section 5.2] that  $(\tilde{f}_k(q))_{k \geq k_0}$  is a  $q$ -holonomic. Moreover, it follows from the proof of [5, Theorem 1] that there exists a nontrivial  $q$ -difference equation for  $\tilde{f}_k(q)$  of the following form:

$$(17) \quad p_{\tilde{d}}(q, q^{gk})\tilde{f}_{k+\tilde{d}}(q) + p_{\tilde{d}-1}(q, q^{gk})\tilde{f}_{k+\tilde{d}-1}(q) + \cdots + p_0(q, q^{gk})\tilde{f}_k(q) = 0,$$

where  $p_j(x, y) \in \mathbb{K}[x, y]$  for  $j = 0, \dots, \tilde{d}$ . Then it follows from (13) and (17) that the fake degree sequence satisfies

$$\begin{aligned} p_{\tilde{d}}(q, q^{n-a})f_{n+g\tilde{d}}(q) + p_{\tilde{d}-1}(q, q^{n-a})f_{n+g(\tilde{d}-1)}(q) \\ + \cdots + p_0(q, q^{n-a})f_n(q) = 0. \end{aligned}$$

By clearing denominators of the above equation, we see that  $(f_n(q))_{n \in \mathbb{N}}$  is indeed  $q$ -holonomic.

Since the class of  $q$ -holonomic sequences is closed under the  $\mathbb{K}$ -linear combination, the claim also holds when  $\Delta$  is a Laurent polynomial in  $Y$ .  $\square$

Given a simple complex Lie algebra  $\mathfrak{g}$  and  $V \in \mathcal{R}ep(\mathfrak{g})$ . By the integral expression (16) of the fake degree sequence associated to  $\mathfrak{g}$  and  $V$ , we can utilize the method of creative telescoping [18] to derive the corresponding linear  $q$ -difference equations with polynomial coefficients.

**Example 0.7.** Let  $V$  be an irreducible representation of  $\mathfrak{g} := SL(2)$  such that  $\text{ch}_V(Y) = y + 1/y$  and  $\Delta = 1 - y^2$ . Consider the symmetric function in Example 0.5:

$$\begin{aligned} S &= \sum_{n=0}^{\infty} \text{fr}((\otimes^n V)^{\mathfrak{g}}) \\ &= \sum_{k=0}^{\infty} (h_k^2 - h_{k-1}h_{k+1}). \end{aligned}$$

For each  $k \in \mathbb{N}$ , set

$$\begin{aligned} g_k(q) &= \begin{bmatrix} 2k \\ k \end{bmatrix}_q - \begin{bmatrix} 2k \\ k+1 \end{bmatrix}_q \\ &= q^k \cdot \text{Cat}(k; q), \end{aligned}$$

where

$$\text{Cat}(k; q) = \frac{1}{[k+1]_q} \begin{bmatrix} 2k \\ k \end{bmatrix}_q$$

is the MacMahon  $q$ -analog of the Catalan number. Then for  $n \in \mathbb{N}$ , the  $n$ -th term of the fake degree sequence associated to  $V$  and  $g$  is

$$f_n(q) = \begin{cases} g_k(q) & \text{if } n = 2k, \\ 0 & \text{otherwise.} \end{cases}$$

By Theorem 0.6, the fake degree sequence  $(f_n)_{n \in \mathbb{N}}$  is  $q$ -holonomic and satisfies the following fourth-order linear  $q$ -difference equation:

$$\begin{aligned} (q^{n+6} - 1) f_{n+4}(q) \\ - q(q+1) (q^{n+3} - 1) (q^{n+4} + 1) f_{n+2}(q) \\ + q^3 (q^{n+1} - 1) (q^{n+2} - 1) (q^{n+3} - 1) f_n(q) = 0. \end{aligned}$$

**Example 0.8.** Let  $V$  be an irreducible representation of  $\mathfrak{g} := SL(2)$  such that  $\text{ch}_V(Y) = y^2 + 1 + y^{-2}$  and  $\Delta = 1 - y^2$ . Consider the symmetric function:

$$\begin{aligned} S &= \sum_{n=0}^{\infty} \text{fr}((\otimes^n V)^{\mathfrak{g}}) \\ &= [Y^0](\Delta \cdot H[X, \text{m}_V(Y)]) \\ &= \sum_{k_1=0}^{\infty} \sum_{k_2=0}^{\infty} (h_{k_1}^2 h_{k_2} - h_{k_1} h_{k_1+1} h_{k_2}). \end{aligned}$$

Then for  $n \in \mathbb{N}$ , the  $n$ -th term of the fake degree sequence associated to  $V$  and  $g$  is

$$\begin{aligned} f_n(q) &= \sum_{2k_1+k_2=n} \begin{bmatrix} n \\ k_1, k_1, k_2 \end{bmatrix}_q - \sum_{2k_1+k_2+1=n} \begin{bmatrix} n \\ k_1, k_1+1, k_2 \end{bmatrix}_q \\ &= \sum_{t=-\infty}^{\infty} \left( \begin{bmatrix} n \\ n-t, n-t, -n+2t \end{bmatrix}_q - \begin{bmatrix} n \\ n-1-t, n-t, -n+1+2t \end{bmatrix}_q \right) \\ &= \sum_{t=-\infty}^{\infty} \frac{q^{n-t} - q^{-n+1+2t}}{1 - q^{-n+1+2t}} \begin{bmatrix} n \\ n-t, n-t, -n+2t \end{bmatrix}_q. \end{aligned}$$

Using Koutschan's `Mathematica` package `HolonomicFunctions.m` [10] that implements Chyzak's algorithm [2], we derive the following sixth-order linear  $q$ -difference equation for the fake degree sequence  $(f_n)_{n \in \mathbb{N}}$ :

$$\begin{aligned} & (q^{n+7} - 1) f_{n+6}(q) - 2q (q^{n+5} - 1) f_{n+5}(q) \\ & \quad - (q^{n+5} - 1) (2q^{n+6} - q^2 + q + 1) f_{n+4}(q) \\ & \quad - q(q+1) (q^{n+4} - 1) (q^{n+5} - 1) (q^{n+5} + 2) f_{n+3}(q) \\ & \quad - q(q^2 + q - 1) (q^{n+3} - 1) (q^{n+4} - 1) (q^{n+5} - 1) f_{n+2}(q) \\ & \quad + 2q^2 (q^{n+2} - 1) (q^{n+3} - 1) (q^{n+4} - 1) (q^{n+5} - 1) f_{n+1}(q) \\ & + q^3 (q^{n+1} - 1) (q^{n+2} - 1) (q^{n+3} - 1) (q^{n+4} - 1) (q^{n+5} - 1) f_n(q) = 0. \end{aligned}$$

**Example 0.9.** Let  $V$  be the four-dimensional defining representation of  $g := Sp(4)$  such that

$$\text{ch}_V(Y) = y_2 + y_2^{-1} + y_1 y_2^{-1} + y_1^{-1} y_2,$$

and

$$\Delta = y_1^{-2} y_2^{-2} - y_2^{-4} - y_1^{-3} + y_1^{-3} y_2^2 + y_1 y_2^{-4} - y_1 y_2^{-2} - y_1^{-2} y_2^2 + 1.$$

The dimension of the invariant subspace of  $\otimes^{2n} V$  gives [A005700](#).

$$\begin{aligned} S &= \sum_{n=0}^{\infty} \text{fr}((\otimes^n V)^g) \\ &= [Y^0](\Delta \cdot H[X, \text{m}_V(Y)]) \\ &= \sum_{k_1=0}^{\infty} \sum_{k_2=0}^{\infty} (h_{k_1} h_{k_1+4} h_{k_2} h_{k_2+2} - h_{k_1} h_{k_1+4} h_{k_2}^2 - h_{k_1} h_{k_1+3} h_{k_2} h_{k_2+3} \\ & \quad + h_{k_1} h_{k_1+1} h_{k_2} h_{k_2+3} + h_{k_1} h_{k_1+3} h_{k_2} h_{k_2+1} - h_{k_1} h_{k_1+1} h_{k_2} h_{k_2+1} \\ & \quad - h_{k_1}^2 h_{k_2} h_{k_2+2} + h_{k_1}^2 h_{k_2}^2). \end{aligned} \tag{18}$$

Let  $(f_n)_{n \in \mathbb{N}}$  be the fake degree sequence associated to  $V$  and  $g$ . For  $n \geq 0$ , it follows from (18) that  $f_{2n+1}(q) = 0$ . Moreover, the  $2n$ -th term of the fake degree sequence is

$$\begin{aligned} f_{2n}(q) &= \sum_{k_1+k_2=n-3} \begin{bmatrix} 2n \\ k_1, k_1+4, k_2, k_2+2 \end{bmatrix}_q - \sum_{k_1+k_2=n-2} \begin{bmatrix} 2n \\ k_1, k_1+4, k_2, k_2 \end{bmatrix}_q \\ & \quad - \sum_{k_1+k_2=n-3} \begin{bmatrix} 2n \\ k_1, k_1+3, k_2, k_2+3 \end{bmatrix}_q + \sum_{k_1+k_2=n-2} \begin{bmatrix} 2n \\ k_1, k_1+1, k_2, k_2+3 \end{bmatrix}_q \\ & \quad + \sum_{k_1+k_2=n-2} \begin{bmatrix} 2n \\ k_1, k_1+3, k_2, k_2+1 \end{bmatrix}_q - \sum_{k_1+k_2=n-1} \begin{bmatrix} 2n \\ k_1, k_1+1, k_2, k_2+1 \end{bmatrix}_q \\ & \quad - \sum_{k_1+k_2=n-1} \begin{bmatrix} 2n \\ k_1, k_1, k_2, k_2+2 \end{bmatrix}_q + \sum_{k_1+k_2=n} \begin{bmatrix} n \\ k_1, k_1, k_2, k_2 \end{bmatrix}_q \end{aligned}$$

$$\begin{aligned}
&= \sum_{t=-\infty}^{\infty} \left( \begin{aligned} &\left[ \begin{array}{c} 2n \\ 2n-t-6, 2n-t-2, -n+t+3, -n+t+5 \end{array} \right]_q \\ &- \left[ \begin{array}{c} 2n \\ 2n-t-4, 2n-t, -n+t+2, -n+t+2 \end{array} \right]_q \\ &- \left[ \begin{array}{c} 2n \\ 2n-t-6, 2n-t-3, -n+t+3, -n+t+6 \end{array} \right]_q \\ &+ \left[ \begin{array}{c} 2n \\ 2n-t-4, 2n-t-3, -n+t+2, -n+t+5 \end{array} \right]_q \\ &+ \left[ \begin{array}{c} 2n \\ 2n-t-4, 2n-t-1, -n+t+2, -n+t+3 \end{array} \right]_q \\ &- \left[ \begin{array}{c} 2n \\ 2n-t-2, 2n-t-1, -n+t+1, -n+t+2 \end{array} \right]_q \\ &- \left[ \begin{array}{c} 2n \\ 2n-t-2, 2n-t-2, -n+t+1, -n+t+3 \end{array} \right]_q \\ &+ \left[ \begin{array}{c} 2n \\ 2n-t, 2n-t, -n+t, -n+t \end{array} \right]_q \end{aligned} \right).
\end{aligned}$$

Using the method of creative telescoping and the closure properties of the class of  $q$ -holonomic sequences, we find a twentieth-order linear  $q$ -difference equation for  $(f_{2n}(q))_{n \in \mathbb{N}}$ , which is given in [15].

Next, let us recall a theorem about scalar products of  $D$ -finite symmetric functions.

**Theorem 0.10.** (Gessel [6, Corollary 8]) *Let  $f$  and  $g$  be symmetric functions which are  $D$ -finite in the  $p_i$ 's and in other variables  $t_j$ 's. Assume that  $g$  involves only finitely many of the  $p_i$ 's. Then  $\langle f, g \rangle$  is  $D$ -finite in the  $t_j$ 's as long as it is well defined as a formal power series.*

Note that the above result also holds for  $\langle g, f \rangle$  provided that  $g$  involves only finitely many of the  $p_i$ 's.

Let  $G$  be a reductive group and  $V$  be a finite dimensional representation. For each  $r \geq 0$ , we denote the Frobenius character of the  $G$ -invariant subspace of  $\otimes^r V$  by  $I_r(V)$  [14]. Let  $P$  be a polynomial functor of degree  $k$ ; for instance, the  $k$ -th symmetric power functor or the  $k$ -th alternating power functor. We denote the character by  $\mathbf{ch}(P)$ , which is a symmetric function of degree  $k$ .

**Proposition 0.11.** *For each  $r, k \geq 0$ . Assume that  $I_{rk}(V)[Y]$  is a  $D$ -finite symmetric function. Then*

$$f(X) := \langle h_r[X, \mathbf{ch}(P[Y])], I_{rk}(V)[Y] \rangle_Y$$

*is also a  $D$ -finite symmetric function.*

*Proof.* Since  $h_r[X.\mathbf{ch}(P[Y])]$  and  $I_{rk}(V)[Y]$  are both symmetric functions in  $X$  and  $Y$ , we see that  $f(X)$  is a symmetric function in  $X$ . Since  $\mathbf{ch}(P[Y])$  is a symmetric function of degree  $k$ , it follows that  $h_r[X.\mathbf{ch}(P[Y])]$  is a symmetric function of degree  $r(k+1)$ . Thus, the symmetric function  $h_r[X.\mathbf{ch}(P[Y])]$  only involves finitely many  $p_i(Y)$ 's. Since  $h_r = \sum_{\lambda} p_{\lambda}/z_{\lambda}$ , where  $\lambda$  runs over all partitions of  $r$  and  $z_{\lambda} \in \mathbb{Z}_{>0}$ , it is straightforward to see that  $h_r[X.\mathbf{ch}(P[Y])]$  is  $D$ -finite in the  $p_i(X)$ 's and  $p_j(Y)$ 's. Taking  $t_j = p_j(X)$  in Theorem 0.10, we conclude that the claim holds.  $\square$

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